



Novel IncR/IncP6 Hybrid Plasmid pCRE3-KPC Recovered from a Clinical KPC-2-Producing *Citrobacter braakii* Isolate

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ABSTRACT *Klebsiella pneumoniae* carbapenemase (KPC)-producing *Enterobacteriaceae* have become widespread in hospitals and the environment. Here, we describe a *bla*_{KPC-2}-carrying plasmid called pCRE3-KPC, which was recovered from a clinical multidrug-resistant *Citrobacter braakii* CRE3 strain in China. The complete nucleotide sequence of pCRE3-KPC was determined by combining MiSeq and MinION sequencing and then compared with those of three related plasmids. Plasmid conjugal transfer and electroporation tests, modified carbapenem inactivation method, and bacterial antimicrobial susceptibility test were carried out. We compared this plasmid with three related plasmids to verify that the backbone of pCRE3-KPC was composed of the backbones of the lncR plasmid and lncP6 plasmid. Further bioinformatics analysis showed that pCRE3-KPC carried two resistance-related regions (the *bla*_{KPC-2} gene cluster and the *aacC2-tmrB*-related region). The *aacC2-tmrB*-related region included two novel insertion sequences (IS*Cfr28* and IS*Cfr16*).

IMPORTANCE Reports of human-pathogenic *C. braakii* strains, especially of strains showing resistance to carbapenems, are rare. To the best of our knowledge, our results represent the first detection of carbapenemase gene bla_{KPC-2} in *C. braakii* strains. In addition, we have studied detailed genetic characteristics of the novel IncR/IncP6 hybrid plasmid pCRE3-KPC, which was isolated from a clinical multidrug-resistant *Citrobacter braakii* CRE3 strain. Our results may provide further insight into the horizontal transfer of multidrug resistance genes in bacteria and into the genomic diversity and molecular evolution of plasmids.

KEYWORDS *Citrobacter braakii, bla*_{KPC-2}, IncR, IncP6, plasmid, transposon

Klebsiella pneumoniae strains that produce *K. pneumoniae* carbapenemase (KPC) were initially identified in the United States in 2001 (1). *Citrobacter braakii*, as a member of the *Citrobacter freundii* complex, was identified in 1993 (2) and has rarely been reported as a human pathogen (3–6). The bla_{KPC-2} gene, as a subtype of KPC genes, has widely spread in *Enterobacteriaceae*, such as *K. pneumoniae* (1), *Citrobacter freundii* (7), *C. portucalensis* (8), and *Escherichia coli* (9) strains. However, the bla_{KPC-2} gene had not previously appeared in *C. braakii* strains. Moreover, it has been found to be carried on several plasmids to date, namely, IncR, IncP, IncFII, IncL/M, IncN, IncA, IncC, and IncX plasmids (10–12). As of 22 May 2019, 54 plasmids containing both the IncR replicon and the bla_{KPC-2} gene had been documented in the GenBank database, and there was no documented instance of an IncR/IncP6 hybrid plasmid (see Table S1 and S2 in the supplemental material).

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The lncR replicon was first described in 2009 (13); since then, lncR plasmids have been increasingly reported in *Enterobacteriaceae* isolates (14). IncR replicons have also been found either as single replicons or as parts of multireplicon plasmids, which includes associations with lncA/C, lncF, lncFIlk, or nontypeable backbones (15). On the basis of prevalence statistics of plasmids containing both the lncR replicon and the *bla*_{KPC-2} gene (Table S1), we found that these plasmids usually contain multiple replicons. The *bla*_{KPC-2}-carrying plasmid unnamed3 (GenBank accession no. CP027150) contains one lncR replicon from the *K. pneumoniae* AR_0363 strain, which was that initially reported.

IncP6 plasmids have a broad host range (16), and to date the *bla*_{KPC-2}-carrying IncP6 plasmids have been found in *Pseudomonas aeruginosa* (16), *K. oxytoca* (GenBank accession no. KY913901), *Enterobacter cloacae* (GenBank accession no. CP018968), and *C. freundii* (17). Both *bla*_{KPC-2}-carrying IncP6 plasmid pCOL-1 (GenBank accession no. KC609323) (18) and p10265-KPC (GenBank accession no. KU578314) (16) were recovered from *P. aeruginosa* strains.

