1	A unifying model to explain high nirmatrelvir therapeutic efficacy, low post-exposure
2	prophylaxis efficacy, and frequent viral rebound
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15	
16	Abstract
17	In a pivotal trial, a 5-day course of oral ritonavir-boosted nirmatrelvir, given early during
18	symptomatic infection, decreased hospitalization and death by 89.1% and reduced nasal viral
19	load by 0.87 log relative to placebo in high-risk individuals. Yet, ritonavir-boosted nirmatrelvir
20	failed as post-exposure prophylaxis in a follow-up trial, and frequent viral rebound has been
21	observed in the community. We developed a mathematical model capturing viral-immune
22	dynamics and nirmatrely ir pharmacokinetics that recapitulated viral loads from this and
23	another clinical trial. Our results suggest that nirmatrelvir's <i>in vivo</i> potency is significantly
24	lower than <i>in vitro</i> assays predict. A maximally potent agent would reduce the viral load by
25 26	approximately 5.5 logs relative to placebo at 5 days. The model identifies that earlier initiation and shorter treatment duration are key predictors of post treatment rebound. Extension of early
20 27	symptomatic treatment to 10 days and post-exposure prophylaxis to 15 days, rather than
28	increasing dose or dosing frequency, is predicted to significantly lower the incidence of viral

- 29 rebound.
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32 Introduction

The SARS-CoV-2 main protease inhibitor nirmatrelvir is a drug plagued by 33 contradictions. In a landmark, randomized, double-blinded, placebo-controlled clinical 34 trial with 1364 analyzed individuals, 300 mg of nirmatrelvir boosted with 100 mg 35 ritonavir was given twice daily for five days to high-risk individuals with SARS-CoV-2 36 infection within 3 days of developing symptoms. Compared to placebo, nirmatrelvir 37 reduced the combined outcome of hospitalization and death by 89%, eliminated death 38 as an outcome, and reduced viral load by $0.87 \log \text{ after 5 days of treatment}(1)$. This 39 critical result prompted the Food and Drug Administration (FDA) to issue an 40 Emergency Use Authorization(2). The drug became the most widely prescribed 41 antiviral for SARS-CoV-2 in the United States, likely preventing thousands of 42 hospitalizations and many deaths(3). Ritonavir boosted nirmatrelvir was recently 43 licensed by the FDA based on its continued effectiveness and safety(4) and has 44 outperformed other antivirals in terms of hospitalization and viral load reduction(5). 45

However, the use of nirmatrelvir/ritonavir in real-world cohorts has identified viral 46 rebound as a significant issue. Viral rebound occurred in 14.2% of individuals in one 47 large cohort and was usually associated with recrudescence of symptoms, though 48 protection against hospitalization and death appeared to be maintained(6) and remains 49 significant despite high rates of population immunity due to vaccination and prior 50 infection(7). Similar rates of viral rebound were observed between molnupiravir and 51 52 nirmatrelvir, suggesting the rebound effect is not drug-specific and may pertain to characteristics of SARS-CoV-2 infection and treatment duration(8). This high 53 incidence of viral rebound exceeded the 2.3% rate observed in the proof-of-concept 54 55 trial, which did not differ from placebo(9).

Despite its high efficacy as an early symptomatic therapy for high-risk individuals, 56 nirmatrelvir/ritonavir was not authorized for use as post-exposure prophylaxis (PEP). In 57 a clinical trial of post-exposure prophylaxis, nirmatrelvir/ritonavir showed 32% and 58 37% reductions in symptomatic COVID-19 relative to placebo when given for five or 59 ten days respectively(10). However, neither of these results reached statistical 60 significance. Notably, molnupiravir, another drug that reduced hospitalization when 61 given during early symptomatic infection, also failed as post-exposure prophylaxis(11). 62 Only long-acting monoclonal antibodies have demonstrated efficacy for post-exposure 63 prophylaxis(12-14), but these are no longer active against prevalent circulating 64 strains(15). 65

Early during the COVID-19 pandemic, multiple groups employed mathematical models 66 to predict the outcomes of clinical trials for SARS-CoV-2(16-22). These models all 67 accurately predicted that antiviral therapy that was insufficiently potent or given too 68 late during infection might fail to provide clinical benefit(16–19, 21). Our previous 69 modeling results further suggested that viral rebound may occur and was more likely if 70 a drug was dosed during the pre-symptomatic phase of infection when viral loads are 71 still expanding, as occurs in a post-exposure prophylaxis scenario(23). The proposed 72 mechanism of this effect was that reducing viral load may blunt early immune 73 74 responses and preserve susceptible cells, allowing viral re-expansion upon cessation of treatment that was of insufficient potency to eliminate all infected cells(24). The model 75 suggested that this phenomenon could theoretically occur during early symptomatic 76 treatment as well. At the time, we downplayed the significance of model-generated 77 rebound as the phenomenon had yet to be demonstrated clinically. However, models fit 78 to rebound data now suggest a similar mechanism of action to explain viral 79 rebound(25). 80

Here we use an updated model for SARS CoV-2 viral kinetics that was first validated 81 against a much larger panel of untreated individuals to precisely simulate the virologic 82 outcomes of two nirmatrelyir/ritonavir trials. We identify that the true *in vivo* potency 83 of nirmatrelyir is approximately significantly less than its *in vitro* potency, such that 84 drug levels are sub-therapeutic during a portion of the dosing interval. Viral rebound is 85 observed in our simulations and is more likely when the drug is dosed early during 86 infection and is not reduced with a higher dose or dosing frequency. Extended-duration 87 88 treatment is identified as the best strategy to avoid viral rebound.

90 **Results**

89

91 Viral Dynamic, Pharmacokinetic, and Pharmacodynamic Mathematical models

To derive parameters for simulating nasal viral loads in the absence of therapy, we used 92 the mechanistic mathematical model that best recapitulated 1510 SARS-CoV-2 93 infections in a cohort of 2678 SARS-CoV-2 infected individuals from the National 94 Basketball Association cohort (Error! Reference source not found.a) (26). The model is 95 target-cell limited due to a finite number of susceptible cells. An eclipse phase delays 96 viral production by infected cells. In keeping with an early interferon-mediated innate 97 immune response, susceptible cells can become refractory to infection based on the 98 total number of productively infected cells but also revert to susceptible at a constant 99 100 rate. Infected cells are cleared by cytolysis and early immune response at a constant rate and delayed acquired immunity, which is activated in a time-dependent fashion. We 101 used a mixed-effect population approach implemented in Monolix to estimate model 102 103 parameters (Fig S1, Table S1).

