



# **Risk Factors and Genetic Biomarkers of Multiple Primary Cancers in Esophageal Cancer Patients**

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Yang P-W, Lin M-C, Huang P-M, Wang C-P, Chen T-C, Chen C-N, Tsai M-H, Cheng JC-H, Chuang EY, Hsieh M-S, Lou P-J and Lee J-M (2021) Risk Factors and Genetic Biomarkers of Multiple Primary Cancers in Esophageal Cancer Patients. Front. Oncol. 10:585621. doi: 10.3389/fonc.2020.585621 Esophageal cancer (EC) is a deadly cancer that frequently develops multiple primary cancers (MPCs). However, the risk biomarkers of MPC in EC have hardly been investigated. We retrospectively enrolled 920 subjects with primary EC and analyzed the possible risk factors as well as MPC single-nucleotide polymorphisms (SNPs) from blood DNA. A total of 184 subjects (20.0%) were confirmed to have MPC, 59 (32.8%) had synchronous MPC, and 128 (69.6%) had head and neck cancer. Elderly EC patients have an increased risk of having gastrointestinal cancer (Odds ratio, OR[95% CI]=6.70 [1.49-30.19], p=0.013) and a reduced risk of developing HNC (OR[95% CI]=0.44 [0.24-0.81], p=0.008). MPC risk was also associated with betel nut chewing (OR[95% CI]=1.63, 1.14-2.32], p=0.008), the A allele of ALDH2:rs671 (p=0.074 and 0.030 for GA and AA, respectively), the CC genotype in CISH:rs2239751 (OR[95% CI]=1.99 [1.2-3.32], p=0.008), and the G allele of ERCC5:rs17655 (p=0.001 and 0.090 for GC and CC, respectively). ADH1B:rs1229984 also correlated with MPC risk (p=0.117). Patients carrying four risk SNPs had a 40-fold risk of MPC (OR[95% CI]=40.25 [6.77-239.50], p<0.001) and a 12.57-fold risk of developing second primary cancer after EC (OR[95% CI]= 12.57 [1.14–138.8], p=0.039) compared to those without any risk SNPs. In conclusion, hereditary variations in ALDH2, CISH, ERCC5, and ADH1B have great potential in predicting the incidence of MPC in EC patients. An extensive cancer screening program during clinical follow-up would be beneficial for patients with high MPC susceptibility.

Keywords: esophageal cancer, multiple primary cancer, second primary cancer, single-nucleotide polymorphism, head and neck cancer

Abbreviations: EC, Esophageal cancer; MPCs, Multiple primary cancers; SNPs, Single-nucleotide polymorphisms; HNC, Head and neck cancer; GI ca., Gastrointestinal cancer; SPC, Second primary cancer.

# INTRODUCTION

Esophageal cancer (EC) is a deadly disease. Primary EC most often presents either as esophageal squamous cell carcinoma or adenocarcinoma (1, 2). Accounting for over 90% of the disease worldwide, esophageal squamous cell carcinoma is the major cell type of primary EC and is highly correlated with environmental factors (3, 4). Moreover, it is also highly correlated with unfavorable habits, such as tobacco smoking, alcohol drinking, and betel nut chewing (3, 5). Compared to patients without EC, studies indicate that EC patients have a more than 12-fold risk of developing mouth/pharynx cancer (6) and about a 4-fold risk of stomach cancer (6).

Multiple primary cancers (MPCs) are defined as more than one (synchronous or metachronous) primary cancer in the same individual (7). The frequency of multiple primaries for cancer patients is reported to be in the range of 2-17% (7). A cancer patient may develop multiple primary tumors due to several epidemiological factors, such as genetics, family history, hormonal factors, prior cancer treatment, lifestyle factors, and environmental influences (7). There is a 5-10% risk of MPC with an inherited genetic mutation (8). Systematic biomarker studies for MPCs are lacking, especially in patients with EC. Here, we investigated the association between candidate single-nucleotide polymorphisms (SNPs) and MPC in EC patients. These candidates include the SNPs at the aldehyde dehydrogenase 2 family member (ALDH2), alcohol dehydrogenase 1B (ADH1B), cytochrome P450 family 1 subfamily A member 1 (CYP1A1), glutathione S-transferase pi 1 (GSTP1), cytochrome c oxidase subunit 2 (COX2, encoded by PTGS2 gene), and ERCC excision repair 2 (ERCC5).

Alcohol dehydrogenase (ADH) and aldehyde dehydrogenase (ALDH) are key NAD-dependent enzymes involved in alcohol metabolism (9). ADH1B and ALDH2 are the major enzymes that convert alcohol to acetate in humans (10). The ADH1B SNPs rs1229984 and ALDH2:rs671(G>A, Glu487Lys) are reportedly correlated with the risk of alcohol-related cancers, including hypopharyngeal cancer and EC (11–16). Additionally, COX2: rs20417 and CYP1A1:rs1048943 are known to correlate with the risk of both oral cancer and EC (17–19). SNPs involved in nucleotide excision repair, such as ERCC5:rs17655, have also been found to be associated with the incidence of laryngeal cancer and EC (20, 21). Finally, GSTP1 rs1695 is also an SNP for the risk of EC and other cancers, such as breast cancer (22, 23).

In the current study, we investigated the risk factors and potential genetic biomarkers for MPC in patients with EC.

# MATERIALS AND METHODS

## **Study Population and Data Collection**

This study was performed retrospectively and was approved by the ethical committee of the National Taiwan University Hospital (NTUH, 201803015RIND). A total of 920 EC patients with or without MPC were retrospectively enrolled in the National Taiwan University Hospital (NTUH) during the study period (Jan. 2000 to Dec. 2018). The inclusion criteria were patients diagnosed with primary EC. Pregnant patients, pediatric patients, and those unable to give informed consent or blood samples were excluded. **Figure 1** shows the study flowchart. In total, 2825 patients with primary EC were admitted to NTUH between 2000 and 2018. Among them, 996 patients donated blood for research, and 932 DNA samples were successfully extracted from the blood samples for genotyping. Twelve patients were excluded owing to insufficient clinical data for analysis. The 920 eligible study subjects included 736 patients without MPC during their follow-up and 184 patients who were diagnosed with MPC.

Data concerning unfavorable habits, including cigarette smoking, alcohol drinking, and betel nut chewing, were collected from each patient during their clinic visit, and confirmed by nursing documentation; the information was sometimes also verified by the patient or their close family member *via* the telephone. Any ambiguous or vague information regarding the habits of the patients was considered as "missing data." Every user was considered to have a history of unfavorable habits. Data concerning smoking, drinking, and betel nut chewing were missing in 56, 54, and 76 subjects, respectively. A total of 844 patients were thus included in the multivariate analysis, which adjusted for age, gender, tumor site, history, and these unfavorable habits (**Figure 1**).

