



Article Polar Metallocenes

Haiwu Zhang¹, B.Yu. Yavorsky¹ and R.E. Cohen^{1,2,*}

- ¹ Department of Earth and Environmental Sciences, Ludwig-Maximilians Universität München, Theresienstr, 41 80333 Munich, Germany; zhw3789@sina.com (H.Z.); bogdan.yavorskyy@o2online.de (B.Y.Y.)
- ² Extreme Materials Initiative, Geophysical Laboratory, Carnegie Institution for Science, Washington, DC 20015, USA
- * Correspondence: rcohen@carnegiescience.edu

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Abstract: Crystalline polar metallocenes are potentially useful active materials as piezoelectrics, ferroelectrics, and multiferroics. Within density functional theory (DFT), we computed structural properties, energy differences for various phases, molecular configurations, and magnetic states, computed polarizations for different polar crystal structures, and computed dipole moments for the constituent molecules with a Wannier function analysis. Of the systems studied, $Mn_2(C_9H_9N)_2$ is the most promising as a multiferroic material, since the ground state is both polar and ferromagnetic. We found that the predicted crystalline polarizations are 30–40% higher than the values that would be obtained from the dipole moments of the isolated constituent molecules, due to the local effects of the self-consistent internal electric field, indicating high polarizabilities.

Keywords: metallocenes; DFT; vdW interactions; polarization; materials design

1. Introduction

Metallocenes have manifold uses ranging from fire retardants, catalysts, and cancer pharmaceuticals [1–3]. Almost all applications of metallocenes are for their molecular properties; however, here we consider crystalline metallocenes and their potential as active, multiferroic, materials.

The discovery of ferrocene, which has two cyclopentadienyl (Cp, or $C_5H_5^{-1}$) rings with a transition metal sandwiched between, was the beginning of the field of organometallic chemistry, with the 1973 Nobel prize awarded to Geoffrey Wilkinson and Ernst Otto Fisher [4,5]. There are many possible metallocenes, since the charge of the cyclic conjugated ligands $-C_nH_n^q$ (n = 3-8) can be positive, negative, or neutral [6]; the hydrogen atom(s) can be replaced by isovalent functional groups (-X, X = F, Cl, Br, I, OH, CH₃, etc.) [7–10]; the $-C_5H_5^-$ ring can be replaced by other heterocycles; the Fe cation can be replaced by other transition metals with different spin states [11–13], and metallocene molecules can be doubled [14] or coordinated by other molecules or ligands. Here, we explored building polar crystals through the crystallization of polar molecules. Ferrocene itself has no (when the rings thermally rotate) or only a small possible dipole moment (as a static molecule) since it is a symmetric molecule. It crystallizes in a monoclinic structure $(P2_1/a)$ at room temperature [15], which transforms metastably to triclinic at 164 K [16], or stably to orthorhombic [17]. The centrosymmetric staggered molecular structure within the $P2_1/a$ phase transfers into two slightly distinct types of non-centrosymmetric, almost eclipsed, ferrocene molecules within the triclinic phase [16,18,19]. Density functional theory (DFT) calculations show that the ferrocene molecule with a staggered configuration has a very weak dipole moment (0.006 D), and the two types of molecules within the triclinic crystalline ferrocene increase to 0.08 D and 0.04 D, respectively [20]. Recently, using first-principle calculations, Wu et al. [21] predicted that crystallized $M(C_6H_6)$ and $M(C_5H_5)$ nanowires become ferroelectric or even multiferroic, depending on the decorating functional groups. Nanowires of $M(Cp) \propto (M = Ti, Cr, Fe)$ are predicted to be ferromagnetic half-metals, and $[M(FeCp_2)] \propto (M = Sc, Ti, V, Mn)$ are ferromagnetic semiconductors [22,23].

2. Assessing van der Waals (vdW) Functionals

Density functional theory gives reasonable geometries for metallocene molecules [24–26]. Xu et al. [27] compared several gradient-corrected functionals, as well as the local density approximation (LDA), and found that the B3LYP functional gives the best agreement between the experimental and theoretical molecular geometry. However, for molecular crystals, accurate van der Waals forces must be included. We computed the equilibrium crystalline geometry starting from the experimental room temperature structure, the magnetic ground states, and magnetic moments of ferrocene, nickelocene, cobaltocene, and vanadocene, which are experimentally available, to assess the performance of the vdW functionals DFT-D, rVV10, and vdW-DF2 [28–30].

