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#### ORIGINAL RESEARCH

# Nemiralisib in Patients with an Acute Exacerbation of COPD: Placebo-Controlled, Dose-Ranging Study

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Correspondence: William A Fahy Discovery Medicine, GlaxoSmithKline R&D, GSK House, Brentford, United Kingdom Email william.a.fahy@gsk.com **Background:** Management of acute exacerbations of chronic obstructive pulmonary disease (COPD) is sometimes inadequate leading to either prolonged duration and/or an increased risk of recurrent exacerbations in the period following the initial event.

**Objective:** To evaluate the safety and efficacy of inhaled nemiralisib, a phosphoinositide 3-kinase  $\delta$  inhibitor, in patients experiencing an acute exacerbation of COPD.

**Patients and Methods:** In this double-blind, placebo-controlled study, COPD patients (40–80 years,  $\geq 10$  pack-year smoking history, current moderate/severe acute exacerbation of COPD requiring standard-of-care treatment) were randomized to placebo or nemiralisib 12.5 µg, 50 µg, 100 µg, 250 µg, 500 µg, or 750 µg (ratio of 3:1:11:1:1:3; N=938) for 12 weeks with an exploratory 12-week follow-up period. The primary endpoint was change from baseline in post-bronchodilator FEV<sub>1</sub> at week 12. Key secondary endpoints were rate of re-exacerbations, patient-reported outcomes (Exacerbations of Chronic Pulmonary Disease Tool, COPD Assessment Test, St George's Respiratory Questionnaire-COPD), plasma pharmacokinetics (PK) and safety/tolerability.

**Results:** There was no difference in change from baseline  $FEV_1$  at week 12 between the nemiralisib and placebo treatment groups (posterior adjusted median difference, nemiralisib 750 µg and placebo: -0.004L (95% CrI: -0.051L to 0.042L)). Overall, there were also no differences between nemiralisib and placebo in secondary endpoints, including re-exacerbations. Plasma PK increased in a dose proportional manner. The most common adverse event for nemiralisib was post-inhalation cough which appeared to be dose-related. **Conclusion:** The addition of nemiralisib to standard-of-care treatment for 12 weeks did not improve lung function or re-exacerbations in patients with, and following an acute exacerbation of COPD. However, this study demonstrated that large clinical trials recruiting acutely exacerbating patients can successfully be conducted.

Keywords: acute exacerbation, COPD, dose-ranging, nemiralisib

#### Introduction

Acute exacerbations of chronic obstructive pulmonary disease (COPD) are key events in the natural course of the disease, the majority of which are triggered by viral or bacterial infections.<sup>1,2</sup> Frequent exacerbations are a major determinant of impaired health status, disease progression and mortality, and each new exacerbation requiring hospitalization also increases the risk of death.<sup>1,3</sup> Whilst treatment with oral corticosteroids and/or antibiotics may be effective in treating the individual event, recurrent exacerbations often occur.<sup>3</sup> Data from the IMPACT study suggest that approximately a third of patients with a history of  $\geq 1$  moderate/severe exacerbation in the previous year, are at risk of

a subsequent exacerbation within the following 6 months, despite treatment with maximum inhaled triple therapy.<sup>4</sup> In addition, concerns about the impact of antibiotics on alterations to the lung and gut microbiome,<sup>2,5</sup> and the development of global antibiotic resistance, has resulted in a requirement for additional therapies to manage acute exacerbations of COPD.

Conducting clinical trials in an acute exacerbation setting is challenging, including difficulties recruiting in the emergency setting and the problem of obtaining reliable measurements, particularly baseline measurements. The identification of COPD exacerbations relies on a patient's perception of an increase in symptoms.<sup>6</sup> There are differences in the diagnosis and management of COPD, in terms of maintenance therapy and treatment for exacerbations, both globally,<sup>7</sup> and in primary care versus specialist settings.<sup>8</sup> These factors may all contribute to the poor reproducibility of COPD exacerbation data. Furthermore, COPD exacerbations per se are heterogeneous in the time course of symptoms (breathlessness, sputum volume, sputum purulence, cough). Identifying when an exacerbation starts and stops, and distinguishing between the end of one event and the start of a new event can be particularly challenging as symptoms do not always occur together and may develop at different times during the exacerbation.<sup>6,9</sup> Furthermore, some patients experience prolonged exacerbations, which may continue for upwards of 7 weeks and in some cases take as long as 3 months to resolve.<sup>9</sup>

Nemiralisib is a potent and highly selective phosphoinositide 3-kinase  $\delta$  (PI3K $\delta$ ) inhibitor that has been investigated as an immunomodulatory agent with antiinflammatory properties in COPD.<sup>10,11</sup> Upregulation of the PI3K $\delta$  pathway has been demonstrated in neutrophils from COPD patients,<sup>12,13</sup> and the activated PI3K $\delta$ syndrome indicates a genetic link between PI3K $\delta$  activation and a predisposition to severe and recurrent respiratory infections.<sup>14</sup> Modulation of the PI3K $\delta$  pathway may improve neutrophil migratory accuracy,<sup>15</sup> and may improve both T and B lymphocyte function,<sup>16,17</sup> with the potential to reduce the inflammation associated with exacerbations of COPD.

