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2 **Determinants and Mechanisms of the Low Fusogenicity and Endosomal**
3 **Entry of Omicron Subvariants**
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Summary

The rapid spread and strong immune evasion of the SARS-CoV-2 Omicron subvariants has raised serious concerns for the global COVID-19 pandemic. These new variants exhibit reduced fusogenicity and increased endosomal entry pathway utilization compared to the ancestral D614G variant, the underlying mechanisms of which remain elusive. Here we show that the C-terminal S1 mutations of the BA.1.1 subvariant, H655Y and T547K, critically govern the low fusogenicity of Omicron. Notably, H655Y also dictates the enhanced endosome entry pathway utilization. Mechanistically, T547K and H655Y likely stabilize the spike trimer conformation, as shown by increased molecular interactions in structural modeling as well as reduced S1 shedding. Importantly, the H655Y mutation also determines the low fusogenicity and high dependence on the endosomal entry pathway of other Omicron subvariants, including BA.2, BA.2.12.1, BA.4/5 and BA.2.75. These results uncover mechanisms governing Omicron subvariant entry and provide insights into altered Omicron tissue tropism and pathogenesis.

64 **Introduction**

65 The emergence and rapid spread of the Omicron subvariants of SARS-CoV-2 around the
66 world has caused serious concern about vaccine efficacy because of the large numbers of
67 mutations present in this lineage, with more than 30 substitutions in the Spike (S) proteins (Viana
68 et al., 2022). Indeed, many recent studies have shown that Omicron is resistant to neutralization
69 by antibodies induced by two-dose mRNA vaccination that is greatly restored by booster
70 vaccination (Carreno et al., 2022; Evans et al., 2022; Liu et al., 2022a; Perez-Then et al., 2022;
71 Planas et al., 2022; Xia et al., 2022; Yu et al., 2022). Consequently, Omicron led to a global surge
72 of COVID-19 cases. BA.1.1 and BA.1 were responsible for the initial Omicron wave, but were
73 replaced by the BA.2 subvariant, which was more transmissible and caused reinfection in patients
74 who previously were infected by BA.1 (Marc Stegger et al., 2022). Remarkably, derivatives of
75 BA.2, including BA.2.12.1 which subsequently became predominant in the US, and the BA.4 and
76 BA.5 subvariants bearing identical S proteins (referred to as BA.4/5 hereafter), which are currently
77 dominant in the world, are driving a new surge in cases as both have stronger immune escape
78 ability, especially the BA.4/5 variants (Cao et al., 2022; Hachmann et al., 2022; Qu et al., 2022a;
79 Wang et al., 2022). Despite the gradually improved virus transmissibility observed in these
80 subvariants, they appear to cause milder disease compared to Delta and other previous variants
81 (Diamond et al., 2021; Halfmann et al., 2022; McMahan et al., 2022). The mechanism underlying
82 the reduced pathogenicity of Omicron is currently unclear but has been under intense
83 investigation.

84 The SARS-CoV-2 S protein is a typical class I viral fusion protein that utilizes the cognate
85 receptor angiotensin-converting enzyme 2 (ACE2) for binding and cellular entry (Hoffmann et al.,
86 2020; Zhou et al., 2020). It has a furin cleavage site at the S1/S2 junction of S, which facilitates
87 SARS-CoV-2 entry at the plasma membrane, viral replication in human lung epithelial cells, as
88 well as transmission in animals (Mykytyn et al., 2021; Peacock et al., 2021). As SARS-CoV-2
89 evolves, its S has gained a large number of mutations and possibly undergone recombination,

90 resulting in the emergence of the initial D614G variant and several major variants of concern
91 (VOCs) such as Alpha, Beta, Gamma, Delta, and Omicron, some of which directly or indirectly
92 increase S cleavage to enhance fusogenicity (Escalera et al., 2022; Liu et al., 2022b; Saito et al.,
93 2022). Intriguingly, results from many groups including ours have shown that the Omicron
94 subvariants exhibit substantially impaired cell-cell fusion capacity and tend to use the endosomal
95 entry pathway mediated by cathepsin L/B (Cat L/B), rather than the plasma membrane entry
96 pathway mediated by transmembrane protease serine 2 (TMPRSS2) which is preferred by other
97 previous variants (Du et al., 2022; Meng et al., 2022; Qu et al., 2022b).

98 Currently, the underlying molecular mechanism by which Omicron subvariants use
99 endosomal entry more efficiently than plasma membrane entry is unclear. Herein, we provide
100 evidence that some key mutations in S1 and/or the S1/S2 junction region of BA.1.1 S, such as
101 T547K and H655Y, especially the latter, dictate its intrinsically low fusogenicity and endosomal
102 entry. Mechanically, we find that T547K and H655Y restrict BA.1.1 S-mediated cell-cell fusion
103 possibly through stabilizing the S trimer conformation. Remarkably, H655Y, but not T547K which
104 is only carried by BA.1.1 and BA.1 of Omicron subvariants (Qu *et al.*, 2022a), governs the entry
105 preference and fusion capability of all predominant Omicron subvariants. Together, our results
106 reveal that mutations in S1 around the furin cleavage site of Omicron S critically modulate the
107 unique biology of Omicron entry and are potentially associated with pathogenesis.

108

109 **Results**

110 *Critical amino acid residues dictating the differential entry patterns of Omicron subvariant BA.1.1*
111 *in HEK293T-ACE2 and Calu-3 cells*

112 Previous studies have shown that SARS-CoV-2 entry is cell-type dependent, depending
113 on the efficiency of furin cleavage of the virus S protein and also the levels of TMPRSS2
114 expression on the plasma membrane of target cells (Bestle et al., 2020; Peacock *et al.*, 2021). In
115 low TMPRSS2-expressing 293T-ACE2 and Vero cells, the endosomal entry pathway is

116 predominant; however, in Calu-3 and other cells expressing high levels of TMPRRS2, SARS-
117 CoV-2 primarily uses the plasma membrane for entry (Hoffmann *et al.*, 2020). We hypothesized
118 that mutations at the C-terminus of S1, or near the furin cleavage site of Omicron S, potentially
119 govern its endosomal entry. To test this hypothesis, we created reversion mutations specific to
120 residues T547K, H655Y, N679K, and P681H of the Omicron subvariant BA.1.1 (**Fig. 1a**), and
121 examined their impact on the entry of BA.1.1 into HEK293T-ACE2, HEK293T-ACE2-TMPRSS2
122 and Calu-3 cells using our previously reported HIV lentiviral pseudotyping system (Zeng *et al.*,
123 2020). In parallel, the entry in these cell types was tested for the corresponding forward mutations,
124 i.e., T547K, H655Y, N679K, and P681H made in the backbone of the ancestral D614G construct
125 (**Fig. 1a**). We found that, compared with parental BA.1.1, the reversion mutation Y655H exhibited
126 a profound reduction of entry efficiency in HEK293T-ACE2 (**Fig. 1b**), and in HEK93T-ACE2-
127 TMPRSS2 cells, albeit to a lesser extent (**Fig. 1c**), yet apparently enhanced entry in Calu-3 cells
128 (**Fig. 1d**), suggesting that H655Y is the most critical change in BA.1.1 S that distinguishes its entry
129 in these different cell types. Consistent with this, we observed that the forward mutation H655Y
130 exhibited reduced entry efficiency in Calu-3 cells, but increased entry in 293T-ACE2 and 293T-
131 ACE-TMPRSS2 cells (**Figs. 1e-f**). While similar results were also observed for the BA.1.1 K547T
132 mutation, the impact on entry was not as dramatic as that of H655Y (**Figs. 1b-g**). Surprisingly,
133 two other mutations of BA.1.1, N679K and P681H, which are in close proximity to the furin
134 cleavage site, did not seem to obviously affect viral entry in these cell types (**Figs. 1b-g**).

