

#### ORIGINAL RESEARCH

# Prevalence and correlates of cognitive impairment and depression among elderly people in the world's fastest growing city, Chongqing, People's Republic of China

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**Background:** Cognitive impairment and depression are major mental health problems affecting older people. The current study was designed to explore the prevalence of cognitive impairment and depression in representative sample of elderly people aged  $\geq$ 60 years and to examine the correlates of cognitive impairment and depression with other sociodemographic variables.

**Methods:** A cross-sectional study based on comprehensive geriatric assessment of 538 elderly Chinese people was conducted from September 2011 to August 2012. Cognitive impairment was assessed using the Chinese version of Mini-Mental State Examination, and depressive symptoms were assessed by 30-item geriatric depression scale.

**Results:** The prevalence of cognitive impairment was 12.6%. Multiple logistic regression analysis revealed that the following were significant independent predictors of cognitive impairment: female, having a low level of education, increasing age, and depression. The overall prevalence of depression was 24.3%, and in adjusted model, cognitive impairment was only associated with increased risk of depression.

**Conclusion:** Cognitive impairment and depression are prevalent in elderly Chinese people. Among a number of factors identified in our study, cognitive impairment and depression were highly correlated in elderly people aged  $\geq 60$  years.

**Keywords:** cognitive impairment, depression, elderly Chinese, prevalence, risk factors

### Introduction

Aging is an inevitable and complex process. The People's Republic of China has the largest elderly population in the world; currently, there are ~160 million people older than 60 years, and it is estimated that ~30% of the total population in the People's Republic of China will be older than 60 years by 2050.¹ In coming years, more elderly people will suffer from age-associated mental diseases. Depression is the most common psychiatric disorder found in the elderly people around the world. Late-life depression and cognitive impairment have become a global issue and exerted heavy burdens on the health care system and society. Late-life depression in elderly people may lead to physical illness, functional disability, and increased suicidal risk. The main risk factors associated with depression are older age, female sex, cognitive impairment, illiteracy, and fall in self-esteem.²-5 The magnitude of geriatric depression and cognitive impairment in the Chinese situation is a serious cause of concern.

Cognitive impairment and depression are often seen as comorbid conditions and major mental health problems affecting older people.<sup>2</sup> The prevalence of depression

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varies widely in the different parts of the world, depending on social, cultural, and ethnic characteristics. The worldwide prevalence of late-life depression was estimated to range from 3% to 30% and depression may significantly affect the quality of life.<sup>3</sup> Studies have revealed that in community-dwelling and institutionalized elderly people, the prevalence of depression was 9% and 25%, respectively.<sup>4,5</sup> Several studies showed that the prevalence rate of depression in elderly Chinese people ranged from 4% to 26.5%.<sup>6-8</sup> Clinicians often fail to diagnose and treat depression in elderly people.

The prevalence of cognitive impairments doubles every 5 years, affecting 20% of those aged 65 years and 45% of those aged ≥90 years. Recent studies conducted in the People's Republic of China have shown that the prevalence of mild cognitive impairment for the people aged 60 years or older ranged from 3% to 36%. 10-12 This wide variability may be due to types of study, different diagnostic criteria used, and age range used in the study.

The purpose of the present study was to 1) estimate the prevalence of late-life depression and cognitive impairment among elderly people older than 60 years in the world's fastest growing city, Chongqing and 2) examine the association of depression and cognitive impairment with sociodemographic characteristics of population.

## **Methods**

#### Location

Chongqing is one of the five national central cities in the People's Republic of China and the largest municipality in Southwest China. There are 21 districts, 13 counties, and four autonomous counties in Chongqing. Chongqing has a geographical area of 82,403 km² and population of 28.85 million in 2010. Of the total population, 15% were elderly people (aged >60 years).

# **Participants**

A cross-sectional study was conducted among elderly people aged 60 years and older who were recruited to finish comprehensive geriatric assessment (CGA) in the Department of Geriatrics, the First Affiliated Hospital of Chongqing Medical University and a Community Center of Jiangbei District, Chongqing, from September 2011 to August 2012. The study was approved by the institutional review board of Chongqing Medical University, and all the participants signed an informed consent.

Inclusion criteria comprised all consenting subjects aged 60 years and older. Exclusion criteria included people with communication difficulty due to hearing loss/dumb/blind and those with neurological or psychiatric disorders and dementia.