Based on the clinical unmet need, a strong biological rationale for the role of PI3K $\delta$  in acute inflammatory cell activation and data from a previously conducted clinical study of patients with acute exacerbations of COPD<sup>18</sup> this study evaluated the safety and efficacy of escalating doses of inhaled nemiralisib, given in addition to standard of care treatment, in patients presenting with an acute exacerbation of COPD.

# **Patients and Methods** Study Design and Patient Population

This multicenter, double-blind, placebo-controlled, study was conducted between November 28, 2017, and January 10, 2019. Eligible patients were aged 40-80 years, had a  $\geq 10$ pack-year smoking history and an established history of COPD and were experiencing an acute moderate or severe (hospitalized) COPD exacerbation requiring standard-of-care treatment, defined as prescription of systemic corticosteroids (prednisone 40 mg/day or equivalent) for 5 days and antibiotics for 7 days. Patients were randomly assigned to 12 weeks treatment with placebo, or nemiralisib 12.5 µg, 50 µg, 100 µg, 250 µg, 500 µg, or 750 µg, administered once daily via the ELLIPTA dry powder inhaler (ratio of 3:1:1:1:1:3, stratified by exacerbation severity, moderate or severe), followed by an exploratory 12-week follow-up period (Supplementary Materials, Figure S1). Nemiralisib was formulated as a lactose/magnesium stearate (0.4%) blend, with small variations in lactose content with increasing doses of nemiralisib, which were not anticipated to impact aerodynamic performance. Note, the 12.5  $\mu$ g dose was introduced to the study following a protocol amendment, and so the sample size of this group was small. Randomization was centralized via webbased Interactive Response Technology and the first dose of randomized treatment was required to be no later than 48 h after diagnosis and the start of standard-of-care treatment. Patients could continue their regular maintenance COPD treatment during the study and this could be modified at the investigator's discretion.

Written informed consent was obtained from each patient prior to any study-specific procedures. The study was conducted in accordance with the principles of the Declaration of Helsinki and was approved by the relevant ethics committee/ institutional review boards and regulatory authorities according to individual country requirements (details given in <u>Supplementary Materials, Appendix 1</u>).

Further details on the eligibility criteria can be found on www.clinicaltrials.gov: NCT03345407.

#### Study Outcomes

The primary outcome measure was change from baseline in clinic visit trough post-bronchodilator forced expiratory volume in one second (FEV<sub>1</sub>) at week 12 of randomized treatment. Spirometry was performed pre-dose on the morning of clinic visits using standardized equipment (eResearch Technology (ERT) MasterScope<sup>TM</sup>, ERT GmbH, Estenfeld, Germany) and according to American Thoracic Society

criteria.<sup>19</sup> Secondary efficacy endpoints were: the rate of new moderate or severe clinician-diagnosed exacerbations during the 12-week treatment period and time to new exacerbation; recovery/time to recovery from the index exacerbation measured using the Exacerbations of Chronic Pulmonary Disease Tool (EXACT);<sup>20</sup> improvements in health status associated with recovery from the index exacerbation (using the COPD Assessment Test (CAT,<sup>21</sup>), the proportion of CAT and St George's Respiratory Questionnaire for COPD (SGRQ-C,<sup>22</sup>) responders and change from baseline in CAT and SGRQ total scores; and the amount of rescue medication use.

Blood samples for pharmacokinetic (PK) analysis were collected after 2 and 4 weeks of randomized treatment in a subgroup of patients. Potential exacerbation phenotypes were evaluated by measuring baseline blood eosinophil counts and inflammatory markers in blood, including: C-reactive protein (CRP), chemokine interferon-y inducible protein 10 (CXCL10), and procalcitonin. Safety assessments included adverse events (AE) monitoring throughout the study, and regular monitoring of vital signs, 12-lead electrocardiograms (ECG) and routine laboratory tests. At each treatment-period study visit patients took their study medication at the clinic and were specifically monitored for post-inhalation cough for 5 min post-dosing. Further details on outcome measurements and timings (Figure S1) are in the Supplementary Materials.

