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# Organic transformations in the confined space of porous organic cage CC2; catalysis or inhibition†

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Porous organic cages have shape persistent cavities which provide a suitable platform for encapsulation of guest molecules with size suitably fitting to the cavity. The interactions of the guest molecule with the porous organic cage significantly alter the properties of the guest molecule. Herein, we report the effect of encapsulation on the kinetics of various organic transformations including 2 + 4 cycloaddition, 1,5-sigmatropic, 6 $\pi$ -electrocyclization, ring expansion, cheletropic, dyotropic, trimerization and tautomerization reactions. Non-bonding interactions are generated between the CC2 cage and encapsulated species. However, the number and nature/strength of interactions are different for reactant and TS with the CC2 cage and this difference detects the reaction to be accelerated or slowed down. A significant drop in the barrier of reactions is observed for reactions involving strong interactions of the transition state within the cage. However, for some reactions such as the Claisen rearrangement, reactants are stabilized more than the transition state and therefore an increase in activation barrier is observed. Furthermore, non-covalent analyses of all transition states (inside the cage) confirm the interaction between the CC2 cage and substrate. The current study will promote further exploration of the potential of other porous structures for similar applications.

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## 1 Introduction

A rapid increase in the applications of porous materials in various applications has been witnessed recently because of their unique structural features such as porosity, persistent shapes and large surface area. Metal organic frameworks (MOFs) are typical examples of porous materials which find application in the field of catalysis,<sup>1,2</sup> adsorption,<sup>3,4</sup> photocatalysis<sup>5</sup> separation and purification. Besides MOFs, zeolites are also porous materials with many similar applications. In 2009, Karapinar, N. reported some natural zeolites as a good choice for the removal<sup>6</sup> of phosphorus and ammonium through aqueous solution. Later on Mingyu Li *et al.*<sup>7</sup> published work on the removal of ammonia from edible or drinking water through modified zeolites. Catalysis,<sup>8</sup> food production, agriculture,<sup>9</sup> adsorption<sup>10</sup> and separation<sup>11,12</sup> are the most reported applications of zeolites.

Over the last few decades, several porous materials have been synthesized including covalent organic frameworks. Porous organic cages (POCs)<sup>13</sup> are one of the commodious and latest class of porous compounds with single and multiple cavities. Shape persistency and covalently bonded framework<sup>14</sup> of porous organic cages make suitable substrate for guest molecules.

POCs bear more than one opening door through which analytes can enter to the cavity. Sensing ability of certain porous compounds depends upon their structural skeleton. Porous organic cages (POCs) are one of highly potent affinity<sup>15</sup> compounds which are used as a sensing object in quartz crystal microbalances (QCMs). For the adsorption of sulfur hexafluoride (SF<sub>6</sub>),<sup>16</sup> porous organic cages are reported as superior platform than metal organic framework and fullerene. Similarly, Chen *et al.* successfully separated the rare gases and chiral compounds<sup>17</sup> with the help of porous organic cages, which is also a challenging task ever. In 2015, CC10 was reported for separation of enantiomers.<sup>18</sup>

The porous organic cages are also reported for the adsorption of radioactive species<sup>19</sup> such as strontium and cesium ions. These confinements have greater effects in separation, adsorptions catalysis and kinetic controls.<sup>20</sup> Selectivity has been shown by the cage system in some common industrial gases such as H<sub>2</sub>/N<sub>2</sub>,<sup>21</sup> CO<sub>2</sub>/CH<sub>4</sub>,<sup>22</sup> SF<sub>6</sub>/N<sub>2</sub>,<sup>23</sup> CO<sub>2</sub>/N<sub>2</sub>.<sup>24,25</sup> Large sized porous organic cages have been investigated for the separation of large organic molecules such as organic molecules and noble gases. Recently, In 2019, another chiral porous organic cage CC3-R was introduced with potentiometric sensing properties<sup>26</sup> of chiral alcohol amines (*S*-2-amino-1-butanol and *R*-2-amino-1-butanol).

POCs have been reported multiple times for their application in gas chromatography columns. The capillary columns<sup>27</sup> coated with molecular cage have shown very good selectivity for chiral resolution and separation of a number of structural isomers, such as aromatic hydrocarbons, *n*-alcohols and *n*-

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alkanes. Specific adsorption properties can be incorporated into porous compounds, such as, water tolerance. Stable non-covalent organic frameworks which are based on electron rich pyrazoles have shown very good adsorption properties for ozone-depleting substances (fluorocarbons and CFCs) and hydrocarbons.<sup>28</sup> Some porous organic cages with high electron delocalization in their  $\pi$ -conjugated system along with rigid structure are fluorescent and these compounds have been used for chiral<sup>29</sup> fluorescent sensing. In 2018 Zhenyu Lu and his co-workers have designed a new modified chiral porous organic cage with sensing abilities. The CD@RCC3 POC for 349 fluorescent sensing possesses a stable and strong fluorescent property. CD@RCC3 has been reported as fluorescence chemosensor<sup>30</sup> for the sensing of phenyl alaninol, phenyl ethanol enantiomers and nitrophenol isomers.

Covalently bonded crystal structure of porous organic cages makes them feasible for catalysis applications. Cage immobilized catalyst have the potential to solubilize the heterogeneous catalytic particles. The metal nanoparticles confinement in the cavity of cage also raised the possibilities of size selective catalysis by the control of guest accessing through the window of cage. CCR-3 cage has been used to support rhodium (Rh) nanoparticles with reasonable size.<sup>31</sup> Isolation of nanoparticles also reduced the aggregation between nanoparticles. The obtained Rh/CC3-R-homo (homogenized heterogeneous catalyst) exhibits very significant catalytic performance towards different liquid phase catalytic reactions (*e.g.* methanolysis of ammonia borane), while Rh/CC3-R-hetero<sup>32</sup> did not show such efficiency. The recyclability and durability of Rh/CC3-R-homo is also excellent. Porous organic cage with well-dispersed embedded nanoparticles (NPs)<sup>33</sup> have efficient catalytic application in cyanation, of aryl halide under additive free and heterogeneous conditions.<sup>34</sup> Porphyrin-based POCs has been reported by Kim and Chang for electrochemical reduction of CO<sub>2</sub>.<sup>35,36</sup> The encapsulation of metal nanoclusters with high catalytic activity inside POCs is elucidated by Xu *et al.*<sup>37</sup> POCs (CC3-R cage) are reported to exhibit remarkably improved catalytic activities towards the selective hydrolysis of ammonia borane. Dong *et al.* further reported that CC3-R cage exhibits higher selectivity and conversion under normal conditions for the tandem hydrogenation of quinoline and nitroarenes in water.<sup>38–40</sup> Recently, Wang *et al.* reported triphenylphosphine-based quasi-POCs as remarkable organic cage system to catalyze hydroformylation reactions with more than 97% aldehyde selectivity.<sup>41</sup> Furthermore, the performance of Pd doped RCC3 cage toward semi-hydrogenation of alkyne and hydrogenation of 4-nitrophenol compounds was demonstrated both experimentally and theoretically by Kou *et al.*<sup>42</sup> Covalent organic cages (COCs) are also reported with high catalytic efficiency for hydrogen evolution reaction (HER).<sup>43</sup>

Literature reveals several examples where confinement of reagents in certain cavities has remarkable effect on the activation barriers. Catalytic activities of self-assembled metallo-cage for Diels–Alder reaction were investigated through encapsulation of Diels–Alder reactants inside the cage.<sup>44</sup> In 2002 Halls *et al.* theoretically investigated activation energy and enthalpies for Menshutkin SN2 reaction<sup>45</sup> inside CNTs and

achieved a significant reduction in the reaction barrier. Decomposition of chloromethanol and dichloromethanol<sup>46</sup> was carried out inside the carbon nanotube of different diameters, where the CNT with smaller diameter resulted in lowest activation barrier. Thermodynamic and kinetic study of Diels Alder reactant inside CNTs<sup>47</sup> was investigated theoretically; whereas minimum energy of activation was obtained in case of SWCNT. Recently Inês Alves and his co-worker<sup>48</sup> reported the DFT studies on SN<sub>2</sub> reaction inside the different single walled carbon nanotubes (SWCNTs) for their kinetic barrier. Among the selected SWCNT, BN doped SWCNT lowered the energy barrier for SN2 reaction. Up till now, successful alteration in activation barriers for organic transformations is achieved only with carbon nanotubes. It has been shown previously by Alves *et al.* that BN doping in SWCNT creates electrophilic and nucleophilic sites which significantly affect the energy barriers by interaction with the substrates either in the reactants or transition states. Moreover, these nanotubes have quite wide cavities where the reagents may not feel the proper influence of encapsulations. Another drawback associated with doped SWCNT is the selective placement of dopant because of associated challenges, and to have reproducible results. We, instead of creating electrophilic and nucleophilic sites through doping, were more interested in ordered predefined orientation of electrophilic and nucleophilic sites in porous organic cages where structure is compact and reproducible. Moreover, these cages possess nitrogen atoms which can act as hydrogen bond acceptor in the close proximity of reacting atoms. For this purpose, we have chosen CC2 cage because it contains not only the nitrogen heteroatom (for hydrogen bond type of interaction with the substrate or transition state), but it also has compact structure where the cavity inside is relatively small. This small cavity restricts freedom of reagents inside the cage and force the substrate or transition state to form some non-bonding interaction. Our objective was to study the influence of these interaction on the activation barriers for several organic transformations.

