



Original Article

Bronchopulmonary dysplasia in very preterm infants: Outcome up to preschool age, in a single center of Austria

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Abstract **Background:** Bronchopulmonary dysplasia (BPD) is the most frequent chronic lung disease in infancy and is associated with neonatal comorbidity and impairment in pulmonary and neurodevelopmental (ND) long-term outcome.

Methods: This was a retrospective, single-center, cohort study to compare a cohort of very preterm infants (gestational age [GA], 24⁺⁰–28⁺⁶ weeks) with BPD ($n = 44$), with a cohort of GA-matched preterm infants without BPD ($n = 44$) with regard to neonatal morbidity, incidence of lower respiratory tract infection (LRTI), ND outcome and growth to 2 years' corrected age (CA) and preschool age.

Results: Bronchopulmonary dysplasia (incidence, 11.3%) was associated with a higher rate of neonatal pneumonia (26% vs 7%, $P = 0.001$), longer total duration of mechanical ventilation (mean days, 21 vs 13, $P < 0.001$), and a higher rate of pulmonary hypertension (20.5% vs 0%, $P = 0.002$) and of severe retinopathy of prematurity (13.6% vs 0%, $P = 0.026$). Incidence of LRTI was significantly higher in the BPD infants (50% vs 26%, $P = 0.025$). ND outcome did not differ between the two groups. Growth at neonatal intensive care unit discharge was similar. In the BPD cohort, rate of weight < 10th percentile was higher at 2 years' CA (52% vs 30%, $P = 0.041$) and rate of head circumference < 10th percentile was higher at preschool age (59% vs 27%, $P = 0.028$).

Conclusion: Neonatal respiratory morbidity was significantly higher in the BPD cohort, but long-term ND outcome did not differ. Infants with BPD had poorer growth.

Key words bronchopulmonary dysplasia, growth, morbidity, neurodevelopment, preterm infant.

Bronchopulmonary dysplasia (BPD) is the most frequent chronic lung disease (CLD) in infancy. Rate and severity are influenced by a number of variables such as grade of immaturity, underlying pathological conditions, genetic predisposition, perinatal management or BPD definition. Originally described and named by Northway *et al.*¹ in 1967 in relatively mature preterm infants as severe chronic pulmonary disease (“old” or “classic” BPD), the clinical picture has changed over time. It is now a pulmonary disease occurring mainly in very or extremely low gestational age neonates (ELGAN) with severity-based diagnostic criteria assessed at a postmenstrual age (PMA) of 36 weeks.^{2,3} The most commonly used BPD definition in practice comes from Shennan *et al.*,⁴ who proposed that the requirement of supplemental oxygen (sO_2) at 36 weeks' PMA was the best predictor of abnormal pulmonary outcomes at 2 years of age in very low-birthweight infants (VLBWI). While the classic BPD was attributed to aggressive mechanical ventilation (MV) and high fraction of inspiratory oxygen, the new BPD in the era of surfactant and gentle ventilation is

instead considered a consequence of disrupted lung development.⁵ Nevertheless, the pathophysiology of BPD is still complex, and its rate has not changed much in the last two decades, despite advances in perinatal management in countries with high-quality neonatal intensive care units (NICU), with estimates persisting at approximately 40% in preterm infants between 22 and 29 weeks of gestation.⁶ In addition, BPD may still have a significant impact on mortality and short- and long-term morbidity. The primary aim of the present study was to follow a cohort of preterm infants between 24⁺⁰ and 28⁺⁶ weeks' gestation with BPD from birth to preschool age, including neurodevelopmental (ND) outcome, in comparison with a gestational age (GA)-matched cohort of preterm infants with no BPD. Parameters of secondary outcomes were incidence of hospitalization due to lower respiratory tract infection (LRTI), and the analysis of infant growth profile. We hypothesized that patients with BPD would have poorer outcomes in addition to a higher rate of neonatal comorbidities.

Methods

Inclusion and exclusion criteria

Patients between 24⁺⁰ and 28⁺⁶ weeks' GA, admitted to the present NICU between 1 January 2000 and 31 December 2011

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with a diagnosis of BPD and surviving > 36 weeks' GA were recruited from the NICU electronic database. For post-discharge follow up the medical hospital reports and data from the outpatient clinic on ND follow up were reviewed up to preschool age. For group comparisons we recruited GA-matched (1:1) preterm infants, born in the same year, designated as having no BPD in the medical reports. According to our guidelines for the management of ELGAN at the limits of viability, provisional care was not provided for infants < 24 weeks' GA. The only exclusion criterion was multiple congenital malformations.

