

An external evaluation of the Diarrhea Alleviation through Zinc and ORS Treatment (DAZT) program in Gujarat and Uttar Pradesh, India

Laura M Lamberti¹, Sunita Taneja², Sarmila Mazumder², Amnesty LeFevre¹, Robert E Black¹, Christa L Fischer Walker¹

¹ Johns Hopkins Bloomberg School of Public Health. Department of International Health, Baltimore, MD, USA

² Society for Applied Studies, Centre for Health Research and Development, New Delhi, India

Correspondence to:

Laura Lamberti Johns Hopkins Bloomberg School of Public Health Department of International Health Baltimore MD USA Ilamber3@jhu.edu **Background** To address inadequate coverage of oral rehydration salts (ORS) and zinc supplements for the treatment of diarrhea among children under–five, the Diarrhea Alleviation through Zinc and ORS Treatment (DAZT) program was carried out from 2011–2013 in Gujarat and from 2011–2014 in Uttar Pradesh (UP), India. The program focused on improving the diarrhea treatment practices of public and private sector providers.

Methods We conducted cross–sectional household surveys in program districts at baseline and endline and constructed state–specific logistic regression models with generalized estimating equations to assess changes in ORS and zinc treatment during the program period.

Results Between baseline and endline, zinc coverage increased from 2.5% to 22.4% in Gujarat and from 3.1% to 7.0% in UP; ORS coverage increased from 15.3% to 39.6% in Gujarat but did not change in UP. In comparison to baseline, children with diarrhea in the twoweeks preceding the endline survey had higher odds of receiving zinc treatment in both Gujarat (odds ratio, OR=11.2; 95% confidence interval (CI) 6.4–19.3) and UP (OR=2.4; 95% CI 1.4–3.9), but the odds of receiving ORS only increased in Gujarat (OR=3.6; 95% CI 2.7–4.8; UP OR=0.9; 95% CI 0.7–1.2). Seeking care outside the home, especially from a public sector source, was associated with higher odds of receiving ORS and zinc.

Conclusions During the duration of the DAZT program, there were modest improvements in the treatment of diarrhea among young children. Future programs should build upon and accelerate this trend with continued investment in public and private sector provider training and supply chain sustainability, in addition to targeted caregiver demand generation activities.

Despite absolute reductions in the global number of diarrhea–attributable deaths among children under–five over the past decade, diarrhea remains a leading cause of mortality in this age group [1]. In 2013, diarrhea caused an estimated 578000 of the total 6.3 million under–five deaths [1]. In India, the number of under–five deaths attributable to diDiarrhea is also responsible for significant morbidity among children in low– and middle–income countries worldwide. There were an estimated 1.731 billion episodes of diarrhea among children under–five in 2010, approximately 98% of which were mild or moderate [2]. Repeat bouts of less severe episodes that do not progress to death can result in long–term sequelae, such as poor nutritional status, stunting and subsequent decreases in cognitive function [2-4]. In India, this risk is substantial with children aged 0–5, 6–11, 12–23 and 24–59 months experiencing an average of 2.5, 3.8, 3.1 and 2.0 diarrheal episodes per year, respectively [5].

The diarrhea treatment guidelines supported by the Government of India and the Indian Academy of Pediatrics are in accordance with the WHO/UNICEF guidelines that include reduced osmolarity oral rehydration salts (ORS) and 14 days of zinc supplementation (20 mg of zinc/d for children \geq 6 months and 10 mg of zinc/d for children 2–5 months of age) [6,7]. However, despite national recommendations, the most recent National Family Health Survey (NFHS) reported ORS coverage of 26% and zinc coverage of less than 1% [8]. Focused scale–up efforts are therefore warranted but have been slow to roll–out in many states. In response to this need, the Bill and Melinda Gates Foundation funded the Diarrhea Alleviation through Zinc and ORS Treatment (DAZT) program in Gujarat from 2011–2013 and in Uttar Pradesh (UP) from 2011–2014.

The main objective of the DAZT program was to scale-up adequate treatment of diarrhea among children under-five

through public and private sector channels in selected districts. Micronutrient Initiative (MI) and FHI360 were tasked with carrying out project activities in the public and private sectors, respectively. The Johns Hopkins Bloomberg School of Public Health Institute for International Programs (JHSPH IIP) and in–country partner, the Society for Applied Studies (SAS), were responsible for conducting a large–scale external effectiveness evaluation to assess changes in diarrhea careseeking and ORS and zinc coverage over the project period. In this paper, we present the results of household coverage surveys conducted before and after program implementation in both states as part of this effectiveness evaluation. The baseline coverage surveys were carried out in 2011 in both states and the endline surveys were conducted in 2013 in Gujarat and in 2014 in UP.

METHODS

Evaluation context: study population

Gujarat and UP are representative of the various sub–national child health and economic development contexts existent within India. Of the 29 Indian states, Gujarat has the third highest GDP per capita, whereas UP ranks 26th [9]. According to the 2011 census, Gujarat's population of 60 million is the 9th largest in India but is small in comparison to that of UP, which is the most highly populated state with over 199 million inhabitants [10]. The DAZT program was implemented in 6 districts in Gujarat (**Figure 1**) with a total population of 13.2 million and approximately 2.1 million children under–five [10]. In UP, the program was implemented in 12 districts (**Figure 1**) with total and

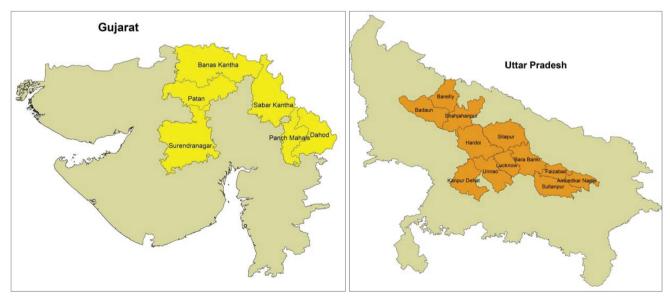


Figure 1. Map of the DAZT program districts in Gujarat and Uttar Pradesh, India. 6 program districts in Gujarat (*Banas Kantha, Dohad, Panch Mahals, Patan, Sabar Kantha Surendranagar*) and 12 program districts in UP (*Ambedkar Nagar, Bara Banki, Bareilly, Budaun, Faizabad, Hardoi, Kanpur Dehat, Lucknow, Shahjahanpur, Sitapur, Sultanpur, Unnao*). The map was generated using ArcGIS software and DIVA–GIS shapefiles [11,12].

under–five populations of approximately 41.1 million and 6.3 million, respectively [10].

In 2007, 27% of India's under–five deaths occurred in UP compared to 5% in Gujarat [13]. Although lower in Gujarat than in UP, Gujarat has the 6th highest absolute number of under–five deaths in India, outranking other states with poorer economic development and larger populations [13]. Diarrhea is a leading cause of under–five mortality in both Gujarat and UP. Prior to implementation of the DAZT program, the most recently available ORS coverage estimates of 26.3% in Gujarat and 12.5% in UP, highlighted the substantial need for focused scale–up in both states [14,15].

Evaluation context: program design

Detailed descriptions of the specific public and private sector activities of the DAZT program have been published elsewhere [16,17]. In brief, the public sector program focused on provision of training to facility–based medical officers and auxiliary nurse midwives (ANMs) and to community–level Accredited Social Health Activists (ASHAs) and Anganwadi workers (AWWs). The training sessions covered overall diarrhea prevention and management with emphasis on ORS and zinc treatment. The public sector program also addressed ORS and zinc supply shortages by securing an initial seed supply of diarrhea treatment kits (DTKs) consisting of two ORS sachets and 14 zinc tablets. These DTKs were distributed to public sector facilities in the interim period before the state and district governments assumed responsibility for supply chain management.

In the private sector, the program engaged both formally qualified doctors and informal providers. The latter cadre of informal private sector providers often lack governmentapproved degrees and/or licences and consequently operate underground; however, they provide the bulk of diarrhea treatment in many remote rural villages. In order to reach formal and informal private providers (PPs), the program implementers enlisted local non-governmental organizations (NGO) and pharmaceutical companies to visit PPs at their places of work. During these visits, the NGO and pharmaceutical representatives showed PPs videos about adequate diarrhea treatment and solicited the sale of zinc syrups and/or tablets. Representatives made repeat zinc solicitation visits to PPs; the frequency of visits was based on the provider's patient load and thus zinc-prescribing potential.