#### Statistical Analysis

Change from baseline in clinic visit  $FEV_1$  was analyzed using a Bayesian repeated measures analysis, adjusted for baseline-by-visit interaction, treatment-by-visit interaction, severity of index exacerbation, smoking status at baseline, region, number of moderate/severe exacerbations in the previous 12 months and gender. Three interim analyses were planned during the study to inform internal decisionmaking, including a futility analysis as part of Interim Analysis 2. Further details on the statistical analysis models used for the primary and secondary endpoints and the interim analyses criteria are given in the <u>Supplementary</u> <u>Methods</u>.

# Results

#### Study Population

Recruitment to the study was stopped after the interim futility analysis indicated that the probability of meeting the study success criteria would be low. Participating patients could complete the study. A total of 938 patients were randomized to and received at least one dose of study treatment, comprising the modified intent-to-treat population, and of these 804 (86%) completed the study (Figure 1). Baseline demographic and clinical characteristics were generally well balanced across groups (Table 1).

# Trough FEV<sub>1</sub>

Since the planned dose response analysis of trough  $FEV_1$  data was not performed due to the lack of response across doses, the pre-specified sensitivity analysis using Bayesian repeated measures method has been reported. Results of this repeated measures analysis showed no difference in change from baseline in trough  $FEV_1$  at week 12 between the nemiralisib and placebo groups (Table 2). The posterior adjusted median difference between nemiralisib 750 µg and placebo was -0.004L (95% CrI: -0.051L to 0.042L), with also no evidence of an effect for the other nemiralisib groups.

# Rate of COPD Exacerbations

During the 12-week treatment period, the majority of patients did not have a subsequent moderate/severe exacerbation (693/938 (74%)), and of patients who did reexacerbate, most experienced 1 exacerbation (198/938 (21%)). Generally, there was no difference in exacerbation rate between nemiralisib groups and placebo. The exacerbation rate over 12 weeks was 0.31 per person in the placebo group and ranged from 0.20 to 0.36 per person across nemiralisib groups (Table 3). There was an increase in exacerbation rate of 13% (rate ratio 1.13 [95% CrI: 0.85 to 1.52]) in the nemiralisib 750 µg group compared with placebo and a decrease in exacerbation rate in the 500 µg group (rate ratio 0.63 [95% CrI 0.37 to 1.02]); however, the sample size in the 500 µg group was smaller. There were no important differences in time to next on-treatment exacerbation between nemiralisib groups and placebo.

Given the absence of an effect during the on-treatment period, a full analysis of the exploratory 12-week postdose, follow-up period was not completed.

# Patient-Reported Outcomes

Generally, there were no differences between placebo and the nemiralisib treatment groups in the proportion of patients achieving EXACT-defined recovery at day 28 and in the time to EXACT-defined recovery (Table 4). In the 500  $\mu$ g group, fewer patients achieved recovery (24 (27%)) compared with placebo (110 (40%)) (posterior median odds ratio: 0.56, 95% credible interval (CrI) 0.28 to 0.88), and time to recovery was slower (500  $\mu$ g vs placebo posterior median

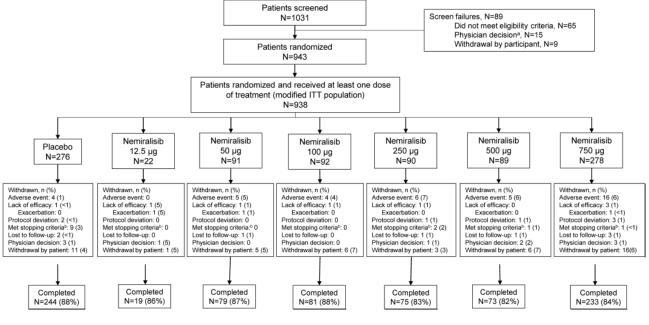


Figure I Patient flow through the study.

Notes: <sup>a</sup>Includes participants not randomized due to sponsor decision to stop the study; <sup>b</sup>Protocol stopping criteria: clinically significant lab value; pneumonia; Qtc withdrawal criteria.

hazard ratio: 0.751; 0.487 to 1.057), indicating patients were 25% less likely to achieve EXACT-defined recovery at any time point during the study period compared with placebo. No differences were observed in the proportion of patients demonstrating an improvement in CAT total score (decrease from baseline  $\geq$ 2 or SGRQ total score (decrease from baseline  $\geq$ 4 between placebo and the nemiralisib treatment groups (Table 4).

#### **Rescue Medication Use**

During the 12-week treatment period, mean rescue medication use was similar in the placebo and nemiralisib groups. The mean $\pm$ SD percentage of rescue-free days was 39.7 $\pm$ 36.9% in the placebo group and ranged from 32.7 $\pm$ 33.9% (nemiralisib 750 µg) to 40.4 $\pm$ 37.6% (nemiralisib 100 µg) in the nemiralisib groups.

