#### Check for updates

#### OPEN ACCESS

EDITED BY Fuxin Wei, Sun Yat-sen University, China

#### REVIEWED BY

Peng Yin, Capital Medical University, China Chao Chen, Huazhong University of Science and Technology, China Chen Xu, Shanghai Changzheng Hospital, China

\*CORRESPONDENCE Shi-Bao Lu spinelu@163.com

SPECIALTY SECTION

This article was submitted to Orthopedic Surgery, a section of the journal Frontiers in Surgery

RECEIVED 25 July 2022 ACCEPTED 02 September 2022 PUBLISHED 16 September 2022

#### CITATION

Wang D-F, Li X-Y, Kong C, Liu C-X, Shi B and Lu S-B (2022) Changes in T1 slope and cervical sagittal vertical axis correlate to improved neurological function recovery after cervical laminoplasty.

Front. Surg. 9:1002848.

doi: 10.3389/fsurg.2022.1002848

#### COPYRIGHT

© 2022 Wang, Li, Kong, Liu, Shi and Lu. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

# Changes in T1 slope and cervical sagittal vertical axis correlate to improved neurological function recovery after cervical laminoplasty

Dong-Fan Wang<sup>1,2</sup>, Xiang-Yu Li<sup>1,2</sup>, Chao Kong<sup>1,2</sup>, Cheng-Xin Liu<sup>1,2</sup>, Bin Shi<sup>1,2</sup> and Shi-Bao Lu<sup>1,2</sup>\*

<sup>1</sup>Department of Orthopedics, Xuanwu Hospital, Capital Medical University, Beijing, China, <sup>2</sup>National Clinical Research Center for Geriatric Diseases, Xuanwu Hospital, Capital Medical University, Beijing, China

**Purpose:** To investigate the influence of changes in T1 slope (T1S) and cervical sagittal vertical axis (CSVA) on cervical laminoplasty outcomes.

**Methods:** Eighty-one patients with cervical spondylotic myelopathy (CSM) treated with cervical laminoplasty were enrolled in this study. Demographic parameters included age and follow-up time. Imaging data included occiput-C2 lordosis (OC2), C2–C7 Cobb angle (CL), T1S, CSVA. Outcome assessment indicators included the Japanese Orthopedic Association (JOA) score, JOA recovery rate, and neck disability index (NDI). All patients were grouped based on preoperative T1S and variation in CL after surgery, respectively. Patients with decreased CL postoperatively were further grouped according to whether they were combined with T1S reduction.

**Results**: There were no significant differences in the final JOA score, JOA recovery rate, or NDI between patients with different T1S. Patients with loss of CL postoperatively had lower JOA score and JOA recovery rate, but higher NDI than patients with sustained CL. Furthermore, patients with CL loss but compensate for it with reduction in T1S had lower CSVA, higher JOA score and JOA recovery rate than those with CL loss alone.

**Conclusions:** Decreased T1S postoperatively prevents the tendency of the cervical spine to tilt forward by regulating CSVA and facilitates recovery of neurological function after cervical laminoplasty.

#### KEYWORDS

cervical laminoplasty, T1 slope, clinical outcomes, compensation mechanism, sagittal balance

# Introduction

Cervical spondylotic myelopathy (CSM) results from the nearly universal process of degeneration of the discs and joints of the cervical spine, which has been one of the most common causes of acquired spinal cord dysfunction, including paresthesia, motor weakness, gait disturbance, neck pain/radicular arm pain, hyperreflexia, even bowel/ bladder dysfunction (1, 2). Posterior expansive open-door laminoplasty (EOLP) is a

mature procedure for halting neurological function deterioration and improving the quality of life for patients with CSM who are unresponsive to conservative treatment (3). This technique reduces intramedullary pressure by allowing the cervical spinal cord to shift backwards through posterior decompression (4). The most significant advantage of EOLP is that it can be applied to multi-level compression cases and preserve the posterior stabilizing elements simultaneously (5). However, there are still possible postoperative complications such as axial pain, decreased range of motion, and loss of lordosis (5).

In recent years, the roles of spinal sagittal parameters in predicting outcomes and neurological function recovery after cervical surgery have become a focus of attention. Research by Chen et al. revealed preoperative cervical sagittal vertical axis (CSVA) was closely associated with neck pain in CSM patients treated by laminoplasty and proposed a cut-off value of the CSVA was 28.9 mm degreed with visual analogue scale >4 (6). In a retrospective study contained 64 patients who underwent cervical laminoplasty for cervical ossification of the posterior longitudinal ligament, Kim et al. demonstrated patients with higher preoperative T1 slope (T1S) had more loss of cervical lordosis (CL) after surgery and might predispose to worse clinical outcomes (7).

