

# Prophylactic Protection Against Respiratory Viruses Conferred by a Prototype Live Attenuated Influenza Virus Vaccine

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## Research Article

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1 **Prophylactic protection against respiratory viruses conferred by a prototype live**  
2 **attenuated influenza virus vaccine.**

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20 Running Title – **Attenuated Influenza Virus with Antiviral Properties.**

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23

24 **Abstract**

25 The influenza A non-structural protein 1 (NS1) is known for its ability to hinder the sy  
26 nthesis of type I interferon (IFN) during viral infection. Influenza viruses lacking NS1 (  
27  $\Delta$ NS1) are under clinical development as live attenuated human influenza virus vacci  
28 nes and induce potent influenza virus-specific humoral and cellular adaptive immune  
29 responses. Attenuation of  $\Delta$ NS1 influenza viruses is due to their high IFN inducing pr  
30 operties, that limit their replication in vivo. This study demonstrates that pre-treatmen  
31 t with a  $\Delta$ NS1 virus results in an immediate antiviral state which prevents subsequent  
32 replication of homologous and heterologous viruses, preventing disease from virus re  
33 spiratory pathogens, including SARS-CoV-2. Our studies suggest that  $\Delta$ NS1 influenz  
34 a viruses could be used for the prophylaxis of influenza, SARS-CoV-2 and other hum  
35 an respiratory viral infections, and that an influenza virus vaccine based on  $\Delta$ NS1 live  
36 attenuated viruses would confer broad protection against influenza virus infection fro  
37 m the moment of administration, first by non-specific innate immune induction, follow  
38 ed by specific adaptive immunity.

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41 Key words – Type I IFN, Antiviral Therapy, NS1 protein, Influenza A, Interferon Antagonists, SARS-Co  
42 V-2

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## 48 **Introduction**

49 The type I interferon (IFN) response resulting from invading viral pathogens is consid  
50 ered as one of the first lines of antiviral defence mechanisms in higher organisms. Th  
51 e latter process takes place upon the detection of the pathogen associated molecular  
52 patterns (PAMPS) by the host pattern recognition receptors (PRRs). Secretion of inte  
53 rferons takes place in both paracrine and autocrine signalling mechanisms, mediated  
54 by the canonical JAK/STAT signal transduction pathway along with the transcriptiona  
55 l activation of a particular set of host genes as well as their corresponding promoters  
56 defined as IFN-stimulated response elements (ISREs)<sup>1</sup>. Subsequent activation of the  
57 downstream interferon stimulated genes (ISGs) lead to the transcriptional induction o  
58 f a plethora of antiviral proteins, including dsRNA-activated protein kinase (PKR) lead  
59 ing to a halt of protein translation, dsRNA-activated oligoadenylate synthetases (OAS  
60 ) which facilitate the degradation of RNA by activating RNase L and Mx proteins whic  
61 h essentially sequester incoming viral components such as nucleocapsids<sup>2, 3</sup>. Many s  
62 tudies have demonstrated that viruses have evolved to encode numerous mechanism  
63 s to prevent the host IFN-mediated antiviral response at different stages<sup>4</sup>. Viral non-s  
64 tructural proteins such as those of Toscana virus, dengue and HPV can sequester ho  
65 st factors to inhibit type I IFN response<sup>5,6,7</sup>, while viruses such as vaccinia, adeno and  
66 Ebola viruses secrete soluble ligands<sup>7,8</sup>, or encode miRNAs<sup>9, 10</sup> and other proteins to  
67 confer immune-evasion.

68 The influenza A virus (IAV) non-structural protein 1 (NS1) facilitates several functions  
69 ranging from inhibition of host mRNA polyadenylation and subsequent inhibition of th  
70 eir nuclear export as well as inhibition of pre-mRNA splicing<sup>11, 12</sup>. A growing body of e  
71 vidence to date has indicated that influenza NS1 protein has IFN antagonistic activity  
72 . It was initially shown that a recombinant influenza A virus that lacks the NS1 protein

73 ( $\Delta$ NS1) grew to a titer similar to that of WT virus in IFN deficient systems, albeit being  
74 markedly attenuated in IFN competent hosts<sup>13</sup>. This attenuated phenotype can be ex  
75 plained by the inability of the virus to prevent NS1 mediated IFN inhibition. The NS1 p  
76 rotein has been shown to bind to TRIM25 whereby the ubiquitination of the viral RNA  
77 sensor RIG-I is inhibited, which eventually results in the inhibition of IFN induction<sup>14,15</sup>  
78 . NS1 has also been shown to prevent IFN production by sequestering the cellular cle  
79 avage and polyadenylation specificity factor 30 (CPSF30) in order to halt the process  
80 ing of host pre-mRNAs, resulting in accumulation of pre-mRNAs in the nucleus as we  
81 ll as the halt of cellular mRNA export to the cytoplasm<sup>16</sup>. This subsequently results in  
82 the inhibition of host protein production, including IFNs and proteins encoded by IFN i  
83 nducible genes<sup>17,18</sup> NS1 has also been shown to inhibit the antiviral activity of severa  
84 l IFN-stimulated genes, such as the 2'-5'- oligo A synthase (OAS)<sup>19</sup>.

85 Consistent with its function, deletion of NS1 in recombinant IAV results in a live atten  
86 uated and highly immunogenic IAV. As a result, IAV with impaired NS1 function are c  
87 urrently used as vaccines against swine influenza in pigs<sup>20</sup> and they are under clinica  
88 l consideration as live attenuated human influenza virus vaccines<sup>21-23</sup>.

89 Based on the growing body of evidence showing the IFN antagonistic properties of IA  
90 V NS1, we investigated the ability of the  $\Delta$ NS1 viruses to induce an immediate IFN re  
91 sponse *in vivo* along with the biological antiviral consequences mediated by the type I  
92 IFN induction. Our results demonstrate that the  $\Delta$ NS1 virus is an efficient inducer of I  
93 FN with antiviral properties in both mice and embryonated eggs. Our data indicates t  
94 he suitability of  $\Delta$ NS1 virus as a prophylactic agent to induce immediate mucosal anti  
95 viral responses with the aim of preventing acute respiratory infections caused by IFN  
96 sensitive viruses.  $\Delta$ NS1 influenza viruses can provide first innate antiviral protection, f  
97 ollowed by adaptive specific IAV protection.

98 **Results**

99 **Recombinant influenza A virus lacking the NS1 gene ( $\Delta$ NS1) induces higher lev**  
100 **els of interferon than wild type viruses in embryonated chicken eggs.**

101 Previously, we demonstrated that tissue culture-based infections by  $\Delta$ NS1 viruses in  
102 duced the transactivation of an ISRE-containing reporter gene<sup>13</sup>, indicating that infect  
103 ion by  $\Delta$ NS1 viruses induces higher levels of IFN in comparison to its wild type count  
104 erparts. To test whether  $\Delta$ NS1 induces IFN in 10-day old embryonated-chicken eggs,  
105 eggs were treated with  $10^3$  PFU of  $\Delta$ NS1 or PR8-WT influenza viruses. Subsequently  
106 , the allantoic fluids were harvested 18 hours post treatment to measure the levels of  
107 IFN by determining the highest dilution that inhibited the cytopathic effect mediated b  
108 y vesicular stomatitis virus (VSV) in chicken embryo fibroblast (CEF) cells. As indicat  
109 ed in the Supplementary table 1, four hundred Uml<sup>-1</sup> of IFN were detected in the allan  
110 toic fluid of eggs infected by  $\Delta$ NS1 virus. However, allantoic fluids derived from WT-P  
111 R8 or mock infections indicated undetectable levels of IFN (<16 Uml<sup>-1</sup>).