In this work, we have reported the first isolation of a bla_{KPC-2} -positive *C. braakii* strain. In addition, we determined the whole genomic sequence of a bla_{KPC-2} -carrying plasmid that we have named pCRE3-KPC, which was isolated from a clinical multidrug-resistant *C. braakii* CRE3 strain. We compared this plasmid with the following three related plasmids: plasmid unnamed3 (GenBank accession no. CP027150), p10265-KPC (GenBank accession no. KU578314), and pCOL-1 (GenBank accession no. KC609323). Interestingly, we found that plasmid pCRE3-KPC contains both an IncR replicon and an IncP6 replicon belonging to a novel IncR/IncP6 hybrid plasmid. To the best of our knowledge, this is the first report of an IncR/IncP6 hybrid plasmid. Our results may offer insight into the horizontal transfer of resistance genes and provide an overview of plasmid diversity and evolution.

RESULTS AND DISCUSSION

Characterization of C. *braakii* **CRE3.** PCR screening revealed that the multiple antimicrobial resistance genes present in *C. braakii* CRE3 include bla_{KPC-2} , bla_{TEM-1B} , bla_{OXA-1} , bla_{CMY-83} , *qnrB10*, and *aacC2*. Plasmid pCRE3-KPC failed to transfer to *E. coli* EC600 through conjugation experiments but was successfully transferred to *E. coli* DH5 α by electroporation to generate the bla_{KPC-2} -positive electroporant CRE3-KPC-DH5 α . This result illustrates that pCRE3-KPC is a nonconjugative but mobilizable plasmid. The antimicrobial susceptibility tests showed that both the *C. braakii* CRE3 and *E. coli* electroporant CRE3-KPC-DH5 α strains were highly resistant to ampicillin, piper-acillin, cefuroxime, ceftriaxone, aztreonam, imipenem, meropenem, and gentamicin (Table 1). Moreover, carbapenemase was produced in both of the strains mentioned above, as revealed by the modified carbapenem inactivation method (mCIM) (19).

Overview of plasmid pCRE3-KPC. The circular DNA sequence of pCRE3-KPC is 62,673 bp in length, with mean G+C content of 56%. Furthermore, it contains 71 predicted open reading frames (ORFs) and two distinct replicons (IncR replicon *repA* and IncP6 replicon *repB*) (Table 2) (Fig. 1).

Linear comparisons of plasmid pCRE3-KPC with three related reference plasmids, namely, bla_{KPC-2} -carrying IncR plasmid unnamed3 (GenBank accession no. CP027150), p10265-KPC (a bla_{KPC-2} -carrying IncP6 plasmid first reported in China) (16), and pCOL-1 (a bla_{KPC-2} -carrying IncP6 plasmid, initially identified in Colombia) (18), were conducted. The detailed comparisons revealed that the overall structure of plasmid pCRE3-KPC is highly mosaic and can be divided into the following three distinct modules (Fig. 1 and 2; see also Fig. S1 in the supplemental material): (i) a first module (~20.5 kb) that is high homologous (>98.6% identity) to plasmid unnamed3 from the *K. pneumoniae* AR_0363 strain reported in the United States and extends from the resolution site (*res*) of $\Delta Tn1722$ to gene *vagD* (virulence-associated gene); (ii) a second module (~27.8 kb) that shares >99.9% identity with plasmid p10265-KPC (16) from *P. aeruginosa* strain 10265 isolated in China and extends from the *bla*_{KPC-2} gene cluster to $\Delta Tn5563$; (iii) a third module comprising the other accessory modules (~13.8 kb) with two novel insertion