However, univariate analyses of the correlation between preoperative sagittal parameters and clinical outcomes are incomprehensive as the cervical and adjacent segments may change simultaneously after surgery to maintain sagittal balance and horizontal gaze (8). Therefore, figuring out the impact of variation in cervical sagittal parameters on suboptimal surgical outcomes after cervical laminoplasty could serve as a significant reference for clinical practice. We present the following hypotheses: (1) preoperative T1S is uncorrelated with postoperative clinical outcomes and (2) the reduction of T1S after cervical laminoplasty is a compensatory mechanism of loss of cervical lordosis (CL) and can halt CSVA tilting forward, which may contribute to the improvement of clinical outcomes. We conduct the present study with the following aims: (1) to measure changes in T1S and CSVA after EOLP and (2) to investigate how variations in T1S and CSVA affect clinical outcomes after cervical laminoplasty.

# Materials and methods

#### Patient population

After being approved by the Ethics Committee of Capital Medical University Xuanwu Hospital (approval number: 2018014), a retrospective review of patients who underwent cervical laminoplasty between February 2018 and October 2020 was performed. The inclusion criteria were: (1) age >18 years; (2) clinical presentations indicating cervical spinal cord compression; (3) imaging and neuroelectrophysiological examinations revealing developmental cervical spinal stenosis, multilevel cervical disk herniation, or ossification of the posterior longitudinal ligament; (4) treated by EOLP; and (5) follow-up for at least 12 months. The exclusion criteria were: (1) history of other spine surgery; (2) combined with tumors, tuberculosis, or trauma; and (3) incomplete follow-up or imaging data. A total of 81 patients were eligible eventually.

### Groups

All patients were grouped according to a median preoperative T1S to assess the correlation between T1S and clinical outcomes. For probing variations in sagittal parameters after surgery, patients were divided into the CL sustained group and the CL loss group based on whether they were complicated with loss of CL after laminoplasty. Furthermore, patients with postoperative CL decreasing were further grouped into the T1S sustained subgroup and the T1S decreased subgroup to investigate the compensatory mechanism of T1S to cervical sagittal malalignment. **Figure 1** illustrates the flow chart of this study.

## Surgical procedures

The surgical procedure was performed based on the Hirabayashi method (9) with some modifications. The patient was placed in the prone position with an upward cranial angle of 15-20°. A Mayfield skull clamp was used to immobilize the head. An incision was made on the posterior midline of the cervical spine. The spinous process, lamina, and bilateral lateral mass were exposed. Some of the spinous processes were removed using a rongeur. The paraspinal muscle of C2, especially the semispinalis, was preserved. A high-speed drill was used to create gutters on the bilateral laminae at the border of the laminae and facets. The lamina of the side with more significant clinical symptoms was completely severed and used as the open side. The other side of the lamina was partially cut, with the ventral cortex preserved to form the hinge side. A thin-bladed Kerrison rongeur was used to remove ligamentum flava at the cranial and caudal ends of the intended laminar expansion to facilitate opening the lamina. The laminae were then lifted carefully to prevent hinge breakage and expand the spinal canal diameter. The appropriate-sized Centerpiece laminoplasty plate (Medtronic Sofamor Danek) was placed at each level secured by a single screw onto the lamina and two screws at the level of the lateral masses. The excised spinous process mixed with artificial bone was used for bone grafting on the hinge side. The surgical wound was closed in layers after all the cervical levels had a laminoplasty plate. Patients



were asked to wear a collar for 4–6 weeks postoperatively. All operations were performed by the same surgeon.

## Radiological parameters

A standing neutral lateral radiograph of the cervical and the global spine was obtained with patients facing forward and in a horizontal gaze (defined as  $-10^{\circ} \le$  chin-brow to vertical angle  $\le 10^{\circ}$  (10) before surgery and at the last follow-up. Radiological parameters measured included: occiput-C2 lordosis (OC2, the angle between the McGregor line and the

inferior endplate of the C2), cervical lordosis (CL, the angle between the inferior endplate of C2 and the inferior endplate of C7), T1 slope (T1S, the angle between a horizontal line and the superior endplate of T1), CSVA (the distance from the posterior, superior corner of C7 to the plumbline from the centroid of C2). To patients with invisible T1S on the cervical radiography, the value of superior C7 slope was utilized to substitute for T1S (11, 12). Cervical parameters were measured using neutral lateral cervical x-rays. Changes of parameters were calculated as final follow-up data minus preoperative data. All the radiographic evaluations were completed by 2 independent spine surgeons who were not involved in the program. Measurements of sagittal parameters are illustrated in Figure 2.

## **Clinical parameters**

Japanese Orthopedic Association (JOA) score and neck disability index (NDI) were performed to assessment healthrelated quality of life (HRQOL) (13, 14). The JOA recovery rate, calculated as (postoperative JOA score—preoperative JOA score)×100%, was used to evaluate the improvement of cervical neurological function. A JOA recovery rate of 100% indicated being cured; >60% indicated significantly effective; 25%–60% indicated effective; <25% indicated ineffective. An NDI <10% indicated no disability; 10%–30% indicated mild disability; 30%–50% indicated moderate disability; 50%–70% indicated severe disability; >70% indicated complete disability. Preoperative data were obtained from the medical records. Postoperative data were collected from outpatient follow-up records.

