**Research Paper** 

# Identification of immune and stromal cell infiltration-related gene signature for prognosis prediction in acute lymphoblastic leukemia

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#### ABSTRACT

Acute lymphoblastic leukemia (ALL) is a common and life-threatening hematologic malignancy, its occurrence and progression are closely related to immune/stromal cell infiltration in the bone marrow (BM) microenvironment. However, no studies have described an immune/stromal cell infiltration-related gene (ISCIRG)-based prognostic signature for ALL. A total of 444 patients involving 437 bulk and 7 single-cell RNA-seq datasets were included in this study. Eligible datasets were searched and reviewed from the database of TCGA, TARGET project and GEO. Then an integrated bioinformatics analysis was performed to select optimal prognosis-related genes from ISCIRGs, construct a nomogram model for predicting prognosis, and assess the predictive power. After LASSO and multivariate Cox regression analyses, a seven ISCIRGs-based signature was proved to be able to significantly stratify patients into high- and low-risk groups in terms of OS. The seven genes were confirmed that directly related to the composition and status of immune/stromal cells in BM microenvironment by analyzing bulk and single-cell RNA-seq datasets. The calibration plot showed that the predicted results of the nomogram were consistent with the actual observation results of training/validation cohort. This study offers a reference for future research regarding the role of ISCIRGs in ALL and the clinical care of patients.

#### **INTRODUCTION**

Acute lymphoblastic leukemia (ALL) is one of the most frequent hematologic malignancies, especially in children, accounting for approximately 25% of all pediatric cancers [1]. The immunophenotypes of ALL include T cell ALL (T-ALL), B cell ALL (B-ALL) and mixed-phenotype acute leukemia (MPAL), where MPAL is a rare immunophenotype with features of both ALL and acute myeloid leukemia (AML) [2]. Although the application of immunotherapy led by chimeric antigen receptor T (CAR T) cell therapy has greatly improved the clinical remission rate of ALL patients [3], a shorter overall survival (OS) rate caused by adverse prognosis is still a crucial challenge for clinicians and patients. For example, the OS rate of adult ALL patients is less than 45% [4].

Accumulating evidence indicates that the crosstalk between tumor and immune cells plays a crucial role in cancer development by regulating tumor malignancy, immune/stromal cell infiltration, and immune evasion in the tumor microenvironment [5–8]. Similar to solid tumors, the bone marrow (BM) microenvironment of ALL is a dynamic system of immune cells, endothelial progenitor cells, stromal cells, extracellular matrix, growth factors and cytokines. Therefore, evaluating the role of immune/stromal cell infiltration of the BM microenvironment in survival and progression and identifying novel accurate biomarkers for assessing the immune/stromal cell infiltration-related risk in patients with ALL is of utmost importance for improving the prognosis.

Previous studies confirmed that activated stromal cells could rescue ALL cells from oxidative stress by transferring mitochondria [9], and some immune cells could predict outcomes in ALL patients [10]. For example, the proportion of PD1+TIM3+ double-positive CD4+ T cells could predict poor survival in adult B-ALL patients [10, 11], and increased frequencies of activated cytokine-producing natural killer (NK) cells could independently predict poor clinical outcome in ALL patient [12]. In tumor microenvironment, cytokines played a major role in the regulation of the cellular responses between tumor cells and immune cells, for example, TGFB, IL-10 and IL-6 could dampen the anti-tumor response of NK cells by suppressing activity and promote subsequent tumor evasion and progression [13, 14].

The Estimation of Stromal and Immune cells in Malignant Tumors using Expression data (ESTIMATE) algorithm is an analysis approach based on single sample gene expression signatures to infer the fraction of stromal and immune cells and to generate immune/stromal scores for predicting the infiltration of stromal and immune cells in malignant tumors [15]. It has made outstanding progress in a variety of solid malignancies and some hematological malignancies, such as glioma [16], prostate cancer [17], gastric cancer [18], colon cancer [19] and AML [20]. At present, the prognosis prediction models regarding ALL are still based on specific genes or some clinicopathologic characteristics [21–23]. Therefore, this study aims to investigate the infiltration of immune/stromal cells in the BM microenvironment and construct an accurate immune/stromal cell infiltrationrelated genes (ISCIRGs)-based model for prognosis prediction of ALL patients.

#### **RESULTS**

### Data source and clinicopathologic characteristics of patients

The datasets selection process is shown in Figure 1. By reviewing the information of ALL related datasets from The Cancer Genome Atlas (TCGA) and the Therapeutically Applicable Research to Generate Effective Treatments (TARGET) project database, 494 and 325 primary datasets were identified for training group and validation group, respectively. After eliminating 95 datasets that were not derived from BM samples and 5 datasets without follow-up time, survival information and detailed clinical information, 394 datasets were included in training group. Meanwhile,





Demographic or characteristic	Training cohort $(n = 394)$	Validation cohort $(n = 43)$	<i>p</i> *	Total $(n = 437)$
Gender, No. (%)				
Male	265 (67.3)	23 (53.5)	0.070	288 (65.9)
Female	129 (32.7)	20 (46.5)		149 (34.1)
Age (years), No. (%)				
<18	375 (95.2)	43 (100)	0.141	418 (95.7)
≥18	19 (4.8)	0 (0)		19 (4.3)
Immunophenotypes, No. (%)				
B-ALL	145 (36.8)	2 (4.7)	-	147 (33.6)
T-ALL	242 (61.4)	-		242 (55.4)
MPAL	7 (1.8)	-		7 (1.6)
Unknown	0 (0)	41 (95.3)		41 (9.4)
Ethnicity, No. (%)				
Asian	19 (4.8)	2 (4.7)	-	21 (4.8)
Black or African American, No. (%)	43 (10.9)	-		43 (9.8)
Native Hawaiian or other Pacific Islander	3 (0.8)	_		3 (0.7)
White	287 (72.8)	7 (16.3)		294 (67.3)
Unknown	42 (10.7)	34 (79)		76 (17.4)

Table 1. Demographics and clinicopathologic characteristics of patients with ALL.

\*p value was calculated by Pearson chi-square test.

after removing duplicates, 14 datasets that were not derived from BM samples and 10 datasets without clinical information (i.e., follow-up time, survival information, sex, age, and race), 43 datasets were included in validation group. Subsequently, the complete gene expression profiles and the corresponding metadata and clinical profiles were downloaded and merged.

