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REVIEW

Therapeutic Approaches to Insomnia and Fatigue in Patients with Multiple Sclerosis

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¹Sleep Disorders Center, Cleveland Clinic, Cleveland, OH, USA; ²Mellen Center for MS, Cleveland Clinic, Cleveland, OH, USA **Abstract:** The prevalence of sleep disorders in individuals with multiple sclerosis (MS) is 3–5 times higher compared to the general population. Insomnia Disorder, defined as difficulty falling asleep, maintaining sleep or waking up too early, can lead to significant fatigue, the most common and disabling symptom of MS. In addition, fatigue and insomnia in patients with MS also can overlap with and exacerbate other psychological and physical symptoms. Cognitive behavioral therapy for insomnia (CBT-I) has been shown as an effective treatment for chronic insomnia and burgeoning research has demonstrated the effectiveness of this treatment for insomnia in individuals with a variety of comorbid medical conditions including MS. The purpose of the current review will explore the literature surrounding the prevalence and impact of sleep disorders and fatigue in MS. Additionally, this review will address practical ways to help individuals with MS manage fatigue as well as how to modify typical standard behavioral treatments for insomnia to take into account special considerations for individuals with MS based on the level of disability and other comorbid issues that impact sleep.

Keywords: CBT-I, sleep, comorbidity, neurological disorder, multiple sclerosis

Introduction

Insomnia Disorder is defined as difficulty falling asleep, staying asleep, or early morning awakenings with greater than three months duration and associated with impairment in daytime functioning.¹ The prevalence of Insomnia Disorder varies depending on the diagnostic nosology utilized. Based on the International Classification of Diseases 10th Edition (ICD-10), prevalence is approximately 4%; if based on the International classification of sleep disorders second edition (ICSD-2) criteria, 15%; and if based on the Diagnostic and Statistical Manual of Mental Health Disorders fourth edition text revision (DSM-IV) criteria is 22%.²

Previous research suggests insomnia is highly prevalent amongst persons with medical conditions. Taylor et al³ found that a range of diagnoses were associated with a high prevalence of insomnia in a community population, including breathing problems (prevalence of insomnia: 59.6%), gastrointestinal problems (55.4%), chronic pain (48.6%), hypertension (44%), and urinary problems (41.5%).

The definition of insomnia and its clinical relevance has evolved to reflect the complexity of determining the etiology of sleep disturbances. Insomnia was previously defined as either primary or secondary. Primary insomnia occurred when insomnia was not attributable to another medical or mental health condition. Secondary insomnia referred to insomnia that was a symptom of a medical or

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This distinction reflects a more modern conceptualization of the development of Insomnia Disorder and its chronicity. The 3-P Model of Insomnia suggested by Spielman et al⁵ proposes that Insomnia Disorder develops due to predisposing, precipitating, and perpetuating factors. Predisposing factors are risk factors associated with sleep disturbance (e.g., age, genetics, mental health history). Precipitating factors are triggers that lead to symptoms of insomnia. Perpetuating factors are the maladaptive behaviors, cognitions, or environmental factors that maintaininsomnia; in other words, coping mechanisms adopted to manage poor sleep that is actually prolonging insomnia.

The re-conceptualization of secondary insomnia has been valuable in developing interventions for persons with co-morbid insomnia and medical conditions. Utilizing the 3-P model to develop these interventions means that the co-existing medical problem does not need to be cured or improved for sleep to improve, despite the co-morbid condition being the precipitating factor.

