



## Waterborne polyurethane nanoparticles incorporating linoleic acid as a potential strategy for controlling antibiotic resistance spread in the mammalian intestine

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### ABSTRACT

Plasmid-mediated conjugative transfer of antibiotic resistance genes (ARGs) within the human and animal intestine represents a substantial global health concern. linoleic acid (LA) has shown promise in inhibiting conjugation *in vitro*, but its *in vivo* effectiveness in the mammalian intestinal tract is constrained by challenges in efficiently reaching the target site. Recent advancements have led to the development of waterborne polyurethane nanoparticles for improved drug delivery. In this study, we synthesized four waterborne polyurethane nanoparticles incorporating LA (WPU@LA) using primary raw materials, including N-methyldiethanolamine, 2,2'-(piperazine-1,4-diyl) diethanol, isophorone diisocyanate, castor oil, and acetic acid. These nanoparticles, identified as WPU<sub>0.89</sub>@LA, WPU<sub>0.99</sub>@LA, WPU<sub>1.09</sub>@LA, and WPU<sub>1.19</sub>@LA, underwent assessment for their pH-responsive release property and biocompatibility. Among these, WPU<sub>0.99</sub>@LA displayed superior pH-responsive release properties and biocompatibility towards Caco-2 and IPEC-J2 cells. In a mouse model, a dosage of 10 mg/kg/day WPU<sub>0.99</sub>@LA effectively reduced the conjugation of IncX4 plasmids carrying the mobile colistin resistance gene (*mcr-1*) by more than 45.1-fold. *In vivo* toxicity assessment demonstrated that 10 mg/kg/day WPU<sub>0.99</sub>@LA maintains desirable biosafety and effectively preserves gut microbiota homeostasis. In conclusion, our study provides crucial proof-of-concept support, demonstrating that WPU<sub>0.99</sub>@LA holds significant potential in controlling the spread of antibiotic resistance within the mammalian intestine.

**Abbreviations:** AMR, Antimicrobial resistance; HGT, Horizontal gene transfer; GTAs, Phage-like gene transfer agents; ARGs, Antibiotic resistance genes; LA, Linoleic acid; WPU, Waterborne polyurethane; MDEA, N-methyldiethanolamine; CO, Castor oil; MEK, Methyl ethyl ketone; HEP, 2,2'-(piperazine-1,4-diyl) diethanol; IPDI, Isophorone diisocyanate; DBTDL, Dibutyltin dilaurate; AcOH, Acetic acid; ACN, Acetonitrile; LB, Luria-Bertani; EMB, Eosin Methylene Blue; FTIR, Fourier-transform infrared spectroscopy; TEM, Transmission electron microscopy; HPLC, High-performance liquid chromatography; H&E, Hematoxylin and eosin; DLC, Drug loading content; EE, Encapsulation efficiency; DLE, Drug loading efficiency; OTUs, Operational taxonomic units; PCoA, Principal coordinates analysis; WPU@LA, Waterborne polyurethane nanoparticles incorporating LA; <sup>1</sup>H NMR, H proton magnetic resonance; GPC, Gel permeation chromatography; THF, Tetrahydrofuran; PBS, Phosphate-buffered saline; SGF, Simulated gastric fluid; SIF, Simulated intestinal fluid.

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## 1. Introduction

Antimicrobial resistance (AMR) poses a significant challenge by limiting the effectiveness of antimicrobial agents, impacting both human and animal health [1,2]. This issue is exacerbated by horizontal gene transfer (HGT) among diverse microorganisms [3–5]. Bacteria employ various pathways for HGT, including phage-mediated transduction, transformation through 'naked' DNA, plasmid-mediated conjugation, and mechanisms involving vesicles, nanotubes, and phage-like gene transfer agents (GTAs) [6]. Among these pathways, conjugation is recognized as the most prevalent mechanism [7–9]. For example, naturally occurring plasmids like IncI2, IncX4, and IncX3 increasingly carry antibiotic resistance genes (ARGs) such as *mcr-1* for colistin, *bla<sub>NDM-1</sub>* for carbapenem, and *tet(X4)* for tigecycline [10–12], impacting the treatment of Gram-negative bacterial infections. These plasmids, carrying ARGs, transfer through conjugation between different bacteria, leading to the global dissemination and spread of ARGs. The mammalian gut, known for its diverse microbial population, acts as a reservoir for genetic exchange, strongly linked to the widespread occurrence of conjugation events involving ARGs [13]. Consequently, preventing ARG conjugation, particularly in the mammalian gut, is crucial to mitigate the spread of AMR.

Conjugation involves the transfer of DNA through donor cell-formed conjugative pili to recipient cells [8]. Conjugation of ARGs hinders antibiotic effectiveness, emphasizing its significance in controlling AMR. To tackle this issue, various natural products and small molecules have been developed as conjugation inhibitors. Examples include free nitrous acid, azidothymidine, capsaicin, gingerol analogues, Fe<sub>2</sub>O<sub>3</sub>@-MoS<sub>2</sub>, and abacavir [14–19], but many of these have either shown toxicity or lack *in vivo* testing. Additionally, both Ripoll-Rozada et al. and our previous study have demonstrated that linoleic acid (LA) inhibits the conjugative transfer of IncW, IncH, IncF, IncI, IncL/M and IncX plasmids by suppressing the TrwD ATPase (VirB11 homologue) [20,21].

LA, which is essential for human or animal bodies, is absorbed in the small intestine under acidic pH conditions (pH ~ 2) [22]. However, the challenge arises when considering the normal colon and cecum, which maintain a slightly neutral pH (6.0–7.2) [23]. These regions serve as primary colonization sites for bacteria in the intestinal tract and are key locations for plasmid conjugative transfer. Unfortunately, LA is unable to reach the colon and cecum before its absorption in the small intestine, thereby hindering its potential to inhibit plasmid conjugation in these critical areas. Consequently, the limited pharmacokinetic properties of LA constrain its broader *in vivo* application in conjugation inhibition.

To enhance LA production in the colon and cecum, Peng et al. devised a strategy by constructing a bioactive *Lactobacillus casei* strain LC<sup>+</sup><sub>mcrA</sub> by inserting the *mcrA* (myosin cross-reactive antigen) gene into the bacterial genome, which promotes the conversion of conjugated LA [24]. On the other hand, when considering drug administration methods, intestinal-based drug delivery is regarded as the preferred approach [25]. Within this context, waterborne polyurethane (WPU), a synthetic high molecular weight polymer, emerges as a versatile material class with applications ranging from coatings to healthcare [26,27]. Recent studies have shown that the integration of N-methyldiethanolamine (MDEA), featuring tertiary amines, plays a pivotal role in the development of pH-responsive polyurethanes [28]. The tertiary amines present in the MDEA segment are widely recognized for their ability to undergo protonation, leading to the formation of an ionic state [29,30]. Consequently, when exposed to acetic acid, the polyurethane with the MDEA segment undergoes ionization, resulting in the formation of zwitterionic polyurethanes. Moreover, a satisfactory nanocarrier usually requires low toxicity [31]. Notably, these zwitterionic polyurethanes have gained significant attention due to their remarkable biocompatibility [32].

This study introduces a novel nanoparticle-based system known as WPU<sub>0.99</sub>@LA, demonstrating its versatility and responsiveness in

releasing LA. The effectiveness and safety of this nanocarrier have been robustly established and validated through *in vivo* models. These findings strongly emphasize the promising potential of WPU<sub>0.99</sub>@LA for future applications in inhibiting the spread of AMR within the mammalian intestine.