### Measures

In a total of 538 eligible older adults (238 males and 300 females), CGA was conducted and data were collected by well-trained geriatricians via face-to-face interview at study site. CGA is a multidisciplinary diagnostic and treatment process that included demographic data, physical health and function assessment, evaluation of behavioral and social functioning, mental problems (cognitive deficit and depression), medical condition, functional components and instrumental activities of daily living, nutritional status assessment, and auxiliary examinations. Sociodemographic parameters included in our study were sex, age, marital status, monthly per capita income, and education.

### Depressive symptoms assessment

Depressive symptoms were assessed by 30-item geriatric depression scale (GDS-30). The GDS consists of 30-item assessment tool with scores ranging from 0 to 30 to identify geriatric depression. The score is obtained by summation of positive and negative responses. This scale was developed by Yesavage et al<sup>13</sup> in 1982 and has been used to measure depression among elderly with high sensitivity (92%–100%) and specificity (84%–87%). GDS was validated in psychiatric outpatient sample of elderly Chinese people aged 60 years or older. The sensitivity and specificity were 70.6% and 70.1%, respectively, and it is applicable to the elderly Chinese population and is good for measuring depressive symptoms. Individuals having a GDS score of 11 points or higher were considered to have significant depressive symptoms.

### Assessment of cognitive function

Cognitive impairment was assessed using the Chinese version of Mini-Mental State Examination (MMSE). MMSE is widely used to screen cognitive function in the elderly people.<sup>15</sup> It examines functions including language, registration, attention and calculation, recall, ability to follow simple commands, and orientation. Scores of MMSE range from 0 to 30, with higher scores indicating better cognitive function. Any score ≥24 points indicates a normal cognition, and respondents with an MMSE score of <24 were regarded as cognitively impaired. Mild cognitive impairment was diagnosed using modified Petersen criteria.<sup>16</sup>

# Data analysis

Statistical analyses were performed using the software package SPSS 20.0 (IBM Corporation, Armonk, NY, USA). Descriptive analysis was performed to evaluate the sociodemographic and other predictive factors. For continuous and categorical variables, mean (SD) and proportion

were calculated, respectively. The prevalence of cognitive impairment and depression was calculated. Chi-square statistics was used to compare categorical variables. Factors associated with cognitive impairment and depression were initially investigated using univariate analysis. Multivariate analysis was followed, after adjustment of all variables included in univariate analysis. Odds ratio (OR), adjusted OR, and 95% confidence interval (CI) were calculated to evaluate the association of depression and cognitive impairment with various factors. All the statistical tests reported here were two sided, and P < 0.05 was considered as statistically significant.

### Results

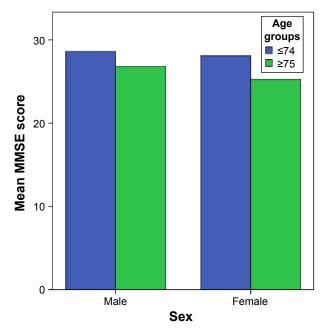
# Characteristics of study participants

Of the 550 eligible older people who underwent CGA, 538 respondents had complete data and satisfied the inclusion criteria, giving a response rate of 97.8%. Table 1 shows characteristics of the study sample. Participants ranged in age from 60 years to 94 years (with mean age of 72.58 $\pm$ 7.71 years). The mean MMSE score was 27.78 $\pm$ 3.44 (Figure 1). There were more elderly females (55.8%) than elderly males (44.2%). The average age of males and females was essentially the same (P=0.19). Females (36.3%) were more likely to be single/divorced/widowed than males (14.3%). More females were illiterate (7.3%) than males (2.1%). More than half of the participants (59.5%) had an average monthly income of <3,000 yuan. Significant differences have been observed in the marital status, monthly income, and education of females and males (Table 1).

**Table I** Sociodemographic characteristics of the study population by sex (N=538)

Variables	Male,	Female,	Total,	P-value
	n (%)	n (%)	n (%)	
Sex	238 (44.2)	300 (55.8)	538 (100.0)	
Age (years)				0.187
60–64	45 (19.0)	62 (20.7)	107 (19.9)	
65–74	91 (38.2)	122 (40.7)	213 (39.6)	
75–84	81 (34.0)	105 (35.0)	186 (34.6)	
≥85	21 (8.8)	11 (3.6)	32 (5.9)	
Marital status				< 0.001
Single/widow/divorce	34 (14.3)	109 (36.3)	143 (26.6)	
Married	204 (85.7)	191 (63.7)	395 (73.4)	
Monthly income (RMB)				< 0.001
<3,000	105 (44.1)	215 (71.7)	320 (59.5)	
3,000-9,999	130 (54.6)	83 (27.7)	213 (39.6)	
≥10,000	3 (1.3)	2 (0.6)	5 (0.9)	
Education (years)				<0.001
0	5 (2.1)	22 (7.3)	27 (5.0)	
I-5	27 (11.3)	56 (18.7)	83 (15.4)	
6–10	67 (28.2)	84 (28.0)	151 (28.1)	
≥Ⅱ	139 (58.4)	138 (46.0)	277 (51.5)	

Abbreviation: RMB, Renminbi.



