1 Genome sequencing of the first SARS-CoV-2 reported from

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patients with COVID-19 in Ecuador.

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35 Abstract

36 SARS-CoV-2, the etiological agent of COVID-19 was first described in Wuhan in 37 December 2019 and has now spread globally. Ecuador was the second country in 38 South America to report confirmed cases. The first case reported in Quito, the capital 39 city of Ecuador, was a tourist who came from the Netherlands and presented 40 symptoms on March 10th, 2020 (index case). In this work we used the MinION platform 41 (Oxford Nanopore Technologies) to sequence the metagenome of the bronchoalveolar 42 lavage (BAL) from this case reported, and subsequently we sequenced the whole 43 genome of the index case and other three patients using the ARTIC network protocols. Our data from the metagenomic approach confirmed the presence of SARS-CoV-2 44 45 coexisting with pathogenic bacteria suggesting coinfection. Relevant bacteria found in 46 the BAL metagenome were Streptococcus pneumoniae, Mycobacterium tuberculosis, 47 Staphylococcus aureus and Chlamydia spp. Lineage assignment of the four whole 48 genomes revealed three different origins. The variant HEE-01 was imported from the 49 Netherlands and was assigned to B lineage, HGSQ-USFQ-018, belongs to the B.1 50 lineage showing nine nucleotide differences with the reference strain and grouped with 51 sequences from the United Kingdom, and HGSQ-USFQ-007 and HGSQ-USFQ-010 52 belong to the B lineage and grouped with sequences from Scotland. All genomes show 53 mutations in their genomes compared to the reference strain, which could be important 54 to understand the virulence, severity and transmissibility of the virus. Our findings also 55 suggest that there were at least three independent introductions of SARS-CoV-2 to 56 Ecuador.

Key words: SARS-CoV2, MinION sequencing, COVID-19, metagenomic analysis,
whole genome

IMPORTANCE COVID-19, an infectious disease caused by SARS-CoV-2, has spread globally including Latin American countries including Ecuador. The first strain of SARS-CoV-2 sequenced was from Wuhan, which is considered as the reference strain. There were no data about the SARS-CoV-2 lineages in Ecuador, and the purpose of this study was to find out the origin of the different lineages circulating in the population. We also were interested in the mutations present in these genomes as they can influence virulence, transmission and infectivity.

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68 **INTRODUCTION**

SARS-CoV-2, the etiologic agent of COVID-19, has spread globally reaching all continents^{1,2}. Ecuador was the second country in South America, after Brazil, to report its first case on 29 February 2020³. The number has grown since, reaching 39,098 laboratory-confirmed cases and 3,358 deaths until May 31st, 2020⁴. The pandemic has had a severe impact in Ecuador, as death excess estimates suggest that up to 182.44 people per million inhabitants may have died since the first COVID19 case ⁵, making Ecuador the country with the highest mortality rate in South America.

76 Quito, the capital city of Ecuador, was the second city to report COVID-19 77 cases after Guayaquil. After a severe wave of cases in Guayaquil during the early 78 stages of the outbreak, Quito currently reports the largest number of COVID-19 daily 79 cases in the country. The first case in Quito was diagnosed initially in the Sucumbios 80 province, while the patient was doing tourism, and was subsequently brought to a third-81 level hospital in the capital. The patient is originally from the Netherlands and was 82 diagnosed by the Health Ministry on March 10th, 2020. His condition deteriorated while 83 in Sucumbios, prompting his transfer to Quito where he was admitted into the Intensive 84 Care Unit of the Eugenio Espejo Hospital. After 32 days, the patient recovered and 85 returned to his native country. Currently, local authorities have confirmed 3676 cases ⁴ 86 and widespread community transmission is actively happening.

