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Green synthesis of gold nanoparticles from *Dendrobium officinale* and its anticancer effect on liver cancer

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ABSTRACT

A novel gold nanoparticle (Do-AuNP) was successfully synthesized from water extracts of traditional Chinese medicine *Dendrobium officinale* (DO) without using any extra chemicals regents. The physicochemical properties of Do-AuNPs were analyzed by transmission electron microscopy, dynamic light scattering, energy-dispersive X-ray spectroscopy, Fourier transform infrared spectroscopy, and atomic force microscopy. The amount of DO extract on the AuNPs was about 13%. In order to evaluate the anti-tumor efficiency and biosafety, the inhibitory rate of HepG2 cells and survival rate of L02 cells were performed *in vitro*, and the immunohistochemical analysis of H&E, Ki-67, and TUNEL staining were conducted *in vivo*. Our results demonstrated that Do-AuNP had better anti-tumor efficiency compared with DO extraction alone without increasing toxicity *in vivo* and *in vitro*. The present study provides useful information for Do-AuNP as a new nanomedicine for liver cancer.

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KEYWORDS

Dendrobium officinale; gold nanoparticles; biosafety; liver cancer

1. Introduction

In recent years, there has been an increase in the use of nanomaterials in the field of medicine. Gold nanoparticles (AuNPs) possess remarkable optical, plasma resonance, and bioconjugation properties, which facilitate high stability and can be easily combined with biological molecules (Liu et al., 2019). Thus, AuNPs are widely used in the biomedical industry, including the fields of clinical chemistry, immunology, microbiology, and cancer (El-Sayed et al., 2005; Medley et al., 2008; Bagheri et al., 2018). Additionally, AuNPs are also used in the diagnosis and treatment of malignant tumors (El-Sayed et al., 2005; Medley et al., 2008; Bagheri et al., 2018; Khan & Khan, 2018). However, the nanoparticles synthesized by physical and chemical methods have been shown to exhibit low efficacy and toxic by-products. Therefore, there is an urgent need to develop novel methods for the synthesis of nanoparticles with minimally toxic side products.

Traditional Chinese medicine (TCM) is known for its good efficacy and minimal side effects. Researchers often use modern medical techniques to purify the components present in TCM, such as polysaccharides, phenolic acids, flavonoids, esters, and coumarins, and then analyze their individual pharmacological activities, including immunological benefits, anti-infection, and anti-tumor activities, etc. (Yu et al., 2018; Teng et al., 2020). *Dendrobium officinale (Dendrobium officinale Kimura et Migo*, DO) is a widely used TCM, which is known to exhibit various pharmacological activities, such as

intestinal immunity regulation (Xie et al., 2016), anti-tumor (Li et al., 2019; Liang et al., 2019), and metabolic regulation in diabetes (Yang et al., 2020). Some studies have shown that DO exerts an inhibitory effect on liver cancer (Xing et al., 2018a,b). Here, we performed water extraction of DO to synthesize AuNPs and study their biological activities to avoid the use of toxic and harmful chemical reagents in the process of drug separation.

According to the Global Cancer Statistics 2021, the incidence of liver cancer has stabilized in men; however is on a steady rise (2% annually) in women, mainly due to obesity, hepatitis B virus, and hepatitis C virus, etc. (Siegel et al., 2021). Currently, the primary treatment of liver cancer involves surgical resection; however, most patients are diagnosed late and thus, cannot undergo surgical resection (Forner et al., 2018). Although Solafinib has shown significant efficacy for the systemic treatment of liver cancer, the survival rate is less than 3 months (Keating, 2017). Transarterial chemoembolization (TACE) has been shown to be effective in patients with advanced, unresectable liver cancer (Lo et al., 2002). Recent studies have shown the beneficial effects of the use of immunotherapy drugs, such as nivolumab, atezolizumab with or without bevacizumab for the treatment of liver cancer (Yau et al., 2019; Lee et al., 2020; Pinato et al., 2020; Yang et al., 2020). However, the available treatment methods have limited efficacy, high recurrence rate, and are prone to distant metastasis. Thus, there is an urgent need to develop novel methods for the treatment of liver cancer.

