Update on the Pathogenesis of Keloid Formation

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Keloids are abnormal skin growths occurring in a significant portion of the global population. Despite their pervasiveness, the underlying pathophysiology of this scarring process is yet to be fully understood. In this review article, we delve into the current literature on the pathophysiological mechanisms of keloids. We take a top-down approach, first looking at host factors such as genetics and endocrine factors and then taking a more granular approach describing specific control factors such as germline keloid predisposition variants, epigenetics and transcriptomics, inflammatory and immune dysregulation, and the role of profibrotic and angiogenic cell signaling pathways. We then discuss current knowledge gaps, propose further research avenues, and explore potential future treatment options considering our increased understanding of keloid pathogenesis.

Keywords: Keloid, Pathophysiology, Scar tissue

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INTRODUCTION

Keloids are chronic, often debilitating, cutaneous fibroproliferative growths that affect a sizeable global population ([Limandjaja et al, 2020;](#page-9-0) [Tan et al, 2019\)](#page-10-0). Although keloids are like hypertrophic scars (HTSs) in progression and appearance, keloids differ in their ability to outgrow the initial wound margin at poorly regulated rates. Both keloids ([Bijlard et al, 2017\)](#page-9-1) and HTSs [\(Butzelaar et al, 2015](#page-9-2)) can cause pain and pruritus and can negatively impact the patient's QOL beyond the debilitating physical and cosmetic concerns ([Bijlard et al, 2017](#page-9-1)). In terms of natural progression,

Abbreviations: 5-hmC, 5-hydroxymethylcytosine; ACE, angiotensin-converting enzyme; Ang II, angiotensin II; AT1, angiotensin type 1; AT2, angiotensin type 2; ATP, adenosine triphosphate; ceRNA, competing endogenous RNA; circRNA, circular RNA; ECM, extracellular matrix; EPC, endothelial progenitor cell; GEP, gene expression profile; HAT, histone acetyltransferase; HTS, hypertrophic scar; lncRNA, long noncoding RNA; LOD, logarithm of the odds; ME, mobile element; miRNA, microRNA; MMP9, matrix metalloproteinase 9; ncRNA, noncoding RNA; OMIM, Online Mendelian Inheritance in Man; RAS, renin-angiotensin system; RSTS, Rubinstein-Taybi syndrome; scRNAseq, single-cell RNA sequencing; siRNA, small interfering RNA; SNV, single nucleotide variation; STAT, signal transducer and activator of transcription; TV3, transcriptional variant 3; VDR, vitamin D receptor

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HTSs harbor a greater capacity to spontaneously resolve over time than keloids, which often require surgical, laser, or steroid injections for improvement. [\(Butzelaar et al, 2015\)](#page-9-2).

Clinically, keloids are firm nodules or plaques of varying sizes with colors ranging from red to white to brown/black. The collagen fibers in keloids are abnormally thick and densely packed. Unlike in normal scars, where collagen fibers are aligned in a parallel fashion, in keloids, these fibers are often arranged haphazardly ([Macarak et al, 2021\)](#page-10-1). This disorganized arrangement contributes to the firm, rubbery texture of keloid scars. Keloids also exhibit an overabundance of extracellular matrix (ECM) components, including types I and III collagen, elastin, fibronectin, and proteoglycans, along with a greater number of blood vessels than normal scars, which reflects their tendency to appear redder or more purple than the surrounding skin. Keloids may also contain inflammatory cells such as lymphocytes and macrophages ([Ehrlich et al, 1994\)](#page-9-3).

A myriad of host and environmental factors have been etiologically linked to keloidal formation ([Figure 1\)](#page-1-0) ([Huang](#page-9-4) [and Ogawa, 2020;](#page-9-4) [Ogawa et al, 2012](#page-10-2); [Tulandi et al, 2011](#page-10-3); [Wang et al, 2019](#page-10-4); [Young et al, 2014](#page-11-0)). This review article aims to provide a broad overview of the pathophysiological mechanisms underlying keloid development. We take a topdown approach, first looking at biological host factors, including patient genetics and endocrine contributions. We also delineate some recent updates in epigenetics and transcriptomics, inflammatory and immune system regulation, and the role of profibrotic and angiogenic cell signaling pathways. Finally, we discuss current knowledge gaps and propose future opportunities.

GENETICS OF KELOID PREDISPOSITION

Familial studies

One of the first comprehensive studies on the inheritance of keloids was conducted by [Marneros et al \(2001\)](#page-10-5). Ten African American families, along with 1 White, 1 Japanese, and 1 Afro-Caribbean ($n = 341$ individuals) families with keloid, were analyzed and found to exhibit an autosomal dominant mode of inheritance with incomplete penetrance. The expression of the keloid phenotype was also highly variable, with some individuals having small earlobe keloids and others having much larger keloids involving other parts of the body ([Marneros et al, 2001\)](#page-10-5).