Definition of BPD

During the study period patients were generally coded as having BPD if they were still on sO_2 at 36 weeks' PMA. For the purpose of this study we also implemented workshop-based definition criteria published in 2001 for preterm infants < 32 weeks' GA,² and differentiated moderate from severe BPD. Moderate BPD was defined as requirement for sO_2 < 30%, and severe BPD as requirement for sO_2 \geq 30% and/or positive pressure support or nasal continuous positive airway pressure at 36 weeks' PMA or at discharge to home, whichever came first. The physiologic definition of BPD⁷ was not used.

Neonatal comorbidities and therapeutic interventions

Patients were treated according to the unit policy (NICU-policy) with standardized protocols for invasive and non-invasive respiratory support. Post-natal steroids were given i.v. for ≥ 7 days throughout the study period as a prophylaxis for BPD in infants who could not be weaned after 1 week of continuous MV. Neonatal sepsis and pneumonia were diagnosed based on a combination of clinical symptoms, laboratory results and chest X-ray.⁸ In the case of positive *Ureaplasma urealyticum* (UU) culture results from tracheal aspirates, and chest X-ray showing streaky-patchy interstitial lung changes, patients received erythromycin 50 mg/kg bodyweight three times per day for 10 days. Cerebral ultrasound (US) was routinely performed on days 1,3,5, and thereafter once per week in cases of pathological findings such as intraventricular/periventricular hemorrhage (IVH/PVH) and periventricular leukomalacia (PVL). IVH was diagnosed according to the criteria of Papile *et al.*⁹ Severe IVH was graded as IVH \geq III, severe PVL as being cystic (cPVL \geq II).¹⁰ Necrotizing enterocolitis (NEC) was diagnosed according to clinical and radiographic gastrointestinal signs.¹¹ For NEC prophylaxis a multimodal approach started in the first 24 h of life consisting of early trophic feeding with human breast milk, and enteral gentamycin, nystatin, and *Lactobacillus casei rhamnosus* was routinely given during the whole study period in all VLBWI.¹² Retinopathy of prematurity (ROP) was diagnosed using indirect ophthalmoscopy by an experienced ophthalmologist and classified according to the International Classification of Retinopathy of Prematurity (ICROP).¹³ Severe ROP was defined as ROP \geq stage III and treated by laser therapy.¹⁴

Growth parameters such as weight, length and head circumference (HCF) were documented on fetal-infant growth charts for preterm infants in the NICU and on standard growth charts in infants after discharge from the NICU. For the purpose of this study we analyzed the incidence of weight, length and HCF < 10th percentile. Small for gestational age (SGA) was defined as weight < 10th percentile.

Follow up to preschool age

From the medical hospital charts and outpatient controls the frequency of hospitalization due to LRTI was analyzed. After discharge from the NICU patients at risk were routinely seen in the outpatient clinic for ND follow up by experienced neuropediatricians at the corrected age (CA) of 4, 8, 12, 18 and 24 months. Thereafter they were seen once per year up to preschool or school age. For the purpose of this study, ND was analyzed at CA of 2 years (time point [TP]1) and at preschool age (TP2). At TP1, Griffith's Developmental Scales (Griffiths Scales of Infant Development-rev. 1970¹⁵) were used until 2005, and from 2005 to 2011 the Bayley Scales of Infant Development were used.¹⁶ At TP2 neurocognitive outcome (NCO) was measured using the Wechsler Preschool and Primary Scale of Intelligence-Version III, and on neurological and clinical examination according to Amiel-Tison and Stewart, and to Touwen.¹⁷⁻¹⁹ NCO was categorized as normal, mild-moderate, and severe.²⁰ Evaluation of neurological abnormality included cerebral palsy (CP), visual impairment (VI), and hearing impairment (HI). This study was approved by the local ethics committee (29-486 ex18/17).

Ethics

The study has been approved by the local ethics committee and was performed in accordance with the ethics standards as laid down in the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards.