112 **Pre-treatment with  $\Delta$ NS1 influenza virus inhibits wild-type viral replication in e**  
113 **mbryonated chicken eggs.**

114 We speculated that the ability of the  $\Delta$ NS1 virus on inducing high titers of IFN in eggs  
115 facilitates an antiviral state that may prevent the replication of wild-type IAV. To evalu  
116 ate this, increasing amounts of  $\Delta$ NS1 virus were inoculated into eggs and eight hours  
117 post-treatment, the eggs were challenged with wild-type A/WSN/33 (WSN-WT) virus  
118 with a dose of  $10^3$  PFU. Two days post incubation extracted allantoic fluids were titrat  
119 ed via plaque assays. WSN viral titers decreased with  $\Delta$ NS1 in a dose dependent ma  
120 nner. While the untreated allantoic fluids supported the growth of WSN virus to an ap  
121 proximate titer of  $10^8$  PFUml<sup>-1</sup>, administration of a dose as little as  $2 \times 10^4$  PFUml<sup>-1</sup> of  $\Delta$

122 NS1 prevented the replication of WSN virus (less than  $10^2$  PFU $\text{ml}^{-1}$  of WSN were obtained in eggs). The titer of WSN virus was reduced by one log, by pre-treating allantoic fluids with as little as 2 PFU of  $\Delta$ NS1 (Figure 1A).

125 Interestingly, treatment using  $\Delta$ NS1 virus further inhibited the replication of other viruses, as depicted in figure 1B. Relative HA titers were obtained from eggs treated with  $2 \times 10^4$  PFU $\text{ml}^{-1}$  of  $\Delta$ NS1 virus followed by subsequent infection with wild-type Influenza A H1N1 strains WSN and PR8, H3N2 strain X-31, influenza B virus or Sendai virus (SeV; a paramyxovirus). In all cases, pre-treatment with  $\Delta$ NS1 resulted in a two-log reduction of wild-type viral HA titers.

131 **Severe disease and death caused by infection with the highly virulent PR8 viruses (hvPR8) in A2G mice can be alleviated by  $\Delta$ NS1 pre-treatment.**

133 In order to assess whether or not the administration of  $\Delta$ NS1 virus inhibits replication of influenza viruses in mice, an inbred mouse strain that is homozygous for the gene which codes for the IFN induced full-length *Mx1* protein, defined as C57BL/6-A2G (abbreviated as A2G) mice were used for this part of the study<sup>24, 25</sup>. Previous studies have concluded that IFN administration was ineffective in preventing IAV replication in laboratory mice lacking a functional *Mx1* gene<sup>26</sup>. In contrast, A2G mice which were administered IFN remained alive upon infection with the highly virulent hvPR8 IAV strain<sup>27</sup>. The presence of a functional *Mx1* gene in A2G mice better mirrors the human situation, as *Mx1* gene deficiencies in humans are rare. Here, A2G mice were intranasally infected with a dose of  $5 \times 10^5$  PFU $\text{ml}^{-1}$  of  $\Delta$ NS1 virus or PBS at -24, -8, +3, +24 and +48 hours. Mice were challenged at time 0 intranasally with  $5 \times 10^6$  PFU of hvPR8 virus. Mice treated with  $\Delta$ NS1 virus were protected from hvPR8 virus as measured by weight loss and death while the PBS treated mice succumbed to death (Figure 2A).

146 Subsequently, we examined whether all five  $\Delta$ NS1 treatments were essential for the  
147 protective effect against hvPR8 infection in mice. Hence, a single dose of  $5 \times 10^6$  PFU  
148 of  $\Delta$ NS1 virus was given at various time points relative to the infection with hvPR8. D  
149 ata indicated (Figure 2B) that pre-treatment (hours 24 or 8 before hvPR8 challenge) b  
150 ut not post treatment (even 3 hours post hvPR8 challenge) of  $\Delta$ NS1 resulted in the pr  
151 evention of weight loss disease and subsequent death. Additionally,  $\Delta$ NS1 virus adm  
152 inistered two or four days prior to hvPR8 challenge completely protected mice from di  
153 sease (Figure 2C).

154 Next, to obtain the effective dose 50 ( $ED_{50}$ ) of  $\Delta$ NS1 virus to mediate protection again  
155 st disease from hvPR8 infection,  $2 \times 10^5$ ,  $2 \times 10^4$ ,  $2 \times 10^3$  or  $2 \times 10^2$  doses of  $\Delta$ NS1 virus w  
156 ere intranasally administered to A2G mice 24 hours prior to hvPR8 challenge. As sho  
157 wn in Figure 2D, the  $ED_{50}$  of the  $\Delta$ NS1 virus which conferred protection in A2G mice  
158 against hvPR8-induced death was approximately  $10^3$  PFU.

#### 159 **Induction of *Mx1* specific mRNA in mice treated with $\Delta$ NS1 virus.**

160 To investigate whether  $\Delta$ NS1 infection in mice resulted in induction of the *Mx1* gene,  
161 an RT-PCR assay for *Mx1* specific mRNA in infected animal lungs infected was deve  
162 loped. In parallel, infections were performed in BALB/c mice which have a non-functi  
163 onal *Mx1* gene due to a large frameshift deletion<sup>26</sup>. As seen in figure 3A, treatment wi  
164 th  $\Delta$ NS1 resulted in the early induction (24 hours post infection) of *Mx1* specific mRN  
165 A in both A2G and BALB/c mice. In contrast a very faint band was present in A2G mi  
166 ce infected with hvPR8 virus at the same time post infection and no specific mRNA w  
167 as detected in mock infected mRNA.

#### 168 **$\Delta$ NS1 mediated protection from hvPR8 is *Mx1*-mediated.**

169 As the *Mx1* protein is one of the most potent IFN inducible gene products with anti-inf



170 luenza virus activity in mice, it is quite possible that the  $\Delta$ NS1-mediated protection seen  
171 in A2G mice is Mx1-mediated. To test this hypothesis, we compared the antiviral activity  
172 of  $\Delta$ NS1 in A2G mice and in C57BL/6 mice. C57BL/6 mice harbour a non-functional  
173 *Mx1* gene due to a known deletion<sup>26</sup> and were used as a back-cross genetic platform  
174 for the original A2G strain to generate the Mx1 positive A2G mice used in our experiments.  
175 A dose of PR8- $\Delta$ NS1 containing  $5 \times 10^6$  PFU given 12H before a lethal hvPR8  
176 challenge protected all A2G-Mx1 mice (n=5) in both morbidity and mortality in comparison  
177 to the PBS pre-treated group (n=5) (Figures 3B and 3C). However, all five MX1-deficient  
178 mice in the wild-type C57BL/6 group that were given the same dose of PR8- $\Delta$ NS1  
179 succumbed to death by a lethal hvPR8 challenge. The morbidity data for these mice  
180 based on body weight was also consistent with lack of protection after  $\Delta$ NS1  
181 treatment from hvPR8 challenge, indicating that the antiviral effect on IAV induced  
182 in mice by  $\Delta$ NS1 treatment is dependent on the IFN-inducible gene *Mx1* (Figure 3D  
183 and 3E).