TABLE 1 Antimicrobial susceptibility profiles

	MIC (mg/liter)/antimicrobial susceptibility ^a					
Antibiotic	C. braakii CRE3	Electroporant CRE3-KPC-DH5 α	E. coli DH5α			
Ampicillin	≥32/R	≥32/R	$\leq 2/R$			
Piperacillin	≥128/R	≥128/R	\leq 4/S			
Cefuroxime	≥64/R	≥64/R	4/S			
Ceftriaxone	≥64/R	≥64/R	$\leq 1/S$			
Ceftazidime	≥64/R	4/S	$\leq 1/S$			
Cefepime	≥64/R	$\leq 1/S$	$\leq 1/S$			
Aztreonam	≥64/R	≥64/R	$\leq 1/S$			
Imipenem	≥16/R	≥16/R	$\leq 1/S$			
Meropenem	\geq 16/R	≥16/R	≤0.25/S			
Amikacin	32/I	$\leq 2/S$	$\leq 2/S$			
Gentamicin	≥16/R	≥16/R	$\leq 1/S$			
Tobramycin	\geq 16/R	2/S	$\leq 2/S$			
Ciprofloxacin	\geq 4/R	≤0.25/S	≤0.25/S			
Levofloxacin	4/I	≤0.25/S	≤0.25/S			
Nitrofurantoin	128/R	\leq 16/S	\leq 16/S			

^aThe interpretation is derived from the Clinical and Laboratory Standards Institute guidelines (CLSI, 2018) (S, sensitive; R, resistant; I, intermediately resistant).

sequences (ISCfr28 and ISCfr16), the truncated *aacC2-tmrB* region, ISEc21, and Δ ISEc15. On the basis of the study of the hybrid plasmids p675920-1 (20, 21) and pKP1034 (22), the majority of the backbone and accessory regions of unnamed3 and p10265-KPC were found to be present in pCRE3-KPC, so pCRE3-KPC may represent a combination resulting from plasmids like these. Compared to the backbone of unnamed3 and p10265-KPC, pCRE3-KPC lost part of its backbone genes (*orf711* of unnamed3, Δ *orf1* and *kfrA*, and a fragment extending from *mobE* to *orf5* of p10265-KPC) during the recombination process, suggesting that these genes may not be necessary in these plasmids. The gene functions of these plasmids are annotated in detail (see Data Set S1, S2, S3, and S4 in the supplemental material).

Genomic comparison of the backbone regions from pCRE3-KPC and related plasmids. The backbone of each plasmid was further divided into the replication genes and the plasmid maintenance genes, without the conjugal-transfer genes, such that the hybrid pCRE3-KPC plasmid comprised the IncR and IncP6 backbones. The resultant backbone includes two replication genes (IncR replicon *repA* and IncP6 replicon *repB*) and two sets of partitioning system *parAB* genes (Fig. 1).

The IncR backbone from pCRE3-KPC was compared with plasmid unnamed3 (an IncR plasmid; GenBank accession no. CP027150), and their backbones were found to consist of the replication genes (IncR replicon and its iterons) as well as plasmid maintenance genes (*parAB*, *umuCD*, and *vagDC*). However, two differences in their backbones were identified as follows: (i) the *orf711* gene (hypothetical protein) is deleted in pCRE3-KPC but complete in plasmid unnamed3 and (ii) the *orf258* gene (hypothetical protein) is interrupted into two parts by the insertion of the *aac*(6')-*lb*-*cr*-related region in plasmid unnamed3 (Fig. 1 and 2; see also Fig. S1).

Furthermore, p10265-KPC (16) and pCOL-1 (18) can be assigned to the IncP6 incompatibility group, according to replicon-based schemes. The IncP6 backbone of pCRE3-KPC was compared with those of both of the plasmids named above, and the

TABLE 2 Major f	features of	plasmids	in this	work
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	Accession no.		Inc	Country of	Total	Total no.	Mean G+C	Accessory module(s)
Plasmid	or source	Species	group	origin	length (bp)	of ORFs	content (%)	(resistance genes harbored)
unnamed3	CP027150	K. pneumoniae	IncR	United States	65,684	72	55	MDR region, Tn4401a, aac(6')-lb-cr-related region
pCRE3-KPC	This study	C. braakii	IncR-P6	China	62,673	71	56	bla _{KPC-2} gene cluster, aacC2-tmrB-related region
p10265-KPC	KU578314	P. aeruginosa	IncP6	China	38,939	46	58	<i>bla</i> _{KPC-2} gene cluster
pCOL-1	KC609323	P. aeruginosa	IncP6	Colombia	31,529	34	60	Tn4401b





