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# The Evolution of and Risk Factors for Neck Muscle Atrophy and Weakness in Nasopharyngeal Carcinoma Treated With Intensity-Modulated Radiotherapy

A Retrospective Study in an Endemic Area

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**Abstract:** The aim of this study was to investigate the evolution of sternocleidomastoid muscle (SCM) atrophy in nasopharyngeal carcinoma (NPC) patients following intensity-modulated radiotherapy (IMRT), and the relationship between SCM atrophy and neck weakness.

Data were retrospectively analyzed from 223 biopsy-proven NPC patients with no distant metastasis who underwent IMRT with or without chemotherapy. The volume of SCM was measured on pretreatment magnetic resonance imaging (MRI), and MRIs were conducted 1, 2, and 3 years after the completion of IMRT. Change in SCM volume was calculated and classified using the late effects of normal tissues–subjective, objective, management, and analytic system. The grade of neck muscle weakness, classified by the Common Terminology Criteria for Adverse Events V 3.0, was measured 3 years after the completion of IMRT.

The average SCM atrophy ratio was -10.97%, -18.65%, and -22.25% at 1, 2, and 3 years postirradiation, respectively. Multivariate analysis indicated N stage and the length of time after IMRT were independent prognostic variables. There were significant associations between the degree of SCM atrophy and neck weakness.

Radical IMRT can cause significant SCM atrophy in NPC patients. A more advanced N stage was associated with more severe SCM atrophy, but no difference was observed between N2 and N3. SCM atrophy progresses over time during the 3 years following IMRT. Grade of SCM atrophy is significantly associated with neck weakness.

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- This work was supported by grants from the National Natural Science Foundation of China (No. 8172409), the Sun Yat-sen University Clinical Research 5010 Program (No. 2012011), the National Natural Science Foundation of China (No. 81201746), and the Natural Science Foundation of Guangdong Province (No. 82013010012220).
- The authors can confirm that this manuscript has not been submitted to any other journal for publication and this study has no conflicts of interest to report.

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ISSN: 0025-7974

DOI: 10.1097/MD.00000000001294

(*Medicine* 94(31):e1294)

**Abbreviations:** CT = computed tomography, CTV = clinical target volume, IMRT = intensity-modulated radiotherapy, MRI = magnetic resonance imaging, NPC = nasopharyngeal carcinoma, SCM = sternocleidomastoid muscle.

#### INTRODUCTION

**N** asopharyngeal carcinoma (NPC) is endemic in Southern part of China with annual incidence of 15 to 50 cases per 100,000 population.<sup>1</sup> Radiotherapy is the primary therapeutic modality for NPC patients with no distant metastasis. Owing to a high nodal metastasis rate in NPC, most treatment protocols require irradiation of the entire neck lymphatic drainage area to the supraclavicular fossa,<sup>2</sup> which may cause muscle atrophy. Although it does not present as prominently as other late toxicities, muscle atrophy is of particular concern because of its clinical presentation, irreversible nature, and complexity. Inconsistent with the traditional concept that muscle tissue is insensitive to conventional fractionated radiotherapy,<sup>3,4</sup> much recent research documents that radiotherapy can cause significant muscular atrophy.<sup>5–7</sup>

In recent years, intensity-modulated radiotherapy (IMRT) has gradually become the most important means of radiotherapy.<sup>8</sup> Our own clinical experiences showed that many NPC patients undergoing IMRT have required clinical follow-up for neck muscle atrophy, injury, or weakness, particularly affecting the sternocleidomastoid muscle (SCM). As the major superficial cervical flexor muscle, SCM is one of the prime movers of the neck,<sup>9</sup> making the SCM atrophy typical for radiationinduced neck muscle atrophy in NPC patients. Acquiring information on incidence and severity of SCM atrophy after IMRT is important for improving understanding and predicting the development of neck weakness.

Muscle morphology can be quantified using medical imaging methods such as computed tomography (CT), magnetic resonance imaging (MRI), and ultrasound, by assessment of the muscular cross-sectional area or volume.<sup>5,10</sup> MRI provides higher resolution for soft tissue and is nonionizing compared with CT, and, subsequently, MRI is increasingly used in studies concerning human muscle.<sup>10–12</sup>

This retrospective study was conducted to investigate the evolution of radiation-induced SCM muscle atrophy and neck weakness in NPC patients treated with IMRT, and to explore their risk factors, therefore providing clinical clues for reducing the incidence of muscle atrophy and weakness.