Statistical analysis

Continuous data are presented as mean \pm SD or median (IQR), as appropriate. Categorical data are given in absolute and relative numbers. The Mann-Whitney *U*-test or *t*-test was used to compare continuous data between matched patient groups, and the chi-squared test or Fisher's exact test to compare categorical data between matched groups. Test for independent samples was chosen because matching was done for only one variable (GA). $P < 0.05$ was considered statistically significant. Statistical analysis was performed using SPSS version 24 (IBM, Armonk, NY, USA).

Results

During the study period 469 infants with GA 24⁺⁰-28⁺⁶ weeks were admitted to the NICU (Fig. 1). There were 89 deaths at <36 weeks' PMA. Of the remaining 380 patients, 43 (11.3%)

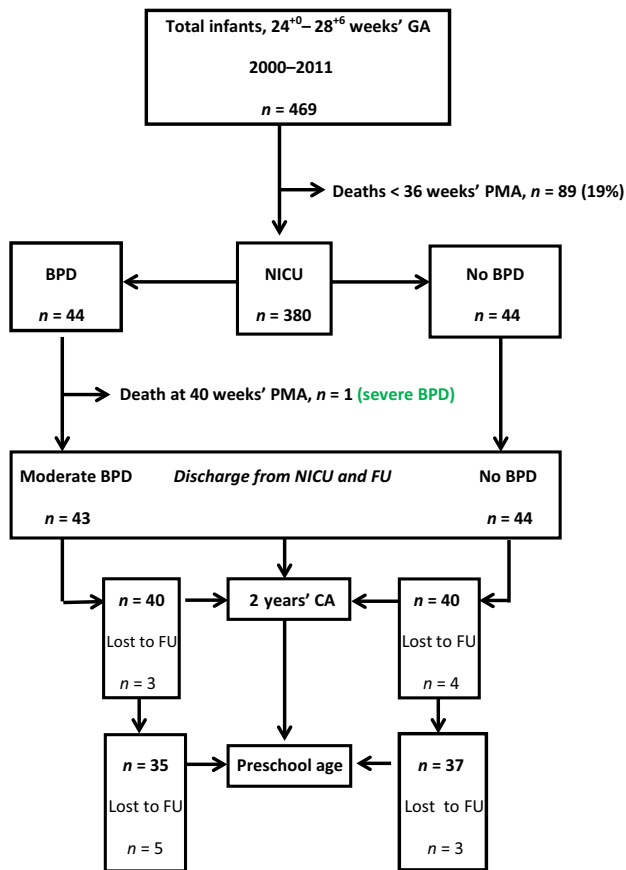


Fig. 1 Flow chart of subject selection and follow up (FU). BPD, bronchopulmonary dysplasia; CA, corrected age; GA, gestational age; NICU, neonatal intensive care unit; PMA, post-menstrual age.

were diagnosed with moderate BPD. Only one patient (0.3%) developed severe BPD, an SGA preterm infant (GA, 28 weeks; birthweight, 530 g). She died during the NICU stay at a PMA of 40.6 weeks due to severe sepsis associated with multiorgan failure. Nevertheless because of late death she was included in the BPD cohort. Only two patients (4.5%) with moderate BPD were discharged with home sO_2 . A total of 44 survivors with no BPD were matched for GA and year of birth (Table 1). Perinatal clinical characteristics are listed in Table 1. Table 2 lists additional respiratory diagnoses, medical treatment strategies during the NICU stay, anthropometrics and PMA at discharge from the NICU. There was a high but similar incidence of early and late onset neonatal sepsis in the cohorts 54.5% is the incidence of early and late-onset sepsis in the BPD-cohort and 42% the corresponding rate in the non-BPD cohort, whereas pneumonia, mainly of late onset, was significant higher in the BPD cohort (36% vs 7%). Interestingly, >50% were associated with UU respiratory tract colonization (RTC). Table 3 lists the prevalence of major neonatal morbidities. There was a higher incidence of severe ROP in the BPD cohort (13.6% vs 0.0%, $P = 0.026$). Hospitalization rate due to LRTI including obstructive bronchitis, bronchiolitis and pneumonia was significantly higher in the BPD cohort