To reproduce levels of nirmatrelvir, we used a two-compartment pharmacokinetic (PK) 104 model (Error! Reference source not found.b). Using Monolix and the mixed-effect 105 population approach, we estimated parameter values by fitting the model to the plasma 106 concentration of healthy subjects. The model closely recapitulated observed drug levels 107 following a single dose of 250mg/100mg of nirmatrelvir/ritonavir (Fig S2, Table S2). 108 The effect of ritonavir as an inhibitor of nirmatrelvir's metabolism is accounted for in 109 the nirmatrelvir's clearance rate in the PK model. We also fit the model to the 110 population level plasma concentrations following a single dose of 250mg/100mg and 111 750mg/100mg showing that the estimated parameters are dose-independent (Table S3). 112

- For the pharmacodynamic (PD) model, we assumed the efficacy of the drug follows a Hill equation with respect to the drug concentration. We parameterized the Hill equation using *in vitro* efficacy data collected at different concentrations of nirmatrelvir (details in **Materials and Methods, Fig S3, Table S4**).
- To estimate the *in vivo* potency of nirmatrelvir/ritonavir, we fit our model to the viral 117 load drop from the baseline of the control and treatment arms of two randomized, 118 controlled trials: the EPIC-HR trial with 1574 high-risk unvaccinated symptomatic 119 individuals (trial 1)(1) and the PLATCOV trial with 144 low-risk, symptomatic 120 individuals (trial 2) (5). To generate placebo arms for each trial with matched viral 121 variants and vaccine status, we simulated the viral load of 400 randomly selected 122 individuals from the unvaccinated symptomatic subgroup of the NBA cohort (for 123 EPIC-HR) and symptomatic individuals with Omicron infection (for PLATCOV), 124 using their estimated individual viral load parameters. For their symptom onset, we 125 randomly assigned all individuals an incubation period selected from a gamma 126 distribution with parameters associated with each participant's variant reported in the 127

- literature (27). The mean viral load drop from the baseline recapitulated the mean
 change from the baseline of the viral load observed in the control arms of both trials
 (Error! Reference source not found.a and 3a).
- To simulate the treatment arm, we combined viral dynamics, PK, and PD models. Sets of VL parameters for individuals were again drawn from the NBA cohort following the
- same criteria as in the control arm to match the cohort characteristics of each trial as
 closely as possible. The PK and PD parameters for all simulated individuals were
- randomly drawn from their estimated population distributions. The efficacy of the treatment was calculated from the Hill equation using plasma concentrations of the
- 137 drug obtained from the PK model. The efficacy of the treatment was used to lower the
- viral reproduction rate (details in Materials and Methods, Fig 1).

139Reduction of *in vivo* nirmatrelvir potency relative to *in vitro*

- To obtain PD parameters of nirmatrelvir, we fit the Hill equation to the *in vitro* efficacy 140 of the drug as a function of its concentration (Fig S3). However, the *in vivo* potency of 141 a drug is known to be different from values measured in vitro(23, 28, 29). The potency 142 reduction factor (prf) is defined as the ratio between the *in vivo* and *in vitro* IC₅₀. Here 143 the *in vivo* IC_{50} is the plasma drug concentration required to inhibit viral replication by 144 50%. To identify the *in vivo* potency of nirmatrelvir, we estimated the prf that achieved 145 the best fit between our VL+PKPD model and the average drop in viral load of the 146 treatment arm of the two clinical trials (Figs 2b and 3b). 147
- To estimate the prf, we simulated the viral load of our virtual cohort of 400 individuals 148 treated with 300 mg of nirmatrelvir twice per day for five days with prf ranging from 1 149 (no reduction in potency) to 120. The treatment start day was randomly selected from a 150 uniform distribution for each simulated individual to be within 3 days of symptom 151 onset. We fit the average change from baseline in simulated viral load data of the 152 treatment arm to the trial data. We then plotted the coefficient of determination, R^2 , of 153 the fit against different prf values (Figs 2c and 3c). The best value (prf = 61 for the fit 154 to EPIC-HR and prf=37 when fitting to PLATCOV) was determined by maximizing the 155 \mathbf{R}^2 of the fit. Our model closely recapitulated viral load reduction in the treatment arm 156 of both trials (Figs 2b and 3b). We repeated the simulation 10 times and used these 157 replicates to estimate the standard error of the prf. Accordingly, the boxplot in the 158 lower panel of **Figs 2c** and **3c** represents the standard error of the prf average value and 159 does not reflect individual variability. 160
- 161 The reason for slight differences in estimated prfs between the two trials is unknown. 162 Possible explanations include different sampling methods (nasal swabs in EPIC-HR 163 versus oropharyngeal swabs in PLATCOV) or different participant characteristics 164 (high-risk adults in EPIC-HR versus lower-risk adults without comorbidities in 165 PLATCOV).

