

Pulmonary Nontuberculous Mycobacterial Infection in Infants: A Systematic Review

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Abstract: Limited information and literature exist examining pulmonary infections caused by nontuberculous mycobacterial specifically in an infant population. The objective of our study was to summarize clinical characteristics and outcomes of infant patients with nontuberculous mycobacterial pulmonary infection via systematic literature review to identify common diagnostic and treatment regimens for this infection in infants. A search of MEDLINE and PubMed databases in October 2019 using MeSH search terms “infant,” “NTM,” “pulmonary,” and “Mycobacterium abscessus” yielded 139 articles. Inclusion criteria were i) English-language studies including cases and case series with ii) established nontuberculous mycobacterial pulmonary infection in iii) a patient population of infants no older than 24 months. Patients with cystic fibrosis and any study which did not contain relevant information such as infection and age were excluded. This yielded data on 37 patients extracted from 28 studies analyzed. The most common strain was *Mycobacterium avium* complex, isolated in 56.8% of patient diagnoses. Bronchoscopy/thoracoscopy with a subsequent culture were the most common diagnostic techniques, utilized in 64.9% of cases. Drug therapeutic treatment was utilized in 86% of cases, with a median of three drugs administered. Notable limitations of this study are the small sample size and its retrospective nature, which relies on information reported in previous case studies. Although there is limited formal clinician consensus on the treatment of NTM pulmonary infection and how it may differ in an infant population, our findings indicate an informal consensus typically involving diagnostic lung specimen culture and antibiotic therapy.

Keywords: nontuberculous mycobacterial, NTM, pulmonology, pediatrics

Introduction

Nontuberculous mycobacteria (NTM) are found commonly in the daily environment, notably in soil, water, and dust. Over 160 species of NTM have been identified, but infection and disease are rare relative to the ubiquity of these bacteria, although the likelihood of disease is increased in cases of underlying lung disease or immunodeficiency.¹ While infected patients may remain asymptomatic, NTM disease can also be fatal even in immunocompetent patients; recent trends have shown an increase in NTM cases and mortality globally.^{2,3} NTM pulmonary disease is commonly identified in adults with previous lung disease, whereas in pediatric populations these infections occur most often in individuals with no previous lung disease. Immunodeficiencies and underlying pulmonary disease, commonly cystic fibrosis, increase risk for NTM pulmonary infection in children.⁴

Pulmonary NTM disease is frequently misdiagnosed as *M. tuberculosis* infection, due to the presence of similar symptoms in both cases, which can result in

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delayed diagnosis and proper treatment of patients.⁵ This challenge is especially pertinent in countries with high endemic tuberculosis rates, where testing materials for NTM are typically not readily available.⁶ Notably, industrialized countries report higher incidence of NTM infections than of tuberculosis, although the incidence specifically in pediatric populations is unclear due to lack of mandatory disease reporting. Studies of NTM prevalence in the larger population give results for mean age of infection far higher than childhood, typically ranging between 50 and 60 years old.^{7–11} Diagnosis is particularly challenging in young children, where following ATS/IDSA can often result in missed diagnoses.⁴ Treatment of NTM pulmonary infections in the general population is also a source of controversy given a lack of data combined with extensive diversity of expert opinion.¹²

In this study, we systematically investigate the current literature for data regarding diagnosis and treatment of NTM in infants. Relatively little work exists focused on the diagnosis and treatment of NTM disease in infants, thus the purpose of the present study is to provide a preliminary synthesis of descriptive clinical, microbiological, and treatment information specific to infants with pulmonary NTM infection to diversify considerations about NTM pulmonary treatment by highlighting existing data on individual cases.

Methods

A literature search was conducted in October 2019 in MEDLINE and PubMed databases according to Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines using the MeSH searches a) infant and NTM and pulmonary; b) Mycobacterium abscessus and infant and pulmonary; and c) Mycobacterium abscessus and infant. An additional 5 articles were added from a secondary search, yielding a total of 139 articles. No records were added from other sources. After removing duplicates, 118 studies remained. None of these studies were excluded from the screening stage; all full-text studies were assessed for eligibility.