Table 1 Perinatal clinical characteristics

Characteristics	BPD ($n = 44$) n (%), mean \pm SD or median (range)	No BPD ($n = 44$) n (%), mean \pm SD or median (range)	P -value
Gestational age (weeks)	25 (24–28)	25 (24–28)	0.754
Birthweight (g)	683 \pm 213	836 \pm 211	0.007
Antenatal steroids	39 (88.6)	29 (72.5)	0.060
Cesarean section	39 (88.6)	37 (84.1)	0.534
SGA	12 (27.3)	5 (11.4)	0.059
Male	26 (59.1)	25 (56.8)	0.829
Apgar score at 5 min	8 (4–10)	8 (3–10)	0.417
Apgar score at 10 min	9 (7–10)	9 (5–10)	0.140
UA-pH	7.3 \pm 0.1	7.3 \pm 0.1	0.838

BPD, bronchopulmonary dysplasia; SGA, small for gestational age (birthweight < 10th percentile); UA-ph, umbilical artery pH.

Table 2 Neonatal characteristics at NICU discharge

Outcome	BPD $n = 44$ n (%) or mean \pm SD	No BPD $n = 44$ n (%) or mean \pm SD	P -value
RDS any stage	37 (84.1)	37 (84.1)	1.000
RDS stage III–IV	24 (54.5)	18 (40.9)	0.200
Surfactant therapy	39 (88.6)	31 (72.7)	0.059
PTX	3 (6.9)	2 (4.5)	>0.99
Pneumonia	16 (36)	3 (7)	0.001
UU-RTC	9	2	
Sepsis	23 (54.5)	18 (42)	0.270
Pulmonary hypertension	9 (20.5)	0	0.002
iNO	8	–	
Sildenafil	1	–	
iMV (total days)	21 \pm 13.1	13.0 \pm 9.8	<0.01
Rescue HFO	12 (27.3)	0 (0.0)	<0.01
Postnatal steroids	31 (70.5)	10 (23.3)	<0.01
Weight < 10th percentile [†]	32 (76.2)	32 (72.7)	0.713
Length < 10th percentile [†]	31 (76)	15 (69)	0.544
HCF < 10th percentile [†]	25 (62.5)	13 (48.6)	0.402
PMA (weeks) at discharge	41.6 \pm 7.0	36.8 \pm 2.7	0.122

BPD, bronchopulmonary dysplasia; HCF, head circumference; HFO, high frequency oscillation; iMV, invasive Mechanical Ventilation; iNO, inhaled Nitric Oxide; NICU, neonatal intensive care unit; PMA, postmenstrual age; PTX, pneumothorax; RDS, respiratory distress syndrome; UU-RTC, *Ureaplasma urealyticum* respiratory tract colonization.

[†]Calculated from available data refers only to weight, length and HCF.

(50% vs 26%, $P = 0.025$). Table 4 lists the ND outcome including neurocognitive impairment (NCI) and neurologic abnormality, and growth parameters, at TP1 at 2 years' CA (mean, 24 months; range, 21–30 months of age), and at TP2 at preschool age (mean, 6 years; range, 5–7 years of age). More patients in the BPD cohort had severe NCI at TP1 (17.5% vs 5.1%) and TP2 (17.1% vs 5.4%), but these differences did not reach statistical significance. Neurologic abnormality varied between 8% and 11%, but was not significantly different between the groups. The BPD cohort did show a higher incidence of growth failure (<10th percentile), and the difference was significant for the weight at 2 years' CA (52% vs 30%, $P = 0.041$), and for HCF at preschool age (59% vs 27%, $P = 0.028$; Fig. 2).

Discussion

The present clinical observational cohort study included preterm infants between 24⁺⁰ and 28⁺⁶ weeks' GA. Preterm

Table 3 Major neonatal comorbidities and medical treatment

Major morbidity Treatment	BPD <i>n</i> = 44 <i>n</i> (%)	No BPD <i>n</i> = 44 <i>n</i> (%)	<i>P</i> -value
IVH ≥ III/PVH	5 (11.4)	5 (11.4)	1.000
VP shunt	2 (4.0)	1 (2.0)	
cPVL ≥ grade II	1 (2.3)	2 (4.5)	0.200
ROP ≥ stage III	6 (13.6)	0 (0.0)	0.026
Laser therapy	5 (83)	–	
Surgical NEC	1 (2.3)	1 (2.3)	1.000
PDA-hs	9 (20.5)	6 (13.6)	0.451
PDA ligation	1 (11.1)	0 (0.0)	

