

Prevalence, clinical correlates, and outcomes of anaemia in multi-ethnic Asian patients with heart failure with reduced ejection fraction

Vera J. Goh¹, Jasper Tromp², Tiew-Hwa K. Teng³, Wan Ting Tay³, Peter Van Der Meer², Lieng Hsi Ling⁴, Bambang B. Siswanto⁵, Chung-Lieh Hung⁶, Wataru Shimizu⁷, Shu Zhang⁸, Calambur Narasimhan⁹, Cheuk Man Yu¹⁰, Sang Weon Park¹¹, Tachapong Ngarmukos¹², Hougng Bang Liew¹³, Eugenio Reyes¹⁴, Jonathan Yap³, Michael MacDonald¹⁵, Mark A. Richards^{4,16}, Inder Anand¹⁷, Carolyn S.P. Lam^{2,3,18*} on behalf of the ASIAN-HF investigators[†]

¹Singapore General Hospital, Singapore; ²Department of Cardiology, University Medical Center Groningen, Groningen, The Netherlands; ³National Heart Centre Singapore, Singapore; ⁴National University Heart Centre, Singapore; ⁵National Cardiovascular Center Universitas Indonesia, Jakarta, Indonesia; ⁶Mackay Memorial Hospital, Taipei, Taiwan; ⁷Department of Cardiovascular Medicine, Nippon Medical School, Tokyo, Japan; ⁸Fuwai Cardiovascular Hospital, Beijing, China; ⁹Care Hospital, Hyderabad, India; ¹⁰The Chinese University of Hong Kong, Hong Kong; ¹¹Sejong General Hospital, Seoul, South Korea; ¹²Ramathibodi Hospital, Mahidol University, Bangkok, Thailand; ¹³Queen Elizabeth II Hospital, Clinical Research Center, Sabah, Malaysia; ¹⁴Manila Doctors Hospital, Manila, Philippines; ¹⁵Changi General Hospital, Singapore; ¹⁶Christchurch Heart Institute, University of Otago, Dunedin, New Zealand; ¹⁷Veterans Affairs Medical Center, Minneapolis, MN, USA; ¹⁸Duke-NUS Medical School, Singapore

Abstract

Aims Recent international heart failure (HF) guidelines recognize anaemia as an important comorbidity contributing to poor outcomes in HF, based on data mainly from Western populations. We sought to determine the prevalence, clinical correlates, and prognostic impact of anaemia in patients with HF with reduced ejection fraction across Asia.

Methods and results We prospectively studied 3886 Asian patients (60 ± 13 years, 21% women) with HF (ejection fraction <40%) from 11 regions in the Asian Sudden Cardiac Death in Heart Failure study. Anaemia was defined as haemoglobin <13 g/dL (men) and <12 g/dL (women). Ethnic groups included Chinese (33.0%), Indian (26.2%), Malay (15.1%), Japanese/Korean (20.2%), and others (5.6%). Overall, anaemia was present in 41%, with a wide range across ethnicities (33–54%). Indian ethnicity, older age, diabetes, and chronic kidney disease were independently associated with higher odds of anaemia (all $P < 0.001$). Ethnicity modified the association of chronic kidney disease with anaemia ($P_{\text{interaction}} = 0.045$), with the highest adjusted odds among Japanese/Koreans [2.86; 95% confidence interval (CI) 1.96–4.20]. Anaemic patients had lower Kansas City Cardiomyopathy Questionnaire scores ($P < 0.001$) and higher risk of all-cause mortality and HF hospitalization at 1 year (hazard ratio = 1.28, 95% CI 1.08–1.50) compared with non-anaemic patients. The prognostic impact of anaemia was modified by ethnicity ($P_{\text{interaction}} = 0.02$), with the greatest hazard ratio in Japanese/Koreans (1.82; 95% CI 1.14–2.91).

Conclusions Anaemia is present in a third to more than half of Asian patients with HF and adversely impacts quality of life and survival. Ethnic differences exist wherein prevalence is highest among Indians, and survival is most severely impacted by anaemia in Japanese/Koreans.

Keywords Ethnicity; Heart failure; Anaemia; HF-rEF

Received: 1 October 2017; Revised: 21 January 2018; Accepted: 30 January 2018

*Correspondence to: Carolyn S. P. Lam, National Heart Centre Singapore, 5 Hospital Dr, Singapore 169609, Singapore. Tel: +65 67048965; Fax: +65 68449069.

Email: carolyn.lam@duke-nus.edu.sg

[†]See Appendix S1 for the complete list of ASIAN-HF investigators.

All the authors above take responsibility for all aspects of the reliability and freedom from bias of the data presented and their discussed interpretation.

Introduction

Recent international heart failure (HF) guidelines recognize anaemia as an important comorbidity contributing to

symptomatology and poor outcomes in HF.¹ The causes of anaemia in HF are multifactorial, and its impact on quality of life (QoL) and outcomes may vary by ethnic background. Prior reports are mainly from Western populations, where the

prevalence of anaemia in patients with heart failure with reduced ejection fraction has been reported to range between 16% and 34%, and anaemia has been shown to contribute independently to morbidity and mortality.^{2–13} Prevalence of anaemia is higher in the general population of Asian compared with Western countries and varies widely across Asia.¹⁴ Yet in contrast to the wealth of data in Western patients, data on anaemia in Asian patients with HF are scarce and limited to single country surveys.^{15,16} We therefore aimed to determine the prevalence, clinical correlates, and impact of anaemia on the QoL and outcomes among Asian patients with HF in the multinational multi-ethnic Asian Sudden Cardiac Death in Heart Failure (ASIAN-HF) registry.^{17,18}

Methods

The ASIAN-HF registry^{17,18} is a prospective observational multinational registry of Asian patients >18 years of age with symptomatic HF (at least one episode of decompensated HF in the previous 6 months that resulted in a hospital admission or was treated in an outpatient clinic) and left ventricular ejection fraction (LVEF) $\leq 40\%$ on baseline echocardiography, from 46 medical centres across 11 Asian regions (China, Hong Kong, India, Indonesia, Japan, Korea, Malaysia, Philippines, Singapore, Taiwan, and Thailand). Those with severe valve disease as the primary cause of HF, life-threatening comorbidity with life expectancy of <1 year, who were unable or unwilling to give consent, or have concurrent participation in a clinical therapeutic trial were excluded.^{17,18} Comprehensive data collection at baseline included demographic characteristics, clinical attributes, laboratory investigations, and health-related QoL scores.