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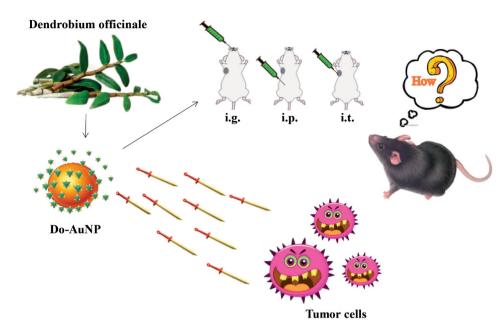


Figure 1. Conceptual diagram of Do-AuNP effect in vitro and in vivo (intragastric gavage (i.g.), intraperitoneal (i.p.), or intratumoral injection (i.t.)).

Recently, several studies have shown that green synthesis of AuNPs from TCM extracts, such as *Rabdosia rubescens* Linn, Siberian ginseng, banana peel, etc., has inhibitory effects on tumor cells (Wu et al., 2019; Zhang et al., 2019; Liu et al., 2020). Since DO has been reported to have effect on anti-cancer and immune regulation in liver cancer as mentioned above and maybe we would be able to enhance the bioavailability of DO by combining it with AuNPs. Therefore, in this study, we synthesized AuNPs from DO extract (Do-AuNP) and explored its effect on liver cancer, which might provide a new strategy for the treatment of liver cancer (Figure 1).

2. Materials and methods

2.1. Materials

Dendrobium officinale was purchased from the herbal medicine market in Chongging, China and was authenticated by Professor Xiaojun Sun from Beijing Huadafangke Commodity Quality Inspection Co. Ltd. (Beijing, China) before the preparation of AuNPs. Adriamycin (ADM) was obtained from Shenzhen Main Luck Pharmaceuticals Inc. (Shenzhen, China). Chloroauric acid (HAuCl₄) was purchased from Shanghai Xianding Biological Technology Co. Ltd. (Shanghai, China). Trisodium citrate dihydrate was gained from Beijing G-CLONE Biological Technology Co. Ltd. (Beijing, China). The cell counting kit-8 (CCK-8) assay kit was purchased from Boster Biological Technology Co. Ltd. (Pleasanton, CA). Dulbecco's modified Eagle's medium (DMEM) and 0.25% EDTA/trypsin were procured from HyClone (Logan, UT). Fetal bovine serum (FBS) was purchased from Gibco Life Technologies (Carlsbad, CA).

2.2. Preparation of Dendrobium officinale extract

We boiled DO powder (100 g) for 2 h at $80 \degree$ C in 1 L of distilled water. The extract was filtered and concentrated in a

rotary evaporator. Then, the extract was freeze-dried to yield the fine powder for further analysis.

2.3. Synthesis of Do-AuNP

Dendrobium officinale gold nanoparticles (Do-AuNP) were synthesized by adding 2.4 mg/mL of DO to 1 mM chloroauric acid and incubated at 80 °C for 30 min. The synthesis of Do-AuNPs was confirmed based on the change in the color of the solution from light yellow to wine red (Wu et al., 2019). The UV spectroscopic analysis was done to further confirm the properties of Do-AuNP. Besides, Au nanoparticle without DO was synthesized according to the method of another study (Haiss et al., 2007).

Moreover, the absorbance value of DO extract at different concentrations (0.2, 0.4, 0.6, 0.8, and 1.0 mg/mL) were measured by ultraviolet spectrophotometer at 540 nm to prepare the standard curve of DO extract. The Do-AuNPs nanoparticles were collected by high-speed centrifugation for 30 min, and the weight (m_1) of nonabsorbent DO in the supernatant was measured by the standard curve. The weight of DO attached to the AuNPs' surface was obtained by subtracting m_1 from the total mass (m_0) we added to the solution. Then, the precipitation was lyophilized and weighed as m_2 . In total, the amount of DO extract on the AuNPs was calculated as $(m_0 - m_1)/m_2$.