BPD, bronchopulmonary dysplasia; cPVL, cystic periventricular leukomalacia; IVH, intraventricular hemorrhage; NEC, necrotizing enterocolitis; PDA-hs, hemodynamically significant persistent ductus arteriosus; PVH, periventricular hemorrhage; ROP, retinopathy of prematurity; VP, ventriculoperitoneal.

infants with BPD had a significantly higher neonatal pulmonary morbidity. At discharge only severe ROP was significantly higher in the BPD group. Looking at long-term clinical follow up, significantly more rehospitalizations due to LRTI were seen in that cohort. ND outcomes assessed at 2 years' CA and at preschool age did not differ significantly. Nevertheless the BPD cohort did show poorer growth during the observation period: weight < 10th percentile occurred significantly more often in the BPD group at 2 years' CA, and HCF < 10th percentile occurred significantly more often at preschool age.

BPD incidence and definition

The incidence of BPD varies widely in the literature, which may be explained by differences in local practices, prenatal and postnatal clinical risk factors, and the definition, but it mainly depends on the degree of immaturity.^{21–23} In the present study, the prevalence of moderate and severe BPD in surviving infants > 36 weeks' GA was low (we included only patients ≥ 24 weeks' GA). In a national prospective, observational study from Norway the prevalence of moderate BPD was 25.2%, but that study also included infants from 22 to 23 weeks' GA.²⁴ It is well known that there is strong inverse relationship between BPD severity and GA. In a recent paper by Jobe and Steinhorn the authors articulate inadequacies in definition and classification for BPD, partly due to identifying infants only at a single point in time, and changing respiratory care practices.³ For a population-based study like the present one, the use of a definition of BPD as requirement for sO₂ at 36 weeks' PMA may be sufficient.

Neonatal respiratory morbidity, medical treatment

Bronchopulmonary dysplasia is mainly characterized by persistent respiratory symptoms requiring long-term respiratory support and is also frequently associated with clinically relevant comorbidities. Despite similar GA, incidence, and

Table 4 Neurodevelopmental outcome at 2 years' CA (TP1) and preschool age (TP2)

Outcome	Moderate BPD		No BPD		<i>P</i> -value TP1/TP2
	TP1	TP2	TP1	TP2	
	<i>n</i> = 40 <i>n</i> (%)	<i>n</i> = 35 <i>n</i> (%)	<i>n</i> = 40 <i>n</i> (%)	<i>n</i> = 37 <i>n</i> (%)	
Follow up (%)	90.9	79.8	90.9	84.1	
NCI					0.241/0.350
None	17 (42.5)	15 (42.9)	21 (53.8)	19 (51.4)	
Mild–moderate	16 (40.0)	14 (40.0)	16 (41.0)	16 (43.2)	
Severe	7 (17.5)	6 (17.1)	2 (5.1)	2 (5.4)	
Neurologic abnormality	4 (10.0)	4 (11.4)	4 (10.0)	3 (8.1)	1.000/0.707
CP	1 (2.5)	1 (2.9)	2 (5.0)	2 (5.4)	
VI	3 (7.5)	3 (8.6)	0 (0.0)	0 (0.0)	
HI	1 (2.5)	1 (2.9)	1 (2.5)	1 (2.7)	

BPD, bronchopulmonary dysplasia; CA, corrected age; CP, cerebral palsy; HI, hearing impairment; NCI, neurocognitive impairment; TP, time point; VI, visual impairment.