#### Statistical analysis

All data were analyzed using SPSS Statistics (version 26.0, IBM Corp., Armonk, NY, USA). Continuous variables were



Measurements of cervical sagittal parameters utilized in this study.

compared between groups using the independent-samples *t*-test, Mann-Whitney *U* test, and paired-sample *t*-test. The chi-square test was used to compare composition ratios. Statistical significance was set at a level of P < 0.05. The results were presented as mean value ± standard deviation.

## Results

A total of 81 patients (48 males and 33 females, average age  $64.69 \pm 9.73$  years) with a  $17.88 \pm 6.43$  months follow-up were included. Table 1 summarizes cervical radiological and clinical parameters changes between the preoperative period and final follow-up. OC2 increased from  $24.62 \pm 6.92^{\circ}$  to  $27.63 \pm 7.49^{\circ}$ . CL decreased from  $14.00 \pm 8.59^{\circ}$  to  $10.30 \pm 8.38^{\circ}$ . Patients benefited from EOLP with an increase in JOA score and a decrease in NDI.

To investigate the influence of preoperative T1S on clinical outcomes, patients were grouped according to the median preoperative T1S. Mean age of the low T1S group was younger than that of the high T1S group. Radiological parameters in terms of CL, T1S, and CSVA were significant greater in the high T1S group, while changes in these three parameters after cervical laminoplasty showed no difference in statistics. Concerning the clinical parameters, the final JOA score, JOA recovery rate, and final NDI were similar between groups (Table 2).

Since the preoperative T1S did not make an influence on clinical outcomes of patients with CSM based on our data, patients were regrouped by the change of CL: patients with decreased CL postoperatively belonged to the CL loss group, patients with unchanged or increased CL belonged to the CL sustained group. Compared with that in the CL loss group, the final JOA score and JOA recovery rate were statistically greater, the final NDI was lower in the CL sustained group. Moreover, though there was no significant difference in

TABLE 1 Changes of radiological parameters and clinical parameters between preoperative period and final follow-up period.

Parameters	Preoperative (n = 81)	Final follow-up (n = 81)	Р	
OC2 (°)	24.62 ± 6.92	$27.63 \pm 7.49$	0.000*	
CL (°)	$14.00\pm8.59$	$10.30\pm8.38$	0.000*	
T1S (°)	$24.24\pm6.18$	$24.17\pm7.07$	0.928	
CSVA (mm)	$23.25 \pm 12.12$	$24.35 \pm 12.59$	0.425	
JOA score	$12.00 \pm 1.88$	$14.72\pm1.20$	0.000*	
NDI (%)	$30.43 \pm 18.44$	$13.80 \pm 10.04$	0.000*	

OC2, occiput-C2 lordosis; CL, cervical lordosis; T1S, T1 slope; CSVA, cervical sagittal vertical axis; JOA, Japanese orthopedic association; NDI, neck disability index.

\*P<0.01.

CL loss

(n = 46)

65.29 ± 9.87

 $19.03 \pm 6.92$ 

10

17

19

 $24.74 \pm 6.87$ 

 $0.19 \pm 0.23$ 

 $14.70 \pm 9.26$ 

 $-6.20 \pm 5.01$ 

 $23.77 \pm 5.82$ 

 $-2.88 \pm 5.54$ 

Р

0.586

0.112

0.563

0.875

0.065

0.473

0.000\*\*

0.505

0.010\*

Parameters	Low T1S ( <i>n</i> = 40)	High T1S ( <i>n</i> = 41)	Р	
Demographic parameters				
Age (years)	$61.80 \pm 8.61$	$68.81 \pm 9.93$	0.010*	
Follow-up (months)	$17.53 \pm 6.30$	$18.38\pm6.73$	0.648	
Operation level			0.696	
C3-6	9	12		
C4-7	13	14		
C3-7	18	15		
Radiological parameters				
Pre-op OC2 (°)	$25.99 \pm 6.78$	$22.67\pm6.79$	0.093	
ΔOC2 (°)	$0.14\pm0.24$	$0.16\pm0.22$	0.794	
Pre-op CL (°)	$11.60\pm7.98$	$17.44 \pm 8.43$	0.015*	
$\Delta CL$ (°)	$-3.82\pm5.74$	$-3.53\pm4.11$	0.844	
Pre-op T1S (°)	$20.17\pm3.91$	$30.06\pm3.60$	0.000**	
ΔT1S (°)	$-1.98\pm5.76$	$-0.46\pm4.61$	0.320	
Pre-op CSVA (mm)	$20.43 \pm 8.99$	$27.28 \pm 14.87$	0.046*	
$\Delta$ CSVA (mm)	$1.61 \pm 10.56$	$0.37\pm8.70$	0.658	
Clinical parameters				
Pre-op JOA score	$12.00\pm2.00$	$12.00\pm1.73$	1.000	
Final JOA score	$14.70 \pm 1.32$	$14.76 \pm 1.04$	0.858	
JOA recovery rate (%)	$52.78 \pm 24.78$	$53.98 \pm 17.43$	0.849	
Pre-op NDI (%)	$30.93 \pm 16.66$	$29.71 \pm 21.14$	0.819	
Final NDI (%)	$14.60\pm9.81$	$12.67\pm10.49$	0.504	