2.4. Cell counting kit-8 assay

HepG2 and L02 were gifted by the Key Laboratory of Molecular Biology for Infectious Diseases of the Second Affiliated Hospital of Chongqing Medical University. HepG2 and L02 cells were cultured in DMEM medium, supplemented with 10% FBS, 100 U/mL penicillin, and 100 μ g/mL streptomycin in a CO₂ incubator at 37 °C.

The HepG2 and L02 cells (5×10^3 cells/well) were seeded in 96-well plates. Next, various concentrations of DO and

Do-AuNPs (50, 100, 200, and 400 μ g/mL) were added after adhesion. Also, NS and ADM (2 μ g/mL) were added as negative and positive controls. After 24 h of culture, CCK-8 reagent (10 μ L/well) was added to every well and incubated for 1.5 h. Finally, the cell viability was evaluated in both cell lines using UV-Vis spectrophotometer by OD values (450 nm), respectively. All assays were performed thrice. The results were defined as follows (Long et al., 2018):

 $\begin{aligned} \text{Cellviability} \ (\%) &= \left[\text{OD}(\text{experiment}) - \text{OD}(\text{blank}) \right] / \\ & \left[\text{OD}(\text{control}) - \text{OD}(\text{blank}) \right] \times 100\%. \end{aligned}$

 $\begin{aligned} & \text{Cell proliferation inhibition rate}(\%) \\ &= \left[\text{OD}(\text{control}) - \text{OD}(\text{experiment}) \right] / \\ & \left[\text{OD}(\text{control}) - \text{OD}(\text{blank}) \right] \times 100\%. \end{aligned}$

2.5. Animals and tumor model

Female C57BL/6 mice (age: 6–8 weeks) were purchased from the Animal Facility of Chongqing Medical University. After one week of acclimatization, we established a tumor model by subcutaneously injecting cultured mouse hepatocellular carcinoma tumor strains, H22 tumor cells (4×10^5 cells/ mouse), into the right armpit of mice. The mice were returned to the original environment with standard feeding for 10 days after the injection and were randomly divided into nine groups (n = 6 each). The mice were treated with 2 mg/kg DO and Do-AuNP by an intragastric gavage (i.g.), intraperitoneal (i.p.), or intratumoral (i.t.) injection daily for 3 weeks; saline was used as the control.

We used a caliper to record body weights and tumor sizes every two days; the tumor volume was calculated using the following formula: $(a \times b^2)/2$, where *a* and *b* represent the longest and shortest diameter of the tumor, respectively (Zou et al., 2019). The mice were sacrificed by cervical dislocation, and tumors, spleen, liver, heart, lungs, kidney, thymus, and peripheral blood samples were collected. The organ index and the tumor inhibition rate were calculated using the following formulas:

 $Organ \ index = spleen(thymus)weight/body \ weight \times 10$

× 100%,

Tumor inhibition rate = $[1 - A/B] \times 100\%$

where *A* is the weight of the tumors of the treated groups and *B* is the weight of the tumors of the NS group.

All tumors were fixed with 4% polyoxymethylene for hematoxylin and eosin (H&E) staining, terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick end labeling (TUNEL), and antigen Ki67. The images were visualized using a Nikon E100 microscope and Nikon DS-U3 camera (Nikon, Minato, Japan). The percentage of total positive cells was calculated by randomly recording three fields at $\times 200$ magnification.

2.6. Statistical analysis

The data were expressed as $average \pm SD$ and all analyses were conducted in triplicate. Comparison between multiple groups was assessed with the *t*-test, and one-way analysis of variance (ANOVA) followed by Student's Newman–Keuls post hoc test. These variables were repeatedly measured at different time points in order to analyses and *p*<.05 was considered statistically significant.