87 Understanding the local epidemiology of SARS-CoV-2 can greatly be enhanced 88 from understanding the virus evolution. It is therefore relevant to invest in SARS-CoV-2 89 genome sequencing as it could help to establish the origin of the pathogen ⁶, its transmission across different regions ⁷, the genetic diversity of the circulating strains in 90 the population and to identify notable mutations⁸. Additionally, key biological aspects 91 92 such as virulence, transmissibility and infectivity of the circulating strains can be investigated, when combined with clinical data from the patients ⁷. Around 35,473 93 94 genome sequences of SARS-CoV-2 have been reported from countries around the 95 world and are available in GenBank and the GISAID (Global Initiative on Sharing All Influenza Data) repository ⁹. 96

In this work we describe the first four SARS-CoV-2 whole genome sequences
obtained from Ecuador. We also report the metagenomic analysis of the first COVID-19
patient diagnosed in Quito. Both approaches were performed using the portable
MinION platform (Oxford Nanopore Technologies).

101 MATERIALS AND METHODS

102 Ethics

This study used excess respiratory samples after being officially confirmed with positive COVID19 diagnosis. Ethical approval for all samples was given by CEISH-USFQ (Comité de ética de investigación en seres humanos-USFQ): IE-JP067-2020-CEISH-USFQ.

107 Epidemiological information and sample collection

Samples from the four patients were collected in Hospital Eugenio Espejo (HEE) or Hospital IESS Sur (HGSQ), two public third-level hospitals at Quito, Ecuador. Sample positivity for SARS-CoV-2, using standard RT-PCR approach, was officially reported to hospitals by the Ecuadorian Ministry of Public Health (MSP) and National Institute of

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Public Health and Research (INSPI). Sample HEE-01 was a bronchi-alveolar lavage 112 113 (BAL) from a patient of Dutch origin in his late fifties who presented symptoms during a visit to the Sucumbios province and then transferred to the HEE intensive care unit 114 115 (ICU) in Quito. This patient was the first confirmed COVID-19 case in Quito. Samples HGSQ-USFQ-018, HGSQ-USFQ-007 and HGSQ-USFQ-010 were all nasopharyngeal 116 117 swabs. HGSQ018 was collected from a 27 years old male patient who presented severe COVID-19 symptoms after a visit to Guayaguil and was admitted to the 118 pneumology unit at HGSQ. Sample HGSQ-USFQ-007 was collected from a 40 years 119 120 old male patient that had no history or contact with a COVID-19 patient, presented severe COVID19 symptoms and was admitted to ICU at HGSQ. Sample HGSQ-USFQ-121 122 010 was collected from a 39 years old male patient that had contact with patient 123 HGSQ-USFQ-007 and was also admitted to ICU. The BAL sample was collected in a sterile tube with 2X DNA/RNA Shield (Zymo), and nasopharyngeal swabs were 124 125 submerged in 1X DNA/RNA Shield (Zymo) to ensure virus inactivation and to preserve 126 the genetic material. Samples were transported immediately at 4°C to the Institute of 127 Microbiology at USFQ (IM-USFQ) in a sealed container with all the biosecurity and 128 containment measures recommended by the CDC of the USA 129 (https://www.fda.gov/media/134922/download).

130 RNA extraction

131 The genetic material from samples was extracted in a biosafety type II chamber with 132 HEPA filters in the Virology Laboratory of the Microbiology Institute (IM) at USFQ. Two 133 different sequencing approaches were performed with the extracted RNA: metagenomics with sample HEE01 and whole genome sequencing with samples 134 135 HEE01, HGSQ-USFQ-018, HGSQ-USFQ-007 and HGSQ-USFQ-010. QIAamp® Viral 136 RNA Extraction Kit (Qiagen) was used to extract RNA from the HEE1 sample that was used for the metagenomics approach. Briefly, 250 µl of BAL sample and 560 µl of AVL 137 138 lysis buffer were incubated for 1 hour, then manufacturer RNA kit instructions were

followed. Sample was eluted in a final volume of 70 µl. RNA was immediately purified
µsing RNA Clean and Concentrator kit (Zymo), 14 µl of purified ARN were used for
retrotranscription of RNA to cDNA following the RNA Viral Metagenomics MinION OnePot Sequencing Protocol from the genomics department of Public Health England^{10,11}.