FIG 1 Schematic maps of plasmid pCRE3-KPC. Genes are denoted by arrows, and the backbone and accessory module regions are highlighted in black and in color, respectively. The innermost circle presents GC-skew [(G - C)/(G+C)], with a window size of 500 bp and a step size of 20 bp. The next-to-innermost circle represents GC content.

backbones were found to comprise the replication genes (IncP6 replicon and its iterons) and plasmid maintenance genes (*kfrA, parABC*, the *mob* gene cluster, the *msrB-msrA-yfcG-corA-orf8* gene cluster, and *paeR7IR*). Three differences were notable among them (Fig. 1 and 2; see also Fig. S1): (i) pCRE3-KPC has lost genes ($\Delta orf1$ and *kfrA*) and a fragment extending from *mobE* (auxiliary protein) to *orf5* (hypothetical protein); (ii) the numbers of copies of the 17-bp tandem repeat (GCGCCTGCCTTTGAGTA) within the iterons were 11 in pCRE3-KPC, 6 in p10265-KPC, and 12 in pCOL-1; and (iii) the $\Delta orf8-corA-yfcG-msrA-msrB$ gene cluster was found to be inverted in pCOL-1.

Genomic comparison of the bla_{KPC-2} gene region from pCRE3-KPC with those from related plasmids. The bla_{KPC-2} gene is associated with the core bla_{KPC} platform (Tn3-IS*Kpn27-bla_{KPC}-* Δ IS*Kpn6*) in most Chinese Enterobacteriaceae strains (23–25). This core platform is integrated into a Δ ISEc33-associated bla_{KPC-2} cluster, which was initially discovered in the p10265-KPC plasmid from a *P. aeruginosa* strain (16). In the bla_{KPC-2} gene cluster of p10265-KPC, the primary genetic structure, Tn3-IS*Kpn27-bla_{KPC-2}* Δ IS*Kpn6-korC-orf6-klcA-\DeltarepB, may have undergone two evolutionary events* (16): (i) insertion of a Δbla_{TEM-1} gene between IS*Kpn27* and the Tn3 IRR (right inverted repeat) and (ii) disruption of the *tnpA* gene (transposase) from Tn3, resulting in its becoming two separate parts, an event caused by insertion of a composite transposon, ISApu1-





FIG 2 Linear comparison of pCRE3-KPC with related plasmids. A linear comparison was carried out for the complete DNA sequences of plasmids unnamed3 (GenBank accession no. KC609323), pCRE3-KPC (this study), p10265-KPC (GenBank accession no. KU578314), and pCOL-1 (GenBank accession no. KC609323). Genes are denoted by arrows. Genes, mobile elements, and other features are colored based on functional classification. Shading indicates regions of homology (>95% nucleotide identity). MDR, multidrug resistant.

orf7-ISApu2. The bla_{KPC-2}-carrying pCRE3-KPC plasmid was detected in an inpatient at a tertiary care hospital in China, and the BLASTN analysis of it showed that the surrounding genetic environment of the bla_{KPC-2} gene in pCRE3-KPC is highly similar to that in p10265-KPC. The Δ ISApu1-orf7-ISApu2 composite transposon is also present in pCOL-1, but it has not been inserted into Tn3 and occurs downstream of Δ Tn5403. Furthermore, the bla_{KPC-2} gene cluster is located downstream of Δ Tn1722. Tn1722, a Tn3-family transposon, consists of an IRL (left inverted repeat), *tnpA*, *tnpR* (resolvase), *res*, *mcp* (methyl-accepting chemotaxis protein), and an IRR (26). Δ Tn1722 contains an IRR, *tnpA*, *tnpR*, and *res* in pCRE3-KPC, which is also present in plasmid unnamed3 (GenBank accession no. CP027150) (Fig. 3).