Cases were only included if they met all inclusion criteria for this study: i) English-language studies including cases and case series with ii) established nontuberculous mycobacterial pulmonary infection in iii) a patient population of infants no older than 24 months. Patients with cystic fibrosis and any study which did not contain relevant information such as infection and age were excluded. Infant is standard terminology for this age

range, and cases were additionally checked to ensure adherence to the age cutoff. Because patients with cystic fibrosis are known to be at increased risk for NTM pulmonary infection due to their underlying lung disease, we excluded these patients.

Diagnosis of pulmonary NTM infection was based on positive lung culture. Updated ATS/IDSA guidelines state that two positive sputum samples or one positive bronchial lavage should be used for diagnosis.¹³ Collection of two separate airway samples is not usually feasible for an infant population; we therefore accepted one sample and reported findings based on one lung procedure and a positive culture.

Articles were not limited to a specific date range. Articles were reviewed independently by two reviewers (AB and OB). Cases of dispute were resolved by discussion with a third reviewer (AD). Data was extracted from included articles by one reviewer (AB). Original source data was confirmed after analysis was completed. The review was not registered, and a protocol was not prepared. Data extracted from studies and used for analyses are presented in the [Supplemental Materials \(“Data Extraction” File\)](#).

Statistical Analysis

Descriptive data were extracted from included articles to a spreadsheet and analyzed using Google Sheets software. The data extracted were: gender; age at diagnosis; NTM type; treatment duration; drug provided; patient outcomes; immunocompetence; and diagnostic testing information including test type, acid-fast bacilli testing, and tuberculosis testing. Analysis pooled this data to find descriptive values, which were sums, percent, mean, and median \pm standard deviation as applicable. No data reported was assumed in our data to mean that no significant information was present in the case. A *p* value of <0.05 was considered significant.

Results

Abstracts and full-text articles were reviewed, and 90 studies were excluded per exclusion criteria, yielding 28 articles examining 37 total patients. [Figure 1](#) summarizes the search procedures. Common reasons for exclusion were studies that presented only aggregate data, data on patients older than 24 months, and data on patients without pulmonary infection due to NTM.