# PΚ

Sparse plasma PK samples were collected in a subpopulation of 163 patients across the nemiralisib treatment groups. Geometric mean plasma concentrations increased with increasing dose in an approximately proportionate manner (Table S1, Supplementary Materials). Data at the lowest dose of nemiralisib 12.5  $\mu$ g were less informative at the summary level due to low numbers of patients (n=5).

# **Exploratory Biomarkers**

No differences were observed between placebo and the nemiralisib treatment groups in change from baseline in trough FEV<sub>1</sub> at week 12 (data not shown) or exacerbation rate across the subgroups of CRP level at baseline (>10 mg/L,  $\leq$ 10 mg/L); procalcitonin level at baseline (>0.1 µg/L,  $\leq$ 0.1 µg/L); baseline eosinophils ( $\geq$ 0.15 GI/L, <0.15 GI/L); or when the analyses were adjusted for baseline CXCL10 (Table S2, Supplementary Materials).

# Safety

Overall, there was a higher incidence of AEs reported in the two highest dose groups (Table 5). The most common AE was cough, the incidence of which was highest in the 500  $\mu$ g (31 patients (35%)) and 750  $\mu$ g (96 patients (35%)) groups compared with 13 patients (5%) in the placebo group. Post-inhalation cough, defined as an AE of special interest, was characterized further indicating that this may be dose-dependent, with cough severity also appearing to increase with increasing doses of nemiralisib (Table S3, Supplementary Materials). Bronchospasm, a further AE of special interest, was reported for seven patients (placebo: 1 (<1%), 250  $\mu$ g: 1 (1%), 500  $\mu$ g: 1 (1%), 750  $\mu$ g 4 (1%)), five of whom discontinued study treatment as a result of the AE (all on nemiralisib treatment). Only one bronchospasm

	Placebo	Nemiralisib (µg)						
	(N=276)	12.5 <sup>a</sup> (N=22)	50 (N=91)	100 (N=92)	250 (N=90)	500 (N=89)	750 (N=278)	
Age in Years, mean (SD)	65.4 (7.94)	67.8 (7.20)	63.1 (7.61)	65.1 (7.43)	66.0 (6.94)	64.9 (8.04)	64.8 (7.61)	
<b>Sex</b> , n (%)								
Female:	86 (31)	6 (27)	35 (38)	29 (32)	31 (34)	22 (25)	100 (36)	
BMI (kg/m <sup>2</sup> ), mean (SD)	26.3 (4.53)	27.4 (5.42)	25.6 (4.40)	26.8 (4.73)	26.3 (3.98)	26.5 (4.45)	26.9 (4.83)	
Race, N (%)								
American Indian/Alaskan native	2 (<1)	0	2 (2)	L (1)	2 (2)	2 (2)	6 (2)	
Asian	19 (7)	4 (18)	7 (8)	3 (3)	9 (10)	8 (9)	20 (7)	
Black or African American	2 (<1)	1 95)	2 (2)	L (I)	0	2 (2)	6 (2)	
White	253 (92)	17 (77)	80 (88)	87 (95)	79 (88)	77 (87)	246 (88)	
Smoking history								
Current smoker, n (%)	114 (41)	5 (23)	45 (49)	37 (40)	30 (33)	39 (44)	101 (36)	
Former smoker, n (%)	162 (59)	17 (77)	46 (51)	55 (60)	60 (67)	50 (56)	177 (64)	
Pack years, mean (SD)	45.9 (23.94)	54.3 (27.42)	41.3 (22.25)	51.4 (28.23)	49.2 (25.44)	48.4 (25.14)	44.8 (25.28	
Duration of COPD, n (%)								
< 5 years	80 (29)	7 (32)	32 (35)	23 (25)	26 (29)	25 (28)	82 (29)	
5 to <15 years	165 (60)	11 (50)	43 (47)	57 (62)	51 (57)	53 (60)	156 (56)	
15 to <25 years	29 (11)	4 (18)	15 (16)	12 (13)	12 (13)	10 (11)	40 (14)	
> 25 years	2 (<1)	0	L (I)	0	L (I)	L (I)	0	
Baseline lung function mean (SD)								
Pre-bronchodilator FEV <sub>1</sub> (L)	1.23 (0.538)	1.29 (0.601)	1.32 (0.614)	1.28 (0.520)	1.13 (0.453)	1.24 (0.545)	1.27 (0.570	
Post-bronchodilator FEV <sub>1</sub> (L)	1.31 (0.566)	1.33 (0.482)	1.41 (0.633)	1.39 (0.548)	1.24 (0.489)	1.32 (0.555)	1.37 (0.594	
Pre-bronchodilator % predicted FEV <sub>1</sub> /FVC ratio (%)	59.0 (16.66)	61.4 (19.35)	60.7 (17.95)	59.1 (18.27)	57.1 (13.50)	57.6 (16.71)	59.5 (17.30	
Post-bronchodilator % predicted FEV <sub>1</sub> /FVC ratio (%)	59.8 (17.01)	61.3 (18.86)	61.2 (17.44)	60.3 (18.12)	57.7 (13.92)	59.0 (17.28)	61.0 (18.15	
Moderate/severe exacerbation history in								
last 12 months, n (%)								
0	71 (26)	8 (36)	17 (19)	18 (20)	26 (29)	18 (20)	67 (24)	
	95 (34)	10 (45)	38 (42)	38 (41)	29 (32)	35 (39)	113 (41)	
2	66 (24)	2 (9)	22 (24)	15 (16)	16 (18)	19 (21)	48 (17)	
3	30 (11)	0	4 (4)	13 (14)	7 (8)	8 (9)	24 (9)	
S ≥4	14 (5)	2 (9)	10 (11)	8 (9)	12 (13)	9 (10)	25 (9)	