## 2. Methods

### 2.1. Chemicals and bacteria

Castor oil (CO) was purchased from Guangzhou Xinye Co., Ltd. (Guangzhou, China). Linoleic acid (LA), N-methyldiethanolamine (MDEA), and methyl ethyl ketone (MEK) were obtained from Shanghai Rhawn Co., Ltd. (Shanghai, China). 2,2'-(piperazine-1,4-diyl) diethanol (HEP) was obtained from Shanghai Aladdin Reagent Co., Ltd. (Shanghai, China). Isophorone diisocyanate (IPDI) was supplied by Guangzhou Wengjiang Chemical Reagent Co., Ltd. (Guangzhou, China). Dibutyltin dilaurate (DBTDL) was purchased from Fuchen Reagent (Tianjin, China). Acetic acid (AcOH) and phosphoric acid (H<sub>3</sub>PO<sub>4</sub>) were acquired from Guangzhou Chemical Reagent Factory (Guangzhou, China). Acetonitrile (ACN) was sourced from Shanghai Macklin Biochemical Technology Co., Ltd (Shanghai, China). Human intestinal epithelial cells (Caco-2) and the intestinal porcine enterocyte cell line (IPEC-J2) were procured from Dojindo Chemical Technology (Shanghai, China). Dulbecco's modified Eagle's medium (DMEM, Gibco) and fetal bovine serum (FBS, Gibco) were obtained from Nobimpex Biotechnology (Shanghai, China). Mueller Hinton (MH) broth, Luria-Bertani (LB) broth, LB agar, and Eosin Methylene Blue (EMB) agar were purchased from Guangzhou Huankai Microbial Technology (Guangzhou, China). Streptomycin sulfate and polymyxin sulfate were procured from Beijing Pubo Biotechnology Co., Ltd. (Beijing, China). Antibiotics used for screening and selection procedures were added at the following concentrations (μg/mL): streptomycin sulfate (STR, 2000) and polymyxin sulfate (CS, 2).

Donor strains employed in this study were *E. coli* MQCSZ4GFP, which harbors the conjugative IncX4 plasmid pCSZ4-sfGFP with colistin resistance (*mcr-1*), and a non-conjugative plasmid pMQ expressing mCherry fluorescence protein and LacIq [33]. pCSZ4-sfGFP is a derivative of the clinical IncX4 *mcr-1*-harboring plasmid pCSZ4 [34], and was tagged with the green fluorescent protein (sfGFP) downstream a lacI repressor. *E. coli* C600-lux (streptomycin resistance) was genetically modified by chromosomally tagging with gene cluster *luxCDABE* encoding constitutive bioluminescence. Consequently, donor cells exhibited red fluorescence but GFP expression was inhibited due to the presence of LacIq. Upon the transfer of the pCSZ4-sfGFP plasmid into *E. coli* C600-lux (referred to as the transconjugant), GFP expression became possible without the *lacI* suppression.

### 2.2. Characterization

Fourier-transform infrared spectroscopy (FTIR) was conducted using a Thermo Fisher Nicolet IS10 FTIR spectrophotometer (Thermo Fisher, Waltham, MA, USA). The H proton magnetic resonance (<sup>1</sup>H NMR) spectra were recorded on a Bruker AV 600M spectrometer (Karlsruhe, Germany). Gel permeation chromatography (GPC) tests were performed in tetrahydrofuran (THF) solutions at 35 °C with an elution rate of 1.0 mL/min using a Waters 1525 system equipped with a Waters 2414 detector (Burlington, MA, USA). The system was calibrated using polystyrene standards ranging from 200 to 500,000 g/mol. Zeta potential and particle sizes were determined using a Zeta-sizer Nano ZSE instrument (Malvern, Worcestershire, UK). Transmission electron microscopy (TEM) measurements were carried out with an FEI/Talos L120C transmission electron microscope (Thermo Fisher, Pittsburgh, PA, USA) operating at an acceleration voltage of 100 kV. High-performance liquid chromatography (HPLC) measurements were performed with a Waters 2695 instrument (Waters, Milford, MA, USA). Colony images were

captured using the IVIS Lumina LT Series III system (PerkinElmer, Waltham, MA, USA). Histological sections were stained with hematoxylin and eosin (H&E) and observed using a Nikon TE2000U optical microscope (Tokyo, Japan) [35].

### 2.3. Preparation of WPU and WPU@LA

The representative synthetic route of WPU and WPU@LA is depicted in Fig. 1A. Specifically, a dried double-necked flask was used to introduce CO (3.25 g), IPDI (3.32 g), and HEP (0.4 g), which were then stirred at 78 °C (130–170 r/min) for 10 min to achieve a homogeneous dispersion. The OH group ratio of CO: MDEA: HEP: IPDI was maintained at 1:0.89 (0.99/1.09/1.19):2.15 (2.25/2.35/2.45). Subsequently, 20 μL of DBTDL was added as a catalyst. After 10 min, an appropriate amount of MDEA was added to the solution. MEK (30 mL) was then added to the system and allowed to react for 2 h, followed by cooling the solution to room temperature. Next, AcOH was introduced into the polyurethane chain to counterbalance the presence of free COOH, while being stirred for 30 min.

To synthesize various WPU@LA, 2.35 g of LA was incorporated into the solution. Subsequently, the stirring rate was increased to 300 r/min, and the prepolymer was dispersed in 90 mL of deionized water for 2 h. The successful preparation of both WPU and WPU@LA was achieved through the elimination of MEK in the emulsion via vacuum distillation. Furthermore, 5 mg of WPU@LA was dissolved in 2 mL of methanol, sonicated until fully dissolved, and then filtered through a 0.22 μm microporous membrane. The drug loading content (DLC), encapsulation efficiency (EE), and drug loading efficiency (DLE) of LA were quantitatively determined using HPLC with an Agilent Eclipse XDB-C18 column (4.6 mm × 250 mm, 5 μm) and a mobile phase consisting of acetonitrile and 0.05 % H<sub>3</sub>PO<sub>4</sub> (v/v = 10:90) at a flow rate of 1.0 mL/min, maintained at 30 °C, and monitored at 203 nm [36]. DLC (%) and DLE (%) were calculated as described in a previous article [37]. Encapsulation efficiency was calculated as follows: Encapsulation efficiency (%) = (Actual drug loaded)/(Theoretical drug loaded) × 100 [38].

### 2.4. The LA release rate *in vitro*

The release amount of LA from WPU@LA *in vitro* was tested according to a dialysis method [37]. Briefly, the release medium contained a phosphate-buffered saline (PBS) solution, 30 % ethanol, and 0.5 % sodium dodecyl sulfate, adjusted to pH = 2.0, 5.8, or 7.4 with hydrochloric acid. 0.5 g of WPU@LA was transferred into dialysis bags (MWCO 3.5 kDa) and suspended in 100 mL of release medium at 37 °C, stirred at 120 rpm. At 0, 0.5, 1, 2, 4, 6, 8, 10, 12, 24, 48, and 96 h post dialysis, 2 mL aliquots were taken for the determination of released LA, measured using a Waters 2695 instrument, and monitored at 203 nm.

### 2.5. *In vitro* biocompatibility assay

Caco-2 and IPEC-J2 cells were seeded in 24-well culture plates (1.0 × 10<sup>5</sup> cells per well) and allowed to adhere for 18 h. Samples of different WPU@LAs were added to each well at final concentrations of 400, 200, 100, 50, 25, 12.5, and 0 μg/mL and incubated for 12 h. 10 μL of cell counting Kit-8 (CCK-8) was added to the medium and incubated at 37 °C, 5 % carbon dioxide in the dark for 2 h, and the solution absorbance was measured at 450 nm. Cell viability (%) was calculated using the equation: (A<sub>sample</sub>/A<sub>control</sub>) × 100 %, where A<sub>sample</sub> represents the absorbance of cells treated with the samples, and A<sub>control</sub> represents the absorbance of the untreated cells [38]. Cell viability was also assessed using a live and dead cell staining kit for Caco-2 and IPEC-J2 cells. These cells were incubated at 37 °C for 24 h, washed with sterile PBS, and then 250 μL of detection buffer containing 0.1 % Calcein-AM/PI was added. The plate was incubated at 37 °C for 30 min, and cell images were observed using a fluorescence microscope [39,40].

### 2.6. Stability

The stability of WPU<sub>0.99</sub>@LA nanoparticles was evaluated under simulated gastric and intestinal conditions (Hu et al., 2016). Simulated gastric fluid (SGF) and simulated intestinal fluid (SIF) solutions were prepared according to the Chinese Pharmacopoeia (2015) [37]. Briefly, 1 mL of freshly prepared nanoparticles was added to 9 mL of SGF (pH 2) or SIF (pH 6.8), followed by incubation at 37 °C for 6 h [41]. Particle size and zeta potential were measured after each incubation.