135

136 *H655Y governs the endosomal entry pathway of BA.1.1 – differential effects of E64d and*
137 *Camostat*

138 Previous studies have shown that SARS-CoV-2 entry in HEK293T-ACE2 cells is
139 predominantly endosomal whereas in Calu-3 cells entry is primarily through the plasma
140 membrane (Peacock *et al.*, 2021). We thus decided to use HEK293T-ACE2-TMPRSS2 cells,
141 which allow entry through both endosomal and plasma membrane routs, to determine the impact

142 of these BA.1.1 mutants on entry in the presence of the endosomal Cat L/B inhibitor E64d or the
143 TMPRSS2 inhibitor Camostat. While BA.1.1 was more sensitive to treatment by E64d but less
144 sensitive to treatment by Camostat compared to D614G, BA.1.1-Y655H was less sensitive to
145 E64d than BA.1.1, with a half maximal inhibitory concentration (IC_{50}) of $> 25 \mu\text{M}$ versus an IC_{50} of
146 $0.4 \mu\text{M}$ for the parental BA.1.1 (**Figs. 2a and 2g**). Additionally, BA.1.1-Y655H was more sensitive
147 to Camostat than BA.1.1, with an IC_{50} of $10.3 \mu\text{M}$ versus $IC_{50} >150 \mu\text{M}$ for BA.1.1 (**Figs. 2b and**
148 **2g**). Convincingly, the forward mutant D614G-H655Y was more sensitive to E64d, with an IC_{50} of
149 $0.8 \mu\text{M}$ versus $23.5 \mu\text{M}$ for the parental D614G (**Figs. 2c and 2g**), but less sensitive to Camostat,
150 with an IC_{50} of $91.3 \mu\text{M}$ versus $11.6 \mu\text{M}$ for the parental D614G (**Figs. 2d and 2g**). Overall, we
151 discovered that the BA.1.1 reversion mutant Y655H had a more than 14.6-fold decreased IC_{50} for
152 Camostat and an over 62.5-fold increased IC_{50} for E64d compared to the parental BA.1.1 (**Fig.**
153 **2g**). Further, the forward mutant H655Y has a 7.9-fold increased IC_{50} for Camostat and a 29.4-
154 fold decreased IC_{50} for E64d relative to the parental D614G (**Fig. 2g**). Of note, the T547K mutation
155 of BA.1.1 did not seem to have a dramatic impact on the sensitivity to these drug treatments (**Figs.**
156 **2a-d, and 2g**).

157 To confirm the above pseudotype lentivirus results in an authentic SARS-CoV-2 system,
158 we engineered the complete BA.1 full length (FL) spike (Pitts et al., 2022) into the infectious cDNA
159 clone of USA-WA1/2020 with mNeonGreen (Viana *et al.*) reporter (Xie et al., 2020) to obtain BA.1-
160 FL mNG SARS-CoV-2 and then generated the individual reversion mutations of spike K547T or
161 Y655H in this background. Using these infectious viruses, we determined the impact of these two
162 mutations on BA.1 entry into Vero-ACE2-TMPRSS2 cells using the inhibitors E64d or Camostat.
163 The results showed that the parental BA.1-FL mNG SARS-CoV-2 was the most sensitive to E64d
164 with an IC_{50} of $19.9 \mu\text{M}$ among the three viruses, followed by BA.1-FLmNG-K547T and then BA.1-
165 FL mNG-Y655H, although these variants were generally much less sensitive to E64d compared
166 to that in 293T-ACE2 cells, with no exact IC_{50} value calculated for the two mutants (**Figs. 2e, 2g**
167 **and S1a**). In contrast, compared to the parental BA.1-FL mNG which was resistant to Camostat

168 treatment with an IC₅₀ of more than 150 μM, BA.1-FL mNG-K547T was sensitive to Camostat with
169 an IC₅₀ of 19.1 μM, a more than 7.9-fold reduction in IC₅₀ relative to BA.1-FL mNG; BA.1-FL mNG-
170 Y655H was more sensitive to Camostat, with an IC₅₀ of 3.7 μM, approximately > 40.5-fold
171 decreased IC₅₀ relative to BA.1.1 FL mNG (**Figs. 2f, 2g and S1b**). These results together
172 indicated T547K and H655Y mutations, especially the latter, greatly contribute to the altered entry
173 preference of BA.1 in target cells. Overall, the results of pseudotyped as well as authentic viruses
174 highlight the essential role of H655Y mutation in dictating the Omicron BA.1 subvariant S-
175 mediated endosomal entry compared to the ancestral D614G, which enters target cells
176 predominantly through the plasma membrane.

177

178 *H655Y critically controls the low fusogenicity of BA.1.1 Spike*

179 To investigate the underlying mechanism of the T547K and H655Y mutations in modulating
180 BA.1.1 entry preference, we examined the role of these two mutants along with N679K, P681H
181 and parental BA.1.1 in S expression and S-mediated membrane fusion. In parallel, the impacts
182 of the corresponding forward mutants were also investigated. Flow cytometric analysis of the S
183 surface expression in HEK293T cells used to produce pseudotyped lentivirus showed that all
184 these reversion mutants had approximately comparable levels of expression to each other and
185 the parental BA.1.1, except for the reversion mutant K547T, which had modestly reduced surface
186 expression (**Figs. 3a-b**), while all the forward mutants had similar levels of expression to D614G
187 (**Fig. S2a-b**). We then conducted syncytia formation assays in HEK293T-ACE2 cells transfected
188 to express GFP and the individual S constructs and observed that K547T and Y655H substantially
189 promoted the S-mediated cell-cell fusion, whereas K679N and H681P had no effect (**Figs. 3c-d**).
190 Surprisingly, while forward mutations H655Y and T547K slightly reduced the D614G S-induced
191 syncytia, two other mutations N679K and P681H enhanced D614G S-mediated fusion (**Figs. S2c-**
192 **d**), similar to some previous reports (Rajah et al., 2021).