At present, Cognitive Behavioral Therapy for Insomnia (CBT-I) is the first-line treatment for Insomnia Disorder recommended by the American College of Physicians.⁶ CBT-I targets maladaptive behaviors, attitudes, beliefs, and thought patterns that perpetuate insomnia (see Figure 1 for details of components of CBT-I). CBT-I has been shown to be an effective intervention for insomnia across numerous chronic medical conditions. For example, McCrae et al⁶ found that both CBT-I and Cognitive Behavioral Therapy for Pain (CBT-P) produced significant improvements in sleep, though the effects of CBT-I were of greater magnitude and sustained longer. In female breast cancer survivors postcancer treatment, CBT-I was also effective in improving the amount of time to fall asleep, sleep efficiency, subjective insomnia, and physical and cognitive functioning compared to placebo.7 In post-menopausal women with insomnia, CBT-I and Sleep Restriction therapy alone (a single component of CBT-I) decreased subjective insomnia; CBT-I also accounted for significantly greater reductions in time awake in the middle of the night.⁸ Crawford et al⁹ found that 94.3% of women with chronic migraine who completed a digital CBT-I course responded to treatment (i.e., \geq 50% reduction in insomnia symptoms). And a pilot study examining the effects of brief behavioral therapy for insomnia (BBT-I) for persons with irritable bowel syndrome showed that BBT-I improved sleep quality, insomnia severity, and sleep efficiency compared to a placebo intervention.¹⁰ As a whole, the literature suggests that successfully improving insomnia in persons with chronic medical conditions is possible without directly intervening on the medical condition itself.

The purpose of the current review is to explore the extant literature on the prevalence of sleep disturbance and fatigue in persons with Multiple Sclerosis (PwMS). Additionally, this review will introduce practical modifications to existing cognitive behavioral treatments for insomnia and fatigue that have been tailored for PwMS by experts in both behavioral sleep medicine and MS.

Sleep and Fatigue Prevalence in Patients with MS

Sleep disturbance or poor sleep quality in PwMS is common and often under-recognized and inadequately addressed. Previous research suggests the prevalence of sleep disturbance in the MS population is between 48% and 67% and up to four times higher in PwMS as compared to the general population.^{11–14} A recent study found that over 70% of PwMS screened positive for having at least one sleep disorder although only 13% indicated having a diagnosis of a sleep disorder.¹⁵ More specifically, insomnia, obstructive sleep apnea (OSA), restless leg syndrome (RLS) and circadian rhythm disorders are among the most common sleep disorder.¹⁵

There are no large-scale epidemiological studies of the true prevalence of Insomnia Disorder in MS, but it is generally accepted that it is a common problem that affects approximately 40–50% of patients.¹² In a recent study, it was reported that 42% of MS patients had difficulty in initiating sleep, 53% reported frequent awakenings, and 58% reported wake after sleep onset.¹⁶ OSA prevalence in MS is not well established. Limited studies have reported values from 4%¹⁷ to as high as 58%.¹⁸ The prevalence of RLS in MS patients is approximately 20% and some studies show a three to five times higher prevalence in patients with MS.^{19–21} The prevalence of circadian rhythm sleep disorders is not known; however, it has been suggested circadian rhythm sleep disorder is higher in PwMS with severe fatigue.²²

Sleep Psychoeducation		Sleep Hygiene		
Why We Sleep & Sleep Stages		Stop Caffeine between 12- 2PM	No heavy meals 2 hrs before bedtime (but don't go to bed hungry)	
		Stop nicotine 2 hrs before bedtime	No electronics in the bedroom (TV, phone)	
What Controls Sleep:Circadian RhythmsSleep Drive/Pressure		No exercise 2 hrs before bedtime	Keep bedroom cool, dark, quiet	
Spielman 3-P Model of Insomnia • Predisposing, Precipitating, Perpetuating Factors		Sleep Hygiene recommendations are necessary but insufficient to treat insomnia		
Stimulus Control		Sleep Restriction		
Addresses conditioning between bed & wakefulness		Restricting TIME IN BED based on total sleep time		
Bed becomes strong trigger for sleep				
Wake up and get out of bed the same time every day, even on weekends or non- work days	Avoid napping during the day			
Go to bed when you are sleepy, but not before your goal bedtime.	Create a buffer zone.	Based on Patient's Sleep Diary Data	Increases sleep pressure & eliminates time in bed awake (reduces conditioning)	
Use the bed for sleep and intimacy only.	Don't worry or plan in bed	 Allow pt to choose bed and wake times Anchor wake time & count backwards 	Example: TIB: 8 hrs TST: 6 hrs Sleep Restriction = 6 hrs	
Get up when you can't sleep.	Do not try too hard to sleep! Just allow sleep to unfold.	Important: • Cannot compensate for sleep loss • Have to consistently stick to wake up time		
Polaz	ation	Cognitive	e Therapy	
Relaxation		Cognitive Therapy		
Reduces physical arousal at bedtime Reinforcing bed as relaxing and place for sleep		Identify & address myths/maladaptive thoughts about sleep and cognitive hyperarousal at bedtime		