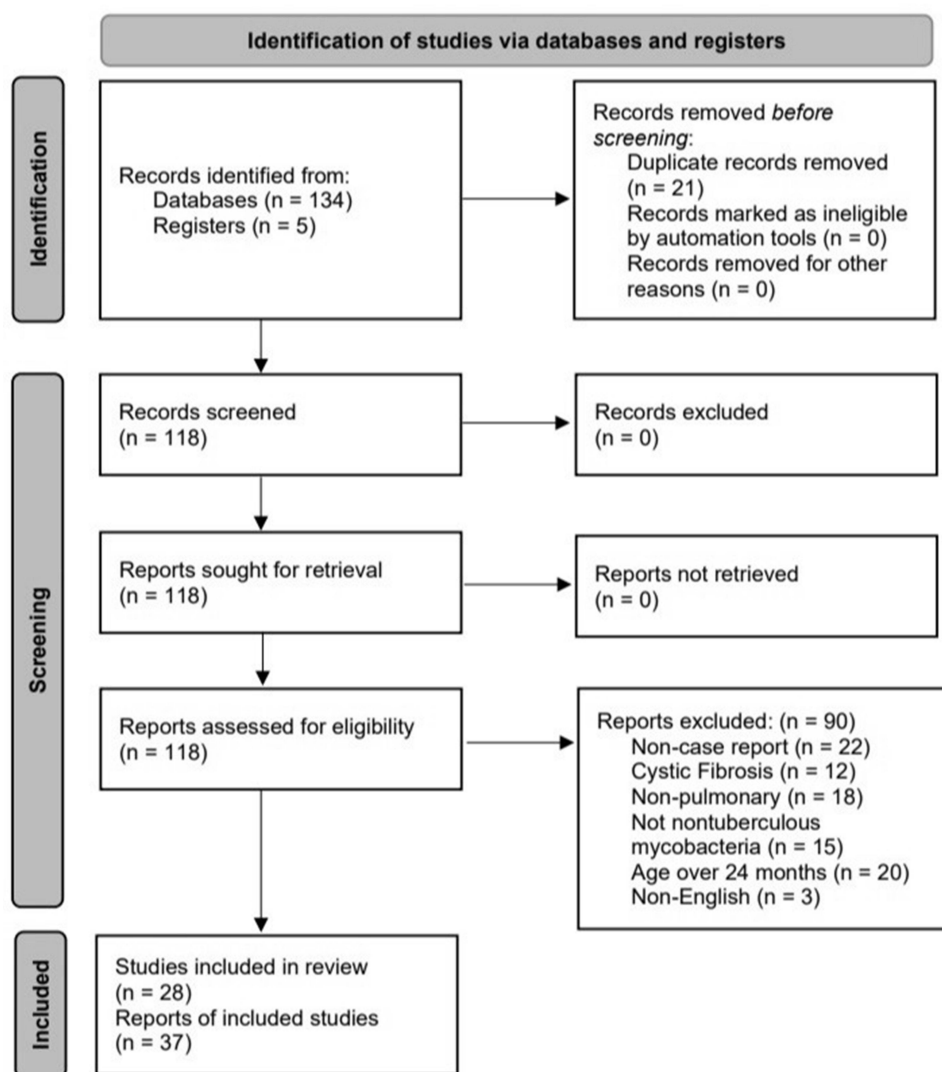


Figure 1 PRISMA flow-chart of article selection.

Demographic Data

Our study examined case reports from 37 patient cases. Demographic data are summarized in Table 1. The two patients who died were due to worsening pulmonary consolidations, and persistent diarrhoea and septicemia.

Testing

Diagnosis of NTM generally involved multiple forms of testing, including testing for *M. tuberculosis* infection. Case reports that did not mention testing were assumed to not have conducted that test. The most common procedure was the collection of sample material from bronchoscopy or thoracoscopy, with 24 of 37 patients undergoing this procedure. The two other most common sources for material for

NTM culture were bronchoalveolar lavage and gastric aspirate samples, with 16 and 11 patients undergoing these procedures respectively. Less commonly used sources for testing included 5 cases using PCR testing to identify the strain of NTM, 1 case using Niacin testing, and 1 use of Paranitrobenzoic acid testing.

In addition to seeking to isolate a specific strain of NTM, these tests were also used to identify the presence of acid-fast bacilli (AFB) in samples, which was noted in 32 cases. Samples from 21 patients (56.8%) returned positive for AFB while 10 were negative (27.0%), and 1 case discussed testing for AFB but not the results of these cultures. The remaining 6 did not mention AFB testing at all, resulting in 16.2% of cases with unknown AFB presence.

Table 1 Demographics of Selected Study Population

| Demographics | N (%) | |
|------------------------|-----------|--------------------------|
| Age at Diagnosis | | Median (IQR) |
| <6 months | 9 (24.3) | 3 months (1.9–4 months) |
| 6 months - <12 months | 5 (13.5) | 9 months (8–10 months) |
| 12 months - <18 months | 13 (35.1) | 13 months (12–14 months) |
| ≥ 18 months | 10 (27.0) | 22 months (20–23 months) |
| Total | 37 | 12 months (6–18 months) |
| Patient Sex | | |
| Female | 20 (54.1) | |
| Male | 14 (37.8) | |
| Unspecified | 3 (8.1) | |
| Immunodeficiency | | |
| Yes | 3 (8.1) | |
| No | 34 (91.9) | |
| Deaths | | |
| Yes | 2 (5.4) | |
| No | 33 (89.2) | |
| Unknown | 2 (5.4) | |

The majority of these tests were conducted on samples drawn from BAL and/or gastric aspirate sources. Examining

the difference between these two major culture sources, BAL was used on 16 patients, with 10 of these cultures testing positive for AFB. Gastric aspirate samples were taken from 11 patients, with 5 of these samples returning positive for AFB. Figure 2 illustrates how many cases tested positive and negative for AFB from a given culture source: BAL culture results were positive for AFB 62.5% of the time, while gastric aspirate culture results were positive 45.5% of the time. Note that cases using BAL and gastric aspirate are not exclusive of the other.