3. Results and discussion

3.1. Synthesis and characterization of Do-AuNPs

3.1.1. Ultraviolet-visible spectroscopy

There has been a rapid development in the green synthesis of nanoparticles from Chinese herbal drugs in multiple medical fields (Markus et al., 2017). According to the experience from other previous studies, the synthesis of Do-AuNPs could be confirmed by color change of red wine (Venkatesan et al., 2014) and ultraviolet-visible (UV) spectroscopic analysis. Figure 2(A) shows the maxima of the absorbance peak was detected at approximately 540 nm due to the surface plasmon resonance property of gold, which also correlates with the study of Rui (Liu et al., 2019) that states the nanoparticles of spherical shape they synthesized showed a strong absorption at 530 nm and decreasing after 600 nm. Besides, the peak absorbance in the UV analysis was found to be consistent with time for 30 days (Figure 2(A)), which indicated the stability of Do-AuNP. Besides, the corresponding mass was measured as m_0 (1.44 mg), m_1 (0.86 mg), and m_2 (4.518 mg), then the amount of DO extract on the AuNPs was calculated as 13% by the methods mentioned above.

3.1.2. Particle size analysis

Figure 3(A) shows the transmission electron microscopic (TEM) image of Do-AuNPs, which was not uniform in size with a spherical shape; the average size of biosynthesized Do-AuNPs was measured to be 30 nm or so which were larger compared with Au nanoparticles alone. The dynamic light scattering (DLS) analysis of Do-AuNPs confirmed the

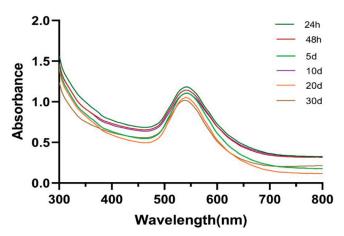


Figure 2. The UV–visible spectrum absorption pattern of Do-AuNP at different time.

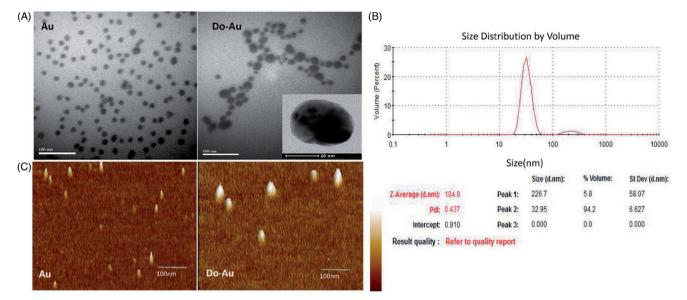


Figure 3. Particle size of Do-AuNP (A) photograph of high resolution transmission electron microscopy (TEM). (B) Dynamic light scattering (DLS) analysis. (C) Atomic force microscopy analysis (AFM).

size to be 32.95 nm in diameter which were also larger than Au nanoparticles alone (Figure 3(B)). The polydispersity value of Do-AuNPs was reported to be 0.437 which might have also indicated a good distribution of these particles. The results of DLS analysis corresponded with those of TEM which indicated the composition of Do-AuNPs and their unequal sizes. The non-uniform size distribution of Do-AuNPs was in correlation with the fact that the herbal extraction particles were not equivalent because of the variable composition of DO. In other words, when the AuNPs were coated with different herbal extraction particles, they formed particles of uneven size. Based on DLS analysis, the main peak of Do-AuNPs curve representing the average volume of most particles was distributed around 32.95 nm. Thus, in conclusion, the particle size of Do-AuNPs was defined as approximately 30 nm.

In addition, we used an atomic force microscope (AFM) to observe the surface morphology of Do-AuNPs (Figure 3(C)). As shown in the figure, the surface of the Au particles is smooth and the particle size is about 10 nm. However, the top of the Do-AuNPs particle surface is relatively rough and the particle size is non-normally distributed. The above results are consistent with the TEM, which further indicates that Do is successfully attached to the surface of AuNPs.

Dendrobium officinale extract has been reported to cross cell membranes easily and inhibit the growth of HepG2 cancer cells (Xing et al., 2018a). In addition, some studies have shown that AuNPs were well applied in drug delivery targeting into cells and effectively release drugs (Donoso-González et al., 2021). In our study, the Do-AuNPs significantly increased the contact area of drugs, and we supposed that they are able to target to tumor cells with higher loading efficiency and increase the bioavailability.