We found that the vdW-DF2 functional is most accurate of the three (Table 1), and provides quite good agreement with experiments, within ~3% of the observed cell volumes. In contrast, DFT-D and rVV10 methods significantly underestimate cell volumes. Therefore, we employed the vdW-DF2 functional for the subsequent calculations.

Table 1. Static properties for ordered crystalline metallocenes. Results for magnetic order (FM, AFM and NM denote ferromagnetic, antiferromagnetic and non-spin-polarized, respectively), energy difference (ΔE_{MS} , eV/molecule) relative to magnetic ground state, magnetic moment (M, μ B/molecule), and cell volume (V_{cell} , Å³), of ferrocene, nickelocene, cobaltocene, and vanadocene, calculated using DFT-D, rVV10, and vdW-DF2 functionals. For ferrocene, a starting configuration with AFM or FM converges to the non-magnetic state for all three functionals. Therefore, the magnetic moment for the FM state is fixed to 8.0 μ B per unit cell for comparison. Note that Ni₂C₂₀H₂₀ with an AFM starting configuration also converges to non-magnetic with the rVV10 functional.

		DFT-D				rVV10			vdW-DF2		
		FM	AFM	NM	FM	AFM	NM	FM	AFM	NM	
	$\Delta E_{\rm MS}$	2.222	-	0.00	1.871	-	0.00	1.55	-	0.00	-
$Fe_2(C_{10}H_{10})_2$	M	4.05	-	0.00	4.05	-	0.00	4.05	-	0.0	0.00 ^a
	V_{cell}	366.43	-	344.37	387.12	-	363.45	416.37	-	391.85	395.00 ^b
	ΔE_{MS}	0.0	0.001	0.310	0.00	-	0.365	0.00	0.037	0.166	-
Ni ₂ (C ₁₀ H ₁₀) ₂	M	2.18	2.15	0.00	2.18	-	0.00	2.17	2.15	0.00	2.89 ^a
	V_{cell}	358.10	358.23	353.87	373.25	-	370.79	398.08	384.73	NM 0.00 0.0 391.85 0.166	403.67 ^b
	$\Delta E_{\rm MS}$	1.181	0.00	0.225	0.948	0.00	1.432	0.755	0.00	0.468	-
$Co_2(C_{10}H_{10})_2$	M	3.11	1.24	0.00	3.11	1.25	0.00	3.10	1.25	0.00	1.73 ^a
	V_{cell}	366.09	351.22	350.59	386.45	371.93	450.32	421.09	405.40	462.36	418.57 ^b
	$\Delta E_{\rm MS}$	0.003	0.00	1.200	0.003	0.00	1.252	0.001	0.000	1.104	-
$V_2(C_{10}H_{10})_2$	M	3.11	3.11	0.00	3.09	3.18	0.00	3.16	3.08	0.00	3.78 ^a
	V_{cell}	370.57	369.95	356.99	387.93	387.48	376.42	418.79	418.54	418.97	414.77 ^b

^a Reference [31]; ^b References [15,28–30], for ferrocene, nickelocene, cobaltocene, and vanadocene, respectively.

3. Dipole Moments for Isolated Molecules

Next, we considere maximizing the dipole moments for isolated molecules. We relaxed the isolated molecules in periodic cells of various sizes, to compare directly with our plane wave pseudopotential computations using the same basis set for crystals [32]. We computed the dipole moments using maximally localized Wannier functions [33]. We found that the dipole moment of an isolated ferrocene molecule with an eclipsed configuration is 0.08 D, with the direction being parallel to the two Cp rings (Figure 1, Table 2), consistent with simulation results of J. M. B.-García, et al. [20]. The molecular dipole moments can be increased by ligand decorations or by replacing the Cp with heterocyclic rings. The replacing of one C atom by Si increases the dipole moment substantially to 0.25 D for $Fe(C_9H_{10}Si)$, with the positive polarization towards Si and the unsubstituted Cp ring. This