### 2.7. Animal

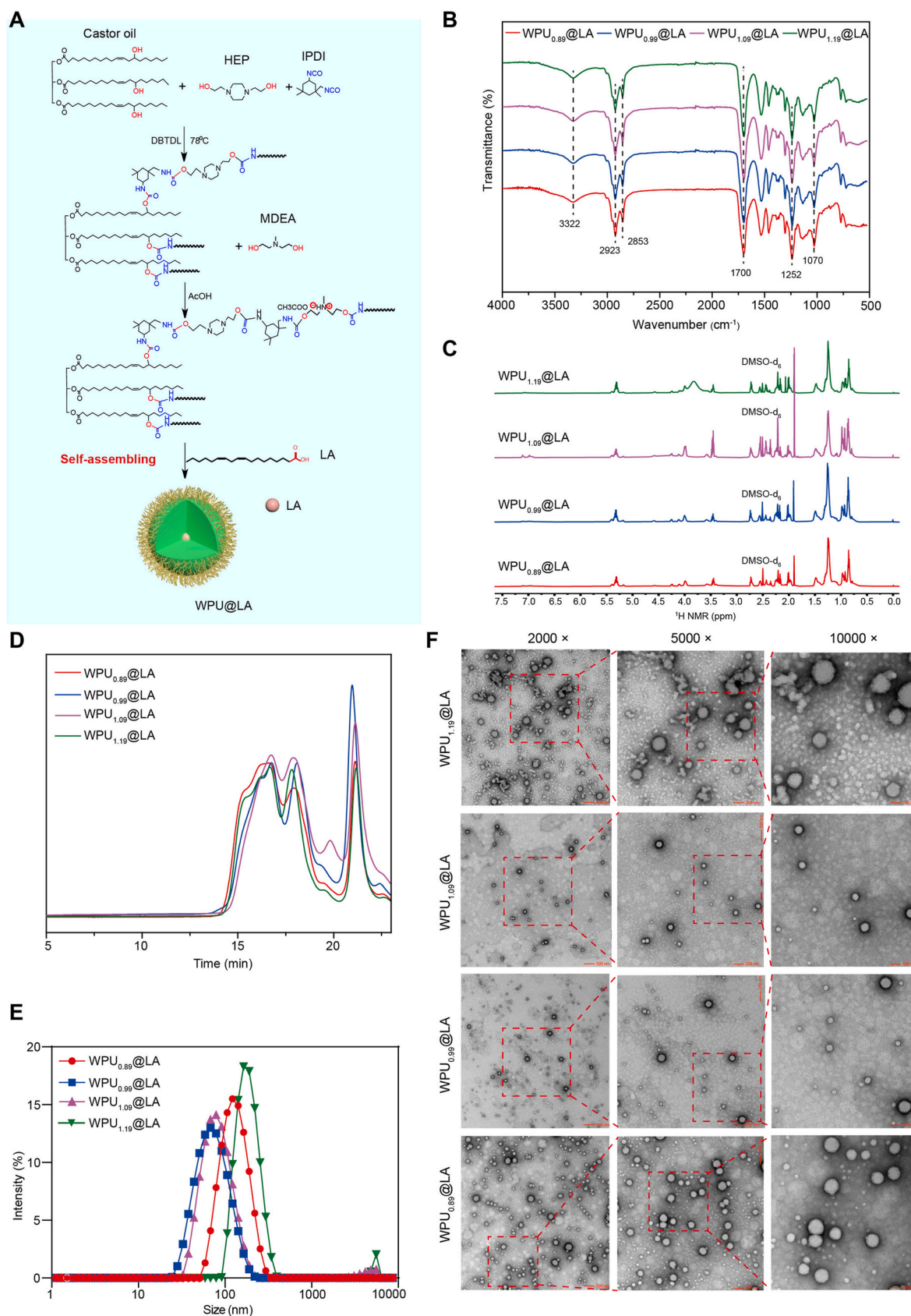
C57BL/6J mice (SPF, 6 weeks, female) were housed at the Laboratory Animal Center of Southern Medical University, Guangzhou, China. Animal care was conducted in accordance with the guidelines of the American Association for Accreditation of Laboratory Animal Care criteria (2022c045). The mice were allowed to acclimate for 7 days prior to experimentation. All animal experiments were carried out in compliance with the institution's guidelines for animal use and the Guide for the Care and Use of Laboratory Animals at the Laboratory Animal Center of South China Agricultural University (Guangzhou, China).

### 2.8. *In vivo* conjugation experiments

All mice were divided into four groups, each consisting of eight mice. Prior to the experiment, all mice were administered STR through oral gavage (200 μL, 5 mg/mL) and drinking water (5 mg/mL) for three days to eliminate colonization resistance. Following this pretreatment, all mice were intragastrically administered a mixture of donor *E. coli* MQCSZ4GFP and recipient *E. coli* C600-lux, with a concentration of approximately 10<sup>8</sup> CFU. Subsequently, the mice were further treated via daily gavage of 10 mg/kg WPU<sub>0.99</sub>@LA, 8 mg/kg WPU<sub>0.99</sub>@LA, or 2 mg/kg LA into the intestinal tract, with the control group receiving an equal volume of sterile water. Fecal samples were obtained on days 1, 2, 3, 5, and 7, and subsequently homogenized by vortexing. The bacterial populations were evaluated through selective plating on EMB agar, enabling the identification of recipient bacteria (STR2000) and transconjugants (STR2000+CS2). The presence of *mcr-1* in the transconjugants was confirmed by PCR and sequencing. The reaction mixture (25 μL) contained 12.5 μL Green Taq MIX (Novizan, Nanjing, China), 2 μL of each primer, 1 μL DNA template, and 9.5 μL nuclease-free water. The PCR procedure was performed using the following reaction conditions: initial denaturation at 98 °C for 3 min followed by 30 cycles of 95 °C for 10 s, 56 °C for 30 s and 72 °C for 30 s, with a final extension at 72 °C for 5 min. The primers for *mcr-1* were GCGTGATGC-CAGTTTGCTTA (*mcr-F*) and GCACATCGACGGCGTATTTC (*mcr-R*). The conjugation frequency was determined by dividing the number of detected transconjugants by the total count of recipients. The conjugation rate was determined by the number of mice with transconjugants in the feces per the total number of mice in each group.

### 2.9. *In vivo* biocompatibility assay

For acute toxicity, mice were intravenously injected with 0.2 mL of WPU<sub>0.99</sub>@LA at doses of 5000 mg/kg according to the Guidelines of Animal Drug Acute Toxicity Study (the Center for Veterinary Drug Evaluation of Ministry of Agriculture, 2012) [42]. The time of death was recorded daily for 2 weeks [43]. Then, uninfected mice were randomly assigned to two groups (n = 5/group) for a 2-week treatment period via oral gavage: WPU<sub>0.99</sub>@LA (10 mg/kg/day) and a control group receiving sterile water. The mice were weighed and monitored daily throughout the study. After 2 weeks of WPU<sub>0.99</sub>@LA or sterile water administration, the mice were euthanized. Liver, spleen, duodenum, kidney, stomach, and colon tissues were collected and fixed overnight in 4 % (v/v) PBS-buffered paraformaldehyde. Subsequently, the tissues



**Fig. 1.** Synthesis and characterization of WPU, WPU@LA nanomaterials. (A) Schematic of the synthesis of WPU@LA nanomaterials. (B) FTIR spectrum, (C)  $^1\text{H}$  NMR, (D) GPC, (E) particle size distribution and (F) TEM images, respectively, of four WPU@LAs. HEP, 2,2'-(piperazine-1,4-diyl) diethanol. IPDI, isophorone diisocyanate. DBTDL, dibutyltin dilaurate. MDEA, N methyl diethanolamine. AcOH, acetic acid. LA, linoleic acid, scale bar: 500 nm, 200, and 100 nm, respectively.

were embedded in paraffin, cut into 5  $\mu\text{m}$  sections, stained with H&E, and observed using Nikon TE2000U optical microscopy (Tokyo, Japan) [35,44]. 16S rRNA sequencing was performed following the procedures outlined in a previous study [45].

