



Estimating maximal microbial growth rates from cultures, metagenomes, and single cells via codon usage patterns

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Maximal growth rate is a basic parameter of microbial lifestyle that varies over several orders of magnitude, with doubling times ranging from a matter of minutes to multiple days. Growth rates are typically measured using laboratory culture experiments. Yet, we lack sufficient understanding of the physiology of most microbes to design appropriate culture conditions for them, severely limiting our ability to assess the global diversity of microbial growth rates. Genomic estimators of maximal growth rate provide a practical solution to survey the distribution of microbial growth potential, regardless of cultivation status. We developed an improved maximal growth rate estimator and predicted maximal growth rates from over 200,000 genomes, metagenome-assembled genomes, and single-cell amplified genomes to survey growth potential across the range of prokaryotic diversity; extensions allow estimates from 16S rRNA sequences alone as well as weighted community estimates from metagenomes. We compared the growth rates of cultivated and uncultivated organisms to illustrate how culture collections are strongly biased toward organisms capable of rapid growth. Finally, we found that organisms naturally group into two growth classes and observed a bias in growth predictions for extremely slow-growing organisms. These observations ultimately led us to suggest evolutionary definitions of oligotrophy and copiotrophy based on the selective regime an organism occupies. We found that these growth classes are associated with distinct selective regimes and genomic functional potentials.

microbial growth | oligotrophy | copiotrophy | codon usage bias

The growth rates of prokaryotes vary widely, with doubling times ranging from under 10 min for laboratory-reared organisms (1) to several days for oligotrophic marine organisms (2, 3) and even as high as many years for deep subsurface microbes (4–6). Even under optimal nutrient conditions and in the absence of competition, species will vary in their maximal potential growth rates as a function of their ability to rapidly synthesize cellular components and replicate their genomes (7–10). Broad lifestyle differences can be detected across habitats, with many oligotrophic marine systems harboring slow-growing organisms relative to nutrient-rich habitats like the human gut (9, 11). Yet, optimal, or even adequate, culture conditions for the majority of prokaryotic organisms are unknown (12, 13), making it difficult to assess the true diversity of microbial maximal growth rates. Although growth media for some species can be predicted based on their phylogeny (14), cultivation is laborious and impractical in a high-throughput manner for many ecosystems such as deep sea waters. Moreover, as we show here, even comprehensive culturing efforts targeted at a specific ecosystem (e.g., the human gut) tend to be biased toward fast-growing members of the community. By estimating maximal growth rates directly from environmentally derived sequences, it may be possible to build a comprehensive and unbiased snapshot of microbial growth across different habitats.

A beacon of hope, maximal growth rates predicted using genome-wide codon usage statistics (9), appear to capture over-

all trends in the growth rates of natural communities (15). Because the genetic code is degenerate, genes may vary in their usage of alternative codons for a given amino acid. Highly expressed genes demonstrate a biased usage of alternative codons, optimized to cellular transfer RNA (tRNA) pools (16–21). Vieira-Silva and Rocha (9) showed that among several possible genomic indicators of growth (e.g., ribosomal RNA [rRNA] copy number and proximity to the origin of replication, tRNA copy number, etc.), high codon usage bias (CUB) in genes coding for ribosomal proteins and other highly expressed genes is the best predictor of high maximal growth rates and can be used to make accurate predictions even with partial genomic data. Their growthpred software leverages this bias to predict maximal growth rates from genomic data (9).

We extend the work of Vieira-Silva and Rocha (9) by assessing additional dimensions of codon usage (20, 22). In doing so, we are able to substantially improve our predictive performance. Additionally, we provide a correction based on species abundances to the method when applied to bulk community data from metagenomes, an important but previously neglected correction. Together, we provide an implementation of these methods in an R package (gRodon). Using our method, we assay growth rates in over 200,000 prokaryotic genomes (23–25), including representative reference genomes, environmentally derived metagenome-assembled genomes [MAGs (26–30)], and

Significance

Despite the wide perception that microbes have rapid growth rates, many environments like seawater and soil are often dominated by microorganisms that can only grow very slowly. Our knowledge about growth is necessarily biased toward easily culturable organisms, which tend to be those that grow fast, because microbial growth rates have traditionally been measured using laboratory growth experiments. However, how are potential growth rates distributed in nature? Using genomic data, we predicted the growth rates of over 200,000 organisms, including many as yet uncultivated species. These data reveal how current culture collections are strongly biased toward fast-growing organisms. Finally, we noticed a bimodal distribution of maximal growth rates, suggesting a natural division of microbial growth strategies into two classes.

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single-cell amplified genomes [SAGs (31, 32)], in order to survey the natural diversity of prokaryotic growth rates. We provide this comprehensive set of over 200,000 predictions as a compiled database of estimated growth rates (estimated growth rates from gRodon online [EGGO]). This database reveals a strong bias in existing reference genomic databases toward fast-growing organisms. Finally, we observe a bias in growth predictions for slow-growing organisms, ultimately leading us to suggest an evolutionary definition of oligotrophy based on the selective regime an organism occupies.

Results and Discussion

Predicting Maximal Growth Rates.

More than one aspect of codon usage is associated with growth.

We measured three features of codon usage: 1) the CUB of a user-defined set of highly expressed genes relative to an expectation calculated from the genome-wide codon usage pattern (20), 2) the CUB of highly expressed genes relative to an expectation calculated from the codon usage pattern of highly expressed genes only, and 3) the genome-wide codon pair bias (22). Details of these calculations are in *Materials and Methods*. In practice, we take the set of highly expressed genes to be those coding for ribosomal proteins because these genes are expected to be highly expressed in most organisms (9). The first measure captures CUB in the classical sense, and the measure independent of length and composition (MILC) metric we use (20) controls for overall genome composition as well as gene length. The second measure captures the “consistency” of bias across highly expressed genes, with the intuition that if highly expressed genes are optimized to cellular tRNA pools, then they will share a common bias (low values indicate high consistency). This quantity can be thought of as the “distance” between highly expressed genes in codon usage space, where we expect these genes to be close together when they are highly optimized for growth. The third measure, codon pair bias, captures associations between neighboring codons, which have been suggested to impact translation (22, 33, 34). Specifically, it has been shown that altering the frequency of different codon pairs (but not the overall codon or amino acid usage) can lead to lower rates of translation, and this strategy has been used to produce attenuated polioviruses [potentially to engineer novel vaccines (22)]. Because it is much

more difficult to accurately estimate pair bias due to the large number of possible codon pairs, we do so on a genome-wide scale, calculating pair bias over all genes rather than just for highly expressed genes (our R package includes a “partial” mode for when this is not possible due to partial genomic information). Consider that if there are 64 codons, the number of possible ordered pairs is 4,096, and accordingly, far more data will be needed to reliably estimate the frequencies of all of these pairs than the original set of codons.