TABLE	2 (	Compariso	on	of	radi	ologica	al p	barar	neter	s a	and	clinica	ι
paramet	ers	between	the	low	T1S	group	and	the	high	T1S	gro	up.	

TABLE	3	Compariso	n	of	radiologi	cal p	arame	eters	and	clinical
parame	ter	s between t	he	CL	sustained	group	and t	he CL	loss	group.

CL sustained

(n = 35)

63.75 ± 9.67

 $16.10 \pm 5.25$ 

11

10

14

24.43 + 7.18

 $0.07 \pm 0.21$ 

 $12.92 \pm 7.52$ 

 $0.17 \pm 1.58$ 

 $24.97 \pm 6.80$ 

 $1.00 \pm 4.05$ 

Pre-op CSVA (mm)  $24.65 \pm 11.25$  $22.35 \pm 12.75$ 0.515  $\Delta CSVA (mm)$  $-0.55 \pm 9.93$  $2.16\pm9.67$ 0.337 Clinical parameters Pre-op JOA score  $12.55 \pm 1.73$  $11.64 \pm 1.91$ 0.093 Final JOA score  $15.45 \pm 0.89$  $14.26 \pm 1.15$ 0.000\*\* 0.008\*\* JOA recovery rate (%) 63.14 + 23.8246.91 + 18.19 Pre-op NDI (%) 30.30 ± 19.28  $30.52 \pm 18.20$ 0.968 Final NDI (%)  $16.32 \pm 10.38$ 0.024\*  $9.90 \pm 8.30$ OC2, occiput-C2 lordosis; CL, cervical lordosis; T1S, T1 slope; CSVA, cervical sagittal vertical axis; JOA, Japanese Orthopedic Association; NDI, neck

OC2, occiput-C2 lordosis; CL, cervical lordosis; T1S, T1 slope; CSVA, cervical sagittal vertical axis: JOA. Japanese orthopedic association: NDI, neck disability index. \*P<0.05.

\*\*P<0.01

preoperative T1S between the groups, T1S decreased significantly in the CL loss group (Table 3).

We hypothesized that the reduction of T1S in the CL loss group might affect the clinical outcomes. Thus, patients with postoperative LCL were further divided into two subgroups according to whether T1S decreased. Most notably, the T1S decreased subgroup had greater final JOA score and JOA recovery rate in statistics than the T1S sustained subgroup. CSVA tended to increase in the T1S sustained subgroup, while it reduced significantly in the T1S decreased subgroup (Table 4).

# Discussion

Posterior laminoplasty generates an indirect decompression effect resulting from the posterior shift of the spinal cord from the anterior compressive lesions. This procedure successfully manages patients with CSM.

disability index. \*P < 0.05.

\*\*P<0.01.

**Parameters** 

Age (years) Follow-up (months)

C3-6

C4-7

C3-7

 $\Delta OC2$  (°)

 $\Delta CL$  (°)

AT1S (°)

Pre-op CL (°)

Pre-op T1S (°)

Radiological parameters Pre-op OC2 (°)

Operation level

Demographic parameters

Previous studies demonstrated that patients could achieve acceptable recovery of neurological function after posterior laminoplasty (15, 16). Nevertheless, there remain potential postoperative complications. Because of the destruction of the facet joint or damage to the paravertebral muscles and their attachments to the spinous processes, the cervical spine might show loss of lordosis and a tendency to tilt forward (17, 18). Diminished lordosis may elevate spinal intramedullary pressure and affect neurological function recovery (19). In the present study, LCL occurred after surgery in 46 (56.8%) patients.