A total of 3886 out of 5276 patients enrolled in the study had serum haemoglobin (Hb) levels recorded at baseline and were included in the current analyses. Anaemia was defined according to World Health Organization (WHO) criteria of Hb <13 g/dL for men and <12 g/dL for women.¹⁹ The estimated glomerular filtration rate (eGFR) was calculated using the modification of diet in renal disease formula. Chronic kidney disease (CKD) was defined as eGFR < 60 mL/min/1.73 m².²⁰

Self-reported ethnicity was classified as Chinese, Indian, Malay, Japanese/Korean, and others. Other ethnicities included Thai, Filipino, and indigenous South-east Asian patients, which were grouped due to small numbers. Geographic regions were categorized according to the United Nations classification as North-east Asia (South Korea, Japan, Taiwan, Hong Kong, and China), South Asia (India), and South-east Asia (Thailand, Malaysia, Philippines, Indonesia, and Singapore). Regions were also classified according to WHO income level groups as lower income (Indonesia, Philippines, and India), middle income (China, Thailand, and

Malaysia), and higher income (Singapore, Hong Kong, Taiwan, South Korea, and Japan) groups.

All patients were followed for 1 year for the primary composite outcome of all-cause mortality and HF hospitalizations and the secondary outcome of all-cause mortality. An independent outcomes committee adjudicated all outcome events.

This study complied with the Declaration of Helsinki, and all patients provided written informed consent. Ethics approval was obtained from the relevant local human ethics committees at all sites. Quintiles Outcomes, the contract research organization appointed by the ASIAN-HF academic Executive Committee, handled all registry operations and data management.

Statistical analysis

Categorical variables are presented as numbers with percentages. Continuous variables are presented as medians with interquartile (IQR) ranges or as means \pm standard deviation as appropriate. Baseline characteristics of anaemic vs. non-anaemic patients were compared using χ^2 tests, Student's *t*-tests, or Wilcoxon rank-sum tests depending on the type and distribution of variables.

Univariable logistic regression was first performed on all baseline variables for their association with anaemia. Variables that had *P* < 0.10 and clinically important variables were then included in the final multivariable model for anaemia. These included age, sex, ethnicity, income region, New York Heart Association class, LVEF, HF aetiology, alcohol history, presence of peripheral oedema or elevated jugular venous pressure (JVP), body mass index, diastolic blood pressure, use of diuretics, angiotensin-converting enzyme inhibitors/angiotensin receptor blockers (ACEi/ARBs), or mineralocorticoid receptor antagonists (MRAs), presence of diabetes, or CKD. Interaction analysis was performed to assess if the relationship between anaemia and clinically important variables varied by ethnicity.

We depicted outcomes between anaemic and non-anaemic patients using Kaplan–Meier curves and tested differences in crude survival using the log-rank test. For multivariable analyses, we performed Cox regression analysis and validated the proportional hazards assumption using Schoenfeld residuals. The Cox regression model was adjusted for clinically meaningful covariates including age, sex, ethnicity, regional income, education level, HF aetiology, LVEF, alcohol, smoking history, use of MRAs, ACEi/ARBs, beta-blockers and diuretics, and presence of CKD, diabetes, atrial fibrillation/flutter, peripheral artery disease, liver disease, stroke, chronic obstructive pulmonary disease, and cancer. Interaction analysis was performed to assess if the relationship between anaemia and the composite outcome was modified by ethnicity or clinically

important variables such as diabetes and CKD. Similar analyses were performed to study the associations between Hb (modelled as quintiles) and the primary or secondary outcomes.

The Kansas City Cardiomyopathy Questionnaire (KCCQ), a 23-item self-administered HF-specific questionnaire, was used to examine patient-centred QoL. This instrument has been widely used in recent international HF clinical trials and has been validated in several languages.^{21,22} Non-English speaking participants used certified versions of the KCCQ translated into their native languages. Computed KCCQ scores²³ range from 0 to 100, with higher scores representing better health-related QoL. KCCQ scores were adjusted for the same clinically meaningful covariates as described previously.

A value of $P \leq 0.05$ was considered statistically significant. STATA (version 13) software was used to perform all analyses (StataCorp LP, College station, Texas, USA).

Results

Baseline characteristics

Among 3886 Asian patients with HF (60 ± 13 years, 21% women) and available Hb values, anaemia was present in 40% men and 45% women. Compared with non-anaemic patients, anaemic patients were older, more often female, with worse New York Heart Association functional status, higher prevalence of hypertension, diabetes, CKD, and peripheral artery disease, and worse signs of congestion (peripheral oedema and raised JVP) (*Table 1*). Furthermore, anaemic patients were more often treated with diuretics but less often treated with evidence based therapy such as ACEi/ARBs, beta-blockers, and MRAs, than non-anaemic patients (all $P < 0.02$) (*Table 1*).

As shown in Supporting Information, *Table S1*, compared with patients without Hb values, those with Hb values were slightly older, more likely to be from high income regions, and had a greater burden of comorbidities.

Association between anaemia and clinical variables

The prevalence of anaemia varied among ethnicities and was highest in Indians (54.4%), followed by Malays, Japanese/Koreans, Chinese, and other ethnicities (*Figure 1A–B*). Independent predictors of anaemia were older age [odds ratio (OR) = 1.03, 95% confidence interval (CI) 1.02–1.03], Indian ethnicity (OR = 3.00, 95% CI 2.17–4.17), LVEF (OR = 1.04, 95% CI 1.02–1.05), diuretic use (OR = 1.26, 95% CI 1.01–1.56), diabetes (OR = 1.75, 95% CI 1.47–2.08), and CKD (OR = 1.71, 95% CI

1.44–2.03). In contrast, patients with a history of alcohol use (OR = 0.75, 95% CI 0.62–0.90), higher body mass index (OR = 0.96, 95% CI 0.94–0.98), higher diastolic blood pressure (OR = 0.98, 95% CI 0.97–0.98), and receiving ACEi/ARBs (OR = 0.67, 95% CI 0.55–0.81) were less likely to be anaemic. Ethnicity modified the association between anaemia and CKD ($P_{\text{interaction}} = 0.045$), where the strongest independent associations between anaemia and CKD were observed in Japanese/Korean (OR = 2.86, 95% CI 1.96–4.20) and other ethnicities (OR = 4.40, 95% CI 1.85–10.48); however, the CIs for the latter were wide.