Subsequent studies attempted to identify the genes responsible for the observed mode of inheritance. [Marneros](#page-10-5) [et al \(2001\)](#page-10-5) analyzed genome-wide linkage in 1 Japanese and 1 African American family. The researchers found evidence of linkage to chromosome 2q23 (2-point maximum logarithm of the odds [LOD] score of 3.01) for the Japanese family and 7p11 (2-point maximum LOD score of 3.16) for the African American family. They hypothesized that the gene TNFAIP6, which encodes TNFAIP6, is contained within the locus identified for the Japanese family. Another marker was

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Genetics

epigenetics, and inflammation. The genetics section illustrates the interaction of genes and mobile genetic elements within a genome. The endocrine system section shows the role of angiotensin, VitD R, and ARs. The growth signaling section summarizes the interaction between the Jak-STAT, TGFB, and HIF-1 α pathways. This section also illustrates the mechanism of VEGF in the production of vasculature and the role of NOTCH1, 2, and 3. The epigenetics section shows the interaction of multiple epigenetics components, including histone modification, DNA methylation, miRNAs, lncRNAs, and circRNAs. The inflammation section illustrates the role of IL-6, IL-37, IL-13, and macrophages M1 and M2. This image was prepared with BioRender. ACE, angiotensin-converting enzyme; AR, androgen receptor; AT1R, angiotensin type 1 receptor; AT2R, angiotensin type 2 receptor; circRNA, circular RNA; ECM, extracellular matrix; EPC, endothelial progenitor cell; lncRNA, long noncoding RNA; miRNA, microRNA; RTST, Rubinstein-Taybi syndrome; STAT, signal transducer and activator of transcription; VitD R, vitamin D receptor.

identified for the African American family, linked to the gene EGFR, which codes for EGFR ([Marneros et al, 2004\)](#page-10-6).

Keloids can also occur as a clinical feature in a few congenital disorders, with Rubinstein-Taybi syndrome (RSTS) and Goeminne syndrome being the most prominent ([van de Kar et al, 2014\)](#page-10-7). In a clinical study of RSTS, [van de](#page-10-7) [Kar et al \(2014\)](#page-10-7) described a 24% rate of keloid formation among patients with RSTS with a mean age of onset of 11.9 years. RSTS is primarily associated with germline sequence variants in 2 genes: CREBBP and EP300. These genes encode histone acetyltransferases (HATs), which play crucial roles in transcriptional coactivation by modifying chromatin structure and facilitating gene expression [\(Milani et al, 2015](#page-10-8); [Roelfsema and Peters, 2007\)](#page-10-9). Variations in these genes lead to alterations in the epigenetic regulation of gene expression, impacting various developmental pathways and contributing to the phenotype of RSTS. Goeminne syndrome is very rare and is characterized by pronounced keloid development, congenital torticollis, nevi, and varicosities that emerge in early puberty. Other rare syndromes (www.omim.org) associated with keloid development include Warburg-Cinotti syndrome (Online Mendelian Inheritance in Man [OMIM] number 618175; alteration in DDR gene); frontometaphyseal dysplasia 2 (OMIM number 617137; alteration in MAP3K7 gene); lateral meningocele syndrome (OMIM number 130720; alteration in NOTCH3 gene); premature aging syndrome, Penttinen type (OMIM number 601812; alteration in PDGFRB gene); and cardiac valvular dysplasia, X linked (OMIM number 314400; alteration in FLNA gene).

Single nucleotide variation-based association studies

Further genetic studies have identified additional candidate loci. Keloids appear to conform to an oligogenic rather than monogenic inheritance pattern, with multiple genes affecting different groups ([Liu et al, 2022\)](#page-9-5). A review by [Liu et al \(2022\)](#page-9-5) compiled the primary known genes associated with keloids across multiple genetic studies. A GWAS by [Nakashima et al](#page-10-10) [\(2010\)](#page-10-10) found 4 susceptibility loci in the Japanese population. The strongest associated single nucleotide variation (SNV) was rs873549 on chromosome 1q41. Two other SNVs were identified in chromosome 3q22: rs11511412 (including FOXL2) and rs8032158. The fourth identified loci, rs8032158, was located within NEDD4 on chromosome 15q23.3 ([Nakashima et al, 2010](#page-10-10)).

This study was later replicated in the Chinese Han population by [Zhu et al \(2023\),](#page-11-1) who also found associations between keloid formation rs1442440 (also on chromosome 1q41) and rs2271289 (also within NEDD4 on 15.21.3). The Chinese Han population has been one of the most thoroughly analyzed, with subsequent studies finding multiple other genes associated with keloid development. A GWAS performed by [Teng et al \(2015\)](#page-10-11) found an association with SIRT3 (s181924090 on 11p15.5), MYH8 (rs1813178644 on 6p25.3), and HUS1B (rs1813178644 on 6p25.3). An improved multiple ligase detection reaction performed by [Liu](#page-9-6) [et al \(2021\)](#page-9-6) found an association between leptin receptor gene (LEPR) sequence variants and keloid development at SNVs rs1137101, rs1938496, and rs7555955.

Candidate SNV analyses have also been performed. A PCR-restriction fragment length polymorphism analysis by [Han et al \(2014\)](#page-9-7) found an association between the gene ADAM33 (rs612709 on 20q13) and keloid scar development. Furthermore, a PCR-sequence-specific primer performed by [Lu et al \(2008\)](#page-10-12) found associations with specific HLA-DQA1 and HLA-DQB1 haplotypes and certain types of keloid development ([Lu et al, 2008](#page-10-12)).