193

194 *Reversion of H655Y to Y655H results in increased BA.1.1 S1 shedding*

195 The efficiency of SARS-CoV-2 S cleavage by furin is known to be associated with
196 membrane fusogenicity (Johnson et al., 2021; Saito *et al.*, 2022). We next examined how these
197 mutations might influence BA.1.1 S processing by analyzing the ratio of S1/S and S2/S in viral
198 producer cells and purified viral particles. In cell lysates, while K547T exhibited a slightly
199 increased or comparable ratio of S1/S and S2/S, H681P exhibited a decreased S1/S ratio but not
200 S2/S ratio, Y655H and K679N showed a decreased ratio of both S1/S and S2/S (**Figs. 3e-g**).
201 However, none of the four forward mutants showed obvious change in S1/S1 or S2/S ratios in the
202 cell lysates, except for N679K which exhibited a modestly increased S2/S ratio (**Figs. S3a-c**),
203 suggesting that these four mutations do not appear to significantly modulate BA.1.1 furin cleavage
204 in viral producer cells. Interestingly, we found that all four reversion mutants, most notably Y655H,
205 showed a decreased level of S1 and S2 compared to the parental BA.1.1 in viral particles after
206 being normalized by p24 (**Figs. 3h-j**). Additionally, the corresponding forward mutations T547K
207 and H655Y, especially the latter, substantially increased the S1/p24 and S2/p24 ratios in viral
208 particles relative to D614G (**Figs. S3d-f**). The drastically decreased level of S1 for Y655H in viral
209 particles corresponded to its significantly reduced infectivity in HEK293T-ACE2 and HEK293T-
210 ACE2-TMPRSS2 cells, potentially due to premature inactivation by high level expression of ACE2
211 in these cells, despite apparently increased infectivity in Calu-3 cells (**Figs. 1b-d**). These results,
212 along with the increased fusogenicity of Y655H, suggest that the H655Y mutation in BA.1.1
213 critically governs its low fusion activity and differential entry between HEK293T-ACE2 and Calu-
214 3 cells.

215 The comparable or modestly increased efficiency of furin cleavage in viral producer cells
216 of K547T and Y655H reversion mutants, in contrast to their decreased levels of S1 in the virions,
217 especially Y655H, were indeed surprising, especially given their significantly increased cell-cell
218 fusion activity observed in HEK293T-ACE2 cells. One possibility is that the S1 subunit of these
219 two reversion mutants, especially Y655H, was shed into culture media during virus production.

220 Indeed, we found that, compared to the parental BA.1.1, K547T and Y655H exhibited increased
221 levels of S1 shedding into culture media in the presence of treatment by soluble ACE2 (**Figs. 3k**
222 **and I**). Of note, S1 shedding of two other reversion mutants, K679N and H681P, was decreased
223 compared to the parental BA.1.1, which appeared to correlate with their relatively low furin
224 cleavage (**Figs. 4k and I**). Altogether, these results suggest that the T547K and H655Y mutations
225 in BA.1.1, especially the latter, stabilize the spike conformation, contributing to decreased
226 fusogenicity and entry in Calu-3 cells.

227

228 *H655Y dictates the endosomal entry and low fusogenicity of other Omicron subvariants*

229 As in BA.1.1, all previously or currently circulating Omicron subvariants contain the H655Y
230 substitution, but not T547K (**Fig. S4**). We therefore predicted that the H655Y mutation also
231 governs the entry preference and low fusogenicity of other variants. To this end, we introduced
232 the H655Y reversion mutation into these Omicron subvariants, i.e., BA.1, BA.2, BA.2.12.1, BA.4/5
233 and BA.2.75, and examined their impact on entry of these subvariants in HEK293T-ACE2 and
234 Calu-3 cells using the same HIV lentiviral pseudotyping system. We observed that the reversion
235 mutation Y655H significantly reduced the entry efficiency of all these Omicron subvariants in
236 HEK293T-ACE2 cells (**Fig. 4a**), but substantially promoted their entry in Calu-3 cells, although
237 the increase in Calu-3 cells was generally modest or not seen in some cases (**Fig. 4b**). We also
238 examined the influence of the reversion mutation Y655H on the fusogenicity of these Omicron
239 subvariants using the syncytia formation assay described above. Again, we found that similar to
240 BA.1.1, Y655H also significantly promoted the S-mediated syncytia formation of BA.1, BA.2,
241 BA.2.12.1, BA.4/5, and BA.2.75 (**Figs. 4c-d**).

242 To provide molecular insight into how T547K and H655Y might dictate the low fusogenicity
243 and endosomal entry of the Omicron subvariants, we performed molecular modeling of Omicron
244 BA.1.1 spike protein. Indeed, we found that the T547K mutation could establish a salt-bridge
245 interaction with the residue D389 located on the base of the receptor binding domain (RBD), as

246 well as an inter-protomer hydrogen bond with the residue S982 on S2 domain, thus stabilizing its
247 closed conformation and prevent S1 shedding (**Fig. 4e**). The mutation H655Y located in the
248 proximity to the furin cleavage site in S could reduce local structural flexibility by forming
249 hydrophobic interactions with residues F643, I670 and A694, which may interfere with or decrease
250 the accessibility of furin to the cleavage site (**Fig. 4f**).

251

252 **Discussion**

253 Omicron exhibits exceptional immune evasion (Garcia-Beltran *et al.*, 2022; Kuhlmann *et*
254 *al.*, 2022; Planas *et al.*, 2022; Pulliam *et al.*, 2022; Viana *et al.*, 2022) because of the presence of
255 large numbers of mutations in the S protein. We and others have reported that Omicron also
256 exhibits a distinct entry preference, substantially impaired furin cleavage, as well as decreased
257 cell-cell fusion (Meng *et al.*, 2022; Qu *et al.*, 2022b; Zeng *et al.*, 2021). However, the molecular
258 mechanisms of these distinct features of the Omicron subvariants remain elusive. In this study,
259 we interrogated key mutations that govern the Omicron S-mediated low fusogenicity and
260 endosomal entry. We determined H655Y, and to lesser extent T547K, as the key mutation on the
261 S protein of Omicron that critically governs its preferential endosomal entry route and impaired
262 fusion activity, including for the recently emerged BA.4/5, BA.2.12.1 and BA.2.75 subvariants.
263 Moreover, through molecular modelling, we provide evidence that these mutations likely stabilize
264 the S trimer conformation by forming new molecular interactions. Together, these results provide
265 insights for understanding the altered cellular tropism and pathogenicity for Omicron.

266 The most important finding of this study is that the altered entry route preference of Omicron
267 is largely determined by the key H655Y mutations. It is well established that SARS-CoV-2 is
268 capable of utilizing either endosomal entry mediated by Cat L/B or plasma membrane entry
269 mediated by TMPRSS2 (Bestle *et al.*, 2020; Hoffmann *et al.*, 2020; Peacock *et al.*, 2021).
270 However, SARS-CoV-2 entry in primary lung epithelial cells and lung-derived cell lines such as
271 Calu-3 cell is largely TMPRSS2 dependent (Peacock *et al.*, 2021), likely occurring on the plasma

272 membrane. Following its emergence, the Omicron variant BA.1 has been shown to have a distinct
273 entry profile, utilizing predominantly the endosomal entry pathway (Pia and Rowland-Jones, 2022),
274 exhibiting poor replication in lower airway derived primary cells and Calu-3 cells (Gupta, 2022),
275 as well as displaying reduced disease severity (Halfmann *et al.*, 2022). We found that the H655Y
276 mutation governs BA.1.1 entry through endosomes, as suggested by the significant increase in
277 viral infectivity observed in HEK293T-ACE2 cells but substantial reduction in viral infectivity in
278 Calu-3 cells, and by the increased sensitivity of H655Y bearing variants to E64d, yet with
279 decreased sensitivity to Camostat, which are also supported by other recent studies (Hu *et al.*,
280 2022; Yamamoto *et al.*, 2022). However, the impact of H655Y on *in vivo* virus tropism and
281 pathogenicity remains to be investigated. If the altered entry route preference introduced by the
282 H655Y mutation is responsible for the enhanced nasopharynx tropism and reduced pathogenicity
283 of the Omicron subvariants, any reversion of the H655Y mutation in future variants would be of
284 great concern, as such a variant may exhibit enhanced pathogenicity. Careful monitoring this
285 reversion mutation in the pandemic is warranted.