Editor: Maohua Xie.

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# MATERIALS AND METHODS

### Patient Selection and Staging Evaluation

This retrospective cohort study was approved by the ethics committee. Informed consents were also obtained from subjects. In total, 945 newly diagnosed NPC patients with no distant metastasis that were consecutively diagnosed and had completed treatment using IMRT with or without chemotherapy between January 2010 and January 2012 were recruited. Among these 945 patients, the time interval between the completion of the IMRT and this study ranged from 36 to 61 months. The selection criteria were as follows: the patient had a pretreatment MRI scan and regular follow-up MRI scans performed 1, 2, and 3 years after the completion of radiotherapy and confirming no NPC recurrence; patients returned to the hospital for follow-up appointments for 3 years after the completion of radiotherapy. Patients were excluded if they had any condition that may result in muscle atrophy and affect the measurement of radiation-induced muscle atrophy,<sup>13,14</sup> including connective tissue disorders, hypertension, or diabetes mellitus, or if they had a history of neck surgery. Neck surgery was defined as any type of surgery with the potential to damage the SCM, such as neck dissection. In total, 223 cases met these requirements and were recruited. The following parameters of clinical characteristics and patient treatments were collected to analyze their effect on the muscle atrophy ratio: age, sex, T stage, N stage, the weight change ratio, the presence or absence of chemotherapy, and the length of time after IMRT.

All patients selected had undergone pretreatment baseline evaluations that included complete medical history, thorough physical examinations, hematology and biochemistry testing, MRI of the neck and nasopharynx, chest radiography, abdominal sonography, and bone scans using single-photon emission CT. All patients had previously been staged before they were treated according to the Seventh Edition of the International Union against Cancer/American Joint Committee on Cancer system for NPC.<sup>15</sup>

## **Investigation and Treatment Procedures**

#### **Radiotherapy and Chemotherapy**

All patients in this study were immobilized in the supine position using a thermoplastic head–neck–shoulder mask. For the purpose of treatment planning, 3 mm slices of contrastenhanced CT imagings from head to 2 cm below the sternoclavicular joint were obtained. The prescribed dose was defined as follows: a total dose of 68 to 70 Gy/30 to 33 fractions and 60 to 68 Gy/30 to 33 fractions to the planning target volume (PTV) of gross tumor volume of the primary (GTV-P) and nodal gross tumor volume (GTV-N), respectively, and the total prescribed dose to PTV of clinical target volume (CTV)-1 (high-risk region), CTV-2 (low-risk region), and CTV-N (neck nodal regions) was 60, 54, and 54 Gy, respectively. All patients were treated with 1 fraction every day >5 d/wk.

In the study period, the chemotherapy guidelines in our institutional protocol for NPC were implemented as follows: no chemotherapy for stage I, and concurrent chemoradiotherapy  $\pm$  neoadjuvant chemotherapy for stages II to IV A–C. Neoadjuvant cisplatin combined with taxanes or cisplatin combined with 5-fluorouracil chemotherapy was given every 2 or 3 weeks as a cycle for 1 to 4 cycles. Concurrent cisplatin chemotherapy was given weekly or on weeks 1, 4, and 7 of radiotherapy. Patients with documented residual or relapse disease received treatments, including chemotherapy, neck dissection, and brachytherapy.

#### **MRI** Technique

Each subject underwent 1.5-Tesla (Signa CV/i; General Electric Healthcare, Chalfont St. Giles, UK) MRI. The region from the suprasellar cistern to the inferior margin of the sternal end of the clavicle was examined. For the axial plane, the section thickness was 5 mm with a 1 mm interslice spacing; and for the coronal and sagittal planes, the section thickness was 6 mm with a 1 mm interslice spacing. T1-weighted images in the axial, sagittal, and coronal planes, and T2-weighted images in the axial plane were obtained before injection of contrast material. Contrast-enhanced T1-weighted images in the axial and sagittal planes were obtained after injection of contrast material.

