

1 **Immunogenicity of a third dose of BNT162b2 to ancestral SARS-CoV-2 &**  
2 **Omicron variant in adults who received two doses of inactivated vaccine**

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6 **Running head**

7 Immunogenicity of third dose of BNT162b2

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1 **ABSTRACT**

2 **Background:** Limited data exist on antibody responses to mixed vaccination strategies involving  
3 inactivated COVID-19 vaccines, particularly in the context of emerging variants.

4 **Methods:** We conducted an open label trial of a third vaccine dose of an mRNA vaccine  
5 (BNT162b2, Fosun Pharma/BioNTech) in adults aged  $\geq 30$  years who had previously received  
6 two doses of inactivated COVID-19 vaccine. We collected blood samples before administering  
7 the third dose and 28 days later, and tested for antibodies to the ancestral virus using a binding  
8 assay (ELISA), a surrogate virus neutralization test (sVNT) and a live virus plaque reduction  
9 neutralization test (PRNT). We also tested for antibodies against the Omicron variant using live-  
10 virus PRNT.

11 **Results:** In 315 participants, a third dose of BNT162b2 substantially increased antibody titers on  
12 each assay. Mean ELISA levels increased from an optical density (OD) of 0.3 to 2.2 ( $p < 0.001$ ),  
13 and mean sVNT levels increased from an inhibition of 17% to 96% ( $p < 0.001$ ). In a random  
14 subset of 20 participants, the geometric mean PRNT<sub>50</sub> titers rose very substantially by 45 fold  
15 from Day 0 to Day 28 against the ancestral virus ( $p < 0.001$ ) and rose by 11 fold against the  
16 Omicron variant ( $p < 0.001$ ). In daily monitoring, post-vaccination reactions subsided within 7  
17 days for over 99% of participants.

18 **Conclusions:** A third dose of COVID-19 vaccination with an mRNA vaccine substantially  
19 improved antibody levels against the ancestral virus and the Omicron variant with well-tolerated  
20 safety profile, in adults who had received two doses of inactivated vaccine 6 months earlier.

21 **Key words:** COVID vaccine; immunogenicity; booster; BNT162b2; CoronaVac

22

## 1 INTRODUCTION

2 The accrual of population immunity to COVID-19, acquired through natural infection or  
3 vaccination, will eventually bring an end to the pandemic and allow life to return to normal.

4 Major vaccine technologies being used for COVID-19 include inactivated virus, viral vectored,  
5 recombinant protein-based and mRNA vaccines [1]. We have previously shown that two doses  
6 of the mRNA vaccine BNT162b2 (BioNTech/Fosun Pharma) conferred approximately 10-fold  
7 higher post-vaccination neutralising antibody titers than two doses of the aluminium hydroxide-  
8 adjuvanted inactivated virus vaccine CoronaVac (Sinovac) [2, 3], while T cell responses to the  
9 two vaccines were similar [3]. The emergence of variants of concern (VOCs) and decreases in  
10 vaccine effectiveness within a few months after the second vaccine dose have resulted in  
11 recommendations for third doses [4].

12 Various studies have reported immunogenicity and safety of third doses using the same vaccine,  
13 i.e. homologous booster vaccination [5, 6], but there are few studies evaluating heterologous  
14 booster, such as the use of a third dose of an mRNA vaccine in individuals who previously  
15 received two doses of inactivated vaccine [7-11]. Costa Clemens et al. conducted a non-  
16 inferiority randomised trial of a heterologous third dose of BNT162b2 or other vaccines against a  
17 homologous third dose of CoronaVac in adults who had received two doses of CoronaVac [8].  
18 They showed that all heterologous booster groups have substantial rise in neutralizing antibody  
19 titers against the Omicron and Delta variants [8]. They also reported more local reactions but less  
20 systemic reactions to BNT162b2 compared to adenoviral vectored vaccines given as third doses.  
21 Here, we report a trial of the immunogenicity and reactogenicity to a third dose of BNT162b2 in  
22 Chinese adults who had previously received two doses of inactivated COVID-19 vaccine.

# 1 MATERIALS AND METHODS

## 2 Study design

3 The “mRNA vaccination to boost antibodies against SARS-CoV-2 in recipients of inactivated  
4 vaccines” (mBoost) study is an open-label single-arm clinical trial to measure the antibody  
5 responses and reactogenicity of an mRNA vaccine (BNT162b2) given as a third dose in adults  
6  $\geq 30$  years of age who have previously received two doses of an inactivated COVID-19 vaccine.  
7 CoronaVac and BNT162b2 have been available to adults in Hong Kong since March 2021. Some  
8 Hong Kong residents could have received two doses of inactivated vaccine BIBP (Sinopharm)  
9 instead from mainland China or overseas. The BNT162b2 vaccine used in Hong Kong, known as  
10 the Pfizer/BioNTech vaccine elsewhere, are distributed solely by Fosun Pharma in Greater  
11 China.