Evaluation study design

We conducted an external evaluation of the DAZT program with a prospective, quasi-experimental, pre-post design. The main evaluation activities centered on cross-sectional household surveys at baseline and endline to assess changes in diarrhea careseeking and treatment among children aged 2–59 months in intervention districts. The target population excluded infants <2 months because zinc is not advised for this age group according to the Government of India guidelines. Baseline data were collected from March– June 2011 in both states. Due to government elections that resulted in unforeseen project delays in UP, the timing of endline data collection differed by state; the endline was conducted from September–November 2013 in Gujarat and from August–October 2014 in UP.

Sample size calculations

Sample size calculations were designed to ensure adequate power to detect ORS rather than zinc coverage, since pre-DAZT zinc coverage was close to 0% in both states. For the baseline surveys, we calculated the state-specific sample sizes required for a precision estimate of ORS coverage ±7% at the alpha = 5% level, assuming coverage of 26.3% in Gujarat and 12.5% in UP as reported by the most recently conducted national survey [14,15]. At endline, we calculated the state-specific sample sizes required to detect a 10% change in ORS coverage from the level observed at baseline with 80% power at the alpha = 5% level. For both surveys, the resulting sample sizes were inflated to ensure adequate power among the two poorest wealth quintiles and to account for within-village correlation and an anticipated refusal rate of 15%. The Gujarat calculations yielded minimum sample size requirements of 375 and 398 children with diarrhea in the two-weeks preceding the survey at baseline and endline, respectively. The UP calculations yielded a minimum baseline sample size of 350 and a minimum endline sample size of 707 children with diarrhea. All sample size calculations were conducted using Stata statistical software [18,19].

Sampling design and survey procedures

For each survey, we applied two–week diarrhea prevalence to the required sample sizes in order to estimate the number of households required to achieve the necessary number of children with diarrhea in the preceding two–weeks. The respective number of households required at baseline and endline were 4200 and 5080 in Gujarat and 3889 and 7853 in UP. To ensure equal representativeness across the DAZT districts in each state, we divided the number of households evenly across the 6 districts in Gujarat and the 12 districts in UP. For each district, we employed a probability proportional to size (PPS) sampling strategy to randomly select villages on the basis of the most recently available village population census [10].

In each randomly selected village, the trained data collection team mapped and divided the area into clusters of *ma-hallahs* (ie, neighborhoods/blocks). The team started at a central point from within each cluster and employed the PAPERS

APERS

right hand rule to select households to screen for study inclusion. The screening process entailed inquiring as to whether a child aged 2–59 months resided within the household and, if so, whether the child's primary caregiver was available at the time of the visit; in multi–family households with more than one eligible caregiver, only one was selected for inclusion. The teams visited households until either a maximum of 50 caregivers of children 2–59 months of age had been enrolled or all households in the village had been visited. The team continued to visit randomly selected villages sequentially until the required number of households was met in each district.

Trained interviewers obtained informed consent from each caregiver prior to administering the survey. Interviewers read the consent document aloud and caregivers provided a signature or fingerprint (if illiterate) to indicate willingness to participate. The interviewers subsequently administered the survey to consenting caregivers. The survey included questions on household characteristics, diarrhea management knowledge and typical diarrhea careseeking and treatment practices. Extended questions on careseeking and treatment were administered to caregivers of children who had experienced a diarrheal episode in the twoweeks prior to the survey; diarrhea was defined as the passage of at least 3 loose or watery stools in a 24-hour period. If the caregiver was responsible for more than one child aged 2-59 months, she was asked to base survey responses on the youngest child in that age range.

The consent and survey procedures were conducted in Gujarati in Gujarat and in Hindi in UP. Translated forms were back–translated into English to verify the quality of translation, as well as consistency across the Gujarati and Hindi versions.

Statistical analyses

All statistical analyses were conducted using Stata 12.0 statistical software [19]. We conducted exploratory data analyses on household characteristics, caregiver diarrhea management knowledge, and diarrhea careseeking and treatment practices for both typical diarrheal episodes and episodes experienced in the two–weeks prior to the survey. For each state, we stratified responses by the experience of diarrhea in the preceding two–weeks and conducted t–tests and χ^2 tests to assess the equivalence of survey responses between the baseline and endline populations.

To address the main evaluation question of whether ORS and zinc treatment of children with diarrhea in the two– weeks preceding the survey increased from baseline to endline, we constructed state–specific logistic regression models to compute crude and adjusted odds ratios (OR) and 95% confidence intervals (CI) for the receipt of ORS/zinc by study phase (ie, endline vs baseline). We employed generalized estimating equations (GEE) with the logit link function and an independent correlation structure to adjust for village–level clustering [20]. We identified potential confounders for inclusion in multivariable models on the basis of *a priori* knowledge and bivariate analyses showing an association with both study phase and the receipt of ORS/zinc. The final multivariable models included indicators of child's sex, child's age >1 year and caregiver's education ≥1 year of schooling. Additionally, the ORS models included indicators of receipt of zinc and report of *pani ki kami*, a local term for dehydration; and the zinc models included an indicator of receipt of ORS and a continuous variable for maximum stool frequency in stools per day.

All models also included a categorical variable for careseeking, which was defined as no careseeking, private sector careseeking or public sector careseeking. We conducted a sensitivity analysis to assess how to best categorize the careseeking variable for the small proportion of caregivers who utilized both private and public sector sources. The results showed no statistically significant difference in the adjusted odds ratios between models allocating this small population into its own public/private sector careseeking category as compared to the private sector or the public sector categories. Given the comparable results, we opted to simplify the model by not adding an additional public/ private sector category. To help stabilize relatively small frequencies of public sector careseekers, we opted to include caregivers who sought care through both sectors in the public sector careseeking category.

There were no missing values for key dependent and explanatory variables. We tested all models for interaction between the study phase and careseeking variables. For final models, we confirmed the adequacy of fit using the Hosmer–Lemeshow test of goodness–of–fit [21].

RESULTS

Characteristics of caregivers, children and households

We collected baseline and endline data from 4200 and 5080 caregivers in Gujarat and from 3889 and 7853 caregivers in UP, respectively (**Figure 2** and **Figure 3**). In both states, the two–week diarrhea prevalence was higher at baseline than endline (Gujarat: 14.1% vs 10.9%, P<0.001; UP: 16.8% vs 12.7%, P<0.001). Characteristics of the caregiver, child and household were generally similar between baseline and endline in both states (**Table 1**). On average, caregivers at endline reported approximately one additional year of schooling compared to those at baseline in both states (P<0.001). In both states, the mean age and the ratio of male–to–female children were statistically significant-

Table 1. Characteristics of the primary caregiver, index child and household at baseline and endline, stratified by whether diarrhea was experienced by the index child in the two-weeks preceding the survey

