# Pd-Catalyzed Asymmetric N-Allylation of Amino Acid Esters with Exceptional Levels of Catalyst Control: Stereo-Divergent Synthesis of ProM-15 and Related Bicyclic Dipeptide Mimetics 

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#### Abstract

A general and powerful method for the stereocontrolled Pd-catalyzed N-allylation of amino acid esters is reported, as a previously largely unsolved synthetic challenge. Employing a new class of tartaric acid-derived $C_{2}{ }^{-}$ symmetric chiral diphosphane ligands the developed asymmetric amination protocol allows the conversion of various amino acid esters to the N -allylated products with highest levels of enantio- or diastereoselectivity in a fully catalyst-controlled fashion and predictable configuration. Remarkably, the in situ generated catalysts also exhibit outstanding levels of activity (ligand acceleration). The usefulness of the method was demonstrated in the stereo-divergent synthesis of a set of new conformationally defined dipeptide mimetics, which represent new modular building blocks for the development of peptide-inspired bioactive compounds.


In the course of our research into the inhibition of PPII helixmediated protein-protein interactions, we had designed and synthesized proline-derived modules, such as ProM-1 ${ }^{[1]}$ and ProM-2. ${ }^{[2]}$ This enabled us to develop a powerful inhibitor of the ena/VASP EVH1 domains involved in cell migration and chemotaxis (Figure 1). ${ }^{[3]}$

More recently, modeling studies suggested that compounds of type 1 (including ProM-15, formally derived from ProM-1 by opening the eastern proline ring) would represent promising building blocks for a new generation of EVH1 inhibitors, due to an enhanced flexibility of the C-terminus in combination
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Figure 1. Proline-derived modules ProM-1 and ProM-2 and their combined appearance in a synthetic small-molecule EVH-1 inhibitor.


Scheme 1. Design and retrosynthetic analysis of ProM-15 and related dipeptide analogues exploiting asymmetric N -allylation of amino acid esters as a key step.
with the option to address additional lipophilic or polar interaction sites at the protein surface by means of the substituent $R^{\prime}$ (Scheme 1).

Following our established strategy, we intended to assemble such compounds from the known 3-vinylproline derivative 2 (Zaminer's acid) ${ }^{[4]}$ and an allylamine 3 through peptide coupling and subsequent ring-closing metathesis. Building blocks of type 3 in turn could be prepared by stereo-controlled Pdcatalyzed N -allylation of an amino acid ester 5 by using a racemic carbonate of type rac-4 (Scheme 1). The Pd-catalyzed asymmetric allylic substitution, that is, Tsuji-Trost reaction proceeding via pseudo-symmetric (meso-type) $\pi$-allyl-Pd intermediates carrying chiral ligands, has been intensively studied. ${ }^{[5]}$ However, while a number of useful protocols exist for Pd-catalyzed ${ }^{[6]}$ (and Ir-catalyzed ${ }^{[7]}$ ) enantioselective allylic aminations, we were surprised to learn that only few (and little convincing) examples have been reported for the asymmetric N -allylation of amino acid esters, despite them representing a well-accessible and highly relevant class of N -nucleophiles. ${ }^{[7 c, 8-10]}$ Therefore, we were challenged to develop an efficient methodology for such reactions, which we disclose herein.

Having ProM-15 $\left(\mathrm{R}=\mathrm{Et} ; \mathrm{R}^{\prime}=\mathrm{H}\right)$ as a target structure in mind, we commenced our study by investigating the N -allylation of

