

# Structural Diversity of a Novel LTR Retrotransposon, *RTPOSON*, in the Genus *Oryza*

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**ABSTRACT:** Retrotransposons with long terminal repeats (LTRs) are the most abundant transposable elements in plant genomes. A novel LTR retrotransposon named *RTPOSON* primarily occurs in the genus *Oryza* and in several species of the Poaceae family. *RTPOSON* has been identified in the *Ty1-copia* group of retrotransposons because two of its open reading frames encode an uncharacterized protein and UBN2\_2 and zinc knuckle, respectively. More than 700 *RTPOSON*s were identified in *Oryza* genomes; 127 *RTPOSON*s with LTRs and *gag-pol* elements were classified into three subgroups. The subgroup *RTPOSON\_sub3* had the smallest DNA size and 97% (32/33) of *RTPOSON* elements from *Oryza punctata* are classified in this group. The insertion time of these *RTPOSON*s varied and their proliferation occurred within the last 8 Mya, with two bursting periods within the last 1.5–5.0 Mya. A total of 37 different orthologous insertions of *RTPOSON*s, with different nested transposable elements and gene fragments, were identified by comparing the genomes of ssp. *japonica* cv. Nipponbare and ssp. *indica* cv. 93–11. A part of intact *RTPOSON* elements was evolved independently after the divergence of *indica* and *japonica*. In addition, intact *RTPOSON*s and homologous fragments were preferentially retained or integrated in genic regions. This novel LTR retrotransposon, *RTPOSON*, might have an impact on genome evolution, genic innovation, and genetic variation.

**KEYWORDS:** LTR retrotransposon, *RTPOSON*, genome, *Oryza*, evolution, genetic variation

**CITATION:** Hsu et al. Structural Diversity of a Novel LTR Retrotransposon, *RTPOSON*, in the Genus *Oryza*. *Evolutionary Bioinformatics* 2016:12 29–40 doi: 10.4137/EBO.S35158.

**TYPE:** Original Research

**RECEIVED:** September 21, 2015. **RESUBMITTED:** November 08, 2015. **ACCEPTED FOR PUBLICATION:** November 16, 2015.

**ACADEMIC EDITOR:** Jike Cui, Associate Editor

**PEER REVIEW:** Seven peer reviewers contributed to the peer review report. Reviewers' reports totaled 3442 words, excluding any confidential comments to the academic editor.

**FUNDING:** This work was supported by the Ministry of Science and Technology (MOST 104-2321-B-055-001) of Taiwan. The authors confirm that the funder had no influence over the study design, content of the article, or selection of this journal.

**COMPETING INTERESTS:** Authors disclose no potential conflicts of interest.

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## Introduction

Transposable elements (TEs) are mobile genetic sequences that can transpose themselves within genomes, and their activity produces structural changes in single genes or in overall genomes followed by altered spatial and temporal patterns of gene expression and function.<sup>1</sup> Retrotransposons, also known as class I TEs, can translocate themselves through an RNA-mediated copy-and-paste mechanism for a rapid increase in copy numbers in plant genomes.<sup>2</sup> A typical intact retrotransposon contains long terminal repeats (LTRs), a primer-binding site (PBS), a polypurine tract (PPT), and *gag-pol* encoding polyproteins for transposition in a genome. LTRs terminate, usually 5'-TG-3' and 5'-CA-3', and are flanked by 4- to 6-bp target site duplications (TSDs).<sup>3</sup> *Gag* encodes a capsid-like protein to pack RNA, and *pol* encodes a polyprotein that contains the activities of protease (PR), reverse transcriptase (RT), RNaseH (RH), and integrase (INT) to facilitate the integration of double-stranded DNA into the genome.<sup>4</sup> Accumulating evidence suggests that retrotransposon activities have a profound impact on various aspects of genome dynamics,<sup>5,6</sup> such as genome size, chromosome rearrangement, chromatin formation, gene transcription, and evolution.<sup>7–10</sup>

LTR retrotransposons are the most abundant and most widely distributed mobile genetic elements in the plant kingdom. In fact, variable sizes of plant genomes are produced by the copy-and-paste proliferation of a few LTR elements and the aggressive purging of these proliferating LTRs via several mechanisms, including illegitimate and incomplete recombinations and double-strand break repairs by nonhomologous end joining.<sup>11</sup> LTR retrotransposons represent a wide range of proportion of various genomes (eg, ~14% of the *Arabidopsis* genome,<sup>12</sup> 42% of the soybean genome,<sup>13</sup> 55% of the sorghum genome,<sup>14</sup> and >85% of the maize genome<sup>15</sup>).

The genome size of *Oryza sativa*, a cultivated Asian species, is estimated to be ~389 Mb, of which 19% (72 Mb) contains retrotransposons.<sup>16</sup> Nevertheless, the 10 other genomes of the *Oryza* genus exhibit substantial diversity in size, from 357 Mb for *Oryza glaberrima* (genome type AA) to 960 Mb for the *Oryza australiensis* (genome type EE), associated with the abundance of LTR retrotransposons.<sup>17–19</sup> LTR retrotransposons may play important roles in genome expansion via amplified replication and also genome contraction by illegitimate and unequal homologous recombinations.<sup>20</sup> More than 190 Mb of LTR-retrotransposon sequences have been deleted from the rice genome by recombination after their insertion during the



last eight million years ago (Mya),<sup>21</sup> and similar findings have been reported in *Arabidopsis*, barley, and cotton.<sup>20,22,23</sup>

The insertion positions of LTR retrotransposons could directly affect the regulation of neighboring genes at the transcriptional and posttranscriptional levels or result in a new combination of genes via alternative splicing.<sup>24–27</sup> In *Vitis vinifera*, the insertion of the retrotransposon *Gret1* upstream of the *Myb*-related gene *VlmybA1* and the consequent rearrangement of *Gret1* resulted in the color change of pericarp from black to white and red.<sup>28</sup> A similar phenomenon was found in the colors of the fruit flesh in *Citrus sinensis*, in which blood orange and Maro (I) were caused by an insertion of LTR retrotransposons in *Myb* transcription factors called Ruby and a recombination between the retrotransposons, respectively.<sup>29</sup> In foxtail millet, different insertions of TEs in *granule-bound starch synthase 1* (*GBSSI*) led to the nonwaxy endosperm converted into a low-amylose and waxy endosperm.<sup>30</sup> One waxy rice cultivar resulted from the insertion of an *RIRE*-like retrotransposon in the ninth exon of *GBSSI*.<sup>26</sup> The insertion of an LTR retrotransposon, Renovator, in the promoter region of the rice blast resistance gene *Pit* caused a 34-fold increase in *Pit* expression after pathogen inoculation.<sup>31</sup> Furthermore, one-sixth of the genes in the rice genome are associated with retrotransposons, and some of the retrotransposons are attributed to novel exons by altered splice sites, which may promote the evolution of new functions.<sup>32</sup>

Rice is an appropriate model to study mobile elements because of its small genome size with various major types of TEs.<sup>33</sup> This genomic resource allows for characterizing all rice TEs *in silico*, particularly LTR retrotransposons.<sup>34</sup> A comparative genomics approach of the genomes of Nipponbare and 93-11 identified a family of novel LTR retrotransposons

named *RTPOSON*. Here, we aimed to (1) identify all the elements related to *RTPOSON* in the *Oryza* genomes, (2) annotate the gene structure of *RTPOSON*, and (3) search for genes affected by *RTPOSON* or its homologs. In attempting to achieve these three aims, we elucidated the role of *RTPOSON* in gene and genome evolution and discussed various perspectives on the application of *RTPOSON* in functional genomics.