286 Membrane fusion is critical for entry of all enveloped viruses. We found that reversion
287 mutations K547T and Y655H significantly promoted BA.1.1 S-mediated cell-cell fusion whereas
288 forward mutations T547K and H655Y slightly impaired the D614G S-mediated cell-cell fusion,
289 indicating that these two residues critically determine the low fusogenicity of BA.1.1. Quite
290 unexpectedly, we found no evidence that T547K and H655Y affect S processing of BA.1.1 in cell
291 lysates. Rather, reversion mutations K547T and Y655H strongly promote S1 shedding in the
292 presence of sACE2, indicating that T547K and H655Y mutations, especially the latter, critically
293 stabilize the BA.1.1 S conformation. This result is correlated with our structural modelling that
294 T547K appears to stabilize the close conformation of S protein, which is also supported by a
295 recent study reporting an extra hydrogen bond between the tyrosine residue at position 655 in S1
296 and the threonine residue at position 696 in S2 of BA.1 (Yamamoto *et al.*, 2022). Further structural
297 analyses, including comparisons between K547T/Y655H reversion mutants and the parental

298 BA.1.1 or other Omicron subvariants by cryogenic electron microscopy (cryo-EM) or
299 crystallography, are needed to further elucidate the role of T547K and/or H655Y in Omicron
300 subvariant S conformation.

301 It is important to note that H655Y mutation has been found to be associated with SARS-
302 CoV-2 infection in index cats and minks (BraunID et al., 2021; Escalera *et al.*, 2022). In addition,
303 the H655Y mutation appears to have arisen independently multiple times in human population,
304 and is a lineage-defining mutation for the Gamma (P.1) SARS-CoV-2 variant in addition to the
305 Omicron subvariants (Escalera *et al.*, 2022). Importantly, H655Y is present in all predominant
306 Omicron sublineages, including BA.1.1, BA.1, BA.2, BA.2.12.1 and more recent BA.4/5 and
307 BA.2.75, indicating that H655Y likely improves fitness and the ability to adapt to new hosts,
308 including humans, cats, minks, and others. This is supported by a recent report demonstrating an
309 enhancement of virus infectivity in mice for H655Y-containing viruses (Zhu et al., 2022).

310 Our findings in this work, along with other recent reports, together suggest that the
311 occurrence of mutations at position 655 in S protein of current and future SARS-CoV-2 variants
312 needs to be closely monitored. Additionally, *in vivo* examinations of the impact of the H655Y
313 mutation on virus tropism and pathogenicity are critical and need to be investigated, as any
314 reversion of the H655Y mutation could generate new concern for the course of the COVID-19
315 pandemic as novel Omicron subvariants continue to emerge.

316

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329

330 **Author Contributions**

331 S.-L.L. conceived and directed the project. P.Q. performed majority of the described experiments,
332 and J.P.E. performed experiments related to infectious viruses. C.K. made the infectious Omicron
333 BA.1 variants. C.Z. assisted in experiments. P.Q., J.P.E., and S.-L.L. wrote the paper. K.X.
334 performed homology modeling. C.Z., Y.-M.Z., K.X., P.-Y.S., and X.X. provided insightful
335 discussion and revision of the manuscript.

336

337 **Competing Interest Declaration**

338 The authors have no competing interests to disclose

339

340 **Figure legends:**

341 **Figure 1: H655Y governs differential entry of Omicron BA.1.1 into distinct target cells. (a)**

342 Schematic representations of BA.1.1 and D614G Spike glycoprotein are presented. The N-
343 terminal domain (NTD), the receptor binding domain (RBD), the fusion peptide (FP) and the
344 transmembrane (TM) region are indicated. Only the mutations at the C-terminus of S1 and those
345 near the S1/S2 junction in BA.1.1 S relative to SARS-CoV-2 D614G S are shown (**Top**, BA.1.1)
346 (Mlcochova et al.); also displayed are these four amino acid mutations of BA.1.1 S replaced with
347 the corresponding amino acid in the D614G S (**Middle**, BA.1.1 reversion mutations), and these
348 four residues in D614G S substituted with the corresponding amino acid in BA.1.1 S (**Bottom**,
349 D614G forward mutations). (**b-d**) The relative infectivity of pseudotyped viruses encoding BA.1.1

350 S with single mutated amino acids replaced with the corresponding amino acid in the D614G S in
351 HEK293T-ACE2 cells (n=6) (**b**), HEK293T-ACE2-TMPRSS2 cells (n=6) (**c**), and Calu-3 cells (n=4)
352 (**d**). The luciferase activity of parental BA.1.1 was set as 1.0 for comparison. (Deng et al.) The
353 relative infectivity of pseudotyped viruses encoding D614G S with single amino acids substituted
354 with the corresponding amino acid in the BA.1.1 S in HEK293T-ACE2 cells (n=6) (**e**), HEK293T-
355 ACE2-TMPRSS2 cells (n=6) (**f**), and Calu-3 cells (n=4) (**g**). The luciferase activity of parental
356 D614G was set as 1.0. In call cases, bars represent means +/- standard error, and significance
357 was determined by one-way ANOVA with Bonferroni's multiple testing correction. P-values are
358 represented as ns indicates $p \geq 0.05$, * $p < 0.05$, *** $p < 0.001$, **** $p < 0.0001$.

359

360 **Figure 2: H655Y dictates BA.1.1 entry through the endosomal pathway.** HEK293T-ACE2-
361 TMPRSS2 cells were pretreated with E64d (**a** and **c**) or Camostat (**b** and **d**), and then transduced
362 with the indicated pseudotyped viruses in the presence of varying concentrations of the inhibitors.
363 The relative infection was calculated by setting the luciferase activity of each virus at the 0 μ M of
364 the inhibitors as 100%, and the half maximal inhibitory concentration (IC_{50}) values were
365 determined by non-linear regression with least squares fit. Vero-ACE2-TMPRSS2 cells were
366 pretreated with E64d (**e**) or Camostat (**f**), followed by infection with parental BA.1 FL mNG or its
367 mutants. The infection ratio was determined by flow cytometry, and relative infection was
368 calculated by setting the infection percentage of each virus in the absence of the drugs as 100%.
369 (**g**) IC_{50} values of each virus in the presence of the individual drugs are shown. Additionally, the
370 IC_{50} fold change relative to parental BA.1 (or BA.1 FL mNG) or D614G are displayed.