143 SV Total RNA Isolation System kit (Promega, USA) was used to extract RNA from 144 samples used for the whole genome sequencing approach. A predigestion step was 145 added to the RNA extraction protocol of the bronchoalveolar fluid (BAL) sample as 146 follows. Before nucleic acid extraction, 280µl of the Bronchoalveolar fluid (BAL) sample 147 was predigested with 360µl of PureLink™ Genomic Lysis Buffer and 20 µl of 148 proteinase K. The mix was incubated at 55°C for 10 minutes, with vortexing every 5 149 minutes (Life Technologies, USA). All RNA extractions were performed following 150 manufacturer instructions and eluted in a final volume of 50 µl. Total RNA was quantified using QuBit (Thermo Fisher Scientific) with a Qubit RNA Assay Kit (Thermo 151 Scientific, Invitrogen, Carlsbad, CA, USA). Retrotranscription of RNA to cDNA was 152 carried out using the Protocol of the Public Health England Genomics Lab ^{10,11} at the 153 **USFQ Bioinformatics Center.** 154

155 Viral whole genome sequencing approach

156 Primer Scheme approach developed by the ARTIC network for nCoV-2019 (Robertson, 157 2020) using the V1 primer sets to generate an amplicon tiling path across the viral genome ¹². cDNA MinION library preparation was performed using the Rapid 158 159 Barcoding kit (SQK-RBK004) following manufacturer instructions and then loaded into 160 a MinION flow cell (FLO-MIN 106). Base calling of FAST5 files was performed using Guppy (version 3.4.5) (Wick, et al 2019), and the RAMPART software (v1.0.5) from the 161 162 ARTIC Network (https://github.com/artic-network/rampart) was used to monitor 163 sequencing in real-time. Sequence quality scoring, demultiplexing and adapter removal was performed with the NanoPlot¹³ and Porechop algorithms respectively. The ARTIC 164

Network bioinformatics pipeline was used for variant calling, and the reads were mapped against the reference strain Wuhan-Hu-1 (GenBank accession number MN908947), to generate consensus genomes. The genome was uploaded to the CoV-GLUE resource ¹⁴, to determine the epidemiological linkage of circulating SARS-CoV-2 variants.

170 Metagenomic approach and bioinformatic analysis. cDNA MinION library 171 preparation was performed using the Rapid Barcoding kit (SQK-RBK004) following 172 manufacturer instructions. The resulting library was loaded on an Oxford MinION 173 flowcell (FLO-MIN 106) and sequenced using the MinKNOW version 4.05, for 24 174 hours. Basecalling and quality control analysis was performed using Guppy (version 3.4.5) and NanoPlot (version 1.29.0) respectively¹³. Adapters and barcodes were 175 176 removed from the MinION using Porechop reads (version 0.2.4) 177 (https://github.com/rrwick/Porechop). Taxonomic classification of the sequences was performed using the Kaiju platform¹⁵. A SARSCoV-2 consensus sequence was 178 179 obtained by mapping reads against the reference strain Wuhan-Hu-1 (GenBank accession number MN908947) using minimap2 (version 2.14-r883)¹⁶. Finally, 180 Samtools (version 1.9) (http://samtools.github.io) and Tablet alignment viewer (version 181 1.19.09.3) (https://ics.hutton.ac.uk/tablet) were used to visualize the mapped 182 183 sequence.

184 RESULTS

Metagenomic sequencing confirms SARS-CoV-2 infection in patient HEE-01 and possible bacterial coinfection.

The metagenomic analysis found a total of 206,111 DNA sequences with 43,603,091 bases. Viral sequences represented 0.9%, of which 83% corresponded to nonassigned coronavirus and 17% were identified as SARS coronaviruses (Figure 1). The sequences assigned to coronavirus were extracted and mapped using the Wuhan-Hu-1

191 reference genome (GenBank accession number MN908947). A sequence similarity of 192 99.68% was found with this sequence with 100% guery coverage. Additionally, a 193 phylogenetic tree was generated with the sequences found and the reference strains used in GenBank NCBI. The phylogenetic alignment grouped the query sequence with 194 195 the ORF1AB segment of the virus polyprotein (it encodes replication genes). The 196 metagenome sequences are publicly available at 197 https://www.ncbi.nlm.nih.gov/bioproject/?term=PRJNA613094 (Accession: PRJNA613094). 198

Several bacterial and eukaryotic sequences related to the patient's respiratory microbiota were identified by metagenomics. The most relevant taxa found were *Streptococcus pneumoniae, Mycobacterium tuberculosis, Staphylococcus aureus* and *Chlamydia* spp. We did not identify any particular clinically relevant fungus. To complement the metagenomic approach we carried on with the whole genome sequencing of the current sample and additionally with 3 more additional samples from severely ill patients diagnosed in March.