#### **Muscle Volume Measurement**

Measurements of muscle volume were performed after we selected the cohort of 223. Owing to a discrepancy of the muscle atrophy ratio between the left and right SCM in NPC patients, both sides were examined for this study. The pretreatment MRI and the MRIs taken 1, 2, and 3 years after radiotherapy completion were examined for each study subject. The MRI scanning images were conveyed to Advantage Workstation 4.4 (General Electric Company, Fairfield, CT). T2-weighted axial images were selected, and the outline of the SCM muscle was traced on each axial image manually, beginning at manubrium and collarbone and ending at mastoid. Muscle volume was calculated by volume rendering. Two observers (1 radiologist and 1 other clinician), trained for using the software and delineating the SCM muscles, contoured each muscle independently. The average of the 2 measurements was defined as the final muscular volume.

The grading of muscle atrophy was classified using the Late Effects of Normal Tissues–Subjective, Objective, Management, and Analytic) system as follows: Grade 0: no atrophy; Grade 1:  $-10\% \leq$  SCM atrophy ratio <0; Grade 2:  $-20\% \leq$  SCM atrophy ratio <-10%; Grade 3: $-50\% \leq$  SCM atrophy ratio <-20%; and Grade 4: SCM atrophy ratio <-50%.<sup>16</sup> In order to calculate the amount of contrast material, patient's weight was measured and recorded. The weight at pretreatment 1, 2, and 3 years after radiotherapy completion was used.

# Follow-Up

As part of our routine clinical practice, we documented all patients who complained of neck weakness 3 years after radiation therapy. Neck weakness is graded according to the Common Terminology Criteria for Adverse Events V3.0 as follows: Grade 0: none; Grade 1 (mild): asymptomatic, weakness on physical examination; Grade 2 (moderate): symptomatic and interfering with function, but not interfering with activities of daily living; Grade 3 (severe): symptomatic and interfering with activities of daily living; Grade 4: life-threatening, disabling; and Grade 5: death. We retrospectively reviewed these records to collect the required data. Patients returned to the hospital for follow-up examination at least every 3 months over the first 24 months, and thereafter, at least every 6 months until death. All patients underwent follow-up MRI examinations of the nasopharynx and neck at least every 6 to 12 months. The grading of neck weakness was recorded when the patient returned for follow-up appointments, 3 years after the completion of radiotherapy. The follow-up of this cohort of patients was therefore 36 months.

#### Statistical Analysis

The data were analyzed using SAS statistical software version 9.1 (SAS Institute Inc, Cary, NC). Calculations were based on the average value measured by 2 observers. The ratio of atrophy was calculated using the following formulae:

- (1) SCM atrophy ratio (%) = [(volume of 1/2/3 years volume of pretreatment)/volume of pretreatment] × 100.
- (2) Weight change ratio (%) = [(weight value of 1/2/3 years weight value of pretreatment)/weight value of pre-treatment] × 100.

Univariate and multivariate analysis was carried out using generalized linear mixed effects model.<sup>17</sup> Spearman rank correlation analysis<sup>18</sup> was used to calculate the ratio of muscle atrophy and the degree of SCM weakness. We further used the repeated-measures single factor analysis of variance and Bonferroni statistical tests to compare the SCM atrophy ratio of all N stages with each other.<sup>19,20</sup> A *P* value of 0.05 was chosen as the criteria for statistical significance.

#### RESULTS

#### **Clinical Characteristics of the Selected Cohort**

Of the 223 selected patients, the ratio of male to female was 2.67:1 (162 men and 61 women), and the median age was 42 years (range: 14–71 years). Histological analysis showed that 0.9% of cases were classified as World Health Organization (WHO) Grade I and 99.1% were WHO Grade II/III. Out of 176 (stage III and IV), 165 had received chemotherapy. The characteristics of the 223 cases are shown in Table 1.

#### Incidence and Severity of Muscle Atrophy

The average ratios of muscle atrophy at 1, 2, and 3 years postirradiation were -10.97% (95% confidence interval [CI]: -11.89% to -10.06%), -18.65% (95% CI: -19.57 to -17.73%), -22.25% (95% CI: -23.31% to -21.19%), respectively. Initially after IMRT, the degree of SCM atrophy increases at a considerable rate; later, the rate slows down (Figure 1). The ratio of SCM atrophy for each category of N stage and T stage at 1, 2, and 3 years postirradiation is shown in Table 2.

For grade of SCM atrophy from 1 to 3 years after treatment, the overall percentage of subjects scoring Grade 0 to 2 decreased from 85.6% to 42.6%, whereas the overall percentage scoring Grade 3 and Grade 4 increased from 14.3% to 57.4% (Table 3). The higher the N stage, the more severe the SCM atrophy (Figure 2).