No diarrhea in the last two-weeks No diarrhea in the last two-weeks Rumber, % Rumber, % Baseline Endline P-value* Primary caregiver:† No = 3606 N = 4527 P-value* Primary caregiver:† $3.9(4.4)$ $4.6(4.5)$ <0.001 ‡ Mean (SD) $3.9(4.4)$ $4.6(4.5)$ <0.001 ‡ Never attended school $3.9(4.4)$ $4.6(4.5)$ <0.001 ‡ Never attended school $2.0, 220$ $5.0, 180$ <0.001 ‡ Never attended school $2.7.1(5.4)$ $2.6.9(4.7)$ $0.075 Mean age in years (SD) 27.1.5.6, 12.0 2.0.01‡ Male 1726(47.9) 2.6.9(4.7) 0.075 Male 1726(4.7) 2.5.5(16.0) 2.5.6(5.6) 0.141 Male 1082(55.0) 2.5.5(16.0) 2.001‡ Maen age of child in months (SD) 2.7.16.0 2.001‡ $		Diarrhea in the last two-weeks (number, %) Baseline Endline P-value* N-504) (N-553)		No diarrhea in the last two-weeks	o-weeks Di	Diarrhea i	Diarrhea in the last two-weeks	o-weeks
ary care giver: \dagger Immber, $\%$ ary care giver: \dagger Baseline Endline (number, $\%$) Baseline Endline (number, $\%$) $3.9 (4.4)$ $4.6 (4.5)$ $3.9 (4.4)$ (number, $\%$) $3.9 (4.7)$ $1.4 (6.4.5)$ $3.9 (4.4)$ (number, $\%$) $3.9 (4.7.9)$ $1.7 (4.1.9)$ $1.7 (4.1.8)$ age in years (SD) $27.1 (5.4)$ $26.9 (4.7)$ $0.6 (4.7)$ age of child in months (SD) $25.5 (16.0)$ $24.4 (15.0)$ $0.6 (4.7)$		In the fast two-week fnumber, %) Endline P -val			0-weeks	DIALINEA	II HIG IAST IW	0-weeks
Baseline Endline ary caregiver:† $(N=3606)$ $(N=4527)$ ation in years of schooling: 3.9 (4.4) 4.6 (4.5) $.$ n (SD) 3.9 (4.4) 4.6 (4.5) $.$ n (SD) 3.9 (4.7) 1.726 ($4.7.9$) 1.88 (40.8) n (SD) 2.0 , 2.2) 5 ($0, 18$) $.$ n attended school 1726 (47.9) 1848 (40.8) $.$ age in years (SD) 27.1 (5.4) 26.9 (4.7) 0 age in years (SD) 27.1 (5.4) 26.9 (4.7) 0 age of child in months (SD) 27.1 (5.6) $262.56.6$) 0	<i>∞</i> 0 <i>∞</i>			(mumber, %)			(number, %)	
ary caregiver:† (N=3606) (N=4527) ation in years of schooling: 3.9 (4.4) 4.6 (4.5) n (SD) 3.9 (4.4) 4.6 (4.5) in (SD) 3.9 (4.7) 8.6 (4.5) in (SD) 3.9 (4.7) 8.6 (4.5) in (SD) 3.9 (4.7) 8.6 (4.5) in (SD) 2 ($0, 22$) 5 ($0, 18$) r attended school 1726 (47.9) 1848 (40.8) age in years (SD) 27.1 (5.4) 26.9 (4.7) age of child:† 1982 (55.0) 2562 (56.6) age of child in months (SD) 27.1 (5.6) $27.4.4$ (15.0)		(N-553)	lue* Baseline		P -value*	Baseline	Endline	P -value*
ary caregiver:† ation in years of schooling: n (SD) 3.9 (4.4) 3.9 (4.5) n (SD) 2.0 , 2.2) 7.0 , 2.2) 7.0 , 2.2) n (Mathematical school n (Mathematical school n (Mathematical school n (Mathematical school 27.1 (5.4) 26.9 (4.7) n (Mathematical school </th <th></th> <th></th> <th>(N = 3237)</th> <th>(N = 6852)</th> <th></th> <th>(N = 652)</th> <th>(N = 1001)</th> <th></th>			(N = 3237)	(N = 6852)		(N = 652)	(N = 1001)	
ation in years of schooling: $3.9 (4.4)$ $4.6 (4.5)$ n (SD) $3.9 (4.4)$ $4.6 (4.5)$ lian (range) $2 (0, 22)$ $5 (0, 18)$ r attended school $1726 (47.9)$ $1848 (40.8)$ age in years (SD) $27.1 (5.4)$ $26.9 (4.7)$ age of child: \uparrow $1982 (55.0)$ $255 (16.0)$ age of child in months (SD) $25.5 (16.0)$ $24.4 (15.0)$ ehold: $27.1 (5.6)$ $77 (4.4)$								
n (SD) 3.9 (4,4) 4.6 (4.5) lian (range) 2 (0, 22) 5 (0, 18) r attended school 1726 (47.9) 1848 (40.8) age in years (SD) 27.1 (5,4) 26.9 (4.7) age in years (SD) 27.1 (5,4) 260 (4.7) age of child: 1982 (55.0) 252 (66) age of child in months (SD) 25.5 (16.0) 24.4 (15.0) ethold: 7.1 (5,6) 7.7 (4.4)								
lian (range) 2 (0, 22) 5 (0, 18)r attended school $1726 (47.9)$ $1848 (40.8)$ age in years (SD) $27.1 (5.4)$ $26.9 (4.7)$ age in years (SD) $27.1 (5.4)$ $26.9 (4.7)$ age in years (SD) $27.1 (5.6)$ $255 (16.0)$ age of child in months (SD) $25.5 (16.0)$ $24.4 (15.0)$ ehold: $27.1 (5.6)$ $77 (4.4)$		4.3 (4.3) 0.001#	‡ 3.0 (4.4)	4.4 (5.0) <	<0.001#	2.7 (4.1)	3.8 (4.6)	<0.001#
r attended school 1726 (47.9) 1848 (40.8) . age in years (SD) 27.1 (5.4) 26.9 (4.7) x child:† 1982 (55.0) 2562 (56.6) age of child in months (SD) 25.5 (16.0) 24.4 (15.0) ehold: 17.1 (5.4) 27.1 (5.4) 26.9 (4.7)		4 (0, 15)	0 (0, 19)	2 (0,19)		0 (0, 18)	0 (0, 17)	
age in years (SD) 27.1 (5.4) 26.9 (4.7) x child: † 1982 (55.0) 2562 (56.6) age of child in months (SD) 25.5 (16.0) 24.4 (15.0) ehold: 21.1 (5.6) 24.4 (15.0)		228 (41.2) <0.001 #	1 # 2000 (61.8)	3365 (49.1)	<0.001 #	416 (63.8)	520 (52.0)	<0.001‡
x child:† 1982 (55.0) 2562 (56.6) age of child in months (SD) 25.5 (16.0) 24.4 (15.0) ehold: 25.5 (16.0) 24.4 (15.0)	26.4 (4.9)	26.6 (4.4) 0.471	28.6 (6.0)	28.2 (5.4) <	<0.001	28.0 (5.5)	27.5 (4.9)	0.052
1982 (55.0) 2562 (56.6) age of child in months (SD) 25.5 (16.0) 24.4 (15.0) eehold: 25.5 (16.0) 24.4 (15.0)								
child in months (SD) 25.5 (16.0) 24.4 (15.0) of educations. Maan (SD) 7.1 (5.6) 7.7 (4.4)	304 (51.2)	297 (53.7) 0.392	1723 (53.2)	3710 (54.1)	0.389	336 (51.5)	536 (53.6)	0.423
o of ochoodiner Maan (SD) 71 (5.6) 77 (4.4)	16.7 (12.6)	20.3(14.1) <0.001#	1 \$26.0 (16.0)	26.2 (15.7) (0.445	18.6(13.6)	18.8 (13.5)	0.763
71156) 77(4.4)								
	6.8 (4.4)	7.5 (4.0) 0.005#	<pre># 6.1 (4.9)</pre>	• (0.5 (5.0)	<0.001	5.9 (4.7)	6.3 (4.8)	0.118
Father's years of schooling: Median (Range) 8 (0, 20) 9 (0, 20)	7 (0, 19)	8 (0, 21)	7 (0, 20)	8 (0, 22)		7 (0, 18)	8 (0, 19)	
Purified drinking water 2954 (81.9) 3475 (76.8) <0.001	467 (78.6)	403 (72.9) 0.023‡	‡ 77 (2.4)	54 (0.8) <	<0.001‡	11 (1.7)	6 (0.6)	0.032‡
Water on premises or <30 min to source 3119 (86.5) 3966 (87.6) 0.137	522 (87.9)	487 (88.1) 0.929	3209 (99.1)	6800 (99.2)	0.575	648 (99.4)	994 (99.3)	0.834
Household access to toilet facility§ 809 (22.4) 1224 (27.0) <0.001	97 (16.3)	114 (20.6) 0.061	599 (18.5)	1865 (27.2) <	<0.001 #	107 (16.4)	222 (22.2)	0.004‡
BPL (below poverty line) card 1431 (39.7) 2164 (47.8) <0.001 ‡	254 (42.8)	277 (50.1) 0.013	891 (27.5)	1547 (22.6)	<0.001‡	198 (30.4)	215 (21.5)	<0.001‡
Religion of father/ head of the household: 0.205		0.546			0.001‡			0.494
-Hindu 3443 (95.2) 4331 (95.7)	569 (95.8)	537 (97.1)	2865 (88.5)	5908 (86.2)		555 (85.1)	862 (86.1)	
-Muslim 164 (4.6) 178 (3.9)	22 (3.7)	14 (2.5)	369 (11.4)	942 (13.8)		97 (14.9)	137 (13.7)	
-Other 9 (0.3) 18 (0.4)	3 (0.5)	2 (0.4)	3 (0.1)	2 (0.03)		0	2 (0.2)	
Ethnic group: <0.001†		0.006)	0.273			0.803
-Scheduled caste 434 (12.0) 754 (16.7)	79 (13.3)	110 (19.9)	1140 (35.2)	2510 (36.6)		235 (36.0)	377 (37.7)	
-Scheduled tribe 1088 (30.2) 1125 (24.9)	200 (33.7)	165 (29.8)	7 (0.2)	7 (0.1)		0	0	
-Other backward caste 1495 (41.5) 2030 (44.8)	238 (40.1)	227 (41.1)	1546 (47.8)	3211 (46.9)		317 (48.6)	475 (47.5)	
-Other 589 (16.3) 618 (13.7)	77 (13.0)	51 (9.2)	544 (16.8)	1124 (16.4)		100 (15.3)	149 (14.9)	

Primary caregiver was the survey respondent. The index child was the respondent's youngest child in the 2–59 mo age group on whom all survey responses were based.