Basic demographics, unfavorable habits, and time of primary cancer onset were obtained from the Tumor Registry of NTUH and/or medical chart review. EC treatment included surgery, chemotherapy, and radiotherapy. Neoadjuvant concurrent chemoradiation therapy was administered to patients with advanced TNM stages (T3N0 or T1-3N+) (24) diagnosed using endoscopic ultrasound or computed tomography before surgery (25, 26).

The Warren and Gates criteria for second primary cancer (SPC) were used to defined MPC (27). MPCs were classified as synchronous if the different primary cancers were diagnosed simultaneously or within 6 months of primary EC diagnosis. If the interval between the date of EC diagnosis and that of another cancer was >6 months, the MPC was considered metachronous. Patients who had both synchronous and metachronous cancer were classified as having combined synchronous and metachronous cancer (28).

The organ sites of the MPCs in EC patients were listed in **Table 1**. The MPC type was further classified according to anatomical location. Head and neck cancer (HNC) includes oral (oral cavity and tongue), hypopharyngeal, nasopharyngeal, oropharyngeal, laryngeal, pharyngeal, tonsil, and thyroid cancers. Gastrointestinal cancer includes gastric, liver, colon, rectal, pancreatic, cecal, and gallbladder cancers. Thoracic cancer includes lung/bronchus and tracheal cancer. Other types of SPC include bladder, breast, prostate cancer, renal, bone, skin, eye cancers, and lymphoma.

## **DNA Extraction**

Buffy coat samples were isolated from 10 mL of whole blood, which was obtained from patients before cancer treatment and



TABLE 1 | The organ sites of MPC in EC patients.

MPC by organ sites	Case number
Hypopharynx	57
Oropharynx	16
Nasopharynx	1
Oral cavity	24
Tongue	23
Tonsil	6
Larynx	12
Pharynx	1
Thyroid	7
Stomach	14
Liver	8
Colon	6
Pancreas	1
Cecum	1
Gallbladder	1
Rectum	3
Kidney	1
Lung	18
Trachea	1
Bronchus	1
Bladder	3
Lymphoid	1
Breast	1
Skin	1
Bone	1
Prostate	2
Eye	1

stored in a -80°C freezer. Genomic DNA was extracted from 200  $\mu$ L of the buffy coat containing peripheral blood mononuclear cells using the QIAamp DNA mini kit (Qiagen, Hamburg Germany) according to the manufacturer's instructions. The DNA was dissolved in double-distilled water and stored in a -20°C freezer.

# **SNP** Genotyping

The genotyping of the candidate SNPs (ADH1B:rs1229984, ALDH2:rs671, COX2:rs20417, CYP1A1:rs1048943, ERCC5: rs17655, GSTP1:rs1695, SOCS1:rs243324, SOCS1:rs33932899, and CISH:rs2239751) was performed using the Sequenom MassARRAY platform and iPLEX gold chemistry and was analyzed using MassARRAY TYPER 4.0 software following the manufacturer's instructions (Sequenom, San Diego, CA) as previously described (29). Data integrity and accuracy were confirmed through repeated measures. Genotyping for rs671, rs243324, and rs2239751 was complete. There were six, five, three, four, four, and two patients with unconfirmed data for rs1229984, rs20417, rs1048943, ERCC5:rs17655, GSTP1:rs1695, and SOCS1:rs33932899, respectively.

The genotype distribution data supporting the findings of this study are publicly available. These data can be found here: https://doi.org/10.2217/14622416.9.2.235 (Taiwan population) and https://doi.org/10.1101/531210 (East Asia population).

### **Statistical Analysis**

All statistical analyses were conducted using SPSS 17.0 (SPSS Institute, Chicago, IL, USA). Patient characteristics and genotype distribution among the subgroups with or without MPC were compared using Pearson's chi-square test or Fisher's exact test. The odds ratios (ORs) for MPC of patients carrying risk genotypes or factors adjusted for potential covariates were analyzed using binary logistic regression. A *p*-value of less than 0.05 was considered statistically significant. Receiver operating characteristic (ROC) analysis was used to evaluate the diagnostic performance of the risk genotypes for MPC. The area under the curve (AUC) or the ROC curve was applied to evaluate the discriminatory capability of the risk genotypes for patients with SPC after EC. Generally, an AUC of 0.7-0.8 is considered acceptable, and 0.8-0.9 is considered excellent (30).

# RESULTS

Of the 920 EC patients, 20.0% (N=184) were confirmed to have MPC (**Table 2**). Among these 920 patients, 32.8% (N=59) had

TABLE 2 | Patient Characteristics

synchronous MPC, 64.1% (N=118) developed metachronous MPC, and 3.8% (N=7) had combined synchronous and metachronous MPC. Within the metachronous and combined MPC groups (N=125), 55 patients developed SPC after EC, and 80 patients had other malignant tumors before being diagnosed with EC (**Figure 1**). Regarding the site distribution of MPC in EC patients, the site most frequently affected was the head and neck (N=128, 69.6%). In addition, 21.1% (N=39) and 10.9% (N=20) of the MPC patients were diagnosed with cancer of the digestive system and thoracic cavity, respectively. A total of 28 patients had multiple MPC with cancer develop from two to five organs.

MPC incidence was significantly higher among males (20.8% vs. 10.3%, male vs. female, p=0.038, **Table 2**). As expected, the unfavorable habits of cigarette smoking, alcohol drinking, and betel nut chewing were all correlated with increased MPC incidence (p=0.030, 0.006, and 0.001, respectively, **Table 2**). Notably, patients with a history of betel chewing developed synchronous MPC more frequently than those without (39.5% vs. 24.7%, p=0.028, **Table 2**). We further analyzed the risk factors of individual MPC types using logistic regression adjusted for other variables. Among these unfavorable habits, betel chewing was found to be a significant risk factor for the incidence of MPC