Table 1. Optimizing the asymmetric N -allylation of 5 a . ${ }^{\text {[a] }}$

|  |  | $\mathrm{H}_{2} \mathrm{~N} \simeq \mathrm{CO}_{2} \mathrm{tBu}$ <br> 5a | $\xrightarrow[\text { THF }]{\substack{\text { cat. }[\mathrm{PdCl}(\text { ally } 1)]_{2} \\ \text { ligand }}}$ |  |  <br> (R)-3a |  | $+(S)-3 \mathrm{a}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Entry | Ligand | $\mathrm{Pd} / \mathrm{L}$ [mol\%] | Conc. ${ }^{[b]}$ <br> [м] | $\begin{aligned} & T \\ & {\left[{ }^{\circ} \mathrm{C}\right]} \end{aligned}$ | $t$ <br> [h] | Conv. ${ }^{[c]}$ <br> [\%] | $\begin{aligned} & \text { e.r. }{ }^{[d]} \\ & {[S / R]} \end{aligned}$ |
| 1 | dppe | 2.5:6 | 10 | RT | 5.5 | 100 | - |
| 2 | L1 | 2.5:6 | 10 | RT | 5 | 100 | 27:73 |
| 3 | L1 | 2.5:6 | 10 | 0 | 22 | 100 | 19:81 |
| 4 | L1 | 2.5:6 | 10 | -10 | 20 | 75 | 17:83 |
| 5 | L2 | 2.5:6 | 10 | 0 | 22 | 91 | 73:27 |
| 6 | L3 | 2.5:6 | 10 | 0 | 2.5 | 100 | 90:10 |
| 7 | L4 | 2.5:6 | 10 | 0 | 2.5 | 100 | 94:6 |
| 8 | L5 | 2.5:6 | 10 | 0 | 2.5 | 100 | 91:9 |
| 9 | L6 | 2.5:6 | 10 | 0 | 2.5 | 100 | 96:4 |
| 10 | L7 | 2.5:6 | 10 | 0 | 2.5 | 100 | 96:4 |
| 11 | L8 | 2.5:6 | 10 | 0 | 2.5 | 100 | 90:10 |
| 12 | L4 | 1:2.4 | 10 | -10 | 2.5 | 100 | 96:4 |
| 13 | L4 | 0.5:1.2 | 10 | -10 | 5 | 100 | 96:4 |
| 14 | L6 | 1:2.4 | 10 | -10 | 2.5 | 100 | 97:3 |
| 15 | L7 | 1:2.4 | 10 | -10 | 2.5 | 100 | 97:3 |
| 16 | L6 | 1:2.4 | 5 | -10 | 2.5 | 100 | 96:4 |
| 17 | L6 | 1:2.4 | 2.5 | -10 | 2.5 | 100 | 98:2 |
| 18 | L6 | 1:2.4 | 1.25 | -10 | 5 | 100 | 98:2 |
| 19 | L1 | 1:2.4 | 2.5 | -10 | 22 | 5 | - |

[a] Reactions were performed on a 1 mmol scale by using 5 a (2 equiv). [b] Concentration of rac-4a. [c] Conversion was determined by means of GC. [d] Enantiomeric ratio was determined by means of GC using a chiral stationary phase; configurational assignments are based on the X-ray crystal structure analysis of the ProM-15 derivative 7a; dppe $=1,2$-bis(diphenylphosphino)ethane.
tert-butyl glycinate ( $\mathbf{5 a}$ ) employing the racemic carbonate rac4a (Table 1). Initial experiments using dppe as a ligand under the conditions of Williams ${ }^{[10 a]}$ unexpectedly led to the formation of carbamate products. ${ }^{[11]}$ However, this phenomenon could successfully be suppressed by increasing the concentration to $10 \mathrm{molL}^{-1}$. In this case, we observed a complete and clean conversion of rac-4a after 5.5 hours at room temperature, and the product rac-3 a was isolated in $78 \%$ yield. This material was used as a racemic reference sample to establish reliable conditions for the enantiomeric analysis by means of GC by using a chiral stationary phase. As a most prominent chiral ligand, we first tested the commercial Trost ligand L1 (Figure 2). ${ }^{[12]}$ However, the enantioselectivity was unsatisfactory (e.r. $\leq 83: 17$ ) even upon lowering the temperature to $-10^{\circ} \mathrm{C}$ (entries 2-4). After screening a variety of other chiral ligands (see Table SI-1 in the Supporting Information), we were pleased to find that some of the $C_{2}$-symmetric chiral diphosphine li-


L1 (Trost Ligand)


L2: $\mathrm{R}=\mathrm{H} \quad$ L5: $\mathrm{R}=\mathrm{Ph}$
L3: $\mathrm{R}=\mathrm{Me} \mathrm{L6}: \mathrm{R}=\mathrm{Pr}$
L4: $\mathrm{R}=\mathrm{Et} \quad$ L7: $\mathrm{R}=n \mathrm{Pr}$


L8

Figure 2. Chiral ligands used in this study (see Table 1).
gands L2-L8, recently developed in our laboratory, ${ }^{[13]}$ gave superior results (Figure 2).