Studies on other ethnicities have identified different sets of genes associated with keloid development. A study performed by Velez Edwards (2014) on African American patients found an association between myosin genes MYO1E (rs747722 and rs28394564) and MYO7A (rs35641839) and keloids. The researchers also validated the association between the NEDD4 gene (rs138585173) and keloid development in this population [\(Velez Edwards et al, 2014](#page-10-13)). Another study performed by [Santos-Cortez et al \(2017\)](#page-10-14) on a large Nigerian Yoruba family found an association between the acid ceramidase gene ASAH1 on 8q23.3-p21.3 and keloid development.

Studies performed on White patients have also found significant associations between HLA-DRB1*15 [\(Brown et al,](#page-9-8) [2008;](#page-9-8) [Shih and Bayat, 2012\)](#page-10-15) and HLA-DRB5 and keloid development ([Shih and Bayat, 2012](#page-10-15)). Furthermore, another study by [Farag et al \(2020\)](#page-9-9) found a positive association between the NEDD4 gene (rs8032158 on 15q21.3) and keloid development in Egyptian patients.

The NEDD4 gene appears to play a vital function in keloid development, given its relationship to keloid development across multiple ethnic groups. NEDD4 is a ubiquitin ligase that regulates ubiquitin-mediated protein degradation [\(Chung](#page-9-10) [et al, 2011;](#page-9-10) [Yang and Kumar, 2010\)](#page-11-2). A study by [Chung et al](#page-9-10) [\(2011\)](#page-9-10) found that NEDD4 is induced by T-cell factor/ β -catenin transcriptional activity, and upregulated production of fibronectin and type 1 collagen leads to excessive ECM deposition ([Chung et al, 2011\)](#page-9-10). Of note, β -catenin is more highly expressed in keloids than in normal skin ([Roh et al,](#page-10-16) [2017\)](#page-10-16). [Fujita et al \(2019\)](#page-9-11) has also found that NEDD4, particularly transcriptional variant 3 (TV3), is crucial for NFkB activation in vivo and in vitro. NF-kB activation has been shown to play a role in chronic inflammation of keratinocytes, fibroblasts, and endothelial cells. The investigators found that patients with keloid have a higher expression of NEDD4-TV3 than control patients, leading to increased NFkB activation. They hypothesized that NEDD4-TV3 modulates the activation NF-kB through ubiquitination of an adaptor protein RIP, which is essential for $TNF-\alpha$ -induced phosphorylation of NF-kB [\(Fujita et al, 2019](#page-9-11)).

More recent research has looked at the contributions of mobile elements (MEs) in gene diversification and regulation. MEs, also known as jumping genes, are discrete fragments of DNA capable of moving within genomes akin to a cut-andpaste function ([Cordaux and Batzer, 2009](#page-9-12)). [Kojima et al](#page-9-13) [\(2023\)](#page-9-13) developed a tool that utilizes whole-genome sequencing to genotype ME variants. Using this new tool, they found that insertion of ME L1 (LINE-1) in an intron of NEDD4 (ie, L1-NEDD4) was associated with the development of keloids and fasciitis ([Kojima et al, 2023](#page-9-13)).

ENDOCRINE SYSTEMS AND KELOID FORMATION

Renin-angiotensin system

Growing evidence suggests a role for the renin-angiotensin system (RAS) in the fibrotic processes observed across various organ systems. Key elements of the RAS, including angiotensin II (Ang II), angiotensin type 1 (AT1), and angiotensin type 2 (AT2) receptors, along with angiotensinconverting enzyme (ACE), are localized within the dermal tissue, operating independently of systemic RAS activities. AT1 receptors facilitate fibrogenesis and scar formation, whereas AT2 receptors mitigate the fibrotic effects mediated through AT1 receptor activation. The profibrotic influence of Ang II, mainly through AT1 receptor stimulation, is implicated in cutaneous scar development, notably through the upregulation of proinflammatory mediators such as IL-6; angiogenic factors such as VEGF; and fibrogenic factors, including TGF- β 1 and connective tissue GF. Concurrently, Ang II appears to downregulate antifibrotic agents, namely the tissue inhibitors of metalloproteinases. Both AT1 and AT2 receptors are differentially expressed depending on the stage of fibrosis. Studies have shown a spike in AT1 receptor expression during the inflammatory phase (with slower concurrent upregulation of AT2). This upregulation is followed by a simultaneous downregulation of both AT1 and AT2 receptors in the proliferative phase. Finally, AT2 receptors are then quickly upregulated in the remodeling phase [\(Silva et al,](#page-10-17) [2020\)](#page-10-17). Initial clinical trials have begun to elucidate the therapeutic potential of targeting the ACE/Ang II/AT1 receptor pathway in managing HTSs and keloids (reviewed in ref [Hedayatyanfard et al \[2020\]](#page-9-14)).