12 Enrolment invitations were extended to community-dwelling adults in Hong Kong through mass  
13 promotion efforts including advertisements in newspapers and social media. Interested adults  
14 were invited to visit the study website and complete an online screening form for initial  
15 assessment of enrolment eligibility, and confirmed again shortly before vaccination. Individuals  
16 were eligible if they were aged  $\geq 30$  years, had previously received two doses of an inactivated  
17 COVID-19 vaccine with the most recent dose  $\geq 90$  days prior to enrolment. We excluded  
18 individuals who reported a history of COVID-19 infection, received any dose of COVID-19  
19 vaccine other than an inactivated vaccine, or who were unsuitable to receive an mRNA vaccine  
20 including but not limited to allergies to the active substance or other ingredients of the vaccine.  
21 We also excluded individuals with diagnosed medical conditions related to their immune system,  
22 use of medication that impairs immune system in the last 6 months except topical steroids or  
23 short-term oral steroids (course lasting  $\leq 14$  days), those who had used immunoglobulins or any

1 blood products within 90 days prior to enrolment, and any females who were pregnant or  
2 intending to become pregnant in the coming 3 months.

3 We collected 20ml clotted blood specimens at the day of enrolment and vaccination (day 0) and  
4 again after 28 days, with additional blood draws planned after 182 and 365 days. This was a  
5 single-arm study with no need for randomization, and the participants and the study staff were  
6 aware of the type of vaccination administered (no blinding). After vaccination, participants were  
7 observed for 30 minutes to record any immediate events. We then asked participants to report the  
8 presence of a list of (post-vaccination) local or systematic events/reactions daily for 7 days using  
9 an online e-diary. If the participant was still reporting any events/reaction on Day 7, additional  
10 daily monitoring continued for up to three additional weeks until symptoms resolved. On each  
11 day, participants were also asked to grade whether the reported symptoms overall have interfered  
12 with their usual activities (mild, moderate and severe). Participants are interviewed at 28, 182  
13 and 365 days after vaccination to collect information on underlying medical conditions, and any  
14 medical encounters including hospitalizations during the study. Participants were provided with a  
15 free tympanic thermometer at enrolment, and a gift voucher of HK\$100 (US\$13) at the follow-  
16 up blood draws. Study data were collected and managed using REDCap electronic data capture  
17 tools [12, 13].

### 18 **Ethics**

19 Written informed consent was obtained from all participants. The study protocol was approved  
20 by the Institutional Review Board of the University of Hong Kong. The study was registered on  
21 Clinicaltrials.gov prior to commencement (NCT05057182).

1 **Outcome measures**

2 The primary outcome measure is the vaccine immunogenicity at 28 days after receipt of the third  
3 dose of BNT162b2, measured as geometric mean titer (GMT) of SARS-CoV-2 serum  
4 neutralizing antibodies against the vaccine strain (i.e. the ancestral virus) using plaque reduction  
5 neutralization test (PRNT). As the Omicron strain emerged in late 2021, we also evaluated  
6 vaccine immunogenicity against the Omicron strain. The secondary outcome measures include  
7 the incidence of solicited reactions or medical encounters following vaccination; the GMT at  
8 other post-vaccination timepoints (days 182 and 365) and their corresponding geometric mean  
9 fold-rise (GMFR) from baseline, for which data collection is continuing and the results will be  
10 reported in due course.

11 **Sample size justification**

12 For the primary outcome of vaccine immunogenicity, i.e. the GMT of SARS-CoV-2 serum  
13 neutralizing antibodies against the vaccine strain (ancestral virus) at 28 days after vaccination, a  
14 sample size of 300 individuals was chosen based of feasibility [14].

15 **Laboratory methods**

16 Blood samples were delivered to our study laboratory at the University of Hong Kong as soon as  
17 possible, with the optimal delivery time within 24h. Sera were extracted from the clotted blood  
18 within 48h and stored at -80°C until subsequent testing. We tested sera heat inactivated at 56°C  
19 for 30 minutes with three assays, our in-house enzyme-linked immunosorbent assay (ELISA) for  
20 the receptor binding domain (RBD) of the spike protein, a surrogate virus neutralisation test  
21 (sVNT) (GenScript), and a plaque reduction neutralisation test (PRNT) as previously described  
22 [10, 15, 16]. We aimed to tested sera collected at baseline and Day 28 with the ELISA and sVNT  
23 in all participants, and with PRNT in a randomly-selected subset of 20 participants. We have