However, the Tn3-family Tn4401 transposon has contributed to the rapid dissemination of the bla_{KPC-2} gene in Europe and the Americas. A number of previously reported isoforms of Tn4401, which differ by a 100-to-200-bp sequence upstream of bla_{KPC-2} , are currently known (27–29). For example, Tn4401b, which is a Tn4401 isoform, contains IRL, *tnpR*, *tnpA*, ISKpn7, bla_{KPC-2} , ISKpn6, and IRR. Plasmid pCOL-1 (18) and plasmid unnamed3 (GenBank accession no. CP027150) originated from Colombia and the United States, respectively. The bla_{KPC-2} genes carried by plasmid pCOL-1 and plasmid unnamed3 are embedded in Tn4401b and Tn4401a, respectively. Compared with the complete Tn4401b, Tn4401a in plasmid unnamed3 (GenBank accession no. CP027150) has lost a 135-bp sequence upstream of bla_{KPC-2} (Fig. 3).

Genomic comparison of the *aacC2-tmrB*-related region from pCRE3-KPC with those from related plasmids. The *aacC2-tmrB*-related region from pCRE3-KPC is composed of Δ Tn5563, two novel insertion sequences (IS*Cfr28* and IS*Cfr16*), the truncated *aacC2-tmrB* region, IS*Ec21*, and Δ IS*Ec15*. The Tn5563 element is organized sequentially with an IRL, *tnpR*, *orf2* (hypothetical protein), *piIT* (PiIT domain-containing protein), *tnpA*, *merP* (mercuric transport protein periplasmic component), *merT* (mercuric transport protein), *merR* (mercuric resistance operon regulatory protein), and an IRR. In p10265-KPC (16), Tn5563, which is located upstream of two consecutive backbone genes (Δ *orf1* and *kfrA*), differs from the prototype Tn5563 from pRA2 (30) with a 286-bp insertion occurring between *merP* (mercuric transport protein periplasmic component) and *merT* (mercuric transport protein). However, Δ Tn5563 has undergone the deletion of a fragment extending from *merT* to the IRR in pCRE3-KPC (Fig. 2 and 3).

In addition, two novel insertion sequences (ISC*fr28* and ISC*fr16*) are inserted downstream of Δ Tn*5563*. ISC*fr28*, containing two transposase genes, *tnpA* and *tnpB*, and a Tn3 family element, is bordered by 13-bp IRs (IRL, GTCAGCCAAGAAG; IRR, CTTCTTGG CTGAC) (Fig. 4). The 1,025-bp ISC*fr16* insertion sequence, a Tn3 family element, is made up of a transposase gene (*tnpA*) and 13-bp IRs (IRL, TAAGCTGCGAGCG; IRR, CGCTCGC AGCTAA). The *aacC2* (aminoglycoside resistance)-*tmrB* (tunicamycin resistance) region

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FIG 3 The bla_{KPC-2} gene region from pCRE3-KPC and comparison with the related plasmids. Genes are denoted by arrows. Mobile elements, genes, and other features are colored based on functional classification. Numbers in parentheses denote GenBank numbers and the nucleotide positions within the corresponding plasmids. Shaded regions show shared DNA regions of homology (>95% nucleotide identity). For reference, the accession number of Tn1722 is X61367.

is derived from transposon Tn2, and Tn2 has undergone the following molecular evolutionary changes (31, 32): (i) the *tnpR-res-tnpA* segment of Tn2 has been replaced by the *aacC2-tmrB-orf192-orf228-orf1182-ISCfr1* module and (ii) the *IS26* insertion sequence has been inserted at the right-hand end of Tn2. The complete *aacC2-tmrB* region was discovered in pEI1573 from *E. cloacae* (33), and its truncated forms have been integrated into transposon Tn6411 from the chromosome of *P. aeruginosa* 12939



FIG 4 The *aacC2-tmrB*-related region from pCRE3-KPC and comparison with related plasmids. Genes are denoted by arrows. Genes, mobile elements, and other features are colored based on functional classification. Numbers in parentheses denote GenBank numbers and the nucleotide positions within the corresponding plasmids. Shaded regions indicate shared DNA regions of homology (>95% nucleotide identity). For reference, the accession number of the *aacC2-tmrB* region is JX101693.

(34). Because ISEc21 had inserted upstream of ISEc15, this may have led to the truncation of ISEc15 (Fig. 4).