is consistent with the weaker electronegativity of Si relative to C. When we replaced one H atom by F, we found more electrons on the $-C_5H_4F^-$ ring than on the Cp side, consistent with the stronger electronegativity of F with respect to H. Therefore, Fe(C₁₀H₉F) has a large dipole moment (1.70 D), pointing away from the unsubstituted Cp ring. Experimentally, metallocenes with 1–5 halogens per ring have been synthesized [10,34–39]. When we replaced F with heavier halogens, we found that the dipole moment increased to 1.77, 1.82, and 1.81 D in Fe(C₁₀H₉Cl), Fe(C₁₀H₉Br), and Fe(C₁₀H₉I), respectively (Table 3). We propose that the increase in dipole moment was simply due to the larger bond lengths of the positive and negative charges, opposite to what one would expect from electronegativity, which decreases with increasing atomic number.



Figure 1. The structure and dipole moments of isolated $Fe(C_{10}H_{10})$, $Fe(C_9H_{10}Si)$, $Fe(C_{10}H_9F)$, $Fe(C_9H_9O)$, $Fe(C_9H_9N)$, $V(C_9H_9N)$, $Cr(C_9H_9N)$, $Mn(C_9H_9N)$, $Co(C_9H_9N)$, and $Ni(C_9H_9N)$ molecules with an eclipsed starting configuration. The green arrows denote the direction of the dipole moment for each molecule. Key: C: brown; Fe: shallow brown; H: pink; O: light blue; N: red; V: cyan; Cr: dark blue; Mn: purple; Co: blue; Ni: silver.

Next, we considered replacing a $-C_5H_5^-$ ring by a charge neutral furan ($-C_4H_4O$). This sandwich structure was synthesized experimentally for the Ru-metallocene [40]. The iron atom donated one less electron to the neutral $-C_4H_4O$ ring, giving rise to Fe⁺ (d⁷), and 19 electrons for the molecule. We obtained, for furanferrocene Fe(C₉H₉O), a magnetic moment of 1 µB (indicating a low-spin iron), and a large dipole moment (1.32 D). When we replaced a Cp ring with pyrrole ($-C_4H_4N^-$), we found a larger dipole moment (2.08 D). The dipole moment of Fe(C₉H₉N) points from the N atom to the other side of the heterocycle and to the unsubstituted Cp ring. The electron-donating capacity of B, P, As, Sb, and Bi are weaker than that of N; however, the dipole moment decreases to 0.87, 1.71, 1.42, 0.70 and 0.52 D in Fe(C₉H₉B), Fe(C₉H₉P), Fe(C₉H₉As), Fe(C₉H₉Sb), and Fe(C₉H₉Bi), respectively (Table 4).

The magnitude of the dipole moment of azametallocene molecules shows a strong dependence on the atomic number of the cations (Table 2). Similar to $M_2(C_{10}H_{10})_2$, the cations in azametallocene molecules donate two electrons (one to $-C_5H_5^-$ and the other to $-C_4H_4N^-$) to form a stable aromatic configuration having 4k + 2 (k is an integer) electrons [41]. We found for vanadium in V(C₉H₉N) that the most stable 3d electronic configuration is the one with three parallel spins. Iron in Fe(C9H9N) is in a low spin state with zero magnetic moment. These results agree well with $TM_2(C_{10}H_{10})_2$ (Table 1), as the substitution of $-C_5H_5^-$ by $-C_4H_4N^-$ does not change the number of electrons on the cations.

	Fe(C ₁₀ H ₁₀)	Fe(C ₉ SiH ₁₀)	Fe(C ₁₀ H ₉ F)	Fe(C9H9O)	Fe(C ₉ H ₉ N)	V(C ₉ H ₉ N)	Cr(C ₉ H ₉ N)	Mn(C ₉ H ₉ N)	Co(C ₉ H ₉ N)	Ni(C ₉ H ₉ N)
N	6	6	6	7	6	3	4	5	7	8
M	0	0	0	1	0	3	2	1	1	2
μ	0.08	0.25	1.70	1.32	2.08	1.75	2.37	1.84	2.20	2.80
E _{rotation}	2.61	3.38	2.41	-3.76	2.22	0.29	0.97	2.12	1.06	-1.74
$\Delta \mu$	-0.01	0.04	0.04	0.07	-0.25	0.31	-0.40	0.26	0.02	-0.03

