

Perioperative Serum Carcinoembryonic Antigen Ratio Is a Prognostic Indicator in Patients With Stage II Colorectal Cancer

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Purpose: The aim of this study was to evaluate whether the perioperative carcinoembryonic antigen (CEA) ratio could be used as a determinant for adjuvant therapy after curative surgery in stage II colorectal cancer.

Methods: Data for 119 patients with stage II colorectal cancer who underwent radical surgery between 2010 and 2013 were collected. The perioperative CEA ratio was defined as the postoperative/preoperative serum CEA level, and the patients were grouped according to their perioperative CEA ratios: high ratio (≥ 0.5) and low ratio (< 0.5). Overall survival rates were calculated, and their prognostic significances were analyzed.

Results: The overall survival rates of the high and the low perioperative CEA groups were 68.2% and 86.8%, respectively ($P = 0.033$). In patients with normal preoperative CEA levels (< 5 ng/mL), the high perioperative CEA ratio group showed a worse survival rate than the low perioperative CEA ratio group (71.7% vs. 100.0%, $P = 0.007$). In patients with high preoperative CEA levels (≥ 5 ng/mL), the high perioperative CEA ratio group showed a worse survival rate than the low perioperative CEA ratio group (33.3% vs. 75.0%, $P = 0.036$). In the multivariate analysis, perioperative CEA ratio ($P = 0.046$), age ($P = 0.034$), and venous invasion ($P = 0.015$) were independent prognostic factors for survival.

Conclusion: The perioperative CEA ratio is a prognostic indicator for stage II colorectal cancer. Patients with normal preoperative serum CEA levels might also be considered for adjuvant therapy if their perioperative CEA ratios are higher than 0.5.

Keywords: Adjuvant chemotherapy; Carcinoembryonic antigen; Neoplasm staging; Colorectal neoplasms; Prognosis

INTRODUCTION

Colorectal cancer is the fourth leading cause of death from cancer in Korea, with a crude mortality rate of 16.4/100,000 [1]. Although the age-standardized incidence rate of colorectal cancer decreased from 2011 to 2014, it is still the third most frequently diagnosed

cancer. The standardized treatment for nonmetastatic colorectal cancer is the radical resection of the tumor lesion. In patients with stage III (node-positive) disease, adjuvant chemotherapy is a routine therapy, and the benefits of chemotherapy have been clearly demonstrated [2]. The administration of chemotherapy in patients with stage II colorectal cancer (node-negative) remains controversial, but those with risk factors for recurrence are recommended for adjuvant chemotherapy [3].

Carcinoembryonic antigen (CEA) is one of the most readily accessible tumor markers for colorectal cancer. Increased preoperative serum CEA levels are related with an increased risk of recurrence and poor prognosis [4-6]. However, in 2006, the American Society of Clinical Oncology (ASCO) concluded that the evidence for using preoperative CEA levels as guidance for adjuvant chemotherapy was insufficient [7]. As studies on CEA have progressed, Lin et al. [8] reported that high early postoperative CEA levels are

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associated with early relapse of CRC and that early postoperative CEA values should surpass preoperative CEA values as a prognostic indicator. Others have confirmed the perioperative serum CEA change as a useful prognostic factor in patients with colorectal cancer [9-11].

Several recent studies have suggested that the ratio of preoperative to postoperative serum CEA is an independent predictor of OS for patients with colorectal cancer. The aim of this study was to evaluate whether the perioperative CEA ratio could be used as a determinant for adjuvant therapy after curative surgery on patients with stage II colorectal cancer.

METHODS

A total of 146 patients with stage II colorectal cancer who had undergone radical surgery between 2010 and 2013 at Inje University Sanggye Paik Hospital were analyzed retrospectively. TNM pathologic stage II disease was diagnosed according to the AJCC Cancer Staging Manual 7th edition [12]. We excluded patients without records of either preoperative or postoperative serum CEA levels and patients who had previous histories of surgical resection for colorectal cancer. Thus, 119 patients were enrolled in this study. The study was approved by the Institutional Review Board of Inje University Sanggye Paik Hospital and the informed consent was waived.