A total of 437 ALL patients, including 288 (65.9%) males and 140 (34.1%) females, were finally included in this study (Figure 1). The age at initial pathological diagnosis ranged from 1 to 30 years, including 418 (95.7%) children (<18 years old) and 19 (4.3%) adults ( $\geq$ 18 years old). The three primary diagnosis immunophenotypes were T-ALL (242, 55.4%), B-ALL (147, 33.6%) and MPAL (7, 1.6%). The ethnicity included Asian (21, 4.8%), black or African American (43, 9.6%), native Hawaiian or other Pacific Islander (3, 0.7%) and White (294, 67.3%); 76 patients (17.4%) were unknown. There were no significant statistical differences between the two groups of characteristic variables (p > 0.05, Table 1, Supplementary Table 1).

# Identification of immune and stromal cell infiltration in training cohort

The ESTIMATE algorithm was applied to calculate the immune/stromal score for all included samples, in training cohort, the former ranged from 524.82 to

3304.62, and the latter ranged from -2316.13 to -362.69 (Supplementary Table 1). The immune score had a significant association with immunophenotype (p < 0.0001, Figure 2A) but not with race (p = 0.3753, Figure 2C), and the stromal scores were significantly associated with both the immunophenotype (p < 0.0001, Figure 2B) and race (p = 0.0465, Figure 2D).

Subsequently, the included ALL patients were classified into high (n = 197) and low score (n = 197) groups to explore the potential relationships in OS vs. immune scores and OS vs. stromal scores. Kaplan–Meier (KM) survival analysis showed that the OS time of patients in both the high immune score group and the high stromal score group was significantly shorter than that of patients in the low immune score group (p = 0.015, Figure 2E) and the low stromal score group (p = 0.003) (p > 0.05, Figure 2F). The above findings suggest that the OS of ALL patients is significantly associated with both the immune score and stromal score.

# Identification of common differentially expressed genes (DEGs) based on immune/stromal scores

A total of 440 and 692 DEGs between high/low immune and stromal scores were identified, respectively (Figure 3A). The heatmap is shown in Figure 3B. Moreover, 233 commonly downregulated genes and 102 commonly upregulated genes were identified from the immune score/stromal score groups through integrated bioinformatics analysis, and the Venn plot is shown in Figure 3C. These common DEGs are the raw data for subsequent studies.

To investigate the biological functions of the common DEGs, we further performed Gene Ontology (GO) and

Kyoto Encyclopedia of Gene and Genomes (KEGG) pathway enrichment analysis. GO enrichment analysis included three subontologies: biological process (BP), cellular component (CC) and molecular function (MF). For BP, the common DEGs mainly enriched in immune response-activating cell surface receptor signaling



**Figure 2. The association between immune conditions and the clinical features in training group.** (A, B) Distribution of immune scores and stromal scores for immunophenotypes of ALL patients. (C, D) Distribution of immune score and stromal score for races of ALL patients. (E) KM survival curve for comparison between samples with high and low stromal scores. (F) KM survival curve for comparison between samples with high and low stromal scores. (F) KM survival curve for comparison between samples with high and low stromal scores.

pathway; For CC, the common DEGs were chiefly enriched in MHC protein complex; For MF, DEGs were principally enriched in immune receptor activity Supplementary Figures 1–3). KEGG pathway enrichment analysis showed that the enrichment of the common DEGs was chiefly concentrated in cell adhesion molecules, systemic lupus erythematosus, and hematopoietic cell lineage (Supplementary Figure 4).

#### Identification of a ISCIRGs-based signature

To investigate the potential value of the common DEGs in predicting the OS of ALL patients, first, KM survival analysis was performed for all common DEGs. The results showed that 335 common DEGs were significantly associated with OS (p <0.05. Supplementary Table 2). Second, univariate Cox analysis was performed, and 317 prognosis-associated ISCIRGs were identified. Least absolute shrinkage and selection operator (LASSO) followed by multivariate Cox analysis identified seven optimal prognosis-related ISCIRGs (i.e., LILRA1, NRGN, VPREB3, MT-ND6, EMP2, IGHM and FFAR1) as a risk signature (Figure 4A, 4B, Supplementary Table 3). Based on the multivariate Cox proportional hazards regression model, the expression coefficient of each independent risk gene was obtained, and our prognostic model for predicting prognosis was formed using the following formula: Risk score =  $Exp_{LILRAI} \times 0.001077 + Exp_{NRGN} \times 0.000137 + Exp_{VPREB3} \times 0.000019 + Exp_{MT-ND6} \times 0.000005 + Exp_{EMP2} \times 0.000259 + Exp_{IGHM} \times 0.000003 + Exp_{FFARI} \times 0.000133.$ 

Based on the BM sample of healthy persons and ALL patients from TCGA, we found that, compared to healthy BM, in ALL, the gene expression level of LILRA1, NRGN, MT-ND6, EMP2, and IGHM were significantly decreased (Figure 4C). Furthermore, based on four datasets of ALL patients (i.e., St Jude, Nat Genet 2013; St Jude, Nat Genet 2015; St Jude, Nat Genet 2016; and TARGET, 2018), the genetic alterations, including amplification and deep deletions, were identified in five genes (i.e., LILRA1, NRGN, VPREN3, EMP2, and FFAR1), with frequencies ranging from 0.4% to 1.8% (Figure 4D). Representative immunohistochemically pictures of VPREN3 protein expression was shown in Supplementary Figure 5. In addition, the STRING online database and Cytoscape software were used to construct a Protein-Protein Interaction (PPI) network to investigate the interplay among the seven ISCIRGs. The overall network contained 22 nodes and 376 edges (Supplementary



**Figure 3. Identification of DEGs based on immune score and stromal score.** (A) Volcano plot of DEGs from the low/high immune and stromal score groups. Note: Genes with p < 0.05 are shown in red (FC > 2) and green (FC < -2). Black plots represent the remaining genes (those with no significant difference). (B) Heatmap of DEGs from the low/high immune and stromal score groups. (C) Venn plot for common up- and downregulated DEGs in the stromal and immune score groups.

Figure 6). Furthermore, GO enrichment analysis showed that the seven ISCIRGs were mainly enriched in mitochondrial electron transport and oxidative phosphorylation (BP), NADH dehydrogenase (ubiquinone) activity (MF), and respirasome (CC). KEGG pathway enrichment analysis demonstrated that these genes were also significantly associated with oxidative phosphorylation (Supplementary Table 4).



