



## 14 **Abstract**

15 Many different vaccine candidates against severe acute respiratory syndrome coronavirus-2 (SARS-CoV-  
16 2), the etiological agent of COVID-19, are currently approved and under development. Vaccine platforms  
17 vary from mRNA vaccines to viral-vectored vaccines, and several candidates have been shown to produce  
18 humoral and cellular responses in small animal models, non-human primates and human volunteers. In  
19 this study, six non-human primates received a prime-boost intramuscular vaccination with 4 µg of mRNA  
20 vaccine candidate CV07050101, which encodes a pre-fusion stabilized spike (S) protein of SARS-CoV-2.  
21 Boost vaccination was performed 28 days post prime vaccination. As a control, six animals were similarly  
22 injected with PBS. Humoral and cellular immune responses were investigated at time of vaccination, and  
23 two weeks afterwards. No antibodies could be detected two and four weeks after prime vaccination. Two  
24 weeks after boost vaccination, binding but no neutralizing antibodies were detected in 4 out of 6 non-  
25 human primates. SARS-CoV-2 S protein specific T cell responses were detected in these 4 animals. In  
26 conclusion, prime-boost vaccination with 4 µg of vaccine candidate CV07050101 resulted in limited  
27 immune responses in 4 out of 6 non-human primates.

## 29 **Introduction**

30 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the etiological agent  
31 responsible for COVID-19. SARS-CoV-2 has spread worldwide and over 185 million cases have been  
32 detected as of July 2021. The pandemic has resulted in an unprecedented research effort towards the  
33 development of a SARS-CoV-2 vaccine and several vaccines against SARS-CoV-2 have now been  
34 approved. Interestingly, whilst traditional approaches such as subunit protein vaccines<sup>1</sup> and inactivated  
35 virus vaccines<sup>2</sup> are still pursued, a large number of vaccines are based on novel platforms, such as virus-  
36 vectored vaccines<sup>3-5</sup> and nucleic acid (DNA or RNA) vaccines<sup>6,7</sup>. Promising results have been published  
37 for these platforms, both preclinical<sup>8-13</sup> and clinical<sup>3-7</sup>, showing the induction of a humoral and cellular  
38 response.

39           Preclinical assessment of SARS-CoV-2 vaccines in non-human primate models is advantageous  
40    due to the close relatedness of non-human primates to humans, thereby resulting in a higher degree of  
41    clinical translation than smaller animal models. Indeed, rhesus macaques have been successfully used to  
42    study vaccines<sup>14</sup>. Inoculation of rhesus macaques with SARS-CoV-2 results in respiratory disease which  
43    includes virus replication in upper and lower respiratory tract<sup>15</sup>. Two reports on the immune response of  
44    SARS-CoV-2 mRNA vaccine candidates in non-human primates describe the induction of binding and  
45    neutralizing antibodies, as well as antigen-specific T cell responses<sup>9,10</sup>.

46           SARS-CoV-2 messenger RNA (mRNA) vaccines encoding the SARS-CoV-2 spike (S) protein  
47    have a good safety and immunogenicity profile, both in non-human primates<sup>9,10</sup> and in humans<sup>6,7,16</sup>. Here,  
48    we investigate the immunogenicity of another SARS-CoV-2 S mRNA vaccine, CV07050101, in non-  
49    human primates. CV07050101 is based on mRNA technology, RNAActive<sup>®</sup>, developed by CureVac for the  
50    accelerated development of human vaccines<sup>17-21</sup>. The efficaciousness of this platform has been  
51    demonstrated for a rabies vaccine in mice and humans<sup>18,22</sup>. Moreover, mRNA vaccines have been  
52    discussed as particular well suited to combat outbreak pathogens<sup>23</sup>.

