



# **Coffee and Lower Risk of Type 2 Diabetes: Arguments for a Causal Relationship**

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Abstract: Prospective epidemiological studies concur in an association between habitual coffee consumption and a lower risk of type 2 diabetes. Several aspects of these studies support a causeeffect relationship. There is a dependency on daily coffee dose. Study outcomes are similar in different regions of the world, show no differences between sexes, between obese versus lean, young versus old, smokers versus nonsmokers, regardless of the number of confounders adjusted for. Randomized controlled intervention trials did not find a consistent impact of drinking coffee on acute metabolic control, except for effects of caffeine. Therefore, lowering of diabetes risk by coffee consumption does not involve an acute effect on the post-meal course of blood glucose, insulin or insulin resistance. Several studies in animals and humans find that the ingestion of coffee phytochemicals induces an adaptive cellular response characterized by upregulation and de novo synthesis of enzymes involved in cell defense and repair. A key regulator is the nuclear factor erythroid 2-related factor 2 (Nrf2) in association with the aryl hydrocarbon receptor, AMP-activated kinase and sirtuins. One major site of coffee actions appears to be the liver, causing improved fat oxidation and lower risk of steatosis. Another major effect of coffee intake is preservation of functional beta cell mass via enhanced mitochondrial function, lower endoplasmic reticulum stress and prevention or clearance of aggregates of misfolded proinsulin or amylin. Long-term preservation of proper liver and beta cell function may account for the association of habitual coffee drinking with a lower risk of type 2 diabetes, rather than acute improvement of metabolic control.

Keywords: coffee; diabetes; caffeine; chlorogenic; acids; hepatosteatosis; beta cells; hormesis; Nrf2

#### 1. Introduction

The perception of coffee has experienced a remarkable transition from a stimulant drink which may stress your cardiovascular system to a beverage that is good for your health. The latter view is derived from a large number of prospective cohort studies which observed an association of socioeconomic or lifestyle factors including habitual coffee consumption and clinical outcomes including type 2 diabetes mellitus, non-alcoholic fatty liver disease, liver cancer, gout, kidney stones and Parkinson's disease [1].

In this paper, we discuss the association of habitual coffee consumption and a lower risk of type 2 diabetes. In addition to epidemiological studies, data are available from a considerable number of randomized short-term intervention trials with metabolic endpoints, and from Mendelian randomization studies. Finally, we consider that coffee constituents appear to exert similar molecular effects at the cellular level as reported for phytochemicals of other dietary plants. From these data, a picture emerges how coffee consumption promotes resistance to the development of type 2 diabetes.

This paper is a narrative review and commentary based on a survey of all papers listed by PubMed for the search items coffee, caffeine or chlorogenic acid, in combination with the items diabetes, glucose tolerance or insulin resistance, respectively. Other papers were



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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). retrieved from the reference list of reviews published on coffee, caffeine or chlorogenic acid versus metabolic endpoints. To the best of our knowledge, we included and dis-cussed all published human trials of coffee consumption versus metabolic endpoints. For the discussion of a possible molecular mechanism, we conducted an additional search in PubMed with the items coffee/caffeine/chlorogenic acid in combination with the items Nrf2/anti-oxidative/anti-inflammatory, respectively.

#### 2. Epidemiological Studies

Although epidemiological studies cannot prove a causal relationship all recent metaanalyses considered it probable that coffee consumption lowers the risk of type 2 diabetes [1–4]. Up to 30 prospective cohort studies were included in the meta-analyses, with more than a million participants, and more than 50,000 cases during a follow-up period of up to 24 years. When comparing the cohorts with the highest category of coffee consumption (median around 5 cups per day) versus no coffee consumption, the pooled relative risk of type 2 diabetes was around 0.7. The risk decreased by ~6 % for each additional cup of coffee consumed, and this relationship was fairly linear except for a possible flattening of the curve for the small subgroups drinking more than six cups per day.

Observational studies suffer from different characteristics of subgroups drinking none, little or much coffee. Consumption of none or little coffee may be due to intestinal intolerance, religious reasons or simply dislike or prejudice. It is virtually impossible to correct for this type of confounding. The number of confounders considered in the various studies is limited (listed in [3]). For instance, one important confounder that is not recognized in any of the studies, is brushing of teeth. This may happen more often in coffee consumers to prevent staining of teeth, and at the same time it lowers the risk of periodontitis, a major risk factor of low grade systemic inflammation and type 2 diabetes [5]. Other diabetes risk factors not considered as confounders include exposure to traffic noise or fine dust.