As a routine practice, we obtain serum CEA levels during the preoperative period and on the seventh postoperative day (median). Quantitative determinations of serum CEA were performed using enzyme immunoassays (ADVIA Centaur Systems, Siemens Healthcare Diagnostics Inc., Tarrytown, NY, USA). The normal serum CEA range was defined as below 5 ng/mL. The perioperative CEA ratio was calculated as postoperative/preoperative serum CEA level, and the patients were grouped according to the perioperative CEA ratio: high ratio (≥ 0.5) and low ratio (< 0.5).

Adjuvant chemotherapy was given to all except six patients. A total of 29 patients received intravenous chemotherapy based on 5-fluorouracil. The remaining patients received oral chemotherapy, including 48 patients treated with UFT (tegafur-uracil), 30 patients treated with doxifluridine (5'-deoxy-5-fluorouridine), and 6 patients treated with capecitabine. The expenses for oral chemotherapy are covered by the National Health Insurance Service in Korea; consequently, many patients had received oral chemotherapy. Six of the rectal cancer patients included in the study received preoperative chemoradiotherapy.

The patients were monitored at 3-month intervals for 2 years, at 6-month intervals for the next 3 years, and annually thereafter. History taking, physical examination, and serum CEA assays were performed at each visit. Patients underwent chest computed tomography (CT) and abdominopelvic CT every 6 months, and surveillance colonoscopy was performed a year after surgery and then biannually.

Statistical analyses were performed using IBM SPSS Statistics

Table 1. Demographics of the patients with stage II colorectal cancer (n = 119)

Variable	Value
Age (yr)	
Median (range)	71 (39–86)
<70	56 (47.1)
≥ 70	63 (52.9)
Sex	
Male	69 (58)
Female	50 (42)
Serum CEA level (ng/mL)	
Preoperative	
Median (range)	2.52 (0.5–530.80)
<5	85 (71.4)
≥ 5	34 (28.6)
Perioperative ratio ^a	
Median (range)	0.5495 (0.02–1.80)
<0.5	53 (44.5)
≥ 0.5	66 (55.5)
Postoperative	
Median (range)	1.49 (0.5–60.73)
<5	110 (92.4)
≥ 5	9 (7.6)
Histologic differentiation	
Well	12 (10.1)
Moderately	93 (78.2)
Poorly	7 (5.9)
Mucinous adenocarcinoma	7 (5.9)
Lymphatic invasion	
Absent	59 (49.6)
Present	60 (50.4)
Venous invasion	
Absent	92 (77.3)
Present	26 (21.8)
Missing	1 (0.8)
Perineural invasion	
Absent	83 (69.7)
Present	11 (9.2)
Missing	25 (21)
T stage (depth of invasion)	
T3	98 (82.4)
T4	21 (17.6)

(Continued to the next page)

Table 1. Continued

Variable	Value
No. of retrieved LNs	
Median (range)	21 (3–19)
<12	14 (11.8)
≥12	105 (88.2)
Endoscopic finding	
Passing	58 (48.7)
Obstruction/stent	29 (24.4)
Missing	32 (26.9)
No. of lesions	
Single	111 (93.3)
Double (synchronous)	8 (6.7)
Location of the tumor	
Right colon	34 (28.6)
Left colon	75 (63)
Rectum	10 (8.4)

Values are presented as median (range) or number (%).
CEA, carcinoembryonic antigen; LN, lymph node.

^aPostoperative serum CEA level/preoperative serum CEA level.

ver. 24.0 (IBM Co., Armonk, NY, USA). Survival rates were estimated by using the Kaplan-Meier method, and univariate analyses of the significance of prognostic factors were evaluated by using the log-rank test. A multivariate analysis of factors associated with survival rates was performed using the Cox proportional hazards model with the backward stepwise (likelihood ratio) method. P-values <0.05 were considered statistically significant.