Many previous studies explored the relationship between preoperative sagittal parameters and outcomes after cervical laminoplasty. Rao et al. reported that T1S-CL mismatching (T1S-CL > 20°) predicted worse postoperative NDI and JOA recovery rate in patients with CSM who underwent EOLP (20). Furthermore, Oshima et al. showd CSM patients with preoperative SVA > 50 mm had lower clinical outcome scores

Parameters	T1S sustained $(n = 21)$	T1S decreased $(n = 25)$	Р
Demographic parameters			
Age (years)	$65.00 \pm 10.70$	$65.50 \pm 9.54$	0.892
Follow-up (months)	$18.92\pm6.73$	$19.11\pm7.25$	0.942
Operation level			0.911
C3-6	5	5	
C4-7	8	9	
C3-7	8	11	
Radiological parameters			
Pre-op OC2 (°)	$23.35\pm8.36$	$25.76\pm5.60$	0.343
ΔOC2 (°)	$0.14\pm0.26$	$0.24\pm0.21$	0.287
Pre-op CL (°)	$18.25\pm11.54$	$12.14\pm6.49$	0.069
$\Delta CL$ (°)	$-6.45\pm3.84$	$-6.03\pm5.82$	0.823
Pre-op T1S (°)	$26.45 \pm 4.25$	$21.83 \pm 6.12$	0.026*
ΔT1S (°)	$1.98\pm3.58$	$-6.39\pm3.75$	0.000**
Pre-op CSVA (mm)	$21.98 \pm 12.41$	$22.63 \pm 13.34$	0.891
$\Delta$ CSVA (mm)	$7.82\pm8.73$	$-1.92\pm8.32$	0.004**
Clinical parameters			
Pre-op JOA score	$11.77 \pm 1.69$	$11.56\pm2.09$	0.764
Final JOA score	$13.77\pm0.93$	$14.61 \pm 1.20$	0.043*
JOA recovery rate (%)	$36.91 \pm 8.02$	$54.13\pm20.17$	0.003**
Pre-op NDI (%)	$29.85 \pm 19.07$	$31.00 \pm 18.09$	0.865
Final NDI (%)	$16.46 \pm 11.20$	$16.22\pm10.08$	0.951

TABLE 4 Comparison of radiological parameters and clinical parameters between the T1S sustained subgroup and the T1S decreased subgroup.

OC2, occiput-C2 lordosis; CL, cervical lordosis; T1S, T1 slope; CSVA, cervical sagittal vertical axis; JOA, Japanese orthopedic association; NDI, neck disability index.

\**P* < 0.05.

\*\*P<0.01.

after cervical laminoplasty (21). Nori et al. also demonstrated C7 slope  $\geq$  30° correlated to lower postoperative JOA score and JOA recovery rate (22). Among all the sagittal parameters, T1S is closely associated with the shape of the cervical spine pre- and postoperatively (23, 24). Zhang et al. demonstrated that preoperative T1S was significantly correlated with LCL after laminoplasty in patients with CSM (25). Pan et al. showed that CSM patients with lower preoperative T1S had less neck pain during postoperative follow-up (17). In the present study, although there were differences in the preoperative cervical spine alignment between the lower T1S group and the greater T1S group, there were no significant variations in the JOA score, JOA recovery rate, or NDI at final follow-up (Table 2). Consistent with our research, Cho et al. showed that VAS, JOA score, NDI, and SF-36 at final follow-up were not affected by preoperative T1S in patients with CSM who underwent laminoplasty (26). The thoracolumbar sagittal balance influences T1S, and it changes reciprocally with the variation of spinal sagittal alignment. Hence, univariate analysis of the correlation between preoperative T1S and final clinical outcomes may be biased.

As mentioned previously, postoperative LCL after cervical laminoplasty is a common phenomenon which might exert a negative impact on clinical outcomes (5). Patients were divided into the CL sustained group and the CL loss group, and radiological/clinical parameters were compared between groups (Table 3). LCL contributes to progressive kyphotic alignment change, leading to postoperative residual anterior compression and worse outcomes at long-term follow-up (27). Consistently, the CL loss group had a lower final JOA score and JOA recovery rate, but higher final NDI at the final follow-up in our study. Similarly, Xu et al. found that postoperative LCL indicated worse JOA score and JOA recovery rate in laminoplasty treated patients (28). Moreover, we also found that T1S decreased significantly after surgery in the CL loss group. T1S was positively correlated with CL, which means a greater T1S yielded a greater magnitude of CL in the asymptomatic population (10). Thus, we speculated that the decrease of T1S in the CL loss group was a compensatory mechanism of LCL after cervical laminoplasty to maintain an appropriate alignment.

It remains unclear whether the decrease of T1S improves outcomes in patients who undergo cervical laminoplasty. According to the change of T1S, the CL loss group was further divided into the T1S sustained and T1S decreased subgroups. Results illustrated there was no significant difference in preoperative cervical sagittal parameters and thoracolumbar sagittal parameters except for T1S between the two subgroups (Table 4). The T1S sustained subgroup had lower JOA scores and JOA recovery rate at the final follow-up. The variation in CSVA was positive and significantly higher in the T1S sustained group, which means the cervical spine tended to tilt forward. Smith et al. assessed 56 patients with CSM and reported that improved JOA score was negatively correlated with CSVA (29). In a study of 249 patients who underwent EOLP, Zhang et al. also showed that preoperative CSVA and postoperative CSVA were both associated with postoperative axial symptoms (30). Larger CSVA correlated with higher intramedullary cord pressure, which results in histologic changes in the spinal cord and deterioration of neurological function (19, 31). The reduction of T1S improved neurological function recovery after cervical laminoplasty by regulating CSVA.