Note: <sup>a</sup>The 12.5 µg dose was added following a protocol amendment, to characterize the lower portion of the dose response curve.

Abbreviations: COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; SD, standard deviation.

was reported as a serious adverse event and this was reported in the placebo group. A low incidence of pneumonia was reported across treatment groups (placebo: 4 (1%), 12.5  $\mu$ g: 1 (5%), 50  $\mu$ g: 2 (2%), 100  $\mu$ g: 2 (2%), 250  $\mu$ g: 5 (6%), 500  $\mu$ g: 1 (1%), 750  $\mu$ g 3 (1%)). None of the pneumonias were considered by the investigators to be related to study treatment.

Six patients died during the study, three during the 12week treatment period and three in the 12-week follow-up period, none of which were considered related to study treatment. During treatment, two patients had fatal heart attacks (one in the placebo group and one in the nemiralisib 750  $\mu$ g group) and one patient in the nemiralisib 100  $\mu$ g group died from a COPD exacerbation. Other treatment-emergent serious adverse events (SAEs) were reported for 7% to 18% of patients in the nemiralisib groups compared with 8% in the placebo group. COPD was the most frequently reported SAE overall. Three patients had treatment emergent SAEs considered related to study treatment, namely COPD (1 patient each in the placebo and 50  $\mu$ g groups) and COPD/hypertension (1 patient in the 750  $\mu$ g group).

#### **Table 2** Change from Baseline in Trough FEV1 (L) at Day 84

Placebo	Nemiralisib (µg)					
(N=276)	12.5 (N=22)	50 (N=91)	100 (N=92)	250 (N=90)	500 (N=89)	750 (N=278)
215	16	72	75	69	58	216
0.052	0.031	0.026	0.014	0.058	0.049	0.049
0.0186	0.0615	0.0306	0.0302	0.0309	0.0330	0.0189
0.018-0.091	-0.090-0.149	-0.036-0.084	-0.044-0.073	-0.002-0.118	-0.017-0.113	0.012-0.086
	-0.022 0.0632 -0.143-0.103	-0.027 0.0339 -0.098-0.036	-0.038 0.0333 -0.102-0.028	0.005 0.0341 -0.064-0.071	-0.003 0.0354 -0.075-0.061	-0.004 0.0236 -0.051- 0.042
	(N=276) 215 0.052 0.0186	(N=276) 12.5 (N=22)   215 16   0.052 0.031   0.0186 0.0615   0.018–0.091 -0.090-0.149   -0.022 0.0632	I2.5 (N=22) 50 (N=91)   215 16 72   0.052 0.031 0.026   0.0186 0.0615 0.0306   0.018–0.091 -0.090-0.149 -0.036-0.084   -0.022 -0.027 0.0339	I2.5 (N=276) 50 (N=91) I00 (N=92)   215 16 72 75   0.052 0.031 0.026 0.014   0.0186 0.0615 0.0306 0.0302   0.018–0.091 -0.090-0.149 -0.036-0.084 -0.044-0.073   -0.022 -0.027 -0.038 0.0333	Note: Section (N=276)I2.5 (N=22)50 (N=91)100 (N=92)250 (N=90)215167275690.0520.0310.0260.0140.0580.01860.06150.03060.03020.03090.018–0.091-0.090-0.149-0.036-0.084-0.044-0.073-0.002-0.118-0.022-0.027-0.0380.0050.06320.03390.03330.0341	(N=276) I2.5 (N=22) 50 (N=91) I00 (N=92) 250 (N=90) 500 (N=89)   215 16 72 75 69 58   0.052 0.031 0.026 0.014 0.058 0.049   0.0186 0.0615 0.0306 0.0302 0.0309 0.0330   0.018–0.091 -0.090-0.149 -0.036-0.084 -0.044-0.073 -0.002-0.118 -0.017-0.113   -0.022 -0.027 -0.038 0.0341 0.0354

Note: <sup>a</sup>Number of patients with data contributing to the analysis.