Table 2. Number of 3*d* electrons on the transition metal (TM) ions (N); magnetic moment (M, μ B), dipole moment (μ , D) of isolated metallocene molecules; rotation barriers ($E_{rotation} = E_{staggered} - E_{eclipsed}$, kJ/mol) and dipole moment differences ($\Delta \mu = \mu_{staggered} - \mu_{eclipsed}$, D) between the two different configurations.

Table 3. Dipole moment (μ , D) of isolated metallocene molecules with an eclipsed configuration; activation energy ($E_{act} = E_{transition \ state} - E_{ground \ state}$, kJ/mol) and dipole moment difference ($\Delta \mu = \mu_{transition \ state} - \mu_{ground \ state}$, D) between the transition state and the ground state. The number of 3*d* electrons on Fe is 6, and the magnetic moment of each isolated molecule is 0.

	Fe(C ₁₀ H ₉ Cl)	Fe(C ₁₀ H ₉ Br)	Fe(C ₁₀ H ₉ I)
μ	1.77	1.82	1.81
Eact	2.19	2.16	2.01
$\Delta \mu$	0.01	-0.21	-0.02

Table 4. Dipole moment (μ , D) of isolated metallocene molecules with an eclipsed configuration; activation energy ($E_{act} = E_{transition \ state} - E_{ground \ state}$, kJ/mol) and dipole moment difference ($\Delta \mu = \mu_{transition \ state} - \mu_{ground \ state}$, D) between the transition state and the ground state. The number of the 3*d* electrons rest on Fe is 6, and the magnetic moment of the isolated molecules is 0.

	Fe(C ₉ H ₉ B)	Fe(C ₉ H ₉ P)	Fe(C ₉ H ₉ As)	Fe(C9H9Sb)	Fe(C ₉ H ₉ Bi)
μ	0.87	1.71	1.42	0.70	0.52
Eact	3.41	2.60	3.60	3.08	3.19
$\Delta \mu$	0.10	0.01	-0.11	0.16	0.30

The relative stabilities and dipole moments of isolated metallocene molecules with two ring rotations show low rotation barriers ($E_{rotation} = E_{staggered} - E_{eclipsed}$), which are lower than the rotation barrier within the crystalline ferrocene (4.4 ± 0.5 kJ/mol), [42] and comparable to $\sim k_BT$ at room temperature (~ 2.6 kJ/mol, Tables 2–4). Therefore, the rotation of the Cp rings is unhindered at room temperature. The negative values of $E_{rotation}$ for Fe(C₉H₉O) and Ni(C₉H₉N) suggest that the staggered configuration, rather than the eclipsed configuration, is the ground state. Furthermore, we found that the dipole moments are dependent on the relative rotation of the rings. Upon rotation from the ground state to the transition state, the dipole moments vary by 10%, suggesting that ring rotation can be activated by applying time varying electric fields.

4. Crystalline Polar Metallocenes

Returning to crystalline properties, we considere polar metallocenes composed of molecules that display promising dipole moments. Azaferrocene $Fe_2(C_9H_9N)_2$ is isomorphous with ferrocene and crystallizes at room temperature in the monoclinic structure [43,44]. Thus, we first constructed the initial monoclinic azaferrocene using the room temperature [15] and low temperature [45] monoclinic ferrocene as prototype structures. They were found to be polar at their ground state, as shown in Figure 2a (M1) and b (M2), respectively. The net polarization depends on the magnitude as well as the arrangement of the dipole moments of the two constituent molecules in the unit cell. Therefore, we further consider two extreme cases, namely, the two constituent molecules antiparallel (AFE) and almost parallel (FE) to each other, relaxed from the triclinic ferrocene structure [10]. Within the AFE configuration, the dipole moments of the two molecules cancel each other, giving rise to a zero polarization (Figure 2c). The almost parallel arrangement of the dipole moments within the FE configuration yields the largest polarization among various structures investigated (Figure 2d).