371

372 **Figure 3: H655Y critically controls the low fusogenicity of BA.1.1 S.** (**a**) The S expression on
373 the cell surface of HEK293T cell used to produce pseudotyped lentivirus was determined by
374 staining cells with anti-SARS-CoV-2 S1 (T62) antibodies. Representative flow cytometric

375 histograms of the S1 signal are shown. **(b)** Mean fluorescence intensity of S1 signal was
376 calculated and shown, n=4. **(c-d)** Syncytia formation was assayed in HEK293T-ACE2 cells
377 transfected to express S construct of interest and GFP. The average area of fused cells from one
378 of five independent experiments **(c)** and representative syncytia images **(d)** are shown. Scale
379 bars represent 150 μ m. **(e)** Producer cell lysates were probed for S1 subunit, S2 subunit, p24,
380 and GAPDH, respectively. S signals were quantified using NIH ImageJ and relative efficiency of
381 S cleavage was calculated by setting the ratio of S1/S or S2/S of BA.1.1 to 1.00. Representative
382 blots are shown. The relative ratios of S1/S **(f)** and S2/S **(g)** from three independent experiments
383 are shown. **(h)** The pseudovirions were purified and blotted for S1, S2, and p24, and S1 or S2
384 signals in virions were quantified and normalized to p24. The relative S1/p24 or S2/p24 was
385 calculated by setting the ratio of BA.1.1 to 1.00. The relative S1/p24 **(i)** and the relative S2/p24 **(j)**
386 ratios from three independent experiments are shown. **(k-l)** Reversion of H655Y to Y655H
387 enhances BA.1.1 S1 shedding. HEK293T cells transfected with indicated S constructs were
388 treated with soluble ACE2-Fc (sACE2), followed by immunoprecipitation (IP) of S1 in the culture
389 media by anti-FLAG beads. S in the IP products **(k)** were probed for SARS-CoV-2 S1, with
390 GAPDH as a loading control. Relative S1 in the IP products is shown **(l)**, calculated by setting the
391 S1 signals of BA.1.1 to 1.0. The error bars in **(b, c, f, g, i, j and l)** are means +/- standard error;
392 ns indicates $p \geq 0.05$, * $p < 0.05$, ** $p < 0.01$, **** $p < 0.0001$.

393

394 **Figure 4: H655Y dictates the endosome entry preference and the low fusogenicity of all**
395 **major Omicron subvariants.** **(a)** Infectivity of pseudotyped viruses in HEK293T-ACE2 cells is
396 shown, n=3. **(b)** Infectivity of pseudotyped lentivirus in CaLu-3 cells is also displayed, n=3. **(c-d)**
397 Syncytia formation assays in HEK293T-ACE2 cells were performed as described in Fig. 3.
398 Representative syncytia images **(c)** and the average area of fused cells **(d)** are shown, n=3. Scale
399 bars represent 150 μ m. **(e)** Molecular modelling. The T547K mutation introduces a salt-bridge
400 interaction with the D389 located on the base of the RBD, and a hydrogen bond with residue S982

401 in the S2 domain. (f) BA.1.1 H655Y mutation reduces local conformational flexibility by creating
402 hydrophobic contacts with residues F643, A694 and I670, which may interfere with the furin
403 accessibility to the cleavage site nearby. Bars in (a), (b) and (d) represent means +/- standard
404 error, and significance is determined by two-tailed Student's t test with Welch's correction. P-
405 values are represented as ns for $p \geq 0.05$, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.
406

407 **Materials and Methods**

408 *Cell Lines and Maintenance*

409 HEK293T (ATCC CRL-11268, research resource identifier [RRID]: CVCL_1926), HEK293T-
410 ACE2 (BEI NR-52511, RRID: CVCL_A7UK) and Vero E6 expressing high endogenous ACE2
411 (Vero-ACE2) (BEI NR-53726, RRID:CVCL_A7UJ) supplemented with 1% penicillin/streptomycin
412 (MilliporeSigma, P4333) and 10% (v/v) fetal bovine serum (FBS) (Thermo Fisher Scientific,
413 26140-079). Calu-3, a gift of Estelle Cormet-Boyaka at The Ohio State University, were grown in
414 Eagle's Minimum Essential medium (EMEM) (ATCC, 30-2003), supplemented with 1%
415 penicillin/streptomycin and 10% (vol/vol) FBS. HEK293T-ACE2 cells or Vero-ACE2 cells stably
416 expressing TMPRSS2 were generated by transduction of pLX304 vectors expressing TMPRSS2
417 (a gift from Siyuan Ding at the Washington University in St. Louis), and selection by Blasticidine
418 S hydrochloride (MilliporeSigma, 15205) (10 µg/ml for HEK293T-ACE2 cells and 7.5 µg/ml for
419 Vero-ACE2 cells) for 7-14 days. All cell lines in this study were maintained at 37°C in the presence
420 of 5% CO₂.

421

422 *Plasmids and constructs*

423 Constructs harboring different mutations were generated by long PCR mutagenesis based on
424 pcDNA3.1-SARS-CoV-2-Flag-S-Flag-D614G, pcDNA3.1-SARS-CoV-2-Flag-S-Flag-BA.1.1,
425 pcDNA3.1-SARS-CoV-2-Flag-S-Flag-BA.1, pcDNA3.1-SARS-CoV-2-Flag-S-Flag-BA.2,
426 pcDNA3.1-SARS-CoV-2-Flag-S-Flag-BA.2.12.1, pcDNA3.1-SARS-CoV-2-Flag-S-Flag-BA.4/5 or
427 pcDNA3.1-SARS-CoV-2-Flag-S-Flag-BA.2.75. HIV-1 NL4.3-inGluc was from Marc Johnson at
428 the University of Missouri (Columbia, Missouri, USA).

429

430 *Generation of BA.1 FL-mNG and related mutant viruses*

431 Infectious cDNA clone of USA-WA1/2020 and Omicron (BA.1) SARS-CoV-2 were generated
432 using PCR-based mutagenesis as previously described (Pitts et al., 2022; Xie et al., 2020). To

433 construct spike mutant K547T or Y655H mutant viruses, nucleotide substitutions were introduced
434 through standard mutagenesis approach into a subclone pcc1-CoV-2-BA.1-F567 containing the
435 spike gene of SARS-CoV-2 BA.1. The full-length infectious cDNA clone of SARS-CoV-2 was
436 assembled by in vitro ligation of three contiguous cDNA fragments following the previously
437 described protocol (Liu *et al.*, 2022b; Xie *et al.*, 2020). In vitro transcription was performed to
438 synthesize full length viral genomic RNA. The RNA transcripts were electroporated in Vero E6
439 cells expressing TMPRSS2 (purchased from SEKISUI XenoTech, LLC) to recover the mutant
440 viruses. Viruses were rescued post 2-4 days after electroporation and served as P0 stock. P0
441 stock was further passaged once on Vero E6 cells expressing TMPRSS2 to produce P1 stock.
442 Spike gene was sequenced from all P1 stock viruses to validate the mutations. P1 stock was
443 titrated by plaque assay on Vero E6 cells expressing TMPRSS2 and used for subsequent
444 experiments. All virus preparation were carried out at biosafety level 3 (BSL-3) facility at the
445 University of Texas Medical Branch at Galveston.

446

447 *Virus production and infection*

448 HEK293T cells were transfected with 0.7 µg of different Spike plasmids along with 1.4 µg of HIV-
449 1-NL4.3-inGluc at a 1:2 ratio using polyethylimine transfection (Cui *et al.*). Then, 48-72 hrs
450 post-transfection, the culture supernatants were harvested. After removing the cell debris by
451 spinning down at 3000 g for 10 minutes, the viruses were aliquoted and stored at -80°C.

452 Pseudovirions were transduced into various cell lines; 6 hrs post-transduction, the media were
453 changed. Gaussia luciferase activity was measured at 48-96 hrs after infection to determine the
454 relative infectivity or entry efficiency of the indicated viruses.