## Materials and Methods

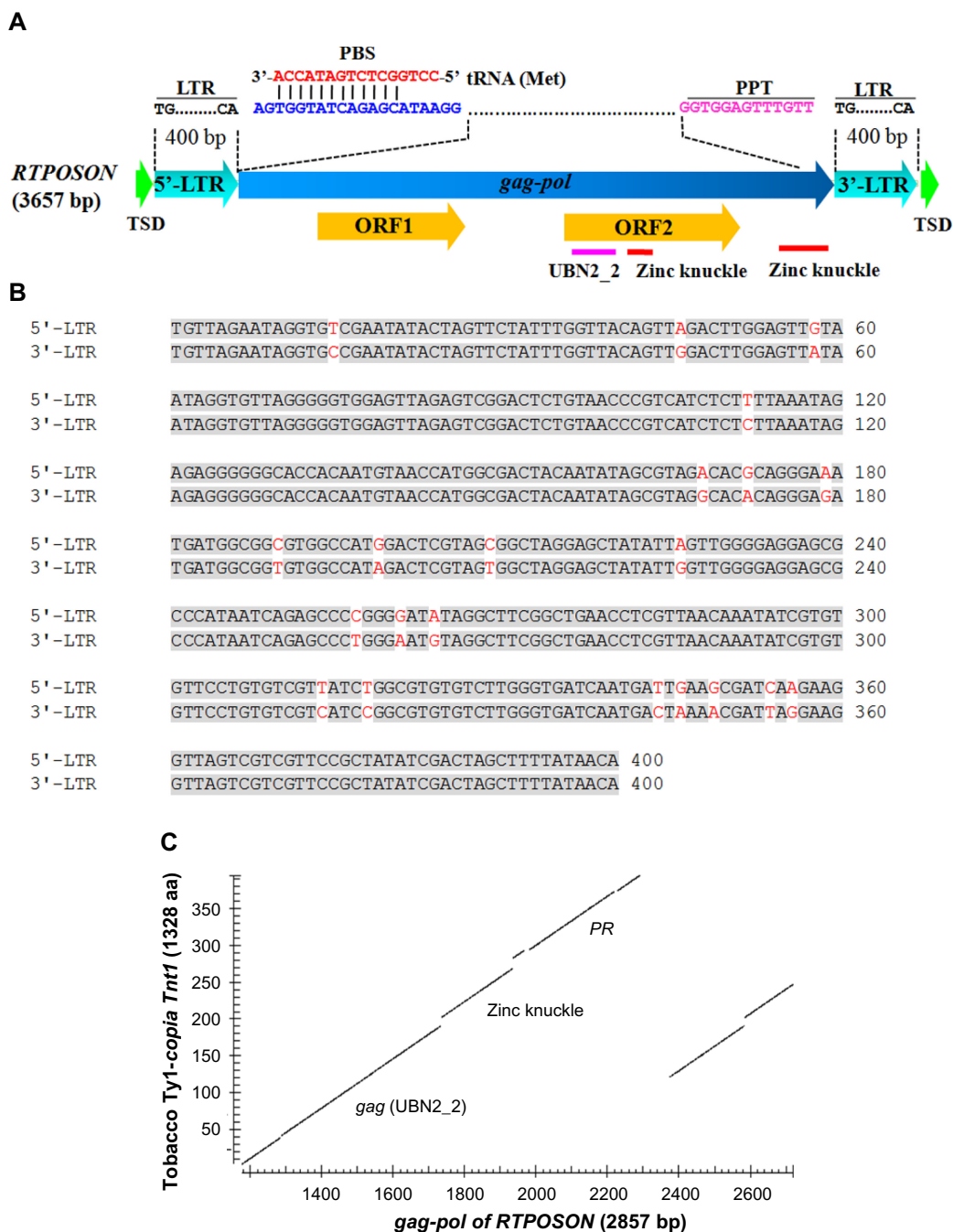
**Database of *Oryza* genomes.** A total of 13 rice species were investigated to uncover novel LTR retrotransposons, which are summarized in Table 1. The Asian cultivated rice *O. sativa* has two subspecies, ssp. *japonica*, Nipponbare, and ssp. *indica*, 93-11, whose genomes were analyzed. The African cultivated species *O. glaberrima* was also included. The other 11 species were wild rice species. They included the AA genome species *Oryza nivara*, *Oryza rufipogon*, *Oryza barthii*, *Oryza meridionalis*, and *Oryza glumaepatula*; the BB genome species *Oryza punctata*; the BBCC genome species *Oryza minuta*; the CC genome species *Oryza officinalis*; the FF genome species *Oryza brachyantha*; and the GG genome species *Oryza granulata*. All genome sequences used were retrieved from the Gramene database (<http://www.gramene.org/>), except for the Nipponbare genome sequence, which was downloaded from the NCBI website (<http://www.ncbi.nlm.nih.gov/>).

**Identification and characterization of a novel LTR retrotransposon.** As shown in the workflow in Supplementary Figure 1, as a first step to identify a novel LTR in the genome of *Oryza* rice we used FastPCR Professional 6.5<sup>35</sup> or the LTR\_Finder program ([http://tlife.fudan.edu.cn/ltr\\_finder/](http://tlife.fudan.edu.cn/ltr_finder/))<sup>36</sup> to search the Nipponbare genome with default

**Table 1.** Distribution of *RTPOSON* in 13 *Oryza* species.

SPECIES	GENOME	GENOME SIZE (Mb) <sup>1</sup>	COPY NUMBER			
			INTACT	FRAGMENT	SOLO-LTR	TOTAL
<i>O. sativa</i> ssp. <i>japonica</i>	AA	389	15	37	41	93
<i>O. sativa</i> ssp. <i>indica</i>	AA	400	13	40	32	85
<i>O. nivara</i>	AA	448	14	26	30	70
<i>O. rufipogon</i> <sup>2</sup>	AA	445	1	4	2	7
<i>O. glaberrima</i>	AA	354	16	18	33	67
<i>O. barthii</i>	AA	411	18	28	26	72
<i>O. meridionalis</i>	AA	435	5	27	41	73
<i>O. glumaepatula</i>	AA	464	10	32	41	83
<i>O. punctata</i>	BB	423	33	25	18	76
<i>O. minuta</i> <sup>2</sup>	BBCC	1,124	1	2	7	10
<i>O. officinalis</i> <sup>2</sup>	CC	653	1	11	13	25
<i>O. brachyantha</i>	FF	260	0	6	13	19
<i>O. granulata</i> <sup>2</sup>	GG	862	0	6	19	25
Total			127	262	316	705

**Notes:** <sup>1</sup>Reference from the study by Jacquemin et al.<sup>18</sup> <sup>2</sup>A limited number of sequences were searched and collected from rice chromosome 3 in Gramene website.



**Figure 1.** The gene structure of the novel retrotransposon, *RTPOSON*. (A) Structural annotation for one of the intact *RTPOSON* elements from *O. sativa* ssp. *indica*, 93-11. The 5'-LTRs and 3'-LTRs are shown in the light blue arrows; TSD indicates the 5-bp target site duplication; PBS indicates the primer-binding site; PPT indicates the PPT; *gag-pol* is able to transcribe a polyprotein that is provided for the transposition activity of retrotransposon. The gene, *gag-pol*, contains two ORFs, of which ORF1 contains the uncharacterized protein and ORF2 contains two domains, UBN2\_2 (pfam144227) for *gag* and zinc knuckle (pfam00098). (B) The sequence alignment of two LTRs of intact *RTPOSON* elements randomly selected from 93-11. The identical nucleotides are indicated by gray shading. (C) Homology matrix between the *RTPOSON* element and the tobacco *Ty1-copia Tnt1* (X13777) internal coding region. The approximate regions corresponding to the *gag*, zinc knuckle, and PR domains of tobacco *Ty1-copia* encoded by *pol* are indicated below the dotted lines. The second long match region is similar to zinc knuckle of *Tnt1*.

parameters. The output LTRs were then manually inspected to filter out incorrectly predicted sequences and define the exact boundaries of retroelements. Significant hits were carefully inspected to examine the boundaries of each element and TSD. We uncovered a novel LTR retrotransposon that had not been previously reported and named it *RTPOSON*.