Quality of life of ASIAN-HF patients with anaemia

Importantly, anaemia adversely affected patients' health-related QoL (using the KCCQ), with adjusted KCCQ scores being significantly lower in anaemic vs. non-anaemic patients across most KCCQ domains (*Table 1*). In particular, physical limitation, social limitation, and symptom frequency domains were affected. Ethnicity did not modify the effect of anaemia on KCCQ scores in this cohort.

Outcomes of ASIAN-HF patients with anaemia

A total of 767 (22.1%) patients experienced the primary composite outcome of death or HF hospitalization at 1 year. Crude 1 year mortality was higher in anaemic vs. non-anaemic patients [201 (14.1%) vs. 194 (9.6%), $P < 0.001$]. Anaemia was associated with higher hazards of the primary composite outcome [hazard ratio (HR) = 1.28, 95% CI 1.08–1.50] (*Table 2*) and 1 year all-cause mortality (HR = 1.39, 95% CI 1.10–1.75). A significant interaction was found between ethnicity and anaemia on the primary composite outcome ($P_{\text{interaction}} = 0.023$). Here, we found that anaemia was associated with worse outcomes in Japanese/Koreans patients (adjusted HR 1.82; 95% CI 1.14–2.91, $P = 0.012$) (*Table 2*). Despite the higher prevalence of anaemia in Indians, the absence of significant association between anaemia and outcomes in Indians was observed. Outcomes among Indian patients were better compared with other ethnic groups (*Table 2, Figure 1C*). Intriguingly, Indians with anaemia were found to have the highest eGFR (63 [IQR 40, 86] mL/min/1.73 m²) when compared with the other ethnicities with anaemia (range: 43 [IQR 25, 58] to 53 [IQR 35, 74] mL/min/1.73 m²). Anaemic Indians were also significantly younger (61.1 ± 12.4 years) when compared with anaemic Chinese (66.8 ± 11.9 years), Japanese/Koreans (69.6 ± 12.8 years), and other ethnicities (62.4 ± 12.5 years), respectively.

When Hb was modelled as quintiles (*Table 3*), the lowest Hb quintile 5.0–11.3 g/dL was significantly associated with

Table 1 Baseline characteristics of the overall cohort and anaemic/non-anaemic subgroups in Asians with heart failure and reduced ejection fraction

| Variable | Overall | Non-anaemic | Anaemic | P-value |
|--|-------------------|-------------------|-------------------|---------|
| N (%) | 3884 | 2278 (59) | 1606 (41) | |
| Demographics | | | | |
| Age in years \pm SD | 60 \pm 13 | 58 \pm 13 | 64 \pm 13 | <0.001 |
| Female, n (%) | 827 (21) | 456 (20) | 371 (23) | 0.020 |
| Geographical region, n (%) | | | | <0.001 |
| North-east Asia | 1456 (37) | 952 (42) | 504 (31) | |
| South Asia | 876 (23) | 401 (18) | 475 (30) | |
| South-east Asia | 1554 (40) | 927 (41) | 627 (39) | |
| Ethnicity, n (%) | | | | <0.001 |
| Chinese | 1280 (33) | 819 (36) | 461 (29) | |
| Indian | 1019 (26) | 465 (20) | 554 (35) | |
| Malay | 585 (15) | 353 (16) | 232 (14) | |
| Japanese or Korean | 785 (20) | 496 (22) | 289 (18) | |
| Other | 215 (6) | 145 (6) | 70 (4) | |
| Income region, n (%) | | | | <0.001 |
| Low | 1168 (30) | 604 (26) | 564 (35) | |
| Middle | 755 (19) | 545 (24) | 210 (13) | |
| High | 1963 (51) | 1131 (50) | 832 (52) | |
| Medical history, n (%) | | | | |
| Ischaemic aetiology of HF | 1867 (51) | 964 (45) | 903 (60) | <0.001 |
| NYHA Class III/IV | 1353 (39) | 785 (37) | 568 (41) | 0.033 |
| Hypertension | 2102 (54) | 1164 (51) | 938 (59) | <0.001 |
| Atrial fibrillation/flutter | 770 (20) | 473 (21) | 297 (19) | 0.085 |
| Diabetes | 1627 (42) | 776 (34) | 851 (53) | <0.001 |
| CKD | 1710 (45) | 801 (36) | 909 (58) | <0.001 |
| Cancer | 136 (4) | 69 (3) | 67 (4) | 0.057 |
| Previous stroke | 276 (7) | 154 (7) | 122 (8) | 0.308 |
| COPD | 365 (9) | 224 (10) | 141 (9) | 0.276 |
| PAVD | 160 (4) | 68 (3) | 92 (6) | <0.001 |
| Peptic ulcer disease | 127 (3) | 75 (3) | 52 (3) | 0.936 |
| Liver disease | 149 (4) | 85 (4) | 64 (4) | 0.674 |
| Smoking history | 1882 (49) | 1183 (52) | 699 (44) | <0.001 |
| Alcohol history | 1210 (31) | 800 (35) | 410 (26) | <0.001 |
| Physical exam | | | | |
| SBP mmHg, mean \pm SD | 118 \pm 20 | 118 \pm 20 | 119 \pm 20 | 0.662 |
| DBP mmHg, mean \pm SD | 72 \pm 13 | 74 \pm 13 | 70 \pm 12 | <0.001 |
| Peripheral oedema, n (%) | 1022 (26) | 558 (24) | 464 (29) | 0.002 |
| Elevated JVP, n (%) | 709 (18) | 369 (16) | 340 (21) | <0.001 |
| BMI kg/m ² | 24.7 \pm 5.0 | 25.1 \pm 5.3 | 24.1 \pm 4.7 | <0.001 |
| Current medications, n (%) | | | | |
| ACEi/ARB | 2746 (73) | 1741 (79) | 1005 (65) | <0.001 |
| Beta-blocker | 2972 (79) | 1801 (81) | 1171 (76) | <0.001 |
| MRA | 2146 (57) | 1365 (62) | 781 (51) | <0.001 |
| Diuretics | 3059 (81) | 1774 (80) | 1285 (83) | 0.019 |
| Laboratory data | | | | |
| Hb (g/dL), mean \pm SD | 13.1 \pm 2.1 | 14.5 \pm 1.3 | 11.1 \pm 1.2 | <0.001 |
| LVEF (%), median (IQR) | 28.0 [21.5, 33.0] | 27.0 [20.6, 33.0] | 29.0 [23.0, 34.2] | <0.001 |
| eGFR mL/min/1.73 m ² , median (IQR) | 63.4 [45.1, 82.8] | 68.5 [52.9, 85.8] | 53.7 [34.7, 74.7] | <0.001 |
| Creatinine (mg/dL), median (IQR) | 1.10 [0.90, 1.50] | 1.04 [0.90, 1.30] | 1.30 [0.92, 1.80] | <0.001 |
| Health-related QoL domain^a | | | | |
| KCCQ clinical summary score | | 67.8 \pm 0.5 | 63.1 \pm 0.7 | <0.001 |
| KCCQ overall summary score | | 62.0 \pm 0.5 | 58.1 \pm 0.7 | <0.001 |
| KCCQ physical limitation score | | 67.1 \pm 0.6 | 61.7 \pm 0.8 | <0.001 |
| KCCQ quality of life score | | 53.3 \pm 0.6 | 52.1 \pm 0.7 | 0.243 |
| KCCQ social limitation score | | 58.4 \pm 0.8 | 53.0 \pm 1.0 | <0.001 |
| KCCQ self-efficacy score | | 63.8 \pm 0.7 | 64.2 \pm 0.8 | 0.694 |
| KCCQ symptom burden score | | 69.5 \pm 0.6 | 66.4 \pm 0.8 | 0.003 |
| KCCQ symptom frequency score | | 67.3 \pm 0.7 | 62.2 \pm 0.8 | <0.001 |
| KCCQ symptom stability score | | 64.1 \pm 0.7 | 63.4 \pm 0.9 | 0.540 |
| KCCQ total symptom score | | 68.4 \pm 0.6 | 64.3 \pm 0.8 | <0.001 |

ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; BMI, body mass index; CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; DBP, diastolic blood pressure; eGFR, estimated glomerular filtration rate; Hb, haemoglobin; HF, heart failure; IQR, interquartile range; JVP, jugular venous pressure; KCCQ, Kansas City Cardiomyopathy Questionnaire; LVEF, left ventricular ejection fraction; MRA, mineralocorticoid receptor antagonist; NYHA, New York Heart Association; PAVD, peripheral arterial vascular disease; QoL, quality of life; SBP, systolic blood pressure; SD, standard error.

^aHealth-related QoL domain scores adjusted for age, sex, ethnicity, income region, HF aetiology, LVEF, alcohol and smoking history, ACEi/ARBs, MRAs, beta-blockers, diuretics, CKD, diabetes mellitus, atrial fibrillation/flutter, PAVD, liver disease, previous stroke, COPD, and cancer. Data presented as (adjusted) mean \pm standard error of mean.

Figure 1 Prevalence of anaemia in ASIAN-HF patients by (A) geographical region and by (B) ethnicity. (C) Kaplan–Meier curves of the primary composite outcome by ethnicity in anaemic and non-anaemic patients.

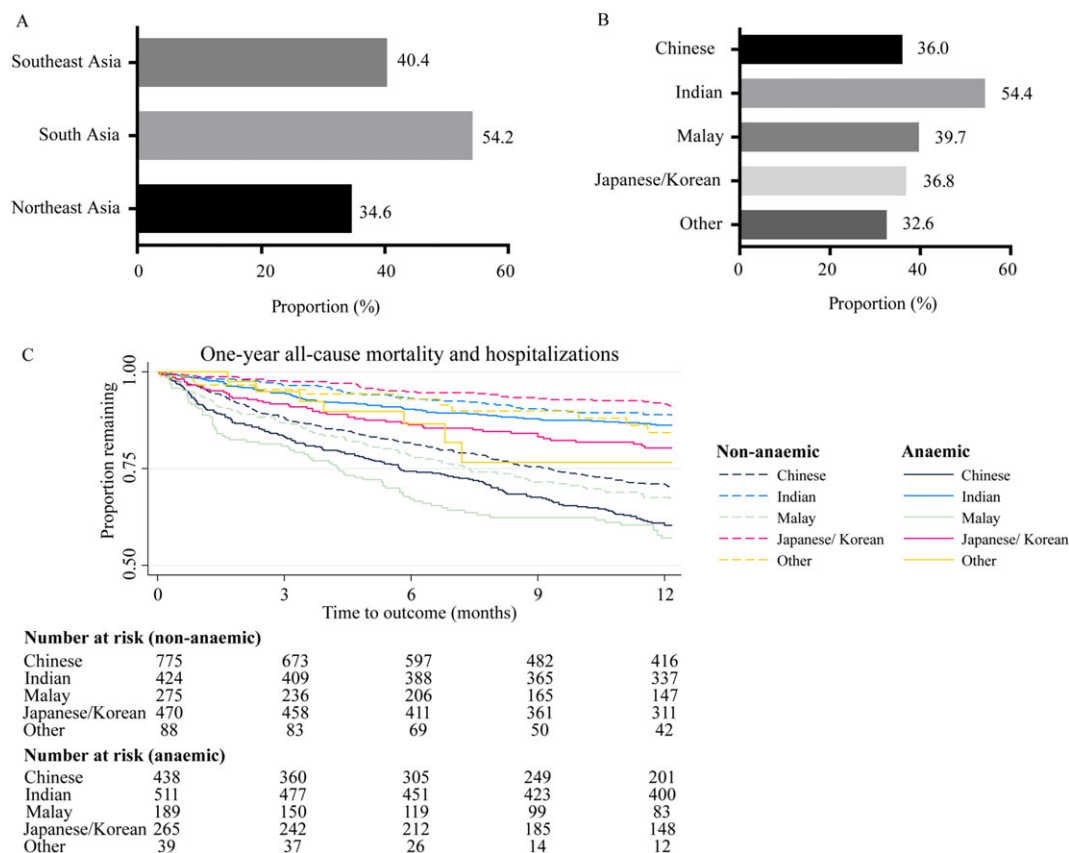


Table 2 Anaemia as a predictor of the primary composite endpoint of 1 year mortality and heart failure hospitalizations

