

# Synthesis and characterization of multifunctional hybrid-polymeric nanoparticles for drug delivery and multimodal imaging of cancer

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**Abstract:** In this study, multifunctional hybrid-polymeric nanoparticles were prepared for the treatment of cultured multicellular tumor spheroids (MCTS) of the PANC-1 and MIA PaCa-2 pancreatic carcinoma cell lines. To synthesize the hybrid-polymeric nanoparticles, the poly lactic-*co*-glycolic acid core of the particles was loaded with Rhodamine 6G dye and the chemotherapeutic agent, Paclitaxel, was incorporated into the outer phospholipid layer. The surface of the nanoparticles was coated with gadolinium chelates for magnetic resonance imaging applications. This engineered nanoparticle formulation was found to be suitable for use in guided imaging therapy. Specifically, we investigated the size-dependent therapeutic response and the uptake of nanoparticles that were 65 nm, 85 nm, and 110 nm in size in the MCTS of the two pancreatic cancer cell lines used. After 24 hours of treatment, the MCTS of both PANC-1 and MIA PaCa-2 cell lines showed an average increase in the uptake of 18.4% for both 65 nm and 85 nm nanoparticles and 24.8% for 110 nm nanoparticles. Furthermore, the studies on therapeutic effects showed that particle size had a slight influence on the overall effectiveness of the formulation. In the MCTS of the MIA PaCa-2 cell line, 65 nm nanoparticles were found to produce the greatest therapeutic effect, whereas 12.8% of cells were apoptotic of which 11.4% of cells were apoptotic for 85 nm nanoparticles and 9.79% for 110 nm nanoparticles. Finally, the study conducted *in vivo* revealed the importance of nanoparticle size selection for the effective delivery of drug formulations to the tumors. In agreement with our *in vitro* results, excellent uptake and retention were found in the tumors of MIA PaCa-2 tumor-bearing mice treated with 110 nm nanoparticles.

**Keywords:** nanoparticles, individualized, cancer, multicellular tumor spheroids, hybrid-polymeric

## Introduction

Human cancers result in high mortality rates<sup>1</sup> due to the challenges faced in early detection<sup>2,3</sup> and treatment.<sup>4</sup> Although the majority of cancers initially respond to drug therapy, disease recurrence and metastasis are the primary causes of mortality among cancer patients.<sup>5</sup> Current treatments for managing cancer recurrence or metastases rely on the nonselective delivery of chemotherapeutic agents to the tumor sites.<sup>6</sup> However, such approaches are ineffective in treating the disease due to their toxic effects in normal tissues. Therefore, the need to engineer customizable drug therapies for a more personalized treatment of cancer is urgent and would lead to more specific treatments, allowing the individualized delivery of anticancer drugs to tumors and maximizing the therapeutic effects of the drugs. Another challenge faced in the treatment of cancer is the evolving nature of cancer biology throughout the course of treatment.<sup>7</sup> Therefore, a successful evaluation of potential therapies relies upon the concomitant development

of new imaging modalities that enable the tumor response to the treatment to be monitored in real time. With the aid of the multimodal imaging tools, the drug formulation therapy can be adjusted accordingly as the disease progresses to different states. However, the currently available advanced imaging modalities, such as magnetic resonance imaging (MRI), positron emission tomography, computed tomography, and optical imaging, all have various limitations, such as sensitivity, resolution, and depth profiling.<sup>8,9</sup> A single imaging modality is therefore unlikely to provide conclusive information on a particular therapeutic response. In this regard, the development of multimodal imaging tools is important to enable the cross-validation of the therapeutic effect of the drug formulations administered.<sup>10</sup> Thus, the development of individualized drug delivery platforms that can be used in conjunction with multimodal imaging is crucial to successfully combat human cancers.

Polymer-based nanoparticles have been shown to have a high degree of customizability and are thus suitable candidates for use in the individualized treatment of cancer.<sup>11</sup> Such particles exhibit many adjustable characteristics, such as their size,<sup>12</sup> zeta potential,<sup>13</sup> morphology,<sup>14</sup> drug loading,<sup>15</sup> and surface functionality.<sup>16</sup> Many studies have shown that these characteristics play key roles in determining the outcome of cancer treatments.<sup>17</sup> For example, targeted delivery can be achieved by functionalizing the nanoparticle surface with ligands that exhibit specific binding efficiency to the tumor cells.<sup>18,19</sup> In addition, the nanoparticle surface can be modified using contrast agents, such as organic dyes<sup>20</sup> or radioisotopes, for multimodal imaging.<sup>21</sup> More importantly, both hydrophobic and hydrophilic drugs can also be incorporated in these nanoparticles for the effective therapy of cancers. For instance, Bisht et al<sup>22</sup> showed that such polymeric nanoparticles provide an excellent drug delivery vehicle for hydrophobic reagents, such as curumin, and the formulation showed significant anticancer effects. Similarly, Kim et al<sup>23</sup> presented a polymeric nanomedicinal platform loaded with ferric oxide nanocrystals and doxorubicin for use in simultaneous cancer-targeted imaging and drug delivery. Yu et al<sup>24</sup> reported that a preparation of polymeric hybrid nanoparticles comprising an outer polymer coating and an inner superparamagnetic iron oxide core could be used for tumor targeting and therapy in vivo.

Recent studies have shown that customizable nanoparticles have a great potential for the delivery of drug therapy to cancers by exploiting their size-dependent uptake and their ability to target the tumor tissues.<sup>12,25,26</sup> In this study, we show that newly engineered multifunctional hybrid-polymer

nanoparticles can be used for the delivery of therapeutic reagents and the imaging of cancer both in vitro and in vivo. The synthesized nanoparticles had cores made of poly lactic-*co*-glycolic acid (PLGA),<sup>27,28</sup> which are widely used for drug delivery applications.<sup>29,30</sup> It should be noted that PLGA tends to degrade hydrolytically into nontoxic byproducts within 50–60 days in the body.<sup>31,32</sup> The PLGA core was encapsulated with a phospholipid outer shell made of methoxy-poly(ethylene glycol)-1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-N (mPEG-DSPE) molecules.<sup>33–35</sup> Moreover, both PLGA and mPEG-DSPE have been approved as chemical materials for pharmaceutical use by the US Food and Drug Administration,<sup>35,36</sup> giving such nanoparticles great potential for future clinical developments. The PLGA core designed here was loaded with hydrophilic Rhodamine 6G to allow the uptake and penetration of the different sizes of nanoparticles to be monitored using fluorescent imaging.<sup>37,38</sup> The PLGA core was then encapsulated with a phospholipid micelle to promote the solubility of the nanoparticle in the biological buffers. This also increased the bioavailability of the particles, enabling them to evade capture by the reticuloendothelial system.<sup>39</sup> The outer shell of the micelle region was loaded with Paclitaxel, a potent hydrophobic anticancer drug, for cancer therapy purposes.<sup>40</sup> To achieve multimodal imaging, the micelle surface was further functionalized with gadolinium chelates for MRI. By changing the molar ratio of PLGA and mPEG-DSPE, different sizes of nanoparticles could be prepared, allowing the synthesis of the optimal nanoparticle size for specific in vitro or in vivo applications. Unlike traditional cell monolayers, the MCTS models displayed more tumor-like features, allowing a better prediction of the in vivo tumor responses to different drug treatments.<sup>41</sup> Nanoparticle uptake and penetration studies using the MCTS model revealed that each cell line had a specific affinity toward different sizes of nanoparticles. For instance, we found that the MIA PaCa-2 cell line displayed the strongest affinity for 110 nm nanoparticles compared with 65 nm and 85 nm nanoparticles. Further therapeutic studies using the nanoparticles suggested that particle size had a slight effect on the overall therapeutic effect of the formulation. In the MCTS of the MIA PaCa-2 cell line, 65 nm nanoparticles produced the greatest therapeutic effect, of which 12.8% of cells were apoptotic; 11.4% of cells were apoptotic using 85 nm nanoparticles and 9.79% using 110 nm nanoparticles after 24 hours of treatment. These studies highlight that 110 nm nanoparticles are suitable for use as multifunctional probes in in vivo imaging and therapy applications. As a proof of concept, in vivo studies were performed on MIA

PaCa-2 tumor-bearing mice treated with 65 nm, 85 nm, and 110 nm hybrid-polymeric nanoparticles. When the mice were administered with 110 nm nanoparticles, the tumor exhibited high nanoparticle retention, which was consistent with the *in vitro* studies of the MCTS model. In addition, the drug molecules were gradually released into the tumor site, allowing an effective drug delivery therapy *in vivo*. MRI imaging of the *ex vivo* tumor tissues revealed that the nanoparticles had indeed accumulated in the tumor cells as shown by the T1-weighted imaging process. The strength of multimodal imaging was therefore clearly shown from these *in vivo* experiments, in which fluorescence and MRI techniques were used to verify the distribution of nanoparticles in the tumor. Our results highlight the potential of using customizable multifunctional nanoparticle formulations for the individualized treatment of cancer in the future. Such approaches could potentially revolutionize the treatment of cancer, allowing the maximization of therapeutic effects from the nanoformulation and concomitantly minimizing the side effects caused during drug therapies.