455

456 For the inhibition assay using pseudotyped viruses, HEK293T-ACE2-TMPRSS2 cells were
457 pretreated with indicated concentrations of EST/E-64D (E64d) (Sigma, 330005) or camostat
458 mesylate (Camostat) (Sigma, SML0057) for 1 h, followed by transduction with pseudovirions of

459 interest in the presence of the same concentrations of the drugs. After changing the media 6 hpi,
460 the luciferase activity was measured at 48 and 72 hpi, respectively.

461
462 For the inhibition assay using infectious viruses, Vero-ACE2-TMPRSS2 cells were pretreated with
463 indicated concentrations of EST/E-64D (E64d) (Sigma, 330005) or camostat mesylate (Camostat)
464 (Sigma, SML0057) for 1 h, followed by infection with infectious viruses in the presence of the
465 same concentrations of the inhibitors for 24 hrs. Subsequently, the cells were fixed with 3.7%
466 formaldehyde for 1 h and analyzed by a Life Technologies Attune NxT flow cytometer.

467
468 *Flow cytometry*

469 HEK293T cells used for the production of virions were washed with 1×PBS once, detached with
470 5 mM EDTA in PBS, washed with washing buffer (1×PBS containing 2% FBS) twice, following by
471 fixing with 3.7% formaldehyde for 10 min. Then the cells were incubated with anti-SARS-CoV-2
472 Spike antibodies (T62) for 1 hr on ice. After three washes with cold washing buffer, the cells were
473 incubated with FITC-conjugated anti-rabbit IgG antibodies for 1 hr. Subsequently, the cells were
474 washed twice and analyzed by a Life Technologies Attune NxT flow cytometer.

475
476 *Syncytia formation assay*

477 HEK293T-ACE2 cells were cotransfected with GFP constructs and parental D614G S, Omicron
478 S, or their related mutants, followed by imaging the syncytia formation under a Leica DMI8
479 fluorescence microscope after 24 h of transfection. The cell-cell fusion efficiency was analyzed
480 by measuring areas of the fused cells from three representative images using the “Leica
481 Application Suit X” software. Scale bars represent 150 μm.

482
483 *Western blotting*

484 Western blotting was conducted as previously described (Zeng *et al.*, 2020). Briefly, cells were
485 collected and lysed in 200 ul of RIPA buffer (50 mM Tris (pH 7.5), 150 mM NaCl, 1 mM EDTA,
486 Nonidet P-40, 0.1% SDS) in the presence of protease inhibitor cocktail (MilliporeSigma, P8340),
487 followed by clarification at 13200 rpm for 10 minutes, and boiling for 10 minutes at 100°C with 1x
488 SDS loading buffer. To determine the Spike content in virion particles, pseudovirus supernatant
489 was collected, filtered and purified by ultracentrifugation through a 20% sucrose cushion. The
490 purified virions were dissolved in 1x SDS loading buffer. Subsequently, the samples were
491 separated by 10% SDS-PAGE gels, transferred to PVDF membranes and immunoblotted with
492 anti-S1 (Sino Biological, 40150-T62), anti-S2 (Sino Biological, 40590-T62), anti-GAPDH (Santa
493 Cruz, sc-47724), and anti-p24 (anti-p24 (NIH ARP-1513) antibodies, followed by immunoblotting
494 with anti-mouse-IgG-Peroxidase (Sigma, A5278) or anti-rabbit-IgG-HRP (Sigma, A9169)
495 antibodies.

496

497 *Soluble ACE2-induced S1 shedding assay*

498 HEK293T cells were transfected with the indicated Omicron Spike constructs and were treated or
499 untreated with soluble ACE2-Fc (sACE2-Fc) at 37°C for 4 hrs. Subsequently, the cell culture
500 media and cells were collected, and anti-FLAG beads (Sigma, F2426) were used to precipitate
501 S1 subunit in the media. Following immune-precipitation, the samples were separated on 10%
502 SDS-PAGE gel, and probed with anti-S1 (Sino Biological, 40150-T62), anti-S2 (Sino Biological,
503 40590-T62) and anti-GAPDH (Santa Cruz, sc-47724). Anti-mouse-IgG-Peroxidase (Sigma,
504 A5278) and anti-rabbit-IgG-HRP (Sigma, A9169) were used as secondary antibodies.

505

506 *Structural modeling and analysis*

507 Homology modeling of Omicron spike protein was performed on SWISS-MODEL server with cryo-
508 EM structure of SARS-CoV2 G614 strain spike (PDB 7KRR) as template. The resulting homo-
509 trimer spike structure has one RBD in up conformation and the other two RBD in down

510 conformation. Residue examination and renumbering were carried out manually with program
511 Coot. Inter-protomer interaction analysis was performed with PDBePISA server. Molecular
512 contacts of Omicron mutants were examined and illustrated with the programs PyMOL.

513

514 *Statistical analysis*

515 All experiments were conducted in at least three independent replications except for those
516 specifically indicated. Statistical significance analyses were performed in GraphPad Prism 9 (San
517 Diego, CA) and are referenced in the figure legends and include one-way Analysis of Variance
518 (ANOVA) with Bonferroni's post-tests to compute statistical significance between multiple groups
519 for multiple comparison (**Figs. 1b-g, Figs. 3b, 3c, 3f, 3g,3i, 3j and 3l, Figs. S3b-c, Figs. S4b-c,**
520 **and Figs. S4e-f**), and an unpaired, two-tailed Student's t test with Welch's correction was used
521 (**Figs. 4a, 4b, and 4d**).