*Statistically significant at the *P*=0.05 level.

Structudes households with own or shared access to one of the following facilities: flush; flush-to-piped-sewer; septic tank; pit latrine with or without slab/open pit. Households without toilet facilities reported open defecation.

PAPERS

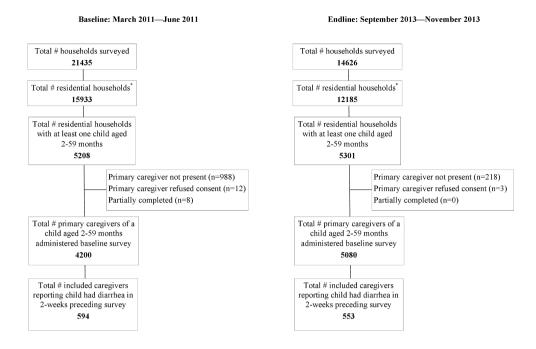


Figure 2. Survey profiles of the baseline and endline household surveys in Gujarat.

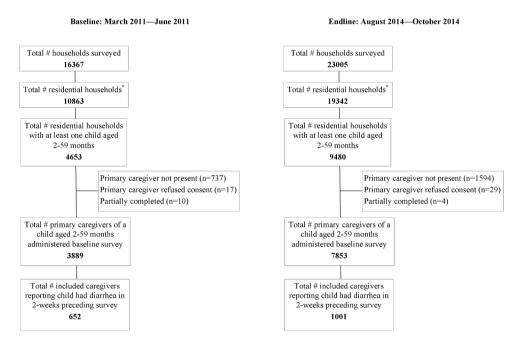


Figure 3. Survey profiles of the baseline and endline household surveys in Uttar Pradesh.

ly equivalent comparing baseline to endline. In Gujarat, the proportion of households with purified drinking water was high for both surveys but slightly fewer households had access at endline (76.3%) than baseline (81.5%; P<0.001). We observed the same trend in UP; although, the proportion of households reporting purified drinking water was

substantially lower in the state (2.3% at baseline vs 0.8% at endline; P<0.001). A larger proportion of households had access to a toilet facility at endline compared to baseline in both Gujarat (26.3% vs 21.6%, P<0.001) and UP (26.6% vs 18.2%, P<0.001). Additional characteristics of the study population are presented in **Table 1**.

Caregiver knowledge of diarrhea careseeking and treatment

Caregiver knowledge of appropriate sources of care for a child with diarrhea improved from baseline to endline in both states (Table 2). Awareness of private sector sources was high at baseline and experienced a moderate increase at endline (Gujarat: 92.6% vs 94.6%, P<0.001; UP: 98.4% vs 99.7%, P<0.001). In comparison to baseline, there was a large statistically significant increase in the proportion of caregivers who reported public sector sources as appropriate channels for diarrhea careseeking at endline in both states (Gurajat: 59.2% vs 89.1%, P<0.001; UP: 25.8% vs 76.7%, P<0.001); although, the absolute increase was more pronounced in UP (50.9%) than Gujarat (29.9%). In Gujarat, improved public sector awareness was largely driven by increased recognition of ASHAs (4.2% vs 44.2%, P < 0.001) and AWWs as appropriate sources of diarrhea treatment (15.5% vs 46.3%, P<0.001); whereas in UP, the shift was primarily driven by increased recognition of primary health centers (PHCs) (25.5% vs 71.9%, P<0.001) and, to a lesser degree, ASHAs (0.03% vs 17.9%, P<0.001).

We observed a statistically significant increase in ORS awareness from 53.7% at baseline to 76.0% at endline in Gujarat (P<0.001) (**Table 2**). In UP, ORS awareness decreased by an absolute difference of 4.7% (P<0.001) comparing baseline to endline. There was a statistically significant increase in the proportion of caregivers who had seen or heard of zinc and, without prompting, recognized it as a treatment for diarrhea in both Gujarat (4.5% vs 23.2%; P<0.001) and UP (5.6% vs 30.7%; P<0.001). In both states, higher zinc awareness at endline was attributed to increased report of public sector sources of information on zinc as a treatment for diarrhea. In **Table 2**, we report additional data on caregiver knowledge of diarrhea careseek-

ing and treatment stratified by report of diarrhea in the two–weeks prior to the survey; the trends were similar comparing caregivers with and without a recent diarrheal episode.

Careseeking and treatment of recent diarrheal episodes

The reported characteristics of diarrheal episodes occurring in the two–weeks preceding the survey were generally similar at baseline and endline in both states (**Table 3**). In Gujarat, *pani ki kami* (a local term for dehydration), lethargy/ irritability and sunken eyes were less frequently reported at endline (P<0.001). In UP, vomiting and sunken eyes were less common episode characteristics at endline (P<0.001), and mean maximum stool frequency decreased by 1.3 stools/d (P<0.001).

The proportion of caregivers who sought care outside the home for their child's diarrhea increased slightly from baseline to endline in Gujarat (67.0% vs 74.5%, *P*=0.005) but did not change in UP (87.7% vs 85.4%, P=0.178) (Table **3**). There was a statistically significant increase in public sector careseeking in both states, although the trend was more pronounced in Gujarat (19.6% vs 37.6%, P<0.001; Figure 4) compared to UP (4.4% vs 9.1%, P<0.001; Figure 5). There was a borderline statistically significant decrease in private sector careseeking in Gujarat (80.2% vs 74.3%, *P*=0.046) but no change in UP (93.0% vs 90.4%, P = 0.086). The shift in careseeking was characterized by increased attendance at ASHAs and AWWs in Gujarat and ASHAs and PHCs in UP. In Gujarat, the overall decrease in private sector utilization was driven by reduced use of private hospitals/nursing homes, chemists and general stores, despite a slight increase in use of private providers.

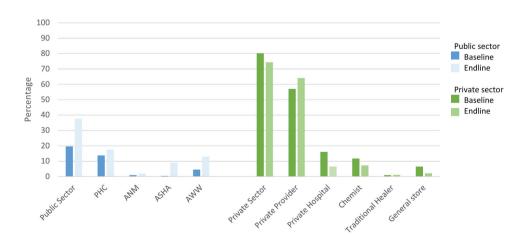


Figure 4. Sources of diarrhea careseeking at baseline and endline in Gujarat. Public sector includes: primary health centers, auxiliary nurse midwives, Accredited Social Health Activities and Anganwadi workers; private sector includes: private providers, private hospitals, chemists, traditional healers and general stores.