To search for homologous elements of *RTPOSONs* in *Oryza* genomes, the complete *RTPOSON2* (GenBank accession no. AP003073, Supplementary Table 1) was used individually as a query by BLASTN searches with a default threshold ( $E$ -value  $< 10^{-10}$ ) against the NCBI and Gramene databases, including the Nipponbare, 93-11, *O. nivara*, *O. rufipogon*,



*O. glaberrima*, *O. barthii*, *O. meridionalis*, *O. glumaepatula*, *O. punctata*, *O. minuta*, *O. officinalis*, *O. brachyantha*, and *O. granulata* genomes.

The internal sequence of each *RTPOSON* element was annotated for *gag-pol* and translated into amino acid sequences by using open reading frame (ORF) finder (<http://www.ncbi.nlm.nih.gov/gorf/gorf.html>) and Vector NTI 7.0 (InforMax Inc.). The gene orders of *gag-pol* in *RTPOSON* were determined by using BLASTX and tBLASTX (<http://blast.ncbi.nlm.nih.gov>) programs with the *Tnt1 copia*-like retroelement (GenBank accession no. X13777) as the reference sequence. Each of the intact elements defined in this study included intact LTRs and *gag-pol* (Table 1). The fragmental elements were defined as deficient in one LTR or eventually part of the internal regions. Solo LTRs were used to indicate the elements that contained an intact LTR sequence.

**Estimation of insertion time of *RTPOSON* in *Oryza* genomes.** The insertion time of *RTPOSON* in *Oryza* genomes was estimated by comparing the divergence of their 5' and 3' LTRs in the intact elements with TSD sequences, which is represented as being identical at the time of integration.<sup>37</sup> For each intact copy, the two LTRs were aligned by using Jalview,<sup>38</sup> and the nucleotide substitution (transitions + transversions) rate between the two LTRs was estimated by the Kimura two-parameter method by using MEGA version 6.0.<sup>39</sup> The insertion time that was estimated by using  $T$  was  $D/2t$ , where  $T$ ,  $D$ , and  $t$  are the insertion time, LTR divergence, and the substitution rate/site/year, respectively. The neutral theory of molecular evolution is presumed/assumed to estimate the insertion time and LTRs randomly accumulated by neutral mutations.<sup>37</sup> Using the average substitution rate of  $1.3 \times 10^{-8}$  substitutions/synonymous site/year,<sup>40</sup> the insertion date could be computed for each LTR.

**Phylogenetic analysis of *RTPOSON*s.** The phylogenetic tree of 127 homologous elements of *RTPOSON*s was constructed based on the conserved *gag* sequences, such as the *gag* sequence that was obtained from 2,230–2,489 bp in *RTPOSON2* (Supplementary Table 1), to reveal the evolutionary aspects. The 127 homologous elements of *RTPOSON* included 33 elements from *O. punctata*, 18 elements from *O. barthii*, 16 elements from *O. glaberrima*, 15 elements from Nipponbare, 14 elements from *O. nivara*, 13 elements from 93-11, 10 elements from *O. glumaepatula*, 5 elements from *O. meridionalis*, and 1 element each from *O. rufipogon*, *O. minuta*, and *O. officinalis*. The sequences used to build the phylogenetic trees are listed in Supplementary Table 1. The sequences were aligned by using Jalview with default options,<sup>38</sup> and then underwent phylogenetic analysis. The phylogenetic tree was generated by the neighbor-joining method by using MEGA version 6.0 and 1,000 heuristic bootstrap replications by using the P-distance model.

## Results

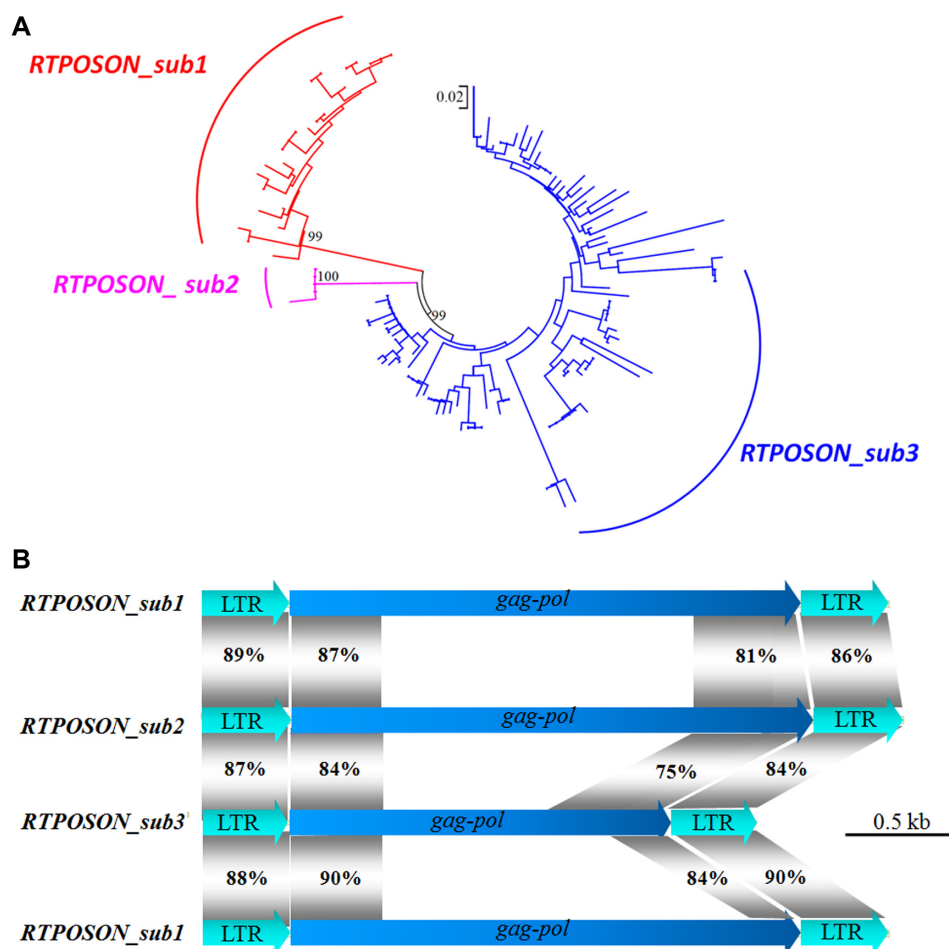
**Identification and characterization of the *RTPOSON* LTR retrotransposon in *Oryza* genomes.** A novel LTR

retrotransposon of 3 kb named *RTPOSON* was found in the genomes of ssp. *japonica* cv. Nipponbare and ssp. *indica* cv. 93-11 according to the common characteristics of an internal putative *gag-pol* coding region flanking two same lengths of LTRs, and it is not annotated and identified in public repeat databases such as Repbase (<http://www.girinst.org/>) and Plant Repeat databases (<http://plantrepeats.plantbiology.msu.edu/>). The LTRs were 400 bp long and terminated by the consensus sequence 5'-TG...CA-3'. The internal putative *gag-pol* coding region was 2,857 bp long and consisted of two ORFs flanked by two conserved sites, the PBS and the PPT site (Fig. 1A). The PBS consisted of the sequence 5'-AGT-GGTATCAGAGCATAAGG-3', which complemented the 3' sequence of tRNA (Met) and activated the transcription of mRNA.<sup>41</sup> The PPT, with a conserved motif of 5'-CCA-AGGTGGAGTT-3', was speculated to prime the synthesis of the second-strand DNA. The two LTRs for each *RTPOSON* had accumulated a few mutations that were found in most *RTPOSON*s (Fig. 1B). Upon searching the sequence of *RTPOSON* in the rice expressed sequence tag (EST) database, the results indicated that LTR and *gag-pol* regions shared high identity (85%–99%;  $E$ -value  $< 10^{-10}$ ) with EST. Comparison of all published LTR elements revealed that *RTPOSON* and rwhva3103 (CJ610081) of *Triticum aestivum* showed high identity (86%) in 69 bp of LTR regions.