**Figure 4. Identification of an ISCIRG-based signature.** (A) The coefficient profile plot was produced against the log(lambda) sequence. A vertical line was drawn at the value selected using ten-fold cross-validation, where an optimal lambda value resulted in ten features with nonzero coefficients. (B) Optimal parameter (lambda) selection in the LASSO model used ten-fold cross-validation via minimum criteria. The partial likelihood deviance (binomial deviance) curve was plotted versus the log(lambda) value. Dotted vertical lines were drawn at the optimal values by using the minimum criteria and the I standard error of the minimum criteria. (C) The mRNA expression profiles of the seven prognostic ISCIRGs between health and tumor tissues (bulk RNA-seq datasets). \*\*p < 0.01, \*\*\*p < 0.001 and \*\*\*\*p < 0.0001. (D) Genetic alterations of seven prognostic ISCIRGs in ALL calculated by cBioPortal database. \*p < 0.05.

#### Prognostic value of the ISCIRG-based signature

After calculating the risk scores of all patients, we used the median risk score as a cutoff value to classify patients of training cohort into high- and low-risk groups. KM survival analysis showed that the patients in high-risk group had significantly lower OS than those in low-risk group (log-rank text p < 0.0001, Figure 5A, 5B). Time-dependent ROC analysis confirmed favorable values in predicting OS in this validation set (Figure 5C). We also performed univariate and multivariate Cox regression analysis. Univariate Cox regression analysis confirmed that risk score was a significant prognostic factor (hazard ratio (HR) 95% confidence interval (CI): 5.915 [3.208, 10.907], p < 0.001, Figure 6A). Multivariate Cox regression analysis showed that after adjusting for clinicopathological features and tumor purity, the ISCIRG-based signature was still an independent prognostic factor and predictor for ALL patients (HR 95% CI: 2.527 [1.052, 6.070], p = 0.038, Figure 6B). In addition, tumor purity was not a factor (p = 0.271) influencing the significant association between the ISCIRG-based signature and prognosis in multivariate cox model, demonstrating that this model was not just reporting the level of tumor burden in patients.

Subsequently, subgroup analysis was performed to further confirm the prognostic value of the gene signature in different clinicopathological factors. The results showed that the association between risk score and OS remained markedly significant after controlling for race and immunophenotype (Figure 7A–7D).

### Association between the ISCIRG-based signature and immune cell infiltration

Four normal and seven B-ALL single-cell RNA-seq datasets from GSE134759 were included in this analysis. The included samples were collected at the



**Figure 5. Assessment of the prognostic value of the ISCIRG signature in training group.** (**A**) KM survival curve for high-risk and low-risk patients. (**B**) Risk score analysis for the high-risk group and low-risk group. Upper panel: Patient survival status and time distributed by the risk score. Middle panel: Risk score curves of the ISCIRG signature. Bottom panel: Heatmaps of the expression levels of the seven ISCIRGs. The colors from green to red indicate the gene expression levels from low to high. (**C**) Time-dependent ROC curve for 1-, 3-, and 5-year OS rates.

beginning of diagnosis of ALL patients. After quality control, the combined 11 diagnostic BM samples included 27810 cells with a mean and median of 2268 and 2110 detected genes, respectively. The number of genes detected was significantly associated with the sequencing depth. The tSNE algorithm identified 23 separate clusters (Supplementary Figure 7A–7G). Then, 7478 B cells, 933 T cells, 438 dendritic cells (DCs), 77 erythroblast cells, 1068 hematopoietic stem cells and progenitor cells (HSPCs), 1327 myeloid cells and 773 NK cells were identified and annotated based on the marker genes (Figure 8A, 8B). The immune cell infiltration showed a large difference between healthy persons and ALL patients, for some severe patients, such as patient 1, there were almost no other immune cells in BM except tumor cells (i.e., B-cells) (Figure 8C). *LILRA1, NRGN, VPREB3, MT-ND6, EMP2,* and *IGHM* genes were expressed in different degrees in different immune cells and stromal cells, among which *IGHM* and *VPREB3* had significantly higher expression levels in B cells than in other cells, NRGN was expressed in all kinds of immune cells and myeloid cells and myeloid cells of the BM microenvironment (Figure 8D). Moreover, the mRNA expression levels of *LILRA1, VPREB3, EMP2,* and *IGHM* were statistically



Figure 6. Univariate and multivariate Cox analyses. (A) Forest plot of univariate Cox analyses. (B) Forest plot of multivariate Cox analyses.

increased, and the mRNA expression levels of *NRGN* and *MT-ND6* were statistically decreased in ALL sample compared to normal sample (Supplementary Figure 8).

Based on the annotated single-cell gene expression matrix in the above results, we built a custom signature matrix file by using Cell-type Identification by Estimating Relative Subsets of RNA Transcripts x (CIBERSORTx) (Supplementary Table 5). Subsequently, we used the custom signature matrix file to impute the BM cell fractions for patients of training cohort (Figure 9A). After removing B cells and T cells that may correspond to the immunophenotype of ALL, the results showed that the low-risk group exhibited higher levels of HSPCs. The high-risk group exhibited higher levels of myeloid cells, DCs, and NK cells (Figure 9B). Figure 9C demonstrates correlations between the various immune cells. Furthermore, the survival analysis showed that patients with higher DCs and NK cells had a shorter OS time than those with lower DCs (p < 0.001) and NK cells (p = 0.01). While, patients with higher HSPCs had a longer OS time than those with lower HSPCs (p < 0.001, Figure 9D).