166 Estimates of optimal viral load reduction with an optimal drug

To illustrate the importance of estimating *in vivo* potency of the drug, we compared the 167 PKPD projection and average change in viral load of treatment arms with prf = 1 (no 168 reduction in potency) and prf = 61. With an approximately 61-fold weaker potency, the 169 drug levels dropped below the therapeutic level shortly after each dose and antiviral 170 effect subsided in less than a day after the end of treatment leading to an average 171 efficacy of 82% over the first 5 days of treatment (**Fig 2d, e**). However, the plasma 172 173 concentration of a perfectly potent drug (prf = 1) remained above therapeutic levels for the duration of the treatment with a 5-day average efficacy of 99.99% and the effect 174

- persisted for nearly 10 days (Fig 2e). With the perfectly potent drug (prf =1), with
 assumed *in vitro* potency level, the same treatment regimen could reduce the viral load
 by approximately 3.5 logs at day 5 relative to the placebo compared to the 0.87 log
 reduction reported in the trial (Fig 2f).
- 179In estimating nirmatrelvir's *in vitro* pharmacodynamic parameters, we assumed only180the IC_{50} differs *in vivo*. To confirm the validity of this assumption, we repeated the181simulation of the treatment arm of EPIC-HR with different combinations of the potency182reduction factor and the Hill coefficient. Fig S4 shows that the best fit always happened183for prf ~60 and was independent of the Hill coefficient.
- The potency reduction factor was more sensitive to certain PK parameters (**Fig S5**), particularly the drug's clearance rate (κ_{CL}). If the drug is assumed to be cleared from the body more rapidly (larger κ_{CL}), then it would need to be more potent (smaller prf) to provide the same effect observed in the clinical trial. However, this did not impact our simulations of different dosing regimens since PK parameters were independent of the dose (**Table S3**). In simulations of different dosing regimens, we therefore use estimated PK parameters and prf distributions from EPIC-HR for all dosing regimens.

191 Model recapitulation of PLATCOV participant variability

- Our model accurately reproduced mean reduction in viral load on multiple posttreatment days in EPIC-HR (**Fig 2b**) and PLATCOV (**Fig 3b**). However, it also
- predicted variable virologic responses at the individual level, including some instances
 of viral increase in the days following therapy. To test whether our model reproduced
 individual level heterogeneity within the trial, we compared simulated and actual
 distributions of viral load change in the control and treatment arms of the PLATCOV
 trial. On most post-treatment days, these distributions were not statistically dissimilar
 (Fig 3d, e). Wider distributions of observed versus simulated viral load change were
- noted on post-randomization days 1 and 2 in the control and days 1 and 4 in the
 treatment arm (Fig 3d, e) perhaps due to noise in viral load data from oral swabs: wide
 variability was noted between oral samples collected from PLATCOV participants at
 equivalent timepoints, particularly on day 1 and 2 (Fig S6).
- 204 Frequent viral rebound on nirmatrelvir
- To assess whether our model generated viral rebound, we performed simulations 205 assuming parameter values obtained from fitting the model to data from the EPIC-HR 206 trial (Fig 2) and randomly drew individual prf values from the obtained distribution in 207 Fig 2c. We performed simulations from the time of infection to 30 days after symptom 208 onset and monitored viral load continually. We defined rebound in the control arm as 209 any case with at least two peaks in the viral load trajectory with minimum heights of 3 210 logs and a second peak higher than its minimum by at least 1 log (Fig S7a). We defined 211 212 rebound in the treatment arm as any instance in which a post-treatment viral load exceeded the viral load at the end of the treatment by 1 log (Fig S7b). 213
- By this definition, we observed rebound in 21.6% of cases treated with the clinical trial dose and 3.78% of controls (**Fig 4b**). When an equivalent definition of rebound was used as in the trial (1 log increase in viral load 5 days after treatment cessation), the probability of rebound was lower (6.65% if treatment was assumed to begin several days after symptoms), closer to that of the controls, and comparable to that observed in the trial (**Fig S8**).
- 220 Limited impact of nirmatrelvir dose or dosing frequency on viral rebound

- We next explored different treatment regimens to estimate their impact on lowering viral load and the chance of rebound. We simulated the therapy with 150, 300, 600, and 900 mg doses administered twice per day for 5 days, starting within 3 days post symptom onset. A larger dose decreased viral load more significantly and quickly than 300 mg twice daily. 900 mg of nirmatrelvir reduced the viral load by a mean of 2 logs on day 2 and a mean of 4 logs on day 5 compared to the control (**Fig 4a**).
- Individual viral loads were highly variable within each treatment group regardless of 227 dose (Fig 4a). This was due to several factors including heterogeneous viral load 228 trajectories (Fig S1) and different timing of treatment. Responses to treatment differed 229 substantially according to viral load trajectory and treatment timing as well (Fig 4c). In 230 nearly every case, the reduction in viral load was greater during the first 5 days of 231 treatment with higher doses. However, this only impacted viral elimination in certain 232 cases (Fig 4c,i). Sometimes viral load equilibrated to similar levels post-treatment 233 regardless of dose (Fig 4c, ii), while in other cases, higher doses were associated with 234 rebound (Fig 4c, iii & iv). By achieving a lower post-treatment viral load nadir, higher 235 doses resulted in a greater likelihood of viral rebound in our simulations (Fig 4b). 236
- Increasing frequency of antiviral dosing had nearly equivalent effects to increasing the
 dose, leading to a more rapid reduction in viral load (Fig S9a), heterogeneous effects
 based on viral load trajectory and timing of treatment (Fig S9c), and increased chance
 of rebound (Fig S9b).

241 Early treatment as a predictor of SARS-CoV-2 rebound

- We next simulated therapy with four different timings of treatment: post-exposure prophylaxis (PEP): 0-1 day after infection in the pre-symptomatic phase; early treatment: 0-1 day after symptom onset as often occurs in community settings; intermediate treatment: 1-5 days after symptom onset as in the clinical trial; and late treatment: 5-10 days after symptom onset. In all simulations, the administered dosage was 300mg twice per day for 5 days.
- Applying treatment as PEP or shortly after symptoms lowered viral load more 248 substantially relative to control than intermediate or late therapy at days 2 and 5 post-249 treatment, though intermediate and late strategies also significantly lowered viral load 250 relative to control at these timepoints (Fig 5a). The boxplots for control groups in each 251 panel in **Fig 5a** show the viral load at different points during the infection to match 252 different timing of the treatment in the treatment arms. However, mean viral load was 253 significantly higher in the PEP group versus the control group 10 days after the start of 254 treatment (Fig 5a), due to the high probability of rebound (Fig 5b, c) when the virus is 255 at its initial stages of expanding in the body and before the immune response is 256 established in treated individuals. 257

258 **Prolongation of treatment to reduce the probability of SARS-CoV-2 rebound**