Two ORFs, ORF1 and ORF2, which encode 235 and 279 amino acids, respectively, were predicted from *RTPOSON* (Fig. 1A). ORF1 shared low identity (30%) with an uncharacterized protein. ORF2 contains UBN2\_2 (pfam14227) and zinc knuckle domain (pfam00098), conserved domains for the LTR polyprotein or retrotransposon of the *copia* type. In addition, the homology matrix of deduced amino acid sequences between *RTPOSON* and the *copia*-like retroelement *Tnt1* (GenBank accession no. X13777) of tobacco revealed 29% protein similarity between the *gag-pol* region of *RTPOSON* and *Tnt1* (Fig. 1C). When combined and aligned with two flanking sequences, a typical TSD with the 5-bp short direct repeat was found in 1 kb for each site of *RTPOSON* elements.

Several genomes of the genus *Oryza* showed both intact *RTPOSON*s and solo LTRs. The *O. sativa* L. ssp. *japonica* cv. Nipponbare genome exhibited 93 *RTPOSON*s and fragments, including 15 intact elements and 41 solo LTRs (Table 1). The genome of *O. sativa* L. ssp. *indica* cv. 93-11 showed 85 *RTPOSON*s and fragments, including 13 intact elements and 40 fragments of *RTPOSON* and 32 solo LTRs. The ratio of solo LTRs to intact elements was similar in Nipponbare (2.7:1) and 93-11 (2.4:1). In addition, *RTPOSON* was most commonly found in the other *Oryza* species, such as the AA genomes of *O. nivara*, *O. glaberrima*, *O. barthii*, *O. meridionalis*, and *O. glumaepatula* and the BB genome of *O. punctata*. Most of the intact *RTPOSON* elements were identified in *O. punctata*. On the basis of screened whole-genome sequences from six *Oryza* species, namely, *O. nivara*, *O. glaberrima*, *O. barthii*, *O. meridionalis*, *O. glumaepatula*, and *O. punctata*, the highest and lowest





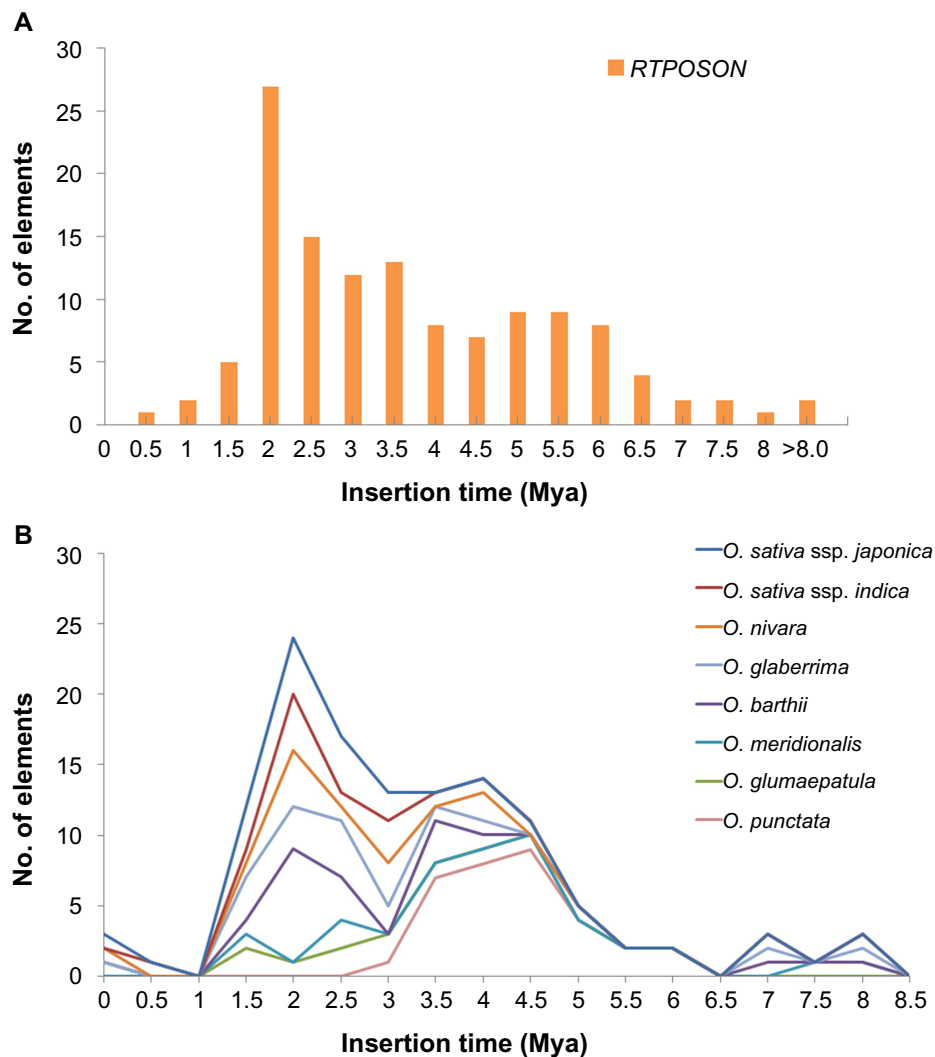
**Figure 2.** Phylogenetic tree and schematic representation for different groups of *RTPOSON* retrotransposons from the genus *Oryza*. **(A)** The neighbor-joining tree was constructed based on *gag* sequences. The *gag* sequences were aligned by using MUSCLE<sup>55</sup> and then the tree was constructed by using MEGA version 6.0. Bootstrap values were calculated for 1,000 replicates. The scale bar indicates nucleotide sequence divergence. **(B)** Organization and structural features of the three groups of *RTPOSON* retrotransposons. The representative elements were randomly selected from each group. The light and dark blue arrows represent LTRs and *gag-pol* regions, respectively. The gray areas represent the conserved regions between the different groups.

ratios of solo LTRs to intact elements were 8.2:1 and 1:1.8 in *O. meridionalis* and *O. punctata*, respectively (Table 1).

A search of ESTs in the GenBank database (<http://www.ncbi.nlm.nih.gov/>) revealed switchgrass (*P. virgatum*) and wheat (*T. aestivum*) with fragments of *RTPOSON* with significant similarity ( $E$ -value  $< 10^{-5}$ ). Meanwhile, nine and eight homologous fragments of *RTPOSON* were detected in the genomes of sorghum (*Sorghum bicolor*) and purple false brome (*Brachypodium distachyon*), respectively. No significant sequence matches were found in other genomes of *Arabidopsis* (*Arabidopsis thaliana*), soybean (*Glycine max*), barley (*Hordeum vulgare*), maize (*Zea mays*), papaya (*Carica papaya*), or grape (*V. vinifera*) after BLASTN searches. These results suggest that *RTPOSON* and its homologous elements are unique to some genomes of Poaceae and that most of the complete structures of *RTPOSONs* reside in *Oryza* genomes.