We fit our model using all available completely assembled genomes in RefSeq (1,415) for the set of 214 species with documented maximal growth rates compiled by Vieira-Silva and Rocha (9). All three of these measures were significantly associated with growth rate in a multiple regression (CUB, $P = 2.2 \times 10^{-37}$; consistency, $P = 8.1 \times 10^{-15}$; codon pair bias, $P = 5.3 \times 10^{-6}$; linear regression). Furthermore, comparing nested models, incorporating first CUB, then consistency, and finally, codon pair bias, we found that each nested model fit the data significantly better than the last (addition of consistency, $P = 4.2 \times 10^{-11}$; addition of codon pair bias, $P = 4.0 \times 10^{-6}$; likelihood ratio test).

gRodon accurately predicts maximal growth rates. The gRodon model fit the available maximal growth rate data well (adjusted $R^2 = 0.63$) (Fig. 1A). Our model demonstrated a significantly better fit to growth data than a linear model fit on the output of growthpred (ANOVA, $P = 1.1 \times 10^{-8}$) (SI Appendix, Fig. S1). Notably, gRodon provided a better fit to the data than growthpred at both high and low growth rates (SI Appendix, Fig. S2).

We considered the possibility of overfitting our model to the data, which would inhibit our ability to apply our predictor to new datasets. Overfitting is a particularly relevant concern when dealing with species data since models may end up being fit to underlying phylogenetic structure rather than real associations between variables. In addition to traditional cross-validation (SI Appendix, Fig. S1A), we implemented a blocked cross-validation approach, which effectively controls for phylogenetic structure when estimating model error (35). Under this framework, we take each phylum in our dataset as a fold to hold out for independent error estimation rather than holding out random subsets of our data as in traditional cross-validation. We found that even when predicting growth rates for each phylum in this way

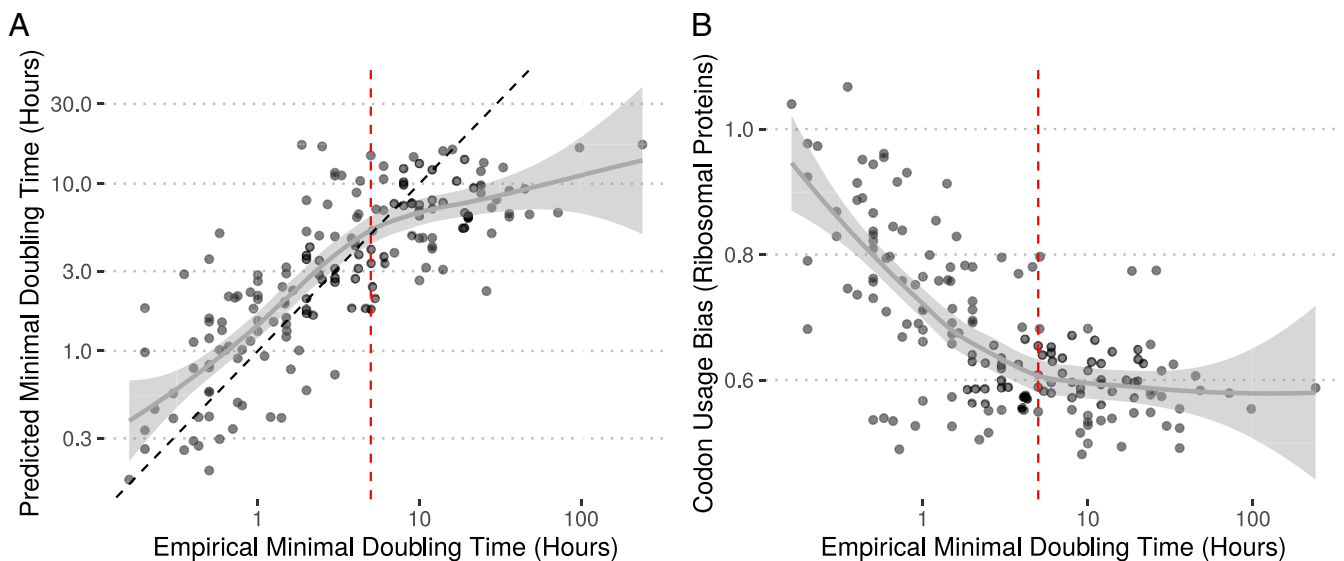


Fig. 1. Predictions from gRodon accurately reflect prokaryotic growth rates, with the caveat that (A) gRodon underestimates doubling times when growth is very slow due to (B) a floor on CUB reached in slow-growth regimes. Vertical dashed red lines at 5 h indicate where the CUB vs. doubling-time relationship appears to flatten. The black dashed line in A is the $x = y$ reference line.

(extrapolating from our model fit to all other phyla but excluding the test phylum), we outperformed growthpred's predictions for the large majority of phyla (*SI Appendix, Fig. S1B*). Importantly, for this comparison, growthpred's predictions were based on its fit to the entire dataset (including the test phylum), meaning that gRodon was able to outperform growthpred even when given an unfair disadvantage.

We examined a number of confounding variables that could affect model performance. Observed codon statistics are the result of several interacting evolutionary forces. Selection for rapid growth drives the signal we exploit here, but the effective population size (N_e) and the rate of recombination will determine how efficiently selection acts on a given population (36). We found that N_e is correlated with maximal growth rate [as might be expected (37)], as well as our model residuals (*SI Appendix, Fig. S3*), although the effect is rather weak. For populations with extremely atypical effective population sizes (e.g., intracellular symbionts), we caution that N_e is likely to confound genomic growth rate estimates. Recombination locally increases the efficiency of selection and can lead to weak but significant patterns in guanine–cytosine (GC) content along the genome (38, 39). We found no apparent differences in CUB between genes with or without a signal of recombination, both looking at all genes in a genome (*SI Appendix, Fig. S4*) and just the ribosomal proteins (*SI Appendix, Fig. S5*). Finally, especially in oligotrophic marine environments, many microbes experience selection for genome streamlining (high-percentage coding sequence) alongside selection for low genomic GC content (40, 41). While our measures of codon usage should correct for genome nucleotide composition, we wanted to be sure our model's performance was not affected by these other targets of selection. While percent coding sequence does appear to have some nonlinear association with growth rate, our model residuals were not affected by either percent coding sequence or GC content (*SI Appendix, Fig. S6*). This is consistent with previous work showing that CUB-based approaches can predict growth rates in low-nutrient marine microcosms (15).