### Construction and validation of clinically applicable prognostic nomogram

Based on the four valuable factors (immunophenotype, race, age and gender) and the ISCIRG-based signature in the bulk RNA-seq data set of training group, a prognostic nomogram was developed to provide a clinically applicable quantitative approach for individual OS prediction (Figure 10A). The calibration test indicated the perfect prediction ability of this model (concordance index (C-index):  $0.802 \ 95\%$  CI: 0.7473-0.8572, S: p = 0.887, ROC = 0.840, Figure 10B). The calibration plots suggested that the agreement between the predicted 1-, 3-, and 5-year OS rates and



**Figure 8. Cell composition and mRNA expression profiles of seven ISCIRGs in single-cell RNA-seq samples.** (A) tSNE of the 27810 cells profiled here, with each cell color-coded for (left to right): its sample group of origin (ALL or health BM), the corresponding case (health cases: H1 to H4, ALL patients: P1 to P7) and the associated cell type. (B) Expression of marker genes for the cell types defined above each panel. (C) Proportion of cell type in BM of each participant. (D) The mRNA expression profiles of the seven ISCIRGs in each type of cell.

actual observations was excellent (Figure 10C). These results suggest that the prognostic nomogram is reliable and can be applied for ALL patients.

dataset of validation group. KM survival analysis showed that the population with higher risk score also had significantly lower OS than those with lower risk score (log-rank text p = 0.03, Supplementary Figure 9A, 9C). Time-dependent ROC analysis confirmed favorable values in predicting OS in this validation set

To validate the feasibility and robustness of our nomogram, we performed a similar analysis process for



Figure 9. The landscape of immune cell infiltration between the high- and low-risk groups. (A) Proportion of cell type of the low- and high-risk groups in bulk RNA-seq samples. (B) Differential immune cell infiltrates between the high- and low-risk groups. (C) Correlation matrix of the relationship between the expression levels of the seven ISCIRGs and differential immune infiltration levels. (D) KM survival curves for patients with higher and lower proportions of specific cell.

(Supplementary Figure 9B). And the calibration test showed the good prediction ability as well (C-index: 0.6861 95% CI: 0.5777–0.7946, S: p = 0.962, ROC = 0.778, Supplementary Figure 10A). The calibration plots also suggested that the agreement between the predicted 1-, 3-, and 5-year OS rates and actual observations was good (Supplementary Figure 10B). Besides, we further validated the prognostic significance of this model by analyzing two external validation datasets, including GSE13576 (n = 197) and GSE50999 (n = 43). The results showed that patients (GSE13576) with pediatric B-ALL in higher risk score

group had higher early and late relapse rates (19.4%) compared to those in lower risk score group (13.3%, Supplementary Figure 11, Supplementary Table 6), and patients (GSE50999) with T-ALL in higher risk score group also had a higher relapse rate (28.6%) than those in lower risk score group (13.6%, Supplementary Figure 12, Supplementary Table 6).

Furthermore, to visualize and facilitate the clinical use of the prognostic nomogram, we developed an easy-tooperate web-based model that predicted the OS of ALL based on the established nomogram (Figure 11A, 11B).



Figure 10. Prognostic nomogram to predict the 1-, 3-, and 5-year OS of ALL patients. (A) Nomogram model to predict the prognosis of ALL patients. (B) Calibration test for the prognostic nomogram. (C) Calibration plot of the prognostic nomogram for predicting OS at 1-, 3-, and 5-years.

The estimated probability of disease progression could be obtained by drawing a vertical line from the total point axis to the outcome axis.

#### **DISCUSSION**

ALL is a rapidly progressive hematologic malignancy whose maintenance and progression are highly dependent upon the interaction between immune/ stromal cells and the nonmalignant microenvironment [24]. Therefore, in the early stage of disease



Follow Up Time

#### A Dynamic Nomogram

progression, adequate assessments of the infiltration of immune/stromal cells and accurate prediction tools are essential for treatment decision-making and prognostic evaluation in patients with ALL. This study investigated immune/stromal cell infiltration and assessed the involvement of ISCIRGs in prognosis in patients with ALL. First, the scores regarding immune/stromal cell infiltration were proved to be of prognostic value. Second, a ISCIRGs-based signature was constructed. Third, seven key ISCIRGs were confirmed that had prognostic value and were associated with the

#### **B** Dynamic Nomogram



Ν	lumerica	I Summary Moo	del Sum	mary		
=:	futime	Immunophenotype	Race	Sex	Age	riskSo
1	6	B-ALL	Asian	Female	9	60
2	6	B-ALL	Asian	Female	13	43
3	6	B-ALL	Asian	Female	16	40
	~	B-ALL	Asian	Female	16	130

Figure 11. Web-based calculator for predicting OS in patients with ALL. (A) Web-based overall survival rate calculator. (B) The 95% CI of the web-based progression-free survival rate.

infiltration of specific types of immune cells based on the analysis results combined single-cell RNA-seq and bulk RNA-seq datasets. Finally, a prognostic nomogram composed of the ISCIRGs-based signature, age, gender, race and immunophenotype was successfully developed and validated for predicting the prognosis of ALL patients.

Some previous studies have showed that there are differences in the prognosis of ALL patients with various immunophenotypes. For example, for T-ALL, 50% of adult patients have poor prognosis after chemotherapy [25], while, for B-ALL, some patients still have more than 60% relapse rate even after treatment with CAR T therapy [26]. Since three immunophenotypes (i.e., T-ALL, B-ALL and MPAL) were included in this study, we first calculated the immune/stromal scores for patient groups with three immunophenotypes, respectively, and found that the scores were significantly different between three patient groups. This suggested that the immune/stromal cells of patients with various immunophenotypes were in different states, and this difference might be a nonnegligible factor leading to poor prognosis of various immunophenotypes. Subsequently, we found that patients with different race also had different stromal scores. It is known that racial and ethnic disparities persist in the outcome and prognosis of ALL [27]. Therefore, the proportion and states of stromal cells might be two important factors leading to the different prognosis of various races. Then, the KM curve showed that the immune and stromal scores were significantly associated with OS, respectively. Thus, both the immune and stromal cell infiltration were meaningful in predicting OS, and it is necessary to identify prognostic genes based on immune scores, stromal scores or both.

After obtaining the common DEGs from the low vs. high immune score/stromal score groups, we performed a functional enrichment analysis for these genes, and found that these genes mainly enriched in immune system. This result not only verified the reliability of the ESTIMATE algorithm, but also indicated that ISCIRGs mainly regulated the immune system. Previous studies have found that some individual genes regulated immune system are crucial factors for ALL with poor prognosis, such as IKZF1 [28]. While, in this study, the prognostic signature was constructed based on seven ISCIRGs (i.e., LILRA1, NRGN, VPREB3, MT-ND6, EMP2, IGHM and FFAR1). The OS association of this prognostic signature (p = 3.957e-13) was significantly higher than ESTIMATE immune scores-based signature (p = 0.015) or stromal scores-based signature (p =0.003), suggesting the great potential of prognostic prediction.