The difference in SCM atrophy ratio for each N stage is significant between N0 and N1 (P=0.025), N0 and N2 (P=0.000), N0 and N3 (P=0.000), N1 and N2 (P=0.018), N1 and N3 (P=0.001), but insignificant between N2 and N3 (P=0.203).

#### Variables Affecting the SCM Atrophy Ratio

Parameters of clinical characteristics and treatment of patients were subject to univariate analysis. Age ( $\leq$ 42 years, >42 years), sex (male, female), and weight change ratio had no correlation with SCM atrophy ratio (P=0.87, P=0.81, P=0.90). The presence or absence of chemotherapy and the length of time after IMRT was significant (P=0.002, P<0.001). T stage and N stage demonstrated a significant correlation with muscle atrophy ratio (Table 4).

pre-	Age, y		
	<40	116	
	>40	107	
using	T stage*		
k cor-	T1	24	
nuscle	T2	49	
r used	Т3	104	
e and	T4	46	
atio of	N stage <sup>*</sup>		
using k cor- nuscle used e and atio of hosen <b>ort</b> emale ge was nowed zation of 176	N0	30	
	N1	102	
	N2	76	
	N3	15	
	Clinical stage*		
ort	Ι	9	
Samala	II	38	
emale	III	119	
ge was	IVa-b	57	
howed zation	Chemotherapy		
	No	38	
011/0	Yes	185	

of the Study Cohort

Characteristics

Sex

Male

Female

To test independent significance, multivariate analysis included the following parameters: age ( $\leq$ 42 years, >42 years), sex (male, female), T stage (T1, T2, T3, T4), N classification (N0, N1, N2, N3), chemotherapy (no, yes), the length of time after IMRT, and weight change ratio. N stage and the time after IMRT were significant predictive variables for muscular atrophy (Table 5).

\* According to 7th AJCC/UICC staging system.

# The Relationship Between SCM Atrophy and Neck Weakness

The grade of neck weakness 3 years after IMRT was as follows: Grade 0: 115/223 (51.6%); Grade 1: 57/223 (25.6%); Grade 2: 51/223 (22.9%); Grade 3: 0/223 (0%); Grade 4: 0/223 (0%); and Grade 5: 0/223 (0%). Spearman rank correlation test showed significant associations between the grade of SCM atrophy and the grade of neck weakness (R = 0.392, P < 0.001). There were no missing patients in this study.

#### DISCUSSION

# Muscle Tissue is Sensitive to Fraction Radiotherapy

Radiation-induced muscle atrophy is characterized by derangement in size and number of muscle fibers.<sup>3</sup> Rubin and Casarett<sup>21</sup> first reported in 1968 that muscles were relatively radio-resistant based on histopathologic observations within 2 months of treatment. Then, Powers et al<sup>3</sup> reported in 1991 that obvious muscle atrophy was observed after a single high-dose irradiation delivered to muscles, but muscles were considered to be insensitive to conventional fractionated

Percentage of

Cohort

72.6

27.4

52 48

10.8

22.0

46.6

20.6

13.5

45.7 34.1 6.7

40

17.0

53.4

25.6

17.0

83.0

TABLE 1. Clinical Characteristics and Treatment Parameters

No. of Patients

(N = 223)

162

61





FIGURE 1. Dot density plot (A) and box and whisker plot (B) depicting the evolution of intensity-modulated radiotherapy-induced sternocleidomastoid muscle atrophy ratio in 223 nasopharyngeal carcinoma patients during the 3 years following intensity-modulated radiotherapy. In plot (B), the boxes, horizontal lines located in the box, and whiskers represent the 25th to 75th percentiles, median values, and the maximum and minimum sternocleidomastoid muscle atrophy ratio, respectively.

radiotherapy; the process of muscle atrophy may progress for many years.<sup>4</sup> Therefore, traditionally, there has been no dose limitation when muscles are within the radiation field.