**Figure I** Sex-specific mean MMSE score for age groups. **Abbreviation:** MMSE, Mini-Mental State Examination.

# Prevalence of cognitive impairment and depression

The prevalence rates for cognitive impairment and depression are shown in Table 2. The overall prevalence of cognitive impairment was 12.6% (95% CI: 10.0-15.8). Cognitive impairment was high in females (16.7%, 95% CI: 12.7-21.5) than in males (7.6%, 95% CI: 4.7-11.9), although there is significant sex differences (P=0.0015). The overall prevalence of depression was 24.3% (95% CI: 20.8-28.3). Depression was slightly higher in females (25.3%) than in males (23.1%), although there is no significant sex differences (P=0.55).

# Correlates of cognitive impairment and depression

Table 3 presents univariate comparison of cognitive impairment with sociodemographic data and depression. In univariate analysis, cognitive impairment is associated with female sex (OR =0.40, 95% CI: 0.23–0.72), being not married (single/divorced/widowed; OR =2.67, 95% CI: 1.58–4.51), being older than 75 years (OR =0.15, 95% CI: 0.08–0.28), low level of education (OR =5.58, 95% CI: 3.26–9.54), low monthly income (OR =1.74, 95% CI: 1.0–3.03), and depression (OR =3.33, 95% CI: 1.97–5.63). Similarly, in adjusted analysis, the following factors were independent predictors of cognitive impairment in our sample: female sex, low level of education, being older than 75 years, and depression.

Table 4 summarizes estimated crude and adjusted OR showing the association of depression with sociodemographic

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Table 2 Prevalence of cognitive impairment and depression by sex (N=538)

Variables	Cognitive impairm	ent		Depression		
	Presence, n (%)	95% CI	Absence, n (%)	Presence, n (%)	95% CI	Absence, n (%)
Male	18 (7.6)	4.7–11.9	220 (92.4)	55 (23.1)	18.0–29.1	183 (76.9)
Female	50 (16.7)	12.7-21.5	250 (83.3)	76 (25.3)	20.6-30.7	224 (74.7)
Total	68 (12.6)	10.0-15.8	470 (87.4)	131 (24.3)	20.8-28.3	407 (75.7)

Abbreviation: Cl, confidence interval.

Table 3 Relationship between cognitive impairment, sociodemographic data, and depression (N=538)

Variables	Cognitive impairment		OR (95% CI)	*Adjusted	<i>P</i> -value
	Yes, n (%)	No, n (%)		OR (95% CI)	
Sex					0.046
Male	18 (7.6)	220 (92.4)	0.40 (0.23-0.72)*	0.50 (0.26-0.98)*	
Female	50 (16.7)	250 (83.3)	1		
Marital status					0.801
Single/widow/divorce	31 (21.7)	112 (78.3)	2.67 (1.58-4.51)*	1.08 (0.58-2.02)	
Married	37 (9.4)	358 (90.6)	1		
Education (years)					< 0.001
0–5	35 (31.8)	75 (68.2)	5.58 (3.26-9.54)*	3.80 (2.02-7.13)*	
≥6	33 (7.7)	395 (92.3)	1		
Age (years)					< 0.001
≤74	15 (4.7)	305 (95.3)	0.15 (0.08-0.28)*	0.15 (0.08-0.31)*	
≥75	53 (24.3)	165 (75.7)	1	,	
Monthly income (RMB)	,	,			0.138
<3,000	48 (15)	272 (85)	1.74 (1.0-3.03)*	1.69 (0.84–3.39)	
≥3,000	20 (9.2)	198 (90.8)	1	,	
Depression	,	,			< 0.001
Yes	32 (24.4)	99 (75.6)	3.33 (1.97–5.63)*	2.98 (1.64-5.40)*	
No	36 (8.8)	371 (91.2)	1	()	

**Notes:** OR adjusted by marital status, education, age, monthly income, and depression. \*P<0.05.

Abbreviations: OR, odds ratio; CI, confidence interval; RMB, Renminbi.