| Variable | Event rate | | | Univariable analysis | | | Multivariable ^a analysis | | |
|---------------------------------|------------|-------------|---------|----------------------|-----------|---------|-------------------------------------|-----------|---------|
| | Overall | Non-anaemic | Anaemic | Hazard ratio | 95% CI | P-value | Hazard Ratio | 95% CI | P-value |
| Anaemia | | | | 1.35 | 1.17–1.56 | <0.001 | 1.28 | 1.08–1.50 | 0.004 |
| Stratified by ethnicity | | | | | | | | | |
| Chinese | 31.08 | 27.65 | 37.13 | 1.43 | 1.17–1.75 | 0.001 | 1.17 | 0.91–1.49 | 0.222 |
| Indian | 12.49 | 11.29 | 13.48 | 1.25 | 0.87–1.82 | 0.231 | 1.49 | 0.98–2.27 | 0.059 |
| Malay | 35.50 | 31.50 | 41.27 | 1.44 | 1.06–1.97 | 0.02 | 1.27 | 0.89–1.81 | 0.186 |
| Japanese or Korean | 11.85 | 8.09 | 18.56 | 2.46 | 1.61–3.76 | <0.001 | 1.82 | 1.14–2.91 | 0.012 |
| Other | 16.79 | 15.38 | 20.00 | 1.68 | 0.65–4.36 | 0.285 | 1.75 | 0.57–5.38 | 0.325 |
| <i>P</i> _{interaction} | | | | | | 0.023 | | | |

CI, confidence interval.

The *P*-value for interaction is the interaction between ethnicity and anaemia for the association with the primary composite endpoint.

^aAdjusted for age, sex, ethnicity, income region, heart failure aetiology, left ventricular ejection fraction, alcohol and smoking history, angiotensin-converting enzyme inhibitor/angiotensin II receptor blockers, mineralocorticoid receptor antagonists, beta-blockers, diuretics, chronic kidney disease, diabetes mellitus, atrial fibrillation/flutter, peripheral artery vascular disease, liver disease, previous stroke, chronic obstructive pulmonary disease, and cancer.

higher hazard in the primary composite outcome, whereas the two lowest Hb quintiles 5.0–11.3 and 11.4–12.6 g/dL vs. the third Hb quintile 12.7–13.7 g/dL were associated with higher hazards of 1 year mortality. Sex did not modify the effect of Hb on both the primary composite and secondary outcomes tested.

Discussion

The results of this study show that anaemia is highly prevalent among Asian patients with HF, with significant variation among the different Asian ethnicities. Importantly, anaemia

Table 3 Effect of haemoglobin quintiles on outcomes

| Variable (g/dL) | 1 year all-cause mortality and HF hospitalizations | | | | | |
|-----------------|--|-----------|---------|-------------------------------------|-----------|---------|
| | Univariable analysis | | | Multivariable ^a analysis | | |
| | Hazard ratio | 95% CI | P-value | Hazard ratio | 95% CI | P-value |
| Q1 Hb 5.0–11.3 | 1.48 | 1.19–1.84 | <0.001 | 1.43 | 1.13–1.82 | 0.003 |
| Q2 Hb 11.4–12.6 | 1.21 | 0.96–1.52 | 0.105 | 1.24 | 0.97–1.58 | 0.086 |
| Q3 Hb 12.7–13.7 | 1.00 | Referent | | 1.00 | Referent | |
| Q4 Hb 13.8–14.9 | 1.05 | 0.83–1.33 | 0.705 | 1.00 | 0.78–1.29 | 0.985 |
| Q5 Hb 15.0–20.8 | 0.97 | 0.76–1.24 | 0.82 | 0.99 | 0.76–1.29 | 0.936 |

| Variable (g/dL) | 1 year all-cause mortality | | | | | |
|-----------------|----------------------------|-----------|---------|-------------------------------------|-----------|---------|
| | Univariable analysis | | | Multivariable ^a analysis | | |
| | Hazard ratio | 95% CI | P-value | Hazard ratio | 95% CI | P-value |
| Q1 Hb 5.0–11.3 | 1.81 | 1.33–2.47 | <0.001 | 1.80 | 1.27–2.53 | 0.001 |
| Q2 Hb 11.4–12.6 | 1.35 | 0.97–1.87 | 0.076 | 1.50 | 1.06–2.14 | 0.022 |
| Q3 Hb 12.7–13.7 | 1.00 | Referent | | 1.00 | Referent | |
| Q4 Hb 13.8–14.9 | 1.04 | 0.73–1.48 | 0.829 | 1.11 | 0.76–1.63 | 0.578 |
| Q5 Hb 15.0–20.8 | 1.13 | 0.80–1.59 | 0.498 | 1.38 | 0.94–2.03 | 0.096 |

CI, confidence interval; Hb, haemoglobin; HF, heart failure.

^aAdjusted for age, sex, ethnicity, income region, HF aetiology, left ventricular ejection fraction, alcohol and smoking history, angiotensin-converting enzyme inhibitor/angiotensin II receptor blockers, mineralocorticoid receptor antagonists, beta-blockers, diuretics, chronic kidney disease, diabetes mellitus, atrial fibrillation/flutter, peripheral artery vascular disease, liver disease, previous stroke, chronic obstructive pulmonary disease, and cancer.

severely impacts survival and QoL in Asian patients with HF. Indian patients are especially prone to anaemia, which is present in more than half of cases, whereas anaemia is related to the greatest risk of death or HF hospitalization in Japanese/Korean patients with HF. These findings may carry important implications for risk stratification and management of Asian patients with HF, especially given that iron deficiency is now recognized to be a key and treatable cause of anaemia in these patients.^{4,16,24–27}

The prevalence of anaemia in HF has previously been reported in European studies to range between 16% and 53%.^{3,5–8,12,13,28–36} Differences in characteristics of the study populations could account for this wide range in prevalence. For meaningful comparison with our results, we inspected previous reports using the WHO definition of anaemia and included patients with stable chronic heart failure with reduced ejection fraction. Studies of acute decompensated HF patients were excluded, as baseline Hb levels could be falsely lowered by the acute fluid overload status and haemodilution. The overall prevalence of anaemia in our Asian cohort (41%) was higher than that in similar studies of predominantly White ethnicities (16–34%), despite the younger age of our cohort (Supporting Information, Table S2).