Nonetheless, it seems improbable that residual confounding accounts for the association of coffee consumption with a lower risk of type 2 diabetes, for the following reasons. (i) Results of prospective cohort studies are surprisingly similar in different regions of the world (Europe, USA, Asia) although lifestyle and cultural background differ. (ii) There is no significant difference in outcome for men and women. (iii) Sub-analyses for study participants with obesity (body mass index > 25), with age above 50 years or for non-smokers also observed an inverse association of coffee consumption and risk of type 2 diabetes [6,7]. (iv) A similar inverse association was reported for drinking unfiltered boiled coffee as well as for filtered coffee [8,9]. (v) It is difficult to consider a confounder that is responsible for the linear relationship between quantity of coffee consumed and risk of diabetes. (vi) Studies that correct for only six possible confounders report similar outcomes as studies that considered 15 or more possible confounders (data from [3]).

Another epidemiological approach is to correlate changes in coffee consumption pattern with diabetes outcome. Three large prospective studies in the USA of 16–20 years duration and documentation of dietary habits every four years were analyzed for changes in coffee consumption [10]. An increase of daily coffee consumption by > 1 cup (median 1.69 cups) was associated with an 11% lower relative risk of type 2 diabetes compared to those who made no changes. Conversely, a decrease in consumption by > 1 cup (median 2 cups) was associated with a 17% higher risk of type 2 diabetes. In order to minimize the chance of reverse causation, the subgroups were adjusted for baseline differences and for later changes that may have caused altered coffee consumption, such as increased/decreased physical activity or cardiovascular disease. Interestingly, changes in tea consumption were not associated with changes in diabetes risk.

Taken together, epidemiological studies concur on an inverse association of habitual coffee consumption with risk of type 2 diabetes. The association with diabetes risk is as robust as that of other lifestyle factors not tested in randomized long-term trials such as

physical activity, sitting time, sleep duration, smoking or exposure to traffic noise and fine dust [11].

#### 3. Mendelian Randomization Studies

Genome-wide association studies have identified several genetic variants seen more often in coffee drinkers than non-drinkers [12–15]. The strongest effect size is seen for gene variants that are involved in caffeine metabolism. Heavy coffee consumption is associated with gene variants allowing faster caffeine breakdown. Many of the variants associated with coffee consumption are also associated with other traits and therefore might modulate the risk of type 2 diabetes via other pathways than promoting coffee consumption [16].

Several Mendelian randomization studies have searched for a higher diabetes risk in carriers of gene variants promoting coffee consumption, but results remained inconclusive or did not show a causal link with incident type 2 diabetes or other health outcomes [15–17]. One probable reason is that the genetic tolerance of higher caffeine intake may promote consumption of any caffeine containing drink including black or green tea, but consumption of the latter is not consistently associated with lower diabetes risk [18,19]. Furthermore, genetic variants account for less than half a cup of coffee consumed, i.e., about 40 mg caffeine [12] which may be too small to account for different diabetes risk. When the same large cohort (DIAbetes Genetics Replication And Meta-analysis (DIAGRAM)) was analyzed for partially different sets of genetic variants found associated with coffee or caffeine consumption in previous cohorts, one analysis did not observe an association of genetically determined caffeine consumption with diabetes risk [15] whereas another analysis found a significant link between diabetes risk and genes variants promoting caffeine or coffee consumption, respectively [20].

As described above, changes in coffee consumption in individuals followed in prospective studies was accompanied with an inverse change of diabetes risk while this was not the case for tea [10]. In this setting, genetic characteristics of participants do not differ before and after modification of coffee consumption habits.

We conclude that there is no major influence of individual genetic characteristics associated with coffee consumption regarding the risk of type 2 diabetes. The responsible molecular mechanism involved seems directly linked to coffee consumption and not dependent on genetic variants promoting coffee/caffeine consumption.

#### 4. No Acute Impact on Metabolic Control: Caffeinated Coffee

It has been difficult to identify acute metabolic effects of coffee consumption in randomized controlled intervention trials except for effects of caffeine. The major pharmacological activity of caffeine is inhibition of adenosine receptors and modulation of the purinergic system because of the molecular similarity to adenosine [4,21]. As a consequence, adenosine-mediated vasodilation and many additional physiological functions of adenosine, notably in the brain, are antagonized. Tolerance to these caffeine effects develops within a week of daily coffee consumption but may be incomplete and lost as rapidly during caffeine abstinence [4]. Trials of caffeinated coffee or caffeine usually have a run-in phase of little or no caffeine consumption. Therefore, tolerance to caffeine is decreased and acute effects of caffeine become recognizable but disappear again after a longer period of coffee/caffeine intake. Acute effects of coffee or caffeine include modestly reduced appetite, an increased metabolic rate/thermogenesis and reduced insulin sensitivity [22–32]. It is probable that the enhanced activity of the sympathetic nervous system and increased epinephrine release is a major contributor to these acute metabolic responses [4,23,24].