Number	Т	otal EC patient	ts	p-value		With	MPC		p-value
	Total 920 (100.0)	No MPC 736 (80.0)	with MPC 184 (20.0)		Total 184 (100.0)	Synchronous 59 (32.8)	Metachronous 118 (64.1)	Combined 7 (3.8)	
Age (years)				0.373					0.596
<50	194 (21.1)	155 (79.9)	39 (20.1)		39 (21.2)	13 (33.3)	25 (64.1)	1 (2.6)	
50-65	454 (49.3)	356 (78.4)	98 (21.6)		98 (53.3)	27 (27.6)	66 (67.3)	5 (5.1)	
>65	272 (29.6)	225 (82.7)	47 (17.3)		47 (25.5)	19 (40.4)	27 (57.4)	1 (2.1)	
Gender									
Female	68 (7.4)	61 (89.7)	7 (10.3)	0.038	7 (3.8)	1 (14.3)	6 (85.7)	0 (0)	0.565
Male	852 (92.6)	675 (79.2)	177 (20.8)		177 (96.2)	58 (32.8)	112 (63.3)	7 (4.0)	
Tumor Site				0.200					0.270
Upper	168 (18.3)	142 (84.5)	26 (15.5)		26 (14.1)	10 (38.5)	13 (50.0)	3 (11.5)	
Middle	319 (34.7)	251 (78.7)	68 (21.3)		68 (37.0)	24 (35.3)	42 (61.8)	2 (2.9)	
Lower	270 (29.3)	219 (81.1)	51 (18.9)		51 (27.7)	15 (29.4)	36 (70.6)	0 (0)	
Upper-middle	37 (4.0)	30 (81.1)	7 (18.9)		7 (3.8)	3 (42.9)	4 (57.1)	0 (0)	
Lower-middle	117 (12.7)	89 (76.1)	28 (23.9)		28 (15.2)	7 (25.0)	19 (67.9)	2 (7.1)	
Multiple	9 (1.0)	5 (55.6)	4 (44.4)		4 (2.2)	O (O)	4 (100.0)	0 (0)	
Cell type				0.48					0.744
ESCC	894 (97.2)	714 (79.9)	180 (20.1)		180 (97.8)	59 (32.8)	114 (63.3)	7 (3.9)	
EADC	23 (2.5)	20 (87.0)	3 (13.0)		3 (1.6)	O (O)	3 (100.0)	O (O)	
Others	3 (0.3)	2 (66.7)	1 (33.3)		1 (0.5)	O (O)	1 (100.0)	0 (0)	
CRT				0.407					0.300
No	208 (22.7)	167 (80.3)	41 (19.7)		41 (22.3)	8 (19.5)	31 (75.6)	2 (4.9)	
CCRT	677 (73.8)	537 (79.3)	140 (20.7)		140 (76.1)	50 (35.7)	85 (60.7)	5 (3.6)	
CT only	20 (2.2)	19 (95.0)	1 (5.0)		1 (0.5)	O (O)	1 (100.0)	O (O)	
RT only	12 (1.3)	10 (83.3)	2 (16.7)		2 (1.1)	1 (50.0)	1 (50.0)	O (O)	
Cigarette-smoking				0.03					0.652
No	131 (15.2)	113 (86.3)	18 (13.7)		18 (10.0)	5 (27.8)	12 (66.7)	1 (5.6)	
Yes	733 (84.8)	571 (77.9)	162 (22.1)		162 (90.0)	53 (32.7)	103 (63.6)	6 (3.7)	
Alcohol-drinking				0.006					0.366
No	163 (18.8)	142 (87.1)	21 (12.9)		21 (11.7)	4 (19.0)	16 (76.2)	1 (4.8)	
Yes	703 (81.2)	545 (77.5)	158 (22.5)		158 (88.3)	53 (33.5)	99 (62.7)	6 (3.8)	
Betel-chewing				0.001					0.028
No	545 (64.6)	452 (82.9)	93 (17.1)		93 (53.4)	23 (24.7)	68 (73.1)	2 (2.2)	
Yes	299 (35.4)	218 (72.9)	81 (27.1)		81 (46.6)	32 (39.5)	44 (54.3)	5 (5.2)	

EC, esophageal cancer; MPC, multiple primary cancer.

Bold values indicate statistical significance with a p value less than 0.05.

and HNC even after adjusting for other covariates (p=0.008 and p=0.038, respectively, **Table 3**), especially for synchronous MPC (OR[95% CI]=2.43 [1.36–4.35], p=0.003, **Table 4**). Moreover, elderly EC patients were found to have a reduced risk of HNC (OR[95% CI]=0.44 [0.24–0.81], p=0.008, **Table 3**). However, they had a 6-fold increased risk of having gastrointestinal cancer (OR[95% CI]=6.70 [1.49–30.19], **Table 3**). Having multiple superficial ECs was also significantly correlated with a higher risk of MPC and HNC (OR[95% CI]=5.38 [1.30–22.25], p=0.020 for MPC; 6.78 [1.60–28.75], p=0.009 for head and neck MPC, **Table 3**).

We preliminarily analyzed the association between esophageal cancer MPC and 58 single nucleotide polymorphisms (SNPs) that are related to carcinogenesis. The SNPs were determined using our pre-existing database of a smaller cohort (N=500, data not shown). These SNPs included 16 SNPs involved in growth factors and receptors, 10 SNPs related to microRNA functions, eight SNPs associated with inflammation, four SNPs of the genes of the nucleotide excision repair (NER) pathway, and 20 SNPs of the genes of the suppressor of cytokine signaling (SOCS) family. The preliminary results revealed that three SNPs of the suppressor of cytokine signaling CISH: rs2239751, SOCS1:rs33932899, and SOCS1:rs243324, showed a tendency to correlate with increased MPC risk in EC. In addition to the three SNPs, we added six candidate SNPs for MPC analysis, including ADH1B:rs1229984, ALDH2:rs671 (11–16), COX2:

rs20417, CYP1A1:rs1048943 (17–19), ERCC5:rs17655 (20, 21), and GSTP1:rs1695 (22, 23). Furthermore, we analyzed the feasibility of using these nine candidate SNPs as biomarkers in predicting the incidence of EC and MPC.

We first compared genotype distributions of the nine candidate genes among normal populations of Taiwan and East Asia, as well as the EC subjects enrolled in this study. The genotype distributions of the Taiwan and East Asia populations were not significantly different from all SNPs (Table 5). On the other hand, there were highly significant differences between the EC patients and the normal Taiwanese population in terms of distributions of both alcohol-related SNPs (ADH1B:rs1229984 and ALDH2:rs671, p<0.001). In ADH1B:rs1229984, the homologous variant CC was significantly more prevalent in the EC patients than in the normal Taiwanese population; by contrast, TT was significantly less common (23.5% vs. 7.4% for CC; 39.1% vs. 52.2% for TT, p<0.001, Table 5). Individuals carrying the CC genotype had a 4.30-fold increased risk for EC (CC/TT, crude OR[95% CI]=4.30 [3.32-5.58], *p*<0.001, **Table 6**).