We also assessed the impact of our training set on gRodon's predictions. The original set of minimal doubling times from Vieira-Silva and Rocha (9) was a carefully hand-curated dataset compiled specifically for this application but includes only a subset of available recorded doubling-time estimates for cultured microbes. Unfortunately, there is no single database describing all known microbial growth rates, but recent work has attempted to compile all available microbial phenotypic data (42), including data on growth rates. We retrained gRodon on the growth rates associated with microbes with completely assembled genomes in the Madin et al. (42) database (464 species with 8,287 genomes). The retrained model yields very similar results to the original gRodon model (*SI Appendix, Figs. S7 and S8*), despite the two training datasets disagreeing on the maximal growth rates of several species (*SI Appendix, Fig. S7*). We include this alternative model in the gRodon package alongside the model trained only on the Vieira-Silva and Rocha (9) dataset and include predictions from both models for each entry in the EGGO database.

Prediction from metagenomes. We implemented a species abundance correction for metagenomes that allows for more accurate prediction of bulk community-wide average maximal growth rates from metagenomes (*SI Appendix, Text S1 and Figs. S9–S12*).

The problem of slow growers. For very long doubling times, while gRodon outperforms growthpred it still tends to underestimate the actual doubling time (Fig. 1A and *SI Appendix, Fig. S1A*). In populations of very slow-growing microbes, selection to optimize transcription of ribosomal proteins is likely quite low, and after the selective coefficient is low enough, drift will dominate the evolutionary process. This expectation is consistent with

the pattern seen in Fig. 1B where CUB of the ribosomal proteins reaches a floor at very high doubling times. Importantly, this floor will likely be a problem for many genomic predictors of maximal growth rate where evolutionary optimization is limited by stochastic fluctuations due to drift when selective coefficients are small (43). What can be done in such a scenario? While gRodon cannot accurately differentiate between a doubling time of 10 or 100 h, it can reliably tell us if a doubling time is greater than 5 h long (the threshold at which CUB flattens in Fig. 1B; *SI Appendix, Fig. S13*). Obviously the degree of CUB will vary to some degree across species and populations for reasons unrelated to growth rate (e.g., as local population size, population structure, selective regimes, recombination rates, etc. vary), but our predictor appears to be largely robust to most confounders (*SI Appendix, Figs. S3–S6*), and without additional information, 5 h serves well as a pragmatic default. In fact, this threshold suggests a natural definition of an oligotroph as an organism for which selection for rapid maximal growth is low enough so that no signal of growth optimization (e.g., CUB) is observed (discussed in *Proposed Evolutionary Definitions of Oligotrophy and Copiotrophy*).

The EGGO Database. We constructed a database (EGGO) (Table 1) (44–49) of predicted growth rates from 217,074 publicly available genomes, MAGs, and SAGs. Of these, the majority corresponded to RefSeq genome assemblies [184,907 (23, 24)]. The distribution of growth rates across RefSeq was roughly bimodal, with the split between peaks corresponding to the 5-h doubling-time cutoff we proposed above for classifying oligotrophs (Fig. 2A). Additionally, phyla tended to broadly group together in terms of growth rate, and the 5-h divide separated fast- and slow-growing phyla (Fig. 2B and C). Using a Gaussian mixture model, we obtained two large clusters of microbes, with mean doubling times of 2.7 and 7.9 h, respectively, roughly corresponding to our proposed copiotroph/oligotroph divide (Fig. 2A). We also obtained a third very small and slow-growing cluster, accounting for 0.4% of observations with a mean minimal doubling time of 99 h (too small to plot in Fig. 2A).

We note that this large database of predicted growth rates has many potential applications, including the propagation of growth predictions to microbial taxa on the basis of their 16S rRNA sequence alone (*SI Appendix, Text S2 and Figs. S15–S17*).

Environmentally derived genomes reveal strong culture biases and ecological insights. MAGs and SAGs make up a sizable portion of our overall database (26,490) and provide important information about the distribution of growth rates of uncultured organisms. A basic expectation is that cultured microbes from an environment will on average have higher maximal growth rates than the true average across that environment since culturing slow-growing species will in general be more difficult (12, 50). This pattern can be clearly seen in both marine (Fig. 3A and B and *SI Appendix, Fig. S18*) and host-associated (*SI Appendix,*

Table 1. Summary of EGGO database

Source	Type	No. of genomes	Environment
RefSeq Assemblies (23)	Isolate	184,907	—
Parks et al. (26)	MAG	7,287	—
GORG-tropics (32)	SAG	7,214	Marine surface
Tully et al. (27)	MAG	2,266	Marine
Delmont et al. (44)	MAG	809	Marine
MarRef (45)	Isolate	725	Marine
Pasolli et al. (46)	MAG	4,431	Human microbiome
Nayfach et al. (47)	MAG	4,483	Human gut
Poyet et al. (48)	Isolate	3,459	Human gut
Zou et al. (49)	Isolate	1,493	Human gut

GORG-tropics, Global Ocean Reference Genomes Tropics.

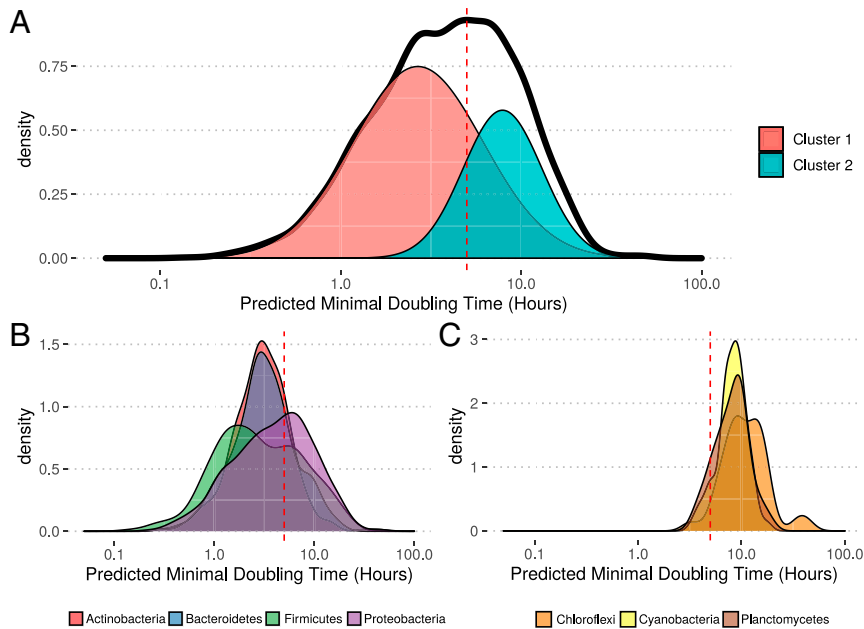


Fig. 2. Prokaryotes with sequenced genomes span a broad range of predicted growth rates. (A) Predicted growth rates for assemblies in NCBI's RefSeq database. Growth rates were averaged over genera to produce this distribution since the sampling of taxa in RefSeq is highly uneven (*SI Appendix, Fig. S14* has full distribution) (a small number of genera had inferred doubling times over 100 h, 6 of 2,984). Clusters correspond to the components of a Gaussian mixture model, with area under each curve scaled to the relative likelihood of an observation being drawn from that cluster. (B and C) Growth rate distributions for individual (B) fast- and (C) slow-growing phyla (only showing phyla with ≥ 30 genera represented in RefSeq). Vertical dashed red lines in A–C are at 5 h for reference.