The acute metabolic effects of caffeinated coffee or caffeine did not persist after longer periods of coffee consumption. A 24-week trial with 126 overweight Asian participants reported no significant change of insulin sensitivity after daily consumption of 4 cups of caffeinated coffee compared to a coffee-like placebo drink. There was also no difference in fasting glucose [33]. A trial in 45 overweight Japanese participants with mild-to-moderate elevation of fasting blood glucose found no change in oral glucose tolerance measures

nor of insulin sensitivity after 8 weeks of daily consumption of 5 cups of caffeinated or decaffeinated coffee compared to water. After 16 weeks, the post load glucose levels were mildly decreased in the caffeinated coffee group only [34]. A trial in 45 overweight North American participants reported no change in insulin sensitivity and oral glucose tolerance after consumption of caffeinated or decaffeinated coffee for 8 weeks, compared with water [35]. The only long-term metabolic effects of caffeinated coffee consumption compared with control was a ~4 % decrease of fat mass (after 24 weeks [33]) or a ~2 cm reduction in waist circumference (after 16 weeks [34]).

Taken together, habitual consumption of caffeinated coffee does not impact metabolic control of glucose and insulin levels but may have a mild effect in favor of caffeinemediated body fat/visceral fat loss. In the long term, these changes may be sufficient for lowering the risk of type 2 diabetes, because lifestyle intervention trials have shown that modest lowering of body weight by 5%–7% is already associated with a decreased diabetes rate [36]. However, several prospective cohort studies compared outcomes for caffeinated and decaffeinated coffee and report similarly decreased diabetes risk for both types of coffee. Meta-analyses revealed a relative risk of type 2 diabetes for each cup-per-day increase in coffee consumption of 7%–9% for caffeinated coffee and 6 % for decaffeinated coffee, difference not significant [3,37].

It, therefore, may be concluded that the presence of caffeine in coffee and the accompanying modest decrease in body fat is not essential for its apparent diabetes-protective effect although there may be a minor contribution. Other constituents of coffee must be viewed as candidates for lowering the risk of type 2 diabetes.

#### 5. No Acute Impact on Metabolic Control: Decaffeinated Coffee

Decaffeinated coffee contains only very small amounts of caffeine [38] so that beneficial effects of other coffee constituents on metabolic control may become apparent. More than 10 randomized controlled trials of acute effects of decaffeinated coffee consumption on the metabolic response to subsequent ingestion of glucose or a high glycemic index meal have been performed, without providing a clear message.

Three trials did not report on a control group with water or placebo fluid consumption instead of coffee, and therefore, do not provide sound evidence [28,39,40]. Three further trials did not notice an impact of decaffeinated coffee consumption on the course of blood glucose or insulin levels in response to a glucose load [41–43]. One trial observed a transiently increased glucose level in response to a high glycemic index meal but no difference in overall glucose or insulin levels when comparing decaffeinated coffee and water [44]. A few trials measured incretin levels and/or insulin sensitivity and reported no or mild and not consistent changes in the decaffeinated coffee groups. These possible effects were not reflected by an impact on blood glucose or insulin kinetics [41,43,45]. Conversely, one trial reported transiently increased blood glucose and persistently elevated insulin levels during an oral glucose tolerance test after consuming decaffeinated coffee compared with water, but no impact on insulin sensitivity was seen [46].

After 8 weeks of daily drinking decaffeinated coffee (n = 14) there was no difference to the water control (n = 15) with respect to the glucose and insulin response to an oral glucose load, or with regard to insulin resistance, in healthy, middle-aged and overweight persons [35]. Another trial also reported no impact on oral glucose tolerance after 16 weeks of consumption of decaffeinated coffee (n = 17) versus water (n = 13) [34].

The trials usually included 10–17 participants, and these were young, metabolically healthy and lean persons (overweight middle-aged participants in only one study). Several endpoints were analyzed at different points of time, usually without correction for the high number of statistical tests performed. Taken together, the trials do not provide evidence for an acute effect on metabolic control after consumption decaffeinated coffee. Long-term trials would be required to analyze for a delayed impact of metabolic control and the prevention of metabolic deterioration or with diabetes as clinical endpoint. Such trials currently do not appear feasible.