Reduces physical arousal at bedtime Reinforcing bed as relaxing and place for sleep			Identify & addres and co	
Diaphragmatic Breathing	Guided Imagery	Identify maladaptiv		
Mindfulness	Autogenic Training	á	about sleep	
Progressive Muscle Relaxation	Don't worry or plan in bed	Challenge malada thoughts & myths		
Encourage to practice during the day to decrease overall physical hyperarousal			emotional experier insomnia	

and cognitive hyperarousal at bedtime				
y maladaptive beliefs sleep	 "I read that everyone needs at least 8 hours of sleep" "I won't sleep at all without my Ambien" "I can't function on the sleep I get now" 			
enge maladaptive nts & myths to improve onal experience of nia	 "8 hours is the average, but everyone's sleep need is different" "I may have trouble sleeping without Ambien, but now I have the tools to naturally sleep" "I might not sleep well tonight, but I will still be able to work tomorrow and take care of my kids" 			

Figure I Components of cognitive behavioral therapy for insomnia (CBT-I).

Sleep disturbances in PwMS, as described above, are due to several pathophysiology factors which include demyelinating lesions in the brainstem and spinal cord. Demyelination is a central feature of MS as the myelin sheath that protects nerves is stripped off during inflammation periods. Lesions in the brainstem and spinal cord are known to contribute to difficulty with breathing control, upper airway muscle activity and unstable ventilator drive which would contribute to central sleep apnea and possibly insomnia.²³ Additionally, it has been demonstrated that sleep supports oligodendrocytes functions, including myelination, and repair processes after white matter damage.²³ These repair processes increase during sleep but are reduced after sleep deprivation.²³ Therefore, it is likely that poor sleep quality can have a negative influence on remyelination processes and possible recovery after an MS relapse. In a recent study, patients with relapsing-remitting MS and poor sleep quality were more likely to have an increased rate of relapses for a longer duration which supports the theory that poor sleep may ultimately impair the ability to repair from MS relapses.²³ This underscores the importance of assessing and treating sleep disorders in PwMS.

Fatigue can be defined as an overwhelming sense of lack of physical and/or mental energy that interferes with usual or desired activities.²⁴ Fatigue is a common, multidimensional, and complex symptom of MS and impacts up to 90% of PwMS at some point during the course of the disease. Additionally, 55% of PwMS report fatigue as the worst symptom.^{15,24,25} Fatigue significantly impedes on PwMS daily life, personal relationships and work quality. A recent study found 66% of PwMS reported fatigue limited daily functioning, 37% reported it limited social functioning and 61% reported fatigue limited functioning at work.²⁶ While the exact cause of MS-related fatigue is still unknown, sleep disturbances are suggested to be one of the largest predictors of fatigue in MS when examined among disease variables and depression.²⁷

Fatigue is a significant symptom of MS and can affect multiple activities of daily living, work status, social and family life and quality of life.²⁸ PwMS often describe "invisible symptoms" (not outwardly visible) as the most debilitating and difficult to manage, which includes fatigue, as well as pain, sexual dysfunction, cognitive issues and mood symptoms. These symptoms are often described as debilitating, frustrating, aggravating and difficult to treat by both patients and providers. Fatigue is a subjective and physical experience and is often described by PwMS as "having a 24/7 flu," "never feeling rested," or "exhausting and debilitating."

Tailoring Insomnia Treatment for Patients with MS

Promising research has found significant improvements in symptoms of insomnia with Cognitive Behavioral Therapy for Insomnia (CBT-I) in PwMS. A retrospective study of 11 individuals with MS who underwent CBT-I found that 86% experienced a reduction in insomnia symptoms, 60% reported a reduction in fatigue, and 73% reported increased total sleep time.²⁹ Similarly, a case study of an individual with MS reported improvements in sleep quality, life satisfaction, anxiety and depression after a brief course of CBT-I.³⁰ Based on these initial promising data, Siengsukon et al³¹ conducted the first prospective randomized control trial (n=33) and demonstrated that CBT-I is feasible in PwMS and produced improvements in insomnia severity, sleep quality, sleep self-efficacy, as well as improvements in comorbid symptoms of fatigue, depression and anxiety.