Abbreviations: Crl, credible interval; HPD, highest posterior density; SD, standard deviation.

Table 3 Subsequent Moderate/Severe Exacerbation Rate During Treatme
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	Placebo	Nemiralisib (µg)					
	(N=276)	50 (N=91)	100 (N=92)	250 (N=90)	500 (N=89)	750 (N=278)	
n <sup>a</sup>	276	91	92	90	89	278	
Posterior median moderate/severe exacerbation rate (84 days)	0.31	0.29	0.28	0.32	0.20	0.36	
95% HPD Crl	0.25–0.39	0.20-0.39	0.20-0.38	0.23–0.43	0.13-0.29	0.29–0.43	
Active vs placebo Ratio 95% HPD Crl		0.92 0.60–1.40	0.89 0.57–1.35	1.01 0.65–1.50	0.63 0.37–1.02	1.13 0.85–1.52	

Notes: <sup>a</sup>Number of participants with data contributing to the analysis; patients randomized to the nemiralisib 12.5 µg were excluded from this analysis due to the small size of the group. Abbreviations: Crl, credible interval; HPD, highest posterior density.

Overall, there were no clinically significant differences in laboratory results, vital signs or ECGs between active treatment and placebo groups.

# Discussion

This study demonstrated that, compared with placebo, 12 weeks treatment with inhaled nemiralisib did not improve lung function in patients experiencing an acute moderate or severe exacerbation of COPD. In addition, treatment with nemiralisib did not reduce the rate of re-exacerbation, impact the recovery from the index exacerbation or improve patient health status associated with exacerbation recovery. A decrease in the rate of re-exacerbations was observed in the nemiralisib 500  $\mu$ g group, but was not observed in the larger 750  $\mu$ g group which was more adequately sized to detect a difference in rate of acute COPD exacerbations compared with placebo. In addition, the proportion of patients achieving EXACT-defined recovery from the index exacerbation was lower in the 500 $\mu$ g group compared with the other groups. This suggests that the decrease in exacerbation rate in this group could be due to a prolonged recovery from the index exacerbation, precluding further exacerbations during the treatment period.

This study is the largest conducted to date which enrolled patients experiencing an acute moderate or severe COPD exacerbation. The treatment length of 12 weeks was chosen

		Nemiralisib (µg)						
	РВО (N=276)	12.5 (N=22)	50 (N=91)	100 (N=92)	250 (N=90)	500 (N=89)	750 (N=278)	
EXACT								
Responder <sup>a</sup>	110 (40)	9 (41)	47 (52)	40 (43)	38 (42)	24 (27)	116 (42)	
Active vs placebo <sup>b</sup>								
Posterior median odds ratio		1.21	1.53	1.16	1.12	0.56	1.08	
95% HPD Crl		0.36–2.69	0.82-2.33	0.67–1.79	0.63–1.74	0.28–0.88	0.72-1.49	
Time to EXACT-defined recovery <sup>c</sup>								
No. of patients with event, n (%)	142 (51)	11 (50)	54 (59)	50 (54)	45 (50)	34 (38)	149 (54)	
Active vs placebo			~ /	. ,	~ /	. ,		
Posterior median hazard ratio		1.053	1.200	1.060	1.030	0.751	1.149	
95% HPD Crl		0.48–1.77	0.84–1.60	0.73-1.43	0.72–1.41	0.49–1.06	0.90-1.43	
CAT								
Responder <sup>d</sup>	88 (32)	8 (36)	31 (34)	36 (39)	34 (38)	30 (34)	69 (25)	
Active vs placebo <sup>b</sup>		~ /	, , , , , , , , , , , , , , , , , , ,	· · ·	~ /			
Posterior median odds ratio		1.22	1.11	1.41	1.28	1.11	0.70	
95% HPD Crl		0.35–2.70	0.63–1.77	0.77–2.17	0.71–2.00	0.61-1.75	0.46-0.99	
SGRQ-C								
Responder <sup>e</sup>	58 (21)	3 (14)	17 (19)	24 (26)	29 (32)	21 (24)	69 (25)	
Active vs placebo <sup>b</sup>			, í					
Posterior median odds ratio		0.51	0.87	1.37	1.78	1.24	0.95	
95% HPD Crl		0.03-1.41	0.42-1.47	0.69-2.24	0.95-2.91	0.61-2.08	0.60-1.40	