At least one form of testing for *M. tuberculosis* was reported in 22 patients. These methods were the Tuberculin Skin Test (TST), Nucleic Acid Amplification Test (NAAT), QuantiFERON-TB Gold (QFT), and/or Interferon-Gamma Release Assay (IGRA). TST was used in all 22 of these cases, with 9 tests resulting in positive measurements. The mean induration measurement of these positive cases was 12.8mm ± 4.8mm. The more infrequently used tests resulted in 3 negative measures using IGRA, 2 negative measures using QFT, and one negative measure using NAAT.

MAC cases comprised the majority of cases where *M. tuberculosis* testing was also performed with TST. Of the 18 cases tested via TST, 10 were considered to be negative, while the other 8 tested positive.

The results of this analysis demonstrate potential difficulties in identifying and diagnosing NTM infection, given

Acid-fast Bacilli Testing Results

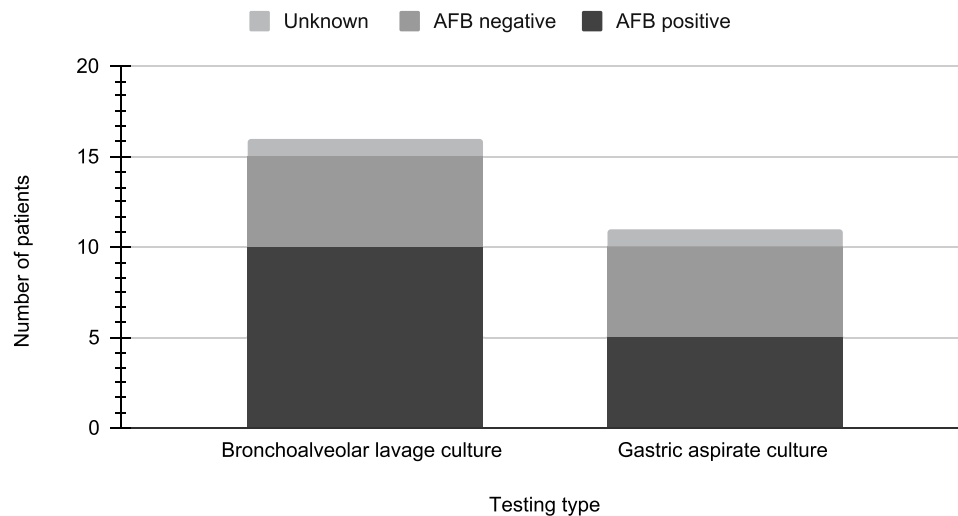


Figure 2 Results from AFB testing for two major sources of culture samples.

that cases may test positive for *M. tuberculosis*, a much more common disease, via common testing methods such as TST.

NTM Type

There were a total of 6 NTM strains identified in patients, while one patient was also diagnosed with an unspecified mycobacterial strain. The types were *Mycobacterium abscessus*, *M. avium/M. avium* complex (MAC), *M. chelonae*, *M. fortuitum*, *M. genavense*, *M. smegmatis*. The most common NTM strain was *M. avium* and *M. avium* complex, identified in 21 patients (56.8%). The next most common strains were *M. abscessus* and *M. fortuitum*, with 6 and 5 cases respectively. These results are included in Table 2.