However, some research, based on various radiotherapy techniques, demonstrates that conventional fractionated radiotherapy can cause significant muscle atrophy after a variable period of time. In 12 patients who received mantle field radiotherapy between 1969 and 1998,<sup>7</sup> 8 patients had severe atrophy of the SCM between 10 and 39 years later, which showed that muscles injuries could be a long-term effect. However, Nichol et al<sup>5</sup> demonstrated a significant decrease in the masticatory muscle area after radiotherapy with a median follow-up of 2.9 years. Aron et al<sup>22</sup> reported that the average thickness of the SCM decreases by 7.89% 3 months after the chemoirradiation of stages III to IV head and neck cancer. These 2 papers showed that muscle atrophy is a relatively short-term effect. In accordance with the findings of these papers, our report showed an average SCM atrophy ratio of -10.97%, -18.65%, and -22.25% at 1, 2, and 3 years after radiotherapy, respectively. These findings demonstrated that significant SCM atrophy occurred in NPC patients during the first 3 years after radical IMRT. These results demonstrate that muscles are not as radioresistant as was traditionally considered.

# Possible Reasons for Significant SCM Atrophy

Although mature muscle fibers were considered relatively radio-resistant based on histopathologic observations within a few months postirradiation,<sup>21,23</sup> this is not reasonable because muscle injury belongs to the group of late effects, which only become obvious years after radiation therapy.<sup>4</sup>

High doses of radiation may influence the proliferation of muscular precursor cells, resulting in inhibition of muscle spontaneous regeneration.<sup>24</sup> Fajardo and Berthrong<sup>25</sup> hypothesized in 1988 that the high susceptibility of capillaries and vessels to high-dose radiation leads to vascular damage causing delayed muscle ischemia and muscle atrophy. Although the reasons for differences in the severity of muscle atrophy are not clear, we speculate that varying degrees of muscle atrophy may be due to exposure dose differences or the differential damaging effects on capillaries and other vascular structures.

The total percentage of cervical node metastasis in NPC patients was 79.8% in the current study. Ho et al<sup>26</sup> reported that the percentage of cervical node metastasis in NPC patients was 74.0%. As a result of the high ratio of cervical node metastasis,<sup>26</sup> irradiation of the neck nodes and entire draining lymphatic regions down to the supraclavicular fossa has been traditional practice in treating NPC, without an established neck muscle dose limit. SCM is usually adjacent to the irradiation target volume of cervical lymph nodes. In some patients with lymph nodes directly filtrating the SCM, the CTV is enlarged to include part of SCM in the corresponding infiltrated levels,<sup>27</sup> potentially resulting in unavoidably large doses of radiation to SCM. Thus, we hypothesize that high-dose radiation-induced damage to capillaries and vascular structures located in the SCM, which results in significant SCM atrophy within the first 3 years after IMRT in patients with NPC.

Stage	Ratio of SCM Atrophy (%) at 1 y Post-IMRT	Ratio of SCM Atrophy (%) at 2 y Post-IMRT	Ratio of SCM Atrophy (%) at 3 y Post-IMRT
T1	-7.09 (95% CI: -10.57 to -3.61)	-14.36 (95% CI: -16.79 to -11.92)	-17.81 (95% CI: -20.59 to -15.40)
Т2	-10.99 (95% CI:-12.84 to -9.14)	-19.06 (95% CI: -21.00 to -17.12)	-22.38 (95% CI: -24.38 to -20.38)
Т3	-11.18 (95% CI: -12.52 to -9.83)	-18.39 (95% CI: $-19.80$ to $-16.97$ )	-22.13 (95% CI: -23.78 to -20.48)
T4	-7.22 (95% CI: -9.91 to -4.53)	-21.04 (95% CI: $-22.90$ to $-11.60$ )	-24.70 (95% CI: -27.09 to -22.30)
N0	-14.21 (95% CI: $-16.81$ to $-16.97$ )	-14.21 (95% CI: $-16.81$ to $-16.97$ )	-16.25 (95% CI: -19.05 to -13.45)
N1	-10.16 (95% CI: $-11.40$ to $-8.93$ )	-17.57 (95% CI: $-18.81$ to $-16.33$ )	-21.37 (95% CI: -22.78 to -19.97)
N2	-12.26 (95% CI: $-13.81$ to $-10.72$ )	-20.86 (95% CI: -22.35 to -19.38)	-24.62 (95% CI: -26.45 to -22.79)
N3	-17.45 (95% CI: -21.84 to -13.06)	-23.60 (95% CI: -28.59 to -18.61)	-28.19 (95% CI: -33.45 to -22.91)

CI = confidence interval, IMRT = intensity-modulated radiotherapy, SCM = sternocleidomastoid muscle.