As for ALDH2:rs671, heterozygous GA (ALDH2\*1/\*2) was the most dominant genotype in EC patients, which they carried at significantly higher rates than the normal populations (69.8% vs. 39.9%); by contrast, wild-type GG (ALDH2\*1/\*1) was the dominant genotype among the normal population (28.2% vs. 51.9%, EC patients vs. the normal populations, **Table 5**). Among

#### TABLE 3 | Risk factors for MPC analyzed by multivariate logistic regression.

Variables	Total	Risk for MPC (N = 174)		Risk for HNC (	Risk for HNC (N = 122)		(N = 37)	Risk for thoracic Ca. (N = 19)	
		adjusted OR (95% Cl)	*p-value	adjusted OR (95% Cl)	*p-value	adjusted OR (95% Cl)	*p-value	adjusted OR (95% Cl)	*p-value
Age (years)									
<50	175	1		1		1		1	
50-65	425	0.93 (0.60-1.43)	0.740	0.77 (0.48-1.23)	0.270	4.56 (1.05-19.89)	0.043	0.52 (0.14-2.00)	0.343
>65	244	0.91 (0.55-1.51)	0.717	0.44 (0.24-0.81)	0.008	6.70 (1.49-30.19)	0.013	2.26 (0.67-7.68)	0.190
Gender									
Female	63	1		1		1		1	
Male	781	1.93 (0.76-4.88)	0.167	1.34 (0.47-3.77)	0.586	-	0.997	1.08 (0.11-10.38)	0.947
Tumor Site									
Upper	154	1		1		1		1	
Middle	296	1.52 (0.90-2.54)	0.115	1.50 (0.83-2.71)	0.184	0.86 (0.31-2.39)	0.770	1.20 (0.30-4.77)	0.799
Lower	246	1.30 (0.76-2.24)	0.342	1.32 (0.70-2.47)	0.389	1.12 (0.40-3.13)	0.830	0.85 (0.18-3.93)	0.834
Upper-middle	34	1.21 (0.47-3.12)	0.697	0.93 (0.29-3.00)	0.905	0.70 (0.08-6.10)	0.744	2.62 (0.41-16.81)	0.31
Lower-middle	105	1.48 (0.78-2.82)	0.229	1.38 (0.66-2.88)	0.396	1.51 (0.46-4.94)	0.493	1.73 (0.33-8.99)	0.515
Multiple sites	9	5.38 (1.30-22.25)	0.020	6.78 (1.60-28.75)	0.009	-	0.999	-	0.999
Cell type									
ESCC	820	1		1		1		1	
EADC	22	0.77 (0.22-2.74)	0.685	0.39 (0.05-3.02)	0.367	3.46 (0.87-13.81)	0.079	-	0.998
Others	2	-	0.999	-	0.999	-	1.000	-	1.000
Cigarette-smoking									
No	130	1		1		1		1	
Yes	714	1.02 (0.54-1.95)	0.942	1.10 (0.50-2.37)	0.831	1.37 (0.40-4.69)	0.612	1.08 (0.18-6.69)	0.932
Alcohol-drinking									
No	163	1		1		1		1	
Yes	681	1.45 (0.80-2.62)	0.221	1.66 (0.79-3.47)	0.179	0.78 (0.29-2.11)	0.623	2.23 (0.37-13.67)	0.385
Betel-chewing									
No	545	1		1		1		1	
Yes	299	1.63 (1.14-2.32)	0.008	1.54 (1.02-2.32)	0.038	1.52 (0.75-3.07)	0.249	1.32 (0.50-3.49)	0.575

MPC, multiple primary cancer; HNC, head and neck cancer; GI, gastrointestinal; Ca, cancer; ESCC, esophageal squamous cell carcinoma; EADC, esohgeal adenocarcinoma. Bold values indicate statistical significance with a p value less than 0.05.

Variables	Total	Risk for synchronous MPC (N=55)		Risk for metachronous MP	C (SPC, N=55)	Risk for metachronous MPC (before EC, N=74)		
		adjusted OR (95% CI)	*p-value	adjusted OR (95% CI)	*p-value	adjusted OR (95% CI)	*p-value	
Age (years)								
<50	175	1		1		1		
50-65	425	0.77 (0.38-1.56)	0.464	0.80 (0.41-1.53)	0.494	1.31 (0.69-2.50)	0.408	
>65	244	1.34 (0.62-2.90)	0.460	0.45 (0.19-1.05)	0.064	1.09 (0.51-2.32)	0.827	
Gender								
Female	63	1		1		1		
Male	781	2.56 (0.31-20.97)	0.380	1.23 (0.33-4.58)	0.762	2.59 (0.55-12.15)	0.228	
Tumor Site								
Upper	154	1		1		1		
Middle	296	1.32 (0.59-2.97)	0.502	1.78 (0.77-4.08)	0.176	0.85 (0.41-1.74)	0.650	
Lower	246	1.00 (0.42-2.41)	0.996	1.09 (0.44-2.72)	0.857	1.06 (0.51-2.21)	0.879	
Upper-middle	34	1.23 (0.31-4.90)	0.771	1.14 (0.23-5.71)	0.872	0.99 (0.26-3.72)	0.986	
Lower-middle	105	0.98 (0.33-2.91)	0.977	1.27 (0.44-3.65)	0.659	1.31 (0.56-3.07)	0.542	
Multiple	9	-	0.999	_	0.999	10.49 (2.34-47.09)	0.002	
Cell type								
ESCC	820	1		1		1		
EADC	22	-	0.998	1.94 (0.40-9.39)	0.411	0.57 (0.07-4.50)	0.596	
Others	2	-	0.999	_	0.999	_	0.999	
Cigarette-smok	ing							
No	130	1		1		1		
Yes	714	0.76 (0.26-2.25)	0.621	0.82 (0.31-2.15)	0.684	1.48 (0.54-2.84)	0.442	
Alcohol-drinking	g							
No	163	1		1		1		
Yes	681	0.75 (0.26-2.25)	0.141	1.08 (0.43-2.71)	0.873	1.22 (0.52-2.84)	0.651	
Betel-chewing		. ,				. ,		
No	545	1		1		1		
Yes	299	2.43 (1.36-4.35)	0.003	1.38 (0.77-2.46)	0.275	1.31 (0.79-2.18)	0.298	

TABLE 4	Risk factors for s	vnchronous and	metachronous	MPC anal	vzed by	multivariate	ogistic	regression.

\*adjusted for other variables; MPC, multiple primary cancer; SPC, Second primary cancer.