Table 4 Proportion of Responders in Patient-Reported Outcomes (EXACT-Defined Recovery, CAT Total Score and SGRQ TotalScore) and EXACT-Defined Time to Recovery

**Notes:** <sup>a</sup>Response was defined as a decrease in the rolling average EXACT Total Score  $\geq$  9 points from the maximum observed value, sustained for  $\geq$  7 days with the first of the 7 days defined as the recovery day; <sup>b</sup>Analysis performed using a separate Bayesian logistic regression for each time point; <sup>c</sup>Time to EXACT-defined recovery from index exacerbation was defined as time from the date of randomization until date of the first EXACT-defined recovery day during the 12-week treatment period. Patients who did not experience EXACT-defined recovery during the 12-week treatment period were censored at the date of their last dose of study treatment. Analysis was performed using a Bayesian Cox proportional hazards model; <sup>d</sup>Response was defined as a decrease from baseline in SGRQ Total Score  $\geq$  4.

Abbreviations: CAT, COPD Assessment Test; Crl, credible interval; EXACT, Exacerbations of Chronic Pulmonary Disease Tool; HPD, highest posterior density; SGRQ-C, St George's Respiratory Questionnaire for COPD.

as being of reasonable duration to demonstrate efficacy in both lung function and PRO endpoints, including exacerbation recovery which is known to be of long duration in some patients.<sup>9</sup> The study achieved a relatively fast recruitment (21 months), high patient retention levels (86% to study completion) and the acquisition of a high-quality dataset with few missing data, giving a robust level of confidence in the results and the ability to draw clear conclusions. The challenge of conducting acute exacerbation trials was highlighted in a recent paper describing the early termination of two clinical trials evaluating the effects of tiotropium in addition to standard-of-care treatment in a severe, hospitalized exacerbation patient population, due to failures to reach target recruitment levels, and issues in retaining suitable randomized participants.<sup>23</sup> Our study, in which approximately a quarter of patients had a severe acute exacerbation, shows that achieving timely recruitment and high patient retention levels, is feasible. Another strength of this study was the inclusion of a broad range of clinical endpoints as well as exploratory biomarkers, all of which may allow new learnings and insights into both the time course of recovery from COPD exacerbation and the conduct of clinical trials in this acutely ill patient population.

There is considerable heterogeneity in the etiology and mechanisms that underly acute exacerbations of COPD, with increased efforts to improve the understanding of how these influence outcomes.<sup>24</sup> It has previously been demonstrated that eosinophils and serum CRP and CXCL10 can be used to identify exacerbations associated with sputum eosinophilia, bacterial and viral infections,

	PBO (N=276)	Nemiralisib (µg)						
		12.5 (N=22)	50 (N=91)	100 (N=92)	250 (N=90)	500 (N=89)	750 (N=278)	
Any treatment-emergent AE, n (%)	129 (47)	10 (45)	44 (48)	55 (60)	54 (60)	56 (63)	172 (62)	
Most commonly reported AE <sup>a</sup> , n (%)								
Cough	13 (5)	0	10 (11)	11 (12)	23 (26)	31 (35)	96 (35)	
Headache	23 (8)	I (5)	6 (7)	8 (9)	4 (4)	2 (2)	15 (5)	
COPD	8 (3)	I (5)	6 (7)	4 (4)	4 (4)	3 (3)	17 (6)	
Pneumonia	4 (1)	I (5)	2 (2)	2 (2)	5 (6)	I (I)	3 (1)	
Oropharyngeal pain	2 (<1)	0	0	2 (2)	3 (3)	5 (6)	2 (<1)	
Dehydration	0	2(9)	0	0	0	0	0	
Drug-related adverse events <sup>b</sup> , n (%)								
Any event	22 (8)	0	10 (11)	17 (18)	26 (29)	32 (36)	100 (36)	
Cough	8 (3)	0	10 (11)	9 (10)	21 (23)	28 (31)	89 (32)	
Throat irritation	(< )	0	0	0	0	0	5(2)	
Bronchospasm	0	0	0	0	0	1(1)	3(1)	
Dry mouth	(< )	0	0	I (I)	0	0	2 (<1)	
Headache	3(1)	0	I (I)	2 (2)	1(1)	0	I ( <i)< td=""></i)<>	
Chest discomfort	0	0	0	0	0	0	2 (<1)	
Mucosal inflammation	0	0	0	0	0	0	2 (<1)	

Table 5 Summar	y of Most Commonly	Reported Adverse Events	(AE) and AEs	Assessed as Drug-Related

**Notes:**  $^{a} \geq 5\%$  of patients in any treatment group;  $^{b}In \geq 2$  patients in any treatment group.

Abbreviation: AE, adverse event.

respectively.<sup>25</sup> Similarly, procalcitonin has been identified as a potential marker of bacterial exacerbations of COPD, though its ability to discriminate may only be moderate.<sup>26</sup> However, no apparent interactions between these biomarkers and outcome measures were observed in the current study. It should be noted however, that in particular with respect to re-exacerbations, any subgroup analysis was not reliable due to the low number of events observed.