To gain insight into the sequence diversity and evolutionary relationships of *RTPOSONs* from different *Oryza* species, we used 127 intact elements identified from 10

*Oryza* species (Supplementary Table 1) to generate a phylogenetic tree. According to this phylogenetic tree and the gene structures of *RTPOSON*, the *RTPOSON* family could be classified into three different groups (Figs. 2A and B). The groups of *RTPOSON\_sub1* and *RTPOSON\_sub2* contained 24 (Supplementary Fig. 2) and 5 elements, respectively. The *RTPOSON\_sub3* group contained Most of the intact *RTPOSON* elements (93/127, 73.2%) (Supplementary Fig. 3) and 97% (32/33) of intact *RTPOSON* elements from *O. punctata* (Fig. 2A). *RTPOSON\_sub1* group harbors structural features of LTRs and *gag-pol* polyprotein genes. For the other two groups, *RTPOSON\_sub2* and *RTPOSON\_sub3*, the LTRs and a part of *gag-pol* regions are homologous to those of *RTPOSON\_sub1* by pair-compared using bl2 seq program ( $E$ -value  $< 10^{-10}$ ; Fig. 2B). *RTPOSON\_sub1* (*RTPOSON*No. 4, Supplementary Table 1) and *RTPOSON\_sub2* (*RTPOSON*No. 274, Supplementary Table 1) are closely related because they have similar DNA sizes and also share substantial sequence similarity, 81%–89%, in LTR regions and internal *gag-pol*



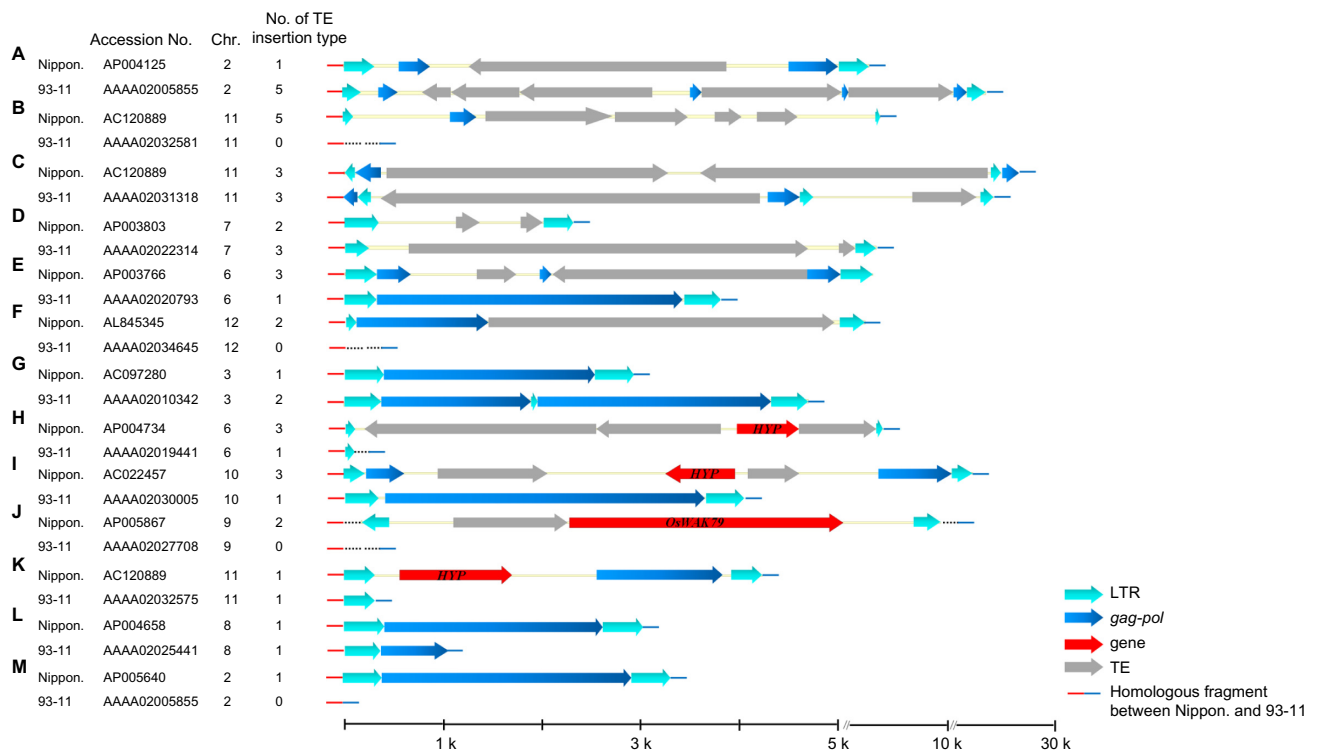
**Figure 3.** The estimated insertion times of *RTPOSON* elements in *Oryza* rice. (A) The 127 intact elements from *Oryza* were used to estimate the insertion times of *RTPOSON*. Kimura distances were converted to millions of years ago using the substitution rate of  $1.3 \times 10^{-8}$  (B) Insertion times of intact *RTPOSON* from eight *Oryza* species.

polyproteins. In contrast, *RTPOSON\_sub3* (*RTPOSON* No. 316, Supplementary Table 1) has a small size and shares relatively lower sequence similarity with *RTPOSON\_sub1* and *RTPOSON\_sub2* (Fig. 2B).

**Insertion of *RTPOSONs* in *Oryza* genomes.** The insertion times of LTR elements could be estimated by sequence divergence between the two LTRs because the left and right LTR diverged independently by accumulated mutations. The insertion times of 127 intact *RTPOSONs* from *Oryza* species were estimated by the average substitution rate ( $r$ ) of  $1.3 \times 10^{-8}$  substitutions/synonymous site/year.<sup>40</sup> Many (53%) *RTPOSON* elements were inserted into the genome from 1.5 to 3.5 Mya, and 32% (41/127) *RTPOSON* elements were inserted from 3.5 to 6.0 Mya (Fig. 3A and Supplementary Table 1). Nevertheless, only a small proportion (6%) of the *RTPOSON* elements could be dated as having occurred more recently than 1.5 Mya (6%) or longer than 6 Mya (6%). The greatest number of *RTPOSON* elements, 27 elements (21%), was inserted during

the time frame of 1.5–2 Mya (Fig. 3A). A low proportion (1%) of the *RTPOSON* elements were inserted earlier than 8 Mya; thus, *RTPOSON* might have had various periods of proliferation within the last 8 Mya, and it might still be active, or it might have had a relatively short period of proliferation from 1.5 to 3.5 Mya.

The insertion times of 15 intact *RTPOSON* elements in Nipponbare ranged from 0 to 3.4 Mya; in contrast, the insertion times of 33 intact elements in *O. punctata* ranged from 3.4 to 6.2 Mya. The *RTPOSON* elements in the *japonica* genome may be younger than those in the other genomes of *Oryza* genus (Supplementary Table 1). The *RTPOSON* element located on chromosome 1 of Nipponbare was identical to the element that was integrated into the genome of 93-11, which was recently found to have been inserted 0.82 Mya. The two elements share high identity (98%) and also have identical TSDs (5'-ATCTT-3'). In addition, the *RTPOSON* elements from *O. barthii* and *O. nivara* were also identified as the younger



**Figure 4.** The orthologous regions of *RTPOSON* elements between Nipponbare and 93-11. The differential locations of *RTPOSON* elements between Nipponbare and 93-11 were aligned and annotated by using the Vector NTI 7.0 program. The order shown is based on the number of TE insertion types, such as Ty1-*copia*, Ty3-*gypsy*, and *En-Spm*. (A–F) Different types of TEs were inserted within *RTPOSON* in Nipponbare and 93-11. (G) An additional copy of *RTPOSON* within its structure in 93-11. (H–K) The TEs or functional genes were inserted in the structure of *RTPOSON* in Nipponbare. (L) The truncated gene structure of *RTPOSON* in 93-11. (M) The intact *RTPOSON* was inserted in Nipponbare. A scale and a key for the domains represented in the schematic representation are shown in the bottom right-hand corner. Abbreviations and color coding of domains: LTR, long terminal repeat (light blue); *gag-pol*, gag and polyprotein (dark blue); genes are represented by red arrows; TEs are indicated by gray arrows; homolog flanking sequences between Nipponbare and 93-11 are represented by red and blue bars, respectively; *HYP*, hypothetical proteins.