MATERIALS AND METHODS

Bacterial isolates and identification. The clinical *C. braakii* CRE3 strain was isolated from a drainage sample from a patient at a tertiary care hospital in China on 5 May 2018. Bacterial identification was carried out using a Vitek compact-2 automated system (bioMérieux, France) and was confirmed by 16S rRNA sequencing (35). The genes encoding extended-spectrum β -lactamase (36), carbapenemase (37), fluoroquinolone (38), and aminoglycoside (39) were detected by PCR. All the PCR amplicons were sequenced on an ABI 3730 platform (Applied Biosystems, USA).

Plasmid conjugal transfer. The pCRE3-KPC plasmid was recovered from a clinical multidrugresistant *C. braakii* CRE3 isolate. Conjugation experiments were carried out with cells of rifampin-resistant *Escherichia coli* strain EC600 as the recipient cells, and the transformation experiments were conducted using cells of *E. coli* DH5 α Electro-Cells (TaKaRa, China) as the recipient cells for the plasmid electroporation. Plasmid pCRE3-KPC was extracted from the cells using a Qiagen Plasmid Midi kit (Qiagen, Germany). The plasmid conjugal transfer and electroporation tests were performed as described previously (40, 41).

Antimicrobial susceptibility and carbapenemase activity detection. Antimicrobial susceptibility testing was conducted using a Vitek compact-2 automated system (bioMérieux, France). The results were interpreted according to the CLSI (Clinical and Laboratory Standards Institute) 2018 performance standards (42). Carbapenemase activities were detected using mCIM (19).

Sequencing and sequence assembly. The bacterial genomic DNA extracted from the CRE3 isolate using a Wizard Genomic DNA purification kit (Promega, USA) was sequenced on the MiSeq (Illumina, USA) and the MinION (Oxford Nanopore, United Kingdom) platforms. The DNA library was constructed in accordance with a NEB Next Ultra II DNA Library Prep kit for Illumina, and the Illumina sequencing read length used was 300. The library preparations for the MinION platform were performed by the use of a rapid barcoding sequencing kit (SQK-RBK004) according to the protocol of the manufacturer (Oxford Nanopore Technologies), and the results were then loaded into the flow cell (FLO-MIN106D, Oxford Nanopore Technologies) for sequencing. Short Illumina reads were trimmed to remove poor-quality reads using Trimmomatic, and the contigs were assembled using Newbler3.0 (43). The long reads from MinION were combined with the short Illumina reads, which were subjected to hybrid assembly using SPAdesv3.11.1 (44). The hybrid assembly produced several scaffolds, and further bioinformatics analysis verified that the scaffold of the pCRE3-KPC plasmid was successfully cyclized by our in-house script. The correctness was then demonstrated by mapping the Illumina reads to the cyclized scaffold using CLC Genomics Workbench 9.0 (CLC Bio, Denmark), with an average level of read mapping coverage of 817×. The final consensus sequence obtained from CLC Genomics Workbench 9.0 was considered to represent the complete sequence of plasmid pCRE3-KPC.

Sequence annotation and genome comparisons. Annotation of open reading frames (ORFs) and pseudogenes was performed using RAST2.0 (45) combined with BLASTP/BLASTN searches against the UniProtKB/Swiss-Prot (46) and RefSeq (47) databases. Resistance genes, mobile elements, and other features were predicted using ResFinder3.2 (48), INTEGRALL (49), ISfinder (50), and PlasmidFinder2.1 (51) online databases. Paired-sequence comparisons and multiple-sequence comparisons were carried out using BLASTN and MUSCLE 3.8.31 (52), respectively. Gene organization diagrams were drawn in Inkscape 0.48.1 (https://inkscape.org/en/).

Accession number(s). The complete sequence of pCRE3-KPC was submitted to GenBank and deposited under accession number MH919378.

SUPPLEMENTAL MATERIAL

Supplemental material is available online only. FIG S1, DOCX file, 1.2 MB. TABLE S1, DOCX file, 0.02 MB. TABLE S2, DOCX file, 0.02 MB. DATA SET S1, XLSX file, 0.02 MB. DATA SET S2, XLSX file, 0.02 MB. DATA SET S3, XLSX file, 0.02 MB. DATA SET S4, XLSX file, 0.02 MB.

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