A summary of conclusions from observational and randomized controlled studies of coffee consumption is given in Box 1.

Box 1. Findings of observational and randomized studies.

- Prospective cohort studies find a lower risk of type 2 diabetes associated with habitual coffee consumption.
- The association with diabetes risk is dose dependent, seen world-wide and in both sexes, and is also found for decaffeinated coffee.
- The association with diabetes risk is seen regardless of the number of potential confounders adjusted for.
- Changes of coffee consumption over time are accompanied by a change of diabetes risk.
- Mendelian randomization studies do not provide consistent results on the association of diabetes risk with a genetic background favoring caffeine/coffee consumption. However, the genetic effect size on caffeine/coffee intake is modest.
- Randomized controlled trials do not observe a consistent acute impact of coffee consumption
  on metabolic control except for some beneficial effects of caffeine on appetite and body fat
  mass. Long term trials with diabetes as endpoint are not feasible.

## 6. Candidate Mechanisms for a Delayed Impact on Metabolic Control: Metabolomics

Because randomized controlled trials of short duration failed to uncover a consistent caffeine-independent modulatory effect of coffee consumption on systemic insulin or glucose levels after a meal or glucose challenge, other physiological responses appear to be relevant. These include delayed impact on metabolic control and prevention of metabolic deterioration.

One approach to identify relevant physiological responses to coffee is to screen for an impact on a wide variety of circulating components of metabolism, other than insulin and glucose. A recent analysis of the Nurses' Health Study II identified three cholesteryl esters associated with coffee consumption and lower diabetes risk. Conversely, five diacylglycerols and seven triacylglycerols showed negative associations with coffee-related diabetes risk factors [47]. A lipidomic analysis revealed a decrease of most lipid metabolites, including cholesteryl ester and triacylglycerols. How these changes related to diabetes risk was not studied [48]. Analyses of the metabolomic response to coffee consumption have observed that many different metabolic pathways are affected ranging from steroid synthesis to amino acid metabolism [49–52]. Taken together, metabolomic analyses have identified many changes caused by coffee consumption, but the possible relationship to a lower diabetes risk remains unresolved.

# 7. Candidate Mechanisms for a Delayed Impact on Metabolic Control: Known Actions of Phytochemicals

Many phenolic phytochemicals exhibit concentration-dependent toxic properties which include DNA damage, mutagenesis, carcinogenesis and cell death. Organs affected include the liver, intestine and kidney. Tumor cells appear to be more susceptible than normal cells [53–61].

The studies have been performed with high doses of phenolic compounds from foods like coffee, tea or other edible plants. Despite these potentially toxic properties, vegetarian food is well tolerated by the human organism. The reason is the subtoxic dose of phytochemicals taken up with our daily diet. Postprandial blood concentrations of major dietary phenolics may reach a few  $\mu$ mol/l but usually are lower [62,63]. Cytotoxicity towards healthy cells is observed at concentrations of 10  $\mu$ mol/l or higher, depending on the phenolic compound and cell type tested. In humans, at present the only phenolic compounds for which an upper limit of consumption is recommended, because of possible hepatotoxicity, are green tea catechins [64].

Coffee appears to be a safe beverage in that regard except for high intakes of caffeine, especially during pregnancy [65]. Randomized controlled trials of moderate coffee consumption for several months have not identified detrimental physiological responses [33,35,66]. We excluded caffeine from the following discussion of antidiabetic coffee effects because epidemiological studies find decaffeinated coffee almost as strongly associated with a lower risk for type 2 diabetes as caffeinated coffee.

After consumption of one cup of coffee, peak blood concentrations of chlorogenic acid metabolites were about 1  $\mu$ mol/l, whereas pyridine derivatives trigonelline and 1-methylpyridinium reached peak concentrations of 6 and 1  $\mu$ mol/l. Peak concentrations are below the micromolar range for all other phytochemicals in coffee, except for caffeine [67]. Even at these low concentrations, coffee phytochemicals and their metabolites interact with many components of cells and organs. Cellular targets for physical binding of phytochemicals include the complex of nuclear factor erythroid 2-related factor 2 (Nrf2) and Kelch-like ECH-associating protein-1 (Keap1) [68–71], the aryl hydrocarbon receptor (AHR) [72–75], protein disulfide isomerase3 [76], 3-hydroxy-3-methylglutaryl-coenzyme A reductase [77], protein kinase B (AKT) [78], glutathione S-transferase pi isoform-1 [79], vascular endothelial growth factor receptor [80], PPAR $\gamma$  [81], amyloid forming peptides [55,82–87], basic proline-rich protein in saliva [88], human serum albumin [89,90] or low density lipoprotein [91].