#### 184 **$\Delta$ NS1 viral treatment inhibits the replication of hvPR8 virus in A2G mice lungs.**

185 To better understand the ability of the  $\Delta$ NS1 virus to inhibit replication of the hvPR8 virus  
186 in the lungs, A2G mice were intranasally treated with  $2 \times 10^5$  PFU of  $\Delta$ NS1 virus alone,  
187  $2 \times 10^4$  PFU of hvPR8 alone or treatment of  $2 \times 10^5$  PFU of  $\Delta$ NS1 virus 24 hours before  
188 infecting them with  $2 \times 10^4$  PFU of hvPR8 virus. Mice were sacrificed at three- and  
189 six-days post infection and the lung homogenates were titrated in MDCK or Vero cells  
190 (Supplementary table.2). A reduction of hvPR8 titers in lungs by fourfold was observed  
191 when mice were pre-treated with  $\Delta$ NS1 virus. Furthermore, mice solely infected with  
192  $\Delta$ NS1 virus had titers below the detection limit ( $<10$  PFU $\text{ml}^{-1}$ ), while not showing  
193 any significant reduction of bodyweight. It was apparent that infection by hvPR8 virus  
194 without  $\Delta$ NS1 administration resulted in the increase of lung weight by a factor of two

195 or three in comparison to mice that were pre-treated with  $\Delta$ NS1 virus. In the context o  
196 f this study, increased lung weights are suggestive of lymphocytic infiltration and pulm  
197 onary disease during Influenza virus infection<sup>28, 29</sup>.

198 **Attenuated influenza viruses via a mutation in the Neuraminidase (NA) gene do**  
199 **es not confer  $\Delta$ NS1-like antiviral properties.**

200 Antiviral properties observed thus far in this study is from an attenuated influenza viru  
201 s lacking the NS1 gene ( $\Delta$ NS1). To confirm that the protective effects observed here  
202 are not due to the attenuation caused by the lack of a gene but specifically due to the  
203 lack of NS1, the antiviral property of  $\Delta$ NS1 virus was compared to that of a the recom  
204 binant D2 influenza virus. The D2 virus contains a base-pair mutation in the dsRNA r  
205 egion formed by the non-coding sequences of its NA gene. This mutation is responsi  
206 ble for a 10-fold reduction in the NA protein levels as well as a one-log reduction in vi  
207 ral titers within a multicycle growth curve<sup>30</sup>. The latter D2 strain has also been shown  
208 to be highly attenuated in mice with a LD<sub>50</sub> of more than 10<sup>6</sup> PFU upon intranasal ad  
209 ministration<sup>31</sup>. Identical doses (2.5x10<sup>5</sup> PFU) of D2 or  $\Delta$ NS1 viruses were intranasally  
210 administered to A2G mice four hours prior to challenge with 5x10<sup>6</sup> PFU of hvPR8. Alt  
211 hough a prolonged survival was seen in one of the animals who received D2, pre-tre  
212 atment with D2 was ineffective in protecting A2G mice from hvPR98 virus-induced di  
213 sease and death (Figure 4).

214  **$\Delta$ NS1 viral treatment prevents death by Sendai virus (SeV) in C57BL/6 mice**

215 Given the fact, that the antiviral effects against hvPR8 mediated by  $\Delta$ NS1 viral are fa  
216 cilitated by an IFN mediated mechanism (*Mx1* gene induction), we speculated that  $\Delta$   
217 NS1 treatment should protect mice from infections by other IFN sensitive viruses. Se  
218 ndai virus was used in this study due to its pneumotropic nature and sensitivity to IFN

219 in *Mx1* deficient mice<sup>32, 33</sup>. As seen in Figure 1B, treatment with  $\Delta$ NS1 inhibited Sendai  
220 viral replication in embryonated chicken eggs. Moreover, upon two intranasal admini-  
221 strations of  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 virus to C57BL/6 mice at times -24 and +24 hour  
222 s or -8 and +72 hours, mice infected with  $5 \times 10^5$  PFU of Sendai virus were protected f  
223 rom death (Figure 5A). The C57BL/6 mice used here are *Mx1*<sup>-/-</sup> and it is indicative tha  
224 t the mouse nuclear Mx1 protein does not have any antiviral activities against cytopla  
225 smic viruses such as Sendai virus<sup>34</sup>. The efficacy of  $\Delta$ NS1 treatment was compared a  
226 gainst three doses of IFN- $\beta$  using the Sendai virus challenge model. Treatment with t  
227 he highest dose of IFN- $\beta$  ( $2 \times 10^5$  U) protected mice from death induced by Sendai viru  
228 s comparable to treatment with  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 virus (Figure 5B).

229  **$\Delta$ NS1 virus treatment inhibits viral replication of SARS-CoV-2 virus in K18-hAC**  
230 **E2-C57BI/6 murine lungs.**

231 Given the emergence of the devastating COVID-19 pandemic, we assessed whether  
232 prophylactic treatment with  $\Delta$ NS1 would hinder the replication of SARS-CoV-2. We u  
233 sed the transgenic mouse model that supports the replication of SARS-CoV2. As con  
234 trols, we used universal IFN, and SeV defective RNA (SDI) which were previously sh  
235 own to have an IFN inducing effect. Weight determination in all the treated groups sh  
236 owed no major loss in bodyweight, only one mouse each from the SDI treated group (  
237 day 8) and the uIFN treated group (day 12) reached below 75% bodyweight (Figure 6  
238 A). Deaths (4 out of 5) in the mock treated group occurred between days 6-8 post inf  
239 ection. The SDI-RNA treated group lost 2 out of 5 animals on day 8 and 9 while the u  
240 IFN group lost one animal out of 5 at a later time point (D12; Figure 6B). While both tr  
241 eatments resulted in reduction of viral titers day 3 and 5 post infection, mice that rece  
242 ived  $\Delta$ NS1 showed significant inhibition of SARS-CoV2 titers in lung homogenates an  
243 d no detectable infectious viruses at day 5 post infection (Figure 6C).

## 244 Discussion

245 The NS1 protein of the influenza A virus has been shown to possess IFN antagonist  
246 activity whereby it is able to dampen the host innate immune response to provide a fa  
247 vourable environment for the virus to replicate. It has been demonstrated to be highly  
248 expressed in the host cytoplasm and nucleus upon viral infection, interacting with a p  
249 lethora of host factors to inhibit the interferon response<sup>35</sup>. Data show the ability of NS  
250 1 to compete with innate immune sensors such as RLR to bind to dsRNA to avoid inn  
251 ate immune detection<sup>36</sup>. Additionally, NS1 has been shown to interact with other inna  
252 te immune signalling components such as PKR<sup>37</sup>, TRIM25<sup>38</sup> and CPSF<sup>16</sup>, resulting in  
253 lowering of the IFN mediated innate immunity<sup>39</sup>. For these reasons, influenza viruses  
254 with impaired NS1 function (and an increased innate immune response) have been u  
255 nder consideration for live attenuated influenza vaccines. There is an existing swine i  
256 nfluenza vaccine based on NS1-deficient live attenuated viruses<sup>40</sup>, and clinical trials i  
257 n humans using an intranasally administered live attenuated  $\Delta$ NS1 virus have demon  
258 strated potent immunogenicity and good safety profiles. Experimental evidence in mi  
259 ce indicates that the high IFN-inducing properties of  $\Delta$ NS1 viruses are responsible fo  
260 r their superior immunogenicity as live vaccines<sup>41, 42</sup>.