Bold values indicate statistical significance with a p value less than 0.05.

the normal Taiwanese population, those carrying the GA genotype had a 3.23-fold increased risk for EC compared to those carrying GG (GA/GG, crude OR[95% CI]=3.23 [2.70–3.86], p<0.001, **Table 6**). Consistent with previous meta-analysis results (31), a protective effect was found in rs671 homozygote AA (AA/GG, crude OR[95% CI]=0.48 [0.29–0.80], p=0.005, **Table 6**).

We then further analyzed the correlation between the candidate SNPs and the risk of MPC in EC patients. An increased risk of MPC was found in patients carrying the GA and AA genotypes of ALDH2:rs671 (p=0.021). Up to 22.0% and 31.6% of GA and AA carriers, respectively, had other malignancies in comparison to only 15% of GG carriers (Table 7). This correlation was more evident in patients who developed HNC, as more than 16% of GA carriers also had HNC (Table 7). The heterozygous genotype GC of ERCC5:rs17655 also correlated with increased risk of MPC (p=0.005), HNC (p=0.038), and gastrointestinal cancer (p=0.048). Moreover, CISH:rs2239751 was found to be significantly correlated with MPC in EC patients (p=0.033), with more than 29% of CC carriers also developing MPC. Notably, 7.3% of CC carriers had thoracic cancers, mostly lung cancer, which was a much higher incidence than in patients with the AA or CA genotypes (1.9% and 1.0%, respectively, p=0.002, Table 7). ADH1B:rs1229984 only displayed a borderline association with the risk of gastrointestinal cancer (p=0.085).

In multivariate logistic analysis that adjusted for other potential variables, CISH:rs2239751\_CC had strong significant correlation with increased risk of MPC and thoracic cancer (OR [95% CI]=1.99 [1.20-3.32], p=0.008 for MPC; OR[95% CI]=5.40 [1.83-15.91], *p*=0.002 for thoracic cancer, **Table 8**). Patients carrying the AA variant of ALDH2:rs671 had a 3.61-fold increased risk for MPC compared to wildtype GG carriers (OR [95% CI]=3.61[1.13-11.56], *p*=0.030, **Table 8**), whereas the AG genotype was significantly correlated with an increased HNC risk (OR[95% CI]=1.82 [1.08-3.07], p=0.030). Notably, AA carriers had a 7-fold increased risk of developing synchronous MPC (OR [95% CI]=7.55 [1.24-45.90], p=0.028, Table 9). Furthermore, ERCC5:rs17655 GC was correlated with a significantly greater risk of MPC and HNC than with CC (OR[95% CI]=2.15 [1.36-3.40], p=0.001 for MPC; OR[95% CI]=2.07 [1.21-3.55], p=0.008 for HNC, Table 8). The GG genotype was also significantly associated with the risk of developing HNC (OR[95% CI]=1.91 [1.05-3.49], p=0.035). Moreover, ERCC5:rs17655\_GC was also significantly correlated with the risk of metachronous MPC before or after EC (p=0.011 and p=0.022, respectively, Table 9). Finally, ADH1B:rs1229984 CC was associated with MPC and HNC (*p*=0.117 and *p*=0.160, respectively).

We defined CISH:rs2239751\_CC, ALDH2:rs671\_AG/AA, ERCC5:rs17655\_GC/GG, and ADH1B: rs1229984\_CC as risk genotypes for MPC in EC patients. According to multivariate analysis, patients carrying these four risk genotypes had more

SNP/Gene	Genotype	#EC	*Taiwan (TW)	&East Asia (EA)	P-value (EC vs. Taiwan)	P-value (TW vs. EA)
rs1048943	тт	508 (55.4)	859 (56.6)	5649 (56.7)	0.919	0.999
CYP1A1	СТ	348 (37.9)	570 (37.6)	3731 (37.4)		
	CC	61 (6.7)	88 (5.8)	590 (5.9)		
rs1229984	TT	357 (39.1)	789 (52.2)	5424 (54.4)	<0.001	0.905
ADH1B	тс	339 (37.1)	611 (40.4)	3864 (38.7)		
	CC	218 (23.8)	112 (7.4)	684 (6.9)		
rs1695	AA	596 (65.1)	1026 (67.8)	6650 (68.2)	0.711	0.955
GSTP1	AG	299 (32.6)	442 (29.2)	2765 (28.3)		
	GG	21 (2.3)	46 (3.0)	340 (3.5)		
rs17655	CC	239 (26.1)	354 (23.4)	2455 (24.6)	0.801	0.875
ERCC5	GC	435 (47.5)	737 (48.7)	4945 (49.6)		
	GG	242 (26.4)	425 (28.1)	2566 (25.8)		
rs20417	CC	857 (93.4)	1390 (91.6)	710 (91.1)	0.815	0.914
<u>PTGS2</u> (COX2)	GC	60 (6.5)	125 (8.2)	69 (8.9)		
	GG	1 (0.1)	2 (0.1)	O (O)		
rs671	GG	259 (28.1)	785 (52.1)	5372 (55.6)	<0.001	0.750
ALDH2	GA	642 (69.8)	603 (40.0)	3638 (37.7)		
	AA	19 (2.1)	119 (7.9)	648 (6.7)		
rs2239751	AA	417 (45.3)	709 (46.9)	2778 (44.6)	0.928	0.803
CISH	CA	394 (42.8)	653 (43.2)	2717 (46.6)		
	CC	109 (11.9)	165 (10.9)	731 (11.7)		
rs243324	CC	512 (55.7)	859 (56.7)	415 (53.5)	0.924	0.725
SOCS1	тс	348 (37.8)	571 (37.7)	305 (39.4)		
	TT	60 (6.5)	85 (5.6)	55 (7.1)		
rs33932899	GG	523 (57.0)	872 (57.7)	435 (56.0)	0.944	0.713
SOCS1	CG	341 (37.1)	562 (37.2)	287 (37.0)		
	CC	54 (5.9)	78 (5.2)	54 (7.0)		

\*Results in current study. EC, esophageal cancer.

<sup>&</sup>Data from genome aggregation database (gnomAD) https://gnomad.broadinstitute.org/

\*Data from Taiwan Biobank https://www.twbiobank.org.tw/new\_web\_en/index.php

Bold values indicate statistical significance with a p value less than 0.05.

TABLE 6 | Risk for esophageal cancer by ADH1B:rs1229984 and ALDH2: rs671.