Among the seven ISCIRGs, VPREB3 and IGHM have been confirmed that are associated with the prognosis of ALL [29], and MT-ND6 are found mutation in ALL patients [30]. In terms of each gene, the higher expression of all seven ISCIRGs was positively associated with shorter OS time. The major function of the seven genes included: *LILRA1* is to regulate immune responses by interacting with MHC class I ligands [31]; NRGN is a postsynaptic protein kinase substrate that binds calmodulin in the absence of calcium [32]; VPREB3 and IGHM is thought to be involved in B cell maturation [33], mutation or absence can cause either an arrest or a severe impairment at the pro-B cell stage [34, 35]; MT-ND6 involved in mitochondrial electron transport, NADH to ubiquinone and mitochondrial respiratory chain complex I assembly [36]; EMP2 regulates cell membrane composition, and up-regulation of this gene has been linked to cancer progression in multiple different tissues [37, 38]; and FFAR1 involved in the metabolic regulation of insulin secretion [39]. In terms of the synergies of these genes, the results of PPI network analysis and functional enrichment analysis for the seven DEGs showed that these genes were mainly enriched in mitochondrial electron transport and oxidative phosphorylation. Jaramillo et al. [40] found that Rho (0) malignant T cells with impaired mitochondrial electron transport chain function had lower sensitivity to the combination treatment than wild-type cells, and Chen et al. [41] confirmed that oxidative phosphorylation can enhance resistance to chemotherapy in B-ALL. And all patients included in the survival analysis were treated with conventional combined chemotherapy. Therefore, the high feasibility of the prognostic signature may also be related to the reduction of combined chemotherapy sensitivity caused by the differential expression of the seven genes. These genes may also be the key hints for overcoming resistance to immunotherapy.

Subsequently, we investigated the expression of the seven genes in various cell types by analyzing the single-cell RNA-datasets, and further performed the difference in the proportion of immune cells in highand low-risk groups by integrating single-cell and bulk RNA-seq datasets. In the single-cell RNA-seq datasets, we found that due to the accumulation of a large number of immature lymphocytes, the immune cells in the BM of ALL patients were significantly reduced compared to the healthy BM, and the types of other immune cells (except for immature lymphocytes) showed differences in each patients. And this difference was related to the ISCIRGs-based signature and the prognosis of patients of bulk RNA-seq datasets. Previous studies have suggested that some immune cells could predict the prognosis of all patients, for example, Hohtari et al. [10] and Zhou et al. [11] found that the

proportion of PD1+TIM3+ double-positive CD4+ T cells could differentiate the poor survival group of B-ALL, and Duault et al. [12] revealed that increased frequencies of activated cytokine-producing NK cells could independently predict poor clinical outcome in ALL patient. Our results showed that the patients in high-risk group had significant higher proportions of DCs and NK cells compared to those in low-risk group, and higher proportions of DCs and NK cells had significant shorter OS time compared to lower proportions. The results regarding association between NK cells and poor prognosis were consistent with previous study [12], while the results of DCs were contrary to previous cognition. DCs were thought to be able to present tumor antigens, stimulating immune system to play an anti-tumor role in ALL [42]. However, our results showed that higher proportions of DCs was no benefit for good prognosis for the first time. Given that the seven ISCIRGs-based signature are directly related to the proportion and even the status of immune cells, future studies are required to elucidate the mechanisms associated with prognosis of these genes in specific immune cells (e.g., DCs).

Nomogram is a multivariable regression model that widely used in studies to predict clinical outcomes with intuitive visual presentations [43]. In this study, we constructed a prognostic nomogram based on the ISCIRGs-based signature and clinicopathological factors of training group to predict ALL patient outcome. The results of validation group and long-term follow-up examinations indicated the high reliability of this nomogram. To our knowledge, this nomogram is the first to predict ALL patient survival based on ISCIRGs. And compared with the prediction models published in previous studies [21-23], our prediction model has higher accuracy and wider application range. In addition, considering the imbalance of the prognostic model, we included two more independent studies related to the prognosis of ALL patients (external validation cohorts) to further validate the prognostic value of the ISCIRGs-based signature. The results showed that the higher risk groups of the both external validation cohorts had higher relapse rates compared to the lower risk groups. A large number of clinical data have confirmed that relapse is the major reason for poor prognosis of ALL patients, once relapse, the completed cure rate will be less than 40% [44, 45]. And the major factor leading to relapse is the resistance of patients to combined chemotherapy. Therefore, the results of two external validation cohorts not only further verified the great prognostic prediction ability of the ISCIRGsbased signature, but also further suggested the potential correlation between seven prognostic genes and resistance of combined chemotherapy. Furthermore, we established the corresponding web-based calculator. The scoring system and web-based calculator may help clinicians make survival predictions based on clinicopathological factors and cellular differentiation information of the BM microenvironment and further suggest better treatment options.

This study still has some limitations. First, the results of this study were obtained only through an integrated bioinformatics analysis. Although we verified the results through one validation cohort and two external validation cohorts, and included some immunohistochemical pictures of crucial ISCIRGs in both health and ALL BM, this is still inadequate. Before the results are used in clinical prediction, clinical experimental validation is needed to confirm them, and the underlying mechanisms associated with the prognostic significance of the identified ISCIRGs in ALL need to be further investigated. Second, although we have tried our best to search the RNA-seq datasets of ALL patients with prognostic information through various databases, literatures and search engines, the datasets containing survival information are still limited. Third, due to the lack of clinicopathologic information in the included datasets, other potential risk factors influenced prognosis (e.g., chemotherapy, molecular drugs, SNP and CNV) were not considered.

In summary, we highlight the immune/stromal cell infiltration differences in the BM environment and their essential roles in predicting the clinical outcome of ALL patients, constructing a prognostic model based on the ISCIRG signature and clinicopathologic factors. This model could serve as a reliable tool for predicting outcomes and determining treatment strategies in patients with ALL.