## Materials and methods

### Cell culture

Human prostate cancer cell line PC-3 and human pancreatic cancer cell lines PANC-1 and MIA PaCa-2 were purchased from the American Type Culture Collection (ATCC, Manassas, VA, USA). Penicillin-Streptomycin 100 µg/mL, and Ham's F-12K (Kaighn's) Medium were purchased from Gibco (Life Technologies, SG, Singapore). Dulbecco's Modified Eagle's Medium ([DMEM], Hyclone) and fetal bovine serum ([FBS], Hyclone) were purchased from Thermo Fisher Scientific. PANC-1 cells were maintained in a culture with DMEM, supplemented with 10% FBS, Penicillin-Streptomycin (100 µg/mL). MIA PaCa-2 cells were cultured in DMEM supplemented with 10% FBS, 2.5% horse serum (ATCC), 100 µg/mL penicillin, and streptomycin. PC-3 cells were cultured in F-12K with 10% FBS, Penicillin-Streptomycin (100 µg/mL).  $2 \times 10^3$  cells were resuspended in medium (5 mL per 70 mm dish). The cultures were maintained at 37°C in a humidified atmosphere with 5% CO<sub>2</sub> inside an incubator.

### Culturing of multicellular spheroid tumors

The preparation of the multicellular tumor spheroids (MCTS) was performed using the hanging droplet method.<sup>42,43</sup> For each cell line, a single cell suspension was obtained from the cell cultures prepared in 2.1 after each culture had

reached 90% confluence. Using a hemacytometer, the cell concentration within the cell suspension then adjusted by adding the appropriate medium until a concentration of  $2 \times 10^4$  cells/mL was reached. Next, with a pipette, 25 drops of 20 µL of the cell suspension were deposited onto the lid of a 70 mm Petri dish in a 5×5 matrix. Approximately 5 mL of phosphate buffered saline (PBS) was put into the base of the Petri dish, and the lid was then inverted and used to cap the Petri dish base. The hanging drop cultures were then maintained in a humidified incubator at 37°C with 5% CO<sub>2</sub>. After an incubation period of 4 days, cellular aggregation occurred and MCTS were formed. The mean tumor size used was  $263,119 \pm 26,032$  µm<sup>2</sup>.

### Preparation of multifunctional hybrid-polymer nanoparticles

50:50 Poly(DL-lactide/glycolide) copolymer (PLGA), molecular weight (MW) 150,000 Da was purchased from Polysciences (Taipei, Taiwan), mPEG-DSPE MW 2,000 Da, mPEG-DSPE amine (mPEG-DSPE-NH<sub>2</sub>), methoxy-poly(ethylene-glycol)-thiol MW 2,000 Da and methoxy-poly(ethylene glycol)-succinimidyl succinate MW 2,000 Da were purchased from Laysan Bio, Inc. (Arab, AL, USA). Rhodamine 6G, gadolinium(III) chloride hexahydrate and chloroform were purchased from Sigma Aldrich (Singapore). Paclitaxel was obtained from TCI Chemicals (Tokyo, Japan). 1,4,7,10-Tetraazacyclododecane-1,4,7,10-tetraacetic acid mono (*N*-hydroxysuccinimide ester) (DOTA-NHS-ester) was purchased from Macrocyclics (Dallas, TX, USA). PLGA was dissolved in chloroform solvent to make up a concentration of 80 mg/mL together with an appropriate concentration of Paclitaxel and Rhodamine 6G, forming an organic solution. An aqueous phospholipid solution was then prepared with a 5:1 molar ratio of mPEG-DSPE and mPEG-DSPE-NH<sub>2</sub> to 1 mg/mL concentration. The organic solution was then added dropwise to the aqueous solution under sonication with a tip sonicator (Sonic Dismembrator, ultrasonic Processor FB-505, Thermo Fisher Scientific). The addition of the organic solution stopped when the target ratio of PLGA/DSPE was reached. Six different PLGA/DSPE ratios were used such as 0.05, 0.1, 0.2, 0.4, 0.8, and 1.6. The PLGA/DSPE ratios were varied by adjusting the amount of PLGA, while keeping mPEG-DSPE constant at 1 mg/mL. The resulting nanoparticle mixture was then put into a vacuum desiccator at 28°C for 12 hours to evaporate the excess chloroform. After which, surface modification of the mPEG-DSPE-NH<sub>2</sub> amine groups was achieved by adding DOTA-NHS-ester in excess (1 mg/mL) at pH 8.5 and incubating for 48 hours

at 28°C. Next, gadolinium was added in excess (1 mg/mL) at pH 8.0 and incubated for 48 hours at 28°C. Finally, the nanoparticle mixture was purified for 72 hours, undergoing four cycles of dialysis against PBS pH 7.4 with molecular weight cutoff (MWCO) 12,000 Da dialysis tubing.

### Particle size and zeta potential characterization

Mean particle size was measured using dynamic light scattering (DLS). DLS and zeta potential were determined using the NanoBrook 90Plus Particle Size Analyzer (Brookhaven Instruments, Holtsville, NY, USA). Each measurement was performed in triplicate, and a total of four different samples were measured for each data point. Both particle sizing and zeta potential measurements were performed at 10× dilution with deionized (DI) water.

### Rhodamine 6G and Paclitaxel loading measurement

Ultraviolet visible (UV-Vis) spectroscopy was performed using quartz cuvettes to measure the absorption of the nanoparticle solutions with reference to DI water (UV-Vis Spectrometer UV-2450, Shimadzu Instruments). The degree of absorption was measured at 530 nm and 227 nm for Rhodamine 6G and Paclitaxel, respectively. The concentration of each component was then calculated using the Beer-Lambert Law.

### Imaging nanoparticles with scanning electron microscopy and transmission electron microscopy

For scanning electron microscopy (SEM), the nanoparticle solutions to be examined were diluted four times and then 10 µL droplets were placed onto a silicon wafer. The droplets were then left to dry completely within a vacuum desiccator. After which, it was sputter coated with a thin layer of gold, and then the particles were imaged under an SEM (LEO 1550 Gemini). For transmission electron microscopy (TEM), the nanoparticle solutions were diluted two times and then 10 µL droplets were placed onto TEM copper grids and left to dry in a vacuum desiccator. The particles were then imaged under TEM (JEOL JEM 2010/F) at 100 kV accelerating potential and imaged with a GATAN Multiscan CCD camera.

### Nuclear magnetic resonance T1 relaxation characterization

Nanoparticle solutions of four different sizes (100 nm, 90 nm, 80 nm, 75±2 nm) were prepared in four different

dilutions of mPEG-DSPE (1 mg/mL, 0.5 mg/mL, 0.25 mg/mL, and 0.125 mg/mL), with DI water for characterization of their T1 relaxation time by using phantom imaging (Siemens Magnetom Trio, Siemens Healthcare, Erlangen, Germany). A spin-echo inversion recovery sequence was used with a field of view of 250×250 mm, matrix size of 128p×128s, recovery time of 3,000 ms and inversion times of 100–3,200 ms. Images were acquired using a flex coil and at a magnetic field strength of 3 T.

### In vitro fluorescence uptake studies of nanoparticles into spheroids

Three different sizes (65 nm, 85 nm, 110 nm) of nanoparticle formulations were prepared as mentioned in Section “Preparation of multifunctional hybrid-polymer nanoparticles” with equal concentrations of Rhodamine 6G (0.5 µg/mL). Five microliters of each of the prepared nanoparticle solutions were then administered to each of the 20 µL droplets, which contain one formed spheroid each. The spheroids were then maintained in a humidified incubator at 37°C with 5% CO<sub>2</sub>. The bright field and fluorescence images of spheroid cultures were obtained by using an inverted microscope (Nikon Eclipse Ti-U) at 10× magnification. This procedure was repeated for the three examined cell lines, PANC-1, MIA PaCa-2, and PC-3. The spheroids were imaged at 1 hour, 2 hours, 3 hours, 6 hours, and 24 hours after administration of the nanoparticle formulation. Fluorescence images were obtained using a filter set with excitation 525 nm and emission 555 nm (Chroma Technology Corp, Bellows Falls, VT, USA). Image analysis was performed with the microscope vendor software. Single factor analysis of variance at the 95% significance level was used to analyze the statistical significance of the results.