OC2 (a description of upper cervical shape) is measured by the angle subtended by the McGregor line of sight and a parallel line along the inferior endplate of C2. Previous studies demonstrated that OC2 and CL work inversely. Loss of lordosis in the subaxial cervical spine can be compensated for by the hyperlordotic upper cervical spine (8, 32, 33). In our study, the increase of OC2 compensated for LCL in patients



who underwent cervical laminoplasty (**Table 1**). Nevertheless, there were no significant differences in preoperative OC2 or change of OC2 between the CL sustained group and the CL loss group. These findings suggest that decreased T1S is the primary compensatory mechanism of CL loss and contributes to postoperative function recovery, while the increase of OC2 might be responsible for maintaining horizontal gaze.

There are still several limitations in this study. First, because of the study's retrospective nature, only the data contained in the medical records could be analyzed. Second, the sample size was relatively small and from a single center. Third, postoperative thoracolumbar parameters, which could influence the change of T1S, were not included. Prospective and well-designed studies will be necessary to identify the compensatory mechanisms associated with postoperative neurological function recovery.

## Patient presentation

Patient 1 (CL sustained group; Figure 3): A 65-year-old male with a 15-month follow-up. The preoperative CL was 17.6°, the preoperative T1S was 23.8°. Preoperative JOA

score and NDI were 12 and 12%, respectively. CL and T1S were 18.5° and 24.3° at final follow-up, respectively. The change of CSVA was +1.1 mm. JOA score increased from 12 to 16, while NDI decreased from 12% to 6%. The JOA recovery rate was 80%.

Patient 2 (CL loss group, T1S decreased subgroup; **Figure 4**): A 65-year-old male with a 15-month followup. The preoperative CL was 7.1°, the preoperative T1S was 26.5°. Preoperative JOA score and NDI were 12 and 10%, respectively. CL and T1S were 2.3° and 21.4° at final follow-up, respectively. The change of CSVA was -2 mm. JOA score increased from 12 to 15, while NDI decreased from 10% to 6%. The JOA recovery rate was 60%.

Patient 3 (CL loss group, T1S sustained subgroup; **Figure 5**): A 56-year-old female with a 14-month followup. The preoperative CL was 18.8°, the preoperative T1S was 23.1°. Preoperative JOA score and NDI were 11 and 30%, respectively. CL and T1S were 10.5° and 23.3° at final follow-up, respectively. The change of CSVA was +5.7 mm. JOA score increased from 11 to 14, while NDI decreased from 30% to 14%. The JOA recovery rate was 50%.



Patient case of the CL loss group, T1S decreased subgroup. (A) Preoperative lateral cervical radiograph (CL =  $7.1^{\circ}$ , T1S =  $26.5^{\circ}$ , CSVA = 34.8 mm). (B) Lateral cervical radiograph at final follow-up (15 months after surgery, CL =  $2.3^{\circ}$ , T1S =  $21.4^{\circ}$ , CSVA = 32.8 mm).



#### FIGURE 5

Patient case of the CL loss group, T1S sustained subgroup. (A) Preoperative lateral cervical radiograph (CL =  $18.8^{\circ}$ , T1S =  $23.1^{\circ}$ , CSVA = 26.4 mm). (B) Lateral cervical radiograph at final follow-up (14 months after surgery, CL =  $10.5^{\circ}$ , T1S =  $23.3^{\circ}$ , CSVA = 32.1 mm).

# Conclusion

The decrease of T1S is a compensatory mechanism of LCL in patients who undergo cervical laminoplasty. Decreased T1S prevents the tendency of the cervical spine to tilt forward by regulating CSVA, which facilitates the recovery of neurological function postoperatively.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author/s.

# **Ethics statement**

The studies involving human participants were reviewed and approved by The Ethics Committee of Capital Medical University Xuanwu Hospital (approval number: 2018014). Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

# Author contributions

D-FW: Writing, Reviewing, Editing, Methodology and Data Curation; X-YL: Writing, Data Curation and Supervision; C-XL,

# References

1. Bono CM, Ghiselli G, Gilbert TJ, Kreiner DS, Reitman C, Summers JT, et al. An evidence-based clinical guideline for the diagnosis and treatment of cervical radiculopathy from degenerative disorders. *Spine J.* (2011) 11(1):64–72. doi: 10. 1016/j.spinee.2010.10.023

2. Kalsi-Ryan S, Karadimas SK, Fehlings MG. Cervical spondylotic myelopathy: the clinical phenomenon and the current pathobiology of an increasingly prevalent and devastating disorder. *Neuroscientist.* (2013) 19(4):409–21. doi: 10. 1177/1073858412467377

