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SARS-CoV2 Testing: The Limit of Detection Matters

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- 3 Running Title: LoD Matters
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25 Abstract

26 Resolving the COVID-19 pandemic requires diagnostic testing to determine which individuals 27 are infected and which are not. The current gold standard is to perform RT-PCR on 28 nasopharyngeal samples. Best-in-class assays demonstrate a limit of detection (LoD) of ~100 29 copies of viral RNA per milliliter of transport media. However, LoDs of currently approved 30 assays vary over 10,000-fold. Assays with higher LoDs will miss more infected patients, 31 resulting in more false negatives. However, the false-negative rate for a given LoD remains 32 unknown. Here we address this question using over 27,500 test results for patients from across 33 our healthcare network tested using the Abbott RealTime SARS-CoV-2 EUA. These results 34 suggest that each 10-fold increase in LoD is expected to increase the false negative rate by 35 13%, missing an additional one in eight infected patients. The highest LoDs on the market will 36 miss a majority of infected patients, with false negative rates as high as 70%. These results 37 suggest that choice of assay has meaningful clinical and epidemiological consequences. The 38 limit of detection matters.

40 Introduction

41 In response to the SARS-CoV-2 pandemic being declared a public health emergency, clinical 42 and commercial laboratories as well as test kit manufacturers have been submitting diagnostic 43 devices and assays for expedited Emergency Use Authorization by the Food and Drug 44 Administration (FDA EUA). As of June 2020, there were over 85 such EUA issuances for 45 COVID-19 diagnostics (https://www.fda.gov/medical-devices/emergency-situations-medical-46 devices/emergency-use-authorizations, accessed June 1, 2020). However, optimal use of these assays requires consideration of several issues. 47

48 First, NP swabs are generally considered to provide optimal detection early in disease. 49 However, even for this sample type, there is currently no ideal reference standard to establish 50 clinical sensitivities of the available EUA SARS-CoV-2 diagnostic assays (1). Second, details 51 about assay limit of detection (LoD) are often not provided with sufficient detail and 52 transparency to allow facile comparisons. For molecular diagnostic assays, the LoD is generally 53 considered the lowest concentration of target that can be detected in ≥95% of repeat 54 measurements. The LoD is a measure of analytic sensitivity, as opposed to clinical sensitivity, 55 which measures the fraction of infected people detected by a given test. LoDs are sometimes 56 reported in units other than copies of viral genomic RNA per milliliter of transport media 57 (copies/mL), such as TCID₅₀, copies/microliter, copies per reaction volume, or molarity of assay 58 target, making comparisons difficult. Third, the LoDs of currently approved EUA nucleic acid 59 amplification and antigen detection tests for SARS-CoV-2 vary up to 10,000 fold (see below) 60 and likely are associated with meaningful differences in clinical sensitivity for these tests. 61 Fourth, although LoDs are quantitative, and RT-PCR tests are inherently quantitative, in practice 62 results for SARS-CoV-2 testing are generally reported qualitatively, as positive or negative, 63 even though viral load may provide both clinically and epidemiologically important information.

64 Two barriers to quantitative reporting are demonstration that qPCR cycle threshold (Ct) values 65 are repeatable with acceptably low variance and a reliable means of converting from Ct value to 66 viral load. The latter is complicated by a traditional requirement for a standard curve that must 67 span a range of viral loads at least as large as what is observed in the patient population, which 68 can be expensive and time-consuming, especially in a pandemic where the limits of this range 69 are unknown; however, there have been reports demonstrating how appropriate measurements, 70 based on the principles of RT-PCR, can be used as an alternative for reliable conversion of Ct 71 values to viral loads (2, 3).

Here we report on the reliability of Cts for the Abbott SARS-CoV-2 EUA (LoD 100 copies viral RNA/mL transport medium, among the best in class) (4) and a conversion from Ct to viral load, which together support the use of reporting viral loads clinically, and also on an observation based on over 4,700 first-time positive results that makes it possible to estimate the clinical sensitivity and false-negative rate of both this assay and other assays that have received EUA for detecting SARS-CoV-2 infection. These findings have clear implications for patient care, epidemiology, and the social and economic management of the ongoing pandemic.