Next, we analyzed the impact of treatment duration on viral rebound. We simulated 259 260 treatment regimens with 300 mg nirmatrelvir given twice per day for 2, 5, 10, 15, and 20 days. The treatment was again initiated within 3 days after symptoms appeared. Fig 261 **6a** demonstrates the continuous drop in viral load if treatment was maintained until the 262 infection was effectively cleared from the body. The viral load distributions of the 263 treatment arms with 15 and 20 days of treatment on days 2, 5, and 10 were the same as 264 the viral load distribution of the treatment arm with 10 days of treatment duration and, 265 therefore, are not shown. Prolonging treatment duration to 20 days almost completely 266 eliminated viral rebound (Fig 6b,c). 267

We next explored the impact of treatment duration on different treatment timing. Prolonging treatment to 15 days for early treatment and 20 days for PEP lowered the viral load close to the limit of detection (2 log) at the end of treatment and significantly lowered the probability of rebound (**Fig 7**).

Differing observed rebound rates resulting from varying timing of sampling and definitions

- Previous studies have defined rebound using criteria with varying virologic thresholds, 274 timing, and sampling frequency (30). A rebound was sometimes defined when a 275 positive test was observed after a negative test (31). In EPIC-HR, treatment was started 276 within the first 5 days of symptoms (our intermediate treatment group) and rebound 277 was defined as a 0.5 log increase on days 10 and/or 14. By this definition 2.3% of 278 treated cases were classified as rebound (30). The probability of rebound in our 279 simulation with a threshold of 0.5 log measured only on day 5 after the end of the 280 treatment was 8.15% and decreased as thresholds for viral rebound increased (Fig S8). 281 282 This percentage would be even lower if treatment started 3-5 days after symptoms (rather than 1-5 days) because the probability of rebound is very sensitive to the timing 283 of treatment. We hypothesize that in EPIC-HR, participant enrollments were skewed to 284 later during the first 5 day symptom window. 285
- In our simulations, we recorded viral load every 0.001 of a day and used a 1 log threshold to identify rebound cases. This would be a more sensitive method to observe rebound and suggests that in trial and real-world cohorts, rebound is likely more common in treated individuals than is detected with less frequent sampling (**Fig S8**).

290 Immune and viral mechanisms for viral rebound

- To understand mechanisms that might explain the increase in rebound in the PEP and 291 early treatment groups, we simulated four treatment arms with the treatment starting on 292 days 1, 4, 7, and 10 after infection. The start day was fixed for all individuals in each 293 arm to limit the added variability introduced by a variable incubation period and timing 294 of treatment relative to symptoms in our previous simulations. The high frequency of 295 rebound in day 1 and day 4 treatment starts was evident from the viral load trajectories 296 after the end of the treatment on days 5 and 9, respectively (Fig 8a top row), in many 297 individual trajectories (grey lines) and to a less dramatic extent in mean viral load (blue 298 line). A second peak after the end of the treatment was also seen in the dynamics of 299 infected cells (Fig 8a middle row, blue line) and the intensity of the innate immune 300 response (the rate of production of refractory cells) (Fig 8a bottom row). 301
- Applying the treatment earlier during infection (day 1 and day 4 in the case of our 302 simulations) lowered the viral load as well as the populations of infected and refractory 303 cells, preserving susceptible cells. The ratio of susceptible to refractory cells in the two 304 305 groups with earlier treatment starting points (day 1 and day 4) was significantly higher at the end of the treatment than in the control group at equivalent time points (Fig 8b). 306 At each time point, innate immune responses were significantly diminished in treated 307 individuals versus controls due to fewer infected cells (Fig 8c). Overall, a weaker 308 innate immune response, higher availability of susceptible cells and persistence of 309 infected cells after 5 days of treatment, allowed viral rebound after treatment cessation. 310
- In a parallel manuscript, we subset shedding groups in the NBA cohort according to shedding kinetics using k-means clustering. The groups were ordered based on the area under their viral load curve (AUC) with group 1 having the smallest AUC and group 6 the largest (**Fig S10a**). We simulated treatment with different treatment start days using

315these 6 groups and identified the highest rebound probability in the earlier treatment316groups with the larger AUC (groups 5 and 6) and longer time to peak viral load (groups3173, 5, and 6) prior to antiviral therapy (Fig S10b, c). This indicates that viral rebound318may be more likely in individuals who were destined for more severe infections had319they not received therapy.

321 Discussion

320

We previously demonstrated for herpes simplex virus-2(32), HIV(33), Ebola virus(28), 322 and SARS-CoV-2(23), that it is vital to consider the timing and intensity of the immune 323 response to accurately simulate clinical trials of antiviral agents. If a direct-acting 324 antiviral therapy is given too late during infection, then efficacy is often low because 325 the disease is driven by excess inflammation and cytokine storm. On the other hand, 326 concurrent immune pressure can provide critical assistance for antiviral agents to 327 eliminate viral replication, as confirmed in recent studies(7). Accordingly, our previous 328 modeling suggested that extremely early treatment of pre-symptomatic SARS-CoV-2 329 330 as occurs with PEP requires higher drug potency than treatment during early symptomatic infection because innate immunity is activated to a greater extent at this 331 slightly later stage of infection and fewer susceptible cells remain(23). It is increasingly 332 clear that the potency and duration of antiviral therapy required to achieve clinical 333 benefit depends strongly on the stage of infection and the ongoing intensity of the 334 immune response. 335

Our prior work also demonstrated that *in vitro* antiviral drug potency measured in 336 relevant cell culture lines often overestimates *in vivo* potency in humans(28, 29, 34). 337 Specifically, the plasma drug level required to achieve 50% inhibition of cellular 338 infections *in vivo* is higher than the level required to inhibit infection *in vitro*. The 339 discrepancy between *in vitro* and *in vivo* potency can only be assessed by fitting viral 340 341 dynamic / PKPD mathematical models to viral load data from clinical trials, as we have done here. Traditional PKPD models, which do not account for the dynamics of an 342 immune response on observed viral loads, are not sufficient to estimate *in vivo* potency. 343 Because *in vivo* potency reduction varies from 2 to 100 depending on the infection, 344 antiviral agents (28, 32, 34), and population in vivo IC₅₀ must be assessed separately in 345 each case. 346