Fig. S19) environments, with isolate collections showing much shorter predicted doubling times than MAGs and SAGs from the same environments. Even in sets of isolates meant to capture the complete taxonomic diversity in an environment (48, 49), we see that they fail to capture the most slowly growing members of the community (*SI Appendix, Fig. S19*). Illustrating this gap is important, as it shows how existing culture collections are not only incomplete but also biased. These patterns are most apparent when looking within an environment and largely disappear when comparing against MAGs from diverse environments (*SI Appendix, Fig. S20*) (26).

For marine environments in particular, where oligotrophic organisms are prevalent, the existing set of fully sequenced isolates [MarRef (45)] does a poor job of representing the natural distribution of growth rates among taxa (*Fig. 3 A and B*). We found that these biases are not attributable to simple taxonomic biases in the genomic database. Using phylogenetic logistic regression, we found that whether or not we classify an organism as a copiotroph ($d < 5$) (*Proposed Evolutionary Definitions of Oligotrophy and Copiotrophy*) has a positive impact on whether that strain is represented by a fully sequenced isolate (*Fig. 3C*) ($\beta = 1.0$, $P = 7.3 \times 10^{-5}$). This relationship is robust to removal of individual species and entire phyla from the dataset (*Fig. 3D and E*), as well as to sample size (*Fig. 3F*) and phylogenetic uncertainty (*SI Appendix, Fig. S18*). Thus, slow-growing marine organisms are less likely to be represented among completely sequenced genomes than fast-growing organisms, regardless of phylogenetic group. We found the same general pattern in the human gut, although our analysis had less power due to the small number of slow-growing organisms in the gut ($\beta = 1.8$, $P = 0.012$) (*SI Appendix, Fig. S19*).

The strong bias shown in *Fig. 3 A and B* and *SI Appendix, Fig. S19 A and B* serves to illustrate how important methods for genome-based phenotype prediction are for understanding natural microbial systems. With this in mind, we note that there are many potential use cases for gRodon and the EGGO database,

especially when studying subsets of microbes for which additional metadata are available. For example, the very largest cells in marine samples seem to also be those with the highest maximal growth rates (Fisher's exact test, $P = 2.2 \times 10^{-15}$) (*SI Appendix, Fig. S21*). This is consistent with the “nutrient growth law” coined by Schaechter et al. (51), which describes a simple exponential relationship between bacterial cell volumes and their growth rates. In contrast, a similar analysis of growth rate vs. cell size using data from a trait database and cultured isolates (42) was unable to find any association between cell size and growth rate (*SI Appendix, Fig. S22*). Because maximal growth rate is a basic parameter of microbial lifestyle (10), gRodon and EGGO allow us to build better large-scale comparative studies linking specific traits and habitats to particular microbial life histories.

Proposed Evolutionary Definitions of Oligotrophy and Copiotrophy.

Codon usage may be optimized to promote either translational accuracy, efficiency, or more likely, some combination of the two (21, 52–57). In particular, the strong relationship between maximal growth rate and CUB is thought to be a product of optimization for translational efficiency (9, 52, 56, 58). Our results indicate a clear divide among prokaryotes, where an organism either does or does not experience selection on codon usage to optimize translational efficiency (*Fig. 1B*). We use this division as the basis for an evolutionary definition of oligotrophy and copiotrophy—defining an oligotroph as an organism for which selection for rapid maximal growth is weak enough so that translation efficiency is not optimized by selection on codon usage (and a copiotroph as an organism that does experience optimization of translation efficiency). This grouping was supported by clustering growth predictions from all organisms in RefSeq (*Fig. 2*), where we saw two groups naturally emerge with the boundary between them at a doubling time of approximately 5 h, consistent with the CUB optimization cutoff in *Fig. 1B*.

To illustrate our point that oligotrophy and copiotrophy correspond to two distinct selective regimes, we devised a test