1 demonstrated a good correlation ( $r=0.77$ ) between PRNT<sub>50</sub> and sVNT neutralization percentage  
2 for ancestral virus in our earlier studies [17]. The ELISA was not designed as a quantitative  
3 assay, although it has a dynamic range of between 0 to 5 and we have demonstrated a good  
4 correlation ( $r=0.83$ ) between ELISA OD<sub>450</sub> and sVNT neutralization percentage for ancestral  
5 virus [17]. For ELISA a single 1:100 and for sVNT a single 1:10 serum dilution was tested  
6 respectively. For PRNT, a serial two-fold serum dilutions from 1:10 to 1:320 were tested. PRNT  
7 assays were carried out using ancestral SARS-CoV-2 BetaCoV/Hong Kong/VM20001061/2020  
8 isolated in Hong Kong in January 2020 in Vero-E6 cells (ATCC CRL-1586), the Pango lineage  
9 B.1.1.529 Omicron variant designated hCoV-19/Hong Kong/VM21044713\_WHP5047-S5/2021  
10 isolated in Vero-E6 TMRSS2 cells (Vero E6 cells overexpressing TMRSS2, kindly provided by  
11 Dr S Matsuyama and colleagues), and the passage level 3 virus aliquots were used. Cells were  
12 maintained in DMEM medium supplemented with 10% FBS and 100 U/ml penicillin–  
13 streptomycin (all from ThermoFisher Scientific, Waltham, MA, USA). Virus sequences are  
14 available in GISAID as EPI\_ISL\_412028 and EPI\_ISL\_6716902. The PRNT<sub>50</sub> and PRNT<sub>90</sub> titers  
15 were the highest serum dilutions neutralizing  $\geq 50\%$  and  $\geq 90\%$  of input plaques, respectively.  
16 The WHO control serum provided by the National Institute for Biological Standards and Control  
17 20/136 gave PRNT<sub>50</sub> titers of 320 and 320 against the ancestral virus and 20 and 40 against the  
18 Omicron variant in two titrations [10].

### 19 **Statistical analysis**

20 We assessed the GMT of SARS-CoV-2 (PRNT) neutralizing antibody titers, surrogate virus  
21 neutralization percentages and the mean concentrations of SARS-CoV-2 Spike RBD IgG (proxy  
22 by OD<sub>450</sub>) at Day 28. For sVNT, measured negative neutralization percentages were transformed  
23 to zero. PRNT titers were taken as the reciprocal of the serum dilution and were interval-



1 censored, e.g. a sample that was able to neutralise virus at a 1:20 dilution but not at a 1:40  
2 dilution was reported as 20 to indicate that the titer was  $\geq 20$  and  $< 40$ . We imputed titers  $< 10$  with  
3 the value 5 and titers  $\geq 320$  with the value 640 for estimation of GMTs. We compared antibody  
4 levels at Day 28 after receipt of BNT162b2 to baseline using Wilcoxon signed rank tests.  
5 Correlation of PRNT<sub>90</sub> titers measured at Day 28 against the ancestral strain and the Omicron  
6 variant was estimated by Spearman's rank correlation coefficient. Reactogenicity endpoints were  
7 described as frequency (%) for local and systemic reactions or events among participants who  
8 reported health status for at least one day in the week following receipt of the third dose of  
9 BNT162b2. Statistical analyses were conducted using R version 4.1.2.

## 10 **RESULTS**

11 From 13 October 2021 through 18 January 2022 we screened 436 individuals, of which 366  
12 (84%) were eligible and 315 (86%) were enrolled and administered BNT162b2 vaccination. We  
13 collected paired Day 0 and Day 28 blood samples in 312 (99%) vaccinated participants. Most of  
14 the participants were older Chinese adults (median aged 54 years, IQR 47-62), with 35% who  
15 were obese, and about one-third reported at least one chronic medical condition including  
16 hypertension (18%), hypercholesterolemia (13%) and diabetes (7%), and almost all (98%)  
17 reported receiving two doses of CoronaVac rather than other inactivated vaccines (Table 1).  
18 Although adults who had received two doses of inactivated virus vaccination at least 90 days ago  
19 were eligible to enrol into our study (Figure S1), 75% of participants reported receiving the  
20 second dose typically around 6-7 months earlier (Table 1). A detailed flow chart of participant  
21 enrolment is provided in Figure S1. The study is ongoing and Day 182 and 365 samples will be  
22 collected in Spring 2022 and Autumn 2022 respectively.