Sex hormones

[Noishiki et al \(2019\)](#page-10-18) reported that Japanese females are more likely to develop keloids than Japanese males at all ages; however, this was particularly skewed toward younger females (before age 15 years) with a female:male ratio of 2.7:1 ([Noishiki et al, 2019](#page-10-18)). There was no difference in age of onset between the sexes. However, the disease occurred during puberty for both sexes. [Ford et al \(1983\)](#page-9-15) analyzed 6 keloid samples, the surrounding skin tissue, and 6 nonkeloidal scars and found that keloids exhibited significantly elevated androgen binding levels, ranging from 510 to 1149 femtomoles per milligram of cytosolic protein. Conversely, estrogen and progesterone binding levels were minimal. Adjacent nonkeloidal tissue displayed androgen-binding capacities between 177 and 476 femtomoles per milligram of cytosolic protein, with similarly low estrogen and progesterone activities. Nonkeloidal scar tissue expressed markedly lower androgen-binding levels $(37-60)$ femtomoles per milligram of cytosolic protein), with estrogen and progesterone bindings at nearly undetectable levels. This evidence suggests a potential role for localized hyperandrogenism in the etiology or progression of keloid formation [\(Ford et al, 1983](#page-9-15)). Interestingly, finasteride, an androgen blocker, can decrease pruritus and the texture of chest keloids [\(Yang et al, 2022\)](#page-11-3). Therefore, androgens may serve as a driving force in the development of keloids.

Vitamin D

Vitamin D has also been hypothesized to play a pivotal role in the regulation of cellular proliferation and differentiation, notably attenuating tissue fibrosis progression mediated by keloid fibroblasts and impeding collagen production in dermal fibrosis ([Akoh and Orlow, 2020](#page-8-0)). [Mamdouh et al](#page-10-19) [\(2022\)](#page-10-19) performed weekly intralesional injections of vitamin D (0.2 ml [200,000 IU] per cm²) in 40 Egyptian patients with keloid scars and found a statistically significant reduction in Vancouver Scar Scale scores after treatment ($P \leq .001$).

It is known that vitamin D synthesis can be attenuated by darker skin pigmentation and that keloid formation is more common among individuals possessing darker skin pigmentation, such as African Americans. Beyond its classical function in calcium homeostasis, vitamin D is also integral to cellular proliferation, differentiation, oncogenesis, inflammation, and fibrotic responses. Vitamin D's biological effect is mediated through the vitamin D receptor (VDR), a constituent of the steroid nuclear receptor family, which, upon ligand binding, operates as a transcription factor essential for the ligand-dependent modulation of gene expression. Using immunohistochemistry, [Hahn and Supp \(2017\)](#page-9-16) assessed the expression and nuclear localization of VDR within keloid lesions ($n = 24$) and corresponding normal skin biopsies ($n =$ 24). They found diminished VDR protein levels in most keloid lesions and a notably reduced proportion of epidermal cells with nuclear localization of VDR in keloid tissue relative to that in normal skin. Intriguingly, a comparative analysis of VDR-positive nuclei across various normal skin samples indicated a significant decrease in nuclear localization within the epidermis of donors with darker skin tones compared with that in those with lighter skin. These findings propose a potential involvement of VDR in keloid pathogenesis and suggest its contributory role in the heightened keloid scar susceptibility among individuals with darkly pigmented skin [\(Hahn and Supp, 2017](#page-9-16)).

PROGRESS IN THE EPIGENETICS AND TRANSCRIPTOMICS OF KELOIDOGENESIS Methylation and histone modification

DNA methylation and hydroxymethylation are crucial epigenetic mechanisms that preserve the distinct cellular identity and the functional integrity of various tissues. During DNA methylation, DNA methyltransferases catalyze the conversion of cytosine to 5-methylcytosine, which can be further processed into 5-hydroxymethylcytosine (5-hmC) by the action of TET (ten-eleven translocation) enzymes $(TET1-3)$ through the incorporation of a hydroxyl group. The presence of 5-hmC across various normal tissues and cell types underscores its significance as an epigenetic mark that regulates fibroblast plasticity. Extant research has elucidated the role of DNA methylation dynamics in the differentiation processes of myofibroblasts and fibroblasts implicated in keloid and scar formation, indicating its potential influence on pathological fibrosis. [Liu et al \(2019\)](#page-10-20) recently reported a decreased level of 5-hmC in human scar fibroblasts, indicating a decrease in methylation and an upregulation in gene expression, which could lead to increased fibroblast proliferation.

Two aberrant methylation patterns have been identified in proliferating and malignant cells: gene-specific hypermethylation and genome-wide hypomethylation. Genespecific hypermethylation involves the accumulation of methylation at CpG islands within the promoter regions of genes, typically resulting in diminished gene expression. Conversely, genome-wide hypomethylation, predominantly affecting repetitive DNA sequences such as long interspersed nuclear elements and short interspersed nuclear elements (also known as Alu in primates), has been observed across various cancer cell lines and primary tumors. Genome-wide hypomethylation leads to chromosomal instability and an elevated incidence of DNA strand breaks, despite the usual association of DNA methylation with transcriptional repression. [Jones et al \(2015\)](#page-9-17) analyzed 6 keloids with matching normal skin samples and found that of 685 genes differentially expressed in keloids, 510 genes were hypomethylated, whereas 175 genes were hypermethylated ($n = 190$ CpGs [28%] in promoter and $n = 495$ CpGs [72%] in nonpromoter regions). The predominance of hypomethylation in keloids suggests that methylation and transcriptional deregulation could also participate in the formation of keloids. As has been observed in other tumors [\(Almeida et al, 1993;](#page-8-1) [Rodriguez](#page-10-21) [et al, 2006](#page-10-21)), increased levels of hypomethylation likely predispose keloidal genomes to higher levels of DNA strand breaks and chromosomal instability. However, studies on this relationship in keloids have yet to be performed.