Here by precisely fitting a combined viral-immune dynamic / PKPD model to viral load 347 data from placebo and treatment groups in a randomized clinical trial as well as an 348 open-label clinical trial of nirmatrelvir/ritonavir, we merge these two key concepts. We 349 first identify that nirmatrely potency is reduced 60-70 fold in vivo relative to in vitro 350 in the high-risk population and 30-40 fold in the healthy population. The difference 351 between the estimated *in vivo* potency in these two populations can be explained by the 352 differences in the demographics and sampling methods in the two trials. The 353 mechanistic reasons for this reduction cannot be determined by the model but may 354 include increased in vivo protein binding(35), inhibition of drug delivery from plasma to 355 sites of infection, or differences in cellular uptake and drug metabolism *in vivo(36)*. 356 Nevertheless, our estimated *in vivo* IC₅₀ provides a benchmark plasma level to target in 357 future trials. The PK model also demonstrates that the drug's relatively short half-life 358 $(t\frac{1}{2})$ allows it to dip to subtherapeutic levels even when dosed twice daily. 359

360Our model also develops a viable hypothesis for why nirmatrelvir is highly effective361when given during early symptomatic infection but less so when given as post-exposure362prophylaxis. By preventing a high peak viral load approximately 3-5 days after363infection, therapy preserves susceptible cells and blunts the immediate, likely innate

immune response to SARS-CoV-2, while not completely eliminating infected cells. If 364 the virus is not eliminated by an early acquired response along with antiviral pressure, 365 it rebounds to a peak level that is sometimes comparable to the initial peak. We 366 hypothesize that viral rebound occurs more frequently in community settings relative to 367 the clinical trial. Infected individuals in the community are often prescribed the drug 368 very early after symptom development, whereas in the trial, there was a natural 1 to 2-369 day delay based on the enrollment and consent process. Surprisingly, this short delay 370 371 may have limited rebound while not affecting the primary endpoints of the trial, a finding supported by recent clinical studies (37), which nevertheless still suggest a clear 372 benefit for earlier treatment in terms of preventing hospitalization in high-risk 373 individuals(7). Notably, antiviral therapy is not a risk factor for rebound in our model or 374 in clinical cohorts of individuals treated late during infection(38). High viral load 375 shedding is also a risk factor for rebound in our model as has been suggested in other 376 377 studies (39).

- Our model identifies optimal conditions for viral rebound, which counterintuitively 378 include early treatment during pre-symptomatic infection, which can be exacerbated by 379 higher or more frequent dosing. Both mechanisms occur by suppressing the amount of 380 infection and preserving susceptible cells, limiting the development of refractory cells, 381 and dampening the intensity of the early immune response. The best method to prevent 382 viral rebound is prolonging treatment, with a longer course needed for PEP. This 383 finding is consistent with trials of long-acting monoclonal antibodies, which 384 demonstrated efficacy as post-exposure prophylaxis(12-14). 385
- Because the model is validated precisely against mean viral load reduction from two 386 trials as well as individual viral kinetic distributions within each arm of one trial, it can 387 be used as a tool to test various treatment strategies for future trials with the ability to 388 vary therapeutic goals, timing of treatment, dose, dosing interval, and duration of 389 390 therapy. Our prior PD modeling also allows testing of potentially synergistic combination agents and consideration of special hosts such as immunocompromised 391 individuals with persistent infection who may be at risk of developing drug 392 resistance(28, 40). We believe our approach provides a template for optimizing future 393 trial designs with nirmatrelvir and other therapies. 394
- 395 Our model has several limitations. First, nasal or oropharyngeal viral load may not be a perfect surrogate of disease activity. On the one hand, viral load reduction has been 396 correlated with beneficial clinical outcomes for nirmatrelvir(1), molnupiravir(41), and 397 monoclonal antibodies(42). A recent review shows that viral load reduction is a 398 reasonably good surrogate endpoint(42). Moreover, the viral rebound appears to track 399 very closely with the symptomatic rebound in multiple case series(30). Yet, early 400 remdesivir treatment provided a profound reduction in hospitalization while not 401 impacting nasal viral load, albeit 5 days after completion of therapy (43). Data from 402 non-human primates suggests that the drug has a specific effect on viral loads in the 403 lungs that is not observed in upper airways, a finding that we were also able to capture 404 with models(23). Overall, there is a strong suggestion from early treatment trials that a 405 reduction in nasal viral loads beyond that observed in placebo-treated individuals is 406 associated with substantial clinical benefit(1). 407
- 408 Another limitation is that the model does not account for drug resistance. While there 409 has been limited evidence of de novo resistance during nirmatrelvir therapy, serial 410 passage of virus suggests a relatively low barrier, and some viral rebound could, in 411 theory, be with resistant variants. Studies to date suggest very little mutational change 412 between the infecting and rebounding virus(44–47).

- Our model does not capture immunity in literal terms. For instance, we do not 413 distinguish innate interferon, antibody, and T-cell responses, as these have not been 414 measured in sufficient longitudinal detail to precisely ascribe viral clearance to different 415 components of the immune response. We structured the model for the early response to 416 roughly map to innate responses, as the model term capturing the progression of 417 susceptible cells to a refractory state diminishes with decreases in viral load and 418 assumes no immune memory. The late immune response in our model has memory, 419 420 leads to rapid elimination of the virus, and is likely to represent acquired immunity. While a more accurate model would discriminate different arms of the immune 421 responses and fit to immune data, ours sufficiently captures the timing and intensity of 422 immune responses for accurate clinical trial simulation. 423
- Finally, it is our opinion that models lacking a spatial component cannot capture the full dynamics of target cell limitation, which is influenced by the packing structure of cells, dynamics of viral diffusion, and infection within multiple concurrent microenvironments(*32*). For these reasons, ordinary differential equations may misclassify the relative impact of target cell limitation and innate immune responses in the period surrounding peak viral load. However, our differential equation approach provides accurate output for clinical trial simulation.
- In conclusion, our model identifies viable mechanistic underpinnings of the high
 efficacy of nirmatrelvir therapy for early symptomatic SARS-CoV-2 infection, lower
 efficacy for PEP, and high incidence of viral rebound in a real-world setting. The model
 can also be used to assess different treatment strategies and suggests prolonging therapy
 is the optimal method to avoid rebound and maintain potent early antiviral suppression.
- 436