Table 4 Relation between depression, sociodemographic data, and cognitive impairment (N=538)

Variables	Depression		OR (95% CI)	*Adjusted	P-value
	Yes, n (%)	No, n (%)		OR (95% CI)	
Sex					0.943
Male	55 (23.1)	183 (76.9)	0.88 (0.59-1.31)	1.01 (0.64-1.59)	
Female	76 (25.3)	224 (74.7)	1		
Marital status					0.083
Single/divorce/widow	48 (33.6)	95 (66.4)	1.89 (1.24-2.90)*	1.52 (0.94-2.44)	
Married	83 (21)	312 (79)	1		
Education (years)					0.963
0–5	32 (29.1)	78 (70.9)	1.36 (0.85-2.17)	0.98 (0.57-1.69)	
≥6	99 (23.1)	329 (76.9)	1		
Age (years)					0.113
≤74	60 (18.8)	260 (81.2)	0.47 (0.32-0.71)*	0.68 (0.43-1.09)	
≥75	71 (32.6)	147 (67.4)	1		
Monthly income (RMB)					0.391
<3,000	73 (22.8)	247 (77.2)	0.81 (0.54-1.21)	0.81 (0.51-1.29)	
≥3,000	58 (26.6)	160 (73.4)	1	,	
Cognitive impairment	. ,	,			< 0.001
Yes	32 (47.1)	36 (52.9)	3.33 (1.97-5.63)*	2.74 (1.52-4.94)*	
No	99 (21.1)	371 (78.9)	1		

Notes: OR adjusted by marital status, education, age, monthly income, and cognitive impairment. \*P<0.05.

Abbreviations: OR, odds ratio; CI, confidence interval; RMB, Renminbi.

data and cognitive impairment. In univariate analysis, depression is significantly associated with being not married (single/divorced/widowed; OR =1.89, 95% CI: 1.24–2.90), older than 75 years (OR =0.47, 95% CI: 0.32–0.71), and cognitively impaired (OR =3.33, 95% CI: 1.97–5.63). Similarly, in adjusted analysis, only cognitive impairment was an independent predictor of depression.

### **Discussion**

Very few studies have ever been conducted on depression and cognitive impairment among elderly people in Chongqing, People's Republic of China. However, depression and cognitive impairment in older adults may have significant consequence for health care system in the People's Republic of China and many other developed countries. To the best of our knowledge, this is the first study describing the prevalence and correlates of cognitive impairment and depression in the elderly Chinese people in Chongqing.

# Prevalence of cognitive impairment

We found that the prevalence of cognitive impairment was 12.6% among elderly Chongqing people, which was somewhat higher than that from Spanish representative sample (9.6%)<sup>17</sup> but lower than that from the Mayo Clinic study of aging (16.0%).18 A study in our neighboring country, India, Lucknow, reported that the prevalence of cognitive impairment was 7.6%.19 The prevalence studies of cognitive impairment in the US and Canada reveal relatively consistent findings. In the US and Canada, 22.2% and 16.8%, respectively, of elderly population have cognitive impairment without dementia. 20,21 In different parts of the People's Republic of China, the prevalence of mild cognitive impairment ranges from 5.4% to 25%.<sup>22</sup> Recent study conducted in urban community of the People's Republic of China reported that the prevalence of cognitive impairment was 20%,<sup>23</sup> while other studies reported 7% and 8.38%.<sup>11,24</sup> In contrast, the cognitive impairment in community-dwelling elderly population in Turkey was 26.1% (20.6% in males and 31.5% in females).25 The variation in the prevalence of cognitive impairment may be due to the differences in the characteristics of study samples, such as ages covered in the studies, diagnostic criteria, evaluation tools, education level, and lifestyles.

Being consistent with previous results, <sup>26,27</sup> the results of the current study revealed that females had higher prevalence of cognitive impairment than males. In contrast, studies from developed countries have found no sex differences in the prevalence of cognitive impairment. <sup>28,29</sup> Sex differences

in cognitive impairment in the People's Republic of China may be due to the disparity in education level, usage of social cognitive skills, and sex inequalities.

Similar to other studies, <sup>9,30,31</sup> the prevalence of cognitive impairment in this study increased with advanced age. Jorm and Jolley<sup>32</sup> revealed that the prevalence of cognitive impairment doubles every 5.2 years, more or less in an exponential manner, between the ages of 65 years and 85 years.

Regarding marital status, we found that single/divorced/ widowed individuals were more likely to be cognitively impaired than married elderly individuals (*P*<0.001). This is in agreement with previous studies,<sup>33,34</sup> which found that single elderly individuals are at great risk of suffering dementia than married individuals. Marriage has protective benefits on cognitive function, which is in consistent with the results from the previous studies in Asian<sup>35</sup> and Caucasian populations.<sup>36,37</sup> The engagement of married individuals in more social and cognitive activities than single individuals can protect them from cognitive impairment.