522

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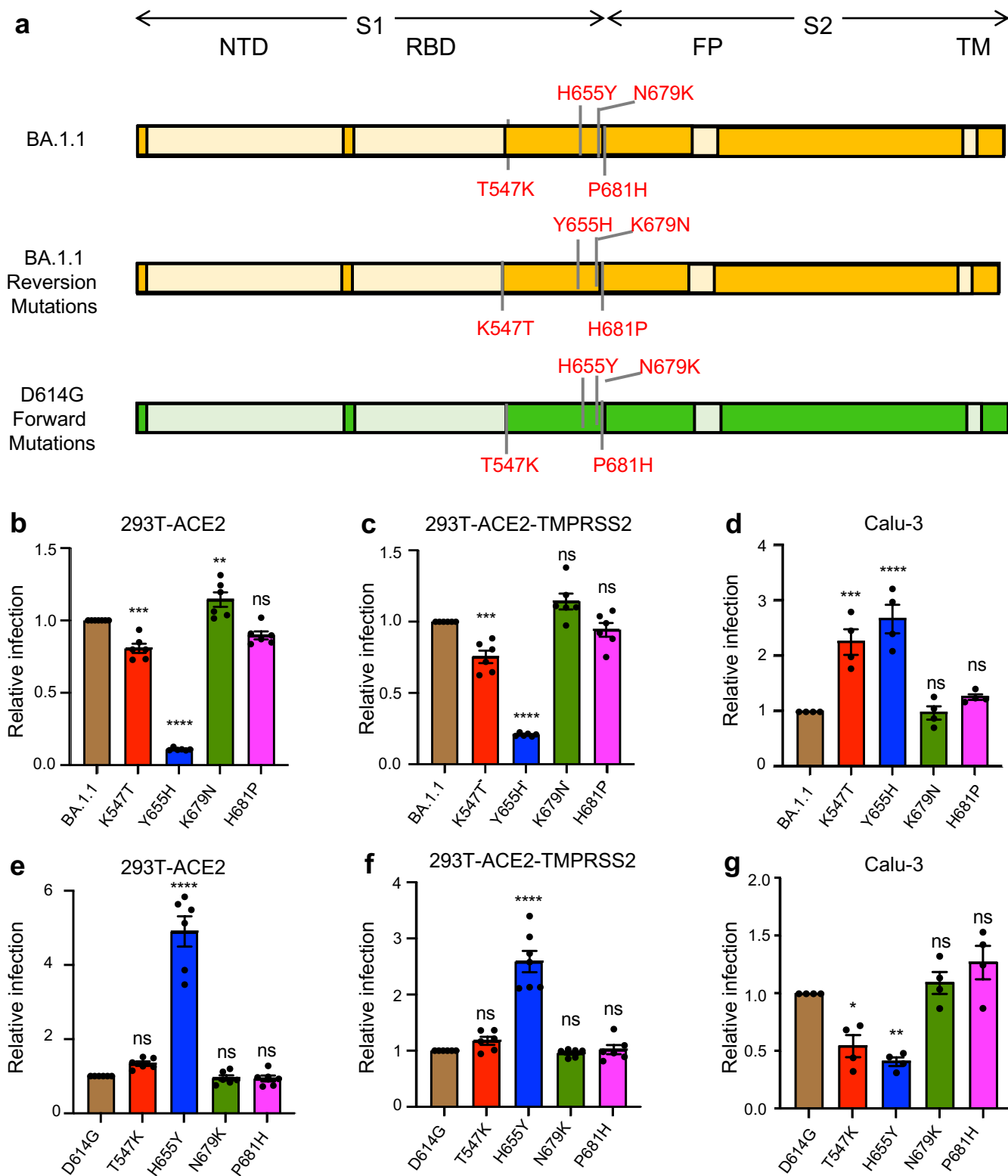
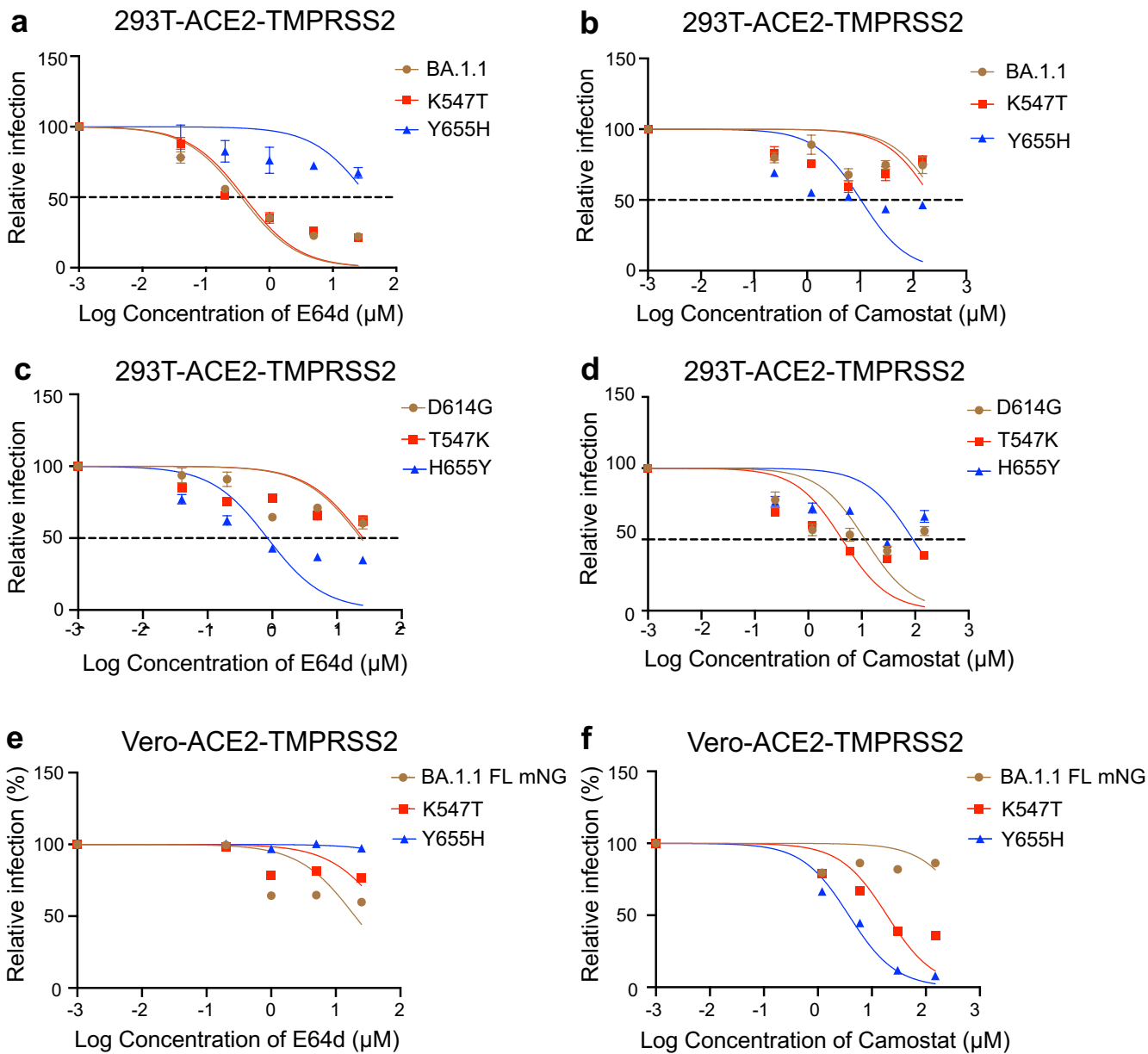


Figure 1



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Virus	IC ₅₀ value (μM)		IC ₅₀ fold change (Relative to BA.1.1)		IC ₅₀ fold change (Relative to D614G)	
	E64d	Camostat	E64d	Camostat	E64d	Camostat
BA.1.1	0.4	>150	1.0	1.0	NA*	NA
BA.1.1-K547T	0.4	>150	1.0	1.0	NA	NA
BA.1.1-Y655H	>25	10.3	>62.5	<-14.6	NA	NA
D614G	23.5	11.6	NA	NA	1.0	1.0
D614G-T547K	25.5	4.3	NA	NA	1.1	-2.7
D614G-H655Y	0.8	91.3	NA	NA	-29.4	7.9
BA.1.1 FL mNG	19.9	>150	1.0	1.0	NA	NA
BA.1.1 FL mNG-K547T	>25	19.1	>1.3	<-7.9	NA	NA
BA.1.1 FL mNG-Y655H	>25	3.7	>1.3	<-40.5	NA	NA

*, NA, not assessed.