က
\sim
ΓT.
0
\checkmark
_

Table 2. Caregiver knowledge of diarrhea careseeking and treatment, stratified by whether diarrhea was experienced by the index child in the two-weeks preceding the survey

			GUJARAT	AT					UTTAR PRADESH	RADESH		
	No diarrhe	No diarrhea in the last two weeks	vo weeks	Diarrhea	Diarrhea in the last two weeks	o weeks	No diarrhe	No diarrhea in the last two weeks	wo weeks	Diarrhea	Diarrhea in the last two weeks	o weeks
		(No., %)			(No., %)			(No., %)			(No., %)	
	Baseline	Endline	P-value*	Baseline	Endline	P -value*	Baseline	Endline	P-value*	Baseline	Endline	<i>P</i> -value*
	(N = 3606)	(N = 4527)		(N = 594)	(N = 553)		(N = 3237)	(N = 6852)		(N = 652)	(N = 1001)	
Appropriate sources of care for a child with diarrhea: \dagger	arrhea:†											
Public sector source	2123 (58.9)	4010 (88.6)	<0.001‡	363 (61.1)	515 (93.1)	<0.001 #	834 (25.8)	5229 (76.3)	<0.001	168 (25.8)	(0.67) 167	<0.001‡
-PHC/Government hospital	1837 (50.9)	3312 (73.2)	<0.001‡	315 (53.0)	418 (75.6)	<0.001‡	825 (25.5)	4898 (71.5)	<0.001‡	167 (25.6)	748 (74.7)	<0.001‡
–Auxiliary nurse midwife	257 (7.1)	508 (11.2)	<0.001‡	45 (7.6)	62 (11.2)	0.034‡	11 (0.3)	109 (1.6)	<0.001‡	1 (0.2)	18 (1.8)	0.002‡
-Accredited social health activist (ASHA)	159 (4.4)	2010 (44.4)	<0.001‡	19 (3.2)	234 (42.3)	<0.001‡	1 (0.03)	1248 (18.2)	<0.001‡	0	157 (15.7)	<0.001 #
–Anganwadi worker (AWW)	555 (15.4)	2063 (45.6)	<0.001‡	94 (15.8)	287 (51.9)	<0.001‡	2 (0.06)	322 (4.7)	<0.001#	0	42 (4.2)	<0.001‡
Private sector source	3340 (92.9)	4287 (94.7)	<0.001‡	551 (92.8)	519 (93.9)	0.461	3181 (98.3)	6828 (99.7)	<0.001‡	644 (98.8)	(8.66) 666	<0.001‡
–Private provider	2784 (77.2)	3785 (83.6)	<0.001‡	461 (77.6)	471 (85.2)	0.001‡	3136 (96.9)	6730 (98.2)	<0.001‡	631 (96.8)	983 (98.2)	0.063
-Private hospital/nursing home	1539 (42.7)	1059 (23.4)	<0.001‡	224 (37.7)	129 (23.3)	0.001‡	323 (10.0)	1878 (27.4)	<0.001#	76 (11.7)	283 (28.3)	<0.001‡
	138 (3.8)	1494 (33.0)	<0.001#	14 (2.4)	174 (31.5)	0.001 #	22 (0.7)	2515 (36.7)	<0.001#	3 (0.5)	437 (43.7)	<0.001‡
-Traditional healer	18 (0.5)	147 (3.3)	<0.001‡	5 (0.8)	22 (4.0)	0.001 #	0	81 (1.2)	<0.001#	0	21 (2.1)	<0.001‡
	28 (0.8)	32 (0.7)	0.716	13 (2.2)	6(1.1)	0.143	2 (0.06)	3 (0.04)	0.705	0	0	
-Mobile clinic	44 (1.2)	64 (1.4)	0.449	4 (0.7)	8 (1.5)	0.198	19 (0.6)	195 (2.9)	<0.001	2 (0.3)	12 (1.2)	0.053
Aware of ORS for diarrhea treatment§	1942 (53.9)	3397 (75.0)	<0.001‡	315 (53.0)	466 (84.3)	<0.001‡	2685 (82.9)	5399 (78.8)	<0.001	561 (86.0)	787 (78.6)	<0.001‡
Aware of zinc for diarrhea treatment§	163 (4.5)	976 (21.6)	<0.001‡	26 (4.4)	200 (36.2)	<0.001‡	168 (5.2)	2022 (29.5)	<0.001‡	51 (7.8)	389 (38.9)	<0.001‡
-If yes, source of zinc awareness:†,#												
-Public sector source	39 (23.9)	769 (78.8)	<0.001‡	4 (15.4)	155 (77.5)	<0.001	19 (11.3)	739 (36.6)	<0.001‡	7 (13.7)	107 (27.5)	0.035‡
-Private sector source	136 (83.4)	540 (55.3)	<0.001 #	24 (92.3)	112 (56.0)	<0.001‡	137 (81.6)	1449 (71.7)	0.006‡	40 (78.4)	303 (77.9)	0.930
-Media (announcement, radio, poster/wall paint-	1 (0.6)	84 (8.6)	<0.001‡	0	11 (5.5)	0.220	5 (3.0)	92 (4.6)	0.341	5 (9.8)	13 (3.3)	0.029‡
ing, pamphlet, television)												
-Neighbor/relative	1 (0.6)	47 (4.8)	<0.001‡	0	11 (5.5)	0.220	25 (14.9)	187 (19.1)	0.175	3 (5.9)	83 (21.3)	\$600.0>
NGO – non-governmental organizations												
*P-values were generated comparing baseline and endline values in Stata 12.0 using t-tests of equivalence for continuous variables and χ^2 tests (or Fischer's exact tests in the case of low cell frequencies) for bi-	l endline value	es in Stata 12.0	using t-test	s of equivaler	ice for continu	uous variab	es and χ^2 test	s (or Fischer's e	exact tests ir	the case of lc	w cell frequer	icies) for bi-
nary and categorical variables [19].)				:					
†Respondents could supply more than one answer; column percentage totals may exceed 100%.	r; column perc	entage totals n	1 ay exceed	.%00								
*Statistically significant at the <i>P</i> =0.05 level.												
8 Respondents were considered aware of ORS/zinc for diarrhea treatment if they reported having seen or heard the products prior to the survey and responded (unprompted) that ORS/zinc were used for diarrhea	for diarrhea tr	reatment if they	r reported ha	wing seen or]	heard the pro	ducts prior	the survey a	und responded	(unprompte	id) that ORS/z	inc were used	for diarrhea

are pointing were constanted aware of ONA zine for diantifica treatment in they report

#Percentages based on denominator of total aware of zinc for diarrhea treatment.

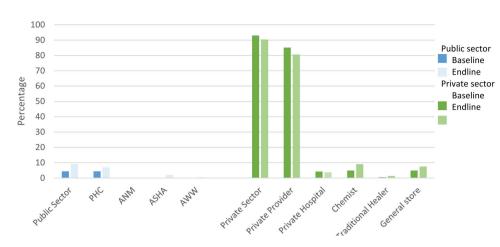


Figure 5. Sources of diarrhea careseeking at baseline and endline in Uttar Pradesh. Public sector includes: primary health centers, auxiliary nurse midwives, Accredited Social Health Activities and Anganwadi workers; private sector includes: private providers, private hospitals, chemists, traditional healers and general stores.

In Gujarat, the proportion of diarrheal episodes treated with ORS increased from 15.3% at baseline to 39.6% at endline (P<0.001) (**Table 3**). In UP, there was no difference in ORS coverage at endline (20.2%) compared to baseline (21.6%, P=0.485). Zinc treatment was statistically significantly higher at endline than baseline in both Gujarat (2.5% vs 22.4%, P<0.001) and UP (3.1% vs 7.0%, P<0.001). The same trend was observed in the number of episodes treated with both ORS and zinc (0.5% vs 18.4%, P<0.001 in Gujarat and 1.2% vs 3.3%, P<0.001 in UP).

There was a statistically significant increase in the proportion of children treated with antidiarrheals from baseline to endline in UP (5.2% vs 21.9%, P<0.001) and Gujarat (2.6% vs 42.6%, P<0.001; **Table 3**). This shift was driven by comparable increases in treatment with antidiarrheals through both the public and private sectors (data not shown). Among children who sought care in Gujarat, the proportion treated with antidiarrheals increased from 7.7% to 21.3% in the public sector (P<0.001); in UP, this figure increased from 4.0% to 48.7% in the public sector (P<0.001) and from 2.4% to 43.7% in the private sector (P<0.001).

Compared to baseline, the proportion of children administered antibiotics was higher at endline in Gujarat (16% vs 32%, P<0.001) but not in UP (28.2% vs 30.8%, P=0.259; **Table 3**). Unlike the trend in antidiarrheals, the increase in antibiotics observed in Gujarat was solely driven by a rise in the proportion of children receiving antibiotics through the private sector (ie, 25.1% at baseline vs 50.3% at endline), as receipt of antibiotics through the public sector did not change between baseline and endline (ie, 23.1% vs 27.1%) (data not shown). Additional data on careseeking and treatment are provided in **Table 3**.