437 Materials and Methods

438 Study Design

We developed a viral dynamics model recapitulating the viral load data collected from 439 symptomatic individuals in the NBA (National Basketball Association) cohort(48). We 440 used a two-compartment model to reproduce the PK data of nirmatrelvir plus 441 ritonavir(2). For the simulation, we constructed a virtual cohort by randomly selecting 442 400 individuals from the NBA cohort, trying to match the trial populations regarding 443 the vaccine status and history of infection, and assigning individual PK and PD 444 parameters randomly drawn from their respective inferred distributions. We fit the 445 combined viral dynamics and PK/PD model to the average change in viral load from 446 the baseline of the control and treatment arms of the two previously published 447 nirmatrelyir/ritonavir clinical trials (1, 5). By fitting our model to the control arms, we 448 validated our viral dynamics model and how well the viral dynamics of our virtual 449 cohort represent the trial control arms. We used the fit to the treatment arms to estimate 450 the potency reduction factor (prf) by maximizing the R^2 of the fit. With the estimated 451 prf and *in vivo* IC_{50} of the drug, we explored different treatment regimens by changing 452 dose, dosing frequency, treatment duration, and treatment timing, to find the best 453 strategy to minimize the probability of rebound. 454

455 Viral load data

- 456 We used data from the symptomatic subpopulation of the NBA cohort published by 457 Hay et al(48). The NBA cohort dataset consists of 2875 documented SARS-CoV-2 458 infections in 2678 people detected through frequent PCR testing regardless of 459 symptoms 1510 infections in 1440 individuals had at least 4 positive quantitative
- 459 symptoms. 1510 infections in 1440 individuals had at least 4 positive quantitative

460 samples. We used the viral load data from the 1510 infections to estimate the viral load461 parameters.

462 Clinical trial data

We used viral load data from two nirmatrelvir/ritonavir clinical trials. EPIC-HR by 463 Hammond et al. (1) included 682 and 697 symptomatic high-risk individuals in the 464 control and treatment arms, respectively. We obtained the average change in viral load 465 data of the control and treatment arms by digitizing Figure 3A of the manuscript(1). 466 Nasal viral load was measured on days 0, 3, 5, 10, and 14 after the treatment start day 467 and adjusted by the baseline viral load. PLATCOV by Schilling et al. (5) is an open-468 label, randomized, controlled adaptive trial with 85 and 59 symptomatic, young, 469 healthy individuals in the control and nirmatrelvir treatment arms, respectively. The 470 viral load samples from each participant were collected on days 0 through 7 and day 14 471 after treatment start day. We used the individual viral load data made available by the 472 authors. From PLATCOV, we averaged over the two oral samples collected from each 473 474 individual and calculated viral load drop from baseline. In both trials, the study participants were treated with 300mg/100mg nirmatrelvir/ritonavir within three days 475 (EPIC-HR) or four days (PLATCOV) of symptoms onset. The treatment was 476 administered twice per day, for five days. We used EPIC-HR's lower limit of detection 477 (2 log imputed as 1 log) in our simulations. However, when fitting to PLATCOV, we 478 used the maximum LOD reported in the published data. 479

480 **PK data**

PK data of nirmatrelvir (PF-07321332) with ritonavir was obtained by digitizing Figure
4 of the drug's Emergency Use Authorization document(2). The data is from a phase I
randomized trial by Singh et al.(49) where eight participants (4 fed, 4 not fed) took a
single dose of 250mg/100mg nirmatrelvir/ritonavir. The plasma concentrations of the
drug in participants were recorded in the next 48 hours after dosing.

486 **PD data**

The data on drug efficacy comes from five replicates per condition, pooled from 2 487 independent technical experimental repeats (one experiment with triplicate conditions, 488 one experiment in duplicate conditions) performed at the University of Washington. 489 The efficacy of Nirmatrelvir in the presence of CP-100356 (an efflux inhibitor (50)) 490 was measured against the delta variant of SARS-CoV2 in Calu-3 cells. The efflux 491 inhibitor ensures consistent, adequate intracellular levels of drug. Briefly, Calu 3 cells 492 human lung epithelial were treated with varying concentrations of nirmatrelvir in the 493 presence of 2uM CP-100356 prior to infection with SARS-CoV-2 (delta isolate) at a 494 multiplicity of infection of 0.01. Antiviral efficacy and cell viability (of non-infected 495 cells treated with drugs) were assessed as described(51). 496

497 Viral dynamics model

We used our model of SARS-CoV-2 dynamics(26) to model the viral load dynamics of 498 symptomatic individuals with SARS-CoV-2 infection. Our model assumes that 499 susceptible cells (S) are infected at rate βVS by SARS-CoV-2 virions. The infected 500 cells go through a non-productive eclipse phase (I_E) before producing viruses and 501 transition to becoming productively infected (I_P) at rate κI_E . When encountering 502 productively infected cells, the susceptible cells become refractory to infection (R) at 503 the rate $\phi I_P S$. Refractory cells revert to a susceptible state at rate ρR . The productively 504 infected cells are cleared at rate δI representing cytolysis and the innate immune 505 response that lacks memory and is proportional to the amount of ongoing infection. If 506

507 the infection persists longer than τ , then cytotoxic acquired immunity gets involved, 508 which is represented in our model by the rate mI_P . Finally, free virions are cleared at 509 the rate γ . Of note, this model, previously proposed by Ke et al. (52), was selected 510 against other models in(26) based on superior fit to data and parsimony. The model 511 written as a set of differential equations has the form,

- 512
- 513

514
$$\frac{dS}{dt} = -\beta SV - \varphi I_P S + \rho R \qquad (1a)$$

515
$$\frac{dR}{dt} = \phi I_P S - \rho R \tag{1b}$$

516
$$\frac{dI_E}{dt} = \beta SV - \kappa I_E \tag{1c}$$

517
$$\frac{dI_P}{dt} = \kappa I_E - \delta I_P - m(t)I_P$$
(1d)

518
$$\frac{dV}{dt} = \pi I_P - \gamma V \tag{1e}$$

519 where
$$\begin{cases} m(t) = 0 & t < \tau \\ m(t) = m & t \ge \tau \end{cases}$$
 (1f)

520

521 To estimate parameter values, we fit the model to viral load data from the NBA cohort 522 using a mixed-effect population approach implemented in Monolix.