3.1.3. Composition of Do-AuNPs

The active biomolecules present in the biosynthesized Do-AuNPs were evaluated by Fourier transform infrared spectroscopy (FTIR) (Figure 4(A)). The main characteristic peaks were observed between 1000 and 3500 cm^{-1} . The peaks at 3409.03 cm^{-1} corresponded to the stretching vibration peak of the phenolic isohydroxyl group (AROH). The absorption peaks measured at 2928 cm^{-1} and 1731.92 cm^{-1} were the stretching vibration peak of the alkyl group and the carbonyl group, respectively. The peak at 1640 cm^{-1} was due to the stretching of the double bond (C=C AR). The peaks between 1060 and 1030 cm^{-1} were the out-of-plane vibration peaks of the aromatic hydrogen (ARH). Additionally, the peaks between 1249 and 1427 cm^{-1} were the in-plane vibration peak of alkyl hydrogen.

The original method of reducing AuNPs involved chemical, electrochemical, and photolytic reduction techniques (Pestov et al., 2016). The reduction involved functional groups, such as hydrosulfonyl (–SH) and aldehyde group (–CHO) (Zhang et al., 2019). Studies on the components of DO identified polysaccharides, alkaloids, amino acids, esters, alcohols, flavonoids, glycosides, etc. (Zhou et al., 2018; Hu et al., 2020). In this study, the FTIR analysis of Do-AuNPs showed a peak at 1640.98 cm⁻¹, 1731.92 cm⁻¹, and 2928 cm⁻¹, which not only indicated the existence of esters and aromatic compounds, but also supported the possible underlying mechanisms of DO extract to reduce chloroauric acid to synthesize Do-AuNPs.

Figure 4(B) shows the energy dispersive X-ray analysis (EDAX) results of Do-AuNPs which indicated the composition elements. A few peaks around 10 keV were observed, which suggested the presence of Au ions in the Do-AuNPs, and a strong peak was measured at 7 keV, indicating the presence of Cu atoms, which could be a part of the supporting filament made of copper grids.

3.2. CCK-8 assay

The CCK-8 assay was performed to evaluate the biocompatibility of the Do-AuNPs. HepG2 and L02 cell lines were originated from human hepatocellular carcinoma cells and

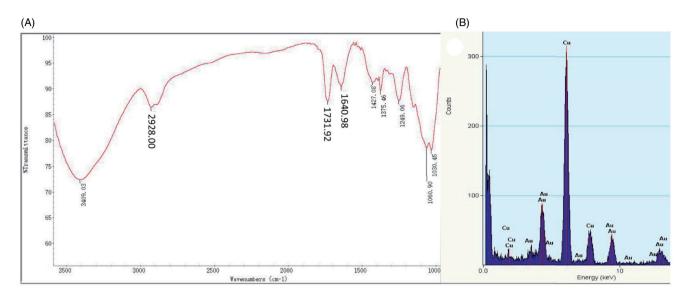


Figure 4. FTIR and EDAX of Do-AuNP (A) FTIR and (B) energy dispersive X-ray analysis (EDAX).

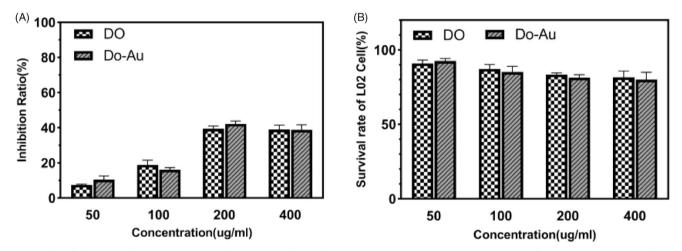


Figure 5. The cellular assays of Do-AuNP. (A) The inhibition rates of Do and Do-AuNP at various concentrations on HepG2 cells. (B) The survival rates of Do and Do-AuNP at various concentrations on LO2 cells.

normal liver cells, respectively. After the treatment with different concentrations of DO and Do-AuNPs, the viability of HepG2 cells was found to significantly reduce in a dose-dependent manner (Figure 5(A)). Both drugs showed a high inhibition rate on HepG2 cells at 200 μ g/mL, but the effect of Do-AuNPs was slightly better. In addition, both drugs had little effect on the survival rate of normal liver cells (LO2) indicating that the Do-AuNPs had good biocompatibility (Figure 5(B)).