Data from pre-clinical studies and experimental medicine clinical studies suggested that nemiralisib may provide therapeutic benefit in treating COPD exacerbations,<sup>10,11,18,27,28</sup> founded by an impact on the underlying pathogenesis driven by lung infections and inflammation. In a previous proof-of-concept study in 126 patients presenting with an acute exacerbation of COPD, the addition of inhaled nemiralisib 1 mg via the DISKUS inhaler to standard-of-care treatment (oral corticosteroid and antibiotics), resulted in improved functional respiratory imaging endpoints (increased specific imaging airway volume as assessed by high resolution computed tomography) and improved lung function (FEV<sub>1</sub>) after 28 days and 84 days, respectively, compared with placebo.<sup>18</sup> The results of the proof-of-concept study together with data from clinical PK and PK/PD studies, 11,18,27, informed

the range of doses and study design of the study reported herein. Sparse plasma PK collected during the current study was consistent with the exposure observed in previous studies including healthy volunteers, suggesting that exacerbations did not have a significant impact on drug delivery.<sup>27</sup> However, positive data and efficacy signals shown in earlier pre-clinical/clinical studies did not translate to data generated in this larger clinical study. It should be noted that whilst the Phase 2b study described here has delivered clear results, few clinical studies have been conducted in the acutely exacerbating patient population, and little is known regarding factors involved in recruitment of smaller versus larger (global) studies. It is also possible that 12 weeks is an inadequate period to study reexacerbations following an index event and these data do not preclude an impact on exacerbations over a longer time period.

The incidence of post-inhalation cough observed with the higher doses of nemiralisib in this study is consistent with that reported in earlier studies,<sup>10,18</sup> whereas the observation of bronchospasm in the higher dose groups has not been noted previously. A review of safety data in this study showed that most of the post-inhalation cough occurred within one minute of nemiralisib inhalation and the majority of the coughs lasted up to three minutes. It was also noted that an increase in the incidence of postinhalation cough and severity of cough correlated with an increase with nemiralisib dose. In the nemiralisib clinical program, post-inhalation cough was not associated with any concurrent SAEs or sequelae. No clear mechanism is known to explain paradoxical bronchospasm or which participants are most at risk of this occurrence in the program. There was no imbalance in infection-related AEs across treatment groups with no evidence of an increase in pneumonia with active treatment, an anticipated class-related effect seen with oral PI3K\delta inhibitors,<sup>29</sup> possibly demonstrating a potential advantage of the inhaled over oral route of administration. Oral PI3K8 inhibitors have generally resulted in a broader spectrum of AEs, including pneumonia, skin rash and colitis.<sup>29</sup>

In summary, in patients presenting with a moderate or severe acute exacerbation of COPD, treatment with nemiralisib in addition to standard-of-care treatment did not improve lung function within 12 weeks compared with placebo. Exacerbation-related secondary endpoints also supported this conclusion. This study demonstrated that large clinical trials in acutely exacerbating patients can successfully be conducted and may provide encouragement for further studies in this patient population.

# Abbreviations

AE, adverse event; CAT, COPD Assessment Test; COPD, chronic obstructive pulmonary disease; CRP, C-reactive protein; CXCL10, chemokine interferon-y' inducible protein 10; ECG, electrocardiogram; EXACT, Exacerbations of Chronic Pulmonary Disease Tool; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; PK, pharmacokinetics; PI3K $\delta$ , phosphoinositide 3-kinase  $\delta$ ; SAE, serious adverse event; SGRQ-C, St George's Respiratory Questionnaire for COPD.

# **Data Sharing Statement**

Information on GlaxoSmithKline R&D's data sharing commitments and requesting access can be found at: https://www.clinicalstudydatarequest.com.

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#### **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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#### Disclosure

WAF, FHV, AC, JR, WHM, and SW are employees of and hold shares in GlaxoSmithKline. AT, MM, RW, ML, MT and EMH are former employees of and hold shares in GlaxoSmithKline. EMH is named on patents for compound GSK2269557 (nemiralisib). The current affiliation of AT and MM is AstraZeneca, Cambridge, United Kingdom. The current affiliation of RW is DLRC Ltd, Letchworth garden City, United Kingdom. The current affiliation of EMH is Eligo Bioscience, Paris, France. The authors report no other conflicts of interest in this work.

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