Therefore, in current study, we are interested in studying chemical reactions inside porous organic cage confinement which imparts significant effect on the reactivity. Porous organic CC2 cages exhibit high surface area, appropriate chemical composition, high rigidity, and persistency. The above-mentioned characteristics make CC2 cage an excellent cage to carry out organic transformations within its confined spaces. Therefore, we have selected this class of porous materials (CC2 cage) to investigate some simple chemical reaction for their kinetic transformations within the cage and without cage. We performed DFT study on nine different organic transformations including: 2 + 4 cycloaddition, 1,5-sigmatropic, 6 $\pi$ -electro-cyclization, ring expansion, cheletropic, dyotropic, trimerization and tautomerization reactions. Furthermore, we explored the positive as well as negative catalytic influence of CC2 cage on the studied organic chemical reactions. Since these organic transformations are quite diverse therefore, it is not expected that a similar type of effect may be seen in all of them. Therefore, some organic transformations are catalyzed whereas others are slowed down (increase of barrier).

## 2 Methodology

Gaussian 09 (ref. 49) was used to perform all calculations whereas Gauss-View 5.0 is used for visualization. Optimization of geometries was performed at M06-2X/6-31G(d)<sup>50</sup> level of theory. [2 + 4] cycloaddition,<sup>51</sup> 6 $\pi$ -electrocyclization,<sup>52</sup> dyotropic reaction,<sup>53</sup> cheletropic,<sup>54</sup> 1,5-sigmatropic reaction,<sup>55</sup> Claisen rearrangement,<sup>56</sup> ring expansion and contraction reaction<sup>57</sup> keto-enol tautomerization reaction<sup>58</sup> and trimerization reaction<sup>59</sup> have been selected to investigate their energies (activation energy and energy of reaction) without CC2 cage and inside the CC2 cage. All optimized structures were confirmed by means of frequency analysis. Transition states have been optimized through Berny algorithm. Whereas stationary points were characterized as minima (no imaginary frequency) or transition state (one imaginary frequency). Furthermore, imaginary frequencies of all transition states were evaluated to confirm that their associated eigenvectors correspond to the motion along the reaction coordinates. Obtained results (activation energy and geometric parameters) of reactions within the cage and without cage were compared. All calculated energies are in kcal mol<sup>-1</sup> while bond lengths for all optimized structures are reported in angstrom (Å). Non-covalent interactions are studied through NCI analysis. Multiwfn and VMD software have been used for the analysis of non-covalent interactions inside the CC2 cage. Results of non-covalent interactions have been reported in the form of 3D iso-surface images and 2D reduced density graphs.

## 3 Result and discussion

Porous organic cages represent novel porous compounds with permanent and shape persistent cavities. Shape persistent [4 + 6] imine cages are generally represented by CC1, CC2, CC3 *etc.* They are different from each other based on vicinal diamine. The cage of our interest is CC2 with tetrahedral geometry with four triangular windows which form window-arene stacks while methyl groups are attached at vicinal diamine. The diameter of pore limiting window for CC2 cage is 3.6 Å at 298 K under 1 bar pressure<sup>45</sup> and BET surface area is 533 m<sup>2</sup> g<sup>-1</sup>.

CC2 porous organic cage was optimized at M06-2X/6-31G(d) level of theory to get the minimum at PES (Fig. 1). According to Mulliken charges, nitrogen is the most electronegative and electron rich specie of the cage. Carbon atoms with  $\pi$ -electrons have partial negative charge while hydrogen atoms bear positive charges. Electronic density spreads all over the porous organic cage which provides best platform for atoms of guest molecules to interact on both internal and external surfaces. Intrinsically the effect of non-covalent interactions is more pronounced and provides best surface area for adsorption studies. Reactions were investigated inside the CC2 cage and without CC2 cage. **R** and **TS** represents the reactants and transition states without cage whereas **R'** and **TS'** are the corresponding representations inside the cage.

### 3.1 Trimerization reaction

Alkyne trimerization reaction was theoretically investigated at M06-2X/6-31G(d) level of theory without and within CC2 cage. After encapsulation of **R1** inside the CC2 cage, non-covalent interactions have been generated between inner surface of CC2 cage and the encapsulated molecules. The generated non-covalent interactions include strong non-covalent interactions such as hydrogen bonding as well as weak non-covalent interactions such as van der Waals forces. H atoms of acetylene molecules show multiple interactions with the atoms of CC2 cage. H atoms interact through H-bond as well as van der Waals interactions with the C atoms of CC2 cage for which interaction distances range from 2.4 Å to 3.9 Å. At the same time, H atoms of acetylene interact with the N of CC2 cage through H-bond for which the interaction distances range from 2.3 Å to 2.7 Å. Table 1 contains some important interaction distance of **TS1'** and **R1'**. The nature and number of non-covalent interactions in **R1'** and **TS1'** are different at some points while they are similar at certain other points. For example, H7...N13 and H12...N14 are the common the interaction interactions. The interaction length of H7...N13 is 2.31 Å in **R1'** while this interacting distance becomes 2.38 Å in **TS1'**; in **R1'** and **TS1'** while this interacting distance becomes 2.38 Å in **TS1'**; similarly H12...N14 interaction distance in **R1'** is 2.36 Å while this interaction distance increases to 2.49 Å in **TS1'**. Some interactions in are

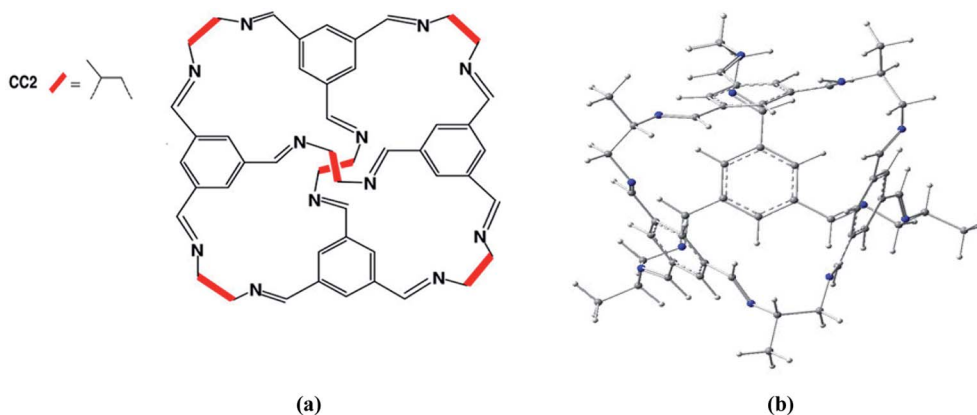


Fig. 1 (a) Chemical structure of structure of CC2 porous organic cage. (b) Optimized 3D structure of CC2 porous organic cage at M06-2X/6-31G(d).

Table 1 List of some selected interaction bond lengths of trimerization and cheletropic reactions

Trimerization reaction					
<b>R1'</b>	Interactions	H7...N13	H12...N14	H11...C17	H9...C19
	Interaction distances (Å)	2.31	2.36	2.73	2.49
<b>TS1'</b>	Interactions	H7...N13	H12...N14	H9...C15	H11...C16
	Interaction distances (Å)	2.38	2.49	2.80	2.73
Cheletropic reaction					
<b>R2'</b>	Interactions	H8...C15	H9...C14	H10...C16	H12...C17
	Interaction distances (Å)	3.14	2.99	2.69	2.91
<b>TS2'</b>	Interactions	H8...C18	H9...C14	H10...C16	H12...C17
	Interaction distances (Å)	2.85	2.79	2.69	2.54

different in **R1'** than those of **TS1'**. In **R1'** the H11...C17 and H9...C19 interaction distances are 2.73 Å and 2.49 Å. In **TS1'** H9...C15 and H11...C16 interaction distances are 2.80 Å and 2.73 Å, respectively (Fig. 2). Hydrogen atoms of **TS1'** interact with cage at more than one point. The six membered transition state (**TS1'**) is more stabilized inside the cage as compared to **R1'**. This stabilization of **TS1'** leads to drop in activation energy of the of trimerization reaction.