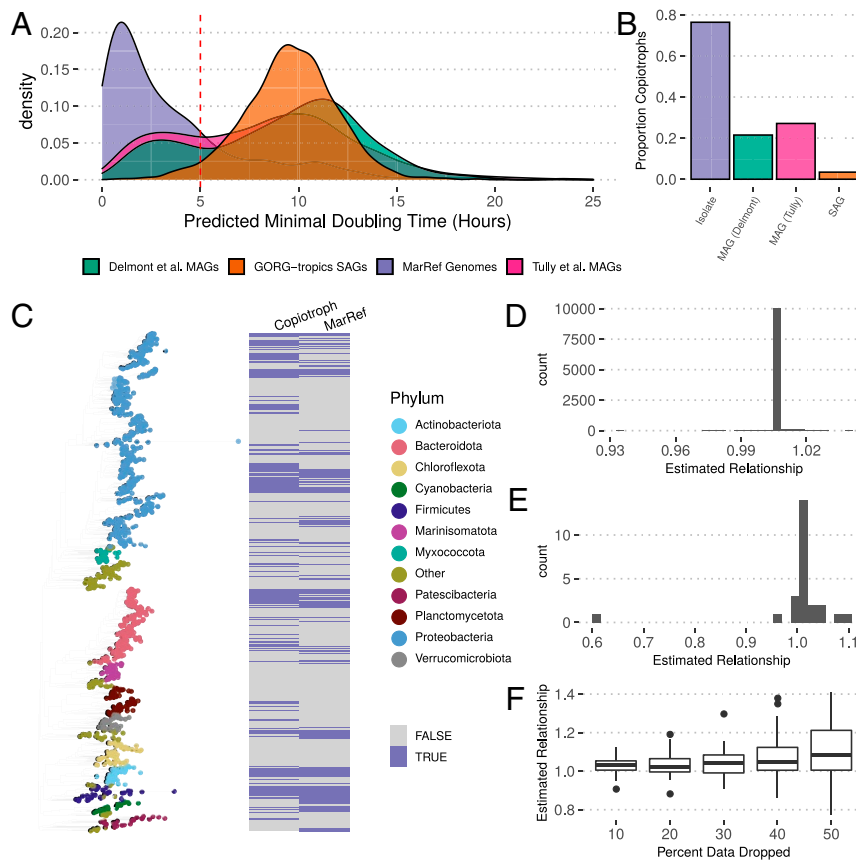


Fig. 3. Predicted maximal growth rates in marine environments. Observe that (A and B) genomes from fully sequenced isolates (MarRef) have shorter predicted doubling times on average than MAGs (from the Global Ocean Reference Genomes Tropics [GORG-tropics] database) and SAGs and fail to capture the slow-growing fraction of the community. Additionally, SAGs showed a lower overall growth rate than MAGs, with very few doubling times predicted to be under 5 h, likely due in part to how SAGs were sampled (only at the ocean surface rather than at multiple depths). MAGs generated by distinct research groups showed surprisingly consistent maximal growth rate distributions. (C) Fully sequenced isolates from MarRef are more likely to be copiotrophs ($d < 5$), independent of phylogeny. The tree shown includes one tip sampled per genera, and the corresponding heatmap summarizes whether the mean doubling time was less than 5 h for that genus and whether any representatives of that genus are represented in MarRef (full dataset used for analysis, genus-level summary for visualization only). Phylogenetic logistic regression between isolate status and copiotrophy is robust to (D) the removal of individual species from the analysis, (E) the removal of entire clades from the analysis (the removal of Proteobacteria, the most abundant phylum in the dataset, leads to a weaker but still positive relationship), and (F) the removal of large fractions of the data (up to 50%).

for selection to compare the strength of purifying selection on synonymous polymorphisms in highly expressed genes across species. Our test is similar to the dN/dS statistic, which compares rates of nonsynonymous and synonymous substitution and uses the rate of synonymous substitution (dS) as a null expectation for neutral evolution [although, e.g., ref. 59 has a more developed discussion of the dN/dS statistic]. For highly expressed genes, where we expect strong purifying selection on codon usage to maintain high translational efficiency, we also expect the rate of synonymous substitution (dS_{HE}) to be reduced relative to the overall rate of synonymous substitution across the genome. Thus, we define a genome-wide statistic, dS_{HE}/dS , which describes the degree of selection at synonymous sites in highly expressed genes relative to the rest of the genome. We predict that this statistic will be significantly lower among copiotrophic organisms as compared with oligotrophic organisms. Using a large database of closely related organisms [Alignable Tight Genomic Clusters (ATGC) database (60)], we made nearly 60,000 pairwise comparisons between organisms to calculate dS_{HE}/dS . Using our 5-h doubling-time cutoff, there was a clear difference in selection between copiotrophs and oligotrophs (*SI Appendix, Fig. S23*) ($P < 2 \times 10^{-16}$, Mann–Whitney U test), where copiotrophs had evidence for stronger purifying selection at synonymous sites in highly expressed genes relative to the rest of the genome

($dS_{HE}/dS = 0.74$), but oligotrophs showed little evidence for optimization of highly expressed genes ($dS_{HE}/dS = 0.98$). As an important caveat, our test could be confounded by differences in mutation rate across the genome, and if mutation rate is negatively correlated with expression level, we might expect similar results to those shown here even in the absence of selection (assuming that genes encoding highly expressed genes are, in general, even more highly expressed in copiotrophs than oligotrophs). In fact, the opposite is likely true, as it has been shown across diverse organisms that mutation rate increases locally with expression level (61–66). Thus, if anything, differences in mutation rate are probably masking an even stronger difference in the dS_{HE}/dS statistic between copiotrophs and oligotrophs than what we see here.

Importantly, our classification redefines copiotrophy and oligotrophy in evolutionary terms, as a specific selective regime that a microbe can occupy. In population genetic terms, we define oligotrophs as existing in a selective regime under which selection on translational efficiency is low enough such that $s \ll \frac{1}{N_e}$. The boundaries of oligotrophy, in our view, are thus defined both by the selective coefficient (s) and the effective population size (N_e) of a species (as illustrated by the effects of N_e on our model residuals above). Using proxies for s and N_e , we found that our dS_{HE}/dS statistic was negatively correlated with sN_e

as expected, particularly in copiotrophs (*SI Appendix, Fig. S23*). More broadly, it appears that at typical N_e values for microbes [$\sim 10^8$ (37)] (*SI Appendix, Fig. S3*), codon optimization levels off for maximal doubling times greater than 5 h (Fig. 1*B* and *SI Appendix, Fig. S13*). Even for *Prochlorococcus marinus*, which may have very large effective population sizes [$> 10^{13}$ (67) over a well-mixed marine region, although some estimates of *Prochlorococcus* N_e are much lower at $\sim 10^8$ (37)], growth rates were severely underestimated, although still above our 5-h threshold (predicted doubling time of 6.2 h vs. an actual doubling time of 17 h for strain CCMP1375). This would seem to indicate that for slow-growing organisms like *Prochlorococcus*, there is essentially no selective advantage to optimizing translational efficiency via codon usage ($s \approx 0$).

Functional differences between copiotrophs and oligotrophs. We recognize that our evolutionary definition of copiotrophy/oligotrophy complicates an already murky set of definitions. The terms “oligotroph” and “copiotroph,” as used in the literature, typically conflate two features of microbial lifestyle: resource use and growth rate (41). Giovanni et al. (41) differentiate the classical definition of oligotrophs and copiotrophs as organisms that grow at low and high nutrient concentrations, respectively, from the more general ecological classes of r and K strategists, which are specialized for either rapid, opportunistic growth or slow and steady growth, respectively (68). Giovanni et al. (41) emphasize that these nutrient and growth rate definitions need not overlap (not all organisms specialized for growth

at high nutrient concentrations must grow quickly), yet theory predicts that, given a rate vs. yield trade-off in adenosine triphosphate (ATP) production, high-resource environments should favor more energetically wasteful but faster growth due to increased competition (69). Thus, we generally expect opportunistic and rapid but wasteful ATP production in nutrient-replete environments vs. slow but relatively energy-efficient ATP production in nutrient-limited environments. Therefore, a natural expectation is that slow- and fast-growing organisms should have distinct resource acquisition strategies aligned to these general niche types (7).