RESULTS

The clinical characteristics of the patients enrolled in this study are presented in Table 1. The median preoperative serum CEA level, postoperative serum CEA level, and perioperative CEA ratio were 2.52 ng/mL (0.5–530.80 ng/mL), 1.49 ng/mL (0.5–60.73 ng/mL), and 0.5495 (0.02–1.80), respectively. Out of 119 patients, 34 patients (28.6%) had high preoperative CEA levels (≥5 ng/mL) whereas 85 (71.4%) had normal preoperative CEA levels (<5 ng/mL). A total of 66 patients (55.5%) were placed in the high perioperative CEA ratio (≥0.5 ng/mL) group while 53 patients (44.5%) were placed in the low perioperative CEA ratio (<0.5 ng/mL) group. The median age was 71 years (39–86 years), with 63 patients (52.9%) being older than 70.

On the univariate analyses (Table 2), the OS was longer in patients with low perioperative CEA ratios (P = 0.033), age <70 (P = 0.004), and no history of venous invasion (P = 0.012). The patients with better tumor differentiation grades showed a reliable trend (P = 0.092) toward longer survival. The multivariate analysis (Table 3) was performed on the significant factors (P < 0.05) of

Table 2. Univariate analyses for independent predictors of overall survival (OS) (n = 119)

Variable	OS ^b (%)	P-value
Preoperative CEA level (ng/mL)		
<5	80.0	0.097
≥5	67.6	
Perioperative CEA ratio ^a		
<0.5	86.8	0.033
≥0.5	68.2	
Postoperative CEA level (ng/mL)		
<5	76.4	0.935
≥5	77.8	
Age (yr)		
<70	87.5	0.004
≥70	66.7	
Sex		
Male	71.0	0.127
Female	84.0	
Histologic differentiation		
Well	100.0	0.092
Moderately	74.2	
Poorly	57.1	
Mucinous adenocarcinoma	85.7	
No. of retrieved LNs		
<12	57.1	0.087
≥12	79.0	
Lymphatic invasion		
Absent	83.1	0.074
Present	70.0	
Venous invasion		
Absent	82.6	0.012
Present	57.7	
Perineural invasion		
Absent	79.5	0.172
Present	63.6	
T stage (depth of invasion)		
T3	76.5	0.754
T4	76.2	
Endoscopic finding		
Passing	79.3	0.166
Obstruction/stent	65.5	
No. of lesions		
Single	76.6	0.839
Double (synchronous)	75.0	
Location of the tumor		
Right colon	88.2	0.203
Left colon	72.0	
Rectum	70.0	

CEA, carcinoembryonic antigen; OS, overall survival.

^aPostoperative/preoperative serum CEA level. ^bOverall survival rates are presented as percentages.

Table 3. Multivariate analyses for independent predictors of overall survival

Variable	HR	95% CI	P-value
Perioperative CEA ratio ^a , <0.5 vs. ≥0.5	2.460	1.017–5.946	0.046
Venous invasion, absent vs. present	2.678	1.210–5.928	0.015
Age (yr), <70 vs. ≥70	2.773	1.079–7.127	0.034

HR, hazard ratio; CI, confidence interval; CEA, carcinoembryonic antigen.

^aPostoperative/preoperative serum CEA level.

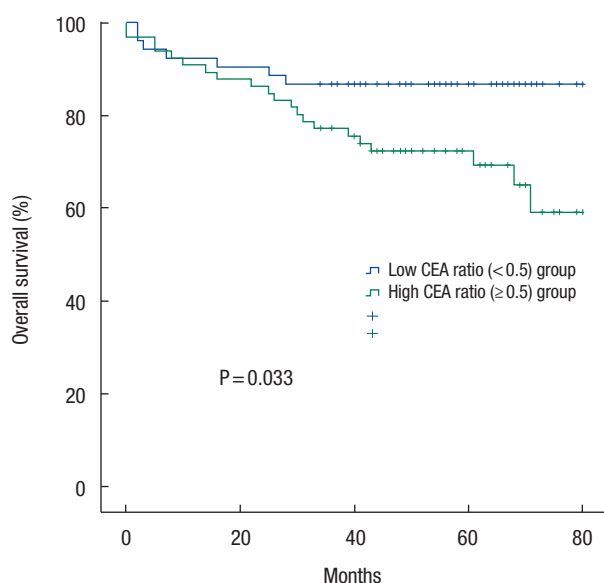


Fig. 1. Overall survival rates of patients based on perioperative carcinoembryonic antigen (CEA) ratio.

the univariate analyses. Similarly, age, venous invasion, and perioperative CEA ratio were independent prognostic factors for OS. Fig. 1 depicts the OS curves obtained by using the Kaplan-Meier method and the log-rank test value.