Interactions of the host affect the geometric parameters of **TS1'** which appear different than the geometric parameters of **TS1** (without cage). For example, C1–C6 bond length is 2.29 Å in **TS1** which is reduced to 2.27 Å in **TS1'**. C2–C3 bond length is 2.29 Å in **TS1** which is reduced to 2.18 Å in **TS1'**. Similarly, C5–C4 bond length in **TS1** is 2.29 Å while, this bond length is reduced in **TS1'** (2.26 Å). Encapsulation has caused the **TS1'** to

become early in nature as compared to TS. Their early nature of **TS1'** leads to drop in activation barrier.

The activation barrier of the reaction has been calculated. The activation barrier ( $E_a$ ) for trimerization reaction without cage was 49.40 kcal mol<sup>-1</sup> whereas it is reduced to 47.60 kcal mol<sup>-1</sup> in the cage. The result showed an appreciable drop in the energy barrier of trimerization reaction within the cavity of the CC2 cage because of non-covalent interactions between CC2 and guest molecules. The total energy of reactants ( $E_R$ ) with and without host cage are -165.03 kcal mol<sup>-1</sup> and -160.70 kcal mol<sup>-1</sup> respectively.

### 3.2 Cheletropic reaction

Addition of sulfur dioxide on butadiene was theoretically investigated. Reactants were encapsulated inside CC2 cage

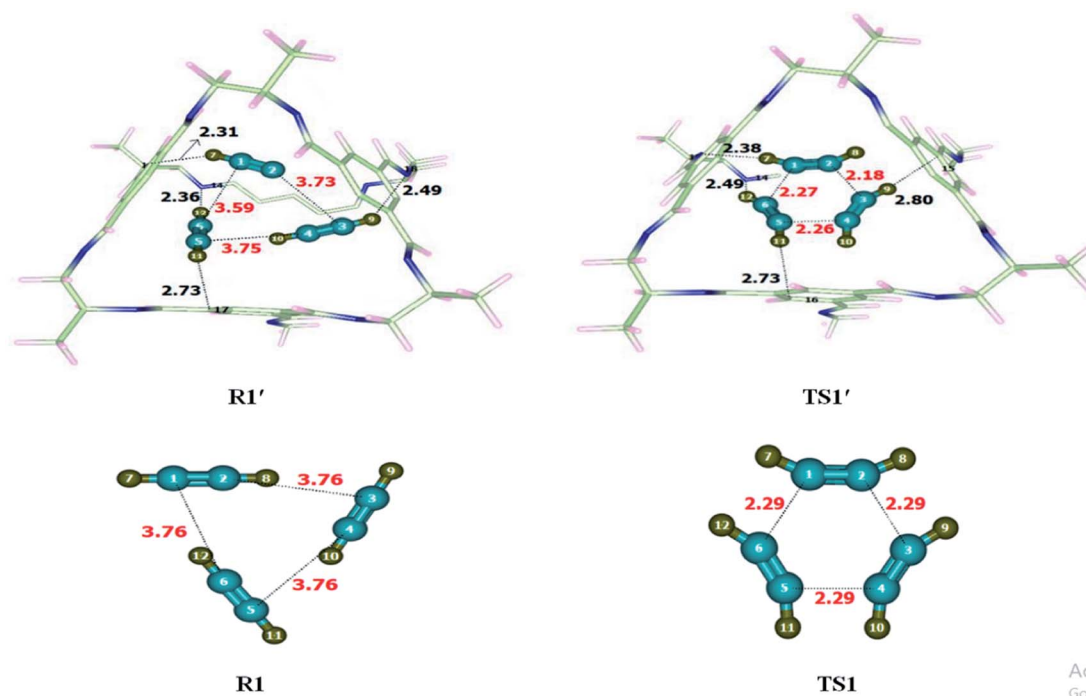


Fig. 2 Optimized reactant and transition state for trimerization reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All lengths are in Angstrom (Å).

which resulted in the generation of non-covalent interactions including hydrogen bonding and van der Waals forces. Details of non-covalent interactions are explained in NCI analysis. Hydrogen bond distances are usually between 2.7–3.3 Å while interaction distances for van der Waals force lie in the range of 3.3 Å to 4.0 Å (see Fig. 3). Both types of interactions have been observed during the study of cheletropic reaction inside the cage. O atoms of SO<sub>2</sub> interacts with H atoms of CC2 cage through H-bond at the distance of 2.5–3.0 Å. H atoms of butadiene also interact with the C atoms of CC2 cage through H-bond for which interaction distances range from 2.5–3.3 Å. van der Waals interactions distance between H atoms of butadiene and N atoms of CC2 cage range from 3.2 Å to 3.9 Å. Some important interactions have been reported in Table 1. The nature and number of non-covalent interaction almost remain same for **R2'** and **TS2'** variations in interaction distances are observed. H9...C14 and H12...C17 interaction distances are reduced from 2.99 Å and 2.91 Å in **R2'** to 2.79 Å and 2.54 Å in **TS2'**; respectively. Whereas the interaction length of H10...C16 remains 2.69 Å in both **R2'** and **TS2'**. H8...C15 interaction distance is 3.14 Å in **R2'**; whereas, in **TS2'**, the interaction of H8 is shifted from C15 to C18 with reduced interaction distance of 2.85 Å. Overall the non-covalent interactions are more pronounced in **TS2'** as compared to **R2'** (Fig. 3). This stronger interaction of CC2 cage with **TS2'** result in lowering of activation barrier. The geometric parameters of **TS2** are also different than those of **TS2'**. S1–C7 bond length of **TS2** is reduced from 2.35 Å to 2.34 Å in **TS2'** while S1–C4 bond length of **TS2** is 2.35 Å which is slightly increased to 2.36 Å in **TS2'**.

The activation barrier ( $E_a$ ) for sigmatropic reaction without cage was calculated as 17.09 kcal mol<sup>-1</sup> where it is

14.26 kcal mol<sup>-1</sup> inside CC2 cage. The result showed a significant drop in the energy barrier of cheletropic reaction within the cavity of the CC2 cage mainly due to favorable interactions of transition state (**TS2'**) with the guest molecules. The energy of reaction ( $E_R$ ) was calculated as -15.68 kcal mol<sup>-1</sup> without host cage and -12.88 kcal mol<sup>-1</sup> within the CC2 cage.

### 3.3 Dyotropic reaction

Dyotropic reaction of di-bromo ethane was investigated inside CC2 cage and without CC2 cage. Noncovalent interactions were generated between the inner surface of the cage and encapsulated molecules. Both strong (hydrogen bond) and weak non-covalent (van der Waals) interactions were observed. Br and H of di-bromo ethane interact with the C and N of CC2 cage through van der Waals forces. The interaction distances for the interaction of Br and H atoms of di-bromo and C and N atoms of CC2 are in the range of 3.4–3.9 Å and 3.5–3.9 Å, respectively. Besides van der Waals forces, H atoms of di-bromo ethane interact with C atoms of CC2 cage through H-bond in the range of 2.6–3.5 Å. Some important interactions have been reported in Table 2. Nature and number of interactions in **TS3'** are different than those of **R3'**. At some points, in **R3'** and **TS3'** the nature of interacting atoms remain same, but they differ in terms of interaction distances (Fig. 4). For example, H5...C11 interaction distance is 2.77 Å in **R3'** which is reduced to 2.64 Å in **TS3'**. H6...C13, H7...C12, H8...C9 interaction distances are 2.78 Å, 2.64 Å, 2.82 Å, respectively in **R3'** whereas H6...C10, H7...C19, H8...C12 interaction distances are 2.69 Å, 2.70 Å, 2.64 Å in **TS3'**, respectively. The non-covalent interaction distances in **TS3'** are much shorter than those of **R3'** which illustrates the stability of **TS3'** in the cage (compared to **R3'**).

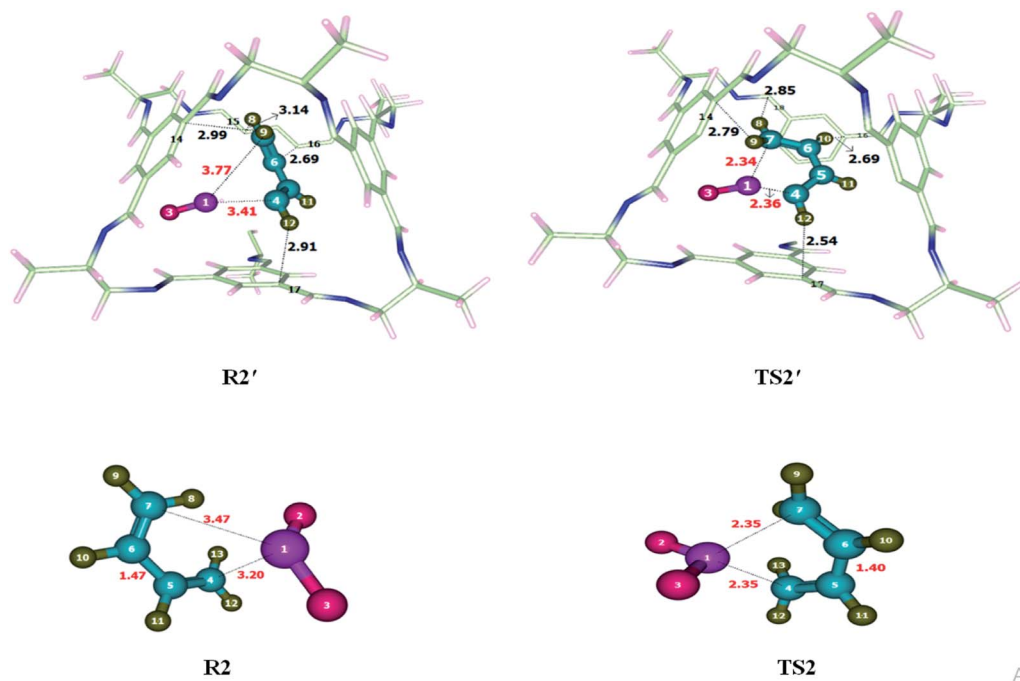


Fig. 3 Optimized transition state for cheletropic reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths.