### In vitro spheroid treatment studies

Nanoparticle formulations were prepared as mentioned in the section “Preparation of multifunctional hybrid-polymer nanoparticles” with approximately equal concentrations of Rhodamine 6G (0.5 µg/mL) and Paclitaxel (137.5±22.7 nM) to make nanoparticles of three different sizes (65 nm, 85 nm, and 110 nm). For the control studies, similar nanoparticle formulations (65 nm, 85 nm, and 110 nm) were prepared and tested. Five microliters of each of the prepared nanoparticle solutions were then administered to each of the 20 µL droplets, which contain one formed spheroid each. The spheroids were then maintained in a humidified incubator at 37°C with 5% CO<sub>2</sub>. After 24 hours of incubation, the spheroids were harvested by washing with PBS off the lids of the Petri dishes. The spheroids were then centrifuged at 4,000 rpm



for 4 minutes to concentrate the cells. Propidium iodide (PI) in DMSO (2  $\mu\text{L}/\text{mL}$ ) was then added to the spheroids, and a pipette was used to homogenize the cell suspension solution and create a single cell suspension. The mixture was then left to incubate for 30 minutes without exposure to light and then PI and DMSO were removed through centrifugation, and the cells were resuspended in PBS. Flow cytometry was then performed with an excitation and emission filter set of 540 nm and 620 nm, respectively, for PI as the marker. Optical compensation was performed with single-stained compensation control samples, where the cells were stained with only Rhodamine 6G and another with only PI.

### In vitro release studies

In vitro release profiles of Paclitaxel from the fabricated nanoparticles were investigated in an aqueous medium containing PBS. Three sized 65 nm, 85 nm, and 110 nm nanoparticles, with equal concentrations of 1 mg/mL DSPE and 137.5 $\pm$ 22.7 nM Paclitaxel were investigated. One milliliter (1 mg/mL concentration) of each nanoparticle solution was sealed into a dialysis membrane bag (MWCO 12,000 Da). Each dialysis bag was then placed into a 20 mL glass bottle containing 19 mL of PBS solution under slight agitation. At preset time intervals, 1 mL of the PBS solution was withdrawn for UV-Vis spectroscopy in quartz cuvettes to measure the absorption of the nanoparticle solutions with reference to PBS solution (UV-Vis Spectrometer UV-2450, Shimadzu Instruments). The absorption spectra were measured and monitored for Paclitaxel at 227 nm and for Rhodamine 6G at 530 nm. The concentrations of Paclitaxel and Rhodamine 6G can be estimated using the Beer–Lambert Law. After each measurement, the PBS within the samples was replaced with 19 mL of fresh PBS solution. This procedure was repeated at 4°C to determine the release of Paclitaxel and Rhodamine 6G during storage. For the release studies using FBS, 1 mg of nanoparticles were incubated in 20 mL of 10% FBS solution. At predetermined time intervals, 1 mL of solution was withdrawn, and the nanoparticles were then pelleted using ultracentrifugation at 15,000 rpm. The pellet was then purified by removing the supernatant and washed twice with DI water and resuspended into a solution for analysis to determine the amount of Paclitaxel and Rhodamine 6G released.

### In vivo studies of nanoparticle retention

Athymic nude mice (BALB/cASlac-nu, female) of 5–6 weeks of age were obtained from Shanghai Slac Laboratory Animal, Chinese Academy of Sciences (Licensing number: SCXK-2007-0005). Human cell line experiments were approved

by the Nanyang Technological University Biological Safety Committee (BPN-0001-2012-EEE) and the Office of Health and Safety (RA\_EEE\_5528). Animal research was carried out in compliance with the National Standard of Animal Care and Use Procedures (20080820). The mice were kept in an isolated biosafety facility for specific pathogen free (SPF) animals with bedding, food, and water. Tumor growth was monitored every 24–48 hours until these tumors exceeded 100–150 mm<sup>3</sup> in volume (~10–15 days posttransplantation of cells). After which, the mice were administered with subcutaneous injections near the site of the tumors with 100  $\mu\text{L}$  of nanoparticle solution (1 mg/mL). Three mice were used, and each mouse was injected with a nanoparticle solution containing particles of different sizes such as 65 nm, 85 nm, and 110 nm. After injection, mice were anesthetized with 50  $\mu\text{L}$  pelltobarbitalum natricum (10 mg/mL) per injection. Then the mice were imaged using the IVIS Lumina II in vivo optical imaging system (Perkin Elmer, Waltham, MA, USA; excitation: 480 nm, emission: 555 nm) at specific time points (1 hour, 3 hours, and 48 hours after injection), to monitor biodistribution of the nanoparticles. After 48 hours of observation, the mice were anesthetized, and the tumors were removed for MRI under a magnetic field of 3 T using a flex coil (Siemens Magnetron Trio, Siemens Healthcare, Erlangen, Germany). A total of four sequences were used: T1-weighted spin-echo, T1-weighted spin-echo fat-saturation, T2-weighted turbo spin-echo, and T2-weighted turbo spin-echo fat-saturation. For T1-weighted measurements, an echo time of 10 ms, recovery time of 414 ms, field of view of 200 $\times$ 200 mm, and matrix size of 192p $\times$ 250s were used. For T2-weighted measurements, an echo time of 87 ms, recovery time of 3,381 ms, field of view of 200 $\times$ 200 mm, and matrix size of 336p $\times$ 448s were used.

## Results and discussion

### Synthesis and characterization of hybrid-polymeric nanoparticles

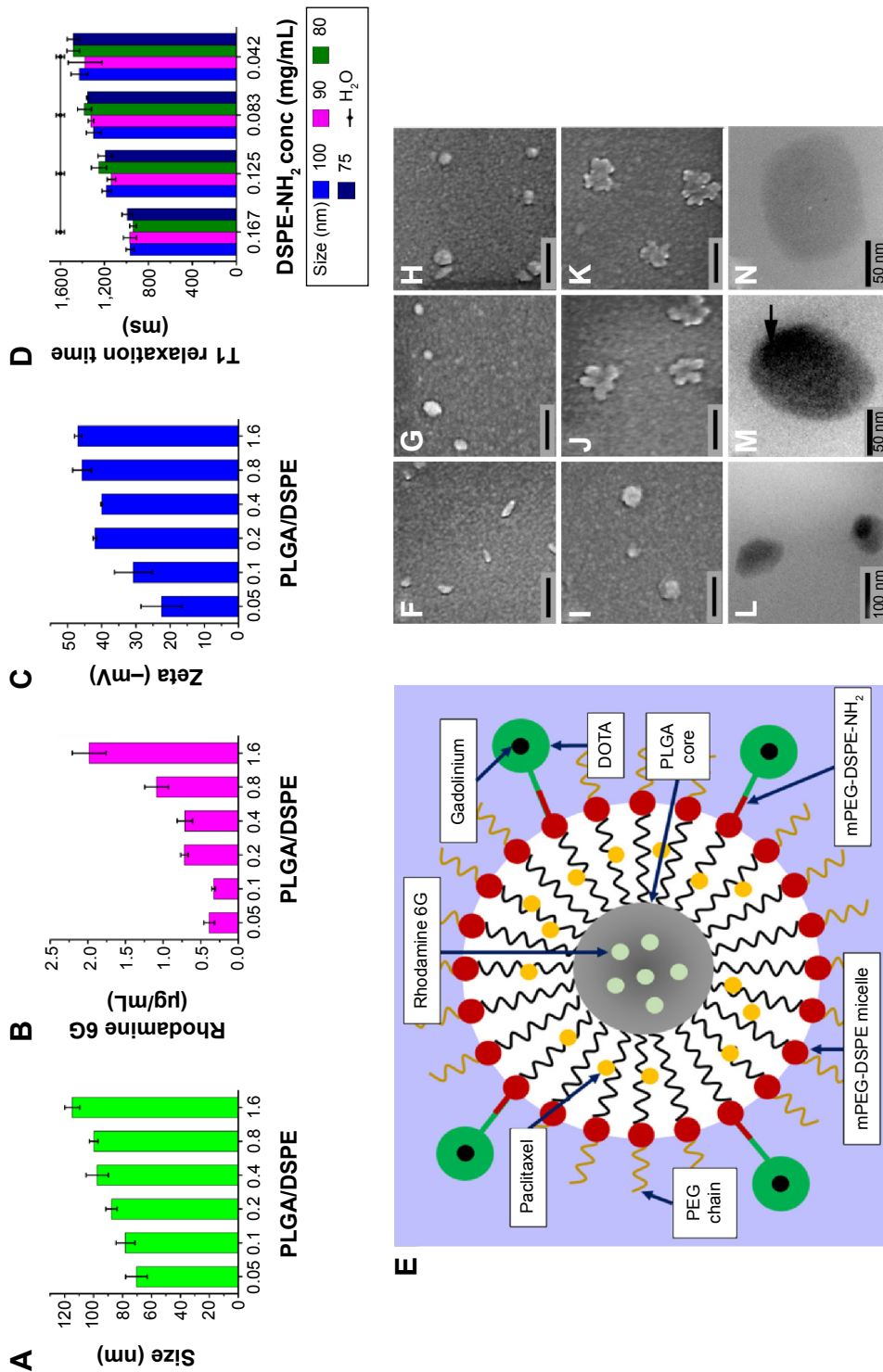
The hybrid-polymeric nanoparticles comprised of an inner core of PLGA, which encapsulated Rhodamine 6G dye. This core was surrounded by an outer micelle shell into which the drug Paclitaxel was incorporated. The nanoparticles were prepared by a single-step sonication method modified from Fang et al.<sup>44</sup> The micelle shell was made up of a mixture of mPEG-DSPE and mPEG-DSPE-NH<sub>2</sub> phospholipids. Using the NH<sub>2</sub> functional group, surface functionalization of the particle was carried out using DOTA-NHS ester. DOTA then functioned as a chelating agent to bind gadolinium MRI contrast agent to the surface of the nanoparticle.