Degree of SCM Atrophy	Number of Measured SCM (Left and Right) (%) 1 y Post-IMRT	Number of Measured SCM (Left and Right) (%) 2 y Post-IMRT	Number of Measured SCM (Left and Right) (%) 3 y Post-IMRT
Grade 0*	37/446 (8.3)	13/446 (2.9)	11/446 (2.5)
Grade 1*	162/446 (36.3)	68/446 (15.2)	45/446 (10.1)
Grade 2*	183/446 (41.0)	170/446 (38.1)	134/446 (30.0)
Grade 3 <sup>*</sup>	63/446 (14.1)	194/446 (43.5)	250/446 (56.1)
Grade 4*	1/446 (0.2)	1/446 (0.2)	6/446 (1.3)

TABLE 3. Degree of Sternocleidomastoid Atrophy of Study Cohort After Intensity-Modulated Radiotherapy

IMRT = intensity-modulated radiotherapy, SCM = sternocleidomastoid muscle.

\* According to Common Terminology Criteria for Adverse Events V3.0.

# N Staging and Time Were Significant Predictive Variables for SCM Atrophy

It has been shown that atrophy of SCM is dose dependent.<sup>22</sup> Multivariate analysis in our study demonstrated that the volume of SCM decreased the more advanced the N stage. In general, at more advanced N stage, the prescribed dose to the GTV-N will be higher; therefore, the irradiated volume of SCM exposed to high radiation (a dose causing muscle atrophy) may be larger. Although we did not investigate the dose distribution of the SCM, it can be speculated that the effect of N stage on muscular atrophy ratio is primarily attributable to dose distribution. Given its irreversible nature, and the difficulties with management, prevention of muscle atrophy is the primary strategy. This is probably most effectively done by limiting the radiation volume and dose in the neck muscles.

This study also demonstrated that the volume of SCM decreased in a time-dependent manner postradiotherapy (P < 0.001). Previous research has shown that radiation-induced muscle atrophy and weakness can progress for many years in Hodgkin lymphoma patients.<sup>7</sup> In addition, the increase of degree of SCM atrophy slows down year by year indicating that atrophy becomes slower over time, suggesting there may be a plateau for muscular atrophy over time.



**FIGURE 2.** Time line chart depicting N staging variation and the evolution of intensity-modulated radiotherapy-induced sternocleidomastoid muscle atrophy in nasopharyngeal carcinoma patients during the 3 years following intensity-modulated radiotherapy.

### Chemotherapy and Weight Loss had No Correlation With SCM Atrophy Ratio

The study data showed that SCM atrophy was not always accompanied by weight loss (P = 0.06), indicating that SCM atrophy is attributed to treatment rather than loss of weight; this conclusion is consistent with the results reported by Aron.<sup>22</sup>

Although chemotherapy may increase the incidence of acute side effects in patients receiving radiotherapy<sup>28</sup> and doxorubicin-based chemotherapy can be a cause of muscle atrophy,<sup>29</sup> there have been no systematic studies into the effect of chemotherapy on muscle atrophy. Multivariate analysis of the study data revealed that chemotherapy was not a significant predictive variable for muscular atrophy.

TABLE 4.	Univariate Analysis of Prognostic Factors for Ratio of
Sternoclei	domastoid Atrophy in Study Cohort After Intensity-
Modulate	d Radiotherapy

Effect	Estimate	Standard Error	DF	t Value	$\mathbf{Pr} >  t $
Age, y					
≤42	-0.00285	0.01182	220	-0.24	0.81
>42	Reference				
Sex					
Male	0.002177	0.01323	220	0.16	0.87
Female	Reference				
T stage*					
T1	0.06175	0.02217	218	2.79	0.006
T2	0.01799	0.01783	218	1.01	0.31
Т3	0.01963	0.01538	218	1.28	0.20
T4	Reference				
N stage*					
N0	0.1033	0.0269	218	3.84	< 0.001
N1	0.06787	0.0234	218	2.9	0.004
N2	0.04103	0.0239	218	1.72	0.09
N3	Reference				
Chemotherap	у				
No	0.04919	0.01548	220	3.18	0.002
Yes	Reference				
Time	-0.05645	0.003016	221	-18.72	< 0.001
Weight loss	-0.00537	0.04413	934	-0.12	0.90

DF = degree of freedom, Time = time interval after intensity-modulated radiotherapy, Weight = ratio of changes of weight value. \*According to the 7th AJCC/UICC staging system.