Most of these interactions are weak at micromolar or submicromolar concentrations but randomized controlled trials of coffee consumption in humans report one major cellular response, the increased expression of proteins involved in the defense against free radicals, xenobiotics or UV irradiation, improved cell regeneration, DNA repair and cell survival, as well as dampening of pro-inflammatory activities [92]. These reactions primarily occur by activation of the Nrf2/Keap1 system and the AHR, and they can be considered as an adaptive response to the mild chemical stress mediated by phytochemicals of coffee or other plants. Keap1 and AHR are sensors for xenobiotics that target cysteine residues (Keap1) or a hydrophobic binding region (AHR) for electrophilic/hydrophobic compounds among phytochemicals which initiates a cascade of events resulting in upregulation of cellular defense mechanisms.

As described in detail previously [92], Nrf2 is a nuclear factor which binds to characteristic DNA sequences (electrophile/antioxidant response elements) in the 5'-upstream regions of a large number of genes involved in cell defense, giving rise to increased gene transcription. Cytoprotective actions include the expression of antioxidant enzymes and xenobiotic detoxifying enzymes, stress proteins, increased turnover of misfolded proteins, improved mitochondrial biogenesis and function, decreased expression of the immunoregulatory nuclear factor NFkB and of pro-inflammatory molecules like tumor necrosis factor- $\alpha$ or the NLRP3 (NOD-like receptor family, pyrin domain containing 3) inflammasome.

There is continuous de novo synthesis of Nrf2, most of which is captured by cytosolic Keap1 and channeled to proteasomal degradation. Keap1 is highly sensitive to an electrophile attack by phytochemicals because of its 17 cysteine residues and readily loses its ability to recycle Nrf2 and prevent its translocation to the nucleus where it targets the DNA sequences described above. Phytochemicals may also indirectly activate the Nrf2/Keap1 system by increasing the intracellular levels of oxygen radical species or nitric oxide all of which target cysteine residues of Keap 1. Free oxygen radical species including  $H_2O_2$  may be either generated directly by phenolics extra- or intracellularly, in the presence of Cu(II) or Fe(III) ions [55,56,60,93,94], or may come from mitochondria [95–100].

Electrophilic phytochemicals including those of coffee may also target the aryl hydrocarbon receptor [73,74]. This nuclear factor is stabilized in the cytoplasm by a chaperone complex and kept in an inactive state. Upon binding of a suitable electrophilic ligand AHR and some components of the cytoplasmic complex translocate to the nucleus where they promote the expression of genes involved in detoxifying xenobiotics by binding to xenobiotic response elements (XRE). In addition, AHR interacts with other transcription factors involved in various cellular regulation circuits [101]. Activation of AHR activates Nrf2 by several mechanisms such as increased Nrf2 gene expression or reactive oxygen species (ROS) production from AHR induced cytochrome P450 1A enzyme activity [102,103]. While the Nrf2/Keap1 and AHR systems respond to increased levels of electrophiles or free radicals, another approach of sensing danger in cells is monitoring the energy level. The response to phytochemical stress is expected to affect cellular energy reserve. A low energy state is characterized by low ATP/AMP and ADP/ATP ratios as well as low glucose levels. This condition leads to the activation of AMP-activated protein kinase (AMPK). This group of enzymes increase energy production and decreases energy uses by phosphorylation and promoting acetylation of many metabolic enzymes, histones and transcription factors. There is also activation of histone deacetylases of the sirtuin family by provision of NAD<sup>+</sup> which promotes mitochondrial function, autophagy and a gene expression pattern supporting cell survival during various forms of stress including those mediated by phytochemicals [104–106]. The activation of the Nrf2/Keap1-system, forming a regulatory network [78,107].

The possible health effect of coffee is supported by findings that several different components of coffee are able to activate the Nrf2/Keap1 or AHR systems in isolated cells, animals and humans. Chlorogenic acid and its degradation product caffeic acid are strong activators of Nrf2 activity [108–110] as also reported for melanoidins [111], kahweol and cafestol [112–114]. Another coffee constituent, trigonelline, inhibits Nrf2 activation possibly by interfering with the epidermal growth factor signaling pathway [108,115]. Roasting of coffee causes thermal degradation of trigonelline and the accumulation of degradation products nicotinic acid and pyridine derivatives like N-methylpyridinium and 1,2-dimethylpyridinium [63,67]. The pyridine derivatives are potent activators or Nrf2 and AHR dependent gene expression [108,116]. For N-methylpyridinium, a concentration of 0.1µmol/l was found sufficient for activating Nrf2 [108].