In fact, we found that organisms belonging to our two naturally defined growth rate clusters (Fig. 2), which we refer to here as copiotrophs and oligotrophs, had distinct genomic content reflecting two alternative microbial lifestyles. Gene families involved in transcription and carbohydrate transport and metabolism were strongly overrepresented on copiotroph genomes relative to oligotrophs, corresponding to an overall strategy of rapid acquisition of nutrients and protein production (Fig. 4*A*). Gene families involved in energy production and conversion and replication, recombination, and repair were overrepresented on oligotroph genomes, corresponding to an overall strategy of energy production and cell maintenance (Fig. 4*A*). In all, 13 major classes of genes [as defined by the Clusters of Orthologous Genes (COG) database (70)] were significantly differentially enriched on copiotroph or oligotroph genomes. Moreover, many individual gene families were far more

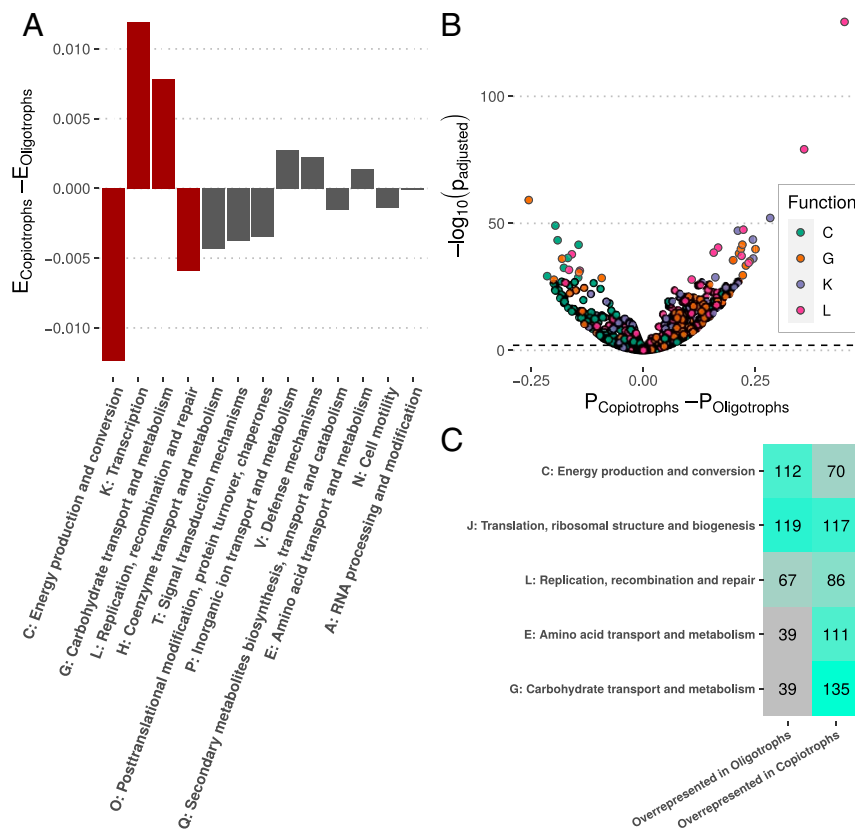


Fig. 4. Copiotroph and oligotroph genomes are enriched for different functions. (A) The difference between the average proportion of genes in copiotroph genomes ($E_{\text{Copiotrophs}}$) and the average proportion of genes in oligotroph genomes ($E_{\text{Oligotrophs}}$) assigned to various classes of genes [COG classifications from eggnoGmapper (107)]. Positive numbers indicate a functional class is enriched as a percentage of total genes in copiotrophs relative to oligotrophs, and negative values are the opposite. Only significantly differentially enriched classes are shown (with red bars emphasizing classes with larger differences). (B) Volcano plot showing differential prevalence across copiotroph ($P_{\text{Copiotrophs}}$) and oligotroph ($P_{\text{Oligotrophs}}$) genomes of specific gene families belonging to the most differentially enriched gene classes. (C) Table of differentially prevalent gene families from the most commonly differentially prevalent classes (*SI Appendix, Fig. S24* has a full table).

prevalent among copiotroph or oligotroph genomes (Fig. 4 *B* and *C* and *SI Appendix*, Fig. S24). Notably, gene families involved in the transport and metabolism of carbohydrates and amino acids were frequently more prevalent among copiotrophs. At the same time, many gene families involved in energy production and conversion were more prevalent among oligotrophs. We also specifically searched for carbohydrate-active enzymes in our two classes of genomes (71) and found that copiotrophs were greatly enriched for these gene families, specifically those involved in breaking down carbohydrates (glycoside hydrolases and polysaccharide lyases) (*SI Appendix*, Fig. S25).

Many individual gene families classified as functioning in cellular defense [COG group V (70)] were more prevalent among oligotrophs (*SI Appendix*, Fig. S24), even as the total percentage of genes in the genome related to defense did not differ a great deal between copiotrophs and oligotrophs (Fig. 4). A closer look at the types of defense genes found in these two groups of organisms revealed stark differences. The majority of defense genes that were copiotroph specific appeared to provide resistance to antimicrobials (e.g., bacteriocins, antibiotics) and oxidants (e.g., hydroperoxides), whereas no such genes were oligotroph specific (55 vs. 0%, $P < 3.7 \times 10^{-15}$, Fisher's exact test). Many of these genes were transport proteins (e.g., efflux pumps). Many oligotroph-specific genes, on the other hand, were DNA-binding proteins likely involved in antiviral defense, whereas no such genes were copiotroph specific [containing higher eukaryotes and prokaryotes nucleotide-binding (HEPN) domains, pili twitching motility protein N-terminal (PIN) domains, and helix-turn-helix (HTH) domains (72–75); 24 vs. 0%, $P < 2.4 \times 10^{-4}$, Fisher's exact test]. Similarly, many oligotroph-specific genes were involved in DNA-degrading antiviral defense [e.g., restriction modification systems, CRISPR-Cas systems (76)], whereas few copiotroph-specific genes were (48 vs. 15%, $P < 2.6 \times 10^{-4}$, Fisher's exact test). Thus, it appears that many genes involved in defending against antimicrobials are copiotroph specific, whereas many forms of antiviral defense are oligotroph specific. Altogether, this suggests that copiotrophs and oligotrophs systematically differ in more than just their growth and resource acquisition strategies.

Conclusions

We produced a community resource in the form of a well-documented R package (gRodon) and comprehensive database (EGGO) for predicting and compiling maximal growth rates across prokaryotes. Using these tools, we show how the set of existing cultured isolates does not fully capture the diversity of prokaryotic lifestyles (although notable culturing efforts have filled in significant gaps) (e.g., ref. 3). We are unlikely to overcome these biases easily, as the slow-growing microbes missing from classically derived culture collections are precisely the ones found to be most difficult (and necessarily very time consuming) to grow in the laboratory (e.g., by dilution to extinction methods). Yet, we have their genomes and may be able to extrapolate their traits from microbes that are more easily cultivable. Growth rate is one example where inference of traits from genomes has clear utility, although we emphasize that genome-wide signals may be confounded by other evolutionary and/or demographic processes and that it is important to assess their robustness and limitations, as we have done here.

It is important to recognize that the relationship of the in situ growth rate and the maximal growth rate of an organism is not clear given the cryptic influence of top-down and bottom-up controls at the sampling time. There are any number of reasons why an organism may not reproduce at its physiological maximal rate (e.g., fluctuating habitat quality, dispersal to suboptimal habitats, etc.). Nevertheless, it is encouraging that recent work using natural communities has shown that CUB-based estimators do a reasonably good job of predicting observed instantaneous

growth rates in marine systems (15), even as peak to trough (77–80) methods of estimating growth have been reported to work poorly for marine plankton, with the exception of the most highly abundant copiotrophs (15). Thus, taken together with our benchmarking against nutrient enrichment experiments, the data suggest that CUB-based estimators of maximal growth rate tend to also capture the instantaneous growth rate of a community, likely by approximating the relative proportion of copiotrophs to oligotrophs in a system.