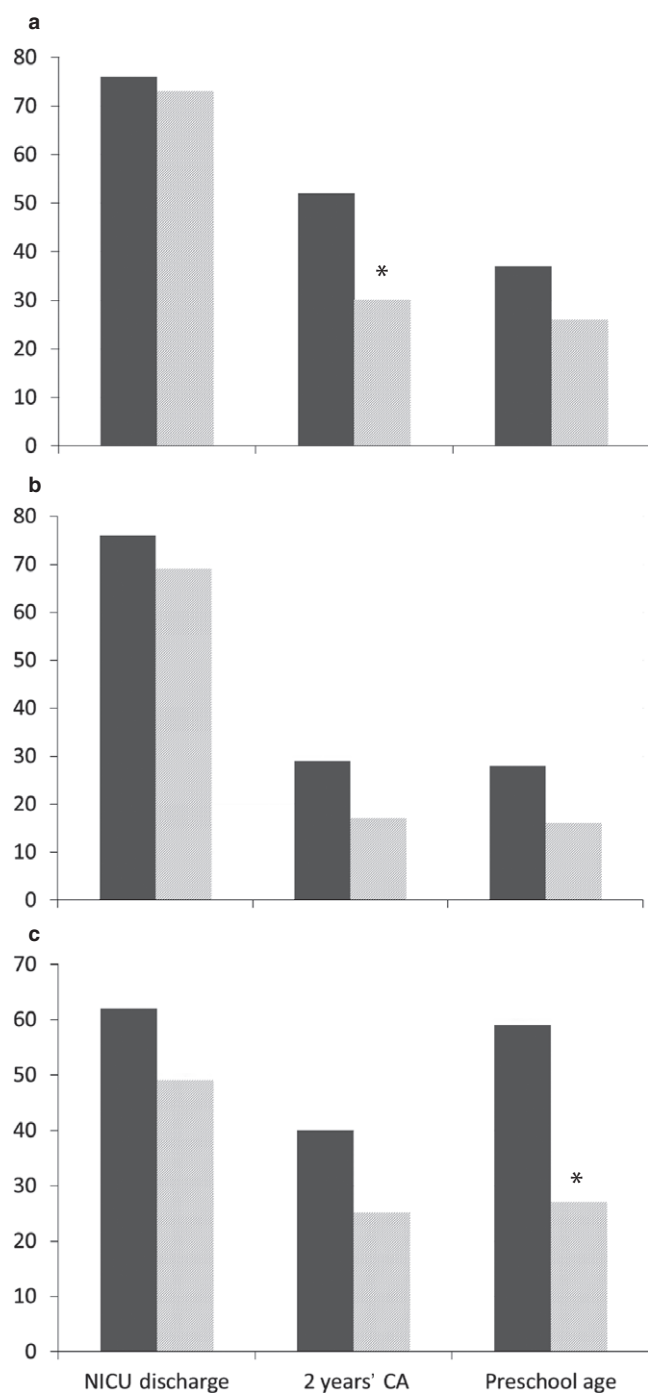


Fig. 2 Percentage of patients with (a) weight < 10th percentile, (b) length < 10th percentile and (c) head circumference < 10th percentile in infants (■) with and (▨) without bronchopulmonary dysplasia at discharge from the neonatal intensive care unit (NICU), at 2 years' corrected age (CA) and at preschool age. * $P < 0.05$.

severity of surfactant-treated respiratory distress syndrome, infants with BPD had more persistent respiratory morbidity, and subsequently an increased need for respiratory support in the NICU. Although SGA is a known risk factor for BPD and other adverse clinical outcomes,²⁵ we do not think that this

was an important aspect in the present study, because there was no significant weight difference between the groups at discharge. To our knowledge there are few studies on the incidence of neonatal pneumonia in BPD. In a recent paper the incidence of pneumonia in a cohort of BPD patients with a mean GA of 25 weeks was 27%,²⁶ which was similar to the present BPD cohort. The reported incidence of RTC with *Ureaplasma* species in VLBWI ranges from 20% to 45%.²⁷ The role of *Ureaplasma* in the development of BPD remains controversial in the literature. Nevertheless, several meta-analyses, reports, and a single-center case-control study from the present NICU observed a significant association between *Ureaplasma* RTC and BPD, defined either as requirement for sO_2 at 28 days or at 36 weeks' PMA.²⁷⁻²⁹

Major neonatal morbidities

We found a significant higher rate of severe ROP in the present BPD cohort (13.6% vs 0%). Other morbidities were similar. This was surprising, because one would expect a higher number of comorbidities in the BPD group. Nevertheless, the present ROP incidence was in agreement with the literature. In a study of infants < 27 weeks' gestation with BPD, severe ROP was significantly higher in the BPD cohort.³⁰

Lower respiratory tract infection

We found a significantly higher incidence of LRTI in the BPD cohort (50% vs 26%). These findings parallel other reports in the literature, showing an increased short- and long-term respiratory morbidity in BPD patients.^{31,32}