261 As  $\Delta$ NS1 viruses are great IFN inducers, we reasoned that they might provide with in  
262 nate protection against respiratory virus infection even before the development for an  
263 influenza virus specific adaptive immune response. Treatment with  $\Delta$ NS1 virus inhibit  
264 ed the replication of both homologous and heterologous viruses in eggs (Figure.1). U  
265 sing the A2G-Mx1 mouse model, we demonstrated that the intranasal administration  
266 of the  $\Delta$ NS1 virus induced an antiviral state, which prevented disease and death by a  
267 highly pathogenic influenza A virus (hvPR8) which is otherwise lethal<sup>43</sup>. Infection with  
268  $\Delta$ NS1 virus but not WT viruses yielded detectable levels of *Mx1*-specific mRNA level

269 s in lungs 24 hours post infection (Figure 2). A large body of evidence has indicated t  
270 hat the protective impact of IFN against IAV infection in mice is mainly mediated by th  
271 e IFN inducible antiviral *Mx1* gene<sup>44-46</sup>. Consistently, we found that *Mx1* was required  
272 for the  $\Delta$ NS1 mediated protection against lethal hvPR8 challenge by comparing *Mx1*  
273 competent A2G--C57BL/6 mice with *Mx1* deficient WT-C57BL/6 mice.

274 Data depicted in Figure.2C show that pre-treatment of A2G mice with  $\Delta$ NS1 virus up t  
275 o four days before the challenge with hvPR8 virus was effective in preventing diseas  
276 e. The *Mx1* protein in mice is known to be stable for several days upon its induction a  
277 nd our observations are consistent with the half-life of the *Mx1* protein described in m  
278 ice<sup>47, 48</sup>.

279 Given the inherently attenuated state of the  $\Delta$ NS1 viruses, it was necessary to confir  
280 m that the antiviral state seen here is due to the specific attenuation of the  $\Delta$ NS1 seg  
281 ment. We used a virus that is known to be attenuated due to its defective neuraminid  
282 ase segment (D2 virus expressing a full-length NS1)<sup>31</sup> to demonstrate that protection  
283 is not just mediated by any attenuated IAV (Figure.4).  $\Delta$ NS1 treated mice were also p  
284 roTECTED from lethal infection with an influenza-unrelated pneumotropic Sendai virus,  
285 suggesting that the IFN-mediated innate immune response induced by  $\Delta$ NS1 has bro  
286 ad-antiviral effects, rather than being a pathogen-specific immune response. As antic  
287 ipated for Sendai virus, the abovementioned protection was not *Mx1* mediated and is  
288 most likely due to the activation of other ISGs such as OAS or PKR upon the  $\Delta$ NS1-  
289 mediated IFN production<sup>49</sup>.

290 The feasibility of  $\Delta$ NS1 virus as a prophylactic treatment to induce a type I interferon r  
291 esponse to prevent acute respiratory infections from IFN sensitive viruses was demo  
292 nstrated in the current study. Type I interferon administration has been used to treat a

293 range of human diseases ranging from infections such as hepatitis B and C<sup>50, 51</sup> to ot  
294 her non-communicable diseases such as melanomas<sup>52</sup> and hairy-cell leukaemia<sup>53</sup>. Al  
295 though IFN has been promoted as a therapeutic agent, administration of exogenous i  
296 nterferon comes with a set of undesirable side effects<sup>54, 55</sup>, arguably due to its causin  
297 g major endocrine and metabolic changes in the host<sup>56</sup>. Therefore, various groups ha  
298 ve attempted alternative ways to induce local type I IFN responses using different str  
299 ategies. Some of these strategies were topical administration of plasmid DNA coding  
300 for IFN $\alpha$ 1 in the mouse eye to protect against HSV-1 encephalitis<sup>57</sup>, liposomic intrana  
301 sal treatment using dsRNA to induce IFN<sup>58</sup> as well as recombinant viral vectors such  
302 as adenoviruses<sup>59</sup> and hepatitis B viruses to express type I IFN to protect against infe  
303 ction and tumor regression<sup>59</sup>. Despite these experimental attempts to study the effica  
304 cy of IFN, it is still unclear whether virally induced IFN is more or less toxic efficient th  
305 at IFN itself. This indicates that further work is needed to be done to ascertain the sui  
306 tability of recombinant viruses as IFN inducers for therapeutic purposes. The physiolo  
307 gical half-lives and binding affinities of different types of interferons are well studied a  
308 nd their half-lives can range from minutes to several hours, depending on the type of  
309 IFN<sup>60</sup>. Our data showed antiviral properties of  $\Delta$ NS1 virus for up to four days before t  
310 he viral challenge. While it is known that therapeutic properties and doses of different  
311 types of IFNs are highly variable due to their differential effects contributed by the IS  
312 Gs, most therapeutic properties of type I interferons are yet to be completely underst  
313 ood<sup>61, 62</sup>. In this instance, comparable prophylactic responses were obtained by the a  
314 dministration of either  $2 \times 10^5$  U of IFN- $\beta$  or  $2 \times 10^5$  PFU of  $\Delta$ NS1 virus (Figure.5B). How  
315 ever, it is acknowledged that different subsets of IFN-regulated genes may differ in th  
316 eir relative transcriptional induction between treatments.

317 We also demonstrated that prophylactic treatment using  $\Delta$ NS1 significantly inhibited v

318 iral replication in a relevant mouse model that can be infected with WT SARS-CoV-2  
319 and is known to result in lethal infection<sup>63</sup>(Figure 6). This agrees with reports that stat  
320 e that SARS-CoV-2 is sensitive to IFN<sup>64</sup>. Interestingly, a similar level of reduction in v  
321 iral titers was not seen upon intranasal inoculation of universal-IFN nor defective inter  
322 fering RNA derived from SeV (SDE-RNA; a RIG-I agonist with known adjuvanting pro  
323 perties)<sup>65</sup>. While these treatments resulted in a better outcome in comparison to PBS  
324 pre-treatment, high amounts of viral titers were still observed day three and five post i  
325 nfection. Although weight loss and survival were best in the  $\Delta$ NS1 group, the uIFN tre  
326 ated group showed a protective phenotype indicating that uIFN treatment was better t  
327 han that provided by SDI-RNA. The difference observed here is likely due to the stim  
328 ulation of multiple innate immune mechanisms by  $\Delta$ NS1 which potentially primes cell  
329 s to confer a broad antiviral phenotype. However, analysis of differentially expressed  
330 genes (particularly ISGs) via a technique such as bulk RNAseq would provide more i  
331 nsights in explaining the observed protective effects against COVID-19 in the K18 mo  
332 use model.

333 In conclusion, we report that prophylactic treatment with an attenuated influenza A vir  
334 us lacking the NS1 gene induces an innate antiviral response which provides protecti  
335 on against IFN-sensitive viruses in both embryonated chicken eggs and mice. These  
336 *in vivo* data further validate previous observations showing the IFN-antagonistic prop  
337 erties of the NS1 protein of influenza A viruses<sup>13, 66-68</sup>, while highlighting the role of N  
338 S1 in inhibiting IFN induction during influenza A virus infections. We also provide evid  
339 ence for its therapeutic potential as a prophylactic to protect against acute respiratory  
340 infections caused by IFN-sensitive viruses including the causative agent of COVID-1  
341 9 pandemic.  $\Delta$ NS1 viruses are being clinically developed as live attenuated influenza  
342 virus vaccines and in clinical trials they have shown to induce protective antibodies a

343 nd no adverse responses in human volunteers<sup>21-23</sup>. Here we show that  $\Delta$ NS1 viruses  
344 have the potential to induce immediate protection against viral infection prior to the in  
345 duction of specific long-lasting protective adaptive immune responses<sup>69, 70</sup>. Our result  
346 s should encourage further research on the use of IFN-inducing, live attenuated virus  
347 vaccines, to confer innate and adaptive protection against virus pathogens.