Finally, our analysis of codon usage led us to propose evolutionarily defined growth classes that also align with two distinct functional classes of microbes, with copiotrophs specializing in nutrient acquisition and breakdown and oligotrophs specializing in energy production and cell maintenance (Fig. 4). Environmental resource concentration and growth rate fall along continuous spectra, but microbes appear to fall into two distinct evolutionary regimes in terms of growth optimization (Figs. 1 and 2), corresponding to opposite ends of these spectra. Thus, while in principle oligotrophy and copiotrophy need not correspond to distinct classes and could in fact describe a continuum of life history and resource acquisition strategies, in practice oligotrophs and copiotrophs appear to be discrete groups of organisms.

Materials and Methods

All scripts used to generate figures and analysis, as well as predicted growth rates for various genomic datasets and the full EGGO database, are available at <https://github.com/jlw-ecoevo/eggo>. The gRodon package, including documentation and a vignette, can be downloaded at <https://github.com/jlw-ecoevo/gRodon>. All figures were made using R packages ggplot2 and ggpvr (81, 82).

Model Fitting. For each species with a growth rate listed in the original Vieira-Silva and Rocha dataset [214 (9)], we downloaded all available complete genome assemblies from the National Center for Biotechnology Information (NCBI) RefSeq database [1,415 (23–25)]. For each species, we calculated the mean of each of our three codon usage statistics across all genomes corresponding to that species. Ribosomal protein annotations were taken directly from the annotations generated by NCBI's default prokaryotic annotation pipeline, and these were the ribosomal proteins passed to both growthpred and gRodon. Importantly, growthpred can also search for ribosomal proteins using a provided database, although we did not use this feature so as to make sure the two prediction methods were compared on identical datasets. For initial model fitting, we excluded thermophiles and psychrophiles from the dataset (31) as these organisms systematically differ in their codon usage patterns (9). Similar to growthpred, we include a temperature option fit using these microbes in the final gRodon package that accounts for optimal growth temperature in the final model, although given the few extremophiles used to fit this model, we caution users against drawing strong conclusions when it is applied to extremophiles (by default, temperature is not used for prediction).

We then fit a linear model to Box-Cox-transformed doubling times [optimal λ chosen using the MASS package (83)] using our three codon usage measures as predictors. Similarly, we fit models for gRodon's "partial" (excluding pair bias) and "metagenome" (excluding pair bias and consistency) modes.

For fitting on the Madin et al. (42) training set, we used the same model fitting procedure. We took the minimal recorded doubling time from each species in the "condensed_traits_NCBI.csv" supplementary file (<https://doi.org/10.6084/m9.figshare.c.4843290.v1>) and where possible, obtained all completely assembled genomes associated with that species from RefSeq. This yielded our training set with 464 species matched to 8,287 genomes. Notably, 130 of these species were either thermophiles or psychrophiles, perhaps making this training set preferable when dealing with extremophiles.

The Gaussian mixture model in Fig. 2 was fit using the Mclust() function in the mclust package with default settings (84). Mclust chooses the optimal mixture of Gaussians based on the Bayesian information criterion (BIC) and finds this optimum (for mean and variance) using an expectation maximization algorithm.

Metagenomic Data. The raw sequencing data for the metagenomic water samples taken at the end of the Okie et al. (85) experiments were obtained from NCBI under BioProject PRJEB22811. Raw sequencing data for the

time series samples taken by Coello-Camba et al. (86) were obtained from NCBI under BioProject PRJNA395437. Adapters and low-quality reads were trimmed using fastp v0.21.0 (87) with default parameters, and only reads longer than 30 base pairs (bp) were kept for further analysis. Okie et al. (85) samples were assembled individually using metaSPAdes v3.10.1 (88). Coello-Camba et al. (86) samples were assembled individually using megahit v1.2.9 (89) with default parameters. We called and annotated open reading frames (ORFs) from assemblies using prokka (90) (with options “-metagenome-compliant -fast”). Reads were mapped to ORFs using bwa 0.7.12 (91), and the number of reads aligned to each ORF was counted using bamcov v0.1.1 (available at <https://github.com/fbreitwieser/bamcov>). We ran gRodon in weighted and unweighted metagenome modes on each sample, with weights corresponding to mean coverage depth (corrected for gene length). In weighted metagenome mode, the median CUB of the highly expressed genes is taken as a weighed median (weightedMedian in matrixStats R package), with weights corresponding to mean depth of coverage for that gene. One sample from Coello-Camba et al. (86) had a very atypical estimated average minimal doubling time over twice as long as any other estimated doubling time from this dataset (MG078 at 3.1 h, as compared with the second longest doubling time in MG002 at 1.4 h) and strongly disagreeing with a replicate sample from the same experiment and time point (MG073 at 0.35 h). Upon closer inspection, this sample had far fewer bases than the rest (133 mega-bases vs. > 1 giga-bases), and only a little over 400 genes were detected in the assembly, far too few for accurate assessment of community-wide growth rate, leading us to omit this sample from further analyses.

EGGO Datasets. We downloaded all prokaryotic assemblies from RefSeq (23, 24), as well as several collections of isolate genomes (45, 48, 49), MAGs (27, 46, 47), and SAGs (32). Where possible, we used per-existing gene annotations provided by NCBI. For the Pasolli et al. (46) and Nayfach et al. (47) MAGs, gene predictions were not available, and we used prokka to predict ORFs and annotate ribosomal proteins (90). Note that for both of these MAG datasets, we used a subset of all MAGs designated as being representatives of species clusters by the authors. We then ran gRodon on each genome, using partial mode for MAGs and SAGs (which vary in their completeness). Finally, we filtered results from genomes with few ribosomal proteins. Similar to Vieira-Silva and Rocha (9), we found that growth rates were biased when <10 highly expressed genes were used for prediction (*SI Appendix, Fig. S26*), and we used this cutoff for our MAGs and SAGs. For our isolate genomes, this generally was not an issue, with over 99% of genomes in RefSeq having between 50 and 70 annotated ribosomal proteins. We filtered all genomes outside this range to remove a very small set of obvious problem cases (e.g., one *Bacillus* genome that had over 1,000 annotated ribosomal proteins). The numbers in Table 1 correspond to postfiltering genome counts.