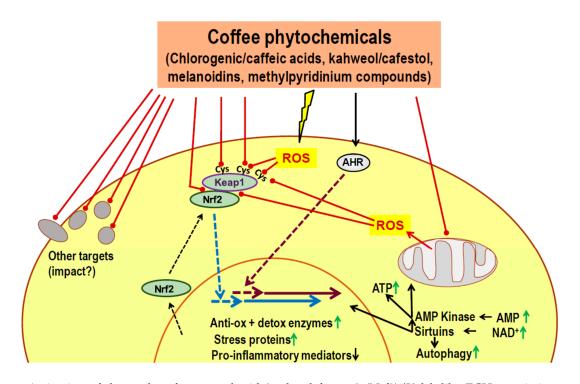
Taken together, there is evidence that consumption of coffee activates a cell protective, Nrf2 and AHR dependent cell response in a systemic manner [116] which includes the gut lining [111] (Figure 1). As reviewed previously, this physiological response to coffee drinking is analogous to the response following the ingestion of phytochemicals from other plants [92]. A special role of coffee in the uptake of dietary phytochemicals follows from the observation that coffee is the major dietary source of phenolics, providing about 70% in the diet and even surpassing green tea phenolics even in Japan [117–119].

The upregulation of cell protective regulatory circuits in response to the ingestion of coffee appears to occur in many organs of the body, as judged from analyses of liver, hepatocytes, stomach, blood lymphocytes, endothelial cells, muscle cells and the small intestine [73,109–111,113,116,120–125]. The available data suggest that a Nrf2-dependent mechanism for the antidiabetic action of coffee may focus on the liver and the beta cell.

Coffee and liver: In vivo imaging using a luciferase-reporter gene system in rodents showed that the liver is the main organ responding to coffee ingestion with the expression of Nrf2-regulated genes [121]. Feeding of decaffeinated coffee upregulated liver expression of endoplasmic reticulum and mitochondrial chaperones as well as antioxidative enzymes [122,123] and concomitantly prevented or mitigated the development of nonalcoholic fatty liver disease (NAFLD) during a high fat diet. Both caffeine and phenolic coffee constituents like chlorogenic acids contribute to these effects which include reduced oxidative and endoplasmic reticulum stress, increased autophagy/lipophagy, fatty acid ß-oxidation and lipolysis, in conjunction with improved mitochondrial activity and decreased levels of liver transaminases [126–128]. Deficient handling of saturated fats by mitochondria is considered a key factor in the development of liver steatosis [129]. There is only limited data on the modulation of the microbiota by coffee constituents except for increased production of short chain fatty acids and an improved intestinal barrier function. The latter may contribute to the prevention of NAFLD by lowering exposure of the liver to pro-inflammatory microbial components [122,130,131].

In accordance with the animal experiments, prospective studies of coffee consumption versus incident NAFLD or liver cirrhosis in humans reported an inverse relationship [132, 133]. There is a non-linear dose-dependency, and a meta-analysis reported a significant

negative association at >3 cups of coffee per day [132]. It, therefore, seems probable that habitual coffee consumption leads to more effective hepatic handling of lipids which, together with less leakage of pro-inflammatory compounds from the microbiota decreases the risk of NAFLD, cirrhosis and type 2 diabetes.



**Figure 1.** Activation of the nuclear factor erythroid 2-related factor 2 (Nrf2)/Kelch-like ECH-associating protein-1 (Nrf2/Keap1) system and of hydrophobic binding region (AHR) by phytochemicals in roasted (decaffeinated) coffee. Major coffee constituents exhibit binding properties to a number of cellular targets. Consistent physiological responses are elicited by direct (electrophilic) binding to cysteine residues of Keap1 and to AHR, causing the translocation of Nrf2 and AHR, respectively, to the nucleus and the increased expression of genes involved in cell protective activities. Furthermore, there is increased production of reactive oxygen species (ROS), either directly generated from reactive phenolics or indirectly via perturbation of mitochondrial function. Cysteine residues of Keap1 act as sensors of oxidative stress. The resulting modification of Keap1 allows Nrf2 to translocate to the nucleus. Cell stress may result in a lower energy level and increased concentrations of adenosine monophosphate (AMP) and (nicotinamide adenine dinucleotide) NAD<sup>+</sup> which causes the activation of AMP-activated kinase and of sirtuins, respectively. AMPK lowers anabolic and increases catabolic activities for increasing (adenosine triphosphate) ATP levels. Sirtuins deacetylate histones and other targets leading to improved mitochondrial function, increased autophagy and the expression of genes mediating improved cell survival during periods of stress.  $\uparrow$ , upregulation;  $\downarrow$  downregulation