## 348 **Methods**

### 349 **Cells and viruses**

350 Recombinant influenza A viruses were generated using reverse genetics as previous  
351 ly described<sup>13, 30</sup>. A derivative of the A/PR/8/34 (PR8) defined as highly virulent PR8 (  
352 hvPR8) was kindly provided by O. Haller and J.L. Schulman. Strain 52 of Sendai viru  
353 s was obtained from the ATCC. Vero cells, Madin-Darby bovine kidney (MDBK) cells,  
354 baby hamster kidney (BHK) cells or embryonated chicken eggs were used to propag  
355 ate the following viruses as per standard protocols; Influenza A  $\Delta$ NS1, hvPR8, PR8,  
356 A/WSN/33, A/X-31/H3N2, Influenza B/Lee/40, Sendai virus and vesicular stomatitis v  
357 irus (VSV). Madin-Darby canine kidney (MDCK) cells or Vero cells were plated to obt  
358 ain confluent monolayers and plaque assays were performed as previously described  
359 and an agar overlay in DMEM-F12 including 1  $\mu$ gml<sup>-1</sup> of trypsin was used. MDCK, cV  
360 ero and BHK cells were cultured in DMEM in the presence of 10% FBS and penicillin  
361 -streptomycin. The chicken embryo fibroblasts (CEF) purchased from ATCC was mai  
362 ntained in MEM as suggested by ATCC. Vero-E6 cells (ATCC® CRL-1586™, clone E  
363 6) were grown in DMEM containing 10% FBS, non-essential amino acids, HEPES an  
364 d penicillin-streptomycin. SARS-CoV-2, isolate USA-WA1/2020 (BEI resources; NR  
365 -52281) was handled under BSL-3 containment in accordance with the biosafety prot  
366 ocols validated by the Icahn School of Medicine at Mount Sinai. Viral stocks were am



367 plified in Vero-E6 cells in the above media containing 2% FBS for three days and wer  
368 e validated by whole-genome sequencing using the Oxford-MinION platform.

### 369 **Animal studies**

370 All animals used in the study were used at 6-10 weeks of age. The Institutional Anim  
371 al Care and Use Committee (IACUC) of the Icahn School of Medicine at Mount Sinai  
372 (ISMMS) reviewed and approved the *in vivo* protocols included in this study. The ani  
373 mal work of this study is in accordance with the ARRIVE guidelines. A2G mice were k  
374 indly provided by Dr. Heinz Arnheiter while the BALB/c and C56BL/6 mice were purc  
375 hased from Taconic Farms. Hemizygous female K18-hACE2 mice on the C57BL/6J g  
376 enetic background (Jax strain 034860), were used to conduct studies with SARS-Co  
377 V-2 in BSL3 conditions. Anesthetized animals (Ketamine and Xylazine diluted in PBS  
378 administered via intraperitoneal injection) were intranasally infected using 30 to 50  $\mu$ l  
379 of appropriately diluted viruses or PBS containing the indicated amounts of recombin  
380 ant murine IFN- $\beta$  (Calbiochem), universal-IFN (PBL assay science) SDI-RNA<sup>65</sup>. After  
381 wards, the animals were monitored daily for changes in body weight. All animal studi  
382 es were done in accordance with the NIH guidelines as well as the guidelines devise  
383 d by the Icahn School of Medicine with regards to the care and use of laboratory anim  
384 als.

### 385 **Measurement of Interferon**

386 Ten day old embryonated eggs were infected with  $10^3$  PFU in 100  $\mu$ l containing either  
387  $\Delta$ NS1, PR8 viruses or PBS as mock. Next, the eggs were incubated at 37°C and the  
388 allantoic fluids were extracted 18 hours post infection. Viral inactivation of the allanto  
389 ic fluids were conducted by dialysis against 0.1 M KCL-HCL buffer at pH 2 for two day  
390 s at 4°C. Later, the pH of the samples was adjusted to pH 7 by subsequent dialysis a

391 against Hank's balanced sodium salt solution with 20 mM  $\text{Na}_3\text{PO}_4$  for two more days a  
392 s described previously<sup>71</sup>. The amount of IFN was titrated according to its ability to inh  
393 ibit the growth of VSV<sup>72</sup>. In summary, CEF cells in 96wells were treated with 100  $\mu\text{l}$  of  
394 different dilutions of the respective samples in tissue culture media. Upon incubating f  
395 or an hour at 37°C, 200 TCID<sub>50</sub> of VSV in 10  $\mu\text{l}$  were added to the wells before incuba  
396 ting at 37°C until complete lysis of untreated control cells was observed (approximate  
397 ly two days). As a standard control, recombinant chicken IFN donated by Drs. Peter S  
398 taeheli and Bernd Kaspers was used<sup>73</sup>.

### 399 **Lung Titration**

400 Four A2G mice were intranasally challenged with  $2 \times 10^5$  PFU of  $\Delta\text{NS1}$  at day -1. Duri  
401 ng day 0 mice were intranasally challenged with  $2 \times 10^4$  PFU of hvPR8 virus. Alternativ  
402 ely, two other groups of four A2G mice were challenged with  $2 \times 10^5$  PFU  $\Delta\text{NS1}$  or  $2 \times 1$   
403  $0^4$  PFU of hvPR8. Three days post infection, two animals from each group were hum  
404 anely sacrificed while the rest of the animals were humanely sacrificed six days post i  
405 nfection. Lungs were weighed and homogenized in 2 ml of PBS. Resulting homogen  
406 ates were clarified via centrifugation at 3000 rpm for 15 minutes at 4°C and the acqui  
407 red supernatants were tittered by plaque assays using MDCK or Vero cells. Lung ho  
408 mogenates derived from SARS-CoV-2 infected K18 mice were handled and titered in  
409 Vero-E6 cells as described previously<sup>74</sup>.

### 410 **Detection of *MX1* Specific mRNA in infected cells**

411 A2G and BALB/c mice were intranasally challenged with  $10^5$  PFU of either  $\Delta\text{NS1}$  or h  
412 vPR8 or PBS. Afterwards, lungs were extracted 24 hours post infection, snap frozen,  
413 homogenized, total RNA was extracted using TRIreagent (Sigma-Alderich). One micr  
414 ogram of total lung RNA was used to perform a RT reaction in a total volume of 20  $\mu\text{l}$

415 using *Mx1* specific primer. Two µl of the resulting RT product was used for PCR amplification using *Mx1* specific primers under the following conditions (20 seconds at 95°C, 30 seconds at 55°C, 30 seconds at 72°C for a total of 25 cycles). The sense and antisense primer sequences are as follows; 5'-CAGGACATCCAAGAGCAGCTGAGCC TCACT-3' and 5'-GCAGTAGACAATCTGTTCCATCTGGAAGTG-3'. The PCR products were analysed using a 1.2% agarose gel. Correct size for the PCR products in A2G mice was 756 bp while it was 333 bp in BALB/c mice due to a deletion in the *Mx1* gene between nucleotides 1120-1543<sup>31</sup>.

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631 **Author contributions**

632 AG-S, PP, RR, MS and TM conceived the project. RR, MS, HZ, TK , IM and SJ cond  
633 ucted experiments while MS, RR analysed the data and wrote the manuscript.