Variables	genotype	Case	Control	Risk for esophageal cancer		
				OR (95% CI)	*p-value	
rs1229984	тт	357 (39.1)	789 (52.2)	1		
ADH1B	тс	339 (37.1)	611 (40.4)	1.23 (1.02-1.47)	0.028	
	cc	218 (23.9)	112 (7.4)	4.30 (3.32-5.58)	<0.001	
rs671	GG	259 (28.2)	785 (51.9)	`1 <i>`</i>		
ALDH2	GA	642(69.8)	603 (39.9)	3.23 (2.70-3.86)	<0.001	
	AA	19 (2.1)	119 (7.9)	0.48 (0.29-0.80)	0.005	

Bold values indicate statistical significance with a p value less than 0.05.

than 40-fold increased risk of MPC compared to those without (OR[95% CI]=40.25 [6.77–239.50], p<0.001, **Table 10**). The cumulative effect of risk SNPs was also significant in the risk of having HNC and the risk of developing SPC after EC (OR[95% CI]=9.75 [1.87–50.84], p=0.007 for HNC; OR[95% CI]=12.57 [1.14–138.8], p=0.039 for SPC, **Table 10**).

The ROC curve further revealed that the number of risk genotypes had an excellent capability for SPC in female patients (AUC=0.875, **Figure 2B**) but poor capability in all patients as well as in male patients (**Figures 2A, C**, AUC=0.616 and 0.596, respectively). For the development of HNC after EC (SPC\_HNC), the cumulating risk genotypes had a better capability in non-chewers (AUC=0.724, **Figure 2E**) compared to betel nut chewers (AUC=0.643, **Figure 2D**). Notably, the risk

genotypes had an excellent capability for SPC\_HNC in patients with no chewing and drinking habits (AUC=0.810, **Figure 2F**).

# DISCUSSION

Our study is the first to systematically investigate risk factors and predictive biomarkers for MPC in EC. Based on our results, age was a risk factor for MPC. Head and neck cancers, such as oral cancer, are most prevalent between 40 and 60 years of age, whereas cancers in the digestive system, such as gastric cancer and colon cancer, are most prevalent among elderly people aged >70 years. Our results reveal that younger patients with EC have an increased risk of having HNC and that older patients have a

TABLE 7 | Correlation between candidate SNPs and the incidence of MPC in EC patients.

SNP/Gene	Genotype	No. 920	MPC 184 (20.0)	<i>p</i> -value	Multiple MPC 28 (15.2)	p-value	HNC 128 (69.6)	p-value	GI Ca. 39 (21.2)	p-value	Thoracic Ca. 20 (10.9)	<i>p</i> -value
rs1048943	тт	508 (55.4)	98 (19.3)	0.609	13 (2.6)	0.515	66 (13.0)	0.704	22 (4.3)	0.009	10 (2.0)	0.869
CYP1A1	СТ	348 (37.9)	71 (20.4)		11 (3.2)		52 (14.9)		10 (2.9)		9 (2.6)	
	CC	61 (6.7)	15 (24.6)		3 (4.9)		9 (14.8)		7 (11.5)		1 (1.6)	
rs1229984	TT	357 (39.1)	59 (16.5)	0.100	8 (2.2)	0.470	42 (11.8)	0.120	15 (4.2)	0.085	5 (1.4)	0.494
ADH1B	тс	339 (37.1)	72 (21.2)		13 (3.8)		46 (13.6)		19 (5.6)		9 (2.7)	
	CC	218 (23.9)	51 (23.4)		7 (3.2)		39 (17.9)		4 (1.8)		5 (2.3)	
rs1695	AA	596 (65.1)	122 (20.5)	0.750	19 (3.2)	1.000	87 (14.6)	0.465	24 (4.0)	0.941	11 (1.8)	0.306
GSTP1	AG	299 (32.6)	56 (18.7)		9 (3.0)		37 (12.4)		13 (4.3)		8 (2.7)	
	GG	21 (2.3)	5 (23.8)		0(0)		4 (19.0)		0 (0)		1 (4.8)	
rs17655	CC	239 (26.1)	34 (14.2)	0.005	6 (2.5)	0.349	22 (9.2)	0.038	7 (2.9)	0.048	5 (2.1)	0.752
ERCC5	GC	435 (47.5)	106 (24.4)		17 (3.9)		71 (16.3)		26 (6.0)		11 (2.5)	
	GG	242 (26.4)	44 (18.2)		5 (2.1)		34 (14.0)		6 (2.5)		4 (1.7)	
rs20417	CC	857 (93.4)	177 (20.7)	0.252	26 (3.0)	1.000	123 (14.4)	0.242	39 (4.6)	0.142	17 (2.0)	0.156
PTGS2	GC	60 (6.5)	7 (11.7)		1 (1.7)		4 (6.7)		0(0)		3 (5.0)	
(COX-2)	GG	1 (0.1)	0(0)		0(0)		0 (0)		0 (0)		O (O)	
rs671	GG	259 (28.2)	38 (14.7)	0.021	3 (1.2)	0.079	22 (8.5)	0.007	10 (3.9)	0.766	5 (1.9)	0.486
ALDH2	AG	642 (69.8)	141 (22.0)		25 (3.9)		104 (16.2)		28 (4.4)		14 (2.2)	
	AA	19 (2.1)	6 (31.6)		O (O)		2 (10.5)		1 (5.3)		1 (5.3)	
rs2239751	AA	417 (45.3)	76 (18.2)	0.033	15 (3.6)	0.683	54 (12.9)	0.131	13 (3.1)	0.289	8 (1.9)	0.002
CISH	CA	394 (42.8)	77 (19.5)		10 (2.5)		52 (13.2)		21 (5.3)		4 (1.0)	
	CC	109 (11.8)	32 (29.4)		3 (2.8)		22 (20.2)		5 (4.6)		8 (7.3)	
rs243324	CC	512 (55.7)	96 (18.8)	0.740	14 (2.7)	0.740	70 (13.7)	0.831	23 (4.5)	0.723	10 (2.0)	0.668
SOCS1	тс	348 (37.8)	78 (22.4)		12 (3.4)		51 (14.7)		15 (4.3)		8 (2.3)	
	тт	60 (6.5)	11 (18.3)		2 (3.3)		7 (11.7)		1 (1.7)		2 (3.3)	
rs33932899	GG	523 (57.0)	98 (18.7)	0.445	14 (2.7)	0.689	71 (13.6)	0.902	25 (4.8)	0.633	9 (1.7)	0.342
SOCS1	CG	341 (37.1)	76 (22.3)		12 (3.5)		50 (14.7)		13 (3.8)		9 (2.6)	
	CC	54 (5.9)	11 (20.4)		2 (3.7)		7 (13.0)		1 (1.9)		2 (3.7)	

MPC, multiple primary cancer; HNC, head and neck cancer; GI, gastrointestinal; Ca, cancer. Bold values indicate statistical significance with a p value less than 0.05.

TABLE 8 | Risk SNPs for individual types of MPC analyzed by multivariate logistic regression.