### 2.10. Statistical analysis

Statistical analyses and data visualization were conducted using a two-tailed Student's t-test, Kruskal-Wallis test, or Tukey's test with one-way ANOVA (Prism 8.0, GraphPad Software Inc., San Diego, CA, USA). Statistical significance was indicated as follows: \* for  $p < 0.05$ .

## 3. Results and discussion

### 3.1. Synthesis and characterization of WPU and WPU@LA nanomaterials

To create a pH-responsive WPU system, we employed MDEA, HEP, IPDI, CO, and AcOH for synthesizing hydrophilic and hydrophobic structures to encapsulate LA (Fig. 1A). MDEA acted as an internal emulsifying agent, influencing emulsification [46]. Increasing MDEA proportions shifted the solution appearance from translucent to milky white, indicating enhanced emulsification efficiency (Table 1). Within WPU carriers, nucleophilic addition reactions occurred between hydroxyl groups in CO, HEP, and MDEA with isocyanate groups in IPDI, forming polyurethane with urethane bonds. Specifically, CO's long fatty acid chain served as the soft segment, providing material flexibility, while HEP and MDEA acted as hard segments, with HEP being pH-responsive [47]. The NCO groups on IPDI acted as crosslinkers, forming polymer chains [48]. By optimizing material proportions, aqueous polyurethane WPU@LA with varying MDEA concentrations (0.89, 0.99, 1.09, and 1.19) were synthesized with respective yields of 87.8 % (w/w), 92.1 % (w/w), 75.2 % (w/w), and 86.4 % (w/w), respectively (Table 1). Fourier-transform infrared (FTIR) spectrum exhibited typical features of WPU at  $3321\text{ cm}^{-1}$  (-N-H-),  $1252\text{ cm}^{-1}$  (-C-N-),  $1700\text{ cm}^{-1}$  (-C=O), and  $1070\text{ cm}^{-1}$  (-C-O-C-) (Fig. 1B), confirming reaction between reactant hydroxyl and isocyanate groups to form polyurethane with urethane functional groups containing amino formate.

As shown in Fig. 1C, H NMR spectra peaks at  $\delta 5.32\text{ ppm}$  are attributed to the carbon-carbon double bonds of the fatty acid chains from castor oil. New peaks appear at  $\delta 3.99$  and  $\delta 3.44\text{ ppm}$ , corresponding to the proton signals of the ethanoyloxy and acetoxy groups (OCH<sub>2</sub> and OCH) in the esters formed from the esterification of HEP, MDEA, and CO with IPDI. Molecular weight by GPC analysis showed number-average molecular weights of four WPU@LAs as 8407 (PDI = 1.47), 7102 (PDI = 1.37), 6334 (PDI = 1.27), and 8103 (PDI = 1.38) g/mol, respectively (Fig. 1D and Table S1). The high molecular weights of the obtained WPU@LAs could be beneficial for the formation of supramolecular polymeric materials [37]. WPU@LAs exhibited diameters ranging from 68.1 nm to 164.0 nm (Fig. 1E). TEM images further confirmed the spherical morphology of the WPU@LAs (Fig. 1F). Zeta potential of WPU@LA nanoparticles ranged from 23.0 to 52.0 mV (Table 1), indicating a cationic polymer. Together, these results confirm

the successful preparation of WPU@LA nanoparticles.

### 3.2. WPU@LA nanomaterials exhibit promising pH-responsive LA release capability

To investigate the pH-responsive release of LA from various WPU@LAs, solutions with pH values of 2.0, 5.8, and 7.4 were prepared to simulate conditions in the gastrointestinal tract. As illustrated in Fig. 2, minimal release was observed at lower pH (pH = 2), with increased release correlating with higher hydroxide ion concentrations in the medium. The cohesive assembly and release behavior of cationic WPUs are influenced by their similar structures and electrostatic interactions. Hence, monomers with multiple hydroxyl groups (e.g., CO) and positively charged monomers like HEP and MDEA were deliberately chosen. IPDI was slightly in excess during stepwise copolymerization in a butanone solution, decisions driven by the physical properties of WPU. The pH variations trigger conformational changes in microspheres due to positively charged HEP, thereby modulating the amount of released LA [49]. Notably, increasing MDEA ratio significantly enhanced LA release. This can be attributed to MDEA acting as a chain extender. Higher MDEA content reduces cationic WPU chain length, diminishing cross-linking and accelerating release rates [50]. These findings robustly underscore the promising pH-responsive LA release capabilities of the four cationic WPU@LAs.

### 3.3. In vitro cytotoxicity of WPU@LA

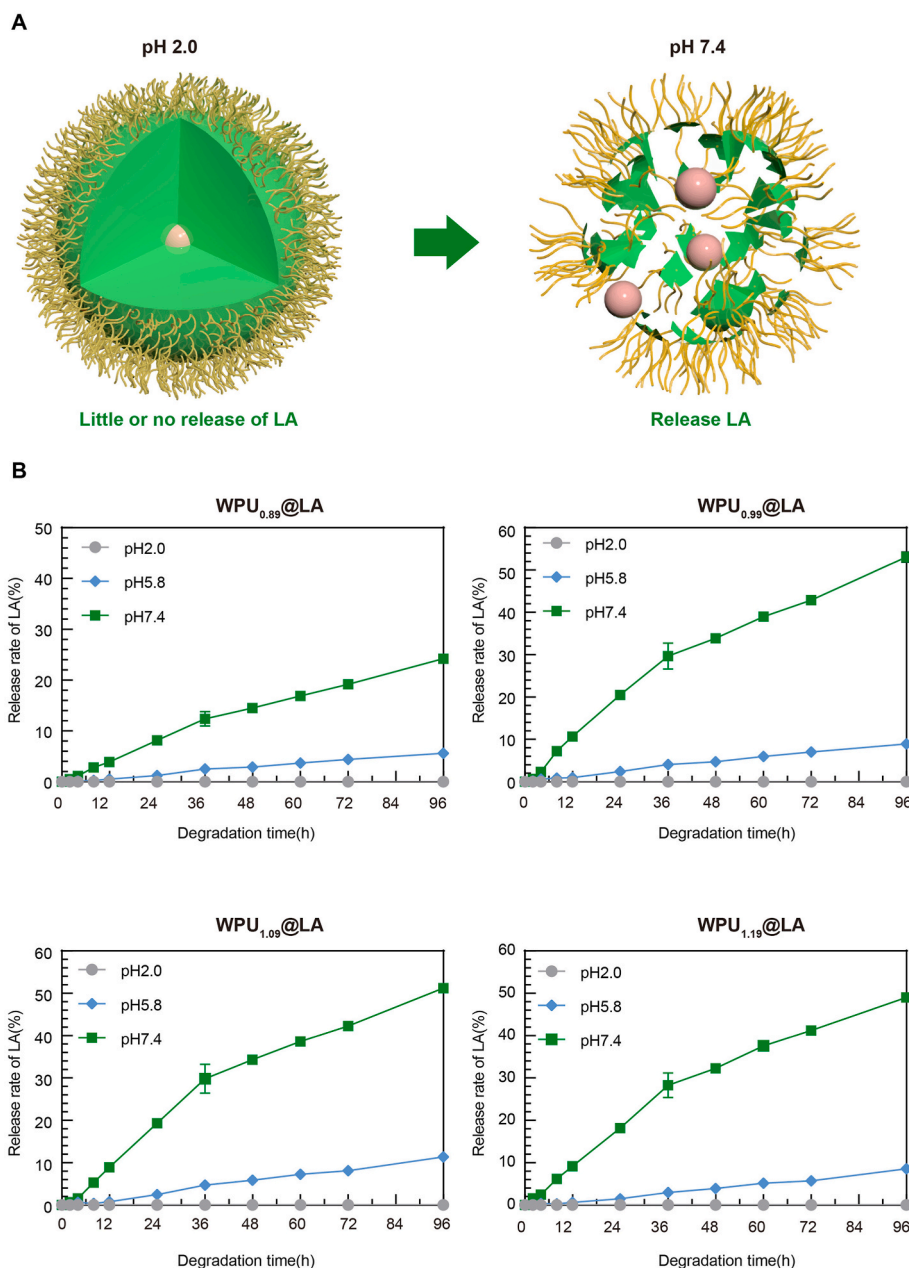
Before considering *in vivo* application, addressing the biosafety of the system is paramount. To assess their suitability for *in vivo* use, we initially evaluated the cytotoxicity of four WPU@LAs using Caco-2 and IPEC-J2 cell lines, commonly employed for *in vitro* cytotoxicity assessments [51,52]. Cell viability was assessed by exposing Caco-2 and IPEC-J2 cells to WPU@LA concentrations ranging from 12.5 to 400  $\mu\text{g/mL}$ . Viability levels remained above 90 % after treatment with WPU<sub>0.89</sub>@LA, WPU<sub>0.99</sub>@LA, and WPU<sub>1.19</sub>@LA at concentrations from 12.5 to 200  $\mu\text{g/mL}$ . However, viability dropped to 32.98 % with 200  $\mu\text{g/mL}$  of WPU<sub>1.09</sub>@LA for Caco-2 cells (Fig. 3A). Similarly, for IPEC-J2 cells, viability was above 90 % with 200  $\mu\text{g/mL}$  WPU<sub>0.99</sub>@LA, whereas viability significantly decreased in the other three groups. Notably, the 200  $\mu\text{g/mL}$  WPU<sub>1.09</sub>@LA group exhibited the lowest cell viability, at only 6.99 % (Fig. 3B). Additionally, live/dead cell staining for Caco-2 and IPEC-J2 at 200  $\mu\text{g/mL}$  indicated minimal toxicity for WPU<sub>0.99</sub>@LA (Fig. 3C and Fig. S1). Given its lower cytotoxic effect and superior release properties, WPU<sub>0.99</sub>@LA was selected for subsequent experiment.