634

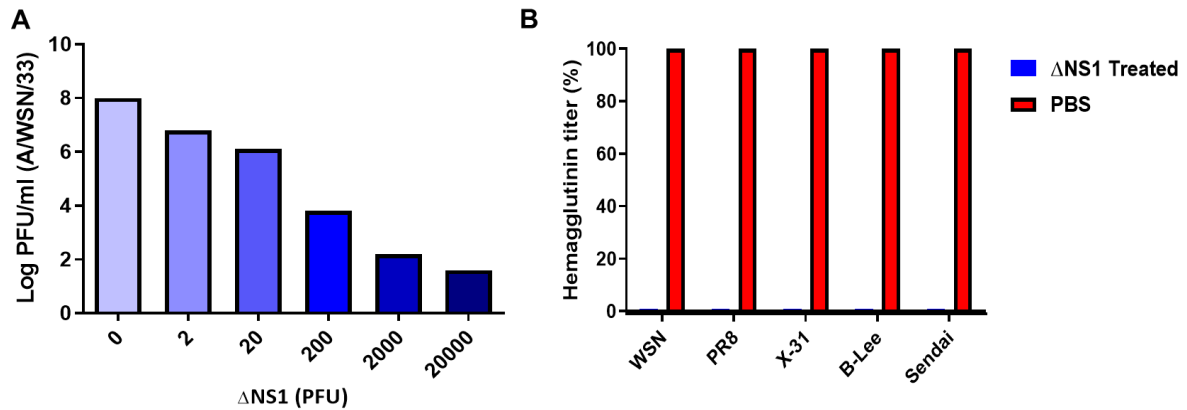
635 **Conflicts of interest.**

636 AG-S and PP are inventors in patents owned by the Icahn School of Medicine and lic  
637 ensed to Vivaldi Biosciences concerning the use of NS1 deficient viruses as human v  
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641 Dynavax, Kenall Manufacturing, ImmunityBio and Nanocomposix; and A.G.-S. has co  
642 nsulting agreements for the following companies involving cash and/or stock: Vivaldi  
643 Biosciences, Pagoda, Contrafect, Vaxalto, Accurius, 7Hills. The rest of the authors h  
644 ave no conflicts to declare.

645 Pharma, Avimex, Esperovax and Farmak.

646

647 **Figures and figure legends**



648

649 **Figure 1. Pre-incubation with ΔNS1 virus inhibits viral replication in embryonated**

650 **ed chicken eggs. (A)** 10-day-old embryonated chicken eggs (n=2 per group) were in

651 oculated with varying amounts of (PFU) of ΔNS1 virus in the allantoic cavity. Eight ho

652 urs post infection at 37°C, eggs were re-infected with 10<sup>4</sup> PFU of WT A/WSN/33 influ

653 enza virus and incubated at 37°C for 40 hours. Allantoic fluids were then titrated by p

654 laque assay MDBK cells. **(B)** 10-day-old embryonated chicken eggs (n=2 per group)

655 were inoculated with 2x10<sup>4</sup> PFU of ΔNS1 virus or PBS (Untreated). 8 hours post inoc

656 ulation at 37°C, the eggs were re-infected with 10<sup>3</sup> PFU of A/WSN/33 (WSN/H1N1), A

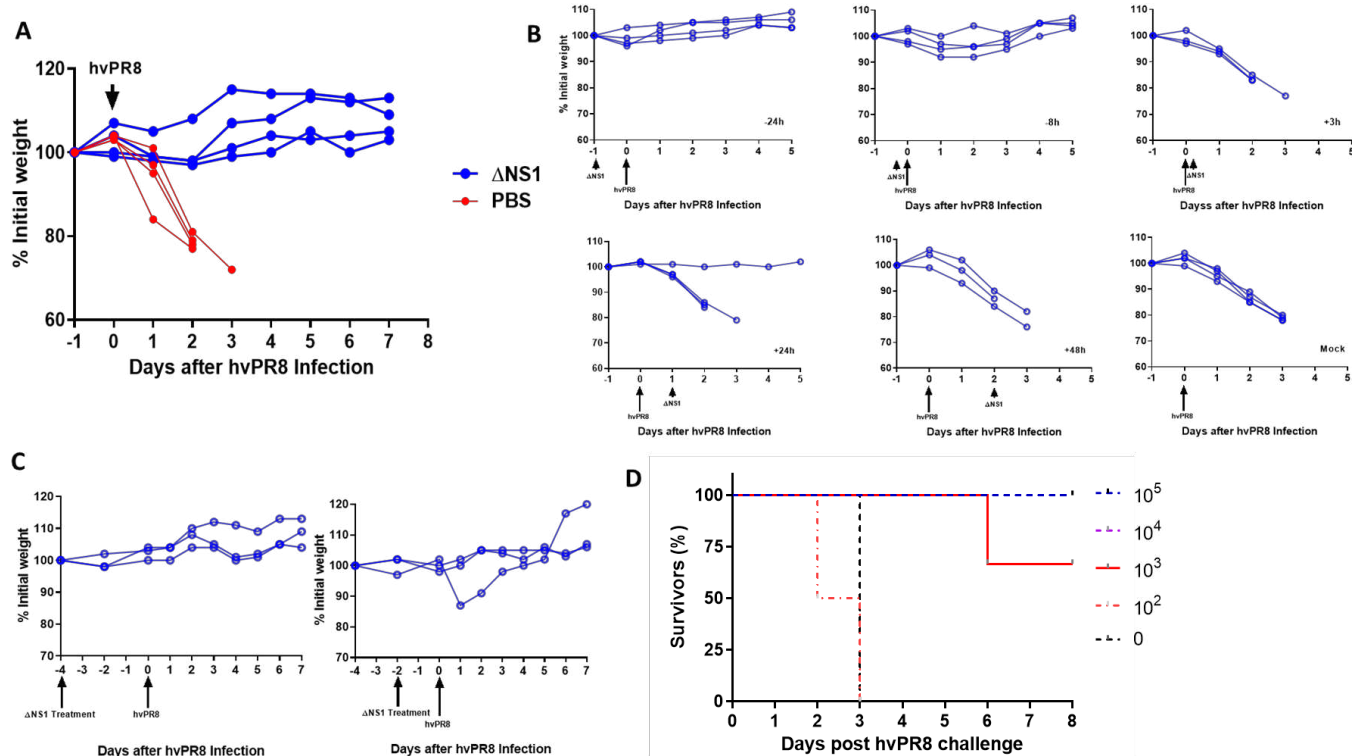
657 /PR/8 (PR8/H1N1), A/X-31 (X-31/H3N2), B/Lee/40 (B-Lee influenza B) or Sendai Viru

658 s (Sendai). B-Lee infected eggs were incubated at 35°C for additional 40 h. All other

659 eggs were incubated at 37°C for additional 40 h. Virus present in the allantoic fluid w

660 as titrated by hemagglutination assays. Maximum hemagglutination titers (100%) for

661 each individual virus were 2048 (PR8), 1024 (X-31), 256 (B-Lee), 512 (Sendai)



662

663 **Figure 2. A single dose of  $\Delta$ NS1 virus protects A2G mice against lethal infection**  
 664 **by highly virulent hvPR8 influenza virus when given prior to virus challenge. (**

665 **A) Treatment with  $\Delta$ NS1 virus protects A2G mice against lethal infection by highly**

666 **virulent hvPR8 influenza virus. Eight 6-week old A2G mice were intranasally in**

667 **fectured with  $5 \times 10^6$  PFU of highly virulent A/PR/8/34 (hvPR8) influenza virus. Half of the**

668 **mice received a total of five intranasal treatments with  $5 \times 10^5$  PFU of  $\Delta$ NS1 virus at the**

669 **following times with respect to the hvPR8 infection: -24 h, -8 h, +3 h, +24 h and 48**

670 **h. The remaining four mice were treated with PBS and the bodyweight changes and survival**

671 **was monitored. (B) A single dose of  $\Delta$ NS1 virus protects A2G mice against**

672 **lethal infection by highly virulent hvPR8 influenza virus when given prior to hv**