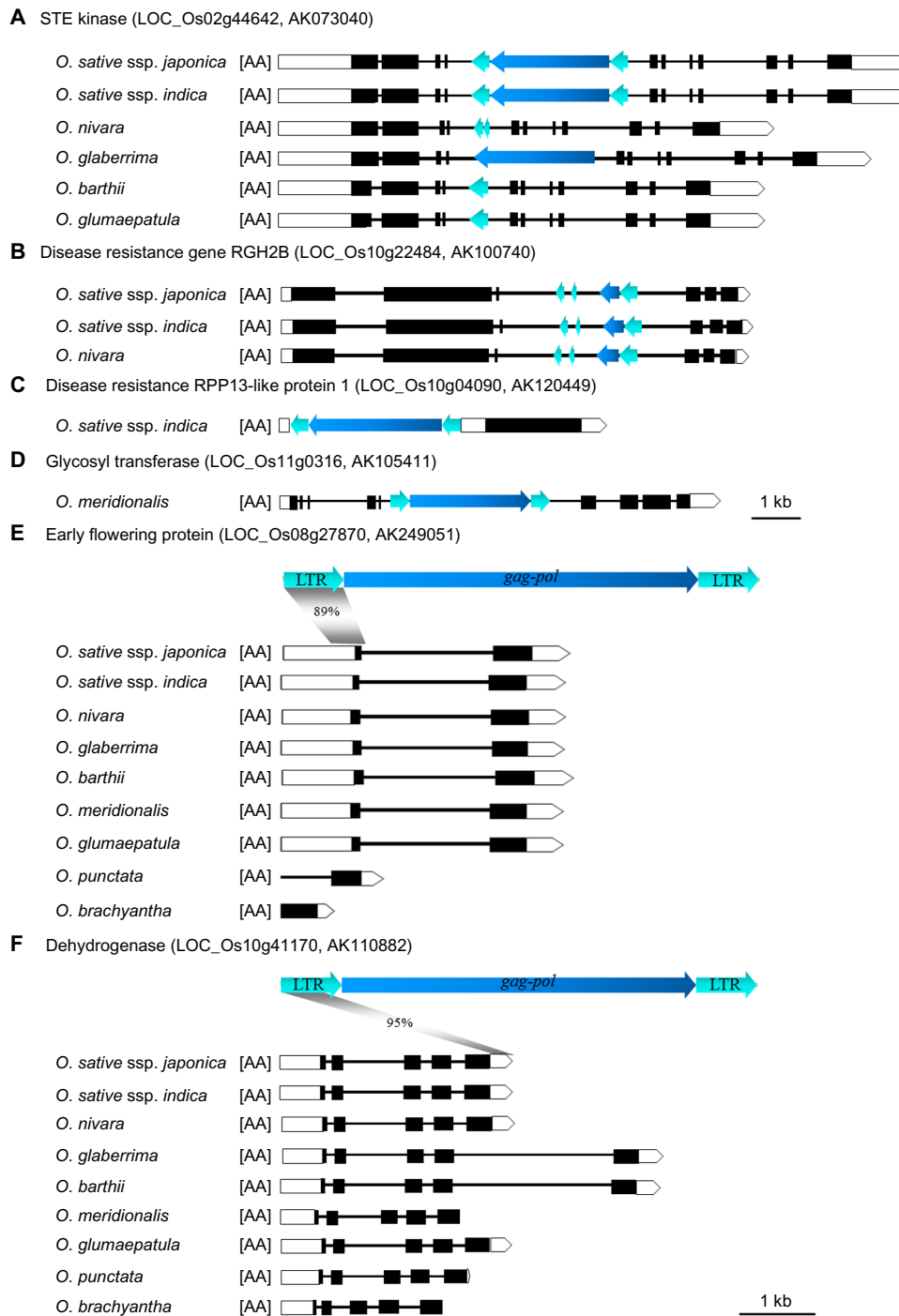
elements whose divergence time was 0.49 Mya, suggesting that the *RTPOSON* may have been activated recently in the genomes. According to the insertion times of the complete *RTPOSON* elements, significant two bursting periods seem to have occurred in the five *Oryza* species of the AA genome, namely, *O. nivara*, *O. glaberrima*, *O. barthii*, *O. meridionalis*, and *O. glumaepatula*, ~1.5–5.0 Mya, whereas amplification in the *O. punctata* species of the BB genome occurred ~3–5 Mya (Fig. 3B). These results provide an intriguing picture suggesting that the youngest elements are in *japonica* group and the oldest elements are in the *O. punctata* group, and thus, *RTPOSONs* in the *japonica* genome may be younger than those in the other genomes of *Oryza* genus (Fig. 3B).

To compare the orthologous insertions of *RTPOSON* in Nipponbare and 93-11, 31 unique insertions in Nipponbare and 6 insertions in 93-11 were identified. According to their characteristics, the insertions of *RTPOSON* could be divided into five categories: (1) different types of TE insertions (Figs. 4A–F); (2) insertions of additional copies of *RTPOSON* (Fig. 4G); (3) TEs or functional genes in *RTPOSON* (Figs. 4H–K); (4) truncated *RTPOSON* structure insertions (Fig. 4L); and (5) unique insertions of *RTPOSON* (Fig. 4M). Different types of TEs, including Ty1-*copia*, Ty3-*gypsy*, and

*En-Spm*/CACTA, were found inserted in the *RTPOSON* structure and inserted in different ways in Nipponbare and 93-11 (Figs. 4A–H). The *RTPOSON* elements can generate new copies that are inserted into their own genes or into other sites through their transposited mechanism (Fig. 4I). In addition, the insertion of gene fragment homologs with a hypothetical gene and *OsWAK79* accompanied by TEs found in an *RTPOSON* element frequently occurs in Nipponbare (Figs. 4E, G, J, K). The intact *RTPOSON* was found to have

**Table 2.** Insertion sites of intact *RTPOSON* in *japonica* rice genome.

INSERTION SITE	NO. OF ELEMENT
Intergenic region	64
Gene	11
intron	9
exon	0
5'- or 3'-UTR	2
Putative gene	9
Within 1 kb flanking gene	24
Within other types of TEs	19
Total	127



**Figure 5.** The orthologous insertion and *RTPOSON* elements across the genus *Oryza*. *RTPOSON* elements are present in (A) STE kinase (LOC\_Os02g44642), (B) disease-resistant RGH2B protein (LOC\_Os10g22484), (C) disease-resistant RPP13-like protein 1 (LOC\_Os10g04090), (D) glycosyl transferase (LOC\_Os11g03160). The LTRs of *RTPOSON* share high similarity of UTR with (E) EARLY flowering protein (LOC\_Os08g27870) and (F) dehydrogenase (LOC\_Os10g41170), in each *Oryza* species. The white boxes and arrows represent the 5'- and 3' UTR of the orthologous genes. The black boxes indicate the exons of genes. The light and dark blue arrows represent LTRs and *gag-pol* of *RTPOSON*, respectively. Gray areas represent conserved regions between *RTPOSON* and genes. The number in gray areas is sequence identity (%). The UTR position was defined based on RAP-DB (<http://rapdb.dna.affrc.go.jp/>).

**Abbreviation:** UTR, untranslated region.

been truncated or inserted independently into one of them (Figs. 4L, M). These findings provide evidence that a part of intact *RTPOSON* elements were activated and evolved independently after the divergence of *indica* and *japonica*.

**Effect of *RTPOSON* insertion on gene structure.**

A total of 127 intact elements had potential retrotransposition activity because of the two same lengths of LTRs and *gag-pol* polyproteins. Many *RTPOSON*s (50.3%; 64/127) were found



in intergenic regions (*RTPOSON* located between genes); the others (49.7%; 63/127) were integrated in the genic region or flanked by TEs (Table 2). Different types of TEs identified as having been inserted by *RTPOSON* included Ty1-*copia*, Ty-*gypsy*, LINE, *En-Spm*, *Mutator*, and *Pong*. Eleven *RTPOSON* elements were transposed in various gene regions: nine *RTPOSON* elements in introns and two *RTPOSON* elements in untranslated regions (UTRs). However, none were transposed in exons. In addition, 24 (19%) *RTPOSON* elements were identified within 1 kb upstream or downstream of annotated genes. Overall, 27.6% of *RTPOSONs* in *japonica* genome were located within or near genes (*RTPOSON* inserted within 1 kb of genes).