The reason why there is no significant difference in the inhibitory effect on HepG2 between Do-AuNP and Do alone *in vitro* might be that the active ingredients were DO extract which encapsulated the AuNPs in it. However, its pharmaco-kinetics might change due to the addition of AuNPs *in vivo*, thus increasing the anti-tumor effect significantly.

3.3. Tumor inhibition in vivo

3.3.1. Weight and volume

In the *in vivo* assay, we injected mouse liver cancer cells (H22) directly into the right armpit of mice to establish a

classic subcutaneous liver tumor model. The grouping and administration methods were consistent with those mentioned above. Overall, the body weight of each group was found to be stable, suggesting that Do-AuNPs had no significant side effects while exhibiting anti-tumor activity (Figure 6(A)). Figure 6(B) shows the growth in tumor volume throughout the experiment. The tumors in the i.p. group grew slowly, and Do-AuNPs had the strongest inhibitory effect on the tumors, which gradually shrank after approximately 10 days, while others grew in the control group. Similarly, after the mice were sacrificed, the tumor images (Figure 6(C)) and tumor weight values (Figure 7(A)) were compared with the previous results. In general, the therapeutic effect of Do-AuNPs in the i.p. group was significantly better than that of the other groups.

The i.p. administration method showed the best efficacy, which was probably related to higher and persistent drug absorption into the blood through the omentum majus. However, it contradicted the results of a previous study (Hsieh et al., 2011), which demonstrated that i.p. was more effective compared with others. Theoretically, an intramural injection (i.t.) would have a stronger anti-tumor effect than

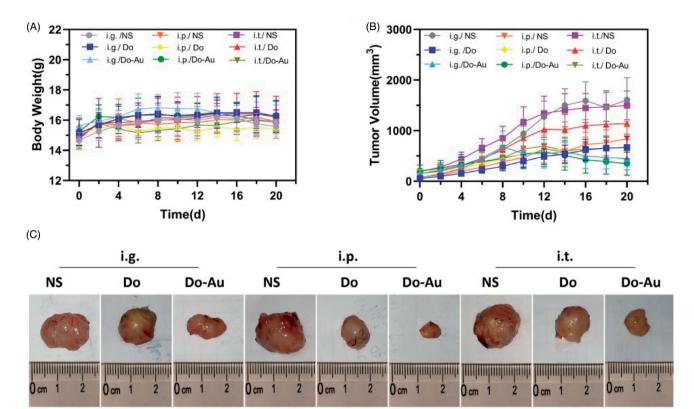


Figure 6. Gross tumor index. (A) Body weights during the 20 days. (B) Time-dependent tumor volume curves of different groups. (C) Photographs of tumors from nine different groups.

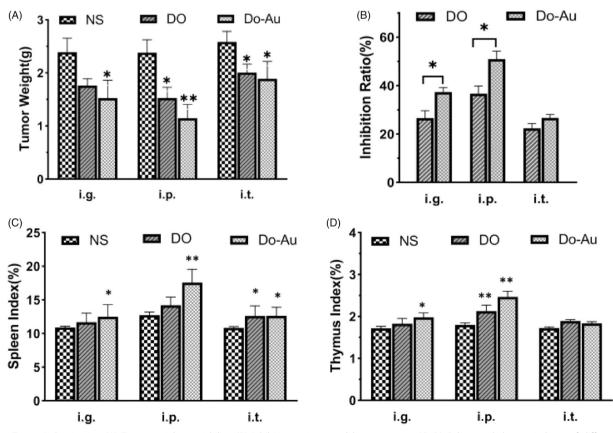


Figure 7. Tumor Index *in vivo*. (A) Tumor weights variability. (B) Inhibition ratio caused by treatments. (C, D) Spleen and thymus indexes of different groups. **p*<.05, ***p*<.01 compared to the control.

other methods because of its high concentration locally. Thus, we suggested the following reasons for the lower efficacy of i.t. in our study. First, there was always medication coming out of the pinhole even if we were careful. Additionally, the anti-tumor mechanism of Do-AuNPs did not kill the tumor cells directly but via the activation of other pathways, for instance, immune regulation. However, these hypotheses need further verification.