 Chiba K, Ogawa Y, Ishii K, Takaishi H, Nakamura M, Maruiwa H, et al. Long-term results of expansive open-door laminoplasty for cervical myelopathy-average 14-year follow-up study. Spine. (2006) 31(26):2998–3005. doi: 10.1097/01.brs.0000250307.78987.6b

4. Maeno T, Okuda S, Yamashita T, Matsumoto T, Yamasaki R, Oda T, et al. Age-related surgical outcomes of laminoplasty for cervical spondylotic myelopathy. *Global Spine J.* (2015) 5(2):118–23. doi: 10.1055/s-0034-1396759

5. Cho SK, Kim JS, Overley SC, Merrill RK. Cervical laminoplasty: indications, surgical considerations, and clinical outcomes. *J Am Acad Orthop Surg.* (2018) 26 (7):e142–e52. doi: 10.5435/jaaos-d-16-00242

6. Chen HY, Yang MH, Lin YP, Lin FH, Chen PQ, Hu MH, et al. Impact of cervical sagittal parameters and spinal cord morphology in cervical spondylotic myelopathy status post spinous process-splitting laminoplasty. *Eur Spine J.* (2020) 29(5):1052–60. doi: 10.1007/s00586-019-06247-z

7. Kim B, Yoon DH, Ha Y, Yi S, Shin DA, Lee CK, et al. Relationship between T1 slope and loss of lordosis after laminoplasty in patients with cervical

BS: Resources; CK: Validation and software; S-BL: Supervision. All authors contributed to the article and approved the submitted version.

# Funding

This subject was supported by grants from Beijing Hospitals Authority Ascent Plan (DFL20190802) and Beijing Hospitals Authority Clinical Medicine Development of special funding support (XMLX202116).

# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

# Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

ossification of the posterior longitudinal ligament. Spine J. (2016) 16(2):219–25. doi: 10.1016/j.spinee.2015.10.042

8. Le Huec JC, Thompson W, Mohsinaly Y, Barrey C, Faundez A. Sagittal balance of the spine. *Eur Spine J.* (2019) 28(9):1889–905. doi: 10.1007/s00586-019-06083-1

9. Hirabayashi K, Watanabe K, Wakano K, Suzuki N, Satomi K, Ishii Y. Expansive open-door laminoplasty for cervical spinal stenotic myelopathy. *Spine*. (1983) 8(7):693–9. doi: 10.1097/00007632-198310000-00003

10. Scheer JK, Tang JA, Smith JS, Acosta Jr FL, Protopsaltis TS, Blondel B, et al. Cervical spine alignment, sagittal deformity, and clinical implications: a review. *J Neurosurg Spine*. (2013) 19(2):141–59. doi: 10.3171/2013.4.Spine12838

11. Tamai K, Buser Z, Paholpak P, Sessumpun K, Nakamura H, Wang JC. Can C7 slope substitute the T1 slope? An analysis using cervical radiographs and kinematic MRIs. *Spine.* (2018) 43(7):520–5. doi: 10.1097/brs. 000000000002371

12. Inoue T, Ando K, Kobayashi K, Nakashima H, Ito K, Katayama Y, et al. Agerelated changes in T1 and C7 slope and the correlation between them in more than 300 asymptomatic subjects. *Spine*. (2021) 46(8):E474–e81. doi: 10.1097/brs. 000000000003813

13. Kato S, Oshima Y, Oka H, Chikuda H, Takeshita Y, Miyoshi K, et al. Comparison of the Japanese Orthopaedic Association (JOA) score and modified JOA (mJOA) score for the assessment of cervical myelopathy: a multicenter observational study. *PLoS One.* (2015) 10(4):e0123022. doi: 10.1371/journal. pone.0123022

14. Howell ER. The association between neck pain, the Neck Disability Index and cervical ranges of motion: a narrative review. *J Can Chiropr Assoc.* (2011) 55(3):211–21.

15. Kawaguchi Y, Kanamori M, Ishihara H, Ohmori K, Nakamura H, Kimura T. Minimum 10-year followup after en bloc cervical laminoplasty. *Clin Orthop Relat Res.* (2003) 411:129–39. doi: 10.1097/01.blo.0000069889.31220.62

16. Ratliff JK, Cooper PR. Cervical laminoplasty: a critical review. J Neurosurg. (2003) 98(3 Suppl):230–8. doi: 10.3171/spi.2003.98.3.0230.