53

## 54    **Results**

55           In order to investigate the immunogenicity of mRNA vaccine CV07050101, we vaccinate six  
56    rhesus macaques (all male) at 0 and 28 days via intramuscular injection, using 4 µg per dose. As a  
57    control, six rhesus macaques were injected with an equal volume of sterile PBS (Figure 1A). No adverse  
58    events were observed upon vaccination, and overall hematology and clinical chemistries were  
59    unremarkable. No differences between the control and vaccinated groups were noted. No binding  
60    antibodies could be detected 14 or 28 days post prime vaccination (Figure 1B). 14 days post boost  
61    vaccination, low titers of spike-specific binding antibodies (reciprocal endpoint IgG titers of 400-800)  
62    could be detected in 4 out of 6 animals (Figure 1B). Virus-specific neutralizing antibodies were not

63 detected in animals at any time post boost vaccination (Figure 1C). No SARS-CoV-2 spike-specific T cell  
64 responses were detected 14 days post prime vaccination but were detected in the same 4 out of 6 animals  
65 at 14 days post boost vaccination (Figure 1D). The detection of specific T cell responses correlated with  
66 the detection of spike-specific binding antibodies.

67

## 68 **Discussion**

69 Here, we show that prime-boost vaccination of rhesus macaques with 4  $\mu$ g of CV07050101  
70 results in the induction of binding antibodies in some, but not all vaccinated animals. This contrasts with  
71 other studies with mRNA vaccines, in which a prime-boost vaccination elicits a robust humoral and  
72 cellular response in all animals. Using a prime-boost regimen of 10  $\mu$ g of mRNA-1273, which encodes a  
73 prefusion-stabilized S protein utilizing modified mRNA, S-specific binding antibodies were detected in  
74 all animals, whereas neutralizing antibodies were detected in 7 out of 8 animals<sup>9</sup>. Likewise, a prime-boost  
75 vaccination using 30  $\mu$ g of vaccine candidate BNT162b2, which also encodes a prefusion-stabilized S  
76 protein, elicits binding and neutralizing antibodies in 6 out of 6 animals. Moreover, binding and  
77 neutralizing antibodies were detected in all animals 21 days post prime only vaccination with 30  $\mu$ g of  
78 BNT162b2<sup>10</sup>. A recently released preprint investigating CV07050101 showed that vaccination of rhesus  
79 macaques with 8  $\mu$ g of mRNA elicits binding and neutralizing antibodies, whereas a dose of 0.5  $\mu$ g of  
80 mRNA did not<sup>23</sup>.

81 One important difference between these studies is the amount of mRNA used to vaccinate  
82 animals. We used 4  $\mu$ g of mRNA per vaccination, whereas the other doses which elicited an immune  
83 response used between 8  $\mu$ g and 100  $\mu$ g per vaccination<sup>9,10,24</sup>. Using a dose of 10  $\mu$ g mRNA-1273 vaccine  
84 resulted in no detectable neutralizing antibodies in 1 out of 8 animals on the day of challenge, but 100  $\mu$ g  
85 of mRNA-1273 resulted in neutralizing antibodies in all animals<sup>9</sup>, suggesting a dose-dependent response  
86 to the vaccine. Likewise, whereas 8  $\mu$ g of CV07050101 induced an immune response, 0.5  $\mu$ g did not<sup>23</sup>.