In terms of the practical application of these strategies, exploration of potential modifications to standard strategies and special considerations based on symptoms and needs of this population are advisable. Cognitive impairments that are associated with MS may serve as a barrier to various aspects of CBT-I. One of the key components of CBT-I is having the patient complete a sleep log to track sleep patterns and response to treatment. Individuals with MS who experience cognitive difficulties may need assistance from a caregiver or aide to complete the sleep logs. Another option may be to assess patients' sleep patterns by having them use a clinical-grade actigraph or commercially available sleep tracker. In addition, written materials with detailed descriptions of the patient's treatment plan would be helpful for both patients and their caregiver(s) who may be facilitating the implementation of the sleep plan.

Specific perpetuating factors to consider when modifying CBT-I in PwMS include individuals spending an excessive amount of time in bed due to physical and motor limitations. Implementing stimulus control guidelines may be difficult or impossible depending on the severity of MS symptoms. For example, a stimulus control guideline is to delay getting into bed until sleepy to increase sleep drive and minimize the length of time until sleep onset. This strategy may not be possible for individuals who rely on the assistance of a caregiver or aide to get into bed. Another guideline of stimulus control is that the individual should get out of bed if they are not falling asleep within 20-30 minutes. This may not be feasible due to physical limitations or may actually cause too much effort/exertion and actually lead to increased alertness and arousal. Developing an alternative plan with the individual to make a distinction of being in bed relaxing/winding down versus actual bedtime/trying to

sleep may include things like changing position in bed from sitting upright to laying down or changing cues in the environment to clearly signal bedtime (eg, turning off TV and lights, setting book down on nightstand).

Exploring MS-related causes of the middle of the night awakenings need to be taken into including bladder problems and muscle spasticity. For nocturia, one strategy that may help would be to have individual try to discontinue liquid consumption 2–3 hours before bedtime. If necessary, a consult to urology may be beneficial and consideration of certain medications to decrease urinary frequency. Muscle spasticity is also a common symptom of MS and can be very uncomfortable and lead to more disrupted sleep. Oftentimes exercise and stretching can help reduce spasticity and medications are also available to reduce discomfort and decrease the impact on sleep.

Sleep restriction is a strategy that increases sleep pressure and enhances conditioning between the bed and sleep. Time in bed is limited to an individual's average sleep time. For example, if someone is only sleeping an average of 6 hours and their desired wake time in the morning is 6 am, their recommended sleep schedule would be to delay bedtime until 12 am and have a consistent wake time of 6 am. For patients with chronic health issues, sleep compression is a modified version of sleep restriction that involves a gradual, step-wise reduction of time in bed until the target total sleep time is reached. For a person with 6 hours of total sleep time and 10 hours of in bed time, sleep compression would involve reducing their time in bed by 30 min every week. Although completely avoiding naps is recommended in the standard application of CBT-I and sleep restriction, high levels of fatigue in PwMS may make naps necessary and beneficial. For patients in which naps are considered essential, smarter napping should be discussed including keeping naps time limited (20-30 mins), in their own bed, and preferably earlier in the daytime. Combining CBT-I with additional fatigue management strategies would also likely be extremely beneficial for many PwMS.

Fatigue Management Interventions

Fatigue is a significant symptom of MS, affecting approximately 80% of PwMS.³² Despite advances in both pharmacological and non-pharmacological treatment, fatigue is difficult to manage. Assessment can also be complicated as the mechanisms of action are unknown; however, several factors that impact fatigue in PwMS have been identified. These may include primary factors such as immune dysregulation, central nervous system mechanisms, endocrine factors, and neurotransmitter dysregulation. Secondary factors may include: physical deconditioning, sleep dysfunction, pain, psychological factors, depression, and medications.³² In addition, practitioners and researchers suggest that PwMS who have complaints of fatigue should undergo an appropriate evaluation to rule out a sleep disorder precipitating or perpetuating the MSrelated fatigue as well as depression and/or anxiety.^{22,32} For instance, MS-related fatigue may mask the existence of a sleep disorder or fatigue and a sleep disorder such as insomnia may be comorbid. In 2004, Attarian et al³³ compared 15 PwMS who reported fatigue to 15 PwMS who did not present with fatigue. Interestingly, 12 out of the 15 individuals with fatigue reported disrupted sleep or disrupted circadian rhythm as compared to 3 out of the 15 without fatigue.