Type of Drugs Provided and Amount Administered

A mean of 3.11 ± 2.12 drugs were administered per patient, and a median of 3 drugs were used. Five patients did not receive drugs for treatment following their diagnosis. A complete listing of drugs and the respective number of cases of use is as follows:

Amikacin (10), Azithromycin (8), Cefmetazole (1), Cefoxitin (3), Cefprozil (1), Ciprofloxacin (4), Clarithromycin (15), Clofazimine (2), Ethambutol (12), Ethionamide (1), Glucocorticoids (1), IFN-gamma (1), Imipenem (1), Isoniazid (7), Levofloxacin (1), Linezolid

Table 2 Frequency of Diagnosis of NTM Strain Species

| NTM Type | Number of Patients | Percentage |
|-------------------------------|--------------------|------------|
| <i>M. abscessus</i> | 6 | 16.2 |
| <i>M. avium</i> complex (MAC) | 21 | 56.8 |
| <i>M. chelonae</i> | 3 | 8.1 |
| <i>M. fortuitum</i> | 5 | 13.5 |
| <i>M. genavense</i> | 1 | 2.7 |
| <i>M. smegmatis</i> | 1 | 2.7 |
| Unspecified mycobacterium | 1 | 2.7 |

Note: Genus is abbreviated and each strain's name is italicized.

(2), Meropenem (2), Moxifloxacin (3), Netilmicin (1), Ofloxacin (1), PAS (para-aminosalicylic acid) (1), Prednisolone (1), Prednisone (2), Pyrazinamide (3), Rifabutin (4), Rifampicin (Rifampin) (18), Streptomycin (1), Trimethoprim-sulfamethoxazole (TMP/SMX) (2).

Table 3 illustrates the relationship between the most common drugs and their usage among specific strains of NTM. Among all NTM types, Rifampicin and Clarithromycin were most commonly used, but when comparing the percentage use of each drug per strain, we can see that for *M. abscessus*, Clarithromycin and Amikacin were most common. In

Table 3 Number of Patients Diagnosed with Strain Type and Percent Use of Medication per Strain

| Type vs Drug | <i>M. abscessus</i> | <i>M. avium</i> Complex | <i>M. fortuitum</i> | <i>M. chelonae</i> |
|----------------|---------------------|-------------------------|---------------------|--------------------|
| Rifampicin | 2 (33%) | 12 (57%) | 1 (20%) | 1 (50%) |
| Clarithromycin | 4 (66%) | 8 (38%) | 1 (20%) | 2 (100%) |
| Ethambutol | 1 (17%) | 9 (43%) | – | 1 (50%) |
| Amikacin | 3 (50%) | 3 (14%) | 3 (60%) | – |
| Azithromycin | 1 (17%) | 4 (19%) | 1 (20%) | – |
| Isoniazid | 1 (17%) | 6 (29%) | – | – |
| Ciprofloxacin | 1 (17%) | 2 (10%) | 1 (20%) | – |
| Rifabutin | – | 4 (19%) | – | – |
| Moxifloxacin | 1 (17%) | 1 (5%) | – | – |
| Cefoxitin | 2 (33%) | – | 1 (20%) | – |
| Pyrazinamide | – | 2 (10%) | – | – |
| Ofloxacin | – | – | – | 1 (50%) |
| Total cases | 6 | 21 | 5 | 2 |

Note: Genus is abbreviated and each strain's name is italicized.

M. avium, the three most common drugs were Rifampicin, Ethambutol, and Clarithromycin. Finally, 60% of *M. fortuitum* patients were administered the drug Amikacin. In addition, almost all of the drugs were used for more than one strain type, with the exception of Rifabutin and Pyrazinamide, which were used only for *M. avium* strain, and Ofloxacin, which was only administered in cases of *M. chelonae*.

Discussion

Data from the cases we examined indicate that mycobacteria in *M. avium* complex were the most common cause of pulmonary NTM infection in infants and that Rifampicin, Clarithromycin, Ethambutol, and Amikacin were the most frequently prescribed antibiotics used in the treatment of NTM infection. Additionally, our findings indicate that direct sample for culture via bronchoscopy or thoracoscopy was the most frequently used diagnostic procedure.