The rearrangement of genes through chromatin restructuring and histone modification can also contribute to keloid proliferation. Histone deacetyltransferases decrease keloid proliferation by removing the acetyl group, thereby allowing the chromatin to wrap tightly around the histone and decreasing the expression of cells that activate the production of fibroblasts. Conversely, HATs add an acetyl group and have the opposite effect on gene expression, accelerating the development of fibroblasts [\(Stevenson et al, 2021](#page-10-22)). [Fitzgerald](#page-9-18) [O'Connor et al \(2012\)](#page-9-18) discovered that owing to the overproduction of HDAC2s in keloids, CUDC-907, an inhibitor of histone deacetylases, effectively decreased cell proliferation, ECM deposition, and collagen accumulation.

Noncoding RNA

Noncoding RNAs (ncRNAs) have also been found to play a significant role in keloid epigenetics. ncRNAs are not translated into protein but can regulate gene expression at the transcriptional and post-transcriptional levels. About 98% of genomic DNA is transcribed as ncRNAs, including micro-RNAs (miRNA), long noncoding RNAs (lncRNAs), and circular RNAs (circRNAs) [\(Lv et al, 2020](#page-10-23)).

miRNAs are the smallest type of ncRNA (around 22 nucleotides in length) and are highly conserved evolutionarily ([Lee et al, 2020](#page-9-19)). miRNAs are the most extensively characterized ncRNA in the context of keloids ([Lv et al, 2020\)](#page-10-23). Upregulated keloid miRNAs include miR-152-3p, miR-v21/ miR-21-5p, miRNA-31, and miR-181a. On the other hand, downregulated miRNAs include miR-1-3p, miR-4417, miR-152-5p, miR-203, miR-141-3p, miR-29a, miR-214-5p, miR-188-5p, miR-637, miR-1224-5p, miR-205-5p, miR-200b, and miR-196a. The confluence of these miRNAs plays a major role in regulating signaling pathways involved in keloid pathogenesis, such as phosphoinositide 3-kinase/protein kinase B and $TGF- β , by interfering with their corresponding$ RNA products, thereby preventing their translation into bio-logically active proteins [\(Lv et al, 2020](#page-10-23)).

lncRNAs are ncRNA molecules over 200 nucleotides long and regulate gene expression ([Su and Han, 2024](#page-10-24)). Cis-acting lncRNAs localize near their transcriptional origins, impacting the expression and chromatin configurations of proximal genes on the identical allele. In contrast, trans-acting lncRNAs dissociate from their sites of transcription to exert regulatory effects on gene expression, protein functionality, and RNA dynamics at distant sites. lncRNAs mediate regulatory functions across transcriptional, translational, and post-translational stages through interactions with proteins, RNA, and DNA. lncRNAs modulate gene transcription through alterations in histone modifications and chromatin architecture, recruit transcription factors or repression of target gene promoters to modulate gene expression, and function as molecular decoys that sequester transcriptionassociated proteins, thus inhibiting their interaction with DNA targets. lncRNAs also contribute to post-transcriptional and translational regulation, notably serving as competing endogenous RNAs (ceRNAs) that bind miRNAs to counteract miRNA-induced gene silencing. In addition, lncRNAs act as precursors or templates for the synthesis of certain small RNAs and are involved in the alternative splicing of mRNAs. At the post-translational level, lncRNAs influence protein localization and transport by binding to specific proteins ([He](#page-9-20) [et al, 2020](#page-9-20)). In the context of keloids, mechanistically significant upregulated lncRNAs include lncRNA-H19, lncRNAHOXA11-AS, lncRNA- CAS1, and lncRNA-ATB. These lncRNAs interact with genes involved in keloid cell differentiation and proliferation, cell cycle and apoptosis, deposition of the ECM, and induction of Wnt pathways ([Lv](#page-10-23) [et al, 2020\)](#page-10-23).

circRNAs are a newly identified type of RNA composed of closed loops of RNA [\(Lv et al, 2020](#page-10-23)). Their lack of 3' and 5' ends renders greater stability than their linear RNA counterparts. circRNAs serve as ceRNAs, akin to sponges that interact with miRNAs and prevent their action [\(Yu et al,](#page-11-4) [2022\)](#page-11-4). circRNAs relevant to keloids include the downregulation of cricRNA_0008259 and circCOL3A1-859267. Current research indicates that these circRNAs are involved in regulation of type I collagen gene expression [\(Lv et al,](#page-10-23) [2020\)](#page-10-23). However, research in this novel field is still ongoing.

Gene expression profiling of keloids

Using a cDNA microarray of 8400 genes, [Chen et al \(2003\)](#page-9-21) compared the gene expression profiles (GEPs) of 3 keloid and 3 normal specimens and found that 4.79% (2.98% upregulated and 1.81% downregulated in keloids) exhibited differential expression. Since then, many GEP studies have been performed to elucidate programmatic differences between normal skin and scars or keloids. Using the Affymetrix GeneChip, which contains sequences from 38,500 human genes, [Smith et al \(2008\)](#page-10-25) profiled cultures from 5 keloid fibroblasts and 5 normal skin fibroblasts. There were 175 and 559 genes that were upregulated and downregulated, respectively, in keloid fibroblasts. The authors noted altered expression in multiple genes within the Wnt pathway ([Smith](#page-10-25) [et al, 2008\)](#page-10-25).