Similar to our study, some studies<sup>33,38,39</sup> have shown that the lower educational level was associated with increased cognitive impairment. However, some studies have revealed that education level does not have a protective effect against cognitive impairment.<sup>40,41</sup>

Similar to previous evidence, our study also indicated that the presence of depression is associated with an increased risk of cognitive impairment. After adjusting for sociodemographic factors, we found an independent association between depression and cognitive impairment. In Mexican Americans, the presence of depressive symptoms was associated with subsequent cognitive impairment. Hence, early detection of depressive symptoms in elderly people with cognitive impairment is a cornerstone to develop preventive and early rehabilitation.

# Prevalence of depression

The overall prevalence of depression in elderly Chinese people was found to be 24.3% (95% CI: 20.8%–28.3%), which is similar to the finding of meta-analysis of the 81 published articles on depressive symptoms in older Chinese adults.<sup>45</sup> This finding was much higher than the previous reported figures.<sup>46,47</sup> The prevalence of depression was 13.2%.<sup>48</sup> in elderly Mexico Hispanic people and 40% in hospitalized and nursing home elderly people.<sup>49</sup> The recent study by Yu et al<sup>50</sup> revealed that the overall prevalence rate of depressive symptoms in older Chinese adults was 39.86%. Thus, in the past few years, there is a gradual increment in the prevalence of depressive symptoms in older Chinese adults. The regional

discrepancy in economic development, labor migration, culture, and lifestyles might be the cause for regional variation in the prevalence of depressive symptoms. With rapid industrialization and urbanization, socioeconomic transition has lead to weakening of Chinese family values, family social support, and spiritual comfort for older adults, which may be the reason for increased prevalence of depression.

In the present study, we found that marital status, increasing age, and cognitive impairment were associated with high risk of late-life depression. Similar findings have been reported among the geriatric population in Pakistan<sup>51</sup> and India.52 Some studies have shown that marital status is a risk factor for depression in the elderly population, 53-55 whereas, some studies have opposite opinion. 56,57 In line with the findings of Yan et al,58 our findings suggest that marital status is a significant predictor of depressive symptoms in the older Chinese population. Specifically, single/divorced/ elderly individuals are more likely to have higher depressive symptoms than married individuals. The reason for this may be unmarried elderly people might experience more loneliness, poorer social support, lower self-confidence and are more likely to be living alone. However, when all covariates were controlled for, marital status lost its effect on depressive symptoms.

Similar to other studies, 59,60 in our study, we encounter a higher prevalence of depressive symptoms with increasing age only in univariate analysis. However, inconsistent with previous studies,<sup>3,47</sup> when all covariates were controlled for, age lost its effect on depressive symptoms. According to Blazer and Williams, 61 the difference in rates of depression in old-aged females and males is progressively smaller and may disappear among members of older age groups. It might be due to relative decrease of depression in postmenopausal females. Cognitively impaired elderly individual has been prone to exhibit increased risk of depression.<sup>42</sup> Consistent with other studies, 6,62 in our study, cognitive impairment was the most consistent factor, being associated with depression in both univariate and multivariate analyses. According to Wilson et al,63 depression represents an independent risk factor for cognitive impairment. The cognitively impaired individuals were found to be more than two times at risk of depression in the present study. Depression is linked to cognitive impairment, and elderly people with cognitive impairment exhibit increased risk of depression. 64,65

# Study limitation

There were few potential limitations in our study. First, it was a cross-sectional study performed in Chongqing, People's Republic of China, where economic development, labor migration, culture, and lifestyles may influence the prevalence of cognitive impairment and depression. Second, the significant associations found here do not suggest directional causation. Further research, using a longitudinal design, is recommended in order to investigate the detailed causal relationship between cognitive impairment and late-life depression.

Although the tools used to measure cognitive impairment and depression have been validated in Chinese population, they are screening tools, and a measurement bias due to actual disease status cannot be ignored. Despite these limitations, our study has several strengths. We investigated the factors linked to cognitive impairment and depression in older adults in Chongqing, which have been rarely studied despite their clinical importance. The study will be very important for guiding early interventions to prevent progression of MCI to dementia and potentially decrease depression among elderly people.

### **Conclusion**

Our study provides useful information on the prevalence of cognitive impairment and depression among older adults and its correlates in Chongqing, People's Republic of China. The study confirms the linkage between depression and impaired cognitive functioning in a Chinese population. The relation between depression and cognition is very complex. It is very difficult to conclude where cognitive impairment begins and where depression ends or vice versa. In the elderly population, both cognitive impairment and depression have become public health problems.

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## **Disclosure**

The authors report no conflicts of interest in this work.

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