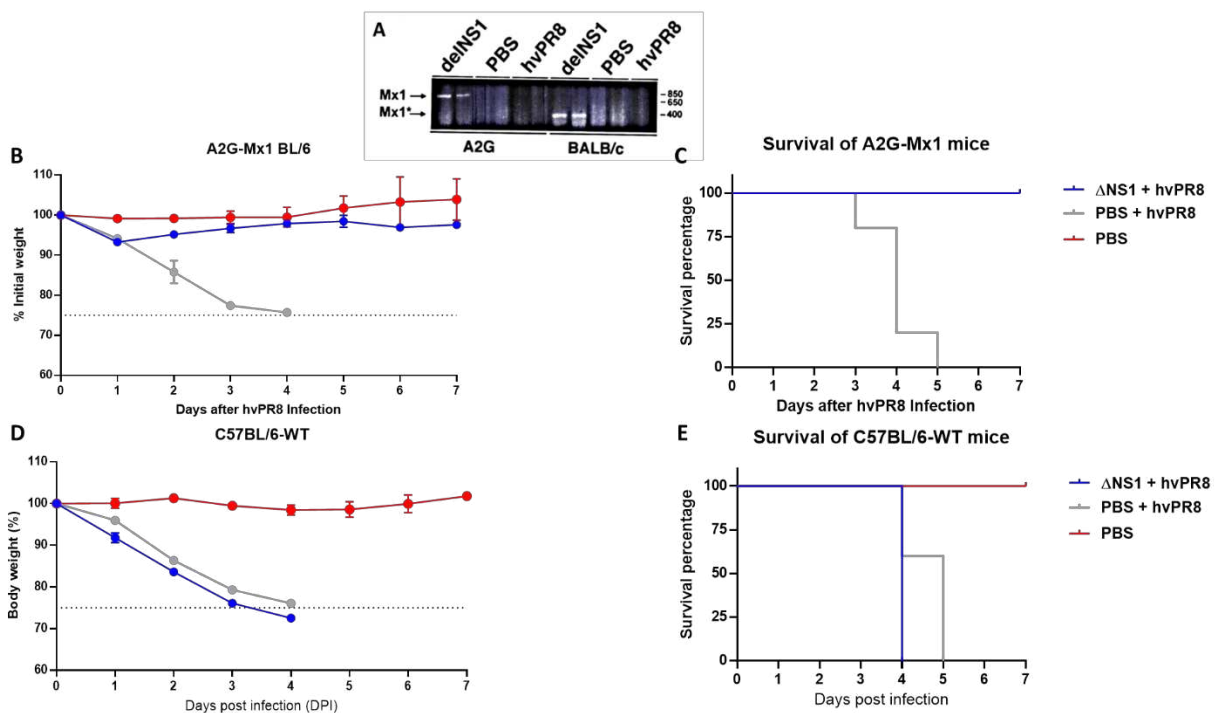
673 **PR8 virus challenge. Groups of three A2G mice each were mock-treated or treated**

674 **intranasally with  $5 \times 10^5$  PFU of  $\Delta$ NS1 at time points -24 h, -8 h, +3h, +24h, +48h relative**

675 **to the intranasal infection by  $5 \times 10^6$  hvPR8 influenza virus. (C) A single dose of**

676  **$\Delta$ NS1 virus protects A2G mice against lethal infection by highly virulent hvPR8**

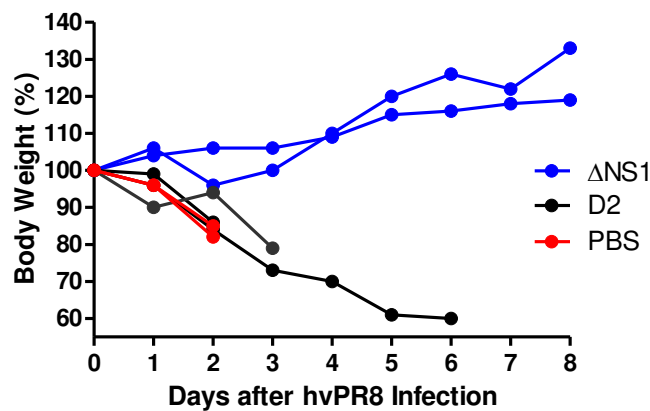
677 **influenza virus when given two and four days prior to hvPR8 virus administrati**  
 678 **on** Groups of three A2G mice were intranasally treated with  $5 \times 10^5$  PFU of  $\Delta$ NS1 viru  
 679 s four days or two days before infection by  $5 \times 10^6$  hvPR8 influenza virus. Bodyweight  
 680 changes and survival was monitored. All data points are from individual mice. **(D) Det**  
 681 **ermination of the minimal effective therapeutic dose of  $\Delta$ NS1 to prevent lethal h**  
 682 **vPR8 virus infection in A2G mice.** Groups of three A2G mice were intranasally infe  
 683 cted with  $10^5$ ,  $10^4$  or  $10^3$  PFU  $\Delta$ NS1 influenza virus. Additionally, groups of two A2G  
 684 mice were intranasally challenged with  $10^2$  of  $\Delta$ NS1 virus or PBS. 24 hours post inoc  
 685 ulation, mice were challenged with by  $5 \times 10^6$  hvPR8 influenza virus. The percentage o  
 686 f mice surviving the challenge is represented.



687

688 **Figure 3. Dose dependent pre-treatment of  $\Delta$ NS1 protects A2G-Mx1 mice but n**  
 689 **ot wild-type C57BL/6 from a lethal hvPR8 virus challenge. (A) Induction of *Mx1* s**  
 690 **pecific mRNA expression in  $\Delta$ NS1 virus infected mice.** Groups of two A2G or BA  
 691 LB/c mice were intranasally treated with PBS or  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 hvPR8 influen  
 692 za viruses. 24 hours post challenge, total RNA present in lung tissues were extracted