### 3.4. WPU<sub>0.99</sub>@LA improves the inhibition of conjugation of mcr-1-harboring IncX4 plasmid in vivo

As emulsions undergo digestion in gastrointestinal conditions, the stability determines how quickly they digest [53]. To explore their potential as oral delivery vehicles, the stability of WPU<sub>0.99</sub>@LA under simulated gastric (SGF) and intestinal (SIF) conditions was determined, respectively. As shown in Fig. S2, minimal changes in particle size and

**Table 1**  
Fundamental characteristics of WPU<sub>0.99</sub> and WPU@LA nanoparticles.

Nanoparticle	Functional OH (castor oil)	HEP	MDEA	NCO (IPDI)	Appearance	Z-average size (nm)	Zeta potential (mV)	Solids content	Drug loading efficiency	Encapsulation efficiency
WPU <sub>0.89</sub> @LA	1	0.25	0.89	2.15	Translucent	122.2	23	20.7 %	14.16 %	87.8 %
WPU <sub>0.99</sub> @LA	1	0.25	0.99	2.25	Translucent	68.1	52	22.1 %	16.40 %	92.1 %
WPU <sub>1.09</sub> @LA	1	0.25	1.09	2.35	Oyster white	78.8	27.6	19.3 %	13.16 %	75.2 %
WPU <sub>1.19</sub> @LA	1	0.25	1.19	2.45	Oyster white	164.0	33.1	18.7 %	15.88 %	86.4 %
WPU <sub>0.99</sub>	1	0.25	0.99	2.25	Translucent	15.7	15.1	16.8 %	0 %	-



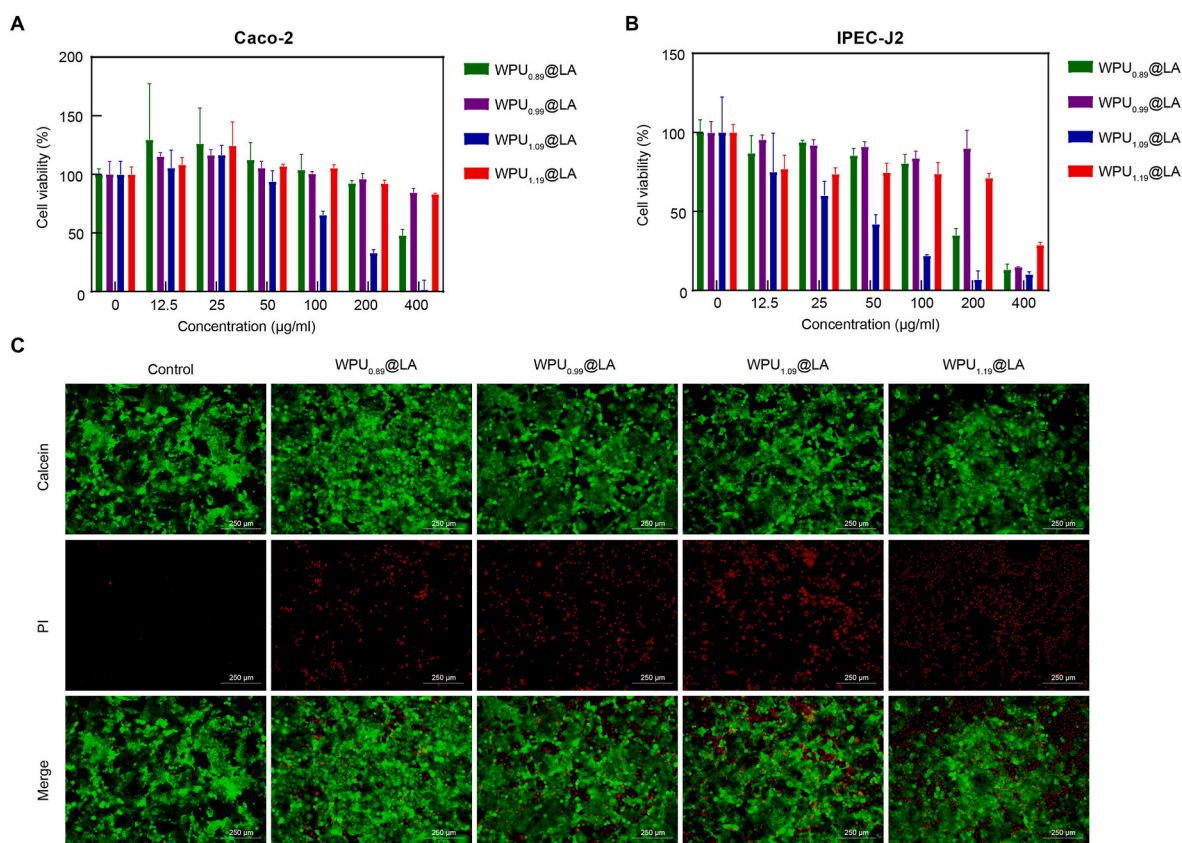
**Fig. 2.** WPU@LA nanomaterials exhibit promising pH-responsive LA release capability. (A) Schematic of the release of LA from nanoparticle WPU<sub>0.89</sub>@LA, WPU<sub>0.99</sub>@LA, WPU<sub>1.09</sub>@LA and WPU<sub>1.19</sub>@LA under different pH conditions. (B) LA release profiles of WPU<sub>0.89</sub>@LA, WPU<sub>0.99</sub>@LA, WPU<sub>1.09</sub>@LA, and WPU<sub>1.19</sub>@LA within 96 h.

zeta potential were observed in SGF, indicating that WPU<sub>0.99</sub>@LA remains stable under gastric condition. However, prolonged incubation in SIF led to a continuous increase in zeta potential and gradual enlargement of particle size, indicating poorer stability of WPU<sub>0.99</sub>@LA under intestinal condition. This underscores our targeted delivery approach to ensure the predominant release of LA in the intestinal environment.