Variables	genotype	/pe No.	MPC	MPC			GI Ca.		Thoracic Ca.		
			adjusted OR (95% Cl)	*p- value	adjusted OR (95% CI)	*p- value	adjusted OR (95% CI)	*p- value	adjusted OR (95% Cl)	*p- value	
rs1048943	тт	469	1		1		1		1		
CYP1A1	СТ	318	1.10 (0.77-1.58)	0.593	1.27 (0.84-1.92)	0.256	0.65 (0.30-1.40)	0.266	1.17 (0.45-3.05)	0.744	
	CC	54	1.39 (0.71-2.75)	0.339	1.53 (0.70-3.36)	0.291	2.21 (0.78-6.26)	0.136	0.81 (0.10-6.59)	0.841	
rs1229984	TT	323	1		1		1		1		
ADH1B	тс	316	1.34 (0.90-2.00)	0.155	1.14 (0.71-1.83)	0.585	1.47 (0.70-3.06)	0.309	2.00 (0.65-6.16)	0.228	
	CC	199	1.43 (0.91-2.25)	0.117	1.44 (0.87-2.39)	0.160	0.59 (0.19-1.87)	0.369	1.36 (0.35-5.32)	0.661	
rs17655	CC	219	1		1		1		1		
ERCC5	GC	405	2.15 (1.36-3.40)	0.001	2.07 (1.21-3.55)	0.008	1.90 (0.80-4.55)	0.148	1.29 (0.40-4.18)	0.677	
	GG	216	1.57 (0.93-2.65)	0.090	1.91 (1.05-3.49)	0.035	0.60 (0.19-1.97)	0.403	0.86 (0.21-3.52)	0.828	
rs671	GG	228	1		1		1		1		
ALDH2	AG	599	1.47 (0.96-2.24)	0.074	1.82 (1.08-3.07)	0.030	1.11 (0.51-2.40)	0.794	1.50 (0.46-4.83)	0.501	
	AA	17	3.61 (1.13-11.56)	0.030	2.75 (0.55-13.85)	0.219	1.07 (0.12-9.97)	0.952	4.87 (0.42-56.23)	0.204	
rs2239751	AA	386	1		1		1		1		
CISH	CA	358	1.07 (0.74-1.55)	0.733	0.93 (0.60-1.43)	0.743	1.59 (0.76-3.32)	0.216	0.67 (0.19-2.36)	0.536	
	СС	100	1.99 (1.20-3.32)	0.008	1.64 (0.92-2.94)	0.094	1.61 (0.55-4.74)	0.386	5.40 (1.83-15.91)	0.002	

\*adjusted for age, gender, tumor site, histology, chewing, drinking, and smoking.

MPC, multiple primary cancer; HNC, head and neck cancer; GI, gastrointestinal; Ca, cancer.

Bold values indicate statistical significance with a p value less than 0.05.

higher probability of developing gastrointestinal cancers (**Table 3**). Moreover, our results showed that betel chewing is the most predominant unfavorable habit correlated with the incidence of MPC in EC, especially in patients with HNC (**Table 3**). Both cigarette-smoking and alcohol-drinking were obviously

correlated with an increased incidence of MPC according to Chi-square analysis (**Table 2**), but no significant effect was observed in the multivariate regression model adjusted for other variables (**Table 3**). Over 80% of these EC patients have tobacco (N=733) and alcohol (N=703) consumption, and about

Variables

NDC (SDC N-55) Dick for matachronous MDC (before EC N-74)

variables	genotype	genotype	genotype	chotype no		IFO (IN=55)		0 (510, 11-55)	Hisk for metachionous wir o (	
			adjusted OR (95% CI)	*p-value	adjusted OR (95% CI)	*p-value	adjusted OR (95% CI)	*p-value		
rs1048943	тт	469	1		1		1			
<u>CYP1A1</u>	СТ	318	0.80 (0.44-1.46)	0.472	1.12 (0.63-1.99)	0.694	1.36 (0.81-2.27)	0.243		
	CC	54	1.07 (0.35-3.22)	0.909	1.20 (0.40-3.60)	0.747	1.44 (0.53-3.91)	0.471		
rs1229984	TT	323	1		1		1			
ADH1B	тс	316	1.00 (0.51-1.95)	0.999	1.72 (0.88-3.37)	0.114	1.26 (0.71-2.22)	0.426		
	CC	199	1.23 (0.60-2.54)	0.573	1.85 (0.89-3.87)	0.102	1.05 (0.54-2.03)	0.896		
rs17655	CC	219	1		1		1			
ERCC5	GC	405	1.24 (0.61-2.51)	0.551	2.42 (1.14-5.16)	0.022	2.61 (1.24-5.48)	0.011		
	GG	216	1.07 (0.47-2.43)	0.873	1.12 (0.44-2.84)	0.806	2.63 (1.18-5.86)	0.018		
rs671	GG	228	1		1		1			
ALDH2	GA	599	2.09 (0.95-4.59)	0.068	1.52 (0.74-3.13)	0.253	1.00 (0.57-1.77)	0.992		
	AA	17	7.55 (1.24-45.90)	0.028	4.30 (0.80-23.19)	0.090	0.98 (0.12-8.29)	0.984		
rs2239751	AA	386	1		1		1			
CISH	CA	358	1.67 (0.87-3.18)	0.122	0.72 (0.39-1.33)	0.291	0.91 (0.53-1.54)	0.712		
	CC	100	3.25 (1.49-7.11)	0.003	1.46 (0.67-3.16)	0.342	1.31 (0.62-2.74)	0.480		

n. Biok for oursebrenous MBC (N-55) Biok for

\*adjusted for age, gender, tumor site, histology, chewing, drinking, and smoking.

MPC, multiple primary cancer; HNC, head and neck cancer; SPC, second primarycancer; EC, esophageal cancer.

Bold values indicate statistical significance with a p value less than 0.05.

TABLE 10 | Cumulative effects of risk SNPs for MPC.

Risk genotype No.	No.	Risk for MPC		Risk for HNC		Risk for metachronous MPC (SPC)		Risk for metachronous MPC (before EC)	
		adjusted OR (95% Cl)	*p- value	adjusted OR (95% Cl)	*p- value	adjusted OR (95% Cl)	*p- value	adjusted HR (95% Cl)	*p- value
0	41	1		1		1		1	
1	248	2.37 (0.69-8.14)	0.170	1.33 (0.37-4.76)	0.666	1.29 (0.16-10.64)	0.816	1.62 (0.36-7.32)	0.532
2	376	3.13 (0.93-10.50)	0.065	2.08 (0.60-7.18)	0.248	3.43 (0.45-26.01)	0.232	1.59 (0.36-7.00)	0.544
3 4	156 13	4.05 (1.17-14.03) 40.25 (6.77-239.50)	0.027 <0.001	3.27 (0.92-11.62) 9.75 (1.87-50.84)	0.068 <b>0.007</b>	2.89 (0.36-23.22) 12.57 (1.14-138.8)	0.318 <b>0.039</b>	2.32 (0.50-10.67) 4.76 (0.64-35.48)	0.282 0.128

\*adjusted for age, gender, tumor site, histology, chewing, drinking, and smoking.