A schematic representation of this is given in Figure 1E. The size of the nanoparticles could be tailored by manipulating the ratio of PLGA and DSPE that was added to the reaction mixture. Figure 1 shows the hydrodynamic diameter of the nanoparticles prepared (Figure 1A). We found that the nanoparticle size increased as the molar ratio of PLGA/DSPE increased. The smallest nanoparticle with the size of  $70 \pm 7.44$  nm was obtained with a low PLGA/DSPE ratio of 0.05, and largest size of  $114 \pm 5.11$  nm was obtained using a PLGA/DSPE ratio of 1.6. The morphology of the fabricated nanoparticles was examined using SEM (Figure 1F–K). The particles prepared using lower PLGA/DSPE ratios (Figure 1F) appeared to be rod shaped and have an average width and length of 35 nm and 110 nm, respectively. When the PLGA/DSPE ratio was increased, larger and more spherical particles were formed, as shown in Figure 1G–I. The morphology of the nanoparticles underwent another distinct change when the size approached 200 nm. As observed in Figure 1J and K, an irregular shape was observed and most of the particles appeared to have a rough boundary surface. TEM was also used to examine the internal structure of the fabricated nanoparticles. As seen in Figure 1M, a relatively dense region was observed in the center region of the particles. Conversely, when no PLGA was added, no dense region was observed (Figure 1N), indicating that the PLGA core was encapsulated within the mPEG-DSPE micelle. The loading efficiency of Rhodamine 6G within the nanoparticles was determined by using UV-Vis spectroscopy. The increment of Rhodamine 6G concentration within the nanoparticles was nonlinear, with higher concentrations of Rhodamine 6G being entrapped in the particle as the PLGA/DSPE ratio increased. The same characterization method was performed for Paclitaxel encapsulated in the nanoparticles. The concentration of Paclitaxel remained relatively constant at  $137.5 \pm 22.7$  nM, regardless of the PLGA/DSPE ratio used in the synthesis process, which was to be expected because the mPEG-DSPE concentration was kept constant at 1 mg/mL. As reported by Ip et al,<sup>45</sup> such a synthesis process would first create multilayered lipid layers embedded with the hydrophobic drugs. PLGA, when added to this system, would be encapsulated by the micelles, forming a single-layered micelle system. These processes would explain why the Paclitaxel concentration remained constant within a nanoparticle system at a constant concentration of mPEG-DSPE. The zeta potential is an important factor in maintaining the colloidal stability of particle dispersion.<sup>46</sup> In our study, the larger nanoparticles had zeta potential values ranging from  $-40.0$  mV to  $-46.9$  mV and smaller nanoparticles had values ranging from  $-22.5$  mV to

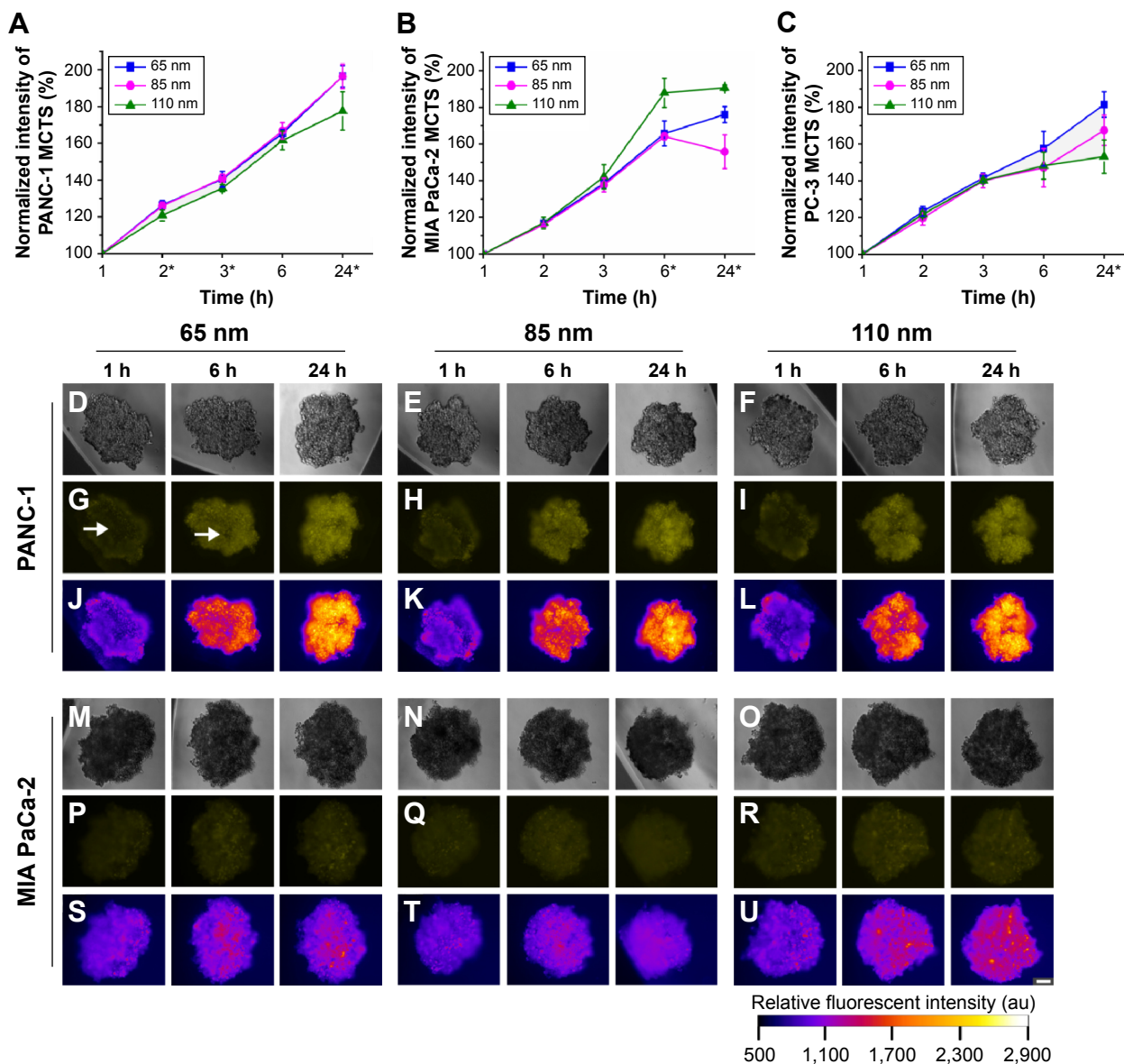
$-30.7$  mV. The surface of the nanoparticles was then further functionalized with gadolinium chelates, thereby allowing the particles to exhibit contrast enhancement for MRI. A T1 relaxometry study revealed no significant differences in T1 relaxation time between nanoparticles of different sizes. It should be noted that gadolinium chelates are directly associated with the DSPE-NH<sub>2</sub> molecule, and thus, the major factor that would determine relaxation time would be the total amount of DSPE-NH<sub>2</sub> available within a nanoparticle. As shown in Figure 1D, nanoparticles prepared with a higher concentration of DSPE-NH<sub>2</sub> (0.167 mg/mL) exhibited a mean relaxation time of 967.3 ms. In contrast, a lower concentration (0.04 mg/mL) of DSPE-NH<sub>2</sub> resulted in a mean relaxation time of 1,442.5 ms, suggesting that an effective concentration of at least 0.04 mg/mL DSPE-NH<sub>2</sub> is required to obtain good contrast enhancement for MRI. Finally, it has been suggested that fluorescence dyes loaded in a bare PLGA core may migrate to the cellular membranes upon contact.<sup>47</sup> In our study, the PLGA core was encapsulated in an outer phospholipid shell, which prevented loaded core reagents from diffusing outward.<sup>48</sup> In addition, the outer shell contained outward facing PEG chains (Figure 1E), preventing direct contact between the lipid layers of the nanoparticles and the outer membrane of a cell and blocking the adsorption of the dye into the cells.<sup>49</sup> Other studies performed with similar nanoparticle structures have shown a strong association of the loaded dyes with the nanoparticles.<sup>50</sup>