The prevalence of anaemia in the Indian subpopulation of our study was particularly high, affecting >50% of Indian patients with HF. A previous report on patients from Singapore showed that Indian patients with HF had high rates of iron deficiency.¹⁶ This suggests that iron deficiency is a major contributing factor to the high prevalence of anaemia among Indian patients with HF and that Indian

patients with HF may benefit from contemporary treatment for iron deficiency, including intravenous iron supplementation.²⁴ Vegetarianism, black tea drinking, and potential genetic factors have been explored as potential reasons for the high prevalence of iron deficiency among Indians;¹¹ however, this remains to be studied. Surprisingly, despite the high prevalence of anaemia in Indian patients, anaemia was not associated with outcomes in the Indian population. This could in part be due to the younger age and better renal function of anaemic Indians in ASIAN-HF, thus representing a lower risk ethnic group in general compared with the other Asian ethnic groups in ASIAN-HF. Accordingly, outcomes among Indian patients were better compared with other ethnic groups with anaemia (Table 2, Figure 1C).

Data from the Japanese Cardiac Registry of Heart Failure in Cardiology (JCARE-CARD)¹⁵ showed a 57% prevalence of anaemia, compared with 37.5% in the Japanese subpopulation of our ASIAN-HF cohort. We postulate that this may be related to the lower mean eGFR in JCARE-CARD (51.3 ± 25.3 mL/min/1.73 m²) compared with that in the Japanese subpopulation of ASIAN-HF (65.2 ± 29.3 mL/min/1.73 m²). This is further supported by the significant interaction we observed between CKD and anaemia. Here, Japanese/Korean patients in particular were at higher odds for having both CKD and anaemia, suggesting that CKD is a major driving factor for the occurrence of anaemia in Japanese/Korean patients with HF. This also holds true for Korean patients with HF. Previous studies from the Korean Heart Failure (KorHF) registry reported higher rates of anaemia of 41.7%,³⁷

compared with 35.5% in the Korean subpopulation of the ASIAN-HF registry. In the KorHF registry, renal function was poorer compared with the Korean patients from the ASIAN-HF registry (creatinine level 1.5 ± 1.2 mg/dL vs. 1.3 ± 1.3 mg/dL). Taken together, these observations could explain why anaemia was associated with the worst adverse outcomes in Japanese/Korean patients, because the anaemic status is closely related to CKD, which in itself is associated with adverse outcomes.³⁸

The clinical implications of this study are two-fold. The high prevalence and potent clinical impact of anaemia among Asian patients with HF suggest that screening for anaemia would be important for risk stratification in these patients. We further highlight particular subgroups of patients who may be targeted for screening (e.g. Indian ethnic group) and identify CKD as a key driver of anaemia in specific subgroups (Japanese/Koreans). Whether treatment of anaemia may improve outcomes among Asian patients with HF warrants further study in prospective clinical trials.

Study limitations

Iron indices were not available to enable determination of cause of anaemia. Potential selection bias is evident in our comparison of patients with and without Hb values and suggests that we have included more severe cases of HF in these analyses (Supporting Information, *Table S1*). While screening logs were encouraged but not available from all sites, every effort was made to ensure protocol adherence and standardization including language translations specific to each region, on-site investigator training, regular monitoring, and centralized database management. We further adjusted for peripheral oedema and raised JVP in an attempt to account for the hemodilutional effect of fluid overload.

Conclusions

This first multi-ethnic ASIAN-HF study shows that anaemia is highly prevalent in Asian patients with HF and adversely impacts QoL and survival, with remarkable differences among the different Asian ethnicities. The high prevalence and potent clinical impact of anaemia among Asian patients with

HF suggest that anaemia may be an important therapeutic target in these patients.

Acknowledgements

The contribution of all the site investigators and clinical coordinators are acknowledged.

Conflict of interest

C.S.P.L. has received research support from Boston Scientific, Medtronic, and Vifor Pharma and has consulted for Bayer, Novartis, Takeda, Merck, Astra Zeneca, Janssen Research & Development, LLC, and Menarini. She has served on the Clinical Endpoint Committee for DC Devices.

A.M.R. has received research support from Boston Scientific, Bayer, Astra Zeneca, Medtronic, Roche Diagnostics, Abbott Laboratories, Thermo Fisher, and Critical Diagnostics and has consulted for Bayer, Novartis, Merck, Astra Zeneca, and Roche Diagnostics.

Funding

The ASIAN-HF study is supported by grants from the National Medical Research Council of Singapore, the A*STAR Biomedical Research Council ATTRaCT program, the Boston Scientific Investigator Sponsored Research Program, and Bayer. C.S.P.L. is supported by a Clinician Scientist Award from the National Medical Research Council Singapore.

Supporting information

Additional Supporting Information may be found online in the supporting information tab for this article.

Appendix S1. List of ASIAN-HF investigators.

Table S1. Baseline characteristics of patients with and without Hb values.

Table S2. Comparison with other similar studies on anaemia in heart failure.