Risk genotypes: CISH: rs2239751 CC: ALDH2:rs671 AG/AA: ADH1B: rs1229984 CC. ERCC5:rs17655 GC/GG.

MPC, multiple primary cancer; SPC, second primary cancer; EC, esophageal cancer.

Bold values indicate statistical significance with a p value less than 0.05.

35% of patients (N=299) have betel nut chewing behavior. Since all of these betel nut chewers also had at least one of the two other unfavorable habits, we suggest that the cumulative effect of these dangerous habits is crucial in the incidence of MPC in EC.

ALDH2:rs671 and ADH1B:rs1229984 have been frequently demonstrated to strongly correlate with the risk of EC (11-16). The genotype distribution of ADH1B:rs1229984 also showed no significant difference between the normal Taiwanese and whole East Asian populations (p=0.905); by contrast, there was a significant difference between the EC subjects and the normal Taiwanese population (p<0.001, Table 5). The percentage of ALDH2 deficiency in Taiwan has been ranked number 1 globally, with around 48% of Taiwanese people carrying the variant allele; however, this did not have a statistically significant difference when compared to the whole East-Asian population, according to our analysis (p=0.750). Moreover, the genotype distribution of rs671 was significantly different between our EC subjects and the normal Taiwanese population (p<0.001). Up to approximately 70% of EC subjects carry the GA variant. We further demonstrated that GA carriers had an increased risk of developing HNC (Table 8) and synchronous MPC (Table 9). EC patients carrying the null variant AA also had a significant risk for MPC, especially for synchronous MPC (Table 9). Although alcohol is generally considered to be metabolized in the liver, some studies provide evidence to support the hypothesis that the exposure of alcohol-derived acetaldehyde may occur in the oral cavity since high salivary acetaldehyde was found in ALDH2deficient subjects after drinking alcohol (32, 33). The protective role of ALDH2 against DNA damage induced by acetaldehyde in the esophageal squamous epithelium has also been reported (34). Whether the genetic effect of ALDH2\_rs671 on the development of EC and HNC is mediated by regulating the local carcinogen action of acetaldehyde needs to be clarified by further research.

ERCC5, a single-stranded structure-specific DNA endonuclease, plays an essential role in the nucleotide excision repair machinery. rs17655 is a non-synonymous SNP in the coding region of ERCC5 and causes a 1104 amino acid change from Asp to His (Asp1104His). In our results, rs17655 was not associated with the risk of EC (Table 5). However, heterozygote GC carriers had a significantly increased risk for developing



HNC and metachronous MPC (**Tables 8** and **9**). A previous study revealed that the rs17655 heterozygote carriers exhibited an increased risk of laryngeal cancer among heavy smokers (35). Thus, the function of rs17655 in MPC of EC patients is possibly due to its impaired repair function in response to environmental toxins, which leads to the development of HNC.

We found the novel biomarker CISH:rs2239751 to be significantly associated with MPC in EC patients, especially in combination with other thoracic cancers, particularly lung cancer (Tables 7 and 8). CISH belongs to the family of SOCS proteins, one of the key mechanisms regulating signaling derived from cytokines and growth factors, and plays important antiinflammatory and tumor-suppressive roles (36). Degradation of receptors or associated proteins is one of the mechanisms by which SOCS proteins negatively regulate cytokine signaling or growth factors. CISH has been known to negatively regulate pathways induced by GH, IL-2, IL-3, IL-5, GM-CSF, EPO, and PRL (36). CISH:rs2239751 is a 5'UTR variant in transcript variant-1, which is reportedly correlated with persistent HBV infection (37). The minor allele C has also been found to be associated with susceptibility to tuberculosis in the Chinese Han population (38). The minor allele frequency of rs2239751 among the global population is only about 0.0914 (https://doi.org/10. 1101/531210) This frequency also dramatically increases in the East Asia population to about 0.3356, which is close to the minor allele frequency in our population of EC patients at 0.3330. We also found that patients carrying CC had >5 odds of also having

lung cancer (**Table 9**). Whether CISH:rs2239751 is also correlated with the incidence of lung cancer is worthy of future investigation.

We analyzed the cumulative effect of these MPC risk genotypes and revealed that patients carrying all 4 risk genotypes had over 40-fold and 12-fold increased risks of having MPC and SPC, respectively (Table 10). Although only 1.4% (13 out of 920) of the EC subjects carried 4 risk genotypes, it is a considerable number among cases of esophageal cancer globally (over 500,000/per year, new cases). Furthermore, the ROC curve analysis revealed that the risk genotype had an excellent capability for SPC in the low-risk population, including female patients (AUC=0.875) and those without drinking and chewing habits (AUC=0.810, Figure 2). It is reasonable that the genetic effects were more evident in patients without exposure to unfavorable lifestyle factors since these habit-related human carcinogens greatly impact cancer development and, therefore, probably masked the genetic effects for SPC.

Taken together, the study demonstrated for the first time that a set of risk SNPs, ALDH2:rs671, CISH:rs2239751, ERCC5:rs17655, and ADH1B:rs1229984, have great potential in predicting the incidence of MPC in EC. Genetic testing for these SNP variants would be beneficial for the early diagnosis of SPC. The limitations of the study were as follows: 1) there was no validation cohort, and 2) the lack of clear information to separate ever users and current users based on the use of tobacco, alcohol, and betel nut accurately.

# DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: European Nucleotide Archive, PRJEB41367.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The study was approved by the ethical committee of National Taiwan University Hospital (NTUH, 201803015RIND). The patients/participants provided their written informed consent to participate in this study.

# **AUTHOR CONTRIBUTIONS**

P-WY, M-CL, M-HT, EC, P-JL, and J-ML provided the concept and design of the study. P-WY and M-CL performed the literature search and analyzed the data. P-WY, M-CL, and J-ML wrote the

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manuscript. EC, P-JL, and J-ML revised the manuscript. P-WY and M-CL performed the experiments. P-JL, C-PW, T-CC, C-NN, JC, M-SH, P-JL, and J-ML provided clinical research resources. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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