Our study's findings regarding specifically infants are in line with existing literature that cites MAC, which is comprised of various mycobacterial species such as *M. intracellulare*, *M. avium*, and *M. chimaera*, as the most common cause of pulmonary NTM infection.^{14,15} We also identified that the most commonly prescribed drugs were Rifampicin, Clarithromycin and Azithromycin (which is part of a macrolide treatment), Ethambutol, and Amikacin. Many other studies indicate that these are the most effective and common drugs for this infection: recommended treatment for MAC involves a triple-drug therapy of a macrolide (clarithromycin or azithromycin), ethambutol, and rifampicin, with additional amikacin or streptomycin prescribed in some severe cases.^{15–18} Cases treated with these drugs had fewer indices of recurrences, and in a Zimmerman study on infections in children, the adjusted mean cure rate was 73.1% with these drugs.^{15,19}

To our knowledge, our study is the first to systematically examine NTM pulmonary infection in an infant population. Existing literature focuses largely on NTM infection in the general population, specifically among immunocompromised communities,^{20,21} older adults, or on other more common manifestations of NTM infection in children, such as lymphadenitis, which is the most common form of NTM infection in children.^{4,15,19} In contrast, our study focuses on NTM pulmonary infection in infants and aims to examine existing work for relevance to infant populations, as existing literature concedes that there is very limited data “on the epidemiology, diagnosis and optimal management of nontuberculous mycobacterial (NTM) disease in children”.^{15,22} Although findings suggest

that this infection is uncommon, there has been a noted increase from 0.6 to 1.6 cases per 100,000 children per year,¹⁵ and the infection in immunocompetent individuals continues to rise.²³ In relation to children, there is still limited data as many reports have fewer than 50 cases and lack details about diagnostic workup, management, and outcome.¹⁵ Finally, another factor that impedes detailed study of this infection is the fact that reporting for NTM infections is not mandatory, unlike with *M. tuberculosis* infection, hampering a full understanding of NTM infections' impact on public health.²⁴

Identifying more infection cases is a valuable step toward systematizing data about this disease, especially given the fact that NTM infection rates appear to be rising,^{17,25} although large scale studies are especially limited in pediatric or specifically infant populations.¹⁵ The median age of diagnosis in existing studies of NTM in the population is far older than infancy, as infections are most common in older adults.^{7–11} An estimate by Diel et al found that the five-year all-cause mortality rate of patients with MAC-PD was 27% and that the pooled estimate of the one-year mortality rate was 10% (95% CI, 5–20%).^{10,14} Patients with comorbidities (eg coexisting lung disease) and the presence of fibrocavitary disease have a higher chance of mortality.¹⁴ Our study found 2 deaths, although neither case reported comorbidities or immunodeficiencies.

Differentiating pulmonary NTM infection from tuberculosis infection remains challenging at diagnosis but is important to study, as the treatment path for tuberculosis is ineffective against NTM.²⁶ In a 2012 study by Maiga et al,⁶ 18% of cases diagnosed as chronic tuberculosis were found to be a misdiagnosis of a solely NTM infection; a 2015 study by Shahraki et al²⁷ isolated NTM strains in 30% of suspected multidrug-resistant tuberculosis cases. This, coupled with the potential for comorbidities between NTM infection and tuberculosis, is indicative of the importance of detection of NTM. Unfortunately, diagnosis and treatment of NTM are especially difficult in countries where tuberculosis is endemic due to a lack of resources to test for and culture NTM, as well as limited availability of drugs useful for treating NTM. Of particular note is the potential that, although true incidence of NTM pulmonary infection may be similar to tuberculosis numbers, the true prevalence of NTM pulmonary infections may be much higher due to the difficulty of curing these infections relative to tuberculosis.²⁸ It is additionally relevant to consider complications in NTM infection data due to TB burden. Associations between increased NTM disease incidence and decreased tuberculosis incidence have been reported, but this

relationship has not been demonstrated to be causal and is based on adult populations only.²⁶ Positive sputum samples may be likely to be misinterpreted as tuberculosis in countries with high TB burden, rendering it difficult to ascertain differences in occurrence from the existing data.