References

1. Ponikowski P, Voors AA, Anker SD, Bueno H, Cleland JG, Coats AJ, Falk V, Gonzalez-Juanatey JR, Harjola VP, Jankowska EA, Jessup M, Linde C, Nihoyannopoulos P, Parissis JT, Pieske B, Riley JP, Rosano GM, Ruilope LM, Ruschitzka F, Rutten FH, van der Meer P. 2016 ESC Guidelines for the Diagnosis and Treatment of Acute and Chronic

- Heart Failure. *Rev Esp Cardiol (Engl Ed)* 2016; **69**: 1167.
- Ezekowitz JA, McAlister FA, Armstrong PW. Anemia is common in heart failure and is associated with poor outcomes: insights from a cohort of 12 065 patients with new-onset heart failure. *Circulation* 2003; **107**: 223–225.
 - Anand IS, Kuskowski MA, Rector TS, Florea VG, Glazer RD, Hester A, Chiang YT, Aknay N, Maggioni AP, Opasich C, Latini R, Cohn JN. Anemia and change in hemoglobin over time related to mortality and morbidity in patients with chronic heart failure: results from Val-HeFT. *Circulation* 2005; **112**: 1121–1127.
 - Ebner N, Jankowska EA, Ponikowski P, Lainscak M, Elsner S, Sliziuk V, Steinbeck L, Kube J, Bekfani T, Scherbakov N, Valentova M, Sandek A, Doehner W, Springer J, Anker SD, von Haehling S. The impact of iron deficiency and anaemia on exercise capacity and outcomes in patients with chronic heart failure. Results from the Studies Investigating Co-morbidities Aggravating Heart Failure. *Int J Cardiol* 2016; **205**: 6–12.
 - Jonsson A, Hallberg AC, Edner M, Lund LH, Dahlstrom U. A comprehensive assessment of the association between anemia, clinical covariates and outcomes in a population-wide heart failure registry. *Int J Cardiol* 2016; **211**: 124–131.
 - Adams KF Jr, Patterson JH, Oren RM, Mehra MR, O'Connor CM, Pina IL, Miller AB, Chiong JR, Dunlap SH, Cotts WG, Felker GM, Schocken DD, Schwartz TA, Ghali JK, Investigators S-HR. Prospective assessment of the occurrence of anemia in patients with heart failure: results from the Study of Anemia in a Heart Failure Population (STAMINA-HFP) Registry. *Am Heart J* 2009; **157**: 926–932.
 - Komajda M, Anker SD, Charlesworth A, Okonko D, Metra M, Di Lenarda A, Remme W, Moulet C, Swedberg K, Cleland JG, Poole-Wilson PA. The impact of new onset anaemia on morbidity and mortality in chronic heart failure: results from COMET. *Eur Heart J* 2006; **27**: 1440–1446.
 - Cleland JG, Zhang J, Pellicori P, Dicken B, Dierckx R, Shoib A, Wong K, Rigby A, Goode K, Clark AL. Prevalence and outcomes of anemia and hematonic deficiencies in patients with chronic heart failure. *JAMA Cardiol* 2016; **1**: 539–547.
 - von Haehling S, Schefold JC, Hodoscek LM, Doehner W, Mannaa M, Anker SD, Lainscak M. Anaemia is an independent predictor of death in patients hospitalized for acute heart failure. *Clin Res Cardiol* 2010; **99**: 107–113.
 - Triposkiadis F, Giamouzis G, Parissis J, Starling RC, Boudoulas H, Skoularigis J, Butler J, Filippatos G. Reframing the association and significance of co-morbidities in heart failure. *Eur J Heart Fail* 2016; **18**: 744–758.
 - von Haehling S, Anker MS, Jankowska EA, Ponikowski P, Anker SD. Anemia in chronic heart failure: can we treat? What to treat? *Heart Fail Rev* 2012; **17**: 203–210.
 - von Haehling S, Gremmler U, Krumm M, Mibach F, Schon N, Taggeselle J, Dahm JB, Angermann CE. Prevalence and clinical impact of iron deficiency and anaemia among outpatients with chronic heart failure: the PrEP Registry. *Clin Res Cardiol* 2017; **106**: 436–443.
 - Groenveld HF, Januzzi JL, Damman K, van Wijngaarden J, Hillege HL, van Veldhuisen DJ, van der Meer P. Anemia and mortality in heart failure patients: a systematic review and meta-analysis. *J Am Coll Cardiol* 2008; **52**: 818–827.
 - WHO. *The Global Prevalence of Anaemia in 2011*. Geneva: World Health Organization; 2015.
 - Hamaguchi S, Tsuchihashi-Makaya M, Kinugawa S, Yokota T, Takeshita A, Yokoshiki H, Tsutsui H, Investigators J-C. Anemia is an independent predictor of long-term adverse outcomes in patients hospitalized with heart failure in Japan. A report from the Japanese Cardiac Registry of Heart Failure in Cardiology (JCARE-CARD). *Circ J* 2009; **73**: 1901–1908.
 - Yeo TJ, Yeo PS, Ching-Chiew Wong R, Ong HY, Leong KT, Jaufeerally F, Sim D, Santhanakrishnan R, Lim SL, M MYC, Chai P, Low AF, Ling LH, Ng TP, Richards AM, Lam CS. Iron deficiency in a multi-ethnic Asian population with and without heart failure: prevalence, clinical correlates, functional significance and prognosis. *Eur J Heart Fail* 2014; **16**: 1125–1132.
 - Lam CS, Teng TK, Tay WT, Anand I, Zhang S, Shimizu W, Narasimhan C, Park SW, Yu CM, Ngarmukos T, Omar R, Reyes EB, Siswanto BB, Hung CL, Ling LH, Yap J, MacDonald M, Richards AM. Regional and ethnic differences among patients with heart failure in Asia: the Asian sudden cardiac death in heart failure registry. *Eur Heart J* 2016; **37**: 3141–3153.
 - Lam CS, Anand I, Zhang S, Shimizu W, Narasimhan C, Park SW, Yu CM, Ngarmukos T, Omar R, Reyes EB, Siswanto B, Ling LH, Richards AM. Asian Sudden Cardiac Death in Heart Failure (ASIAN-HF) registry. *Eur J Heart Fail* 2013; **15**: 928–936.
 - Nutritional anaemias. Report of a WHO scientific group. *World Health Organ Tech Rep Ser* 1968; **405**: 5–37.
 - Schefold JC, Filippatos G, Hasenfuss G, Anker SD, von Haehling S. Heart failure and kidney dysfunction: epidemiology, mechanisms and management. *Nat Rev Nephrol* 2016; **12**: 610–623.
 - Kosiborod M, Soto GE, Jones PG, Krumholz HM, Weintraub WS, Deedwania P, Spertus JA. Identifying heart failure patients at high risk for near-term cardiovascular events with serial health status assessments. *Circulation* 2007; **115**: 1975–1981.
 - Luo N, T THK, Tay WT, Anand IS, Kraus WE, Liew HB, Ling LH, O'Connor CM, Pina IL, Mark Richards A, Shimizu W, Whellan DJ, Yap J, Lam CSP, Mentz RJ, ASIAN-HF, HF-ACTION investigators. Multinational and multiethnic variations in health-related quality of life in patients with chronic heart failure. *Am Heart J* 2017; **191**: 75–81.
 - Green CP, Porter CB, Bresnahan DR, Spertus JA. Development and evaluation of the Kansas City Cardiomyopathy Questionnaire: a new health status measure for heart failure. *J Am Coll Cardiol* 2000; **35**: 1245–1255.
 - Anker SD, Colet JC, Filippatos G, Willenheimer R, Dickstein K, Drexler H, Luscher TF, Mori C, von Eisenhart Rothe B, Pocock S, Poole-Wilson PA, Ponikowski P, Committees F-H, Investigators. Rationale and design of Ferinject assessment in patients with IRon deficiency and chronic Heart Failure (FAIR-HF) study: a randomized, placebo-controlled study of intravenous iron supplementation in patients with and without anaemia. *Eur J Heart Fail* 2009; **11**: 1084–1091.
 - Malyszko J, Anker SD. Iron therapy in heart failure patients without anaemia: possible implications for chronic kidney disease patients. *Clin Kidney J* 2017; **10**: i25–i31.
 - Anker SD, Kirwan BA, van Veldhuisen DJ, Filippatos G, Comin-Colet J, Ruschitzka F, Luscher TF, Arutyunov GP, Motro M, Mori C, Roubert B, Pocock SJ, Ponikowski P. Effects of ferric carboxymaltose on hospitalisations and mortality rates in iron-deficient heart failure patients: an individual patient data meta-analysis. *Eur J Heart Fail* 2017.
 - Jankowska EA, Tkaczyszyn M, Suchocki T, Drozd M, von Haehling S, Doehner W, Banasiak W, Filippatos G, Anker SD, Ponikowski P. Effects of intravenous iron therapy in iron-deficient patients with systolic heart failure: a meta-analysis of randomized controlled trials. *Eur J Heart Fail* 2016; **18**: 786–795.
 - Berry C, Poppe KK, Gamble GD, Earle NJ, Ezekowitz JA, Squire IB, McMurray JJ, McAlister FA, Komajda M, Swedberg K, Maggioni AP, Ahmed A, Whalley GA, Doughty RN, Tarantini L, Group MC. Prognostic significance of anaemia in patients with heart failure with preserved and reduced ejection fraction: results from the MAGGIC individual patient data meta-analysis. *QJM* 2016; **109**: 377–382.
 - Hammadah M, Brennan ML, Wu Y, Hazen SL, Tang WH. Usefulness of relative hypochromia in risk stratification for nonanemic patients with chronic heart failure. *Am J Cardiol* 2016; **117**: 1299–1304.
 - Mentz RJ, Greene SJ, Ambrosy AP, Vaduganathan M, Subacius HP, Swedberg K, Maggioni AP, Nodari S, Ponikowski P, Anker SD, Butler J, Gheorghiadu M. Clinical profile and prognostic value of anemia at the time of admission and discharge among patients hospitalized for heart failure with