## In vitro study of uptake and penetration of nanoparticles

The cellular uptake and penetration of nanoparticle treatments are important parameters to be considered when designing the physicochemical property of the nanoparticle because they will determine the overall therapeutic action on the tumor cells. In this study, multifunctional nanoparticles of different sizes, 65 nm ( $\sigma=4.85$ ), 85 nm ( $\sigma=6.57$ ), and 110 nm ( $\sigma=9.94$ ), were tested in the MCTS (Figure 2) of different cell lines. Additional information on the size distribution of the prepared nanoparticle formulations is provided in the supporting information (Figure S1). Fluorescence imaging analysis was then performed for each MCTS models at different time intervals. To date, it has been shown that the penetration and uptake of nanoparticles in tumor tissue can be due to several factors. In our study, the uptake and penetration of nanoparticles into the tumor spheroids were probably a result of the interstitial flow of nanoparticles into the tumor matrix.<sup>25</sup> Basically, a relatively high density of nanoparticles was surrounded by the tumor interfaces. Thereafter, the receptor-mediated endocytosis



**Figure 1** Characterization of the synthesized multifunctional nanoparticles. **Notes:** (A) Size dependence of nanoparticles synthesized with different ratios of PLGA and mPEG-DSPE (PLGA/DSPE). (B) Loading efficiency of Rhodamine 6G in the nanoparticles prepared by with PLGA/DSPE ratios. (C) Zeta potential of nanoparticles synthesized with different PLGA/DSPE ratios. (D) T<sub>1</sub> relaxation time for four different sizes of nanoparticles at different concentrations. (E) Schematic diagram of the nanoparticle structure. (F–K) Scanning electron microscopy images of nanoparticles, the scale bars represent 200 nm. (L) TEM image showing the structure of the nanoparticles. (M) High magnification of the TEM image showing the nanoparticles with a denser PLGA inner core. (N) High magnification of the TEM image showing an empty nanoparticle fabricated without the addition of PLGA. (Error bars indicate the standard deviation and the number of samples used in each measurement, n=4). **Abbreviations:** DSPE, distearoyl-sn-glycero-3-phosphoethanolamine-Ni; PLGA, poly lactic-co-glycolic acid; TEM, transmission electron microscopy; MCTS, multicellular tumor spheroids; h, hours.



**Figure 2** Uptake of different sizes of nanoparticles (65 nm, 85 nm, and 110 nm) in the MCTS of the PANC-1, MIA PaCa-2, or PC-3 cell lines.

**Notes:** (A) Normalized relative fluorescence intensity of the MCTS of the PANC-1 cell line at different time intervals. (B) Normalized relative fluorescence intensity of the MCTS of the MIA PaCa-2 cell line at different time intervals. (C) Normalized relative fluorescence intensity of the MCTS of the PC-3 cell line at different time intervals. For the MCTS of the PANC-1 cell line treated with 65 nm, 85 nm, and 110 nm nanoparticles at 1 hour, 6 hours, and 24 hours: (D–F) bright field images, (G–I) fluorescence images with the white arrow highlighting the uneven distribution of the nanoparticles, and (J–L) intensity maps showing the relative fluorescent intensity of different regions of the MCTS of PANC-1. For the MCTS of the MIA PaCa-2 cell line treated with 65 nm, 85 nm, and 110 nm nanoparticles at 1 hour, 6 hours, and 24 hours: (M–O) bright field images, (P–R) fluorescence images, and (S–U) intensity maps showing the relative fluorescent intensity of different regions of the MCTS of MIA PaCa-2. The scale bar represents 100  $\mu$ m. Error bars indicate the standard deviation and the number of samples used in each measurement ( $n=4$ ). \*Indicates statistical difference ( $P<0.05$ ) amongst the three mean fluorescent measurements at that time point, obtained from the testing of the three nanoparticle solutions based on the single variable analysis of variance test.

**Abbreviations:** MCTS, multicellular tumor spheroids; PLGA, poly lactic-co-glycolic acid; DSPE, distearoyl-*sn*-glycero-3-phosphoethanolamine-N; h, hours.

pathway may have helped the nanoparticles to migrate into the tumor spheroid tissues.<sup>51</sup> These pathways may be mediated by proteins, such as clathrin and dynamin,<sup>52</sup> which may be absorbed onto the nanoparticle surface, thereby allowing them to be taken up by the tumor spheroids through the endocytosis process. Our data suggested that indeed each MCTS cell line displayed size-selective uptake and penetration for different sizes of nanoparticles.

In the MCTS of the PANC-1 cell line, 85 nm nanoparticles had the most favorable uptake and penetration. In the *in vitro* penetration studies (Figure 2G–I), the MCTS of the PANC-1 cell line revealed a non-uniform nanoparticle distribution within the first 6 hours of treatment for all sizes of nanoparticle administered, which was particularly obvious in the PANC-1 sample treated with 65 nm nanoparticles, as indicated in Figure 2G. The inner core



region had a lower fluorescent intensity compared with the peripheral regions, indicating less nanoparticle accumulation. Even after 24 hours of treatment, the MCTS of the PANC-1 cell line treated with 65 nm (Figure 2G) and 110 nm (Figure 2I) nanoparticles still showed a sizeable central region with low fluorescent intensity, indicating a low uptake of nanoparticles. For MCTS of the PANC-1 cell line treated with 85 nm nanoparticles (Figure 2H), a similar trend was also observed after the first 6 hours of treatment. However, after 24 hours, the central regions had a much higher fluorescent intensity compared to the peripheral regions, indicating that the nanoparticles had successfully penetrated the core of the MCTS. The MCTS of the PANC-1 cell line was incubated with free Rhodamine 6G to serve as a positive control, and the results are provided in the supplementary material (Figure S2D). The data from the uptake studies of the different sizes of nanoparticles in the MCTS of the PANC-1 cell line further suggest that 85 nm is the optimum size for the treatment of the PANC-1 cell line (Figure 2A). The 85 nm nanoparticles showed the highest uptake, on a par with the 65 nm nanoparticles and 18.8% higher than that of 110 nm nanoparticles. The 85 nm nanoparticles thus had both optimum uptake and penetration characteristics for MCTS models of the PANC-1 cell line.

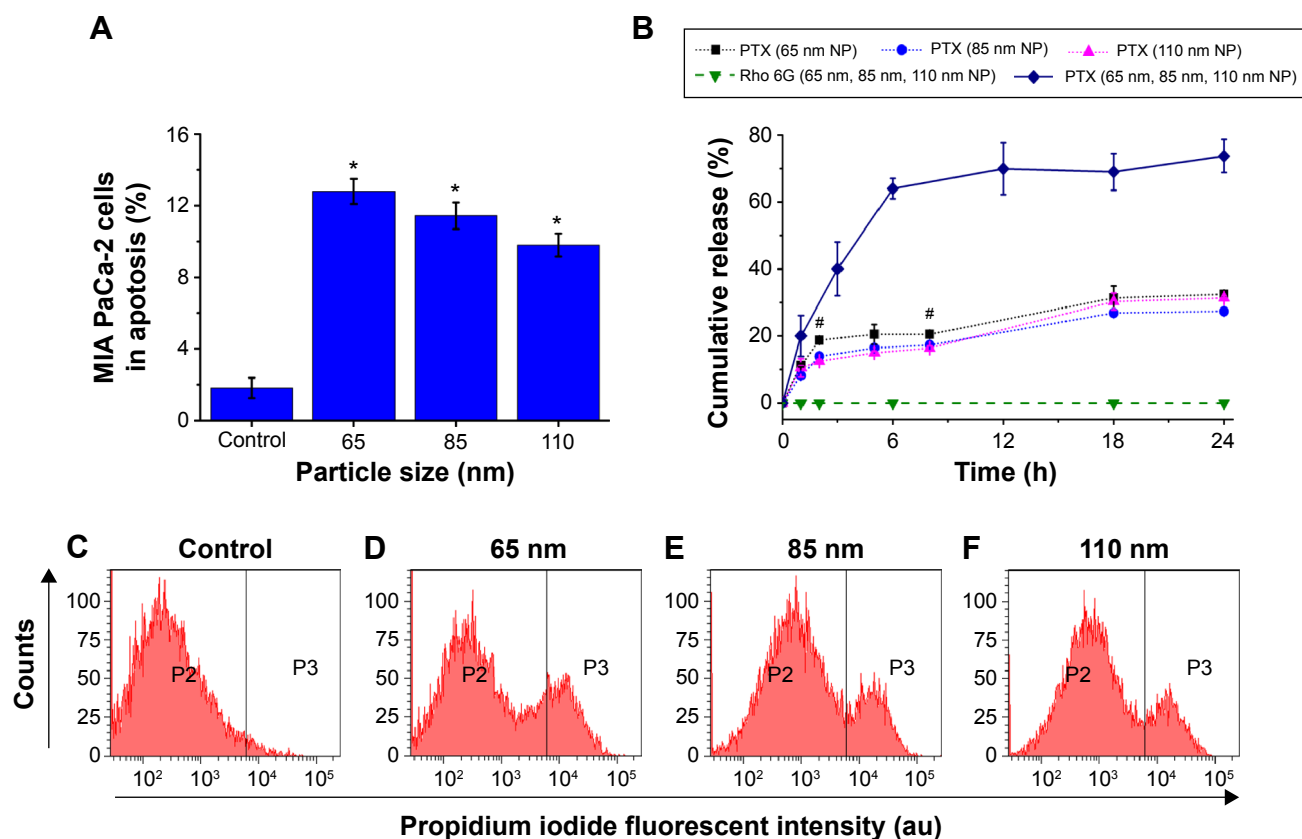
In the MIA PaCa-2 cell line, the nanoparticle uptake and penetration studies on the MCTS model show that 110 nm nanoparticles had the optimum uptake and penetration. In the nanoparticle uptake studies, an increase in average uptake of 24.8% was observed for 110 nm nanoparticles compared to that of the 65 nm and 85 nm nanoparticles (Figure 2B) after 24 hours of treatment. The penetration studies showed that MIA PaCa-2 MCTS exhibited a relatively uniform uptake throughout for all three particle sizes tested, as shown by the uniform fluorescent intensity of the images (Figure 2P–R). These results suggest that excellent uptake and penetration of nanoparticles in the tumor spheroids can be achieved using a particle size of 110 nm. This size-selective uptake behavior characteristic has also been observed in other cell lines, such as PC-3, which is of prostate cancer origin. A similar uptake preference was observed for 65 nm nanoparticles in PC-3 MCTS (Figure 2C). These results suggest that nanoparticles size plays a key role for targeted imaging as well as therapeutic applications.