ND outcome assessments

We did not find significant differences between the two cohorts at TP1 and TP2. This may be partly explained by the fact that there were no patients with severe BPD in the long-term follow up. Furthermore, no patients had a combined morbidity count of BPD, severe ROP and brain injury (severe IVH/cPVL). That combination normally is predictive for late neurosensory impairment in Extremely Low Birthweight (ELBW) infants.³³ There are a number of long-term studies in the literature in preterm infants with BPD at different ages reporting conflicting results in regard to the assumption that BPD may be an independent risk factor for poor ND outcome. In a retrospective cohort study of infants between 22 and 27 weeks' GA, 50% of patients had an Neurodevelopmental Impairment (NDI). But there was no significant difference between those patients with no-mild BPD versus moderate-severe BPD.²³ In contrast, in a study by Anderson and Doyle, in survivors with BPD (defined as the need for sO_2 at 28 days of life) the CP rate was 15% compared with 3%-4% in patients without BPD.³⁴ In an updated publication by the same authors, children with BPD also higher rates of cognitive, educational and behavioral problems.³⁵ Differences in reported ND outcomes may be due to a number of reasons such as the selected patient population,

BPD definition and severity, incidence and severity of major comorbidities, treatment protocols, methods and time points of ND assessment, socioeconomic status, or the observation period.^{23,25,26} There are some ND follow-up studies for BPD patients longer than 2 years for CA. In a long-term outcome study up to 3 years' CA, ND disability, particularly cognitive impairment, was significantly more frequent in children with BPD compared with no BPD.³⁷ In a longitudinal follow-up study to 8 years in preterm infants with BPD, Short *et al.*³⁸ reported poorer Neurocognitive outcome (NC) outcome in children with severe BPD compared with mild or moderate BPD, using a severity-based classification system for BPD definition.

Growth assessment follow up

At discharge there were no differences in growth assessment. With increasing age, differences appeared. Whereas there were absolutely no significant differences in length growth (Fig. 2b), differences appeared in weight and HCF. Overall the incidence of growth failure diminished with increasing age. At TP1 the incidence of weight < 10th percentile was significantly higher in the BPD patients. Interestingly, this difference was not present at TP2 (Fig. 2a). Differences in HCF appeared later, only at TP2 (Fig. 2c). The prevalence of HCF < 10th percentile was significantly higher in the BPD group. In a study by Natarajan *et al.*,³⁰ at the follow-up visit at 18–22 months' CA, a significantly higher proportion of infants with BPD had weight and HCF < 10th percentile. In the study by Lodha *et al.*³⁷ evaluating growth as a secondary outcome, children with BPD and with chronic oxygen dependence were significantly more likely to have weight and length below the 5th percentile, but the proportion of HCF below the 5th percentile was not significantly different. These studies and the present report highlight the importance of nutritional support and longitudinal follow up of growth in ELGAN, and particularly in BPD patients. They might have deficits in growth due to higher energy consumption or the more frequent use of postnatal steroids.

Limitations

This was a retrospective single-center study with a small sample size due to a low BPD incidence. It may be representative only of a selected population of inborn preterm infants between 24⁺⁰ and 28⁺⁶ weeks' GA with moderate BPD. Matching on birthweight in addition to GA was not possible due to the limited sample size. Not all patients returned to follow up, but the follow-up rate of 80–90% (Table 4) up to preschool age may be considered as very satisfactory and similar to other studies.^{23,30,38}

Strengths

The present study had an appropriate control group without BPD comparable for several perinatal, medical variables. We used the same standardized NICU treatment protocols during the study period and report on longitudinal follow up of

clinical outcomes to preschool age, with assessment of ND outcome and growth.

In conclusion, neonatal respiratory morbidity was significantly higher in the BPD cohort, but long-term ND outcome did not differ significantly in infants with moderate BPD compared with a control group without BPD. Neonates with BPD had poorer growth charts with significantly smaller HCF at preschool age.

Disclosure

The authors declare no conflict of interest.

Author contributions

F.R. conceptualized the manuscript and wrote the original draft; A.S. performed the data collection; U.MF. analyzed the follow-up data; B.R. assisted in writing and editing the manuscript; A.A. performed the statistical analysis; B.U. critically reviewed the manuscript. All authors read and approved the final manuscript.

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