206 **Complete genome sequencing revealed multiple entries of the virus to Ecuador**

207 Whole genome sequencing of the first case of COVID-19 in Quito revealed it to be an 208 imported case from Europe (strain named HEE-01). Compared with the Wuhan-Hu-1 209 reference strain, HEE-01 shows only two nucleotide differences that include one amino 210 acid replacement. HEE-01 was assigned to the B lineage and grouped with sequences 211 from the Netherlands (Figure 2). Strain HGSQ-USFQ-018 was obtained from a case 212 detected in Quito, but the infection was presumably acquired in Guayaquil. It shows 213 nine nucleotide differences with the reference genome (Table 1). This genome is 214 placed in the B.1 lineage and grouped with genomes from other European countries 215 (Figure 2). Finally, HGSQ-USFQ-007, a community acquired infection in Quito, and the 216 sequence from an epidemiologically-linked contact (HGSQ-USFQ-010) were also

placed in the B lineage. Both sequences have multiple differences compared to the
reference strain, including 17 amino acids replacement and one amino acid deletion
(Table 1).

220

221 **DISCUSSION**

222 The mitigation of the spread of SARS-CoV-2 has been unsuccessful in most 223 South American countries. Countries like Ecuador, where the population, despite 224 shocking examples in other cities like Guayaquil, continues to react in disbelief at an 225 imminent risk. It is now when scientific research is needed to guide the authorities to 226 develop correct public health policies. In this study, we were able to uncover the first 4 227 SARS-CoV-2 whole genome sequences from Ecuador using the portable low-cost 228 MinION platform (Oxford Nanopore). Genome sequences, from the first COVID19 229 case reported in Quito, have few differences compared to the reference strain (Wuhan-230 Hu-1), and is grouped within lineage B near sequences from patients in the 231 Netherlands. This finding confirms that the patient most likely acquired the infection in 232 his country of origin. Metagenome sequencing of the patient's BAL revealed possible 233 bacterial coinfection as several of the microorganisms are not considered members of the core lower airways bacterial microbiota¹⁷. As consequence, the encountered 234 235 bacteria were probably contributing to the patient's severe condition. Metagenomic 236 analysis could be crucial in COVID-19 cases because it adds valuable information about concurrent pulmonary pathogens that can aggravate the clinical condition^{18–20}. 237

238 acquired the infection at the community level, either in Guayaquil or Quito. All 239 three patients presented severe respiratory symptoms. Sequence recovered from a 240 patient that got infected in Guayaquil grouped in lineage B.1, suggesting a second 241 introduction of the virus into the country. Finally, the virus sequences recovered from 242 two patients infected in Quito had marked differences with the previous sequences,

243 suggesting a third introduction of the virus to the country. These sequences were 244 identical between them, which was expected since the patients were family members, 245 developed symptoms at the similar time and lived together. Furthermore, the distinct 246 set of mutations from these sequences was analyzed in a separate study which reports 247 a potential virulence factor not previously described, which is associated with inhibition of the interferon response in vitro²¹. Community acquired transmission is now 248 249 widespread in Ecuador and more samples from community acquired infections are 250 needed in order to inform analyses of the local epidemiology of the virus. Comparing 251 these genomes will allow us to provide a more accurate estimate of the arrival times 252 and routes of the virus into certain areas, and to better understand how it is locally 253 spreading and evolving. Revealing the information contained in the SARS-CoV-2 virus 254 genome sequences is a robust tool to understand the epidemiology of COVID-19 255 locally and contribute to the global panorama.

The current pandemic is unlikely to be the last, and it is therefore essential to improve the response capacity of our public health systems and to implement and strengthen continuous scientific research programs. Only in this way we will be able to better understand these types of threats, act based on evidence, and thus reduce their impact.