## Therapeutic effects of multifunctional nanoparticles in vitro

The therapeutic effects of the prepared multifunctional nanoparticles on the MCTS of PANC-1 and MIA PaCa-2

cell lines were evaluated. The nanoparticles were loaded with the same concentration of Paclitaxel ( $137.5 \pm 22.7$  nM). From the in vitro release studies (Figure 3B), drug release from the nanoparticles showed an average gradual release of 30.4% of the total loaded drug over 24 hours for all three nanoparticle sizes dispersed in PBS. In addition, the in vitro release study was carried out in the presence of 10% FBS to examine its effects on the release of Paclitaxel and Rhodamine 6G from the nanoparticles. A more rapid release of Paclitaxel was observed, and more than 73% of the total loaded drug was found to be released over 24 hours for all three nanoparticle sizes. Rhodamine 6G was well protected within the PLGA inner core, and no release of the dye was observed over a 24-hour examination period. The release of Paclitaxel and Rhodamine 6G from the designed nanoparticles at 4°C was also examined and the results can be found in the supporting information (Figure S3).

The MCTS of the MIA PaCa-2 cell line were treated with the nanoparticle formulations for 24 hours, and the percentage of cells in the apoptotic state was determined. The percentage of apoptotic cells was directly proportional to the therapeutic effect of the prepared nanoparticle formulations. Flow cytometry analysis revealed that the Paclitaxel-loaded nanoparticles had effective therapeutic effects on the MCTS of the MIA PaCa-2 cell line. As seen in Figure 3A, in the control group of MIA PaCa-2 cells treated with unloaded Paclitaxel nanoparticles, a lower percentage of apoptotic cells (1.66%) were observed. No significant differences in the percentage of cellular apoptosis was found in any of the control groups treated with 65 nm, 85 nm, and 110 nm unloaded nanoparticles, suggesting that the unloaded nanoparticles had relatively low cytotoxicity compared to loaded particles. Thus, the average 11.3% increase in the percentage of apoptotic cells resulted from the treatment with Paclitaxel-loaded nanoparticles. With regard to treatments with different nanoparticle sizes, the treated groups had 12.8% of apoptotic cells with 65 nm, 11.4% with 85 nm, and 9.79% with 110 nm nanoparticles compared with controls treated with nanoparticles without loaded Paclitaxel (Figure 3A). On average, a 2.18% increase in the percentage of apoptotic cells was observed for the MCTS of the MIA PaCa-2 cell line treated with the 65 nm nanoparticles compared to those treated with 85 nm and 110 nm nanoparticles. Analyzing the detailed flow cytometry results (Figure 3C–F), the higher percentage of apoptosis caused by the 65 nm nanoparticle formulation was due to the increased count of the cells from the  $7 \times 10^3$  to  $8 \times 10^3$  fluorescent intensity region compared to the 85 nm and 110 nm nanoparticles. These experiments showed the potential of such hybrid-polymeric nanoparticles



**Figure 3** Flow cytometry was used to investigate the relationship between the size and therapeutic effect of the multifunctional nanoparticles in the MCTS of the MIA PaCa-2 cell line.

**Notes:** (A) The percentage of MIA PaCa-2 cells in apoptosis after 24 hours of treatment with nanoparticles of 65 nm, 85 nm, and 110 nm. (B) In vitro release studies of the anticancer drug Paclitaxel (PTX) and Rhodamine 6G (Rho 6G) from 65 nm, 85 nm, and 110 nm nanoparticle formulations. Dotted lines and solid lines indicate in vitro studies performed in PBS and FBS, respectively. Rhodamine 6G release was examined in both PBS and FBS, represented by the dashed lines. (C–F) Flow cytometry results showing the cell count (y-axis) and propidium iodide fluorescent intensity (x-axis) of cells from MIA PaCa-2. (Vertical lines indicate the standard deviation and the number of samples used in each measurement, n=4). \*Indicates statistical difference ( $P < 0.05$ ) using single variable analysis of variance (ANOVA) between the three treated groups. #Indicates statistical difference ( $P < 0.05$ ) using single variable ANOVA between the Paclitaxel release from the different sizes of nanoparticles.

**Abbreviations:** MCTS, multicellular tumor spheroids; PBS, phosphate buffered saline; FBS, fetal bovine saline; PTX, Paclitaxel; h, hours; NP, nanoparticles.

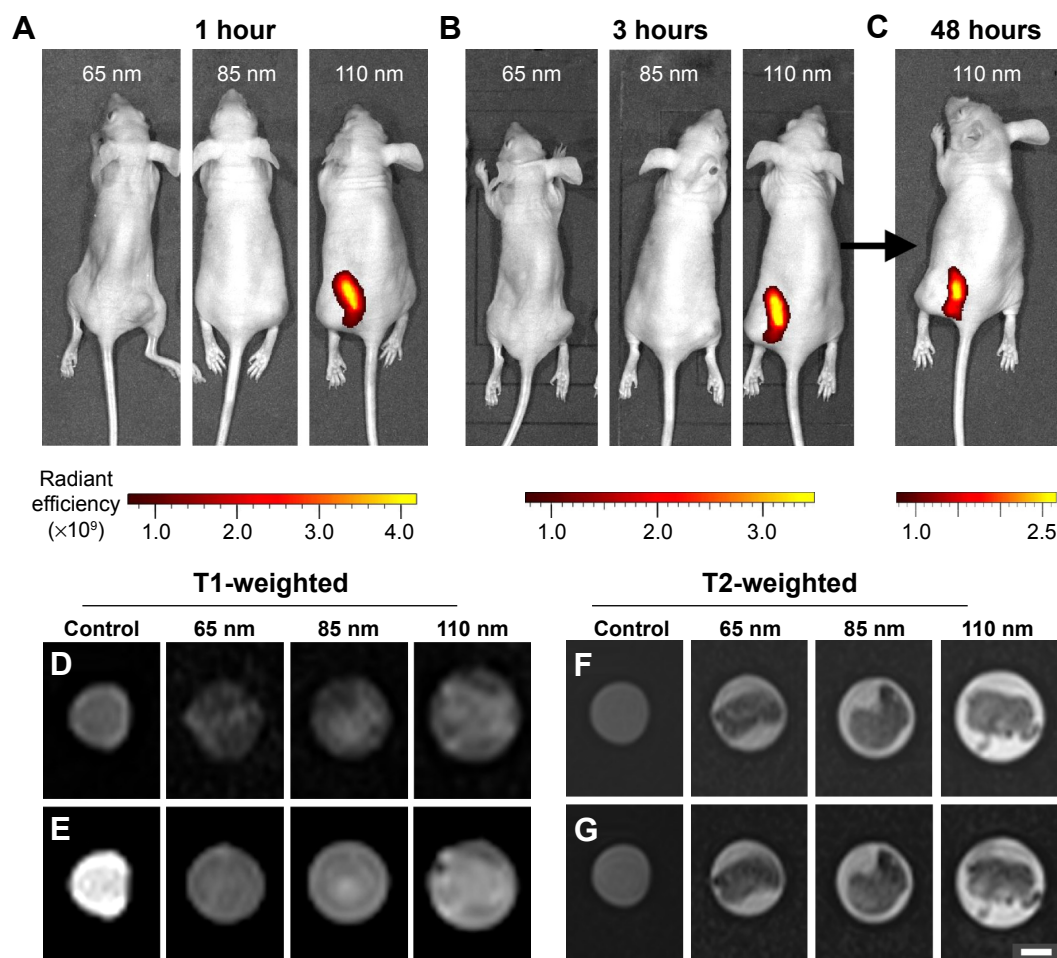
for use in therapy and that the differences between the therapeutic effects of different sizes of nanoparticles were only slight.