Factors associated with ORS treatment

In bivariate analysis of the odds of ORS treatment at endline compared to baseline, there was a statistically significant increase in Gujarat (OR=3.6, 95% CI 2.7–4.8) and a non–statistically significant decrease in UP (OR=0.90, 95% CI 0.7–1.2) (**Table 4**).

In multivariable analysis, there was a statistically significant interaction between the study phase and careseeking variables in Gujarat (P=0.009). The adjusted odds (aOR) of ORS treatment at endline compared to baseline were elevated by a factor of 4.7 (95% CI 1.3–17.5) among children with no careseeking, by 1.6 (95% CI 1.1–2.4) among those with private sector careseeking and by 4.7 (95% CI 2.5–9.0) among those with public sector careseeking (**Table 4**). Among children for whom no care was sought, the higher odds of ORS treatment at endline compared to baseline were attributable to an increase in the proportion of caregivers who reported having ORS product at home. The adjusted odds of ORS treatment were higher among children who also received zinc (aOR=4.3, 95% CI 2.6–7.0).

In UP, there was no interaction between the study phase and careseeking variables in multivariable analysis. The adjusted OR of ORS treatment comparing endline to baseline was 0.8 (95% CI 0.6–1.0) (**Table 4**). Compared to no careseeking, the adjusted odds of ORS treatment were 3.9 (95% CI 2.2–6.9) times higher among those who sought care from a private sector source and 7.8 (95% CI: 3.9–15.7) times higher among those who sought public sector care. The adjusted odds of ORS treatment were elevated by a factor of 2.7 (95% CI 1.7–4.2) among zinc–treated children.

Factors associated with zinc treatment

The unadjusted odds of zinc treatment were statistically significantly higher at endline compared to baseline in both

Table 3. Careseeking and treatment of diarrheal episodes occurring in the two-weeks preceding the survey

	(Gujarat (No., %)		UTT	ar Pradesh (No., '	%)
	Baseline	Endline	P -value*	Baseline	Endline	P-value*
	(N=594)	(N=553)		(N = 652)	(N = 1001)	
Episode characteristics:						
Blood in stools	44 (7.4)	34 (6.2)	0.397	108 (16.6)	166 (16.6)	0.985
Fever	370 (62.3)	318 (57.5)	0.098	543 (83.3)	859 (85.9)	0.147
Vomiting	218 (36.7)	199 (36.0)	0.801	377 (57.8)	377 (37.7)	< 0.001**
Pani ki kami (local term for dehydration)	268 (45.1)	167 (30.2)	<0.001†	344 (52.8)	573 (57.3)	0.070
Lethargy/irritability	382 (64.3)	245 (44.3)	<0.001†	487 (74.7)	780 (78.0)	0.120
Sunken eyes	156 (26.3)	48 (8.7)	<0.001†	271 (41.6)	300 (30.0)	<0.001**
Maximum stool frequency (stools/d)						
Mean (SD)	4.8 (1.5)	4.8 (1.4)	0.835	6.6 (3.1)	5.3 (2.1)	<0.001**
Median (range)	4 (3, 13)	4 (3, 10)		6 (3, 30)	5 (3, 25)	
Sought care outside the home	398 (67.0)	412 (74.5)	0.005†	572 (87.7)	855 (85.4)	0.178
If yes, source of careseeking: [‡] , [§]						
Public sector source	79 (19.6)	155 (37.6)	< 0.001†	25 (4.4)	78 (9.1)	< 0.001†
–Primary health center/Government hospital	55 (13.8)	72 (17.5)	0.153	25 (4.4)	59 (6.9)	0.047†
–Auxiliary nurse midwife	4 (1.0)	8 (1.9)	0.270	0	1 (0.1)	0.413
-Accredited social health activist (ASHA)	2 (0.5)	37 (9.0)	<0.001†	0	16 (1.9)	0.001†
–Anganwadi Worker (AWW)	18 (4.5)	53 (12.9)	<0.001†	1 (0.2)	3 (0.4)	0.538
Private sector source	319 (80.2)	306 (74.3)	0.046†	532 (93.0)	773 (90.4)	0.086
–Private provider	227 (57.0)	264 (64.1)	0.040†	487 (85.1)	689 (80.6)	0.027†
-Private hospital/Nursing home	64 (16.1)	27 (6.6)	<0.001†	24 (4.2)	32 (3.7)	0.666
-Chemist	47 (11.8)	30 (7.3)	0.028†	28 (4.9)	77 (9.0)	0.004†
–Traditional healer#	4 (1.0)	5 (1.2)	0.777	3 (0.5)	11 (1.3)	0.152
–Charitable hospital/NGO/Trust	5 (1.3)	3 (0.7)	0.447	0	1 (0.1)	0.413
–Mobile clinic	1 (0.3)	1 (0.3)	0.981	1 (0.2)	4 (0.5)	0.359
–General store	26 (6.5)	9 (2.2)	0.003†	28 (4.9)	64 (7.5)	0.050
Administered treatment:						
Any treatment	418 (70.4)	429 (77.6)	0.006†	582 (89.3)	911 (91.0)	0.244
ORS¶	91 (15.3)	219 (39.6)	< 0.001†	141 (21.6)	202 (20.2)	0.485
Zinc¶	15 (2.5)	124 (22.4)	< 0.001†	20 (3.1)	70 (7.0)	< 0.001†
ORS and zinc¶	3 (0.5)	102 (18.4)	< 0.001†	8 (1.2)	33 (3.3)	0.008†
Antibiotics	95 (16.0)	177 (32.0)	< 0.001†	184 (28.2)	308 (30.8)	0.259
Antidiarrheal	31 (5.2)	121 (21.9)	< 0.001†	17 (2.6)	426 (42.6)	<0.001†
Syrup, unknown	132 (22.2)	51 (9.2)	<0.001†	127 (19.5)	113 (11.3)	<0.001†
Tablet, unknown	213 (35.9)	57 (10.3)	< 0.001 †	165 (25.3)	282 (28.2)	0.196
Powder, unknown	47 (7.9)	10 (1.8)	< 0.001†	213 (32.7)	4 (0.4)	< 0.001†
Injection	40 (6.7)	12 (2.2)	< 0.001†	165 (25.3)	125 (12.5)	< 0.001†
IV Fluids	5 (0.8)	8 (1.5)	0.334	13 (2.0)	2 (0.2)	< 0.001†

ORS - oral rehydration salts, NGO - non-governmental organization, IV - intravenous

**P*-values were generated comparing baseline and endline values in Stata 12.0 using t-tests of equivalence for continuous variables and χ^2 tests (or Fischer's exact tests in the case of low cell frequencies) for binary and categorical variables (16).

†Statistically significant at the P = 0.05 level.

‡Respondents could supply more than one answer; column percentage totals may exceed 100%.

*Percentages based on denominator of total who sought care outside the home.

#Includes Ayurvedic, Vaid, Homepathic, Hakim, Unani.

[ORS category includes children who received ORS with or without zinc and vice versa. ORS and zinc category includes children who received both products.

Gujarat (OR=11.2, 95% CI 6.4–19.3) and UP (OR=2.4, 95% CI 1.4–3.9) (**Table 4**). In multivariable analysis, the effect of study phase was not modified by careseeking in either state.