261 **AKNOWLEDGEMENTS**

This work was funded by Universidad San Francisco de Quito and CADDE project (<u>www.caddecentre.org/</u>). P.C. is funded by NIH FIC D43TW010540 Global Health Equity Scholars.

We acknowledge the submitting authors and institutions of the sequences of SARSCoV 2 from GISAID Database.

267 AUTHOR CONTRIBUTIONS

268 Conceptualization: M.J., G.T., M.G., P.C. Methodology: S.M., B.P.-V., J.J.G., M.T.,

- 269 P.C. Software: B.P.-V., V.B., P.C. Validation: B.P.-V., V.B. and P.C. Formal analysis
- and investigation: V.B., P.R.-S. and P.C. Writing Original Draft: P.R.-S. and P.C.
- 271 Writing Review & Editing: All authors. Visualization: V.B. and P.C. and M.A.
- 272 Supervision: G.T. Funding acquisition: M.G, G.T. & P.C.

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340 **Figures and tables legends:**

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Figure 1. Krona chart summarizing the percentage of sequences assigned to

- 343 **Coronavirus in the metagenome.** Coronavirus sequences represented 0.036% of all
- 344 microorganisms.
- 345
- 346
- 347 **Figure 2. Maximum parsimony phylogenetic tree.** Ecuadorian sequences are
- highlighted in bold. Lineage assignments are highlighted in similar colors: lineage A
 (Green color palette) and lineage B (Brown color palette).
- 350
- 351 **Table1.** Mutations found in four SARS-CoV-2 Genomes from Ecuador compared to
- 352 Wuhan-Hu-1 (GenBank accession number MN908947)





Table1. Mutations found in four SARS-CoV-2 Genomes from Ecuador compared

to Wuhan-Hu-1 (GenBank accession number MN908947).

Genome S ID	Sequence GISAID ID	Lineage	Gene	Mutation	Amino acid	Туре
HEE-01	EPI_ISL_417482	В	ORF1ab S	T514C A25182T	- E1207V	- Transversions
HGSQ-USFQ-018	EPI ISL 422563	B.1	ORF1ab	C3037T	no	Transition
			ORF1ab	C4010T	L431F	Transition
			ORF1ab	C14408T	P323L	Transition
			ORF1ab	C18555T	no	-
			S	A23403G	D614G	Transition
			ORF3a	A25983G	no	-
			Ν	G28881A	R203K	Transition
			Ν	G28882A	no	-
			N	G28883C	G204R	Transversions
HGSQ-USFQ-007	EPI_ISL_422564	В	ORF1ab	A1996C	-	-
ar	nd		ORF1ab	C1997T	no	Transition
HGSQ-USFQ-010	EPI_ISL_422565	В	ORF1ab	T11737G	N255K	Transversions
			ORF1ab	T20497A	N255K	Transversions
			S	G22424T	A288S	Transversions
			S	C24418T	no	Transition
			ORF3a	A25505C	Q38P	Transversions
			ORF3a	G25647T	L85F	Transversions
			ORF3a	T25676C	L95S	Transition
			ORF3a	C25678T	L96F	Transition
			ORF3a	C25692T	no	Transition
			ORF3a	T25695G		
			ORF3a	C25708T	L106F	Transition
			ORF3a	C25710T	no	Transition
			ORF3a	T25711C	Y107H	Transition
			ORF3a	C257281	no	Iransition
			ORF3a	A25741G	S11/G	Iransition
			ORF3a	A25756G	R122E	Iransition
			ORF3a	G25757A	no	Iransition
			ORF3a	A257641	-	-
			ORF3a	125779G	-	-
			ORF3a	G25781A	C130"	Iransition
			ORF3a	C25782A	- \/4COT	- Transition
			ORF3a	G25879A	V 1631	Transition
			ORFSa	120000	110 T164N	Transition
			ORFSa	T25885C	5165A	Transversions
			ORES	A28035C	B/8G	Transition
			ORES	A28033G	n400	Transition
			ORF8	G28038T	\/491	Transversione
			ORES	C28076T	no	Transition
			ORES	T28078C	\/624	Transition
	and a description of Description			1200100		Transition

Lineages were assigned using Pangolin COVID-19 Lineage Assigner (pangolin.cog-uk.io).