- reduced ejection fraction: findings from the EVEREST trial. *Circ Heart Fail* 2014; **7**: 401–408.
31. van der Meer P, Postmus D, Ponikowski P, Cleland JG, O'Connor CM, Cotter G, Metra M, Davison BA, Givertz MM, Mansoor GA, Teerlink JR, Massie BM, Hillege HL, Voors AA. The predictive value of short-term changes in hemoglobin concentration in patients presenting with acute decompensated heart failure. *J Am Coll Cardiol* 2013; **61**: 1973–1981.
 32. McCullough PA, Barnard D, Clare R, Ellis SJ, Fleg JL, Fonarow GC, Franklin BA, Kilpatrick RD, Kitzman DW, O'Connor CM, Pina IL, Thadani U, Thohan V, Whellan DJ, Investigators H-A. Anemia and associated clinical outcomes in patients with heart failure due to reduced left ventricular systolic function. *Clin Cardiol* 2013; **36**: 611–620.
 33. Dunlay SM, Weston SA, Redfield MM, Killian JM, Roger VL. Anemia and heart failure: a community study. *Am J Med* 2008; **121**: 726–732.
 34. O'Meara E, Clayton T, McEntegart MB, McMurray JJ, Lang CC, Roger SD, Young JB, Solomon SD, Granger CB, Ostergren J, Olofsson B, Michelson EL, Pocock S, Yusuf S, Swedberg K, Pfeffer MA, Committees C, Investigators. Clinical correlates and consequences of anemia in a broad spectrum of patients with heart failure: results of the Candesartan in Heart Failure: Assessment of Reduction in Mortality and Morbidity (CHARM) Program. *Circulation* 2006; **113**: 986–994.
 35. Go AS, Yang J, Ackerson LM, Lepper K, Robbins S, Massie BM, Shlipak MG. Hemoglobin level, chronic kidney disease, and the risks of death and hospitalization in adults with chronic heart failure: the Anemia in Chronic Heart Failure: Outcomes and Resource Utilization (ANCHOR) Study. *Circulation* 2006; **113**: 2713–2723.
 36. Horwich TB, Fonarow GC, Hamilton MA, MacLellan WR, Borenstein J. Anemia is associated with worse symptoms, greater impairment in functional capacity and a significant increase in mortality in patients with advanced heart failure. *J Am Coll Cardiol* 2002; **39**: 1780–1786.
 37. Choi DJ, Han S, Jeon ES, Cho MC, Kim JJ, Yoo BS, Shin MS, Seong IW, Ahn Y, Kang SM, Kim YJ, Kim HS, Chae SC, Oh BH, Lee MM, Ryu KH, Kor HFR. Characteristics, outcomes and predictors of long-term mortality for patients hospitalized for acute heart failure: a report from the Korean Heart Failure registry. *Korean Circ J* 2011; **41**: 363–371.
 38. Damman K, Valente MA, Voors AA, O'Connor CM, van Veldhuisen DJ, Hillege HL. Renal impairment, worsening renal function, and outcome in patients with heart failure: an updated meta-analysis. *Eur Heart J* 2014; **35**: 455–469.