In Gujarat, the adjusted OR comparing zinc treatment at endline to baseline was 7.3 (95% CI 4.1–13.0) (**Table 4**). The adjusted ORs comparing zinc treatment among chil-

dren with private and public sector careseeking relative to no careseeking were 12.2 (95% CI 2.9–51.6) and 26.5 (95% CI 6.1–114.7), respectively. In UP, the adjusted odds of zinc treatment were 2.5 (95% CI 1.5–4.4) times higher at endline compared to baseline and were elevated among children with private sector (aOR=3.1, 95% CI 1.0–10.1) and public sector careseeking (aOR=9.5, 95% CI 2.7–

Table 4. Bivariate and multivariate Generalized Estimating Equations* and	alyses of the association between study phase and receipt of
ORS and zinc treatment among children with diarrhea in the two-weeks	preceding the survey

	G	UJARAT				UTTAR PI	RADESH	
OUTCOME - RECEI	PT OF ORS:							
	Unadjusted OR (95% CI)	P–value	Adjusted OR (95% CI)†	<i>P</i> –value	Unadjusted OR (95% CI)	P–value	Adjusted OR (95% CI)†	P–value
Phase of study								
– Endline	3.6 (2.7–4.8)	<0.001‡			0.9 (0.7–1.2)	0.485	0.8 (0.6–1.0)	0.058
– Baseline	1.0				1.0		1.0	
Careseeking:								
– Public Sector	53.6 (28.8–99.5)	<0.001‡			10.8 (5.5–21.5)	<0.001‡	7.8 (3.9–15.7)	<0.001‡
– Private Sector	7.8 (4.4–14.1)	<0.001‡			4.6 (2.6-8.1)	<0.001‡	3.9 (2.2–6.9)	<0.001‡
– No careseeking	1.0				1.0		1.0	
Endline vs Baseline:‡								
– Public Sector	7.2 (3.9–13.3)	<0.001‡	4.7 (2.5–9.0)	<0.001‡				
– Private Sector	2.1 (1.4–3.1)	<0.001‡	1.6 (1.1–2.4)	0.036‡				
–No careseeking	4.9 (1.3–18.2)	0.017‡	4.7 (1.3–17.5)	0.021‡				
Receipt of zinc	12.1 (8.0–18.3)	<0.001‡	4.3 (2.6–7.0)	<0.001‡	3.5 (2.3–5.4)	<0.001‡	2.7 (1.7-4.2)	<0.001‡
OUTCOME - RECEI	PT OF ZINC:							
	Unadjusted OR (95% CI)	P–value	Adjusted OR (95% CI)†	P–value	Unadjusted OR (95% CI)	P–value	Adjusted OR (95% CI)†	P–value
Phase of study:								
– Endline	11.2 (6.4–19.3)	<0.001‡	7.3 (4.1–13.0)	<0.001‡	2.4 (1.4–3.9)	0.001‡	2.5 (1.5-4.4)	0.001‡
– Baseline			1.0		1.0		1.0	
Careseeking:								
– Public Sector	87.6 (21.3–360.9)	<0.001‡	26.5 (6.1–114.7)	<0.001‡	16.8 (4.8–58.3)	<0.001‡	9.5 (2.7–33.6)	0.001‡
– Private Sector	18.4 (4.5–75.7)	<0.001‡	12.2 (2.9–51.6)	0.001‡	4.0 (1.3–12.9)	0.019	3.1 (1.0–10.1)	0.059
– No careseeking	1.0		1.0		1.0		1.0	
Receipt of ORS	12.1 (8.0–18.3)	<0.001‡	4.3 (2.6–7.0)	<0.001‡	3.5 (2.3–5.4)	<0.001‡	2.7 (1.7-4.3)	<0.001‡

OR - odds ratio, CI - confidence interval, ORS - oral rehydration salts

*Generalized estimating equations (GEE) with the logit link function and an independent correlation structure used to generate semi-robust standard errors to adjust for multiple observations at the village-level in Stata 12.0 (16).

 \uparrow All multivariable analyses adjusted for the above–listed variables and: sex of child; age of child >1 y; and maternal education \ge 1 y of school. ORS model also adjusted for receipt of zinc and report of *pani ki kami* (local term for dehydration). Zinc model also adjusted for receipt of ORS and reported maximum stool frequency in stools/d.

*Statistically significant at the *P*=0.05 level.

Statistically significant interaction between phase of the study and careseeking sector (P = 0.009).

33.6) relative to those who did not seek care. In both states, there was a statistically significant association between zinc treatment and receipt of ORS (P<0.001).

DISCUSSION

The external evaluation of the DAZT program showed that over the course of the program period, the odds of zinc treatment increased in both states, and the odds of ORS treatment increased in Gujarat but not UP. In both states, the odds of adequate treatment were higher among those who sought care outside the home, but the effect was greater in the public compared to the private sector. Between baseline and endline, zinc awareness and recognition of public sector providers as appropriate sources of diarrhea care increased in both Gujarat and UP, and ORS awareness increased in Gujarat. Among caregivers of children with diarrhea in the preceding two–weeks, public sector careseeking was higher at endline relative to baseline, but private sector careseeking remained high. There was a decrease in diarrhea prevalence from baseline to endline, but this shift was likely attributable to the timing of the surveys within different diarrhea seasons and not to the DAZT program.

The design of the prospective evaluation was quasi-experimental and thus our conclusions are based on pre-post comparisons between the DAZT districts at baseline and endline. The use of historical controls is not the gold standard in evaluation design, but state government plans to eventually scale-up ORS and zinc throughout all districts in Gujarat and UP during the project period precluded the use of non-DAZT districts as comparison areas. In order to reduce the bias introduced from a quasi-experimental design, we routinely monitored the DAZT districts and collected data on potential contextual factors. Through this documentation, we did not become aware of any overlap between the DAZT project and other diarrhea management or sanitation programs that may have influenced ORS and

Our findings show that over the course of the DAZT project, zinc coverage increased in both states and ORS coverage increased in Gujarat. However, the magnitude of the change was not as large as anticipated, with only 18.4% and 3.3% of diarrheal episodes treated with both ORS and zinc at endline in Gujarat and UP, respectively. The need for improved diarrhea treatment among children underfive in the project areas is therefore still evident. Nevertheless, increases in ORS and zinc awareness and shifts in the recognition and utilization of public sector channels for diarrhea careseeking are promising first steps in generating program impact. It should be noted that these changes occurred in the absence of caregiver demand generation activities. Given the DAZT program's sole focus on providerlevel activities, changes in caregiver knowledge and practices could only have resulted through word-ofmouth. In particular, the public sector approach, which operated on the theory that improving the quality of diarrhea treatment among public providers would lead to increases in diarrhea careseeking through public sector channels, depended on the message of improved care to naturally trickle into the community. Our results indicate that perceptions regarding the role of public sector providers in diarrhea treatment were beginning to evolve among caregivers of young children; however, we observed gaps between the awareness of the public sector as an appropriate source of treatment and the practice of public sector careseeking. Future programs should incorporate community-level behavior change communication to quickly disseminate messages regarding appropriate sources of diarrhea treatment and to maximize the impact of those messages on careseeking practices. Moreover, activities targeting caregivers should also focus on generating demand for ORS and zinc, in addition to increasing awareness.

The evaluation results highlight differences in diarrhea treatment by the sector through which care was sought. The odds of receiving ORS and zinc were higher among those who sought care through either sector compared to those who did not seek care outside the home, but the effect was greater in the public sector. There are vast differences between the public and private sector health systems that could have contributed to variations in program impact. The public sector program may have been better positioned to modify providers' diarrhea treatment practices because government employees are easily identifiable and can be required to attend trainings. In comparison, the private sector program had to contend with a large population of informal providers who were difficult to locate and at liberty to reject visits from program representatives. Despite the challenges associated with altering the diarrhea treatment practices of private providers, we observed only a gradual shift towards public sector careseeking with the overwhelming majority of caregivers continuing to seek care through private sources at endline, and thus future programmatic investment in the private sector is necessary and worthwhile.

The results of the evaluation underscored differences in the magnitude of change between states. In Gujarat, we observed absolute increases of 24.3% in ORS coverage and 19.9% in zinc coverage. In comparison, ORS coverage did not change and the absolute increase in zinc coverage was only 3.9% in UP. Since the odds of receiving ORS and zinc were higher in the public sector, it is possible that poorer coverage in UP compared to Gujarat was at least in part attributable to the relatively smaller increase in public sector careseeking (ie, 4.7% in UP vs 18.0% in Gujarat). There were also differences in the breakdown of public sector careseeking across specific provider cadres; careseeking to ASHAs and AWWs experienced absolute increases of more than 8% in Gujarat compared to 1.9% and 0.2% in UP. Future public sector programs in UP should focus on increasing the uptake of diarrhea careseeking through community-level ASHAs and AWWs.

The findings of this evaluation are potentially limited by the biases associated with caregiver report and recall. To reduce the threat of recall bias, we limited the assessment of diarrhea careseeking and treatment to episodes occurring within two-weeks preceding the survey, which is the widely accepted standard for large surveys [22]. In addition, we employed several methodological techniques to improve and confirm caregiver recall of diarrheal treatments given to children during the two-week period. During the interview, caregivers were shown laminated photos of commonly available diarrhea treatment products in an attempt to prompt recall of what had been administered to the child. Interviewers also checked all available packaging and recorded the product details. We increased our efforts to identify packaging at endline as an added precaution against misidentification of ORS and zinc; if packaging was torn and the brand name illegible, the interviewers brought the remnants to local chemists for assistance determining the identity of the product. As a result of the enhanced methods at endline, unknown treatments largely decreased in both states. Though a fraction of products could not be identified despite the added measures taken at endline, these were unlikely to have been zinc since the zinc product or packaging for a 10 to 14-day regimen would have been available in the household for episodes occurring within two weeks of the survey.