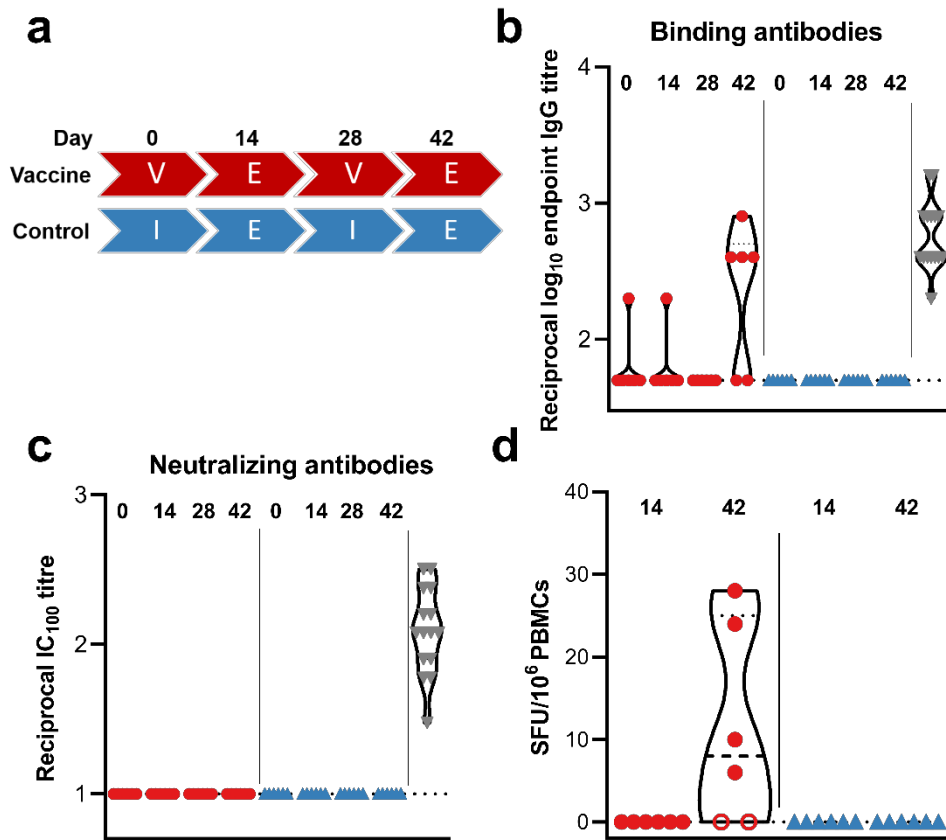
87           Compared to the limited immunogenicity in non-human primates we observed here, robust  
88 SARS-CoV-2 neutralizing titers were observed in Balb/c mice immunized with the CV07050101 vaccine  
89 after prime-boost regimen. Challenge studies in hamsters, which were performed at a later stage, utilized  
90 a 10 µg prime-boost regimen of CV07050101 vaccine and a challenge dose of 10<sup>2</sup> TCID<sub>50</sub> SARS-CoV-2  
91 and provided protection of the lower respiratory tract<sup>24</sup>.

92           As the elicited immune response was low or absent in the vaccinated rhesus macaques, we  
93 decided not to challenge the animals. In rhesus macaques, neutralizing antibodies are a correlate of  
94 protection<sup>25</sup>. The presence of neutralizing antibodies in humans correlates with immunity against SARS-  
95 CoV-2<sup>26</sup>. Since we did not detect neutralizing antibodies, we hypothesize that these animals would not  
96 have been protected.

97           Since CV07050101 is now assessed in clinical trial studies, we compared the available  
98 immunogenicity results. The 12 µg high dose vaccine prime-boost regime was able to induce neutralizing  
99 antibody titers comparable to non-hospitalized individuals, whereas the 2-8 µg doses induced neutralizing  
100 titers that were lower in clinical trial participants. However, virus neutralizing antibodies could be  
101 detected in 66% of human volunteers given 4 µg of CV07050101<sup>16</sup>, in contrast to no neutralizing  
102 antibodies in serum from NHPs vaccinated with the same dose. It has been hypothesized that a difference  
103 in the lubricant used in syringes can decrease integrity of the mRNA vaccine, which may explain the low  
104 immunogenicity detected in this NHP study (B.P. personal communication).

105           In conclusion, we show that prime-boost vaccination of rhesus macaques with 4 µg of  
106 CV07050101 does not elicit a uniform nor robust immune response. However, vaccination using 8 µg of  
107 the same vaccine was protective in a NHP challenge study demonstrating protection against SARS-CoV-2  
108 infection by CV07050101 vaccination<sup>23</sup>.