The insertions of *RTPOSON* occurred in orthologous genes of nine *Oryza* species in various ways (Fig. 5). An *RTPOSON* element was found in the fourth intron of the STE kinase (LOC\_Os02g44642, AK073040) of six *Oryza* species. Different structural components of *RTPOSON* were retained in the STE kinase of these *Oryza* species, such as the intact elements in *japonica* and *indica*, solo LTRs in *O. glumaepatula* and *O. barthii*, two LTRs in *O. nivara*, and *gag-pol* in *O. glaberrima* (Fig. 5A). The fragments of *RTPOSON* were distributed in the third intron of the disease-resistant gene RGH2B (LOC\_Os10g22484, AK100740), which were identified in *japonica*, *indica*, and *O. barthii* (Fig. 5B). However, some *RTPOSON* elements were found in a specific species but not in others. For example, intact *RTPOSON* elements were found in the disease-resistant RPP13-like protein (LOC\_Os10g04090, AK120449) of *indica* and in the glycosyl transferase (LOC\_Os11g03160, AK105411) of *O. meridionalis* (Figs. 5C, D).

The retrotransposons embedded in genes would alter gene structures and gene functions, thus providing opportunities to promote gene evolution. A total of 47 LTRs were identified in the UTRs, exons, or introns of genes with significant similarity ( $E$ -value  $< 10^{-5}$ ). Most LTRs were found in introns or UTRs and also in exons, such as the hypothetical conserved gene and EARLY flowering protein (LOC\_Os08g27870, AK103736; Supplementary Table 2). The EARLY flowering protein and dehydrogenase (LOC\_Os10g41170, AK110882) retained LTRs in the UTR and exon regions, respectively, within the genus *Oryza* (Figs. 5E, F). The LTR of *RTPOSON* is homologous to the 5' UTR (89% identity) and also provides the partial sequence to exon1 in the EARLY flowering protein of seven *Oryza* species but not in *O. punctata* or *O. brachyantha* (Fig. 5E). Strikingly, the LTR of *RTPOSON* shared high identity (95%) with the 3' UTR of dehydrogenase (LOC\_Os10g41170, AK110882) in all nine analyzed species, even with variable sizes up to 302 bp. Furthermore, when the *gag-pol* of *RTPOSON* was used as a query sequence to identify the homologous fragments of genes, six homologous fragments of *gag-pol* were identified in the annotated genes. Except for both the *MAP3Ka* (LOC\_Os02g44642, AK073040) and phosphoglucosyl transferase precursor (LOC\_Os06g28194,

AK068502), found inserted with the intact *RTPOSON*, the others were found to contain *gag-pol* in intron regions (Supplementary Table 3).

## Discussion

**A novel retrotransposon conserved across the genus *Oryza* and other grass families.** We uncovered a novel LTR retrotransposon, *RTPOSON*, of about 3 kb in length, that contains TG...CA at two LTRs (Fig. 1). A total of 705 *RTPOSON* elements were identified; 127 (~18%) *RTPOSON* elements were retained as intact structures of LTR retrotransposons in the species of *Oryza* genus, including *O. nivara*, *O. rufipogon*, *O. glaberrima*, *O. barthii*, *O. meridionalis*, *O. glumaepatula*, *O. punctata*, *O. minuta*, and *O. officinalis* (Table 1). An *RTPOSON* contains LTRs, conserved PBS and PPT, and two ORFs encoding the uncharacterized protein, UBN2\_2, and zinc knuckle functional domains (Fig. 1). *RTPOSON* is a nonautonomous retroelement because it contains an incomplete *pol*, lacking components such as INT, RT, and RH. One explanation for why *RTPOSON* can transpose in rice genomes is that autonomous elements might provide the necessary proteins for nonautonomous elements to spread throughout the genomes, an explanation similar to that previously given for *Dasheng/RIRE2*, *CRR2/naoCRR2*, *Spipl/RIRE3*, and *Squiq/RERI8*.<sup>33,42,43</sup>

LTR retrotransposons in plants are characterized by LTRs that vary from a few hundred base pairs to several kilobases long.<sup>6</sup> However, LTR retrotransposons are highly unstable in the *Arabidopsis* genome.<sup>20</sup> Unequal homologous recombinations and illegitimate recombinations between the LTRs of the same elements or remote elements were considered as the prevalent mechanism for LTR-retrotransposon elimination in plants.<sup>20,21,44</sup> In this study, the ratios of solo LTRs to intact elements in seven *Oryza* species ranged from 1.4:1 (*O. barthii*) to 8.2:1 (*O. meridionalis*; Table 1); hence, the *RTPOSONs* may lose their structures during different evolutionary times in each species. In the seven *Oryza* AA genome rice species, five of the *Oryza* rice species, namely, *O. sativa* spp. *japonica* (2.7:1), *indica* (2.4:1), *O. nivara* (1.9:1), *O. glaberrima* (2.0:1), and *O. barthii* (1.4:1), have similar ratios, but higher ratios were found in *O. glumaepatula* (4.1:1) and *O. meridionalis* (8.2:1). In addition, highly abundant levels of solo LTRs to intact elements were observed in *O. minuta* of the BBCC genome and *O. officinalis* of the CC genome, but not in *O. punctata* of the BB genome. Of note, much higher levels of intact elements than solo LTRs were found in the BB genome (Table 1). Relatively high truncated fragments from *RTPOSON* were identified in *japonica*, *indica*, and *O. glumaepatula*. Previous studies have indicated that most of the LTRs from 52 LTR-retrotransposon families had been rapidly deleted in cultivated rice genomes in the last 8–5 Mya.<sup>21,44</sup> Of note, LTR sizes have been suggested to have an impact on solo LTR formation; meanwhile, fragments and solo LTRs were the most abundant elements uncovered (Table 1).



In addition to being identified in *Oryza* genomes, *RTPOSON* was identified in other species of grass family, such as switchgrass and wheat. Moreover, *RTPOSON* shared 86% sequence identity in 69 bp of LTRs between rice and wheat. In addition, the 221 bp of LTR region of *RTPOSON* was also identified in switchgrass and shared 69% identity. *RIRE1* was transferred in many species of the genus *Oryza* during a short evolutionary period.<sup>45</sup> In plants, 65% of angiosperm species (26/40) with TEs have been found to harbor at least one case of horizontal transfer.<sup>46</sup> *Route66*, an LTR retrotransposon, is highly conserved among rice, maize, and sorghum genomes; specifically, *Route66* has been transferred between Panicoideae (*Z. mays*, *S. bicolor*, *Saccharum robustum*) and several species of the genus *Oryza*.<sup>47</sup> The multiple retrotransposons were homologous between Panicoideae and *Oryza* species, which might have been mediated by three main mechanisms, namely, plant-to-plant transfers, interspecific hybridization, and vector transfers.<sup>45,47</sup>

**The impact of *RTPOSONs* on the *Oryza* genome.** LTR retrotransposons are abundant and highly variable in plant genomes and have played important roles in evolution, such as genome expansion, genome shaping, sequence duplication, recombination, and mutation.<sup>6</sup> The accumulated mutations of LTRs occurred independently, which allowed for estimating the insertion times of retroelements and the divergence times of related species from common ancestors.<sup>3</sup> In terms of the 127 intact *RTPOSONs* identified in this study, the estimated divergence time of ssp. *japonica* Nipponbare and ssp. *indica* 93-11 was 0.82 Mya according to the evolutionary rate of  $1.3 \times 10^{-8}$  substitutions/synonymous site/year. Similarly, the divergence time was 0.9–2.1 Mya for Nipponbare and 93-11, which was estimated by LTR sequence divergence of four retrotransposon families, namely, *hopi*, *houba*, *Retrosat1*, and *RIRE8*.<sup>48</sup>