[Kang et al \(2020\)](#page-9-22) profiled 49,372 transcripts in 5 keloid and 5 normal skin fibroblast lines and found 1406 genes (2.85%) that exhibited >2 -fold changes in gene expression (673 upregulated and 733 downregulated in keloid cells). Pathway analysis revealed top transcriptional footprints in TGFB1 (z-score = 2.88, $P = 9.66E-61$) and HIF1A (z-score = 2.02, $P = 1.05E-19$) pathways. They subsequently showed increased HIF1A protein expression in keloid specimens compared with that in normal skin and a significant correlation between HIF1A and COL1A1 mRNA levels ($q = 5.67E-$ 45) across >800 cell lines. Most interestingly, functional studies indicated that keloid cells grown in 1% oxygen demonstrated increases in both intracellular and extracellular COL1A protein compared with cells grown in 20% oxygen. Furthermore, HIF1A inhibitor CAY10585 abrogated this increase ([Kang et al, 2020](#page-9-22)). These results suggest a direct link between ambient hypoxia and neocollagenesis.

Most recently, [Zhu et al \(2023\)](#page-11-1) performed bulk RNA sequencing to profile the transcriptome of 60 skin-punch biopsies of lesional keloid skin and nonlesional skin. The authors found that keloidal tissue demonstrated strong upregulation of pathways associated with collagen formation, ECM remodeling, IFN- γ response, immune cells, and neural system development markers [\(Zhu et al, 2023](#page-11-1)). Among the most prominent keloid-associated genes was COL2A1, which encodes the pro-alpha1(II) chain for type II collagen. Although COL2A1 is more typically expressed in osseous tissue, aberrant expression of this gene may account for some of the keloidal phenotype. The most precise molecular profiling of keloid tissue was performed by [Deng et al \(2021\)](#page-9-23) using single-cell RNA sequencing (scRNAseq). A total of 40,655 cells from dermal keloid and normal scar tissue were used to obtain molecular phenotyping of resident cell types. Emerging evidence suggests that there are 4 subpopulations of human dermal fibroblasts: secretory reticular, mesenchymal, proinflammatory, and secretory papillary. scRNAseq analysis of 3 keloid specimens revealed that keloids were enriched for the mesenchymal subpopulation ([Figure 2](#page-5-0)) compared with normal scars, whereas they were diminished in the fraction of proinflammatory, secretory reticular, and secretory papillary subtypes. Mesenchymal fibroblasts appear to express more osteoblast differentiation genes (eg, POSTN, COMP, COL11A1, COL12A1, and COL5A2), suggesting that an imbalance in fibroblast subpopulations could be 1 critical factor in the formation of keloids.

INFLAMMATORY CYTOKINES AND KELOID FORMATION Systemic cytokines

Systemic inflammation can also modulate keloid development. The inflammatory circuitry includes cytokines, chemokines, and the complement system. Of these, ILs, IFNs, and TGF play critical roles in the pathogenesis of keloid [\(Huang and Ogawa,](#page-9-4) [2020](#page-9-4)).

IL-6 (also known as IFN2) is a proinflammatory cytokine that plays a key role in keloid development ([Huang and](#page-9-4) [Ogawa, 2020\)](#page-9-4). In areas of active inflammation, IL-6 is generated, leading to transcription of downstream inflammatory elements through IL-6RA [\(Abdu Allah et al, 2019](#page-8-2); [McLoughlin et al, 2004\)](#page-10-26). [McCauley et al \(1992\)](#page-10-27) noticed increased blood levels of IL-6 in 12 patients with keloids and 8 healthy controls, all of whom were African American. A study by Allah et al (2019) found significantly elevated serum levels of IL-6 among 60 Egyptian patients with keloid compared with those in 30 age- and sex-matched normal controls. Moreover, patients homozygous for a specific IL6 gene sequence variant exhibited a 4-fold increased risk of keloid formation. This upregulation of IL-6 has also been observed in Japanese patients [\(Quong et al, 2017](#page-10-28)). It is theorized that the persistent inflammation seen in keloids may be due to an autocrine loop of IL-6 signaling that leads to downstream activation of pathways such as Jak/signal transducer and activator of transcription (STAT)3 or MAPK/extracellular signal regulated kinase, resulting in fibroblastic cell proliferation and matrix synthesis [\(Ghazizadeh et al, 2007](#page-9-24)).

IL-37 is a cytokine first characterized in 2000 that belongs to the IL-1 family and acts as an inhibitor of inflammatory responses ([Nold et al, 2010\)](#page-10-29). A study by [Nold et al \(2010\)](#page-10-29) found that inhibition of IL-37 with small interfering RNA

Figure 2. Cellular composition of keloids and normal scars. (a) Deconvolution of cell types by scRNAseq (adapted from [Figure 1](#page-1-0) in [Deng et al \[2021\]\)](#page-9-23). (b) scRNAseq of normal scars versus keloid scars show amplification of mesenchymal fibroblast subpopulation among all fibroblasts (adapted from [Figure 2](#page-5-0) in [Deng](#page-9-23) [et al \[2021\]](#page-9-23)). ENDO, endothelial cell, FIB, fibroblast; IMM, immune cell; KC, keratinocyte; LYME, lymphatic endothelial cell; MELA, melanocyte; NEU, neural cell; scRNAseq, single-cell RNA sequencing; SMC, smooth muscle cell; SWGC, sweat gland cell; UMAP, Uniform Manifold Approximation and Projection.