79 Methods

80 **Setting and time period**. All SARS-CoV-2 testing data from The Beth Israel Lahey Health 81 Network from March 26th to May 2nd, 2020 was included in our analysis. The study was 82 deemed exempt by our hospital institutional review board.

Testing. Tests were performed using the Abbott RealTime SARS-CoV-2 assay, a real-time reverse transcriptase (RT) polymerase chain reaction (PCR) test for qualitative detection of SARS-CoV-2 in NP and oropharyngeal swabs (5). The dual target assay detects both the SARS-CoV-2 RdRp and N genes with a reported LoD of 100 copies/mL. The assay also 87 includes an internal control. Results are reported as positive if the Ct value is ≤31.5, based upon

88 the signal threshold determined by the manufacturer. Ct values for all first-time positive test 89 results were analyzed. Repeat tests were excluded in order to more accurately estimate the 90 range of Ct values of the infected population upon presentation at our medical center. In our 91 internal validation we determined that the LoD with 100% detection for the Abbott m2000 92 platform was 100 copies/mL (n=80), with Ct mean and standard deviation at this LoD, 93 26.06±1.03 (4). Note, the Ct determination on Abbott M2000rt platform is alternatively called the 94 fractional cycle number (FCN) and is specifically one way of determining the cycle number at 95 the maximum amplification efficiency inflection point, i.e., the maxRatio, of each amplification 96 curve (6). The FCN has been reported to be a more robust measure for Ct determination than a 97 fixed fluorescence threshold.

Statistics. Variance was estimated by R^2 of Ct values for repeat tests obtained within 6 hours 98 99 (n=25 patients, excluding one obvious outlier that by itself accounted for half the total variance: 100 initial Ct 4.4, but repeat negative and attributed to pre-analytic or analytic technical error) and 12 101 hours (n=51 patients, excluding the same outlier). The conversion from Ct value to viral load 102 was performed using the definition of exponential growth with variable efficiency (2, 3). 103 Efficiency was measured from plots of fluorescence intensity vs. cycle number for 50 positive 104 samples chosen at random, yielding an expression for viral load in copies/mL as a function of Ct 105 (Eq. 6, Supplementary Methods). Per this expression, the expected negative cutoff corresponds 106 to 9.2 copies per mL or ~2 virions per RT-PCR reaction volume (0.5mL), supporting the validity 107 of our parameter estimation.

We used Python (v3.6) and its NumPy, SciPy, Matplotlib, and Pandas libraries to plot linear regression and Theil-Sen slopes with 95% confidence intervals on repeat positives; a normalized cumulative distribution (histogram) of positive results (with reversed x-axis for ease of interpretation); binned histogram by 0.5 log10 units, and linear regression on log10-transformed data.

113 Results

114 Of the 27,098 tests performed on 20,076 patients over the testing period, 6,037 tests were 115 positive (22%), representing 4.774 unique patients. Analysis of repeats within 6 or 12 hours of 116 each other (7) demonstrated high repeatability of Ct values over these short time windows (R^2) 117 0.70 and 0.63, n=25 and 51, respectively), supporting the validity of this quantitative measure as 118 a basis for assessment of viral load in patients (Fig. 1). We used basic principles of PCR and 119 detailed measurements of PCR efficiency on 50 randomly chosen positive samples to convert 120 from Ct values to viral load, in units of copies of viral RNA per mL of viral transport medium. In 121 order to study the patient population upon presentation without confounding by repeat 122 measurements on the same patients, the remainder of the analysis was on the first positive 123 value for the above 4,774 unique patients.