We observed an increasing trend in antidiarrheals and antibiotics from baseline to endline that is likely an artefact of the additional treatment identification methods employed at endline. It is possible that the revised methods, which resulted in an overall lower percentage of unknown products, produced an apparent increase in products identified as antidiarrheals and antibiotics. However, it is also possible that through heightened attention to diarrhea management, providers were not only more likely to advise ORS and zinc but also other misguided treatments. Future programs should be aware of this risk and focus efforts on warning providers of the dangers of mistreatment with antibiotics and antidiarrheals. In addition, future evaluations should be designed to assess these nuances, as well as the diarrhea treatment preferences and expectations of both providers and caregivers.

The results of the external evaluation of the DAZT program draw attention to factors of importance for future diarrhea management programs in Gujarat and UP, as well as generalizable areas throughout India and South Asia. An important conclusion of this evaluation is that the absence of demand generation activities targeting the community was a major flaw. The addition of activities aimed at generating demand for ORS and zinc among caregivers of young children would have complemented public and private sector activities. Community–level activities could have accelerated uptake of careseeking through ASHAs and AWWs, since lack of awareness of their ability to treat diarrhea was the leading reason reported at endline by caregivers who had never utilized ASHAs or AWWs for diarrhea care. Lack of diarrhea treatment supplies was an additional reason cited by caregivers who had never sought diarrhea treatment from ASHAs, AWWs or PHCs, thus underscoring the important link between preventing public sector ORS and zinc stock–outs and building trust among caregivers in the community. The results of the private sector evaluation demonstrate the potential role of private providers in provision of ORS and zinc despite the challenging nature of reaching informal providers; future programs may benefit from a systematic census to better characterize this population and facilitate coverage of the full universe of informal providers. Finally, our results suggest that provision of ORS and zinc are complementary in that the odds of receiving ORS increased with receipt of zinc and vice versa. This finding highlights the importance of emphasizing both ORS and zinc in training providers in either sector. Thus, as future diarrhea management programs are designed with the goal of introducing zinc, implementers must ensure that ORS is also given focus.

Acknowledgements: The authors would like to thank the data collection teams in Gujarat and UP and the data entry team in New Delhi. The authors would also like to thank the DAZT program partners at Micronutrient Initiative, FHI360 and UNICEF. This research was funded by a grant from the Bill and Melinda Gates Foundation via the US Fund for UNICEF. The funding organization did not have any part in data analysis or the preparation of this publication.

Funding: This research was funded by a grant from the Bill and Melinda Gates Foundation via the US Fund for UNICEF. The funding organization did not have any part in data analysis or the preparation of this publication.

Ethical approval: The authors received ethical approval for all phases of the study from the Johns Hopkins University Institutional Review Board in Baltimore, Maryland and from the Society for Applied Studies Ethics Review Committee in New Delhi, India.

Authorship Declaration: LML contributed to study design and led the data analyses and manuscript preparation. ST and SM conceived of the study design and oversaw data collection. AL contributed to study design and manuscript preparation. CFW and REB led conception of study design and contributed to manuscript preparation.

Competing Interests: All authors have completed the Unified Competing Interest form at www.icmje. org/coi_disclosure.pdf (available on request from the corresponding author) and declare no conflict of interest.

REFERENCES

- 1 Liu L, Oza S, Hogan D, Perin J, Rudan I, Lawn JE, et al. Global, regional, and national causes of child mortality in 2000–13, with projections to inform post–2015 priorities: an updated systematic analysis. Lancet. 2015;385:430-40. Medline:25280870 doi:10.1016/S0140-6736(14)61698-6
- 2 Walker CL, Rudan I, Liu L, Nair H, Theodoratou E, Bhutta ZA, et al. Global burden of childhood pneumonia and diarrhoea. Lancet. 2013;381:1405-16. Medline:23582727 doi:10.1016/S0140-6736(13)60222-6
- **3** Checkley W, Buckley G, Gilman RH, Assis AM, Guerrant RL, Morris SS, et al. Multi–country analysis of the effects of diarrhoea on childhood stunting. Int J Epidemiol. 2008;37:816-30. Medline:18567626 doi:10.1093/ije/dyn099

- 4 Fischer Walker CL, Lamberti L, Adair L, Guerrant RL, Lescano AG, Martorell R, et al. Does childhood diarrhea influence cognition beyond the diarrhea–stunting pathway? PLoS ONE. 2012;7:e47908. Medline:23118906 doi:10.1371/journal.pone.0047908
- 5 Fischer Walker CL, Perin J, Aryee MJ, Boschi–Pinto C, Black RE. Diarrhea incidence in low– and middle–income countries in 1990 and 2010: a systematic review. BMC Public Health. 2012;12:220. Medline:22436130 doi:10.1186/1471-2458-12-220
- 6 Bhatnagar S, Lodha R, Choudhury P, Sachdev HP, Shah N, Narayan S, et al. IAP Guidelines 2006 on management of acute diarrhea. Indian Pediatr. 2007;44:380-9. Medline:17536143
- 7 Indian Academy of Pediatrics. IAP guidelines on management of diarrhea. Mumbai: IAP, 2008.
- 8 International Institute for Population Sciences and Macro International. National Family Health Survey (NFHS– 3), 2005–06, India: Key Findings. Mumbai: IIPS, 2007.
- **9** Government of India, Press Information Bureau. State–wise per capita income and gross domestic product at current prices. Mumbai: PIB, 2014.
- 10 Government of India: Ministry of Home Affairs. Census of India 2010–2011. Available: http://www.censusindia. gov.in/2011census/population_enumeration.aspx. Accessed: 1 May 2015.
- 11 ESRI. 2011. ArcGIS Desktop: Release 10.Redlands CA: Environmental Systems Research Institute.
- 12 DIVA-GIS. Spatial Data Download: India; 2011. Available: http://www.diva-gis.org/datadown. Accessed: 1 Jan 2013.
- 13 Lahariya C, Paul VK. Burden, differentials, and causes of child deaths in India. Indian J Pediatr. 2010;77:1312-21. Medline:20830536 doi:10.1007/s12098-010-0185-z
- 14 International Institute for Population Sciences. Macro International. National Family Health Survey (NFHS–3), India, 2005–06: Gujarat. Mumbai: IIPS, 2008.
- 15 International Institute for Population Sciences. Macro International. National Family Health Survey (NFHS–3), India, 2005–06: Uttar Pradesh. Mumbai: IIPS, 2008.
- 16 Walker CL, Taneja S, LeFevre A, Black RE, Mazumder S. Appropriate management of acute diarrhea in children among public and private providers in Gujarat, India: A cross–sectional survey. Glob Health Sci Pract. 2015;3:230-41. Medline:26085020 doi:10.9745/GHSP-D-14-00209
- 17 Kumar S, Roy R, Dutta S. Scaling-up public sector childhood diarrhea management program: Lessons from Indian states of Gujarat, Uttar Pradesh and Bihar. J Glob Health. 2015;5:020414. doi:10.1093/aje/kwf215
- 18 StataCorp. 2009. Stata Statistical Software: Release 10. College Station TX: StataCorp LP.
- 19 StataCorp. 2011. Stata Statistical Software: Release 12. College Station TX: StataCorp LP.
- 20 Hanley JA, Negassa A, Edwardes MD, Forrester JE. Statistical analysis of correlated data using generalized estimating equations: an orientation. Am J Epidemiol. 2003;157:364-75. Medline:12578807 doi:10.7189/jogh.05.020414
- 21 Lemeshow S, Hosmer DW Jr. A review of goodness of fit statistics for use in the development of logistic regression models. Am J Epidemiol. 1982;115:92-106. Medline:7055134
- 22 MEASURE DHS/ ICF International. Guide to DHS Statistics: Demographic and Health Surveys Methodology, 2006. Available: https://dhsprogram.com/pubs/pdf/DHSG1/Guide_to_DHS_Statistics_29Oct2012_DHSG1.pdf. Accessed: 1 October 2015.