1 The third dose of BNT162b2 substantially increased antibody titers measured by the various  
2 assays (Figure 1). Mean ELISA levels increased from a OD of 0.3 to 2.2 ( $p<0.001$ ), and mean  
3 sVNT levels increased from an inhibition of 17% to 96% ( $p<0.001$ ) (Table 2). We randomly  
4 selected a subset of 20 participants for further PRNT testing against the ancestral virus and the  
5 Omicron strain (Table 1, Supplementary Table 1). The ELISA and sVNT levels in these 20  
6 participants were similar to that of the 312 vaccinated participants (Figure S2). In these 20  
7 participants, the geometric mean PRNT<sub>50</sub> titer ( $GMT_{PRNT50}$ ) against the ancestral strain at Day 0  
8 was 12. Assuming a titer value of 640 for each of the 18/20 (90%) Day 28 sera samples that were  
9 positive at the highest dilution of 1:320, we estimated the  $GMT_{PRNT50}$  at Day 28 to be 557. The  
10 corresponding  $GMFR_{PRNT50}$  from Day 0 to Day 28 was estimated to be 45 ( $p<0.001$ ). The  
11 geometric mean PRNT<sub>90</sub> titer ( $GMT_{PRNT90}$ ) against the ancestral strain at Day 0 was 6. At Day  
12 28, 12/20 samples were positive at the highest dilution of 1:320, and similarly we estimated the  
13  $GMT_{PRNT90}$  at Day 28 to be 309, with the corresponding  $GMFR_{PRNT90}$  to be 54 ( $p<0.001$ ).  
14 Furthermore, in these same 20 participants the  $GMT_{PRNT50}$  against the Omicron strain at Day 0  
15 was 5, and rose to 59 at Day 28, a  $GMFR_{PRNT50}$  of 11 given that the Day 0 titers were almost all  
16 assigned values of 5 corresponding to the floor of the assay at  $<10$  ( $p<0.001$ ). The  $GMT_{PRNT90}$   
17 against the Omicron strain at Day 0 was also 5, and rose to 19 at Day 28, giving a  $GMFR_{PRNT90}$   
18 of 4 ( $p<0.001$ ). We did not identify any evidence that suggest a correlation between the PRNT<sub>90</sub>  
19 titers against the Omicron variant and that against the ancestral virus ( $r=0.30$ ,  $p=0.21$ ) (Figure  
20 S3).

21 Among the 315 participants who received BNT162b2 vaccination, 304 (97%) participants  
22 reported health status for at least one day in the week following receipt of BNT162b2, including  
23 234 (77%) who reported every day and another 38 (13%) reported for  $\geq 7$  days. 193/304 (63%)

1 participants reported feeling unwell for an average of 2.9 days (SD 1.8 days), and only 3 (<1%)  
2 participants reported feeling unwell beyond 7 days after third-dose BNT162b2 vaccination.  
3 Within the 7 days after receipt of BNT162b2, the most commonly reported local reactions were  
4 pain (46%) and tenderness (44%) at the injection site, while systemic reactions were reported by  
5 a minority of participants (Table 3). These symptoms usually subsided within 7 days after  
6 vaccination (Figure 2). Among 312 participants whose information is available, 6 (2%) reported  
7 having sought medical consultation within one month of the third dose but none were  
8 hospitalized. Among these 6 participants, 4 reported seeking medical consultation possibly for  
9 discomfort associated with vaccination within the week after vaccination, and the remaining two  
10 participants sought medical consultation due to back pain or stress outside the 7-day window.

## 11 **DISCUSSION**

12 Work by our research group and others indicates that two doses of inactivated COVID-19  
13 vaccine confer moderate increases in antibody levels at one month after the second dose [2, 3].  
14 Antibody levels then gradually decline, but can be boosted by receipt of third doses. Zeng et al.  
15 reported that a homologous third dose of inactivated vaccine given to healthy young adults at 8  
16 months after the second dose boosted neutralizing antibodies against the ancestral strain by about  
17 21-fold [6]. Here, we show that a third dose of mRNA vaccine (BNT162b2) after two doses of  
18 inactivated virus vaccine (mostly CoronaVac), all originally formulated against the ancestral  
19 virus, boosted PRNT<sub>50</sub> titers against the ancestral strain by a factor of 45-fold. Similarly,  
20 Clemens et al. showed that a third dose of mRNA or adenoviral vectored vaccines induced  
21 greater rises in anti-Spike binding antibodies compared to a third dose of inactivated vaccine  
22 among CoronaVac recipients [8]. Together, these suggest a substantial improvement of

1 heterologous boosting using mRNA vaccine over homologous boosting using inactivated vaccine  
2 against the ancestral virus.