## Multifunctional nanoparticles for multimodal imaging of tumors in vivo

Our in vitro results showed that MIA PaCa-2 MCTS had a high preferential uptake of 110 nm nanoparticles. As a proof of concept, MIA PaCa-2 tumor-bearing nude mice were used to cross-check the trend observed in the in vitro models presented earlier. The 65 nm, 85 nm, or 110 nm nanoparticles loaded with Rhodamine 6G, Paclitaxel, and gadolinium chelates were injected subcutaneously into the tumor in vivo. Subsequently, fluorescence imaging and MRI were performed to evaluate the retention of the nanoparticles within the tumors. Fluorescence imaging (Figure 4A–C) revealed that 65 nm and 85 nm nanoparticles were not detected in the tumor 1 hour after the injection, indicating the poor retention

of these formulations by the tumors. In contrast, the 110 nm nanoparticles were retained in the tumor 1 hour after the injection and the fluorescence signals could still be detected after 48 hours of treatment. This fluorescence imaging result was consistent with the observations from the MIA PaCa-2 MCTS model. As reported by Cabral et al,<sup>53</sup> polymeric nanoparticle accumulation within tumors in vivo are highly dependent on nanoparticle size and on the tumors formed by different cancer cell lines due to their individual morphology and vasculature characteristics.

For the MRI experiments, the tumors from the mice were harvested for T1- and T2-weighted sequences analysis. The T2-weighted images in Figure 4F show the rough morphology of the tumor samples represented by the dark areas at the center of the imaging tube. In contrast, the MRI of control solutions in Figure 4F and G remained dark, which is an important observation because the nanoparticle solutions had some fat content due to phospholipid molecules.



**Figure 4** In vivo fluorescence and magnetic resonance imaging using the prepared multifunctional nanoparticles.

**Notes:** Fluorescence images taken for tumor-bearing mice treated with (A) 65 nm, 85 nm, and 110 nm nanoparticles after 1 hour, (B) 65 nm, 85 nm, and 110 nm nanoparticles after 3 hours, and (C) with 110 nm nanoparticles after 48 hours. (D) T1-weighted spin-echo images of extracted tumors. (E) T1-weighted fat saturation spin-echo images of extracted tumors. (F) T2-weighted turbo spin-echo images of extracted tumors. (G) T2-weighted fat saturation turbo spin-echo images of extracted tumors. Control samples comprised of 1 mg/mL nanoparticle solutions. Radiant efficiency was measured using arbitrary units. Scale bar represents 150  $\mu$ m for (B) and 400 mm for (D–G).

Since fatty tumors appear to be hypointense during T2 fat-saturated imaging,<sup>54</sup> and the signal was too weak to be detected by the T2 imaging, any changes in the T2 fat-saturated images must have been caused by the presence of fat in the tissues. Fat-saturated T2-weighted images (Figure 4G) show no significant changes from the T2-weighted images (Figure 4F), indicating that the tumors consisted largely of dense and low-fat tissues. From the T1-weighted images in Figure 4D, the control solution sample comprising 1 mg/mL nanoparticles can be seen to exhibit a high contrast signal. Because the tumors lacked fatty tissues, as seen from the T2 fat-saturated images, the observed contrast from the tumors in the T1-weighted imaging resulted from the signal enhancement of the nanoparticles, indicating that the MRI contrast agent, gadolinium chelates, were successfully functionalized to the nanoparticles surface. In our MRI experiments,

a minimal uptake of 65 nm and 85 nm nanoparticles in the tumors was observed. However, high contrast signals were obtained from tumors tissue harvested from mice treated with 110 nm nanoparticles, clearly suggesting that the 110 nm nanoparticles possessed a higher retention rate than that of the 65 nm and 85 nm nanoparticles and gave rise to the higher MRI contrast signals.

Our in vivo study showed consistency with the in vitro studies, demonstrating that the simultaneous imaging and therapeutic properties of multifunctional nanoparticles can be used to develop treatments for chronic diseases, such as cancer. Moreover, our studies also highlighted that hybrid-polymeric nanoparticles are an excellent platform for drug delivery and the multimodal imaging of tumors. These nanoparticles can be uniquely tailored for a wide range of applications from targeted drug therapy to monitoring the

progression of the tumor in vivo. Using their loading properties, they can be loaded with other smaller nanoparticles, such as magnetic ferric oxide nanocrystals, to leverage on their functionalities to perform MRI simultaneously with magnetically induced heat generation and drug delivery.<sup>55</sup> Furthermore, the surface of the multifunctional nanoparticles presented here can be extensively modified for the potential treatment of other chronic illnesses, such as Alzheimer's disease, where the micelle surfaces functionalized with Pluronic block copolymers have been shown to enhance drug uptake in primary cultured bovine brain microvessel endothelial cell monolayers.<sup>56</sup> We envision that these hybrid polymeric nanoparticles will be useful for clinical applications in the near future. As previously discussed, our designed nanoparticles were derived from US Food and Drug Administration approved materials and were able to degrade into biologically inert byproducts. Through the incorporation of different contrast agents (eg, fluorescence and magnetic resonance) into the nanoparticles designed here, multimodal imaging can be carried out in real time to evaluate the biodistribution of nanoparticles in the body and thereby understand the effectiveness of the drug's efficacy in vivo.

## Conclusion

In summary, hybrid-polymeric nanoparticles were fabricated for multimodal imaging and therapy of pancreatic cancer in vitro and in vivo. The synthesized nanoparticles comprised of a core made of PLGA, into which Rhodamine 6G fluorescent dye is loaded. This PLGA core is encapsulated by a phospholipid micelle, into which Paclitaxel, which is a potent anticancer drug for common cancer therapy use, was introduced. The micelle surface was functionalized with gadolinium chelates as an MRI contrast agent. Nanoparticle uptake and penetration studies showed that the two pancreatic cancer cell lines each had a preferential uptake of different sizes of nanoparticles. Specifically, the MIA PaCa-2 cell line showed the greatest preference for the uptake of 110 nm nanoparticles. Our therapeutic study showed that the developed nanoparticles could be used effectively to deliver therapeutic drugs and successfully cause the apoptosis of tumor cells. The tumors exhibited a high degree of retention with the 110 nm nanoparticle formulation, which was consistent with the in vitro study. Magnetic resonance imaging of the ex vivo tumor tissue confirmed the presence of nanoparticles in the tumor cells.

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## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary materials

### Nanoparticle test solution size distributions

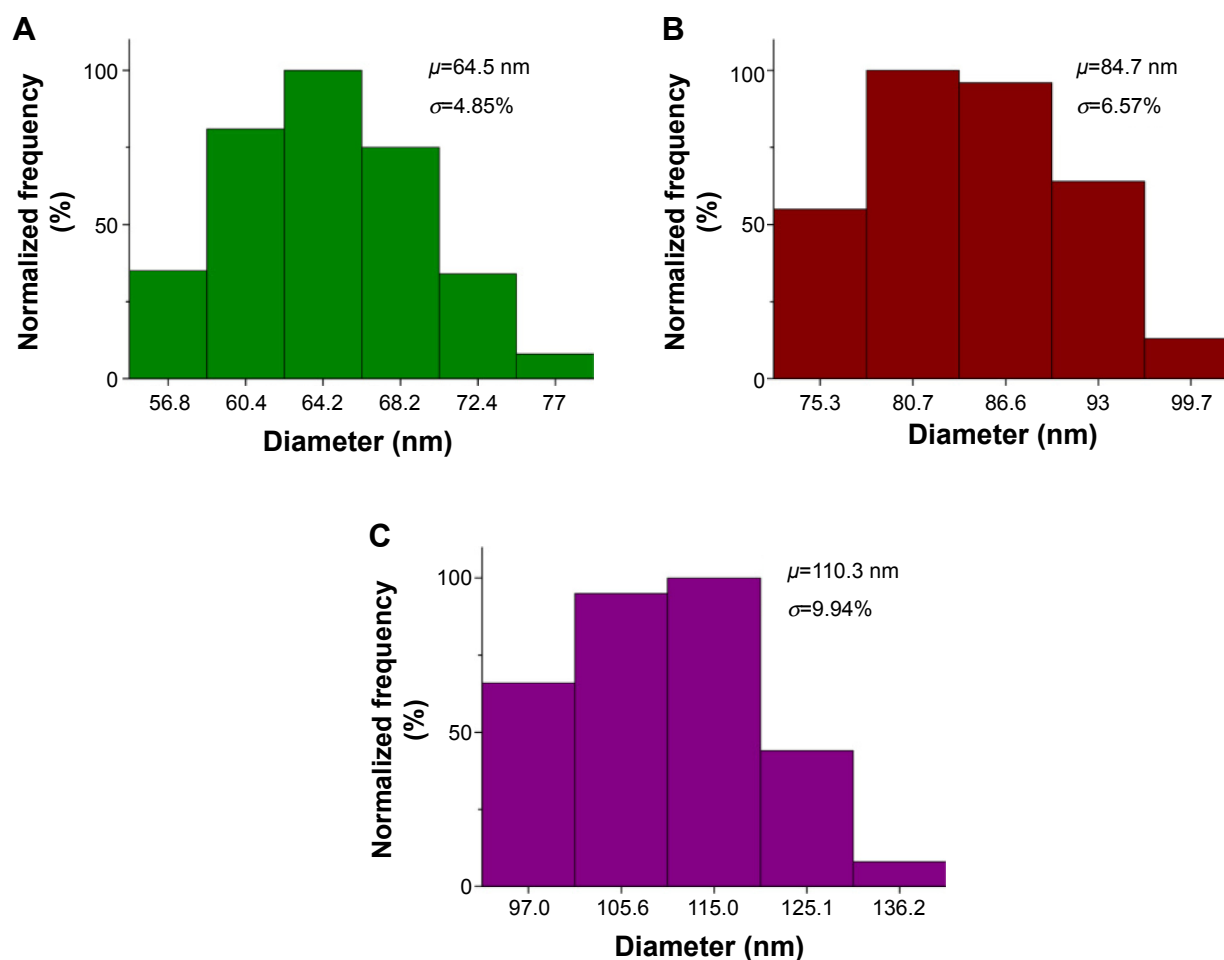
Generally, a standard deviation of below 5% is considered to be a monodispersed formulation<sup>1</sup> and standard deviations of 5%–10% are considered to be narrowly dispersed.<sup>2</sup> Although the nanoparticle solutions used in this study were not completely monodispersed, it is important to note that the goal of the study was not the characterization of the size-dependent uptake behavior of the MCTS of different cell lines. The aim was to show that using the size-dependent behavior of these MCTS, uptake, and penetration into tumors can be optimized. The size distributions of each nanoparticle solutions were specifically chosen as they had minimal overlap with each other in order to illustrate this concept (Figure S1).