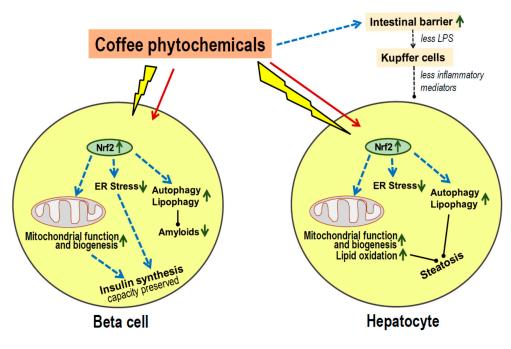
Coffee and beta cells: Loss of functional beta cell mass in relation to insulin requirements is the critical process causing type 2 diabetes [134]. The lower incidence of type 2 diabetes in habitual coffee drinkers therefore likely involves better preservation of beta cell function.

Prospective studies indicate that increased insulin secretion and hyperinsulinemia precedes type 2 diabetes in most people [135,136]. The high synthesis rate of insulin is associated with increased production of ROS, mostly from mitochondria, with endoplasmic stress, accumulation of improper folded peptides and subsequent loss of endocrine cell function and eventually cell death [137–141].

Upregulation of Nrf2 activity in beta cells by phytochemicals counteracts the damaging effects of glucolipotoxicity (in vitro) or a high fat diet (in vivo) [142–146]. In beta cells under oxidative stress Nrf2 activation helps to lower the level of ROS and increase the production of NADPH to a range required for physiological glucose stimulated insulin secretion [147]. Proof of a causal role of Nrf2 for preservation of functional beta cells comes from studies of genetic manipulation of Nrf2 activation. A knockdown of the *Nrf2* gene substantially reduced the defense response of beta cells towards oxidative and nitrosative damage, disturbed mitochondrial function and diminished glucose-stimulated beta cell proliferation [148,149]. Conversely, knockdown of the *keap 1* gene led to activation of Nrf2 which largely prevented diabetes development and beta cell loss in the spontaneously diabetic *db/db* mice, and also prevented diabetes development in a high calorie-diet model [150]. Preservation of islet histology and less steatohepatitis was also seen in a mouse model of spontaneous metabolic syndrome after adding coffee to the drinking water [151].

Coffee phytochemicals such as 5-O-caffeoylquinic acid, pyrocatechol and melanoidins as well as polyphenols from other plants can preserve cell function in periods of metabolic stress also by interfering with the aggregation of misfolded proteins into amyloids [85–87,152–154]. Aggregation of misfolded proinsulin or amylin molecules in beta cells is known to precede the onset of type diabetes and to impair cell functions [155].

Taken together, coffee phytochemicals can sustain beta cell function and survival (Figure 2). There is activation of Nrf2 leading to sustained upregulation of anti-oxidative defense, improved mitochondrial function and biogenesis and prevention of cell damage during periods of high insulin secretion in the prediabetic state. Further, several coffee constituents interfere with the aggregation of misfolded proinsulin and amylin observed in beta cells during periods of high biosynthetic activity. These effects of coffee components fit with long-term preservation of functional beta cell mass rather than acute improvement of insulin secretion, and are therefore, in line with the lack of an acute metabolic effect of (decaffeinated) coffee.



**Figure 2.** Protective action of coffee on metabolic stress in islet beta cells and hepatocytes. Exposure to coffee or its major constituents leads to activation of nuclear factor erythroid 2-related factor 2 (Nrf2), in vitro as well as in vivo. Enhanced expression of Nrf2 regulated genes leads to improved mitochondrial function and oxidation of lipids, and to mitigating of endoplasmic reticulum (ER) stress in cells during periods of increased peptide synthesis. In beta cells, the insulin production capacity is further preserved by eliminating aggregates of misfolded proinsulin or amylin by increased autophagy, and by prevention of aggregation by binding of coffee phytochemicals to hydrophobic surface regions exposed by misfolded peptides. In hepatocytes, metabolic activity is preserved and steatosis prevented by improved mitochondrial beta-oxidation of fatty acids, increased autophagy for lipid disposal and less inflammatory stress from activated Kupffer cells because of less lipopolysaccharide (LPS) leakage from the colon.  $\uparrow$ , upregulation;  $\downarrow$  downregulation

### 8. Conclusions

A summary of conclusions is given in Box 2.