Figure 2

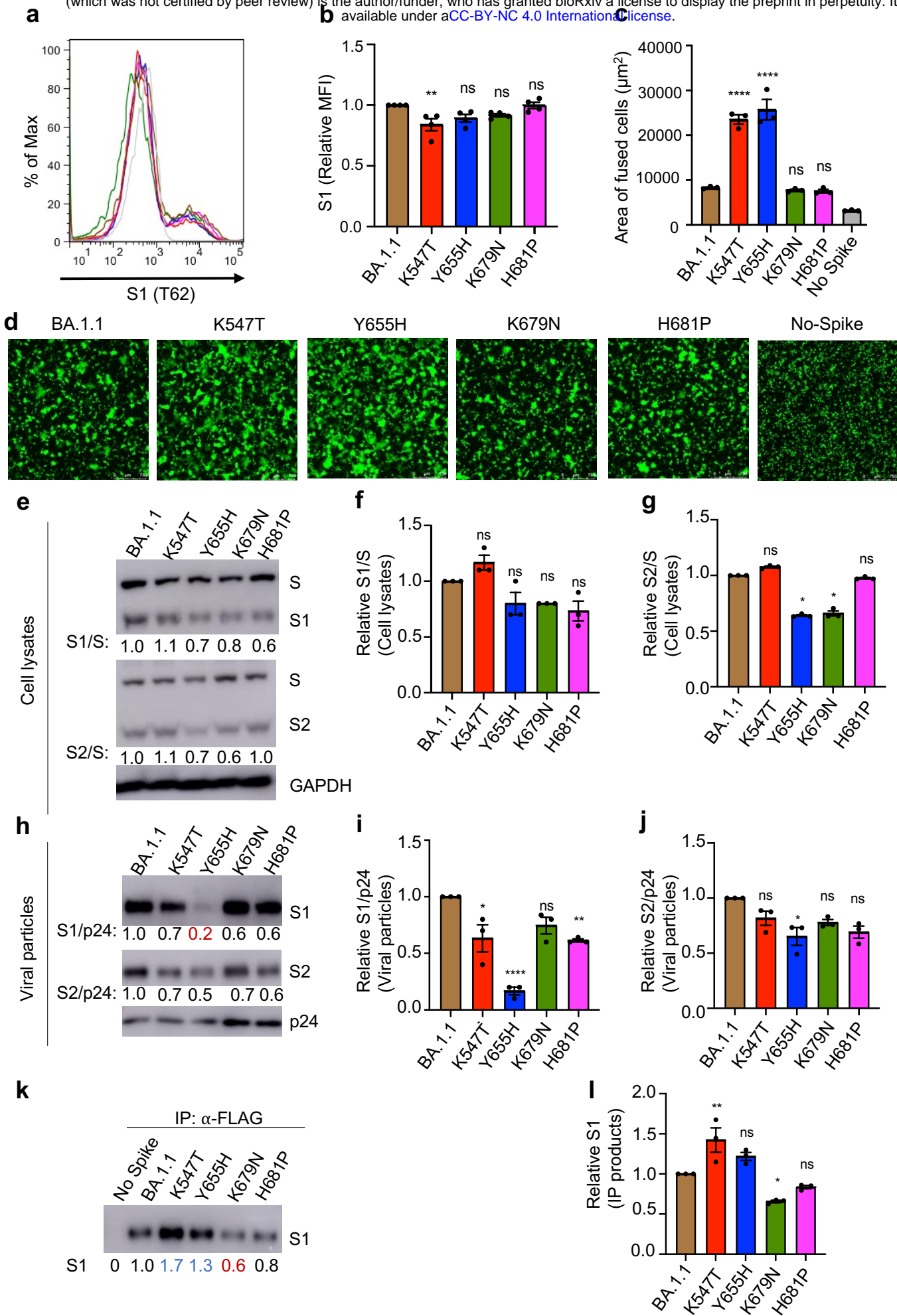


Figure 3

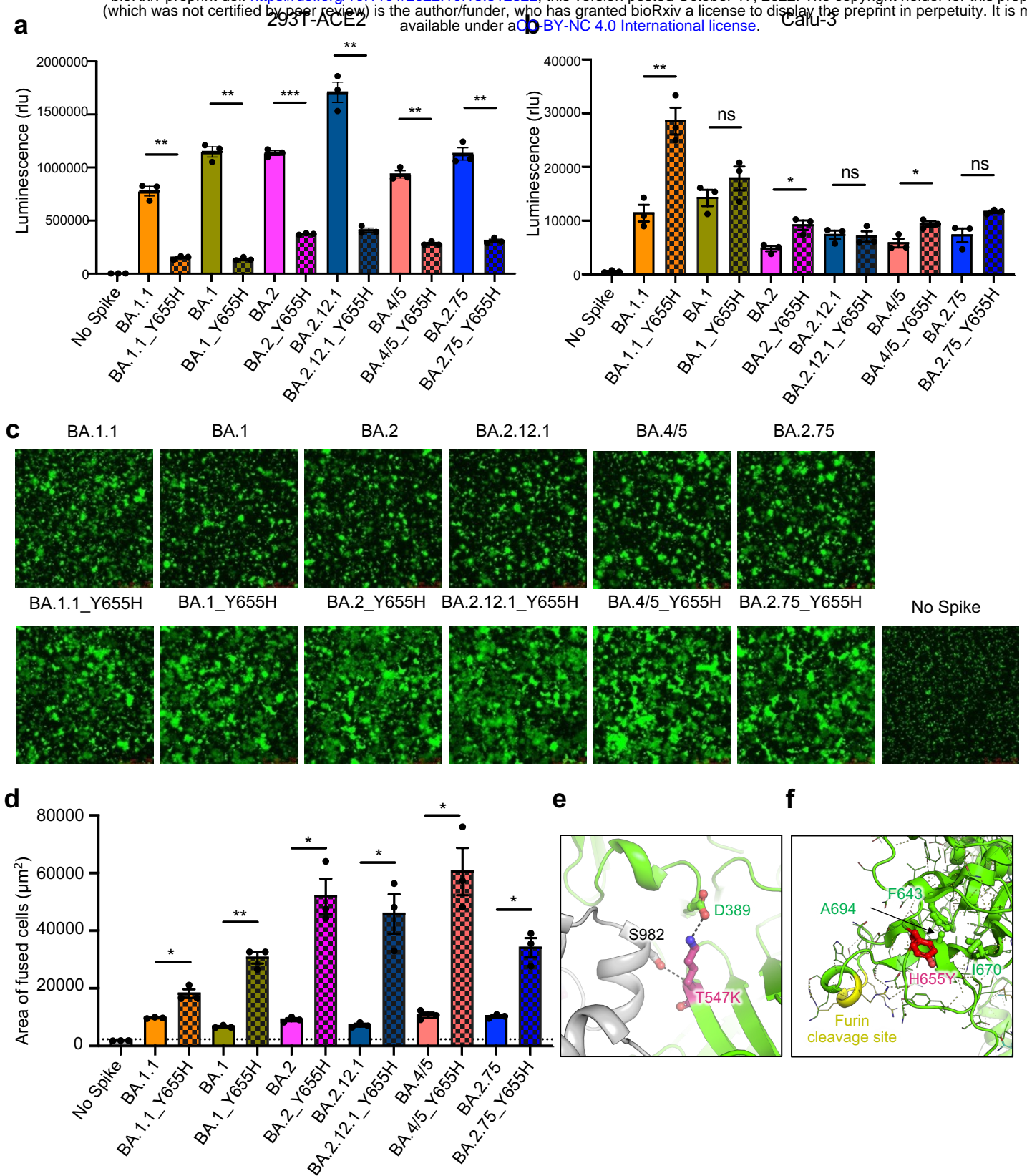


Figure 4