17. Pan Y, Ma X, Feng H, Chen C, Qin Z, Huang Y. Effect of posterior cervical expansive open-door laminoplasty on cervical sagittal balance. *Eur Spine J.* (2020) 29(11):2831–7. doi: 10.1007/s00586-020-06563-9

18. Aita I, Wadano Y, Yabuki T. Curvature and range of motion of the cervical spine after laminaplasty. *J Bone Joint Surg Am.* (2000) 82(12):1743–8. doi: 10. 2106/00004623-200012000-00008

19. Chavanne A, Pettigrew DB, Holtz JR, Dollin N, Kuntz C. Spinal cord intramedullary pressure in cervical kyphotic deformity: a cadaveric study. *Spine*. (2011) 36(20):1619–26. doi: 10.1097/BRS.0b013e3181fc17b0

20. Rao H, Huang Y, Lan Z, Xu Z, Li G, Xu W. Does preoperative T1 slope and cervical lordosis mismatching affect surgical outcomes after laminoplasty in patients with cervical spondylotic myelopathy? *World Neurosurg.* (2019) 130: e687–93. doi: 10.1016/j.wneu.2019.06.193

21. Oshima Y, Takeshita K, Taniguchi Y, Matsubayashi Y, Doi T, Ohya J, et al. Effect of preoperative sagittal balance on cervical laminoplasty outcomes. *Spine*. (2016) 41(21):E1265–e70. doi: 10.1097/brs.00000000001615

22. Nori S, Shiraishi T, Aoyama R, Ninomiya K, Yamane J, Kitamura K, et al. Extremely high preoperative C7 slope limits compensatory cervical lordosis after muscle-preserving selective laminectomy. *Eur Spine J.* (2018) 27 (8):2029–37. doi: 10.1007/s00586-018-5588-y

23. Ames CP, Blondel B, Scheer JK, Schwab FJ, Le Huec JC, Massicotte EM, et al. Cervical radiographical alignment: comprehensive assessment techniques and potential importance in cervical myelopathy. *Spine.* (2013) 38(22 Suppl 1): S149–60. doi: 10.1097/BRS.0b013e3182a7f449

24. Kim TH, Lee SY, Kim YC, Park MS, Kim SW. T1 slope as a predictor of kyphotic alignment change after laminoplasty in patients with cervical myelopathy. *Spine*. (2013) 38(16):E992–7. doi: 10.1097/BRS.0b013e3182972e1b

25. Zhang JT, Li JQ, Niu RJ, Liu Z, Tong T, Shen Y. Predictors of cervical lordosis loss after laminoplasty in patients with cervical spondylotic myelopathy. *Eur Spine J.* (2017) 26(4):1205–10. doi: 10.1007/s00586-017-4971-4

26. Cho JH, Ha JK, Kim DG, Song KY, Kim YT, Hwang CJ, et al. Does preoperative T1 slope affect radiological and functional outcomes after cervical laminoplasty? *Spine*. (2014) 39(26):E1575–81. doi: 10.1097/brs. 000000000000614

27. Sakai K, Yoshii T, Hirai T, Arai Y, Torigoe I, Tomori M, et al. Cervical sagittal imbalance is a predictor of kyphotic deformity after laminoplasty in cervical spondylotic myelopathy patients without preoperative kyphotic alignment. *Spine*. (2016) 41(4):299–305. doi: 10.1097/brs.000000000001206

28. Xu C, Zhang Y, Dong M, Wu H, Yu W, Tian Y, et al. The relationship between preoperative cervical sagittal balance and clinical outcome of laminoplasty treated cervical ossification of the posterior longitudinal ligament patients. *Spine J.* (2020) 20(9):1422–9. doi: 10.1016/j.spinee.2020.05.542

29. Smith JS, Lafage V, Ryan DJ, Shaffrey CI, Schwab FJ, Patel AA, et al. Association of myelopathy scores with cervical sagittal balance and normalized spinal cord volume: analysis of 56 preoperative cases from the AOSpine North America Myelopathy study. *Spine*. (2013) 38(22 Suppl 1):S161–70. doi: 10.1097/ BRS.0b013e3182a7eb9e

30. Zhang X, Gao Y, Gao K, Yu Z, Lv D, Ma H, et al. Factors associated with postoperative axial symptom after expansive open-door laminoplasty: retrospective study using multivariable analysis. *Eur Spine J.* (2020) 29 (11):2838–44. doi: 10.1007/s00586-020-06494-5

31. Shimizu K, Nakamura M, Nishikawa Y, Hijikata S, Chiba K, Toyama Y. Spinal kyphosis causes demyelination and neuronal loss in the spinal cord: a new model of kyphotic deformity using juvenile Japanese small game fowls. *Spine.* (2005) 30(21):2388–92. doi: 10.1097/01.brs.0000184378.67465.5c

32. Lee SH, Hyun SJ, Jain A. Cervical sagittal alignment: literature review and future directions. *Neurospine*. (2020) 17(3):478-96. doi: 10.14245/ns. 2040392.196

33. Núñez-Pereira S, Hitzl W, Bullmann V, Meier O, Koller H. Sagittal balance of the cervical spine: an analysis of occipitocervical and spinopelvic interdependence, with C-7 slope as a marker of cervical and spinopelvic alignment. *J Neurosurg Spine*. (2015) 23(1):16–23. doi: 10.3171/2014.11. Spine14368