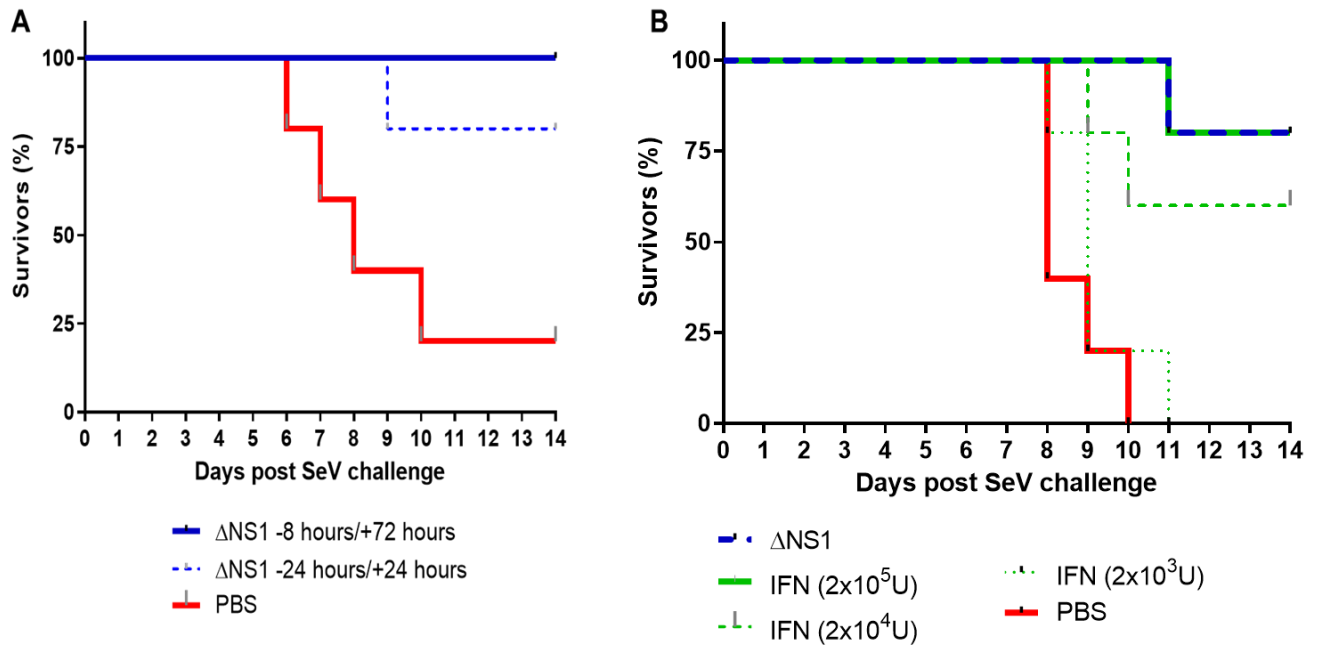
693 and were used for RT-PCR reactions using *Mx1* specific primers. PCR products were  
694 run in an agarose gel; the arrows indicate the predicted size of amplified cDNA from  
695 *Mx1* genes of A2G mice (*Mx1*) and BALB/c mice (*Mx1*\*). **(B,C,D,E)** Sex matched 6 we  
696 eks old groups C57BL/6-A2G-*Mx1* mice or C57BL/6-wild-type mice were either intran  
697 asally pre-treated with PR8- $\Delta$ NS1 ( $5 \times 10^6$  PFU;  $n=5$  per group), sterile PBS ( $n=5$ ) 12 h  
698 ours before a lethal challenge of hvPR8 ( $5 \times 10^5$  PFU;  $n=5$ ) or treated with only sterile  
699 PBS ( $n=2$ ). **(B)** Morbidity of C57BL/6-A2G-*Mx1* mice. **(C)**. Survival of C57BL/6-A2G-M  
700 x1 mice. **(D)**. Morbidity of C57BL/6-wild-type mice. **(E)**. Survival of C57BL/6-6-wild-type  
701 mice.



702

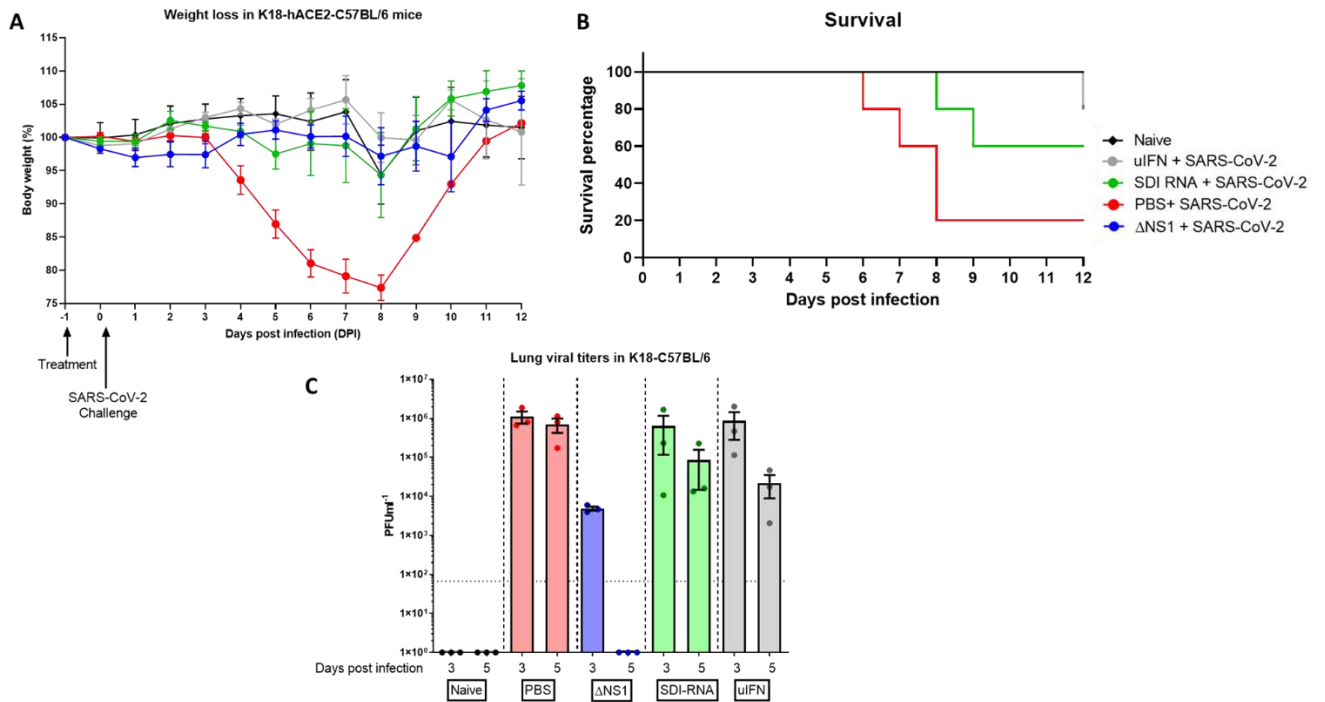
703 **Figure 4. Comparison of the antiviral properties in A2G mice of recombinant inf**  
704 **luenza A viruses  $\Delta$ NS1 and D2.** A2G mice were intranasally treated with PBS or  $2.5$   
705  $\times 10^5$  PFU of  $\Delta$ NS1 or D2 viruses for 24 hours before infection with  $5 \times 10^6$  PFU of hvP  
706 R8 influenza virus. Bodyweight changes and survival were monitored. Data shown ar  
707 e from individual mice.

708



709

710 **Figure 5. Treatment with ΔNS1 influenza virus protects C57BL/6 mice against le**  
 711 **thal infection with Sendai virus.** All mice were challenged intranasally with a lethal  
 712 dose of Sendai virus corresponding to **(A)** 5x10<sup>5</sup> PFU or **(B)** 1.5x10<sup>5</sup> PFU. The percen  
 713 tage of mice surviving the challenge is represented. **(A)** Groups of five mice were tre  
 714 ated intranasally with 2.5x10<sup>5</sup> PFU of ΔNS1 virus at the indicated times. **(B)** Groups o  
 715 f five mice were intranasally treated at -24h and +24h with respect to the infection wit  
 716 h Sendai virus with 2.5x10<sup>5</sup> PFU of ΔNS1 or with the indicated amounts of IFN-β.



717

718 **Figure 6. Treatment with  $\Delta$ NS1 influenza virus inhibits viral replication in the**

719 **lungs of K18-hACE2 mice challenged with SARS-CoV-2.** Mice were intranasally treated

720 with 30  $\mu$ l containing PBS,  $2.5 \times 10^6$  PFU of  $\Delta$ NS1, 1  $\mu$ g defective interfering RNA from

721 Sendai virus (SDI-RNA),  $2.5 \times 10^5$  U of universal-interferon (uIFN) 24 hours before

722 intranasal challenge with  $10^4$  PFU of SARS-CoV-2/USA/WA1 isolate. **(A)** weight-loss

723 was monitored in mice ( $n=11$  for treated groups and  $n=6$  naïve) and **(B)** survival was

724 monitored for 12 days. **(C)** Lungs were harvested at days three and five post infection

725 ( $n=3$  per group per day) were homogenized and were titered in Vero-E6 cells using

726 standard plaque assays.

727

## Supplementary Files

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