The gut harbors trillions of densely colonizing bacteria that actively combat pathogens, a phenomenon commonly known as "colonization resistance" [54]. Colonization resistance limits the colonization of donor and recipient bacteria in the gut and the subsequent transfer of plasmids [55,56]. To evaluate the efficacy of WPU<sub>0.99</sub>@LA *in vivo* on plasmid conjugation, we initially treated mice with streptomycin to reduce intestinal microbiota, rendering mice susceptible to colonization by exogenous donor and recipient (Fig. 4A). As expected, Fig. 4B shows a significantly reduced colonization level of Enterobacteriaceae (below detection limits) after one day of streptomycin treatment, indicating

abnormal changes in intestinal flora amounts and microbiota destruction. Conjugation experiments employed *E. coli* MQCSZ4GFP as the donor and *E. coli* C600-lux as the recipient. *E. coli* MQCSZ4GFP exhibited red fluorescence, while *E. coli* C600-lux expressed bioluminescent luciferase. Transconjugants displayed both green fluorescence and luciferase activity (Fig. 4C), facilitating clear observation of conjugation events. Colony imaging and PCR results confirmed that the IncX4 plasmid pCSZ4-sfGFP could undergo conjugative transfer within the mammalian gut (Fig. 4D–F).

Based on pre-experiment results, both 50 mg/kg WPU<sub>0.99</sub>@LA and 10 mg/kg WPU<sub>0.99</sub>@LA reduced conjugation rates in the *in vivo* experiment (data not shown). To explore potential factors mediating the inhibition of conjugation, we synthesized PU<sub>0.99</sub> and conducted a subsequent *in vivo* experiment (Table 1). Mice were administered with 10 mg/kg WPU<sub>0.99</sub>@LA, 8 mg/kg WPU<sub>0.99</sub>, 2 mg/kg LA, or sterile water, respectively. Within the first three days post-infection, the conjugation



**Fig. 3.** *In vitro* cytotoxicity of WPU@LAs. Cell proliferation rate (%) of Caco-2 cells (A) and IPEC-J2 cells (B) after the treatment with WPU<sub>0.89</sub>@LA, WPU<sub>0.99</sub>@LA, WPU<sub>1.09</sub>@LA and WPU<sub>1.19</sub>@LA nanoparticles for 24 h. (C) Live/dead cell staining of Caco-2 cells using 100 µg/ml test samples. scale bar: 250 µm.

rates for the control group were 100 %, 100 %, and 75 %, respectively. In contrast, for the WPU<sub>0.99</sub>@LA group, rates were 100 %, 37.5 %, and 25 %, respectively (Fig. 4G). Conjugation rates in the WPU<sub>0.99</sub> and LA groups were similar to those in the control group. Similarly, compared to the control group, on day 1, the conjugation frequency in the WPU<sub>0.99</sub> group decreased by 3.3-fold ( $p < 0.05$ ), and in the WPU<sub>0.99</sub>@LA group, it decreased by 3.0-fold ( $p < 0.05$ ). However, no conjugation inhibition was observed in the samples collected in the WPU<sub>0.99</sub> group on day 2. Meanwhile, compared to the control group, the conjugation frequency in the WPU<sub>0.99</sub>@LA group decreased by 45.1-fold ( $p < 0.05$ ) (Fig. 4H).

The aforementioned findings highlight the potential of WPU<sub>0.99</sub>@LA in reducing *in vivo* plasmid transfer, indicating its efficacy in mitigating plasmid dissemination within living organisms. Specifically, WPU<sub>0.99</sub>@LA could effectively deliver LA to the site of plasmid conjugation. LA exerts inhibitory effects on VirB11 activity and the expression of its associated genes [20,21]. This inhibition can potentially lower ATP levels, thereby hindering plasmid transfer. Consequently, the inhibitory effect of WPU<sub>0.99</sub>@LA can be attributed to the synergy between LA and the responsive-release WPU<sub>0.99</sub> carrier.

### 3.5. WPU<sub>0.99</sub>@LA demonstrates excellent biocompatibility

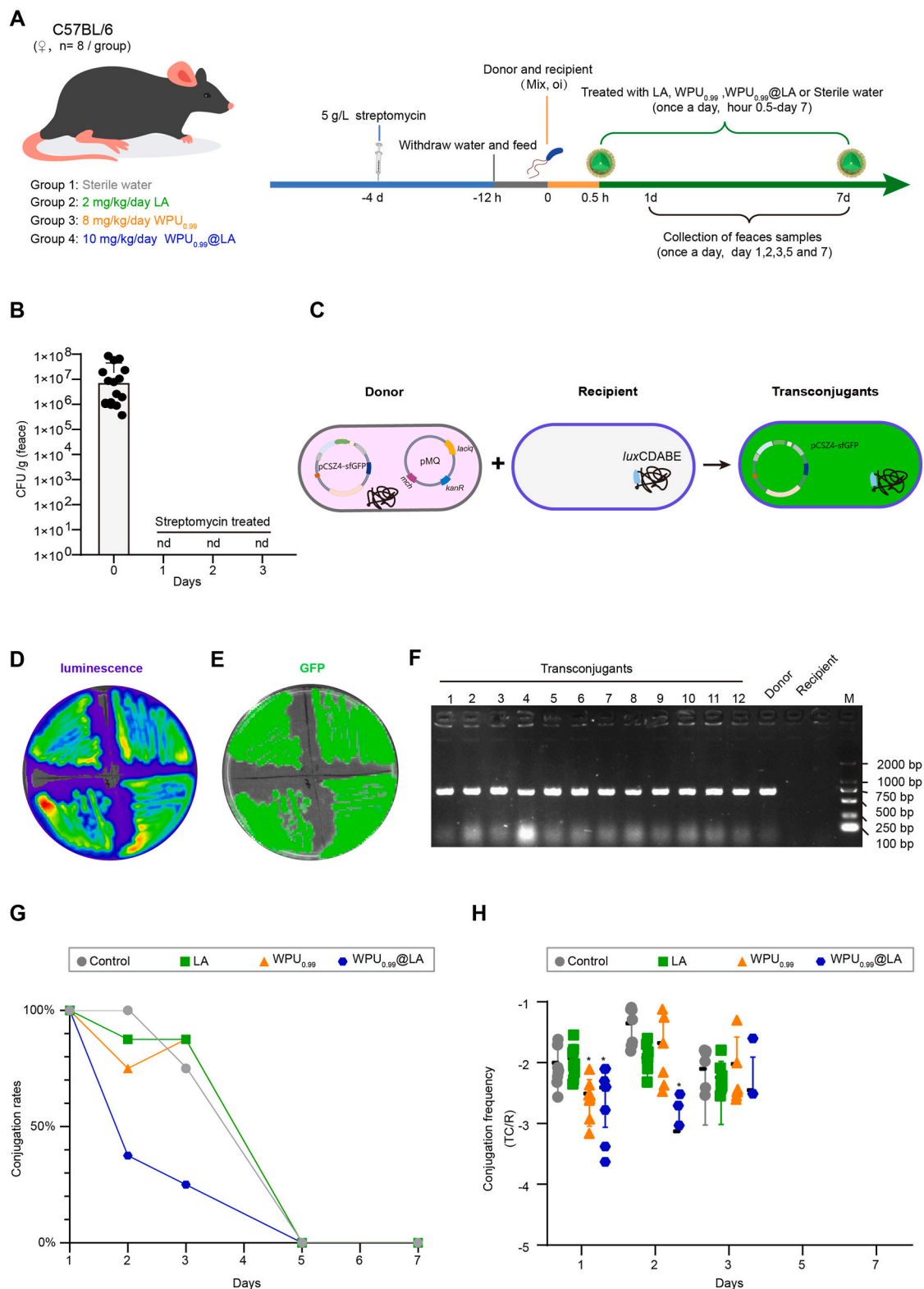
As conjugation inhibitors act preventively without directly triggering therapeutic responses, their practical application requires administration in the mammalian intestine, where plasmid conjugation occurs [57]. Therefore, the conjugation inhibitor must demonstrate favorable biosafety upon entering the human or animal body through the digestive system [57,58]. Initially, an acute toxicity assay was conducted to evaluate the safety of WPU<sub>0.99</sub>@LA *in vivo*. The results indicated that the LD<sub>50</sub> value for WPU<sub>0.99</sub>@LA in C57BL/6J mice exceeded 5000 mg/kg, with no deaths observed among the 10 mice tested (Fig. S3).