Figure 2. Optimized crystal structure of azaferrocene constructed using the (**a**) room temperature and (**b**) low temperature monoclinic ferrocenes as prototype structures; triclinic ferrocene with the two constituent azaferrocene molecules (**c**) antiparallel (AFE) and (**d**) almost parallel (FE) to each other. Azaferrocene constructed using the two monoclinic ferrocene structures has a polar ground state. The two structures are denoted as M1 and M2, respectively. Key: C: dark brown; Fe: brown; H: pink; N: red.

The energy differences of the AFE configuration with respect to the FE configuration ($\Delta E_{\text{polar}} = E_{\text{FE}} - E_{\text{AFE}}$, meV/per cell) are also shown in Table 5 to evaluate their relative stabilities. Mn₂(C₉H₉N)₂ has a negative ΔE_{polar} , which is highly desirable as the FE configuration is the ground state. All the other systems investigated have an AFE configuration. To investigate the stability of the crystals, we calculated the binding energy by $E_{\text{bind}} = E_{\text{molecule}} - E_{\text{ground}}/2$, where E_{molecule} is the energy of the isolated molecules at their ground state, and E_{ground} is the total energy per unit cell of triclinic polar metallocenes with the FE configuration at their magnetic ground state. The binding energies are large, ranging from 0.57 to 0.91 eV, and the relaxed cell volumes of azametallocenes decrease as the computed binding energies increase. The low energy differences between the AFE and FE configuration together with the large binding energies, suggest that such systems with ferroelectric ordering can be fabricated by applying an external bias field during crystallization and could be stable over a wide temperature range.

Table 5. Cell volume (V_{cell} , Å³) at magnetic ground state, energy difference of the triclinic polar metallocenes with the FE configuration with respect to the AFE configuration ($\Delta E_{polar} = E_{FE} - E_{AFE}$, meV per cell), binding energy ($E_{bind} = E_{molecule} - E_{ground}/2$, eV per molecule).

	Fe ₂ (C ₁₀ H ₉ F) ₂	Fe ₂ (C ₉ H ₉ O) ₂	$V_2(C_9H_9N)_2$	$Cr_2(C_9H_9N)_2$	$Mn_2(C_9H_9N)_2$	Fe ₂ (C ₉ H ₉ N) ₂	$Co_2(C_9H_9N)_2$	Ni ₂ (C ₉ H ₉ N) ₂
V _{cell}	404.58	382.58	404.87	392.73	386.79	378.17	385.17	382.98
ΔE_{polar}	80.96	74.96	12.22	5.99	-0.87	17.67	44.78	6.53
Ebind	0.89	0.76	0.90	0.85	0.76	0.91	0.87	0.57

We further investigated the magnetic and electronic properties of polar metallocenes with the FE configuration. We computed the energy differences between the antiferromagnetic and ferromagnetic states ($\Delta E_{MS} = E_{AFM} - E_{FM}$) to estimate the exchange splitting, as shown in Table 6. Like ferrocene, Fe₂(C₁₀H₉F)₂ and Fe₂(C₉H₉N)₂ are non-magnetic. Fe₂(C₉H₉O)₂ has an AFM ground state. Similar to V₂(C₁₀H₁₀)₂ and Ni₂(C₁₀H₁₀)₂ (Table 1), we found that V₂(C₉H₉N)₂, Cr₂(C₉H₉N)₂, and Ni₂(C₉H₉N)₂ have AFM ground states, which are more stable than the FM states by 6.19, 1.60, and 4.93 meV per unit

cell, respectively. Unlike $Co_2(C_{10}H_{10})_2$, $Co_2(C_9H_9N)_2$ has a FM ground state, and has the largest ΔE_{MS} (47.57 meV) among different azametallocenes investigated. Similarly, $Mn_2(C_9H_9N)_2$ has a FM ground state with a quite small energy difference (0.47 meV). Therefore, $Mn_2(C_9H_9N)_2$ is the most promising as ferroic material, since FM and FE ordering can be realized simultaneously.