Table 2 List of some selected interaction bond lengths of dyotropic and ring-expansion and contraction reaction

Dyotropic reaction					
<b>R3'</b>	Interactions	H5...C11	H6...C13	H7...C12	H8...C9
	Interaction distances (Å)	2.77	2.78	2.64	2.84
<b>TS3'</b>	Interactions	H5...C11	H6...C10	H7...C9	H8...C12
	Interaction distances (Å)	2.64	2.69	2.70	2.64
Ring-expansion and contraction reaction					
<b>R4'</b>	Interactions	H10...C14	H8...C15	H12...C16	H13...N17
	Interaction distances (Å)	2.71	3.11	2.97	2.67
<b>TS4'</b>	Interactions	H10...C14	H12...C17	H8...N18	H9...N19
	Interaction distances (Å)	3.03	2.88	2.73	2.76

The geometric parameters of **TS3'** (inside cage) are different than those of **TS3** (without cage) at the reaction site. For example, the C2–Br3 bond length in **TS3** is 2.25 Å which is reduced to 2.15 Å in **TS3'**, whereas C1–Br4 and C2–Br4 bond lengths are 2.25 Å each in **TS3** which are elongated to 2.39 Å in **TS3'**.

The observed activation energy ( $E_a$ ) of the reaction is 56.06 kcal mol<sup>-1</sup> without the cage and 52.88 kcal mol<sup>-1</sup> inside the CC2 cage. The activation barrier of the dyotropic reaction is significantly dropped inside the CC2 cage. The calculated total energy of reaction ( $E_R$ ) is 0 kcal mol<sup>-1</sup> without cage due to identical reactants and products. Whereas in case of reaction in CC2 the surrounding interactions are different, which results in differences in geometry of **R3'** and **TS3'**. The energy of reactant in this case is -0.13 kcal mol<sup>-1</sup>.

### 3.4 Ring-expansion and contraction reaction

Ring-expansion and contraction reaction was theoretically investigated at M06-2X/6-31G(d) level of theory. Non-covalent interactions such as hydrogen bond and van der Waals forces have been observed when reactant was encapsulated inside the CC2 cage. H atoms of cycloheptatriene interacts with the C and N of CC2 through H-bonds for which interaction distance range from 2.7–3.5 Å and 2.6–3.4 Å, respectively. O atom of cycloheptatriene interacts with the H atoms of CC2 cage through van der Waals forces appearing in the range of 3.1–3.7 Å. The nature and numbers of non-covalent interactions in **TS4'** are different than those in **R4'** except the interaction between H10...C14. H10...C14 interaction distance is 2.71 Å in **R4'** which is increased to 3.03 Å in **TS4'**. H8...C15, H12...C17 and H13...

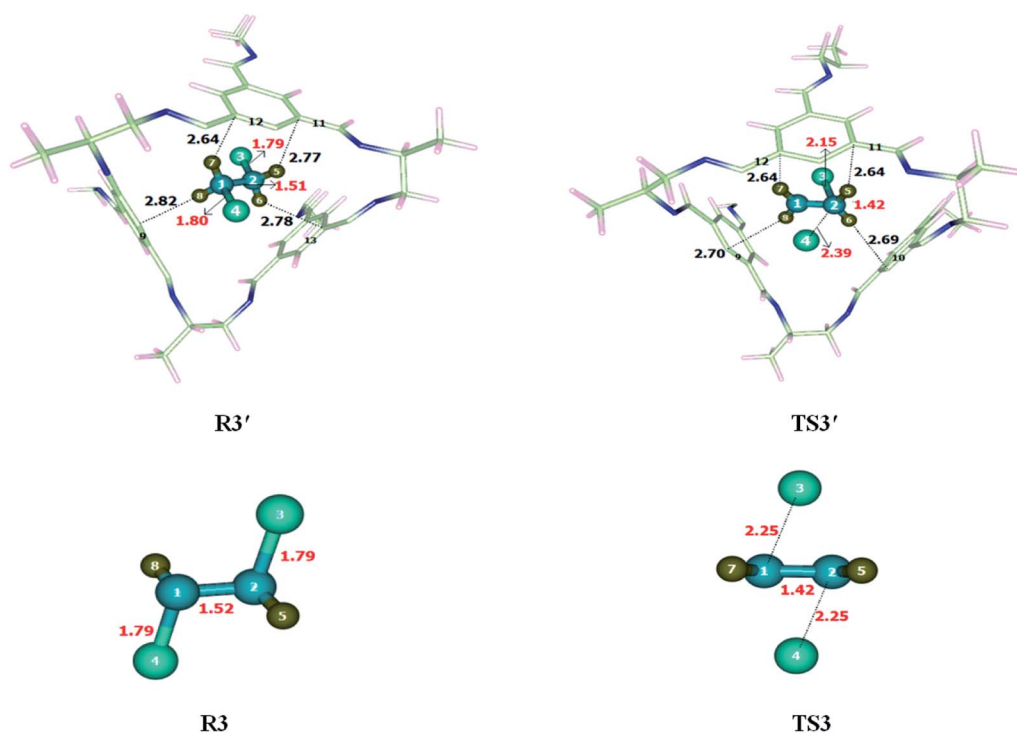


Fig. 4 Optimized reactants and transition states for dyotropic reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom (Å).

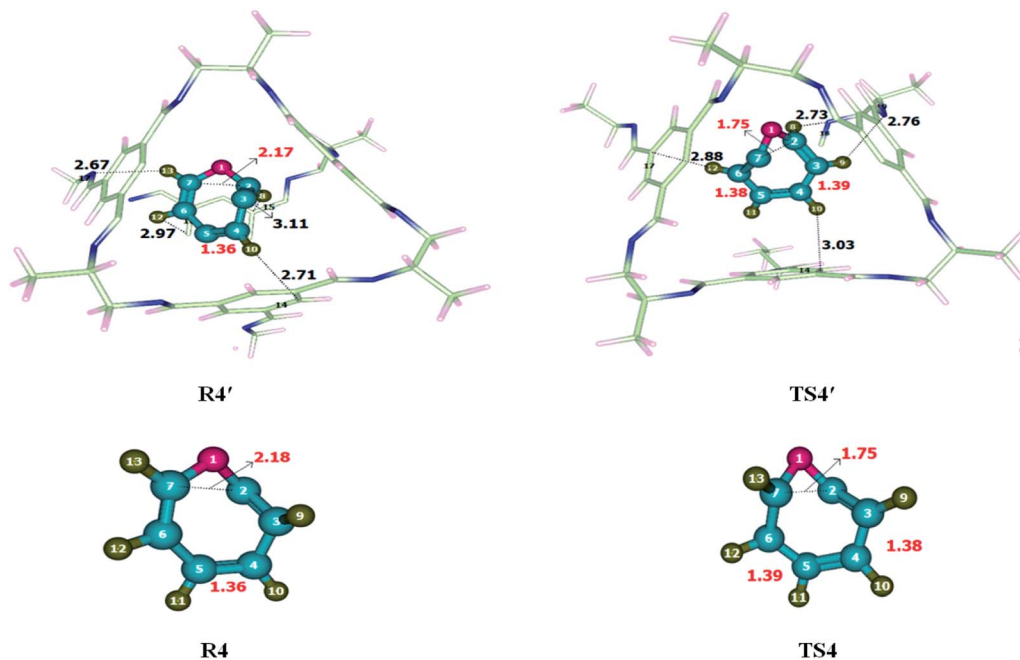


Fig. 5 Optimized reactants and transition states for cycloheptatriene–norcaradiene rearrangement at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom (Å).