3.3.2. Spleen index and thymus index

As expected, the tumor weight inhibition rate in the Do-AuNPs group was higher than that of DO alone in all the three methods of administration (Figure 7(B)). In addition, we found that the spleen index and thymus index increased in both DO and Do-AuNPs groups, and it was comparatively higher in the Do-AuNPs group (Figure 7(C,D)). As mentioned before, the mechanism of anti-cancer effect needs further discussion. A study showed that polysaccharides from DO showed preliminary antioxidant and anti-cancer activities on HepG2 cells (Xing et al., 2018a). Another study investigated the role of isoviolanthin extracted from DO and confirmed that it inhibited the growth of HCC cells by altering the expression of EMT markers via regulating the TGF-B/Smad and PI3K/Akt/mTOR signaling pathways (Xing et al., 2018b). What is more, DO have been shown to enhance immunity through humoral immunity or cellular immunity in mice (Liu et al., 2020). In this study, the spleen and thymus indexes of mice were increased, and the apoptotic index was higher, whereas the proliferative index was lower than other groups. Thus, we suspected that more pathways might be involved in the anti-cancer mechanism of Do-AuNPs, which needs further confirmation.

3.3.3. Immunohistochemical analysis

Additionally, we performed H&E, TUNEL, and Ki-67 staining of the tumor to further illustrate the efficacy of the Do-AuNPs (Figure 8(A)). The results of H&E staining in Do-AuNPs group showed more necrosis and nuclear pyknosis. Positive cells were also higher in the TUNEL and Ki-67 samples in the Do-AuNPs group compared with other groups. Similarly, the apoptotic index and proliferative index showed the same trend in the Do-AuNPs group (Figure 8(B,C)). These histological results indicated that the Do-AuNPs that were administered i.p. had stronger anti-cancer activity than other groups which was consistent with the previous results.

3.4. Material biosafety

The blood analysis of hepatic and renal function (ALT, AST, BUN, and CRE) was done to verify the biological safety of Do-AuNPs. Among all groups, all the indexes were within the normal range for mice (Dicson et al., 2015), and all the biochemical results showed no obvious functional damage of the liver and kidney (Figure 9(A–D)). Subsequently, H&E staining of the heart, liver, spleen, lung, and kidney was done. Do-AuNPs did not present obvious toxicity and side effects on the vital organs of the mice (Figure 10). These results indicated good biosafety of Do-AuNPs which was consistent with the results *in vitro*. Above all, our study provided a strong possibility to use the green synthesized material in cancer therapy.

4. Conclusions

Thus, we successfully synthesized AuNPs from TCM herbal extracts and demonstrated their inhibitory effect on liver

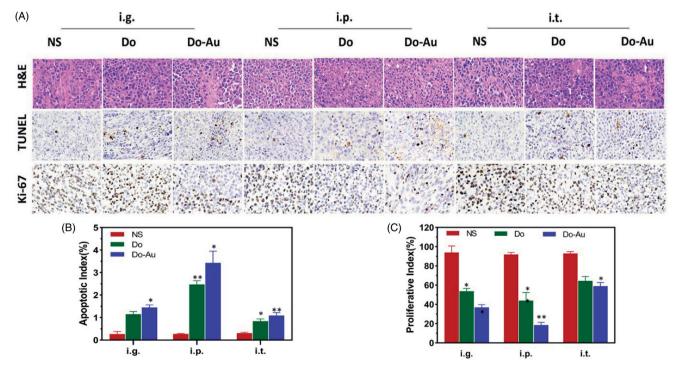


Figure 8. Histological index. (A) H&E, TUNEL, and Ki-67 staining of tumor. (B) Apoptotic index. (C) Proliferative index (*p<.05, **p<.01 compared to the control).