3 Most of the participants in the present study were older adults, and their antibody levels against  
4 Omicron were at a very low level at Day 0 prior to the third dose, consistent with other recent  
5 studies [8, 9]. Almost one-third of participants had underlying medical conditions (Table 1). We  
6 show that a third dose of BNT162b2 conferred substantial rises in  $\text{GMT}_{\text{PRNT50}}$  against the  
7 Omicron variant to 59, by a factor of 11-fold. In our separate initial investigation which included  
8 sera from individuals with various intervals (51-234 days) between second and third dose [10]  
9 and some of whom were selected for third dose vaccination due to low (<60%) post-second dose  
10 serum surrogate neutralizing antibodies [18], administering BNT162b2 about 3 months after two  
11 doses of CoronaVac reported a GMT of 59 against Omicron (compared to 305 against the  
12 ancestral virus after the boost) [10]. In comparison, Clemens et al. reported an increase in mean  
13 neutralising antibodies ( $\text{FRNT}_{50}$ ) against Omicron from a baseline titer of 10 to 223 four weeks  
14 after heterologous third-dose BNT162b2 vaccination, and heterologous boosting with third dose  
15 of mRNA or adenoviral vectored vaccines induced greater rise in neutralising antibodies against  
16 both Omicron and Delta variants than homologous boosting with inactivated vaccines [8]. A  
17  $\text{GMT}_{\text{PRNT50}}$  of 59 against Omicron at Day 28 in the present study are higher than the  $\text{GMT}_{\text{PRNT50}}$   
18 of 27 against ancestral virus after two doses of CoronaVac reported in our earlier study [2].

19 While there is still a lack of consensus on the antibody threshold for protection [19-22], given  
20 that two doses of inactivated vaccine were estimated to provide at least 50% protection against  
21 infection with the ancestral virus [23-25], the antibody levels against Omicron estimated in the  
22 present study could correspond to a moderate degree of effectiveness in protection against  
23 Omicron. In summary, these studies suggest a heterologous third dose of mRNA vaccination

1 after two-dose inactivated vaccine substantially boost neutralizing antibody titers against both  
2 the ancestral virus and Omicron variant, and will clearly provide improved protection against the  
3 Omicron variant.

4 Earlier studies have investigated post-vaccination reactions after two or three doses of  
5 inactivated [6, 25, 26] or mRNA vaccination [27, 28]. These studies suggest fatigue, myalgia and  
6 chill were common both after receipt of inactivated [25] or mRNA vaccines [27]; nausea was  
7 common after inactivated vaccination [25]; and fever and headache after mRNA vaccination  
8 [27]. Similar to reactions after mRNA vaccination, feverishness and headache were commonly  
9 reported in our study while gastrointestinal symptoms such as nausea and diarrhoea were less  
10 commonly reported. No hospitalization was reported within the month after vaccination in our  
11 study, although our sample size would not have been large enough to detect rare events.

12 Our study had several limitations. We have only performed PRNT against ancestral strain and  
13 Omicron variant up to a dilution of 1:320. However, more than half of our study participants  
14 were positive for antibodies to the ancestral strain at this dilution indicating antibody titers  $\geq 320$   
15 at Day 28, for which we assumed a value of 640 in the estimation of GMTs. Conversely, Day 0  
16 samples were typically negative even at the starting dilution of 1:10. Therefore, our results may  
17 underestimate the true values for the  $\text{GMT}_{\text{PRNT50}}$  and  $\text{GMTR}_{\text{PRNT50}}$  against ancestral strain after  
18 heterologous third-dose BNT162b2 vaccination because of the ceiling effects. Nevertheless, we  
19 were able to conclude that third dose of mRNA vaccination would provide substantial benefits  
20 against both ancestral and Omicron strains. Our results on immunogenicity may be subjected to  
21 selection bias including volunteer bias, since in Hong Kong older adults are more inclined to  
22 receive the inactivated vaccines and younger adults to mRNA vaccines [29]. Our results on  
23 reactogenicity may be subjected to information bias as this was an open-label trial and it is

1 recognized that there could be more post-vaccination reactions after mRNA vaccination  
2 compared to inactivated vaccination [30].

### 3 **NOTES**

#### 4 **Author contributions**

5 All authors meet the ICMJE criteria for authorship. Each author's contributions to the paper are  
6 listed below according to the CRediT model:

7 Conceptualization: NHLL, GML, BJC

8 Methodology: NHLL, SMSC

9 Formal analysis: NHLL, MM-S

10 Investigation: NYMA, YYN, LLHL, KCKC, JKCL, YWYL, LCHT, SC, KKHK

11 Funding acquisition: BJC

12 Project administration: NHLL, SMSC, JSMP, BJC

13 Supervision: NHLL, SMSC, DKMI, LLMP, GML, JSMP, BJC

14 Writing – original draft: NHLL, MM-S, JSMP, BJC

15 Writing – review & editing: NHLL, SMSC, MM-S, NYMA, YYN, LLHL, KCKC, JKCL,  
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6 bodies had no role in the design of the study, the collection, analysis, and interpretation of data,  
7 or writing of the manuscript.