N17 interactions distances are 3.11 Å, 2.97 Å and 2.67 Å in **R4'**, respectively. Nonbonding interactions H12...C17, H8...N18 and H9...N19 for which interaction distances are 2.88 Å, 2.73 Å and 2.76 Å in **TS4'**, respectively (Table 2). The interaction distances in **TS4'** are shorter than those of **R4'** which leads to drop in activation barrier. Strengthened in case of **TS4'** CC2 cage has stabilized the **TS4'** more as compared to **R4'**. This favorable interaction leads to the drop activation barrier inside the CC2 cage.

The geometric parameters of both transition states (**TS4** and **TS4'**) are not significantly different from each other (Fig. 5). C3–C4 bond length is 1.38 Å in **TS4** which is elongated to 1.39 Å in **TS4'** while the C5–C6 bond length is 1.39 Å in **TS4** which is slightly reduced to 1.38 Å in **TS4'**. The C2–C7 bond length remains 1.75 Å in both **TS4** and **TS4'**. Geometric parameters analysis reveals that the nonbonding interactions are mainly responsible for drop activation barrier. The nonbonding interactions are stronger for **TS4'** than **R4'**.

The activation barrier ( $E_a$ ) for cycloheptatriene–norcaradiene rearrangement without cage is 28.81 kcal mol<sup>-1</sup> while it is 26.99 kcal mol<sup>-1</sup> inside the cage. The result showed a reasonable drop in the energy barrier of cycloheptatriene–norcaradiene rearrangement within the cavity of the CC2 cage. The energy of reaction ( $E_R$ ) is calculated as 15.43 kcal mol<sup>-1</sup> without host cage and 12.21 kcal mol<sup>-1</sup> within the CC2 cage.

### 3.5 1,5-Sigmatropic shift reaction

1,5-Sigmatropic was investigated within and without CC2 cage. Atoms of **R5'** interact with the inner surface of CC2 cage through non-covalent interactions such as hydrogen bond (2.7–3.3 Å) and van der Waal forces (3.3–4.00 Å). Both types of interactions

have been observed during the study of complex (CC2 cage and encapsulated molecule), whereas the interactions lengths vary in each case. H atoms of 1,3 diene interacts with C and N atoms of CC2 cage through H-bonding and van der Waals forces whereas, the interaction lengths in each case inside the complex are 2.6–3.5 Å and 3.2–3.7 Å, respectively. The modes of interactions in **R5'** are modified in case of **TS5'** (Fig. 6). The interaction distances of H6...C15, H7...C14, H9...C16 and H11...C17 in **R5'**, are 3.08 Å, 3.17 Å, 2.93 Å and 2.78 Å respectively. In **TS5'** interaction distance of H7...C18, H9...C20 Å, H11...C21, H13...C16 are 2.94 Å, 2.83 Å, 2.77 Å and 2.97 Å, respectively. Some important interaction distances are mentioned in Table 3.

There is no significant difference observed in the bond lengths of **TS5** and **TS5'** except C1–H6 and C5–H6 which are 1.43 Å in **TS5**, C1–H6 bond length is reduced to 1.42 Å whereas C1–H6 bond length is elongated to 1.44 Å in **TS5'** while remaining bond lengths remained unchanged.

The observed activation energies of the reaction are 36.34 kcal mol<sup>-1</sup> (without cage) and 36.82 kcal mol<sup>-1</sup> for the reaction inside the CC2 cage. The exothermicity of 1–5 sigma tropic shift are calculated 0 kcal mol<sup>-1</sup> without cage and -0.33 kcal mol<sup>-1</sup> (inside the CC2 cage). The activation barriers for both reactions (without CC2 cage and inside CC2 cage) are almost similar which is attributed to similar strength of interactions in **R5'** and **TS5'**.

### 3.6 6- $\pi$ electron cyclization reaction

Optimization of the reactants and transition state of 6- $\pi$  electrocyclic reaction performed at M06-2X/6-31G(d) level of theory within and without CC2 cage. The values of interactions lengths showed the presence of non-covalent interactions

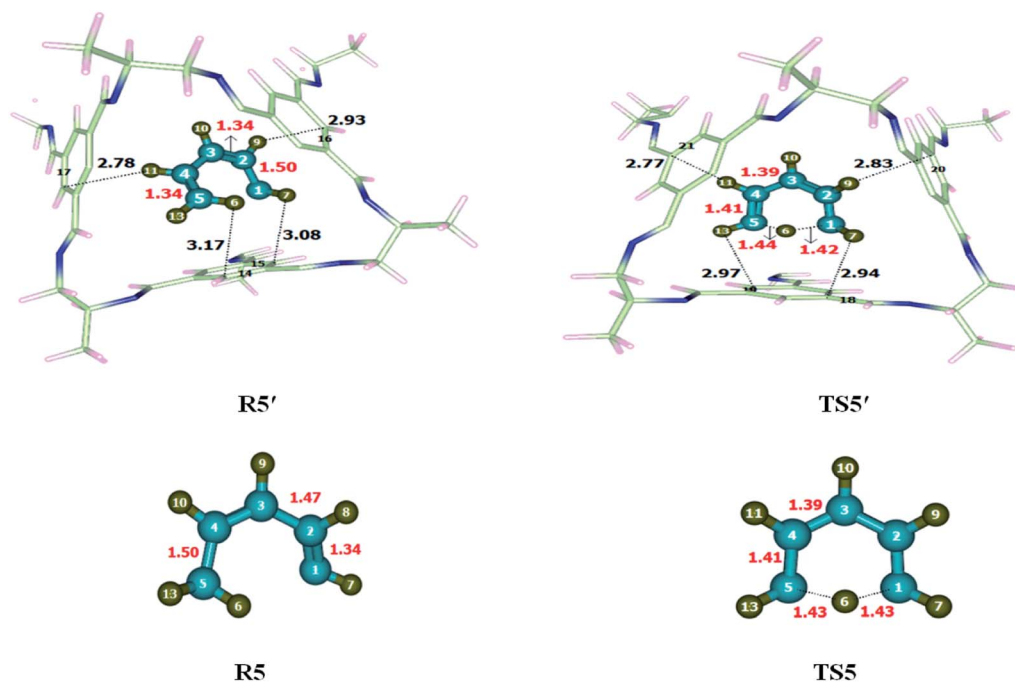


Fig. 6 Optimized reactants and transition states for 1,5-sigmatropic shift reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom (Å).

Table 3 Illustration of some selected interaction bond lengths of 1,5-sigmatropic shift and 6- $\pi$  electron cyclization reaction

#### 1,5-Sigmatropic shift reaction

<b>R5'</b>	Interactions	H6...C15	H7...C14	H9...C16	H11...C17
	Interaction distances (Å)	3.08	3.17	2.93	2.78
<b>TS5'</b>	Interactions	H7...C18	H9...C20	H11...C21	H13...C19
	Interaction distances (Å)	2.94	2.83	2.77	2.97

#### 6- $\pi$ electron cyclization reaction

<b>R6'</b>	Interactions	H10...C14	H8...C15	H12...C16	H13...N17
	Interaction distances (Å)	2.71	3.11	2.97	2.67
<b>TS6'</b>	Interactions	H14...C15	H12...N16	H11...C17	H7...C18
	Interaction distances (Å)	2.94	2.63	2.79	2.96

between CC2 cage and encapsulated molecules. Interactions distances for **R6** are between 2.7 Å to 4.00 Å which indicate the presence of hydrogen bond and van der Waals forces inside the complex. H atoms of 1,3,5-hexatriene shows multiple interactions with the C atoms and N atoms of CC2 cage through H-bond. The nature and number of interactions are different in **R6'** and **TS6'**. H12...N16, H14...C15, H11...C17 and H7...C18 distances in **R6'** are 2.70 Å, 2.88 Å and 2.91 Å, respectively (Fig. 7). H14...C15 and H12...N16 are common interactions in both **R6'** and **TS6'** for which distances are 2.92 Å, 2.70 Å in **R6'** whereas 2.94 Å and 2.63 Å in **TS6'**. H9...C19 and H8...C20 interaction distances are 2.79 Å and 2.96 Å in **TS6'**. Table 3 illustrates some important interaction lengths of **R6'** and **TS6'**. The results show that the interaction strength in both **R6'** and **TS6'** are almost comparable due to which both **R6'** and **TS6'** have almost same stabilities. This is the reason the net result of

interactions in **R6'** and **TS6'** are cancelled out and no valuable change is observed in the energy of activation.

The geometric parameters of **TS6** and **TS6'** are shown in Fig. 7. Almost all geometric parameters of **TS6** and **TS6'** are same except C1-C6 bond length which is 2.26 Å in **TS6** while 2.27 Å in **TS6'**.