#### MATERIALS AND METHODS

### Raw data retrieval and calculation of immune/stromal cell infiltration

The gene expression file datasets of training group as well as corresponding metadata and clinical profiles were obtained from TCGA database after restricting "Disease Type" to "lymphoid leukemia", "Experimental Strategy" to "RNA-Seq", "Data Category" to "transcriptome profiling" and "Data Type" to "Gene Expression Quantification". The format of the downloaded data is "HTSeq-Counts". All patients included in this study suffered from ALL. We excluded the following datasets: 1) not BM sample; 2) without follow-up time: 3) unknown death or not: and 4) without detail clinical characteristics. The gene expression file dataset and clinical profile of validation group were selected from TARGET project database. We merged the data of ALL patients in Phases I, II and III, and then excluded the following datasets: 1) duplicate patients with training cohort; 2) not BM sample; 3) without follow-up time; 4) unknown death or not; and 5) without detail clinical characteristic. The calculation of immune score, stromal score and tumor purity by employing the ESTIMATE algorithm for all downloaded dataset was performed by a custom script of Python 3.9.5 (Python Software Foundation, Delaware, USA) and "estimate" R package of R software 4.0.5 (R Foundation for Statistical Computing, Vienna, Austria) [46]. The stromal and immune scores were calculated by perform single-sample gene set-enrichment analysis (ssGSEA) [47, 48], and the tumor purity was calculated by using the following formula:

# Tumor purity = $cos(0.6049872018 + 0.0001467884 \times ESTIMATE score)$

ESTIMATE score represents the sum of stromal score and immune score [15].

#### **Common DEGs identification**

Based on the immune/stromal score, the included patients were classified into high- and low-score groups. The DEGs of training group were analyzed and identified through the "limma" R package, and the cutoff values were set as | fold change (FC)| > 2 and p < 0.05 [49]. The heatmap and volcano plots were generated by the "ggplot2" and "pheatmap" R packages, respectively [50]. The identification of the common DEGs from the immune score and stromal score groups was processed by Python script [46].

# Construction and validation of the prognostic genes signature

First, KM survival analysis was used to illuminate correlations between immune/stromal scores and OS as well as each common DEG and OS, and the log-rank test was utilized to assess the statistical significance of the correlation [51]. Second, univariate Cox regression analysis was performed to evaluate the association between the expression levels of common DEGs and OS. Third, the identified prognosis-related genes (p < 0.05) were screened by LASSO and multivariate Cox regression analyses [52]. An ISCIRG-based signature was constructed by using the following formula:

$$Riskscore = \sum_{i=1}^{N} (ExpGENEi \times \beta i)$$

ExpGENEi represents the expression level of the identified ISCIRGs, and  $\beta$ i represents the regression coefficient calculated by multivariate Cox analysis [53].

Fourth, based on the risk score model, patients were stratified into either the low score (low-risk) group or the high score (high-risk) group. KM survival analysis was used to estimate the OS of these two groups. The predictive accuracy of this model was assessed by Harrell's C-index and time-dependent ROC curve analysis within 1-, 3- and 5-years [54]. Univariate and multivariate Cox regression analyses were performed to assess whether the predictive performance of this model could be independent of tumor purity or other clinicopathologic factors.

# Construction of prognostic nomogram and validation of model

Based on the results of univariate and multivariate Cox regression analyses, the identified independent prognostic factors were used to develop a prognostic nomogram for predicting the 1-, 3-, and 5-year survival outcomes by the "rms" R package. Subsequently, the Cindex, U test, ROC and calibration plot were used to assess the discrimination performance of this prognostic nomogram in training and validation cohorts [54]. Furthermore, two external validation datasets (i.e., GSE13576 and GSE28703) from Gene Expression Omnibus (GEO) database were used to verify the significance of the prognostic model. The risk scores were first calculated. Then, we verified the clinical significance of our model by comparing the prognostic information of patients. Moreover, to facilitate clinical application, we constructed a visualization tool with a web-based calculator [55].

#### Single-cell RNA-seq data processing

Single-cell RNA-seq datasets of ALL (GSE134759) were downloaded from GEO database. First, we merged patient and healthy sample datasets by the "Seurat" R package [56]. Then, we removed the lowquality cells based on the following standards: 1) genes detected in fewer than three cells; 2) cells with fewer than fifty total detected genes; 3) cells with more than 10% mitochondrion-expressed genes; and 4) cells with fewer than 1500 or more than 4000 expressed genes. The 2000 most variable genes were generated and used to perform principal component analysis (PCA). The tdistributed stochastic neighbor embedding (t-SNE) algorithm was used to compute 20 initial PCs and perform cluster classification analysis. Subsequently, we used the Wilcoxon rank sum test with Bonferroni multiple-comparison correction to determine cluster marker genes, and p < 0.05 and  $|\log 2(FC)| > 0.25$ were set as cutoffs. Finally, each cell cluster was annotated by the "singleR" R package and then verified with broad cell type markers (Supplementary Table 7) [57, 58].

### Immune cell infiltration analysis in high and low risk populations

We calculated the fractions of immune cell subsets in ALL samples (bulk RNA-seq) with the CIBERSORTx algorithm. First, based on the annotated single-cell gene expression matrix in the above results (single-cell RNA-seq), a custom signature matrix file was constructed by using CIBERSORTx Create Signature Matrix module. Then, the proportion of each types of cell in bulk RNA-seq datasets were calculated by using CIBERSORTx Impute Cell Fractions module [59]. Correlation relation matrix was performed by the "corrgram" and "corrplot" R packages. KM survival analysis was used to assess correlations between the proportion of each types of cell and OS [60].

#### **Functional analysis**

GO and KEGG pathway enrichment analysis as well as the interrelation analysis were calculated and plotted by using the ClueGO plug-in in Cytoscape software 3.6.1 (National Resource for Network Biology, California, USA), and p < 0.05 was set as the cutoff [61]. The cBio Cancer Genomics Portal (cBioPortal) database was used to evaluate the mutations and copy number variations in tumor patients [60]. STRING database was used to assess the gene-encoded proteins and PPI information [62]. The downloaded PPI information file was subsequently established as a PPI network using Cytoscape software. The modular analysis for the PPI network was performed by the MCODE plug-in in Cytoscape based on score and node number, and the most significant module was identified [63].

The complete method design of this study is shown in Supplementary Figure 13.