On the univariate analysis, perineural invasion ($P = 0.021$) was the only significant prognostic factor for disease-free survival (DFS). Patients with low perioperative CEA ratios were not associated with a better DFS rate compared to patients with high perioperative CEA ratios (84.9% vs. 84.8%, $P = 0.962$). The systemic and the locoregional recurrence rates for the high perioperative CEA ratio group vs. the low CEA perioperative ratio group were 11.3% vs. 13.6% ($P = 0.705$) and 5.7% vs. 4.5% ($P = 0.782$).

In patients with normal preoperative CEA levels (<5 ng/mL), the OS and the DFS for the high perioperative CEA ratio group ($n = 60$) vs. the low perioperative CEA ratio group ($n = 25$) were 71.7% vs. 100.0% ($P = 0.007$) (Table 4) and 86.7% vs. 88.0% ($P = 0.786$). In patients with high preoperative CEA levels (≥ 5 ng/mL), the OS and the DFS for the high perioperative CEA ratio group ($n = 6$) vs. the low perioperative CEA ratio group ($n = 28$) were 33.3% vs. 75.0% ($P = 0.036$) (Table 4) and 66.7% vs. 82.1% ($P = 0.184$). Fig. 2 de-

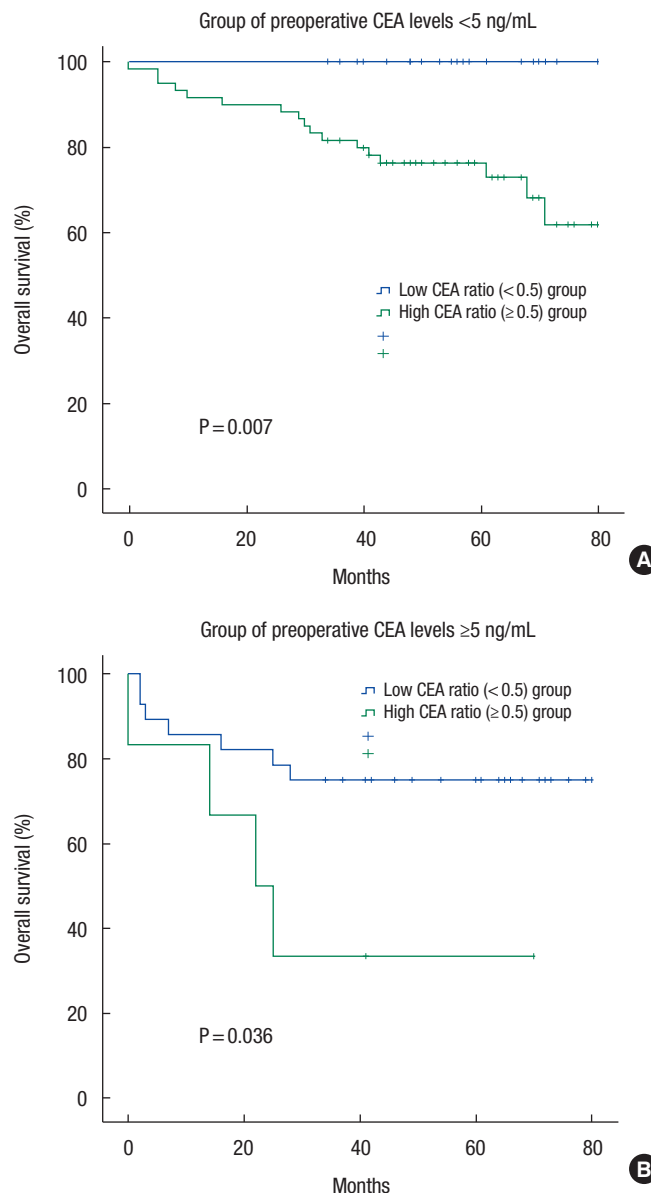


Fig. 2. Overall survival curves of patients based on perioperative carcinoembryonic antigen (CEA) ratio in the groups with preoperative CEA levels of <5 ng/mL (A) and ≥ 5 ng/mL (B).

picts the OS curves of the patients based on the perioperative CEA ratio in the groups with normal and high preoperative CEA levels.

DISCUSSION

In stage II colorectal cancer, a survival benefit has not been demonstrated for adjuvant chemotherapy [13]. However, the administration of adjuvant chemotherapy should be considered if high risk factors are present. The ASCO, the National Comprehensive Cancer Network, and the European Society for Medical Oncology

Table 4. Univariate analyses for independent predictors of overall survival (OS) according to preoperative carcinoembryonic antigen (CEA) level

Variable	Preoperative CEA level					