The observed activation energies ( $E_a$ ) of the reaction are 20.44 kcal mol<sup>-1</sup> without the cage and 20.10 kcal mol<sup>-1</sup> for the reaction inside the CC2 cage. The activation barriers for both reactions (without CC2 cage and inside CC2 cage) are almost similar. It is due to the same strength of interactions of the cage in **R6'** and **TS6'**. The exothermicity of 6- $\pi$  electro-cyclization reaction are calculated where the calculated value of  $E_R$  of the selected reaction is -26.87 kcal mol<sup>-1</sup> without cage and -28.49 kcal mol<sup>-1</sup> for the reaction inside the CC2 cage.



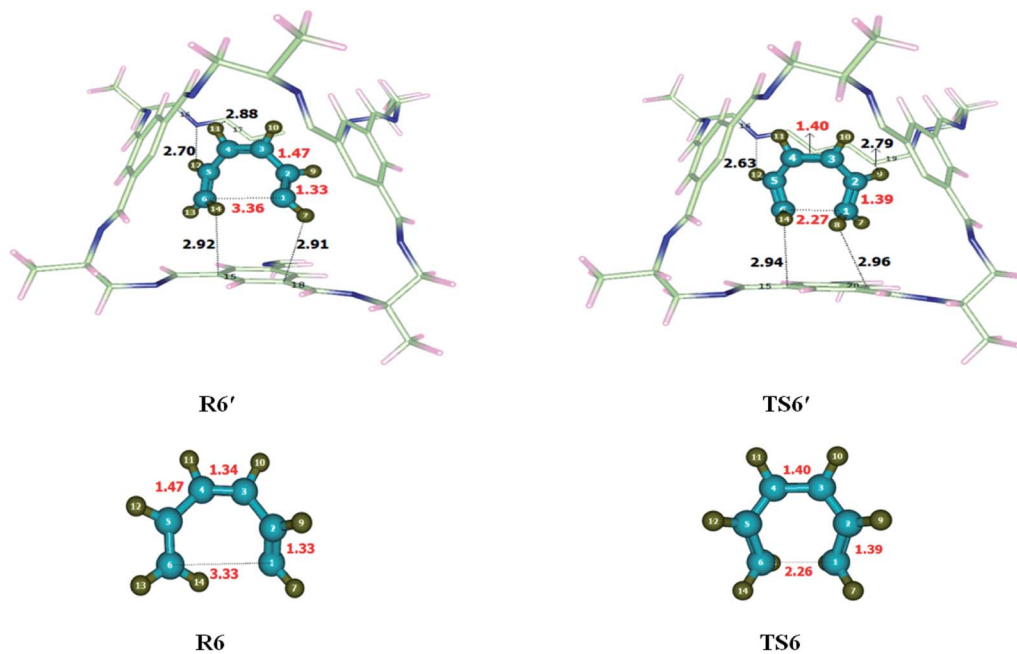


Fig. 7 Optimized reactant transition states for 6- $\pi$  electrocyclozation reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom ( $\text{\AA}$ ).

### 3.7 Claisen rearrangement reaction

To investigate the energetics of Claisen rearrangement, we selected chloroallyl vinyl ether as a reactant for reaction. After encapsulation the reactant atoms such as H, C and O interacts with the atoms of CC2 cage such as N, C and H. The interactions distances (Angstrom  $\text{\AA}$ ) are indicative of non-covalent interactions such as hydrogen bond and van der Waals forces. H atoms of allyl vinyl ether interact with the C and N atoms of CC2 cage through H-bonding. O atom of allyl vinyl ether interacts with the H atoms of CC2 cage through van der Waals interactions in the range of 3.1  $\text{\AA}$  to 2.8  $\text{\AA}$ . Some important interactions distances are highlighted in Table 4. Interaction distances of H132 $\cdots$ C81, H132 $\cdots$ C98, H137 $\cdots$ C61 and H139 $\cdots$ H40 are 3.54  $\text{\AA}$ , 3.01  $\text{\AA}$ , 2.90  $\text{\AA}$  and 2.71  $\text{\AA}$  in **R7'**, respectively. In **TS7'** all new interactions were generated as compared to **R7'**. The interaction distances of H132 $\cdots$ C81, H132 $\cdots$ C98, H137 $\cdots$ C61 and H139 $\cdots$

H40 in **TS7'** are 2.70  $\text{\AA}$ , 2.76  $\text{\AA}$ , 2.59  $\text{\AA}$  and 2.68  $\text{\AA}$ , respectively (see Table 4). Interaction generated by CC2 cage stabilized the encapsulated guest molecules (Fig. 8) compared to **TS7**. The nonbonding interaction analysis reveals that the nonbonding interactions are stronger in **R7'** as compared to **TS7'**, which indicates the higher stability of **R7'** in CC2 cage then **TS7'**. This stability of **R7'** resulted in increase in activation barrier. There is no significant change has been observed in the bond lengths of transition states outside of the cage and inside the cage. All bond lengths remain same for **TS7** and **TS7'**.

Unusual behavior is observed by the Claisen rearrangement due to structural features and modified interactions distances in **R7'** and **TS7'**. The observed activation energies are 47.82 kcal mol $^{-1}$  without the cage and 51.24 kcal mol $^{-1}$  for the reaction inside the CC2 cage. The result shows increase in the energy barrier of Claisen rearrangement inside CC2 cage. The

Table 4 Illustration of some selected interaction bond lengths of Claisen rearrangement and keto-enol tautomerization reaction

Claisen rearrangement reaction					
<b>R7'</b>	Interactions	H132 $\cdots$ C81	H132 $\cdots$ C98	H137 $\cdots$ C61	H139 $\cdots$ H40
	Interaction distances ( $\text{\AA}$ )	3.54	3.01	2.90	2.71
<b>TS7'</b>	Interactions	H132 $\cdots$ C81	H132 $\cdots$ C98	H137 $\cdots$ C61	H139 $\cdots$ H40
	Interaction distances ( $\text{\AA}$ )	2.70	2.76	2.59	2.68
Keto-enol tautomerization reaction					
<b>R8'</b>	Interactions	H8 $\cdots$ C16	H10 $\cdots$ C15	H11 $\cdots$ C17	H12 $\cdots$ C18
	Interaction distances ( $\text{\AA}$ )	3.01	2.83	2.37	2.35
<b>TS8'</b>	Interactions	H8 $\cdots$ C16	H10 $\cdots$ C15	H11 $\cdots$ C14	H12 $\cdots$ C13
	Interaction distances ( $\text{\AA}$ )	3.01	2.89	2.98	2.33

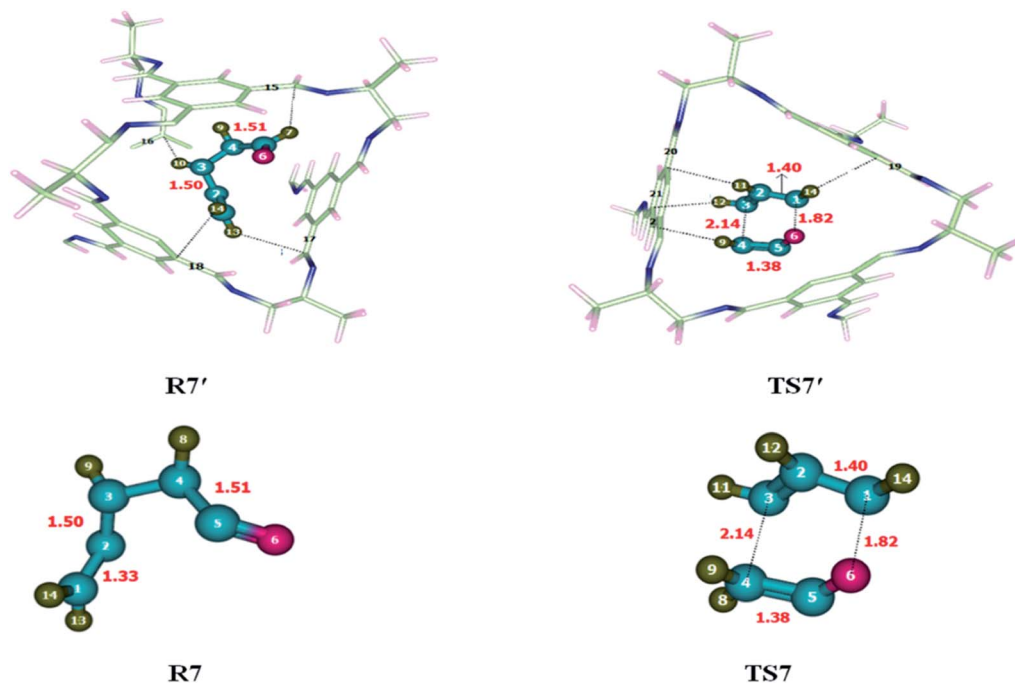


Fig. 8 Optimized reactants and transition states for Claisen rearrangement reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom (Å).

calculated  $E_R$  is  $17.64 \text{ kcal mol}^{-1}$  for reaction without cage and  $18.32 \text{ kcal mol}^{-1}$  inside the CC2 cage.