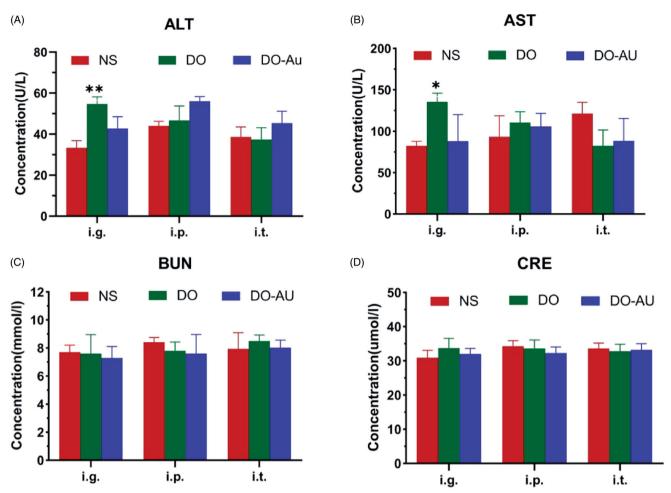


Figure 9. Bio-safety of material. (A–D) The blood biochemical analysis of ALT, AST, BUN, and CRE, respectively. **p*<.05, ***p*<.01 compared to the control.

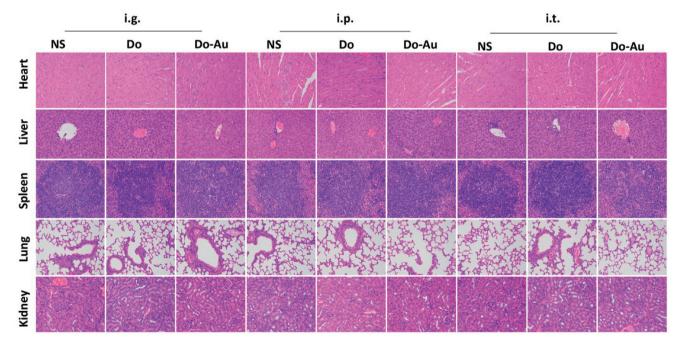


Figure 10. H&E staining photos of heart, liver, spleen, lung, and kidney in every treatment groups.

cancer in vitro and in vivo. In the process of synthesis of Do-AuNPs, the optimal conditions were 2.4 mg/mL of DO extract at 80°C for 30 min. Then, the methods TEM, DLS, EDAX, AFM, and FTIR were used to determine the morphology, size, and composition of Do-AuNPs which were spherical shape with a size of 30 nm. Besides, the amount of DO extract on the AuNPs was calculated as 13%. In vitro, the inhibitory effect on HepG2 and protective effect on L02 cells of Do-AuNPs were verified by the CCK8 assay. In vivo, the anti-cancer activity of Do-AuNPs was preliminarily shown by a decrease in body weight and tumor volume, as well as the tumor inhibition rate. Additionally, Ki-67 and TUNEL assays were performed on the tumor for further confirmation of the inhibitory effect of Do-AuNPs on tumor. Moreover, i.p. injection has been proved to be a effective administration compared with i.g. and i.t. The biosafety of Do-AuNPs was illustrated by serum levels of ALT, AST, BUN, and CRE of mice and H&E staining of the heart, liver, spleen, lung, and kidney. Therefore, Do-AuNPs were synthesized via an environmentally friendly method that combined TCM with nanomedicine and possessed potential anti-cancer activity both in vivo and in vitro.

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Ethics statement: All animal experiments and procedures were followed under guidelines authorized by the Institutional Animal Care and Use Committee of Chongqing Medical University. The research on *D. officinale* was carried out in accordance with regulations of the Central Laboratory of Second Affiliated Hospital of Chongqing Medical University. Besides, voucher specimens were authenticated by Professor Xiaojun Sun and deposited in Beijing Huadafangke Commodity Quality Inspection Co. Ltd. to access.

Disclosure statement

The authors declare no potential conflicts of interest in this paper.

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