	<5 ng/mL (n = 85)			≥5 ng/mL (n = 34)		
	Total N ^b	OS ^c (%)	P-value	Total N	OS (%)	P-value
Perioperative CEA ratio ^a						
<0.5	25	100	0.007	28	75.0	0.036
≥0.5	60	71.7		6	33.3	
Postoperative CEA level (ng/mL)						
<5	85	80.0	-	25	64.0	0.493
≥5	0	-		9	77.8	
Age (yr)						
<70	43	88.4	0.017	13	84.6	0.121
≥70	42	71.4		21	57.1	
Sex						
Male	50	74.0	0.136	19	63.2	0.477
Female	35	88.6		15	73.3	
Histologic differentiation						
Well	9	100	0.160	3	100	0.476
Moderately	66	77.3		27	66.7	
Poorly	6	66.7		1	0.0	
Mucinous adenocarcinoma	4	100		3	66.7	
No. of retrieved LNs						
<12	10	50.0	0.003	4	75.0	0.711
≥12	75	84.0		30	66.7	
Lymphatic invasion						
Absent	43	86.0	0.133	16	75.0	0.348
Present	42	73.8		18	61.1	
Venous invasion						
Absent	69	85.5	0.075	23	73.9	0.229
Present	15	60.0		11	54.5	
Perineural invasion						
Absent	61	83.6	0.383	22	68.2	0.371
Present	7	71.4		4	50.0	
T stage (depth of invasion)						
T3	74	79.7	0.999	24	66.7	0.970
T4	11	81.8		10	70.0	
Endoscopic finding						
Passing	45	80.0	0.160	13	76.9	0.607
Obstruction/stent	20	65.0		9	66.7	
No. of lesions						
Single	81	80.2	0.758	30	66.7	0.756
Double (synchronous)	4	75.0		4	75.0	
Location of the tumor						
Right colon	26	92.3	0.164	8	75.0	0.572
Left colon	52	73.1		23	69.6	
Rectum	7	85.7		3	33.3	

LN, lymph node.

^aPostoperative/preoperative serum CEA level. ^bTotal number of patients. ^cOverall survival rates are presented as percentages.

have suggested several high-risk factors, which include fewer than 12 lymph nodes sampled, poorly differentiated histology, colonic obstruction or perforation, perineural, vascular, or lymphatic invasion, T4 lesions, close or positive margins, and mismatch repair status [7, 14]. Although abundant studies suggest that preoperative CEA is an independent risk factor for survival, no expert panel has accepted elevated preoperative CEA levels as a determinant for adjuvant chemotherapy in patients with stage II colorectal cancer.