124 Viral loads spanned nearly nine orders of magnitude, from 9 copies/mL to 2.5 billion copies/mL 125 (Fig. 2). Notably, patients were almost equally likely to exhibit low, medium, or high viral loads upon initial testing, with remarkable uniformity down to the LoD of 100 copies/mL (R²=0.99). The 126 127 reason for this uniformity is unknown. Fewer patients had viral loads below the LoD, as reflected 128 by the curve's departure from the trend in this range. Because the LoD is a 95% confidence 129 limit, the difference between the curve and the trend likely reflects false negatives: the lower the 130 viral load, the greater the likelihood that infection will be missed. By definition, only 5% of 131 patients with viral load at the LoD are expected to be missed (1 in 20 patients); this percentage 132 grows for patients with viral loads below this threshold. Thus, extending the observed trend 133 leftward to the assay's positive cutoff, which corresponds to approximately two virions per reaction, yields an estimate of the total false negative rate for this assay of 10%, and thus aclinical sensitivity of 90%, or 9 in 10 infected individuals.

136 This method can be used to estimate the clinical sensitivity of assays with other LoDs. For 137 example, an assay with LoD of 1.000 copies/mL, such as that of the CDC assay (8) or Genmark 138 ePlex EUA (9), is expected to detect 77%, or 3 in 4, of infected individuals, for a false-negative 139 rate of 22%. With an LoD of 6,250 copies/mL, the LabCorp COVID-19 RT-PCR EUA test has an 140 estimated clinical sensitivity of 67% and a false-negative rate of 33%, missing approximately 1 141 in 3 infected individuals. The first EUA antigen detection assay, the Quidel Sofia2 SARS Antigen 142 FIA, has an LoD of approximately 6 million in a contrived universal transport medium sample 143 collection. Although the package insert indicates the LoD using TCID₅₀ units, the BEI Resources 144 control material referenced lists both TCID₅₀ and genome copies/mL, allowing the calculation of 145 the latter and an associated estimated clinical sensitivity of 31%, i.e., it would miss 7 in 10 146 infected patients.

147 **Discussion**

148 The diagnostic priorities in the COVID-19 pandemic are to robustly identify three populations: 149 the infected, the infectious, and the susceptible. Our study addresses the first of these. 150 Specifically, it illustrates the clinical and epidemiologic impact of assay LoD on SAR-CoV-2 151 diagnosis and the challenges of interpreting and comparing molecular assay results across 152 various platforms. First, viral loads vary widely among infected individuals, from individuals with 153 extremely high viral loads, potential "super-spreaders" who presumably would be picked up by 154 even the least sensitive assays, to those whose viral loads are near, at, or even below the LoD 155 of many assays. Therefore, a substantial fraction of infected patients will be missed by less 156 sensitive assays. Concerningly, some of these missed patients are, have been, or will become 157 infectious, and such misses will undermine public health efforts and put patients and their

158 contacts at risk. This must give pause in the rush to approve additional testing options and 159 increase testing capacity, and emphasizes the importance of defining infectivity as a function of 160 viral load and other factors (e.g. time of exposure), which remains a critical unknown in this 161 pandemic.

162 Antigen detection assays promise rapid turnaround time, point-of-care implementation, and low 163 cost. For influenza detection, such tests have exhibited substantially lower analytical and clinical 164 sensitivity compared with NAAT tests (10). The poor historical performance for influenza 165 detection led to reclassification of influenza rapid antigen detection tests as Class II devices with 166 a new minimal performance standard of at least 80% sensitivity compared with NAAT (11). 167 Previously, clinical sensitivity of 50-88% for the Quidel Sofia influenza test was noted in several studies in different influenza seasons compared to RT-PCR comparators (12-14). The same 168 169 trend was observed in our analysis of the single SARS-CoV-2 antigen test introduced thus far 170 with EUA status. Tests with such performance characteristics will identify individuals with the 171 highest viral burden. However, such a high detection threshold will be unlikely to fully meet 172 public or individual health goals in the COVID-19 pandemic.