Effect	Estimate	Standard Error	DF	t Value	$\mathbf{Pr} >  t $
Intercept	-0.1235	0.02754	235	-4.48	< 0.001
Age, y					
≤42	-0.00288	0.01153	214	-0.25	0.80
>42	Reference				
Sex					
Male	-0.00326	0.01274	214	-0.26	0.80
Female	Reference				
T stage*					
T1	0.03297	0.02392	213	1.38	0.17
T2	0.01032	0.01787	212	0.58	0.56
Т3	0.01703	0.01498	213	1.14	0.26
T4	Reference				
N stage*					
N0	0.08675	0.02791	212	3.11	0.002
N1	0.06364	0.02334	212	2.73	0.007
N2	0.04211	0.02387	212	1.76	0.08
N3	Reference				
Chemotherap	зу				
No	0.02354	0.01797	212	1.31	0.19
Yes	Reference				
Time	-0.05802	0.00313	243	-18.54	< 0.001
Weight loss	0.07503	0.04003	877	1.87	0.06

**TABLE 5.** Multivariate Analysis of Prognostic Factors for Ratio

 of Sternocleidomastoid Atrophy in Study Cohort After Inten 

 sity-Modulated Radiotherapy

DF = degree of freedom, Time = time interval after intensity-modulated radiotherapy, Weight = ratio of changes of weight value.

\* According to the 7th AJCC/UICC staging system.

# The Association Between SCM Atrophy and Neck Weakness

Elena et al reported that 83% of Hodgkin lymphoma patients experienced neck pain and muscle weakness several decades after field radiotherapy. However, these patients had no muscle weakness in the first 10 years after radiotherapy.<sup>7</sup> In our observation, 51.1% of NPC patients had experienced neck weakness by 3 years post-IMRT. This difference may be attributable to the radiation dose of lymphoma being far lower than that of NPC.

The actual causal relationship between muscle atrophy and symptoms is unknown, but previous research has shown that low muscle mass, which leads to muscle atrophy, is a potential factor in the development of weakness.<sup>30</sup> Consistent with this, the study results demonstrated that SCM atrophy ratio correlates significantly with the grade of muscle weakness.

# **Bcl-2** Provides Further Possibilities for Limiting the Radiation Dose to Neck Muscles

The most well-known apoptosis-related B-cell CLL/lymphoma 2 (Bcl-2) family comprises pro-apoptotic proteins such as Bax (Bcl-associated X) and antiapoptotic proteins such as Bcl-2.<sup>31</sup> Previous studies have demonstrated that a Bcl-2 inhibitor and Bax overexpression may induce the radiosensitization of NPC cells.<sup>32</sup> Recently, small-molecule Bcl-2 inhibitors or/and Bax agonists have been proposed as promising strategies for cancer therapy.<sup>33</sup>

High-dose radiation is associated with a high probability of treatment-induced toxicities. If radiotherapy with a reduced

#### Limitations

One limitation of this study is that the length of follow-up, at 3 years, is inadequate. However, the first few years after IMRT do appear critical to understanding the evolution of IMRT-induced muscle atrophy and further investigating how to reduce late adverse reactions.

There is no evidence that measurement of muscular volume is more effective than measuring transversal muscular diameter or area; however, measuring the SCM volume provides more comprehensive information about the muscle as a whole, especially when it is unclear which level of SCM muscle is the most representative for muscle atrophy.

#### CONCLUSIONS

A review of the literature suggests that this is a relatively large study with a lengthy follow-up period evaluating IMRTinduced neck muscle atrophy and neck weakness of NPC patients. Understanding the evolution of IMRT-induced muscle atrophy may assist in informing treatment choices. The study results indicate that radical IMRT can cause significant SCM atrophy in NPC patients. A more advanced N stage is associated with more severe SCM atrophy, but no difference was observed in this study between N2 and N3. SCM atrophy progresses most rapidly in the earlier period of the first 3 years after IMRT. The grade of SCM atrophy is significantly associated with neck weakness.

#### ACKNOWLEDGMENTS

The authors would like to thank anonymous native English speakers for their linguistic assistance in improving this manuscript.

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