Research suggests that although more investigation is necessary to fully understand fatigue and fatigue interventions, we currently understand the need for a multidisciplinary long-term management including both pharmacological and non-pharmacological treatments. Khan et al³² provided a comprehensive review of the literature with detailed suggestions on fatigue management in PwMS. A summary of these includes: rehabilitation therapies which include exercise and strength building, aquatic therapies, behavioral and educational interventions, and possibly pharmacological intervention. Rehabilitation interventions are also recommended to manage MS-related fatigue, and several studies have demonstrated that interventions such as exercise, energy conservation management (pacing) can have positive short-term effects on fatigue outcomes.^{34–36}

Managing fatigue in clinical practice comes with an appropriate assessment to rule out other medical or psychological conditions. If it is determined that it is fatigue related to MS, treatment can be tailored to the person's needs. Approaches to fatigue management are generally centered on the identification and development of activity modifications that reduce fatigue through systematic analysis.³⁷ With regards to behavioral and educational interventions, pacing is likely the most commonly used strategy. Pacing is the act of matching tasks to ability and energy reserves and can include having PwMS complete a daily activity, fatigue and mood log to determine when they feel they are least and most fatigued.³⁸ This often reveals patterns to help PwMS understand their body as well as the timing of their energy levels. This technique includes breaking tasks down into smaller parts, building in long or short rest periods and monitoring energy levels and needs for breaks (Werfel, 2016). Additionally, assisting PwMS find a balance between what is desirable and what is possible highlights the importance of pacing in optimizing the quality of life. Additionally, in order to assist patients in understanding how to manage fatigue, the body energy bank analogy is frequently used. Patients are taught that in order to have energy, one must replenish energy as well as add to energy. This means appropriate and restorative sleep, increasing energy by movement, if possible, and contributing energy by looking at relaxation strategies. Mental health and cognitive disorders can also impact fatigue (e.g., energy expended with rumination, anxiety, depression, cognitive decline and excessive concentration). Managing fatigue is an important and necessary component for contributing to the best quality of life for each patient. Assessment to determine their source or sources of fatigue is vital as is tailoring treatment to each individual person.³⁸

Discussion

Although there is an abundant literature on the prevalence of sleep disorders and fatigue in persons with MS, there is a dearth of empirical findings on managing these conditions for PwMS. The standard applications of first-line treatments may be inappropriate for PwMS given their physical or cognitive limitations; however, addressing poor sleep and fatigue is crucial in this population. Despite the few studies examining interventions for insomnia with PwMS, results from the extant literature show that modifications to CBT-I that are tailored for PwMS can be successful.³¹ Similarly, researchers and clinicians have modified fatigue interventions developed for rehabilitation to appropriately address fatigue for PwMS.

Additionally, studies that have investigated sleep in chronic medical problems have also noted reciprocity between insomnia improvement and improvement in medical symptoms.^{6,10}

Similarly, Baron et al³⁹ found that insomnia symptoms were improved when PwMS underwent psychotherapy targeted for depression. Hence, improving sleep and fatigue may be an opportunity to improve mental health or physical symptoms of MS as well.

That sleep and fatigue can be improved for PwMS is promising evidence for the quality of life for PwMS. That insomnia and fatigue can be considered co-morbid conditions with MS instead of secondary to MS means that there is potential to improve sleep and daytime functioning despite the progressive nature of MS. Specific aspects of or behaviors related to MS can be targeted as perpetuating factors for insomnia and fatigue that make standard interventions tailored for this special population.

Abbreviations

BBT-I, Brief Behavioral Therapy for Insomnia; CBT-I, Cognitive Behavioral Therapy for Pain; DSM-IV, CBT-P Diagnostic and Statistical Manual of Mental Health Disorders fourth edition text revision; ICD-10, International Classification of Diseases 10th Edition; ICSD-2, International classification sleep of disorders second edition; MS, Multiple Sclerosis; OSA, obstructive sleep apnea; PwMS, Person(s) with Multiple Sclerosis; RLS, restless leg syndrome.

Disclosure

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