523 We start the simulations with 10^7 susceptible cells. The initial value of the refractory 524 cells is assumed to be zero since the interferon signaling is not active prior to infection. 525 We further assume there are no infected cells (eclipse or productive) at the beginning of 526 the infection. We fix the level of inoculum (V_0) at 97 copies/ml for each individual.

527 To resolve identifiability issues, we fixed two parameter values, setting the inverse of 528 the eclipse phase duration to $\kappa = 4$, the rate of clearance of virions to $\gamma = 15(26)$.

529 **PK model**

530 We used a two-compartmental PK model which includes the amount of drug in the GI 531 tract (A_{GI}) , the plasma compartment (A_p) , and the lung (A_L) . The drug is administered 532 orally, passes through the GI tract and gets absorbed into the blood at the rate κ_a . The 533 drug then transfers from the blood into the peripheral compartment (or the lung) at the 534 rate κ_{PL} . The metabolized drug transfers back into the plasma at the rate κ_{LP} from 535 where it clears from the body at the rate κ_{CL} . The model in the form of ordinary 536 differential equations is written as,

537
$$\frac{dA_{GI}}{dt} = -\kappa_a A_{GI}$$
(2a)

538
$$\frac{dA_P}{dt} = \kappa_a A_{GI} + \kappa_{LP} A_L - (\kappa_{CL} + \kappa_{PL}) A_P \qquad (2b)$$

 $\frac{dA_L}{dt} = \kappa_{PL}A_P - \kappa_{LP}A_L$

540

541

542

We used Monolix and a mixed-effect population approach to estimate the parameters and their standard deviations. With the initial condition of $(A_{GI} = Dose, A_p = 0, A_p)$

(2c)

543 $A_L = 0$); we fit $C_P = \frac{A_P}{Vol}$ to the plasma concentration data where *Vol* is the estimated 544 plasma volume.

545 **PD model**

For the pharmacodynamics model we used Hill equation, $\epsilon(t) = \frac{E_{max}C(t)^n}{C^{(t)n} + IC_{50}^n}$, where C(t)is the drug's concentration in plasma, E_{max} is the maximum efficacy, *n* is the hill coefficient, and IC_{50} is the drug concentration in plasma required to provide 50% efficacy. We used least-squared fitting to obtain the three parameters and their standard deviations. The average drug efficacy is measured using,

$$E_{ave} = \frac{1}{t_{start} - t_{end}} \int_{t_{start}}^{t_{end}} \epsilon(t) dt$$
(3)

552

Where t_{start} and t_{end} are the treatment start day and end day respectively.

553

551

554 Combined PKPD and VL models

555 The plasma concentration of nirmatrelvir obtained from the PK model is used in the PD 556 model to obtain time-dependent efficacy. $\epsilon(t)$, then, is used to reduce viral production 557 rate, π , with the factor of $(1 - \epsilon(t))$. Equation 1e is written as,

$$\frac{dV}{dt} = (1 - \epsilon(t))\pi I_P - \gamma V \tag{4}$$

559

558

560 **Construction of a virtual cohort**

To generate a cohort for our simulated clinical trials, we randomly selected 400 561 individuals (for each arm of the simulated trial) from the unvaccinated symptomatic 562 subpopulation of the NBA cohort and used their individual viral load parameters 563 estimated by fitting our viral dynamics model to the data. For their incubation period, 564 we drew randomly from gamma distributions with parameters associated with their 565 variants of concern (27). PK parameters of each simulated individual were randomly 566 drawn from the lognormal distributions with their estimated mean and standard 567 deviation inferred from PK data. The PD parameters were also randomly drawn from 568 the normal distribution with the estimated mean and standard deviation. The standard 569 deviation of the PD parameters represents the accuracy of the assays and not the 570 individual variability. The individual potency reduction factors were also drawn from a 571 normal distribution with mean and standard deviation obtained from fitting ten 572 simulations to the treatment arm of trial 1. 573

- 574 **Potency reduction factor (prf)**
- 575 The potency reduction factor (prf) is defined as,
- 576

$$prf = \frac{IC_{50,in\,vivo}}{IC_{50,in\,vitro}} \tag{5}$$

- 578 We estimated the prf by maximizing R^2 when fitting the change in viral load of the 579 treatment arm of our simulation to the data from the treatment arm of the clinical trial.
- 580 Measuring rebound probability

A viral load rebound in the treatment arm was defined when the viral load at any time after treatment ended exceeded the viral load at the end of the treatment by 1 log. In the control group, viral rebound was defined in patients who had at least two peaks with maximum height of 1000 copies/ml in their viral load trajectories and the second peak was 1 log higher than its local minimum (**Fig S7**).