#### Data availability statement

Publicly available datasets were analyzed in this study. These data can be found as follow links: The datasets of training cohort from TCGA. https://tcgadata.nci.nih.gov/tcga/ (accessed on 4 May 2021); The datasets of validation cohort from TARGET project https://targetdatabase. data.nci.nih.gov/Public/ALL/mRNA-seq/ (accessed on 10 April 2022); Single-cell RNA-seq datasets from GEO database, https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=G SE134759 (accessed on 1 April 2022); The datasets of validation external datasets. https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=G SE13576,

https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi (accessed on 10 August 2022); cBioPortal database, http://www.cbioportal.org/ (accessed on 22 January 2022); STRING database, https://cn.string-db.org/ (accessed on 22 January 2022); CIBERSORTx, https://cibersortx.stanford.edu/ (accessed on 10 August 2022); The web-based calculator that we constructed in this study could be found and used in link: https://yuwenliang6666.shinyapps.io/DynNomapp/. All custom Python and R script of this study could be made available through collaboration.

#### Abbreviations

ALL: Acute lymphoblastic leukemia; AML: Acute myeloid leukemia; B-ALL: B cell acute lymphoblastic leukemia; BM: Bone marrow; BP: Biological processes; CAR T: Chimeric antigen receptors T; cBioPortal: cBio Cancer Genomics Portal; CC: Cellular components; Cindex: Concordance index; CIBERSORTx: Cell-type Identification by Estimating Relative Subsets of RNA Transcripts x; CI: Confidence interval; DCs: dendritic Differentially cells: DEGs: expressed genes; ESTIMATE: Estimation of stromal and immune cells in malignant tumours using expression data; GEO: Gene Expression Omnibus; GO: Gene ontology; HR: Hazard ratio; HSPCs: Hematopoietic stem cells and progenitor cells; ISCIRG: Immune/stromal cell infiltration-related gene; KEGG: Kyoto encyclopedia of gene and genomes; KM: Kaplan-Meier; LASSO: Least absolute shrinkage and selection operator; ssGSEA: singlesample gene set-enrichment analysis; MF: Molecular functions: MPAL: Mixed-phenotype acute leukemia: NK: Natural killer; OS: Overall survival; PCA: Principal component analysis; PPI: Protein-protein interaction; T-ALL: T cell acute lymphoblastic TARGET: Therapeutically leukemia: applicable research to generate effective treatments; TCGA: The cancer genome atlas; t-SNE: t-distributed stochastic neighbor embedding.

#### **AUTHOR CONTRIBUTIONS**

Conceptualization, W.-L.Y. and Z.-C.H.; methodology, W.-L.Y.; software, W.-L.Y.; validation, W.-L.Y. and Z.-C.H.; formal analysis, W.-L.Y.; investigation, W.-L.Y.; resources, Z.-C.H.; data curation, W.-L.Y. and Z.-C.H.; writing—original draft preparation, W.-L.Y.; writing—review and editing, W.-L.Y. and Z.-C.H.; visualization, W.-L.Y.; supervision, Z.-C.H.; project administration, Z.-C.H.; funding acquisition, Z.-C.H. All authors have read and agreed to the published version of the manuscript.

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#### **CONFLICTS OF INTEREST**

The authors declare no conflicts of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

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#### SUPPLEMENTARY MATERIALS

#### **Supplementary Figures**



Supplementary Figure 1. Interrelation analysis was performed by assessing the biological process of the common DEGs.



Supplementary Figure 2. Interrelation analysis was performed by assessing the cellular components of the common DEGs.



Supplementary Figure 3. Interrelation analysis was performed by assessing the molecular functions of the common DEGs.



Supplementary Figure 4. Interrelation analysis was performed by assessing the KEGG pathway enrichment of the common DEGs.



Supplementary Figure 5. Representative immunohistochemical pictures of ISCIRGs protein expression in BM of healthy and ALL patients, which was obtained from the Human Protein Atlas database and a research study (Rodig et al. The pre-B-cell receptor VpreB3 is useful diagnostic marker for identifying c-MYC translocated associated protein а lymphomas. https://doi.org/10.3324/haematol.2010.025767.) (only VPREN3 has been found in present literatures and database).



Supplementary Figure 6. PPI network for prognostic prediction DEGs. Note: The size of the node represents the degree, and the color of the node represents the p-value for prognosis. The warmer the color, the smaller the p-value, and the cooler the color, the greater the *p*-value.



Supplementary Figure 7. Identification of 23 cell clusters with diverse annotations revealing high cellular heterogeneity in ALL based on single-cell RNA-seq data. (A) After quality control from the cores of 7 ALL and 4 non-malignant BM samples, 27,810 cells were included in the analysis. (B) The numbers of detected genes were significantly related to the sequencing depth, with a Pearson's correlation coefficient of 0.73. (C) The variance diagram shows 25,810 corresponding genes throughout all cells from GBMs. The red dots represent highly variable genes, and the black dots represent nonvariable genes. The top 10 most variable genes are marked in the plot. (D) PCA did not demonstrate clear separations of cells in GBMs. (E) PCA identified the 20 PCs with an estimated *p*-value <0.05. (F) The tSNE algorithm was applied for dimensionality reduction with the 20 PCs, and 23 cell clusters were successfully classified. (G) The differential analysis identified marker genes. The top 5 marker genes of each cell cluster are displayed in the heatmap. The colors from purple to yellow indicate the gene expression levels from low to high.



Supplementary Figure 8. The gene expression difference of the seven ISCIRGs between ALL and healthy BM based scRNA-seq datasets.



**Supplementary Figure 9.** Assessment of the prognostic value of the ISCIRG signature in validation group. (A) KM survival curve for high-risk and low-risk patients. (B) Time-dependent ROC curve for 1-, 3-, and 5-year OS rates. (C) Risk score analysis for the high-risk group and low-risk group. Upper panel: Patient survival status and time distributed by the risk score. Middle panel: Risk score curves of the ISCIRG signature. Bottom panel: Heatmaps of the expression levels of the seven ISCIRGs. The colors from green to red indicate the gene expression levels from low to high.



**Supplementary Figure 10. Prognostic nomogram to predict the 1-, 3-, and 5-year OS in validation group.** (A) Calibration test for the prognostic nomogram. (B) Calibration plot of the prognostic nomogram for predicting OS at 1, 3, and 5 years.



Supplementary Figure 11. Prognostic significance of risk score in external validation datasets (GSE13576).



Supplementary Figure 12. Prognostic significance of risk score in external validation datasets (GSE50999).



Supplementary Figure 13. The complete method design of our study.