8 **Competing interests**

9 BJC consults for AstraZeneca, Fosun Pharma, GlaxoSmithKline, Moderna, Pfizer, Roche and  
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11 other potential conflicts of interest.

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1 **FIGURE LEGENDS**

2 **Figure 1. Third dose of SARS-CoV-2 BNT162b2 vaccine boosts serum antibodies against**

3 **ancestral strain and Omicron variant in adults who previously received two doses of**

4 **inactivated vaccines. (A)** ELISA for serum IgG against SARS-CoV-2 Spike receptor binding

5 domain (RBD) of ancestral strain. **(B)** Surrogate virus neutralization test (sVNT) against

6 ancestral strain. Live virus plaque reduction neutralization test (PRNT) against ancestral strain

7 with endpoints at **(C)** 50% (PRNT<sub>50</sub>) or **(D)** 90% (PRNT<sub>90</sub>). Live virus plaque reduction

8 neutralization test (PRNT) against Omicron variant with endpoints at **(E)** 50% (PRNT<sub>50</sub>) or **(F)**

9 90% (PRNT<sub>90</sub>). X in each panel indicates the median level. Data for ELISA and sVNT were

10 available from 312 vaccinated participants whom paired day 0 and day 28 sera were collected,

11 while data for PRNT were available from a random sample of 20 participants.

12 **Figure 2. Solicited local and systemic reactions during the 7 days after third dose**

13 **BNT162b2 vaccination in adults who previously received two doses of inactivated COVID-**

14 **19 vaccine.** \*For solicited systemic reactions, only feverish/fever  $\geq 38.0^{\circ}\text{C}$ / chills, and those

15 reported in at least 10% of participants are shown.

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1 **Table 1. Characteristics of study participants at baseline.** We enrolled and administered  
2 BNT162b2 vaccine as a third vaccine dose in 315 adults who previously received two doses of  
3 inactivated COVID-19 vaccine. Among them, ELISA and sVNT against ancestral SARS-CoV-2  
4 virus were performed in paired Day 0 and Day 28 sera available from 312 vaccinated  
5 participants, and we randomly selected 20 participants to also perform PRNT against ancestral  
6 SARS-CoV-2 virus and the Omicron variant. ELISA: enzyme-linked immunosorbent assay;  
7 sVNT: surrogate virus neutralisation test; PRNT: plaque reduction neutralisation test.

Characteristic	Participants tested by ELISA & sVNT	Random subset of 20 participants tested by ELISA, sVNT & PRNT
	(N = 312)	(N = 20)
	n (%)	n (%)
<b>Female</b>	120 (38)	9 (45)
<b>Age (median, IQR)</b>	54 (47-62)	55 (47-64)
<b>Ethnicity</b>		
Chinese	306 (98)	20 (100)
<b>Obesity (for Asian populations)</b>		
Underweight (BMI < 18.5)	6 (2)	0 (0)
Normal (BMI 18.5 – 22.9)	114 (37)	5 (25)
Overweight (BMI 23.0 – 24.9)	84 (27)	7 (35)
Obese (BMI ≥ 25.0)	108 (35)	8 (40)
<b>Chronic medical conditions</b>		
Any	98 (32)	6 (30)
Lung disease, including COPD and asthma	1 (0)	0 (0)
Heart disease	7 (2)	0 (0)
Hypertension	57 (18)	5 (25)
Diabetes	22 (7)	1 (5)
Hypercholesterolemia	42 (13)	3 (15)

Kidney disease	4 (1)	0 (0)
Liver disease	4 (1)	1 (5)
Cancer	5 (2)	1 (5)
<b>Prior COVID-19 vaccination</b>		
2-dose CoronaVac (Sinovac)	305 (98)	20 (100)
2-dose BIBP (Sinopharm)	7 (2)	0 (0)
<b>Days between first and second dose of COVID-19 vaccination (median, IQR)</b>		
	28 (28-29)	28 (28-29)
<b>Days between second and third (study) dose of COVID-19 vaccination (median, IQR)</b>		
	206 (192-217)	197 (172-208)
<b>Smoking</b>		
Ever	33 (11)	5 (25)
Current	22 (7)	3 (15)