In particular, ligands L6 and L7 gave respectable initial enantioselectivities of $96: 4$ e.r. at $0^{\circ} \mathrm{C}$ (Table 1, entries 9 and 10). Remarkably, these ligands also exhibited a substantial ligand acceleration allowing the reactions to rapidly take place also at lower temperatures and catalyst loadings (entries 12 to 18). Under optimized conditions by using only $1 \mathrm{~mol} \%$ of [PdCl(allyl) $]_{2}$ as a pre-catalyst and $2.4 \mathrm{~mol} \%$ of ligand L 6 , the reaction proceeded smoothly at $-10^{\circ} \mathrm{C}$ within only 2.5 hours to afford (S)-3 a with high enantiomeric purity (98:2 e.r.) in $83 \%$ isolated yield (entry 17).

To probe the applicability of the developed protocol, the carbonate rac-4a was reacted with a set of different (L)-amino acid esters (S)-5 employing L6 as a chiral ligand. The results summarized in Scheme 2 show that outstanding stereoselectivities and isolated yields were observed in all cases, independent of the amino acid sidechain and the nature of the ester group. Even methyl prolinate, as a secondary amine, was N -allylated with high diastereoselectivity.


Scheme 2. Asymmetric N -allylation of different L-amino acid esters employing the chiral catalyst L6. Diastereoselectivity values based on NMR of the crude product.

Using the enantiomeric ligand ent-L6, the same set of (L)amino acid esters $(S)-5$ was reacted with rac-4a under the standard conditions (Scheme 3). And again, the products were obtained with exceedingly high stereoselectivity ( $\geq 98: 2$ in most cases). Actually, the minor diastereomer could often not even be detected in the crude product mixture or was chromatographically easily separated off to yield the analytically pure stereoisomers in all cases. The results summarized in Schemes 2 and Scheme 3 demonstrate the outstanding level of catalyst control with only marginal matched/mismatched effects. ${ }^{[14]}$
Having successfully elaborated a reliable and broadly applicable protocol for the ligand-controlled asymmetric N -allylation of various amino acid esters, we turned our attention back to the original goal, that is, the synthesis of the ProM-15 derivative 7 a and related bicyclic dipeptides (compare Scheme 1). Not completely unexpected, coupling of the 3 -vinylproline


Scheme 3. Asymmetric $N$-allylation of different L-amino acid esters employing the chiral catalyst ent-L6. Diastereoselectivity values based on NMR of the crude product.
building block 2 with the secondary amine 3 a proved to be very difficult and could not be achieved employing common peptide coupling reagents (such as HATU or PyBOP) ${ }^{[15]}$ due to steric reasons. However, after considerable experimentation (Table SI-3 in the Supporting Information), we found that the desired transformation could be efficiently achieved by using Ghosez's reagent ( 8$)^{[16]}$ to convert the acid 2 into the corresponding acid chloride prior to addition of the amine 3a (98:2 e.r.) and DIPEA as a base (Scheme 4). The metathesis cyclization of the resulting dipeptide 6a was then best achieved using the Hoveyda-Grubbs II catalyst (9) ${ }^{[17]}$ to give stereochemically pure 7 a as a crystalline product in $70 \%$ overall yield from 2.


Scheme 4. Synthesis of the ProM-15 derivative 7a under optimized conditions.