Table 6. Magnetic ground state (MGS), energy difference between AFM and FM states ($\Delta E_{\text{MS}} = E_{\text{AFM}} - E_{\text{FM}}$, meV/per cell), magnetic moment (M_{cell} , μ B), polarization (P, μ C/cm²) by Berry's phase calculations, and polarization (P^* , μ C/cm²) calculated by summing the dipole moment of isolated molecules for triclinic polar metallocenes with the FE configuration.

	$Fe_2(C_{10}H_9F)_2$	$Fe_2(C_9H_9O)_2$	$V_2(C_9H_9N)_2$	$Cr_2(C_9H_9N)_2$	$Mn_2(C_9H_9N)_2$	Fe ₂ (C ₉ H ₉ N) ₂	$Co_2(C_9H_9N)_2$	$Ni_2(C_9H_9N)_2$
MGS	/	AFM	AFM	AFM	FM	/	FM	AFM
ΔE_{MS}	/	-7.92	-6.20	-1.60	0.47	/	47.57	-4.93
M_{cell}	0	0	0	0	2.0	0	2.0	0
P	4.40	3.16	5.03	6.06	5.43	5.56	5.79	6.56
<i>P</i> *	2.72	1.91	2.77	3.92	3.07	3.55	3.69	4.80

With an FE configuration, the metallocene crystals formed from molecules with large dipole moments show large polarizations. The large polarizations observed for azametallocenes (e.g., >5 μ C/cm², Table 6) with respect to Fe₂(C₁₀H₉F)₂ and Fe₂(C₉H₉O)₂, are due to the large dipole moments of the component molecules. Similar to the phenomena observed for water [46,47], the polarization for the crystalline phase is about 30–40% higher than the values obtained based on the dipole moments for isolated molecules. This is due to the polarization of the molecules by the local self-consistent electrical field, and indicates high polarizabilities. Although the polarization of azametallocenes is smaller than inorganic perovskites (e.g., ~30 μ C/cm² for BaTiO₃ [48]), a large piezoelectric response is possible, since metallocenes rae mechanically softer.

5. Materials and Methods

All the calculations were performed using the pseudopotential plane-wave method implemented in the Quantum Espresso code [32]. Three different van der Waals functionals, namely, DFT-D, rVV10, and vdW-DF2, were incorporated to describe the van der Waals interactions [49–54]. The van der Waals interaction is part of the correlation energy, and can be applied to the different exchange functionals. In particular, in Quantum Espresso, the non-local functionals vdW-DF2 and rVV10 are used together with the revised Perdew-Wang functional (rPW86) [55,56], and the DFT-D correction was applied to the generalized gradient approximation of Perdew, Burke, and Ernzerhof (PBE-GGA) [57].

The lattice constants as well as atomic positions are optimized until the forces were smaller than 0.01 eV/Å. The kinetic energy cutoffs for wavefunctions and charge density were set to 544.22 (40) and 2721.13 (200) eV(Ry), respectively. For crystalline metallocenes, the Brillouin zone was sampled by the Monkhorst-Pack scheme ($4 \times 4 \times 4$ k points for structure optimization, $6 \times 6 \times 6$ k points for the subsequent self-consistent and property calculations). The 'isolated molecules' were simulated by putting the molecules into fixed unit cells with different sizes. We found unit cells with a size of $24 \times 24 \times 24 \text{ Å}^3$ were large enough to well describe the internal stress and dipole moment of the isolated molecules. Maximally localized Wannier functions were generated by the Wannier90 code [33] to determine the negative charge center, based on which the dipole moments of the isolated molecules were calculated. GBRV pseudopotentials were employed for all the calculations [58].

6. Conclusions

We found polar metallocenes to be a worthwhile group to study as new active materials. Only recently has first-principles study of these systems become possible with new local van der Waals functionals, which we found to be indispensable. The vdW-DF2 functional gives accurate predictions for the structures and magnetic ground states of metallocene molecules and crystals. The dipole moment of isolated ferrocene molecules could be effectively tuned by ligand decorations or by replacing

the Cp with heterocyclic rings. The magnetic moment of the ferrocene molecules could also be tuned by changing the charge of the cyclic conjugated ligands and/or by substitution of iron with other cations that had a different spin state. Our results suggest that crystalline polar metallocenes are worthy of study as potential ferroelectrics and multiferroics.

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