### Positive controls: uptake and penetration studies

As a positive control, MCTS models of PANC-1 and MIA PaCa-2 cell lines were incubated with free Rhodamine 6G fluorescent dye at a concentration of 0.5  $\mu\text{g}/\text{mL}$ , which was the same concentration as loaded in the multifunctional nanoparticles. Their relative intensities over a 24-hour period as well as the bright field, fluorescent and intensity mapping images are presented in Figure S2. Uniform distribution of the nanoparticles was observed in the MCTS models of both cell lines after 24 hours of incubation (Figure S2C and F).

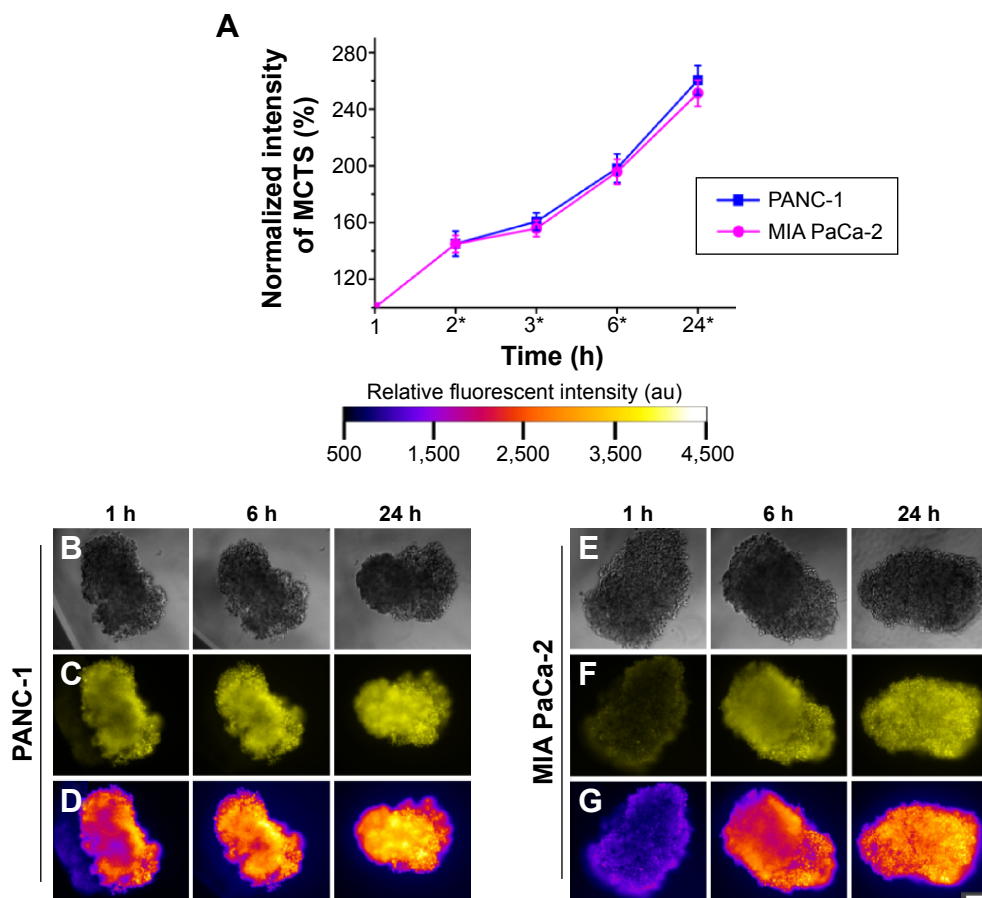
### Paclitaxel and Rhodamine 6G Release at 4°C

To investigate the long-term stability of the nanoparticles under storage condition, the nanoparticles were dialyzed

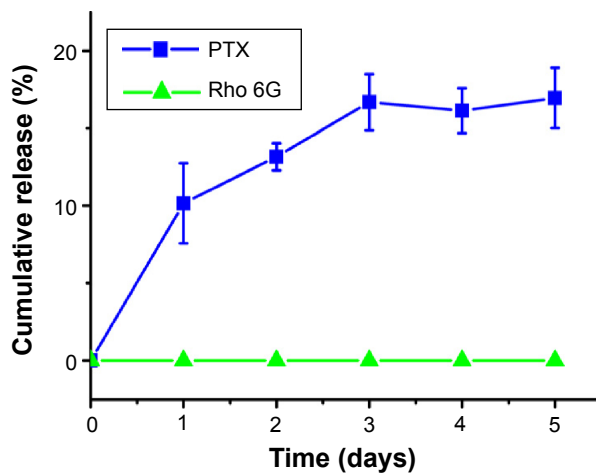


**Figure S1** Size distribution histograms of the nanoparticle test solutions used.

**Notes:** (A) Histogram for 65 nm sized nanoparticle solution. (B) Histogram for 85 nm sized nanoparticle solution. (C) Histogram for 110 nm sized nanoparticle solution.  $\mu$  represents the mean and  $\sigma$  represents the standard deviation.



**Figure S2** Positive control illustrating the uptake of free Rhodamine 6G (0.5  $\mu\text{g/mL}$ ) in the MCTS of the PANC-1 and MIA PaCa-2 cell lines. **Notes:** (A) Normalized fluorescence intensity of the MCTS of the PANC-1 and MIA PaCa-2 cell lines at different time intervals. For MCTS models of PANC-1 cell line incubated with free Rhodamine 6G at 1 hour, 6 hours, and 24 hours, (B) bright field images, (C) fluorescence images, and (D) intensity maps showing the relative fluorescent intensity of different regions of the MCTS of PANC-1. For the MCTS of the MIA PaCa-2 cell line incubated with free Rhodamine 6G at 1 hour, 6 hours, and 24 hours, (E) bright field images, (F) fluorescence images, and (G) intensity maps showing the relative fluorescent intensity of different regions of the MCTS of MIA PaCa-2. The scale bar represents 100  $\mu\text{m}$ . Error bars indicate the standard deviation and the number of samples used in each measurement ( $n=8$ ). \*Indicates statistical difference ( $P<0.05$ ) between the fluorescent measurements at that time point of each cell line, based on the single variable analysis of variance test. No statistically significant difference was found between the fluorescent values of each cell line. **Abbreviations:** MCTS, multicellular tumor spheroids; h, hours.



**Figure S3** Paclitaxel (PTX) and Rhodamine 6G (Rho 6G) release at 4°C for all the three particle sizes (65 nm, 85 nm, and 110 nm) in PBS. **Abbreviation:** PBS, phosphate buffered saline.

against PBS solution at 4°C in PBS solution. An initial, gradual release of 17.0% of Paclitaxel was observed in the first 3 days and, subsequently, no additional Paclitaxel was released on day 4 and 5. No Rhodamine 6G release was detected (Figure S3).

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