173 Our findings also suggest that Ct values and imputed viral loads have clinical utility. Real-time 174 PCR methods in particular are inherently quantitative, and we demonstrate here that they are quite reproducible during repeated clinical sampling over a short time period, with R^2 of 0.70 for 175 176 repeats within six hours (as a proxy for immediate repeats). We note that because PCR 177 efficiency can fall substantially with PCR cycle number, as we observed here, viral load is 178 ideally calculated not simply as a powers-of-2 transformation of Ct value but based on the 179 observed trend between efficiency and Ct number. This trend may differ by assay: for example. 180 the assay used here includes an internal control whose product may contribute to polymerase 181 inhibition. (This method can be extended to provide confidence limits that incorporate the 182 variance in, e.g., the Ct of the LoD, but this extension is beyond the scope of the current work.)

183 As yet it is unclear whether or how viral loads affect prognosis, but they at least suggest a 184 measure of infectivity, as well as possibly severity of illness, and, therefore may have value for 185 public health efforts, as we learn which cutoffs may imply minimal or inconsequential infectivity, 186 especially during clearance of infection. We make explicit our assumption that ~2 virions per 187 reaction, translating to a viral load of 9 copies/mL, reflects a 100% detection rate. With stricter 188 cutoffs, clinical sensitivity falls slightly (e.g., from 90% to 86% for an assay with an LoD of 100 189 copies/mL, if using a cutoff of 4 copies/mL, or a single virion per reaction, and to 79% if using a 190 cutoff of 0.7 copies/mL, or a single virion per 3mL transport tube). Regardless, these different 191 assumptions have essentially no effect on the relative clinical sensitivities of different assays. 192 While it is theoretically possible that even lower levels of infection are possible, making our 193 estimates of clinical sensitivity upper limits, we believe potential for contagion at these levels is 194 highly unlikely, as that would assume that breathing, a cough, or a sneeze would transmit more 195 particles than can be obtained by dedicated and vigorous physical swabbing of the actual 196 nasopharynx.

197 To control the pandemic, ultimately we will need diagnostics for all three populations of interest, 198 infected, infectious, and susceptible, and for that we will need to understand whether and how 199 viral load relates to infectiousness. As we have shown, assays with higher LoD are likely to miss 200 non-negligible fractions of infected individuals. However, individuals with viral burdens low 201 enough to be missed by some assays may prove to be less infectious. In vitro, approximately 202 only 1 of 10,000 genome copies in viral cultures may be associated with a tissue culture 203 infectious viral particle based on standard preparation such as BEI Resources NR-52866(15). 204 However, it is unclear how or whether this fraction might change with viral load for patients in 205 vivo.

The ultimate lesson from these studies bears repetition: LoD matters and directly impacts efforts
 to identify, control, and contain outbreaks during this pandemic. Various assays report out LoDs

in manners that are often difficult to comprehend, for example, $TCID_{50}$ values that may related to viral copy numbers in different ways depending on the viral preparation, or units of copies/µL (1 copy/µL = 1,000 copies/mL) or attomolar quantities (1 attomolar = 602 copies/mL). We therefore suggest that viral copies/mL be used as a universal standard metric, so that cross comparison between assays can readily be made. It is clear that viral load matters, and therefore LoD values should be readily evaluable and in the public domain.

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268 Figure Legends



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Figure 1: Ct values are highly repeatable. Data points shown are Ct values for SARS-CoV-2 testing of pairs of nasopharyngeal samples obtained within either 6 hours (A) or 12 hours (B) or each other from the same patient, represented by the X and Y coordinates of each data point. LR = Linear Regression Fit. TS = Theil-Sen Linear Regression Fit. Shade areas indicate 95% confidence interval for TS fit.



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Figure 2: Viral load distribution and LoD. (A) Fraction of positive tests binned by 0.5 log10 bins of viral load. (B) Cumulative histogram distribution of viral loads showing percent detected as a function of limit of detection - actual, solid line, and trend-line, dotted line.



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Binned histogram of viral loads (n=4774)



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