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1 **Table 2. Antibody response after third dose BNT162b2 vaccination in adults who**  
2 **previously received two doses of inactivated COVID-19 vaccine.** ELISA and sVNT against  
3 ancestral SARS-CoV-2 virus were performed in paired Day 0 and Day 28 sera available from  
4 312 vaccinated participants, and we randomly selected 20 participants to also perform PRNT  
5 against ancestral SARS-CoV-2 virus and the Omicron variant. Significant differences between  
6 antibody level at Day 0 and Day 28 was evaluated by Wilcoxon signed rank tests. ELISA:  
7 enzyme-linked immunosorbent assay; sVNT: surrogate virus neutralisation test; PRNT: plaque  
8 reduction neutralisation test; GMT: geometric mean titer; GMFR: geometric mean fold-rise in  
9 PRNT titer from Day 0 to Day 28.

	Participants tested by ELISA & sVNT (N = 312)			Participants tested by ELISA, sVNT & PRNT (N = 20)		
	Day 0	Day 28	p-value	Day 0	Day 28	p-value
<b>Ancestral ELISA</b>						
Mean (SD)	0.3 (0.2)	2.2 (0.4)	<0.001	0.3 (0.3)	2.0 (0.3)	<0.001
Median (IQR)	0.2 (0.2-0.4)	2.0 (1.9-2.5)		0.2 (0.2-0.3)	1.9 (1.8-2.0)	
<b>Ancestral sVNT</b>						
Mean (SD)	17% (16)	96% (3)	<0.001	21% (16)	97% (1)	<0.001
Median (IQR)	13% (5-23)	97% (96-97)		21% (6-32)	97% (97-97)	
<b>Ancestral PRNT<sub>50</sub></b>						
GMT (SD)				12 (2)	557 (2)	
Median (IQR)		N/A		10 (5-20)	$\geq 320$ ( $\geq 320$ - $\geq 320$ )	<0.001
GMFR				45		
<b>Ancestral PRNT<sub>90</sub></b>						
GMT (SD)				6 (1)	309 (3)	
Median (IQR)		N/A		5 (5-5)	$\geq 320$ (160- $\geq 320$ )	<0.001
GMFR				54		
<b>Omicron PRNT<sub>50</sub></b>						
GMT (SD)				5 (1)	59 (4)	
Median (IQR)		N/A		5 (5-5)	40 (20-100)	<0.001
GMFR				11		
<b>Omicron PRNT<sub>90</sub></b>						
GMT (SD)				5 (1)	19 (3)	
Median (IQR)		N/A		5 (5-5)	15 (10-40)	<0.001
GMFR				4		

10 Footnote: For the estimation of GMT for PRNT<sub>50</sub> and PRNT<sub>90</sub> titers, titers <10 were imputed as 5 and titers  
11  $\geq 320$  were imputed as 640.

1 **Table 3. Solicited local and systemic reactions on the day after and anytime within 7 days**  
 2 **after third dose BNT162b2 vaccination in adults who previously received two doses of**  
 3 **inactivated COVID-19 vaccine.** Daily frequencies within 7 days after third dose BNT162b2  
 4 vaccination for reactions highlighted in bold are shown in Figure 2.

Post-vaccination reactions	Anytime within 7 days after third	Day 1 after third dose BNT162b2
	dose BNT162b2 vaccination (N = 304)	vaccination (N = 304)
	n (%)	n (%)
<b><u>Local reactions at the injection site</u></b>		
Pain*	<b>141 (46)</b>	<b>130 (43)</b>
Tenderness*	<b>133 (44)</b>	<b>108 (36)</b>
Swelling or hardness*	<b>71 (23)</b>	<b>51 (17)</b>
Itchiness*	<b>20 (7)</b>	<b>2 (1)</b>
Redness*	<b>15 (5)</b>	<b>5 (2)</b>
<b><u>Systemic reactions and general symptoms</u></b>		
Feverish	<b>72 (24)</b>	<b>55 (18)</b>
Fever $\geq 38.0^{\circ}\text{C}$	<b>25 (8)</b>	<b>20 (7)</b>
Chills	<b>32 (11)</b>	<b>29 (10)</b>
Headache	<b>75 (25)</b>	<b>56 (18)</b>
Myalgia	<b>54 (18)</b>	<b>38 (12)</b>
Fatigue	<b>56 (18)</b>	<b>42 (14)</b>
Drowsiness	<b>51 (17)</b>	<b>38 (12)</b>
Malaise	<b>31 (10)</b>	<b>20 (7)</b>
Pain at the injection arm	25 (8)	14 (5)
Loss of appetite	21 (7)	12 (4)
Dizziness	21 (7)	11 (4)
Runny nose	20 (7)	12 (4)
Nasal congestion	19 (6)	9 (3)
Arthralgia	17 (6)	10 (3)
Sneezing	17 (6)	8 (3)
Sore throat	17 (6)	10 (3)
Chest discomfort	13 (4)	9 (3)
Diarrhoea	13 (4)	4 (1)
Abdominal distention	11 (4)	6 (2)
Palpitations	11 (4)	5 (2)
Insomnia	11 (4)	5 (2)
Phlegm	10 (3)	5 (2)
Nausea	9 (3)	5 (2)
Cough	8 (3)	4 (1)
Abdominal pain	7 (2)	4 (1)
Constipation	6 (2)	4 (1)
Body itching	5 (2)	2 (1)
Flushing of the face	4 (1)	3 (1)
Skin rash	3 (1)	1 (0)
Chest pain	3 (1)	0 (0)
Enlarged lymph nodes	3 (1)	0 (0)
Face swelling	2 (1)	0 (0)
Arm or leg swelling	1 (0)	1 (0)
Muscle spasms	1 (0)	1 (0)
Confusion	1 (0)	1 (0)
Dyspnea	1 (0)	0 (0)