**Box 2.** Key Messages

- Prospective epidemiological studies find a robust association of habitual coffee consumption (caffeinated or decaffeinated) with a lower risk of type 2 diabetes.
- Results of Mendelian randomization studies remain inconclusive, possibly because of a small effect size.
- Randomized controlled trials do not show a consistent effect of coffee intake on acute metabolic control, except for some effects of caffeine.
- Metabolomic analyses also do not provide a clear picture how coffee might modulate metabolic control.
- Phytochemicals of coffee or other dietary plants are known to induce an adaptive cell response characterized by activation of Nrf2, AHR, AMPK and sirtuins.
- Most coffee phytochemicals and metabolites accumulate in the liver. The resulting Nrf2dependent toxic stress response improves mitochondrial function, lipid oxidation and reduces the risk of steatosis.
- Data on modulation of the gut microbiota are scarce, but there seems to be an improved intestinal barrier function which will contribute to the prevention of steatosis.
- Coffee phytochemicals support the preservation of pancreatic beta cell function via Nrf2mediated resistance to cell damage during periods of high insulin secretion. In addition, coffee constituents directly interact with misfolded peptides and prevent the formation of cell-toxic amyloids.
- Long-term effects of habitual coffee consumption appears to maintain proper function of liver and beta cells rather than improve acute metabolic control.

Although epidemiological studies consistently find an association of habitual coffee consumption formal proof of a cause-effect relationship is lacking. However, several aspects of observational prospective studies strongly argue against a major influence of residual confounding and support an antidiabetic effect of coffee. Results of prospective observational studies are surprisingly similar for different regions of the world, including coffee-dose dependency, no difference between male versus female, obese versus lean, younger versus older study participants, regardless of the number of confounders adjusted for. Analyses for habitual consumption of decaffeinated coffee yielded similar results as for caffeinated coffee [3,6,7]. Changes in coffee consumption over time correlated with changes in diabetes risk [10]. Results of Mendelian randomization studies did not help to prove or disprove causality because they were not consistent and suffered from a small effect size [12,15–17,20].

Short-term randomized controlled trials comparing consumption of coffee versus water or a placebo drink did not provide clues about the possible mechanism of diabetes prevention. Aside from acute consequences of caffeine intake there were no consistent effects on diabetes-relevant metabolic parameters such as the insulin and glucose response to a glucose load or to a meal. Insulin sensitivity was not modulated (see detailed description above). We conclude that a diabetes-preventive effect of coffee does not bear on acute metabolic responses but must exhibit a delayed impact on metabolic control and prevent metabolic deterioration.

One consistent biochemical response to the consumption of coffee phytochemicals is an improved antioxidative defense in animals and humans, such as elevated levels of glutathione, catalase and superoxide dismutase [123,156,157]. Mechanistic studies revealed a key role of the activation of Nrf2 and inactivation of Keap1, complemented by engaging AHR, AMPK and sirtuins [92].

The liver is the primary organ for the accumulation of coffee phytochemicals and metabolites [121]. The ensuing protective Nrf2-dependent cell response involves improved mitochondrial function and ß-oxidation of fatty acids which prevents liver steatosis in rodents fed a high fat diet [126–128]. Liver function is further supported by an increased

intestinal barrier function, probably mediated by a prebiotic effect of coffee constituents on the colon microbiota [122,130,131]. Other modulatory effects of coffee on gut functions that might be relevant for diabetes prevention have not been reported.

Besides the liver, the other prominent target for a long-term diabetes-preventive effect of coffee consumption is probably the pancreatic beta cell. In the prediabetic period, beta cells are exposed to metabolic stress associated with obesity and insulin resistance. Glucolipotoxicity involves deficient mitochondrial function and endoplasmic reticulum stress which leads to beta cell dysfunction, dedifferentiation or death [134–136]. Activation of the Nrf2 system appears to counteract these cell damaging processes and help maintain proper cell functions. Nrf2 therefore may be considered the "master and captain of beta cell fate" [147]. In beta cells synthesizing hormones at high rate, aggregates of misfolded proinsulin or amylin may accumulate and contribute to cell toxicity [141,155]. Coffee phytochemicals can directly bind to misfolded peptides which prevents or reverses amyloid formation [152,153].

Taken together, habitual coffee consumption may lower the risk of type 2 diabetes by preventing the deterioration of liver and beta cell function during chronic metabolic stress preceding the manifestation of overt diabetes.

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