Measuring Bias. We use the MILC measure of CUB (20) implemented in the coRdon R package (92). This bias measure behaves slightly better than the Effective Number of Codons Prime (ENC') measure used by Vieira-Silva and Rocha (9) and automatically accounts for the CUB of genomic background in its calculation (93) [by taking the genome-wide distribution of codons as its expected distribution (20, 92)]. As recommended in the coRdon documentation, genes with fewer than 80 codons were omitted from our calculations. Importantly, we calculate the MILC statistic on a per-gene basis rather than concatenating all of our genes. The contribution (M_a) of each amino acid (a) to the MILC statistic for a gene is calculated as

$$M_a = \sum_{c \in C} O_c \log \frac{O_c}{E_c}, \quad [1]$$

where C is the set of codons coding for a , O_c is the observed count of codon c , and E_c is the expected count of codon c [the original paper has the full calculation of the MILC statistic (20)]. Typically, E_c for a given gene is estimated using the genome-wide frequency of that codon c . This is what we mean when we say that for our CUB measurement, the bias of highly expressed genes is calculated “relative to the genomic background.” For calculating the average CUB, we used the median value in order to reduce the influence of any outliers (i.e., misbehaving ribosomal proteins).

For our consistency calculation, MILC was also used but was calculated using the highly expressed proteins as the expected background (using the “subset” option in coRdon). In other words, we estimated the expected count of a codon, E_c , using the frequency of that codon in highly expressed genes only, rather than the genome-wide frequency. For the consistency metric, we took the mean value across ribosomal proteins (rather than the

median as with CUB) since we are interested in the distance of all ribosomal proteins from the expected codon usage patterns.

For codon pair bias, we implemented the calculation by Coleman et al. (22) that controls for background amino acid and codon usage when estimating the over-/underrepresentation of codon pairs (figure S1 in ref. 22 has a relevant equation).

Population Parameters. We obtained estimates of N_e from ref. 37, which are based on dN/dS ratios (the intuition being that selection acts more efficiently in large populations). Gene-specific recombination rates were obtained by applying the PhiPack (94) program for detecting recombination to the ATGC database of closely related genome clusters (60), as described in Weissman et al. (39).

Classification and Phylogeny. For marine and gut organisms, we classified all genomes, MAGs, and SAGs using GTDB-Tk [v1.3.0 with database release 95 (95, 96)]. For the analyses in Fig. 3C and *SI Appendix, Fig. S19C*, we used the tree output by GTDB-Tk [built automatically using FastTree (97)]. To assess sensitivity to phylogeny, we built a maximum likelihood tree with 10 bootstrap replicates from the GTDB-Tk alignment using RAxML [v8.2.11, with -k -f a -m PROTGAMMAGTR options (98)]. We performed phylogenetic logistic regression using the R package phylolm (99). We then assessed sensitivity to individual species, entire phyla, sample size, and phylogenetic uncertainty using the R package sensiPhy (100). Trees and aligned heatmaps were visualized using R package ggtree (101, 102).

Extrapolating between Closely Related Taxa. For all genomes used to build EGGO, we extracted all annotated 16S rRNA genes; then, we aligned these sequences and removed poorly aligned columns using ssu-align and ssu-mask [default settings (103)]. We then filtered sequences for which less than 80% of positions were accounted for (i.e., were gaps). We ran fast-tree on the resulting alignment [with -fastest, -nt, and -gtr options (97)] to obtain a phylogeny with 192,195 tips representing 60,421 organisms. For phylogenetic prediction of maximal growth rate, we then omitted any tips with EGGO entries where $d > 100$ h (13 tips) to minimize the influence of outliers.

To predict growth rate, we first randomly sampled one tip per organism in our tree (to avoid predicting an organism's growth rate from itself). We then iteratively found the five closest tips to each tip in the tree and took the weighted geometric mean of the growth rates associated with these tips. This gave us our predicted maximal growth rate on the basis of 16S rRNA in *SI Appendix, Fig. S15A*. Weights were calculated as inverse patristic distance, with a small constant added for when organisms had identical 16S sequences (e.g., multiple genomes in EGGO for the same species):

$$w = \frac{1}{\text{distance} + 10^{-8}}. \quad [2]$$

For *SI Appendix, Fig. S17*, the predicted rate was simply taken as the rate associated with the closest tip on the tree. We identified the closest tips using the castor R package (104).

To produce *SI Appendix, Fig. S15B*, we sampled 10,000 tips from our tree and calculated all pairwise distances between tips using the cophenetic.phylo() function in the ape R package (105).

Signals of Selection. We obtained all ATGC clusters of genomes and their core gene alignments (60). We calculated dN/dS and dS values for each aligned gene in each cluster of organisms using PAML [v4.9j (106)]. We restricted our final analysis to pairwise comparisons where $0.01 < dS < 1$ to ensure that organisms had sufficient substitutions to analyze and that the probability of multiple substitutions at the same site was low (i.e., no saturation). To calculate dS_{HE}/dS , we divided the mean dS of all ribosomal genes by the mean core genome-wide dS for each pairwise comparison. Previous work has noted that dS/dN can be used as a proxy measure for N_e since the two values should generally be correlated (37). Additionally, we assume that selection for translation optimization should be proportional to growth rate, such that $s \sim \frac{1}{d}$, in *SI Appendix, Fig. S23 C and D*.

Functional Analysis. For each genus in our RefSeq dataset, we randomly sampled a single genome for functional annotation. We assigned a label of “copiotroph” or “oligotroph” on the basis of whether each genome was predicted to have a minimal doubling time of greater or less than 5 h. We ran eggnoGmapper [diamond setting, v2.0.0 (107, 108)] on each genome to get COG and archaeal COG (arCOG) annotations and a broad annotation of functional class for each ORF (70, 109). We used hmmer v3.3.1 to search the

Carbohydrate-Active Enzyme (CAZy) database against each genome [e-value cutoff 10^{-5} ; CAZy database V9 hidden Markov models (HMMs) packaged with the Automated Carbohydrate-Active Enzyme Annotation database (dbCAN2) (71, 110)]. To assess differences in the enrichment of certain functional classes across copiotrophs and oligotrophs, we performed Mann-Whitney tests with a Benjamini-Hochberg correction ($\alpha = 0.05$). To assess differences in prevalence of individual gene families across copiotrophs and oligotrophs, we performed Fisher's exact tests with a Benjamini-Hochberg correction ($\alpha = 0.05$).

For the analysis of defense genes (considering gene families classified broadly under the "V" COG classification that were significantly differentially prevalent among copiotrophs/oligotrophs), we searched for antimicrobial/oxidant resistance among gene family annotations using the key words "resistance," "transport," "export," "efflux," "beta-lactamase," "chloram-

phenicol," and "hydroperoxide." We searched for DNA-binding/degrading domains using the key words "HEPN," "PIN," and "HTH." Finally, we searched for antiviral defense function using the key words "nuclease," "CRISPR," and "methyl."

Data Availability. All study data are included in the article and/or [SI Appendix](#).

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