Nosebleeds	0 (0)	0 (0)
Ageusia	0 (0)	0 (0)
Anosmia	0 (0)	0 (0)
Conjunctivitis	0 (0)	0 (0)
Vomiting	0 (0)	0 (0)
Facial drooping/ weakness	0 (0)	0 (0)
Loss of consciousness	0 (0)	0 (0)
Seizure	0 (0)	0 (0)

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ACCEPTED MANUSCRIPT

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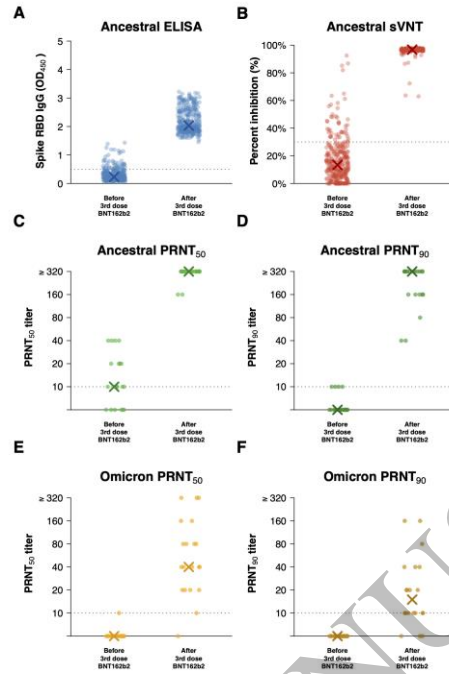


Figure 1  
62x93 mm (.03 x DPI)

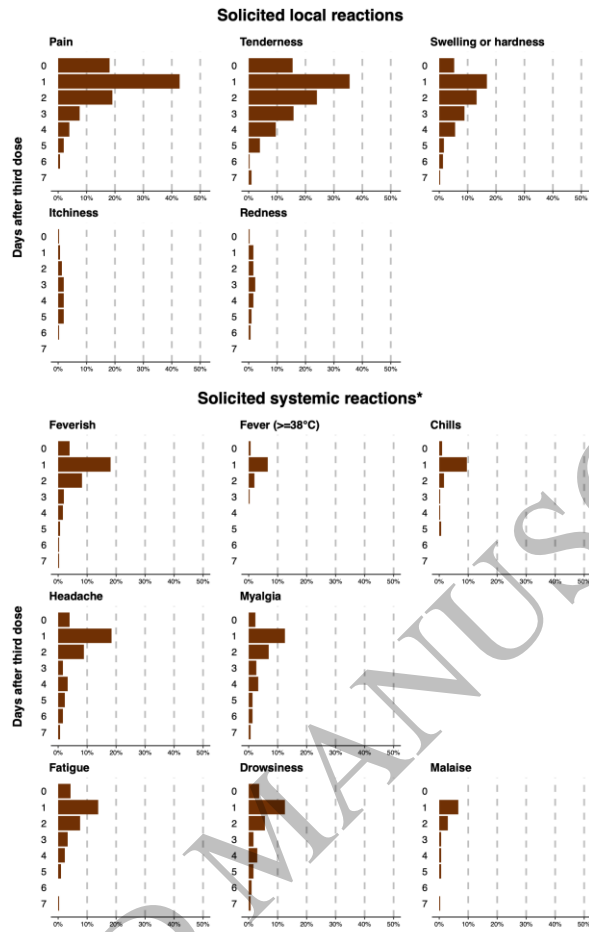


Figure 2  
77x124 mm (.03 x DPI)

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