Subsequently, treatment biosafety was evaluated by monitoring

changes in body weight and examining organ tissue sections using H&E staining (Fig. 5A). Throughout the experiment, mice receiving 10 mg/kg/day WPU<sub>0.99</sub>@LA did not exhibit significant differences in body weight compared to those receiving sterile water (Fig. 5B). Since organs involved in digestion and metabolism often encounter and accumulate chemicals [59]. We pathologically examined the liver, kidney, spleen, stomach, duodenum, and colon of treated mice using H&E staining. Fig. 5C shows that no damage or inflammation was evident in these organs of mice treated with WPU<sub>0.99</sub>@LA. These findings underscore the excellent biosafety profile of WPU<sub>0.99</sub>@LA, confirming its suitability for *in vivo* use in conjugation inhibition.

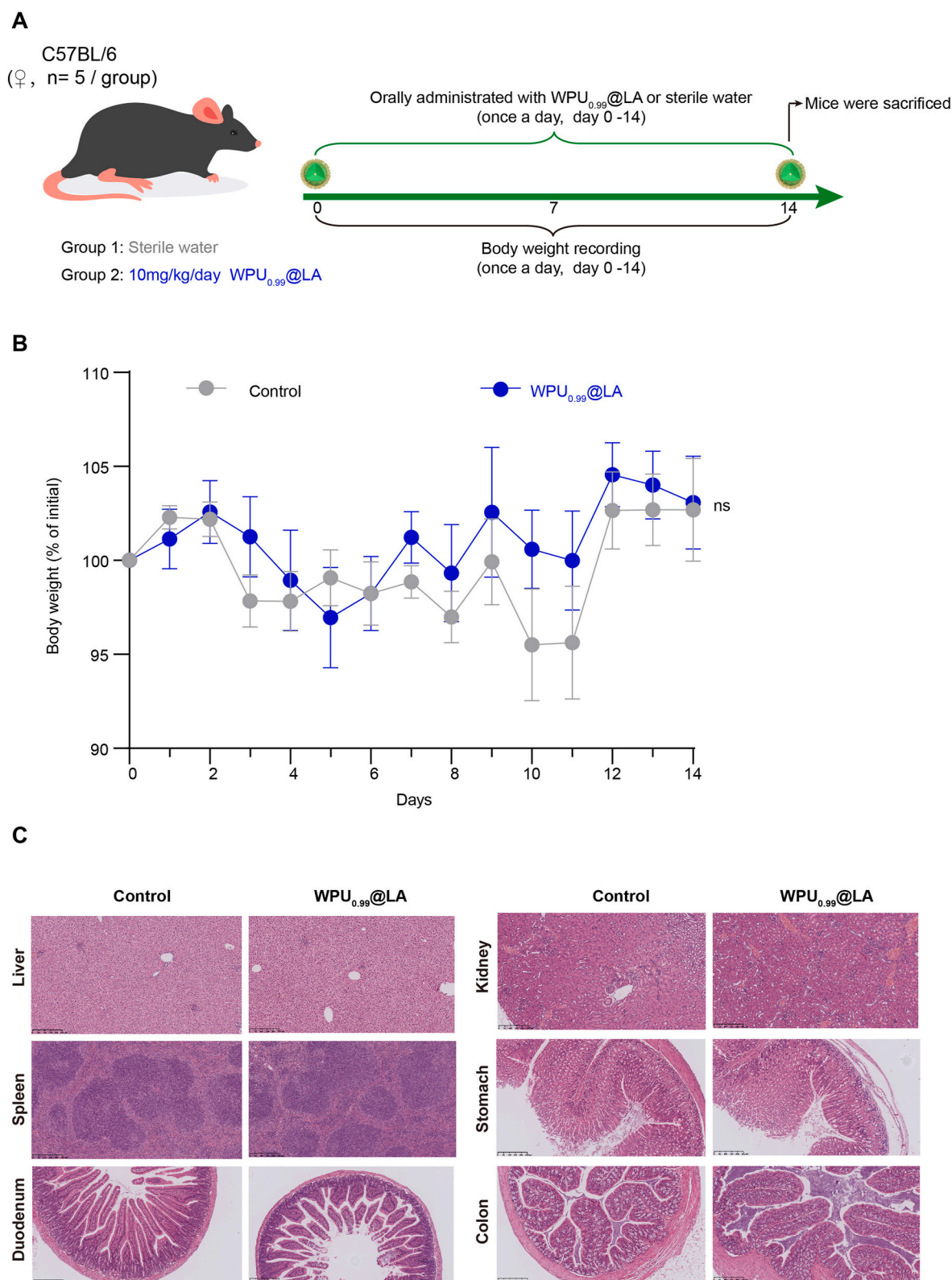
### 3.6. WPU<sub>0.99</sub>@LA maximally maintains the gut microbiota homeostasis

The composition of the microbiota in the mammalian gut is intricately linked to both health and disease [60,61]. To assess the impact of WPU<sub>0.99</sub>@LA exposure on the gut microbiota of mice, we conducted 16S rRNA gene sequencing. A total of 294 operational taxonomic units (OTUs) were identified across all samples from the biosafety assay, with 185 unique OTUs in the control group and 151 in the WPU<sub>0.99</sub>@LA group (Fig. 6A).  $\alpha$ -diversity was assessed using the Chao1 and Shannon indexes, revealing no significant difference between the two groups [62]. Principal Coordinates Analysis (PCoA) based on Unweighted-Unifac and Bray-Curtis distance indicated a tendency of separation between the two groups (Fig. 6B–F), suggesting differences in the overall structure of gut microbiota [63]. At the phylum level, 8 phyla were detected in all samples, including Bacteroidetes, Firmicutes, Actinobacteria, Verrucomicrobia, Proteobacteria, TM7, Tenericutes, and Deferribacteres (Fig. 6G). Predominantly, Bacteroidetes, Firmicutes, Actinobacteria, and Verrucomicrobia were observed among the gut microbiota from all tested animals. The Firmicutes/Bacteroidetes ratio is an important parameter for evaluating the distribution of gut microbiota



**Fig. 4.** WPU<sub>0.99</sub>@LA improves the inhibition of conjugation of *mcr-1*-harboring IncX4 plasmid *in vivo*. (A) The study protocol including streptomycin pre-treatment, donor and recipient inoculation, and mice with the following treatments: sterile water, 2 mg/kg/day LA, 8 mg/kg/day WPU<sub>0.99</sub> and 10 mg/kg/day WPU<sub>0.99</sub>@LA. (B) Enterobacteriaceae depletion followed by CFU counts from feces of mice treated with 5 g/L streptomycin. (C) Development of fluorescence-based strains to monitor transfer of plasmid *in vivo*. Isolated transconjugants were plated on selective media and grown at 37 °C for 24 h. (D) Representative bioluminescence images of transconjugants in the bioluminescence channel. (E) Representative green fluorescence (GFP) images of transconjugants in the GFP channel. (F) Colony PCR for the *mcr-1* gene using *mcr-F* and *mcr-R* as specific primers. Line1-12: PCR product for transconjugants colony; Line13, PCR product for donor (*E. coli* MQCSZ4GFP); Line13, PCR product for recipient (*E. coli* C600-lux). (G) Conjugation rates and (H) Conjugation frequency in response to sterile water, 2 mg/kg/day LA, 8 mg/kg/day WPU<sub>0.99</sub> and 10 mg/kg/day WPU<sub>0.99</sub>@LA. Filled icons show individual values for each dataset. Bar graphs represent the average and error bars the standard deviation of the data set. n = 8, \*, p < 0.05.