### 3.8 Comparison of keto-enol tautomerism reaction within and without CC2 cage

To investigate the keto-enol tautomerism reaction, reaction was carried out individually without cage as well as inside the selected CC2 cage. Studied activation barrier for individual reaction without cage is  $27.71 \text{ kcal mol}^{-1}$  and total energy of reaction is  $-13.61 \text{ kcal mol}^{-1}$ . After encapsulation H, C and O atoms of reactants interacts through non-covalent interactions such as hydrogen bond and van der Waals forces with the atoms of CC2 cage such as N, C and H. whereas, the interaction lengths in each case are in between  $2.6\text{--}3.5 \text{ \AA}$  and  $3.1\text{--}3.7 \text{ \AA}$ , respectively. O atoms of the reactant interact with the C atoms of CC2 cage through van der Waals interaction range from  $3.1 \text{ \AA}$  to  $3.8 \text{ \AA}$ .

Interactions in **R8'** are different than the interactions in **TS8'** except some interactions. For example,  $\text{H8}\cdots\text{C16}$  and  $\text{H10}\cdots\text{C15}$  are the interactions which are common in both **R8'** and **TS8'**.  $\text{H8}\cdots\text{C16}$  interaction distance is  $3.01 \text{ \AA}$  in both **R8'** and **TS8'** while  $\text{H10}\cdots\text{C15}$  interaction distance is  $2.83 \text{ \AA}$  in **R8'** which is increased to  $2.89 \text{ \AA}$  in **TS8'**.  $\text{H11}\cdots\text{C17}$ ,  $\text{H12}\cdots\text{C18}$  interactions distances are  $2.37 \text{ \AA}$  and  $2.35 \text{ \AA}$  in **R8'**, respectively.  $\text{H11}\cdots\text{C14}$ ,  $\text{H12}\cdots\text{C13}$  interactions distances are  $2.98 \text{ \AA}$  and  $2.33 \text{ \AA}$  in **TS8'**, respectively. Interaction distances of **R8'** and **TS8'** are shown in Table 4. Based on interactions, the stability of **R8'** and **TS8'** is almost equal due to which no significant change is observed in the energy barrier of reaction inside the cage and without cage.

The geometric parameters of **TS8** are different than those of **TS8'**.  $\text{C3-H11}$ ,  $\text{O5-H13}$  and  $\text{C1-C2}$  bond lengths are  $1.48 \text{ \AA}$ ,  $1.25 \text{ \AA}$  and  $1.50 \text{ \AA}$  of **TS8** which are increased to  $1.49 \text{ \AA}$ ,  $1.29 \text{ \AA}$  and  $1.51 \text{ \AA}$  in **TS8'**.  $\text{C2-C3}$  bond lengths remain same in both **TS8** and **TS8'** which is  $1.40 \text{ \AA}$  each (Fig. 9).

The observed activation energies are  $27.67 \text{ kcal mol}^{-1}$  without the cage and  $27.97 \text{ kcal mol}^{-1}$  for the reaction inside the CC2 cage. In this case, both **R8'** and **TS8'** have almost same stabilities inside the cage, due to which the energy barrier of keto-enol tautomerism inside the cage is almost same as calculated for reaction without cage. Calculated values of  $E_R$  of the keto-enol tautomerism are  $-13.61 \text{ kcal mol}^{-1}$  without cage and  $-15.97 \text{ kcal mol}^{-1}$  for the reaction inside the CC2 cage.

### 3.9 [2 + 4] cycloaddition reaction

To investigate the kinetics of [2 + 4] cycloaddition reaction, the reactants and transition state were optimized at M06-2X/6-31G(d) level of theory. Reaction was studied individually in its gaseous state as well as inside the selected CC2 cage. Butadiene and ethene (**R9**) were encapsulated inside the optimized CC2 cage. Atoms of **R9'** (H, C) interact with the inner surface of CC2 cage which behaves as guest for the encapsulated molecules through non-covalent interactions such as hydrogen bond and van der Waals forces. H atoms of butadiene and ethane interact with the C and N atoms of CC2 cage through H-bond. Where interaction lengths are  $2.6\text{--}3.0 \text{ \AA}$  and  $2.6\text{--}3.5 \text{ \AA}$ , respectively.

The nature and number of non-covalent interactions in **R9'** and **TS9'** are differing at certain points.  $\text{H133}\cdots\text{C24}$ ,  $\text{H135}\cdots\text{C76}$ ,  $\text{H137}\cdots\text{C57}$  and  $\text{H137}\cdots\text{C34}$  interaction distances in **TS9** are  $2.76 \text{ \AA}$ ,  $2.75 \text{ \AA}$ ,  $2.81 \text{ \AA}$  and  $2.63 \text{ \AA}$ , respectively. The

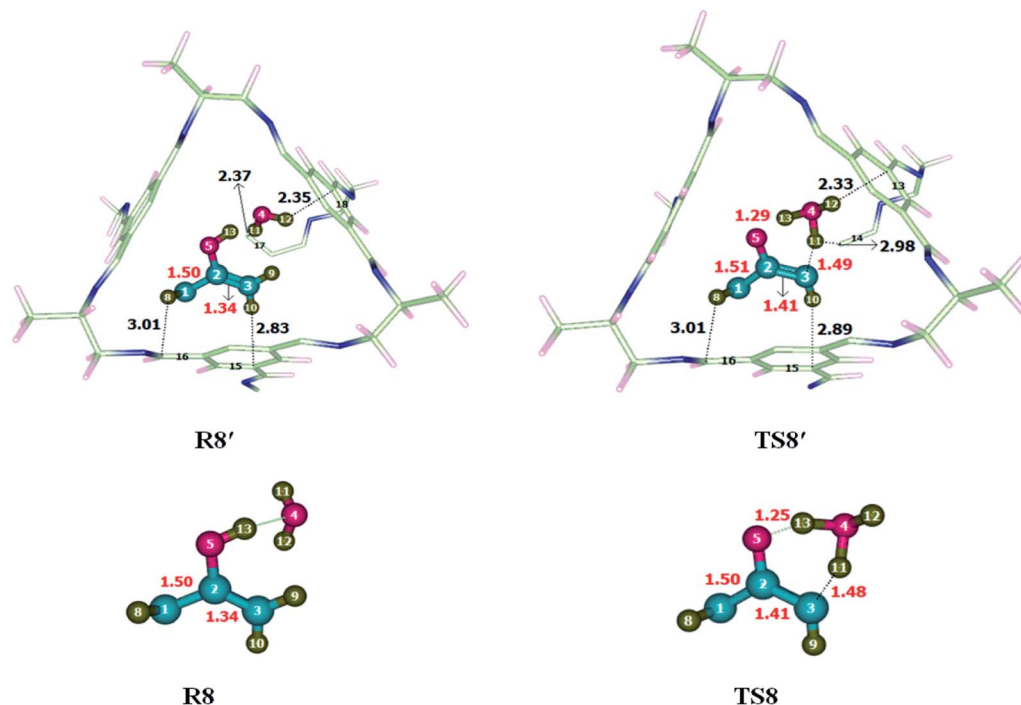


Fig. 9 Optimized reactants transition states for keto-enol tautomerism reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom (Å).

interaction bond distances of H133...C24, H135...C26, H137...C57 and H137...C34 are 2.81 Å, 3.43 Å, 2.81 and 3.18 Å, respectively in **TS9'** (see Table 5). Overall, the interaction distances of **R9'** are illustrating strong interaction with CC2 cage which results in the stability of **R9'** as compared to **TS1'**. Due to the more stable reactant activation barrier inside the CC2 cage increased as compared without cage (Fig. 10).

The geometric parameters of **TS9** are different than **TS9'**. For example, C1–C2 bond length is 1.38 Å in **TS9** which is decreased to 1.37 Å in **TS9'**. C1–C6 bond length is 2.27 Å in **TS9** which is elongated to 2.29 Å in **TS9'**. Whereas C2–C3 and C4–C5 bond lengths are 1.41 Å each in **TS9** and **TS9'**.

The observed activation energies of the reaction are 17.62 kcal mol<sup>-1</sup> without the cage and 19.47 kcal mol<sup>-1</sup> for the reaction inside the CC2 cage. The higher barrier is due to the greater stability of the reactant inside the cage compared to transition state. Calculated value of  $E_R$  of the 2 + 4 cycloaddition reaction is -47.86 kcal mol<sup>-1</sup> without cage and -50.25 kcal mol<sup>-1</sup> for the reaction inside the CC2 cage.