### **Supplementary Tables**

Please browse Full Text version to see the data of Supplementary Tables 1, 2, 5 and 6.

### Supplementary Table 1. Patients' ID and clinical characteristics.

#### Supplementary Table 2. KM survival analysis for all common DEGs.

Supplementary Table 3. Significant OS-predicting ISCIRGs.

Gene	coef	HR	HR.95L	HR.95H	<i>P</i> -value
LILRA1	0.001077	1.001078	1.000569	1.001587	3.29E-05
NRGN	0.000137	1.000137	0.999987	1.000287	0.073113
VPREB3	0.000019	1.000019	1.000001	1.000036	0.036097
MT-ND6	0.000005	1.000005	1.000002	1.000009	0.001497
EMP2	0.000259	1.000259	0.999991	1.000528	0.05815
IGHM	0.000003	1.000003	1	1.000007	0.061815
FFAR1	0.000133	1.000133	1.000057	1.000209	0.000649

Supplementary Table 4. GO and KEGG pathway enrichment for the seven OS-predicting ISCIRGs.

Term ID	Term description	Observed gene count	Background gene count	Strength
BP				
GO:0006120	Mitochondrial electron transport, nadh to ubiquinone	18	49	2.44
GO:0006119	Oxidative phosphorylation	20	118	2.11
GO:0042775	Mitochondrial atp synthesis coupled electron transport	19	87	2.22
GO:0032981	Mitochondrial respiratory chain complex i assembly	17	66	2.29
GO:0007005	Mitochondrion organization	18	452	1.48
GO:0022607	Cellular component assembly	18	2359	0.76
GO:0015990	Electron transport coupled proton transport	4	5	2.78
GO:0006996	Organelle organization	19	3450	0.62
GO:1902600	Proton transmembrane transport	5	150	1.4
MF				
GO:0008137	NADH dehydrogenase (ubiquinone) activity	18	46	2.47
GO:0016491	Oxidoreductase activity	19	726	1.29
GO:0051536	Iron-sulfur cluster binding	5	66	1.76
GO:0009055	Electron transfer activity	5	103	1.56
GO:0003824	Catalytic activity	20	5486	0.44
GO:0051539	4 iron, 4 sulfur cluster binding	4	42	1.86
GO:0048038	Quinone binding	3	18	2.1
CC				
GO:0070469	Respirasome	19	95	2.18
GO:0005747	Mitochondrial respiratory chain complex i	17	48	2.43
GO:0098803	Respiratory chain complex	18	80	2.23
GO:0005746	Mitochondrial respirasome	18	84	2.21

GO:0098800	Inner mitochondrial membrane protein complex	19	131	2.04
GO:1990204	Oxidoreductase complex	18	107	2.1
GO:0005743	Mitochondrial inner membrane	20	480	1.5
GO:0031966	Mitochondrial membrane	21	722	1.34
GO:0031967	Organelle envelope	22	1213	1.14
GO:0098796	Membrane protein complex	20	1141	1.12
GO:1902494	Catalytic complex	19	1328	1.03
GO:0031090	Organelle membrane	23	3548	0.69
GO:0016020	Membrane	25	9072	0.32
GO:0043231	Intracellular membrane-bounded organelle	24	10761	0.22
GO:0005737	Cytoplasm	24	11428	0.2
GO:0005759	Mitochondrial matrix	5	479	0.9
KEGG				
hsa00190	Oxidative phosphorylation	20	130	2.06
hsa04723	Retrograde endocannabinoid signaling	19	145	1.99
hsa05016	Huntington disease	21	298	1.72
hsa04714	Thermogenesis	20	229	1.82
hsa05012	Parkinson disease	20	240	1.8
hsa05020	Prion disease	20	265	1.75
hsa05010	Alzheimer disease	21	355	1.65
hsa05014	Amyotrophic lateral sclerosis	20	352	1.63
hsa04932	Non-alcoholic fatty liver disease	12	148	1.79
hsa01100	Metabolic pathways	19	1447	0.99

### Supplementary Table 5. Signature matrix file.

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### Supplementary Table 6. Patients' ID and clinicopathologic characteristics of external validation datasets.

#### Supplementary Table 7. Broad cell type markers.

Cell type	Genes
B cells	BLK, BLNK, CD19, CD72, CD79A, IGHA1, IGHG1, IGKC, IGL, PAX5
Erythrocytic	ALAS2, HBA1, HBA2, HBQ1, RHCE
HSPCs	ATP8B4, CLEC3B, CRHBP, CRYGD, ELN, EXD2, FAM124B, FLT3, HLF, HOXA3, HOXB6, KCNJ13, KLF1, LAPTM4B, MEIS1, MMRN1, MPL, SPINK2, THY1, VWF
Myeloid cells	ATG7, BST1, CCL7, CD14, CD163, CD1C, CD68, CD83, CD93, CEACAM3, CEBPA, CHI3L1, CHIT1, CLEC5A, COL8A2, CREB5, CRISPLD2, CSF1R, CSF3R, CTSK, CXCL5, CYBB, DNASE2B, DYSF, EMP1, FCAR, FCGR3A, FCGR3B, FLT3, FPR1, FPR2, FUT4, G0S2, GM2A, HLA-DRA, ITGAM, LILRB2, MARCO, ME1, MS4A4A, MSR1, PCOLCE2, PDE4B, PTGDS, S100A12, SCARB2, SIGLEC3, SIGLEC5, SPI1, SULT1C2, TNFRSF10C, VNN3
NK cells	CASP5, GSG1, IL18RAP, KLRB1, KLRD1, L1TD1, NCAM1, NCR1, NMUR1, PTGDR, TBX21, TP53TG5, XCL1
T cells	BCL11B, CD2, CD27, CD28, CD3D, CD3G, LAT
DCs	CD1a, CD40, CD80, CD86, MHC class II, CD11c, CD1a, CD1c, CD206, CD209, S100A8, S100A9, SIRPA, BDCA1, BTLA, CADM1, CD141, CD226, CD26, CLEC9A, DNAM-1, XCR1, CD11b, CD2, CLEC10A, CLEC4A, DCIR, Fc-epsilon RI-alpha, ILT1, SIRPA, BDCA2, BDCA-4, CD123, CD303, CD304, CLEC4C, DR6, Fc-epsilon RI-alpha, ILT3, ILT7, NRP1, CLEC10A, CLEC4A