(siRNA) correlated with a dose-dependent increase in IL-6 ([Nold et al, 2010\)](#page-10-29). Furthermore, a study by [Khattab and](#page-9-25) [Samir \(2020\)](#page-9-25) in patients with keloid found a negative association between levels of plasma IL-37 and keloid severity in a cohort of 32 patients from Egypt. This association was independent of keloid and age, sex, duration of lesions, and family history [\(Khattab and Samir, 2020](#page-9-25)). Unlike IL-6, studies examining differences in IL37 gene sequence variants between patients with keloid and controls have yet to be carried out. Therefore, we can only speculate that genetic susceptibility to decreased systemic IL-37 levels may play a role in greater keloid susceptibility.

Tissue cytokines

Tissue cytokines implicated in keloid formation include IL-22 and IFN- α , - β , and - γ . IL-22 has been implicated in scarring and keloid formation [\(Zaharie et al, 2022\)](#page-11-5). A study found higher in situ mRNA levels of IL22 in keloid tissues than in regular scars [\(da Cunha Colombo Tiveron et al, 2018\)](#page-9-26). IFNs partially suppress the proliferation of fibroblasts and decrease the production of the ECM ([Huang and Ogawa, 2020\)](#page-9-4). Among patients with keloid patients, [McCauley et al \(1992\)](#page-10-27) found higher levels of $INF-\beta$ production by PBMCs and lower levels of IFN- α and - γ production.

T helper 2 cytokines may also play a crucial role in the development of scars and keloids, particularly through the action of IL-4 and IL-13 as profibrotic mediators. A study found that IL-13 is a potent stimulator and activator of $TGF\beta1$ in vivo, suggesting its significant role in mediating tissue fibrosis. This fibrogenic effect of IL-13 is mediated through a mechanism that involves plasmin/serine protease- and MMP9-dependent pathways but is independent of CD44. The study further demonstrated that the fibrogenic effects of IL-13 are primarily mediated by this $TGF\beta$ pathway, highlighting IL-13's central role in fibrosis ([Lee et al, 2001](#page-9-27)). IL-13 has also been shown to upregulate collagen production in both normal and keloid fibroblasts, with effects equivalent to those induced by similar concentrations of IL-4 and $TGF\beta1$ ([Oriente et al, 2000\)](#page-10-30). Finally, dupilumab, a human mAb that blocks the signaling of IL-4 and IL-13 cytokines, was used both systemically and intralesionally to successfully treat several patients with large keloids [\(Min et al, 2023;](#page-10-31) [Wong](#page-11-6) [and Song, 2021\)](#page-11-6).

Macrophages are also known to play an important role in scar formation. During tissue repair, macrophages can polarize into 2 major phenotypes: M1 and M2. M1 macrophages are primarily induced by microbial products or proinflammatory cytokines (particularly IFN- γ), resulting in a proinflammatory cascade that includes nitric oxide formation and the release of large quantities of cytokines, including TNF- α , IL-1 β , IL-12, and IL-23 [\(Chen et al, 2023\)](#page-9-28). On the other hand, M2 macrophages are induced by cytokines such as IL-4 and IL-13 and are primarily involved in wound healing, angiogenesis, and immune suppression ([Chen et al,](#page-9-28) [2023\)](#page-9-28). A complex confluence of biological factors orchestrates the balance of M1/M2 macrophage polarity. An imbalance in M1/M2 macrophage polarization has been shown to play a role in pathologic keloid formation [\(Xu et al,](#page-11-7) [2020\)](#page-11-7). First, a higher baseline number of M2 cells has been associated with subsequent HTS formation ([Butzelaar et al,](#page-9-29) [2016\)](#page-9-29). Second, decreased expression of M1 in the early stages of wound healing, followed by delayed expression of M2 macrophages, has been associated with pathological scarring [\(Xu et al, 2020\)](#page-11-7). In addition, M2 macrophages appear upregulated around the margin and superficial region of keloids, correlating with their invasive nature [\(Xu et al,](#page-11-7) [2020\)](#page-11-7). A putative explanation for this pathologic unbalancing of M1/M2 macrophage polarization in patients with keloid is poorly understood. However, recent studies suggest that inflammasomes (multiprotein complexes with important proinflammatory functions) could play a role in this observed phenomenon ([Huang and Ogawa, 2022](#page-9-30)).

GF SIGNALING AND KELOID PATHOGENESIS Angiogenic factors

The formation of new blood vessels (neovasculogenesis) is a tightly regulated process in wound healing. Important factors in this process include VEGF, endothelial progenitor cells (EPCs), and endostatin. VEGF plays an important role in neovasculogenesis by inducing EPCs, resulting in the production of new blood vessels ([Li et al, 2017\)](#page-9-31). VEGF also plays a prominent role in angiogenesis (sprouting of existing blood vessels) by inducing the proliferation of endothelial cells to form capillary-like tubules, preventing endothelial cell apoptosis, and increasing vascular permeability. The increased vascular permeability results in an influx of plasma proteins ([Carmeliet, 2005](#page-9-32)) and the deposition of the ECM ([Huang and Ogawa, 2020](#page-9-4)).