109



110

111 Figure. Humoral and cellular response after vaccination with CV07050101. a) Study schedule. Two  
112 groups (N=6) were vaccinated (V) or administered PBS (I) twice, four weeks apart. Fourteen days post  
113 each vaccination, exams (E) were performed. The presence of SARS-CoV-2 spike-specific binding (b)  
114 and neutralizing (c) antibodies in serum obtained from rhesus macaques at time of vaccination and 14  
115 days afterwards were measured using ELISA and infectious virus neutralization assays. d) SARS-CoV-2  
116 S-specific T cell responses were measured via ELIspot. Closed red circles = animal positive in ELISA  
117 assay; open red circle = animal negative in ELISA assay; blue triangles = control animals; grey triangles  
118 = convalescent human sera; dotted line = lower limit of detection.

## 119 **Methods**

### 120 *Ethics statement*

121 Animal study approval was provided by the Institutional Animal Care and Use Committee (IACUC) at  
122 Rocky Mountain Laboratories. Animal experiments were conducted in an AAALAC-approved facility,  
123 following the basic principles and guidelines in The Guide for the Care and Use of Laboratory Animals,  
124 the Animal Welfare Act, United States Department of Agriculture and the United States Public Health  
125 Service Policy on Humane Care and Use of Laboratory Animals. Rhesus macaques were housed in  
126 individual primate cages allowing social interactions, in a climate-controlled room with a fixed light/dark  
127 cycle (12-hours/12-hours). Animals were monitored a minimum of twice daily and commercial monkey  
128 chow, treats, vegetables, and fruit were provided. Water was available ad libitum. A variety of human  
129 interaction, commercial toys, videos, and music was used as environmental enrichment.

### 130 *Vaccine mRNA and lipid nanoparticle production*

131 CV07050101 is an lipid nanoparticle-formulated RNActive® SARS-CoV-2 vaccine composed of the  
132 active pharmaceutical ingredient, an mRNA that encodes a pre-fusion conformation stabilized version of  
133 the full-length spike (S) protein of SARS-CoV-2 virus (GenBank YP\_009724390.1) including the K986P  
134 and V987P prefusion stabilizing mutations, and four lipid components: cholesterol, 1,2-distearoyl-sn-  
135 glycerol-3-phosphocholine (DSPC), PEGylated lipid and a cationic lipid<sup>24</sup>.

### 136 *Study design*

137 12 male rhesus macaques between 3-5 years old were screened for SARS-CoV-2 status by ELISA, and  
138 when found to be negative for prior exposure were sorted by body weight, and then divided into two  
139 groups of six animals, resulting in near equal contribution of body weights. Group 1 (vaccine) was  
140 vaccinated with 4 µg of mRNA vaccine CV07050101 in sterile PBS at 0 and 28 days, group 2 (control)  
141 was vaccinated with sterile PBS at 0 and 28 days via intramuscular injection, using Monoject 1 mL  
142 Tuberculin syringes (Covidien, 25G x 5/8"). Blood samples were obtained before vaccination and 14 days

143 after each vaccination. Hematology analysis was completed on a ProCyte DX (IDEXX Laboratories,  
144 Westbrook, ME, USA) and the following parameters were evaluated: red blood cells (RBC), hemoglobin  
145 (Hb), hematocrit (HCT), mean corpuscular volume (MCV), mean corpuscular hemoglobin (MCH), mean  
146 corpuscular hemoglobin concentration (MCHC), red cell distribution weight (RDW), platelets, mean  
147 platelet volume (MPV), white blood cells (WBC), neutrophil count (abs and %), lymphocyte count (abs  
148 and %), monocyte count (abs and %), eosinophil count (abs and %), and basophil count (abs and %).  
149 Serum chemistries were completed on a VetScan VS2 Chemistry Analyzer (Abaxis, Union City, CA) and  
150 the following parameters were evaluated: glucose, blood urea nitrogen (BUN), creatinine, calcium,  
151 albumin, total protein, alanine aminotransferase (ALT), aspartate aminotransferase (AST), alkaline  
152 phosphatase (ALP), total bilirubin, globulin, sodium, potassium, chloride, and total carbon.