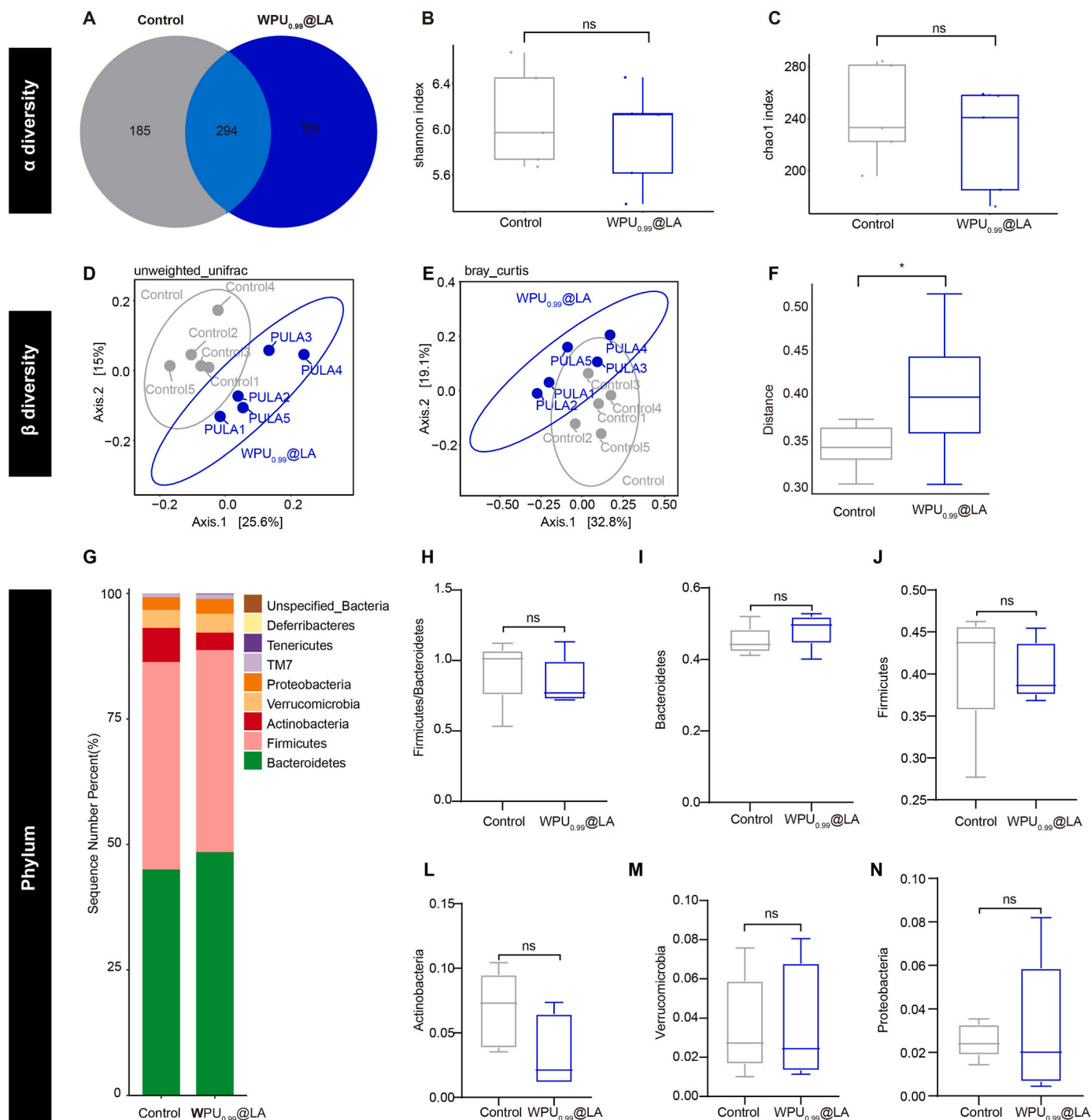


**Fig. 5. WPU<sub>0.99</sub>@LA demonstrates excellent biocompatibility.** (A) Schematic illustration of the workflow of animal experiments. (B) Body weight alteration of mice received the sterile water and WPU<sub>0.99</sub>@LA. (C) Pathological examination of liver, kidney, spleen, stomach, duodenum and colon of mice received sterile water and WPU<sub>0.99</sub>@LA, scale bar: 250  $\mu$ m. n = 5, \*,  $p < 0.05$ .

[64]. Firmicutes/Bacteroidetes ratio and the relative abundance of Bacteroidota, Firmicutes, Actinobacteria, Verrucomicrobia, and Proteobacteria did not significantly differ between the WPU<sub>0.99</sub>@LA and control group (Fig. 6H–N). These findings suggest that WPU<sub>0.99</sub>@LA

maintains gut microbiota homeostasis effectively.

Despite these findings, our study has several limitations. Firstly, our research solely focused on the inhibitory effect of the WPU<sub>0.99</sub>@LA nanoparticle on the IncX4 plasmid. Additionally, we investigated the



**Fig. 6.** WPU<sub>0.99</sub>@LA maximally maintains the gut microbiota homeostasis. Operational taxonomic units (OTUs) (A), Shannon index (B), chao1 index (C), Unweighted UniFrac principal coordinate analysis (PCA) (D), Bray Curtis (E), Bray Curtis distances (F) of the microbial composition for two groups. (G) The relative abundance of cecal bacteria at the phylum level. The Firmicutes/Bacteroidota ratio (H), the relative abundance of cecal Bacteroidota (I), Firmicutes (J), Actinobacteria (L), Verrucomicrobia (M) and Proteobacteria (N). n = 5 mice/group. \*, p < 0.05.

plasmid conjugation inhibition effect of WPU<sub>0.99</sub>@LA in a mouse model with disrupted gut microbiota. Future studies should broaden the scope of investigation by exploring the inhibitory effects of WPU<sub>0.99</sub>@LA nanoparticles on a diverse range of AMR plasmids *in vivo*. Additionally, examining the conjugation inhibition efficacy of WPU<sub>0.99</sub>@LA in animal models with intact gut microbiota would enhance the translational relevance of our findings. Addressing these aspects will contribute to a more comprehensive understanding of the potential applications of WPU<sub>0.99</sub>@LA in combating antimicrobial resistance. Nevertheless, this

study provides robust proof of concept evidence, supporting that WPU<sub>0.99</sub>@LA hold significant potential in controlling the spread of antibiotic resistance within the mammalian intestine.

#### 4. Conclusions

In summary, the WPU<sub>0.99</sub>@LA nanoparticle was engineered as a pH-responsive polyurethane system, showcasing its capability for the precise delivery of LA. This innovative nanoparticle demonstrated

effectiveness in combating the HGT of ARG-bearing plasmids within the mammalian intestine. Overall, WPU<sub>0.99</sub>@LA exhibited a superior safety profile and offers insights for mitigating the spread of AMR *in vivo*.

### Ethics approval

Animal experimentation was approved by the by the ethics Committee of the Laboratory Animal Center of South China Agricultural University (Guangzhou, China), approval number 2020B041.

### Consent for publication

Not applicable.

### CRediT authorship contribution statement

**Gong Li:** Writing – original draft, Validation, Methodology, Investigation, Funding acquisition, Data curation. **Lu Han:** Writing – original draft, Software, Investigation. **Li-Juan Xia:** Methodology, Investigation. **Ang Gao:** Investigation. **Zhi-Peng Li:** Investigation. **Shi-Ying Zhou:** Methodology, Investigation. **Lei Wan:** Methodology, Investigation. **Yao Deng:** Investigation. **Tian-Hong Zhou:** Investigation. **Xin-Yi Lu:** Investigation. **Yang Luo:** Validation, Investigation. **Dun-Sheng Liang:** Validation, Methodology, Investigation, Formal analysis. **Gui-Ting Wu:** Investigation. **Sheng-Qiu Tang:** Funding acquisition. **Xin-Lei Lian:** Software, Methodology. **Hao Ren:** Writing – review & editing, Supervision. **Xiao-Ping Liao:** Supervision, Project administration. **Liang Chen:** Writing – review & editing, Visualization, Validation. **Jian Sun:** Writing – review & editing, Validation, Supervision, Project administration, Funding acquisition, Conceptualization.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

Data will be made available on request.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.mtbio.2024.101181>.

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