In the present study, we observed the following risk factors for patients with stage II colorectal cancer: the presence of lymphatic invasion, age ≥ 70 years, and high perioperative CEA ratio (≥ 0.5 ng/mL). However, the preoperative CEA level for OS failed to reach statistical significance, suggesting that a high preoperative CEA level itself lacks the power to discriminate the poor prognostic group in patients with stage II colorectal cancer. Indeed, many researchers have reported results supporting this finding. Moertel et al. [15] stated that CEA was not significantly associated with survival among Dukes' A and B lesions, and other researchers have insisted that the parameter of CEA should reflect postoperative CEA levels [8]. The perioperative CEA ratio we have suggested has strength, in that it covers both preoperative and postoperative CEA levels. The estimated half-life of serum CEA is 3 to 5 days, and if a successful surgical resection is done, high levels of CEA should return to the normal range within 2 weeks to 1 month [16, 17]. Therefore, the perioperative ratio may reflect how radically the tumor has been resected. Several researchers have suggested that perioperative serum CEA changes in the preoperative and early postoperative periods are predictive of recurrence and prognosis in patients with colorectal cancer [9-11, 18, 19].

The major finding of our study was that the low perioperative CEA ratio (< 0.5 ng/mL) group showed better prognosis than the high perioperative CEA ratio (≥ 0.5 ng/mL) group; that is to say, a decrease in the serum CEA level of over 50% after radical surgery was related to better OS. We have set the reference point for the perioperative CEA ratio as 0.5 ng/mL because previous studies demonstrated that a value close to '50% decreased rate' of perioperative serum CEA had statistical significance in determining OS [10, 11]. According to these studies, normalization of the early postoperative CEA level and a decreased rate of perioperative CEA could be used as prognostic factors for patients who have elevated preoperative CEA levels [10, 11]. Similarly, we observed that patients with preoperative CEA levels ≥ 5 ng/mL had better survival rates if their perioperative CEA ratios were less than 0.5 ng/mL.

An exclusive finding in our study was that the perioperative CEA ratio was associated with survival difference regardless of preoperative serum CEA level. Among the patients with normal preoperative CEA levels, the OS of the low perioperative CEA ratio group was higher than that of the high perioperative CEA ratio group. The conventional view of tumor markers is that when their levels are in the normal range, the risk of recurrence is assumed to be low. However, the CEA level is a marker of considerable individuality, which means that the usual cut-off limits are inappropriate

for detecting unusual results in a particular subject [20, 21]. Instead, serial measurements from an individual form a better basis for early detection of relapse [22]. Therefore, based on our study and those of others, a perioperative change in serum CEA should be calculated even if the preoperative and the postoperative CEA levels are within the normal ranges.

As mentioned previously, the present study suggested the perioperative CEA ratio as an independent prognostic factor for OS. However, in terms of the possible prognostic factors for DFS, the perioperative CEA ratio was not significantly related to the survival rate. In addition, the univariate analysis among the patients with normal preoperative CEA levels (Table 4) proved that a number of retrieved lymph nodes < 12 , age ≥ 70 years, and high perioperative CEA ratio (≥ 0.5 ng/mL) were poor prognostic factors. However, when a multivariate analysis was performed, age ≥ 70 years ($P = 0.018$; hazard ratio, 3.425; 95% confidence interval, 1.235–9.501) was the only significant factor whereas the number of retrieved lymph nodes ($P = 0.084$) and the perioperative CEA ratio ($P = 0.959$) lost their significance. This may limit the validity of the conclusions of the present study. In both groups, normal and high preoperative CEA levels, patients with T4 stage showed better survival rate than patients with T3 stage (Table 4). The small number of samples might be cause of the outcome, which is different from general expectation. Further research on a large population of patients is necessary to investigate the perioperative CEA ratio and prognostic factors.

In conclusion, the perioperative CEA ratio is a prognostic indicator for patients with stage II colorectal cancer. Our study confirmed that the perioperative CEA ratio could be a better prognostic factor than the preoperative and the postoperative CEA levels. Patients with normal preoperative serum CEA levels should also be monitored thoroughly and considered for adjuvant therapy if their perioperative CEA ratios are higher than 0.5 ng/mL.

CONFLICT OF INTEREST

No potential conflict of interest relevant to this article was reported.

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