### 3.10 Non-covalent interaction (NCI) analysis

To understand the type of interactions that generated between the CC2 cage molecule and reactants, we performed NCI analysis. Two types of parameters (3D isosurface and 2D reduced gradient density graph) were generated to characterize the type of interactions. The obtained 3D isosurface (NCI of reactions) generally there are two types of non-covalent interactions are found hydrogen-bonding and van der Waals forces. NCI plots reveal the presence of strong hydrogen-bonding and van der Waals forces in all organic transformations. In the 2D reduced density graph, three types of interactions are appeared *i.e.*, blue patches show hydrogen bonding, red patches show repulsion forces and green patches shows non-covalent interactions. The green patches in the 2D-RDG graph ranging from 0.000 a.u. to 0.015 a.u. confirm the presence of van der Waals forces. Slight blue patches are also observed in all organic transformations except 1,5-sigmatropic shift reaction, 6- $\pi$  electron cyclization reaction and keto-enol tautomerism reaction, which is responsible for hydrogen bonding. 2D-RDG graph also confirms the

Table 5 Comparison of selected bond lengths of **TS9** and **TS9'**. All bond lengths are in Angstrom (Å)

[2 + 4] cycloaddition reaction

<b>R9'</b>	Interactions	H133...C24	H135...C26	H137...C57	H137...C34
	Interaction distances (Å)	2.81	3.43	3.41	3.18
<b>TS9'</b>	Interactions	H133...C24	H135...C76	H137...C57	H137...C34
	Interaction distances (Å)	2.76	2.75	2.81	2.63

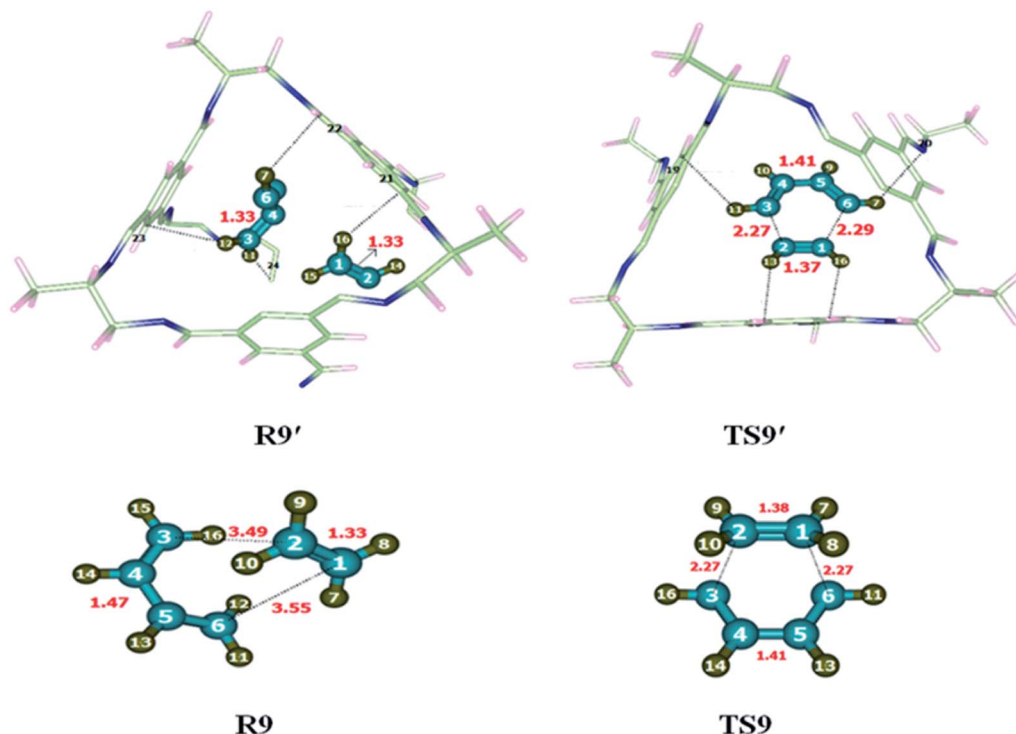
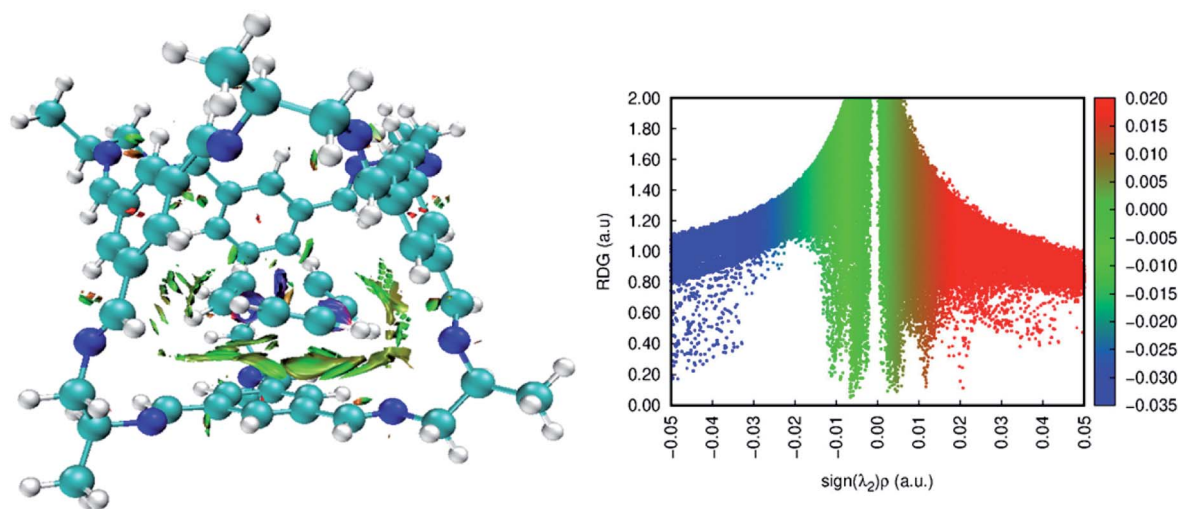


Fig. 10 Optimized reactants transition states for [2 + 4] cycloaddition reaction at M06-2X within and without CC2 cage along with interaction distance and bond lengths. All bond lengths are in Angstrom (Å).

presence of hydrogen bonding in the above-mentioned reactions (see Fig. 11 and S1 (ESI<sup>†</sup>))

The obtained 3D isosurface and RDG-2D graph for trimerization reaction is presented in Fig. 11. While for the rest of organic transformations NCI 3D isosurface and RDG-2D graphs are given in ESI (Fig. S1<sup>†</sup>). The green patches between H17, H12, H9, H11 of host **TS1'** and N13, N14, C15, C16 CC2 of guest

shows non-covalent interactions. While the 2D reduced density graph shows the green scattered patches ranging from 0.000 a.u. to  $-0.015$  a.u. confirms the presence of van der Waals forces while the wide green patch in the graph shows strong non-covalent interactions. The blue patches ranging from  $-0.03$  to  $-0.05$  indicates the hydrogen bonding.



(a) **TS1'**

Fig. 11 3D-isosurfaces and 2D-RDG graphs at M06-2X of transition states inside CC2 cage for trimerization reaction.

## 4 Conclusions

In summary we have studied various organic transformation in confined space of CC2 cage. Mullikan charges show nitrogen as the most electronegative specie whereas carbon is electronegative and hydrogen atoms, the most positive specie of optimized cage. Reactions were investigated theoretically within and without CC2 cage. Reactants after encapsulation interacts with the atoms of CC2 cage through non-covalent interactions such as hydrogen-bond and van der Waals forces. For some reactions such as trimerization, cheletropic reaction, ring expansion reaction, the non-covalent interactions of the transition states and CC2 cage are stronger as compared to the reactants which results in the significant drop of activation barrier. NCI analysis also confirms the presence of strong non-covalent interactions for these reactions. For trimerization reaction and cheletropic reaction the activation energies are 49.40 kcal mol<sup>-1</sup> and 17.52 kcal mol<sup>-1</sup> without cage, whereas these barriers are 47.70 kcal mol<sup>-1</sup> and 15.09 kcal mol<sup>-1</sup>, respectively, in the cage. Moreover, reactions where the strength of non-covalent interactions between cage and guest molecules is almost same for reactants and transition states, no change in activation barrier is observed. The activation barrier for 6- $\pi$  electrocyclization is 20.44 kcal mol<sup>-1</sup> and 20.10 kcal mol<sup>-1</sup> without and within CC2 cage, respectively. Similarly for keto-enol tautomerization the activation barrier is almost same with in the cage and outside of the cage. An unusual behavior has been observed in case of Claisen rearrangement **R7'** is more stabilized inside the cage as compared to the **TS7'** due to its structural geometry, and as a result, the activation barrier increased inside the cage for Claisen rearrangement.

## Author contributions

Ayesha Mukhtar: methodology, conceptualization, investigation, writing-original draft. Sehrish Sarfaraz: software, validation, visualization. Khurshid Ayub: supervision, writing-review & editing.

## Conflicts of interest

There are no conflicts to declare.

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