### 153 *Enzyme-linked immunosorbent assay*

154 A plasmid encoding the prefusion stabilized SARS-CoV-2 spike protein with a T4 fibrin trimerization  
155 motif was obtained from the Vaccine Research Centre, Bethesda, USA and expressed in-house. Maxisorp  
156 plates (Nunc) were coated overnight at 4 °C with 100 ng/well spike protein in PBS. Plates were blocked  
157 with 100 µl of casein in PBS (Thermo Fisher) for 1hr at RT. Serum serially diluted 2x in casein in PBS  
158 was incubated at RT for 1hr. Antibodies were detected using affinity-purified polyclonal antibody  
159 peroxidase-labeled goat-anti-monkey IgG (Seracare, 074-11-021) in casein and TMB 2-component  
160 peroxidase substrate (Seracare, 5120-0047), developed for 5-10 min, and reaction was stopped using stop  
161 solution (Seracare, 5150-0021) and read at 450 nm. All wells were washed 3x with PBST 0.1% tween in  
162 between steps. Threshold for positivity was set at 3x OD value of negative control (serum obtained from  
163 non-human primates prior to start of the experiment) or 0.2, whichever one was higher.

### 164 *ELISpot*

165 PBMCs were isolated from ethylene diamine tetraacetic acid (EDTA) whole blood using LeucoSep™  
166 tubes (Greiner Bio-one International GmbH) and Histopaque®-1077 density gradient cell separation



167 medium (Sigma-Aldrich) according to the manufacturers' instructions. IFN- $\gamma$  ELISpot assay of PBMCs  
168 was performed using the ImmunoSpot® Human IFN-  $\gamma$  Single-Color Enzymatic ELISpot Assay Kit  
169 according to the manufacturer's protocol (Cellular Technology Limited). PBMCs were plated at a  
170 concentration of 300,000 cells per well and were stimulated with two contiguous peptide pools spanning  
171 the length of the SARS-CoV-2 S protein sequence at a concentration of 2  $\mu$ g/mL per peptide  
172 (Mimotopes). Imaging was performed using the CTL ImmunoSpot® Software (Cellular Technology  
173 Limited). Spot forming units (SFU) were hand counted and calculated per 10<sup>6</sup> PBMCs as summed across  
174 the peptide pools for each animal.

#### 175 *SARS-CoV-2 virus neutralization*

176 VeroE6 cells were maintained in Dulbecco's modified Eagle's media (DMEM) supplemented with 10%  
177 fetal bovine serum (Gibco), 1 mM L-glutamine, 50 U/mL streptomycin and 50 ug/mL penicillin. Sera  
178 were heat-inactivated (30 min, 56 °C), two-fold serial dilutions were prepared in DMEM supplemented  
179 with 2% fetal bovine serum (Gibco), 1 mM L-glutamine, 50 U/mL streptomycin and 50 ug/mL penicillin  
180 and 100 TCID<sub>50</sub> of SARS-CoV-2 was added. After 1hr incubation at 37 °C and 5% CO<sub>2</sub>, virus:serum  
181 mixture was added to VeroE6 cells and incubated at 37 °C and 5% CO<sub>2</sub>. At 6 dpi, cytopathic effect was  
182 scored. The virus neutralization titer was expressed as the reciprocal value of the highest dilution of the  
183 serum which still inhibited 100% of virus replication. A positive control standardized against the NIBSC  
184 serum control 20/130 was used in all VN assays.

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262 immunotherapeutics. B.P. may hold shares or stock options in the company and is an inventor on several  
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