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586

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837	Authors declare that they have no competing interests.
838	
839	Data and materials availability:
840	All code, and materials used in the analysis is available on github at
841	https://github.com/sEsmaeili/Covid_Rebound
842	The data analyzed in this work was previously published by Hay et al. and Schilling et
843	al. and is available on github at <u>https://github.com/gradlab/SC2-kinetics-immune-</u>
844	history and https://github.com/jwatowatson/PLATCOV-Molnupiravir/tree/V1.0
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Figures and Tables 848

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Fig. 1. Schematics of the viral dynamic model and nirmatrelvir PK-PD two 851 compartmental model. (A) The viral dynamic model follows the dynamics of 852 susceptible cells (S), refractory cells (R), eclipse infected cells (I_E), productively 853 infected cells (I_P), and virus (V) and includes the early and late cytolytic T-cell immune 854 responses with rates and m(t). is the infection rate, is the rate of reversion of 855 refractory cells to susceptible cells. Infected cells produce viruses at the rate, and the 856 free viruses are cleared at the rate (B) Two-compartmental PK model with oral 857 administration of the drug which models the amounts of the drug in gut tissue (A_{GI}) , 858 plasma (A_P), and the tissue (A_L). K_a is the rate of absorption of the drug from gut to 859 plasma. K_{PL} and K_{LP} are the rates of transfer of the drug from plasma to the tissue and 860 back, and K_{CL} is the rate at which the drug clears from the body. V is the estimated 861 plasma volume and C_P is the drug concentration in plasma. is the drug efficacy 862 that blocks viral production and is calculated using the Hill equation: 863 where Emax is the maximum efficacy, n is the Hill coefficient, IC50 is the 864 concentration of drug *in vitro* at which viral replication rate is reduced by 50%, prf is 865 the potency reduction factor translating the *in vitro* potency to *in vivo* potency. 866 867



Fig. 2. Lower in vivo potency of nirmatrelvir relative to in vitro potency in EPIC-

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885 886 **HR.** (A-B) mean (blue), individual (gray), and ranges (labeled dashed lines) of log10 viral load drop from the baseline of individuals randomly selected from the NBA cohort treated with (A) placebo or (B) five days of nirmatrelvir / ritonavir 300 mg twice daily. The red dots were obtained by digitizing Fig 3a of Hammond et al.(1) and model fit was noted by closeness of blue lines to the red dots. (C) R^2 of the fit of the 10 model simulations per prf to the viral load drop data in light blue and their mean in dark blue. The best model fit was at a potency reduction factor of 61. The horizontal boxplot in the lower panel shows the distribution of prf values at which R^2 is maximum (mean = 61.8, median =61, sd=3.5). (**D**) Drug efficacy when prf=61. Average efficacy was 82% over the 5-day interval, with notable drops in antiviral efficacy at drug troughs. (E) Average drug efficacy when prf = 1 vs prf = 61. The drug with no potency reduction has nearly perfect efficacy (average efficacy of 99.99%) over 5 days and has a prolonged post-treatment effect. (F) mean log10 viral load drop from baseline of the control arm, treatment arm with prf=61, and treatment arm with prf=1.



Fig. 3. Lower *in vivo* potency of nirmatrelvir relative to *in vitro* potency in

PLATCOV. (A-B) mean (blue), individual (gray), and ranges (labeled dashed lines) of log10 viral load drop from the baseline of individuals randomly selected from the NBA cohort treated with (A) placebo or (B) five days of nirmatrelvir / ritonavir 300 mg twice daily. The empty and filled red circles are individual and mean viral load drop from baseline calculated from viral load data published by Schilling et al.(5). Model fit was noted by closeness of blue lines to the filled red dots. (C) R² of the fit of the 10 model simulations per prf to the viral load drop data in light blue and their mean in dark blue. The best model fit was at a potency reduction factor of 37. The horizontal boxplot in the lower panel shows the distribution of prf values at which R² is maximum (mean = 36.6, median =37, sd=2.15). (D-E) distribution of log10 viral load drop from baseline of simulated cohort and the 144 individuals in PLATCOV control arm (D) and treatment arm (E). Adjusted p-values (q-values) were calculated using Benjamini-Hochberg method and represent dissimilarity between observed and simulated distributions.



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Fig. 4. Increasing nirmatrelvir dose lowers short term viral load but increases 910 probability of viral rebound. In all scenarios, simulated treatment starts within 911 the first 3 days post-symptoms. (A) log10 viral load at days 2, 5, and 10 after 912 the treatment start day with different doses. p-values were obtained by 913 performing Mann-Whitney U-test between the 300 mg group and the others, 914 and only p-values <0.01 are shown. Viral loads were only reduced by higher 915 doses at days 2 and 5, but not day 10. (B) The probability of rebound for 916 different doses. The error bars on each column are 95% confidence intervals. 917 (C) Examples of viral load trajectories assuming different doses on 4 modeled 918 individuals with equivalent timing of therapy and untreated viral kinetics. 919 920



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Fig. 5. Early timing of therapy initiation is a key risk factor for viral rebound. In all simulations, the dose was 300 mg twice daily for five days. (A) log10 viral load at days 2, 5, and 10 after the treatment start day with different treatment durations. p-values were obtained by performing Mann-Whitney U-test. At day 10, the treatment group had higher viral loads compared to placebo due to viral rebound in the PEP and early treatment simulations, despite lowering viral loads significantly at days 2 and 5. (B) The probability of rebound for different treatment timing. The error bars on each column are 95% confidence interval (C) Samples of viral load trajectories assuming different treatment timing on 4 modeled individuals with equivalent untreated viral kinetics.

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different treatment durations. The error bars on each column are 95% confidence interval.(**C**) Samples of viral load trajectories assuming different treatment durations on 4 modeled individuals with equivalent timing of therapy and untreated viral kinetics. Prolonging therapy often avoids rebound.

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Fig. 7. Post-exposure prophylaxis requires more prolonged therapy than early symptomatic therapy to avoid viral rebound. (A) probability of rebound and (B) viral load at the end of the treatment as a function of treatment timing and duration.



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Fig. 8. Early therapy preserves susceptible cells, limits refractory cells, does not eliminate all infected cells, and delays innate immune responses.

Simulations are performed using time since infection as a variable rather than based on symptoms as in prior figures to eliminate the confounding impact of variable incubation period. (A) The top row shows the viral load of all individuals (in grey) and the average viral load (in blue). The middle row shows a less substantial depletion of susceptible cells (S), and lower generation of refractory cells (R) with earlier therapy. The bottom row shows the rate of production of refractory cells likely representing innate immune responses per day with biphasic, lower peak responses noted with early therapy and to a lesser extent in day 4 treated individuals. (B) Ratios of susceptible (S) to refractory cells (R) at the end of the 5-day treatment for different timings of treatment. (C) Per cell production rate of refractory cells at the end of the 5-day treatment for different timings of treatment.