Even in settings where diagnostic tools are more readily available, NTM can pose difficulties during diagnosis because they are commonly found in the environment and are typically regarded as commensal within the human body assuming an immunocompetent host.²⁶ Interestingly, the majority of cases our study examined were in immunocompetent children, which may support identified trends of increasing NTM infection in immunocompetent hosts.²³ Recommendations for the diagnosis of NTM from the American Thoracic Society require positive culture results, in addition to radiological findings and the exclusion of other pulmonary diseases like tuberculosis.¹⁸ This is due in large part to the ubiquity of NTM in the environment and within the human body; positive culture of NTM alone is not sufficient evidence of pathogenic NTM. Gastric aspirate samples, for example, are commonly used to test for tuberculosis²⁹ and were collected in a third of the cases in our study as well to test for NTM in culture. However, this type of testing does not conclusively prove NTM to be the pathogen, as NTM exist in the gastrointestinal tract as commensal bacteria.²⁶

A 2016 study by Tebruegge et al¹⁵ cited sample culture and acid-fast bacilli staining as the most sensitive diagnostic tests sensitive for NTM lymphadenitis, with 67.2% and 35.7% positivity rates respectively. Ninety-two percent of cases then tested positive using polymerase chain reaction testing for species identification. However, molecular testing methods may not be readily available or widely used at present: PCR was used in 13.9% of cases included in our study, while other techniques such as culture from bronchoscopy samples or acid-fast staining were utilized in the majority of cases. Other literature on pulmonary NTM pulmonary infection diagnosis similarly relied on or recommended acid-fast smears/staining and mycobacterial cultures as key procedures.^{30,31}

These methods have their own limitations, however. Mycobacterial growth in culture may be attributable to sample contamination due to the prevalence of NTM in the environment, while acid-fast testing cannot differentiate between *M. tuberculosis* and other NTM strains. Additionally, acid-fast testing is limited by its variable sensitivity;³² this concern is evident in our study, where 11 out of 32 NTM cases assessed for AFB via smear or culture did not return positive results.

Although we compare our findings to existing literature, limitations within our data should also be noted. Our study looked at a small, specific population of patients, limiting us to just 37 cases. A larger data set could demonstrate that trends identified are not reflective of overall characteristics amongst the infant population. Additionally, the retrospective nature of our study relies upon the information contained within the case reports we examined, limiting our data to information that the authors of each case studies deemed relevant to report. Not all case reports were published following the conclusion of treatment, resulting in a possible reporting bias for later complications or changes in treatment regimen. Our search scope was also limited to English language published cases, leaving potential missed data in grey literature or other unpublished cases we did not identify, as well as in non-English literature. Our study also did not include formal statistical bias analysis, which is an additional drawback, though we did not detect that bias was otherwise present in the studies analyzed.

Conclusion

NTM pulmonary infection is increasing in incidence and prevalence. The characteristics of this disease in children is less well studied than in adult populations, and limited clinical guidelines drawn from patient data exist. As a result, it is important to summarize the existing literature in order to highlight the need for further research into this area.

This study compiled data from existing case reports on infants diagnosed with nontuberculous mycobacterial pulmonary infection. Our findings indicate that the most common NTM strain type is the *M. avium* complex and caused most infections in cases we examined, which supports other existing findings. Most cases opted for antibiotic treatment to combat infection, with the most commonly prescribed drugs varying for different strains of NTM. Diagnostic practices frequently involved a direct sample for culture via bronchoscopy or thoracoscopy, as well as acid-fast bacilli testing. As NTM infection rates continue to rise worldwide, it is important to highlight what data currently exists, as well as its limitations to direct additional research and data collection in order to grow the base of evidence from which clinicians can make conclusions about the course of treatment for this disease, both in the general population as well as specifically amongst children and infants. The diagnosis of infant NTM may be complicated by technical issues related to specimen collection and age limitations. Infants with NTM may be underdiagnosed as a result and our study highlights the salient findings and treatment of this infection among infants. To our knowledge, our study is the

first to specifically examine details of NTM pulmonary infection specifically among infants.

Abbreviations

NTM, nontuberculous mycobacterial; AFB, acid-fast bacilli; MAC, *M. avium* complex.

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Disclosure

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