By proving the structure of 7a through X-ray crystallography (Figure 3, left), we could unambiguously confirm the absolute configuration of the glycine derivative 3 a prepared by enantioselective N -allylation (compare Table 1).
The power of the modular concept was further demonstrated in the stereo-divergent synthesis of all four diastereomers of the phenylalanine-derived ProM-15 analogues of type $\mathbf{7 b}$, in this case employing the enantiomeric proline building block ent-2 $\mathbf{b}$ (Scheme 5). Starting from either (R)- or (S)-phenylalanine methyl ester ( $\mathbf{5} \mathbf{b}$ ), the stereochemically pure amines 3 e were obtained by asymmetric N -allylation and chromatography. Peptide coupling with ent-2 $\mathbf{b}$ and subsequent ring-closing


Figure 3. Structure of Boc-[ProM-15]-OtBu (7a; left) and the corresponding alanine-derived compound 7 c (right) in the crystalline state. ${ }^{[18]}$


Scheme 5. Stereo-divergent synthesis of all four diastereomers of $7 \mathbf{b}$ derived from the 3 -vinylproline building block ent- 2 under standard conditions and their structure in the crystalline state. ${ }^{[18]}$ Reagents and conditions: a) rac-4 a, $[\mathrm{PdCl}(\mathrm{allyl})]_{2}(1.0 \mathrm{~mol} \%), \mathrm{L} 6$ or ent-L6 $(2.4 \mathrm{~mol} \%), \mathrm{THF},-10^{\circ} \mathrm{C}, 22 \mathrm{~h}$, purification by chromatography; b) ent-2, Ghosez reagent, $0^{\circ} \mathrm{C}$, DIPEA; c) HoveydaGrubbs II ( $6 \mathrm{~mol} \%$ ), $\mathrm{C}_{6} \mathrm{~F}_{6}, 70^{\circ} \mathrm{C}, 24 \mathrm{~h}$.
metathesis under the developed conditions then afforded the diastereomeric dipeptide modules $7 \mathbf{b}$. Noteworthy, the following ring-closing metathesis step proceeded much slower in the case of the ( $S, R, R, S$ )-diastereomer indicating an unfavorable conformational preorganization of this substrate. In all cases, the bicyclic target products were obtained as crystalline compounds. X-ray crystallographic analysis confirmed their configuration and revealed the individual conformational preferences of all four diastereomers (Scheme 5).

In a related fashion, the alanine-derived ProM-15 analogue 7 c was prepared and characterized by X-ray crystallography to further prove the generality of the concept and to confirm the absolute configuration of the N -allylated alanine derivative $\mathbf{3 c}$ (Scheme 6 and Figure 3, right). In this case, we started from the racemic acid building block rac-2 and exploited the facile chromatographic separation of the diastereomeric coupling products to obtain 6c as a single stereoisomer, which again smoothly cyclized to give $\mathbf{7 c}$.
Finally, the chiral substituted $\varepsilon$-caprolactam derivatives 11 a and $\mathbf{e}$ were prepared in high yield from the amine building blocks $(R)-3$ a and $(R, S)-3 \mathbf{e}$, respectively, and 4-pentenoic acid (10; Scheme 7).


Scheme 6. Synthesis of the ProM-15 derivative 7c starting from rac-2.


Scheme 7. Synthesis of configurationally defined $\varepsilon$-caprolactam derivatives 11 a and e from 4-pentenoic acid (10). ${ }^{[18]}$

The absolute configuration and the conformational preferences of the tetrahydroazepin-2-one products ( $\varepsilon$-lactams) 11a and e were determined once again by X-ray crystallography.
In conclusion, we have developed a first reliable and general protocol for the asymmetric Pd-catalyzed N -allylation of amino acid esters. The in situ generated catalysts, derived from a previously unrecognized class of readily accessible $C_{2}$-symmetric diphosphane ligands, were found to exhibit high activities and outstanding levels of enantio- or diastereocontrol giving the products with predictable (catalyst-controlled) configuration. The value of the method was demonstrated in the stereo-divergent synthesis of ProM-15 and related cyclic, proline-derived dipeptide mimetics, which represent attractive building blocks for the development of modular peptide-inspired bioactive molecules with a defined three-dimensional structure. Current investigations in our laboratory are focused on the further exploration of the developed N -allylation protocol with respect to mechanistic aspects, the evaluation of the substrate scope and applications in natural-product synthesis. Moreover, we are currently expanding our ProM toolbox ${ }^{[1-3,19]}$ with further ProM-15-related compounds, which are then incorporated as modules into PPII-helix secondary structure mimetics acting as inhibitors of disease-relevant protein-interactions.

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## Conflict of interest

The authors declare no conflict of interest.

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