Retrotransposon elements can proliferate within a relatively short evolutionary time if a particular family becomes active in the genomes. If so, such elements are amplified by thousands of copies, for a rapid genome expansion. Analysis of 41 LTR retrotransposons showed that elements were amplified within the past 5 Mya.<sup>44</sup> Overall, 60% of the *O. australiensis* genome was amplified by >90,000 retrotransposon copies accumulated in the genome during the last three million years.<sup>19</sup> In this study, 127 intact elements were classified into three subgroups. Most of the intact *RTPOSON* elements and intact *RTPOSON* elements from *O. punctata* were classified in *RTPOSON\_sub3* group (Fig. 2), which suggests that numerous elements had smaller structures and retained conserved *gag* regions in the genome. Moreover, LTR retrotransposons might be silent in genomes during long-term evolution because *RTPOSON* elements of young evolutionary age were not found in the genome of *O. punctata*. The LTR retrotransposons that have proliferated through host defense are young and contain mostly full-length copies, whereas older elements are highly truncated and deleted with a half-life of <6 Mya, which

suggests that LTR retrotransposons are born through transposition and die via random mutation and eventual deletion from genomes.<sup>21,49</sup> In addition, >90% of *RTPOSON* elements accumulated in *Oryza* genomes during the last eight million years (Fig. 3). Amplifications were evident during the last 3.5 Mya in the AA genomes of *Oryza* species such as *O. nivara*, *O. glaberrima*, *O. barthii*, *O. meridionalis*, and *O. glumaepatula*, in contrast to the BB genome species *O. punctata*, in which amplification was found to have occurred earlier, during the last 3–5 Mya. In the grass genome, four groups of complete small LTR retrotransposons (SMARTs) were identified in *O. brachyantha* and had different insertion times, from 0 to 36.9 Mya, which suggests that SMARTs contain an ancient family and were activated recently. In the GG genome of *O. granulata*, nearly 25% of the genome size was expanded by amplification of *Gran3* subsequent to speciation.<sup>8</sup> The divergence time between AA and BB genomes was calculated to have occurred ~3.8 Mya,<sup>50</sup> and thus the genome of *O. punctata* might have undergone amplification of *RTPOSON* subsequent to speciation.

**The position effect of *RTPOSON* in genes.** A study of transposon insertion polymorphisms (TIPs) in *O. sativa* subspecies identified 14% of TIPs of the genomic DNA sequence differences between subspecies of *indica* and *japonica*, and >10% of TIPs were located in expressed gene regions and affected gene expression between the two subspecies.<sup>51</sup> A total of 37 insertions of *RTPOSON* were identified in Nipponbare and 93-11 (Fig. 4); 31 and 6 intact *RTPOSONs* were found in Nipponbare and 93-11, respectively. Especially, the *RTPOSON* was only inserted in the 5' UTR of disease-resistant RPP13-like protein 1 (LOC\_Os10g04090) of 93-11, and hence this insertion may be responsible for the difference between *indica* and *japonica* (Fig. 5C). Two genes, *OsWRKY8* and *AtPUP11*, were found to have TEs inserted in the 3' UTR and intron, respectively, resulting in TE insertion in the gene, which is responsible for the difference between Nipponbare and 93-11.<sup>51</sup> The TEs in genes can change the splicing sites and also contributed part of the sequence in the transcripts. In addition, TEs located near critical genes can reduce gene expression by epigenetic silencing or antisense transcription.<sup>52,53</sup> These genetic differences provide a number of cases exemplifying a wide spectrum of changes induced by retrotransposon insertions than might alter the gene regulation and expression of the host genes.

TEs can directly influence the regulation of expression of nearby genes at the transcriptional and posttranscriptional levels in plant genomes as well.<sup>31,54</sup> The various inserted positions have resulted in various impacts on gene expression or gene silencing. In the rice genome, one-sixth of rice genes were reported to be associated with retrotransposons inserted in genes or promoter regions.<sup>32</sup> In wheat, retrotransposons are reported to have altered the expression of adjacent genes, whereas read-through transcription from retrotransposons was found to be associated with the activation or silencing of flanking genes.<sup>52</sup>

In fact, retrotransposons in genes also promote the binding of specific small RNAs (sRNAs) (transcriptional silencing) and result in transcripts for posttranscriptional silencing. In the rice genome, >400 sRNAs were found to be perfectly matched with the nonautonomous SMARTs; thus, sRNAs might be involved in the silencing of SMARTs and in the regulation of genes, in which SMARTs reside, or the nearby genes.<sup>54</sup> More than 27% of *RTPOSON* elements were inserted within or near genes (Table 2). A total of 47 genes contained LTR homologous fragments in the UTR, exon, or intron of the gene. Some of the *RTPOSON* elements appear to be located in the 5' UTR or intron of genes, such as the disease-resistant RPP13-like protein 1, STE kinase, and glycosyl transferase (Fig. 5). An analysis of one gene, EARLY flowering protein, showed that *RTPOSON* contributed the LTR sequence to the 5' UTR and also provided an exon of gene splicing into the mRNA (Fig. 5E). In some cases, genes included the LTR retrotransposon as a part in the cDNA, such as genes for the hexose carrier protein, nonspecific lipid-transfer protein, transporter, GOS9, Mov34 family protein, and aspartyl PR.<sup>32</sup> By contributing alternative exons into the genes, retrotransposons may lead to the evolution of new gene functions.

## Conclusion

Abundant genomic resources provide insights into the structural variations in the different genomes of the genus *Oryza* and help in better comprehending genome evolution. A novel retrotransposon *RTPOSON* with LTR, part of gene structures homologous with *Tnt1*, was identified and classified as a type of Ty1-*copia* retrotransposons in the *Oryza* genome. *RTPOSON* retrotransposons have undergone amplification over the past 8 Mya in *Oryza* genomes. Three groups were classified from 127 *RTPOSON* elements that displayed different DNA sizes. In particular, the *O. sativa* ssp. *indica* and ssp. *japonica* subspecies diverged from a common ancestor ~0.82 Mya, and a few *RTPOSON* elements were transposed independently after their divergence. Although *RTPOSON* is a nonautonomous element, it contributed to gene structures that differed among *Oryza* genomes; therefore, *RTPOSONs* may have important functions in genome dynamics and species evolution.

## Acknowledgments

The authors are thankful to Laura Smales for reviewing this manuscript.

## Author Contributions

Conceived and designed the experiments: Y-CH, C-SW, Y-RL, Y-PW. Analyzed the data: Y-CH, C-SW, Y-RL, Y-PW. Wrote the paper: Y-CH, C-SW, Y-RL, Y-PW. All authors reviewed and approved of the final manuscript.

## Supplementary Materials

**Supplementary Figure 1.** Workflow for identification of *RTPOSON* in the *Oryza* genome.

Diamonds represent steps involving the usage of bioinformatics tools. Solid arrows represent the general flow of information. Black arrows indicate the alternate flow of information.

**Supplementary Figure 2.** Phylogenetic tree from *RTPOSON\_sub1* of *RTPOSON* retrotransposons. The neighbor-joining tree was constructed based on *gag* sequences. The *gag* sequences were aligned by using MUSCLE, and then the tree was constructed by using MEGA version 6.0. Bootstrap values were calculated for 1,000 replicates. The scale bar indicates nucleotide sequence divergence.

**Supplementary Figure 3.** Phylogenetic tree from *RTPOSON\_sub3* of *RTPOSON* retrotransposons. The neighbor-joining tree was constructed based on *gag* sequences. The *gag* sequences were aligned by using MUSCLE, and then the tree was constructed by using MEGA version 6.0. Bootstrap values were calculated for 1,000 replicates. The scale bar indicates nucleotide sequence divergence.

**Supplementary Table 1.** Features of the *RTPOSON* elements in the *Oryza* genome.

**Supplementary Table 2.** LTR of *RTPOSON* homologous gene in Nipponbare genome.

**Supplementary Table 3.** *gag-pol* of *RTPOSON* homologous gene in Nipponbare genome.

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