Dysregulation in the balance of proangiogenic and antiangiogenic factors has been posited to play a key role in the abnormal blood vessel development observed in pathological scar formation [\(Mogili et al, 2012](#page-10-32)). Several studies have found that patients with keloid have significantly higher serum levels of VEGF, ranging from 1.3 to 2 times higher than normal controls ([Mogili et al, 2012;](#page-10-32) [Zhang et al, 2016](#page-11-8)). A study by [Zhang et al \(2016\)](#page-11-8) found that patients with keloid also have increased circulating EPC levels (1.6 times higher) compared with control patients. This result was confirmed by Tanaka et al (2019) , who reported >2 -fold increase in circulating EPCs among patients with keloid. [Zhang et al](#page-11-8) [\(2016\)](#page-11-8) also found that keloid duration correlated inversely with the number of circulating EPCs. However, EPC levels did not correlate with VEGF levels, and both factors were independent of patient age, sex, or keloid etiology [\(Zhang et al,](#page-11-8) [2016\)](#page-11-8). The lack of correlation between VEGF and EPC numbers could be partly explained by the variability in quantity of VEGF receptors in keloid tissues. For example, a study by [Sudha et al \(2018\)](#page-10-34), found that 57.5% of studies keloid samples contained the receptor for VEGF.

Endostatin is a C-terminal fragment of type XVIII collagen and a potent inhibitor of angiogenesis through the inhibition of VEGF [\(Mogili et al, 2012](#page-10-32)). A study by [Mogili et al \(2012\)](#page-10-32) found that patients with keloid had lower levels of endostatin both in serum and in tissue than controls. A characteristically high VEGF/endostatin ratio in both serum and tissue was observed in patients with keloid, likely resulting in uncontrolled vascular tissue proliferation ([Mogili et al, 2012\)](#page-10-32).

The use of VEGF receptor inhibitors in treating keloids has been explored, focusing on the role of VEGF in keloid pathogenesis and the potential therapeutic effects of inhibiting VEGF expression and its signaling pathways. [Zhang](#page-11-9) [et al \(2008\)](#page-11-9) reported that short hairpin RNA against VEGF significantly depleted VEGF expression in keloid fibroblasts ([Zhang et al, 2008\)](#page-11-9). [Wu et al \(2006\)](#page-11-10) found that dexamethasone effectively suppresses VEGF expression in keloid fibroblasts and induces keloid regression, suggesting that modulation of VEGF production could be a valuable treatment approach for keloids.

$HIF-1\alpha$ and hypoxia

Another pathway is HIF-1 α , the essential sensor and mediator of hypoxia in the cell. HIF-1 α has been implicated in multiple aspects of keloid pathogenesis ([Qiu et al, 2023](#page-10-35)). A study by [Kang et al \(2020\)](#page-9-22) found that keloids expressed higher levels of HIF-1 α than normal skin tissue. Targeting HIF-1 α with specific inhibitors such as CAY10585 significantly reduced collagen levels, suggesting a novel treatment avenue for keloids by modulating the hypoxic microenvironment and HIF- 1α activity [\(Kang et al, 2020](#page-9-22)). Furthermore, HIF-1 α expression during hypoxia significantly increases proliferation and inhibits the apoptosis of keloid fibroblasts but not normal skin fibroblasts ([Kang et al, 2020;](#page-9-22) [Lei et al, 2019\)](#page-9-33). This effect is likely a result of HIF-1 α activating the TGF- β 1/SMAD pathway, resulting in increased collagen deposition [\(Qiu](#page-10-35) [et al, 2023\)](#page-10-35).

Oxidative metabolism within keloidal cells is another significant pathway likely affected by HIF-1 α . When compared with normal skin tissue, the local hypoxic keloidal tissue has been shown to induce HIF-1a, resulting in the upregulation of adenosine triphosphate (ATP) synthesis through glycolysis and downregulation of mitochondrial ATP synthesis ([Vincent](#page-10-36) [et al, 2008](#page-10-36); [Wang et al, 2021](#page-11-11)). This points to a likely intrinsic molecular reprogramming in keloids, resulting in upregulation of the glycolytic pathways and downregulation of the oxygen-dependent oxidative phosphorylation pathway. A similar effect is observed in malignant neoplasms, called the Warburg effect [\(Qiu et al, 2023\)](#page-10-35).

Resveratrol, known for its antiproliferative effects, was studied for its ability to inhibit proliferation and promote apoptosis of keloid fibroblasts under hypoxic conditions by targeting HIF-1 α . [Si et al \(2020\)](#page-10-37) demonstrated that resveratrol could reverse the effects of hypoxia on keloids through the downregulation of HIF-1a, also affecting collagen synthesis in keloid fibroblasts. These findings support the potential of resveratrol in treating keloids by inhibiting cell proliferation and promoting apoptosis through the modulation of HIF-1 α ([Si et al, 2020\)](#page-10-37).

TGFB

The $TGF\beta/SMAD$ signaling pathway is one of the best known orchestrators of scar formation. TGF β is a cytokine ubiquitously expressed during wound healing and can be produced by damaged tissues, fibroblasts, and M2 macrophages [\(Zhang](#page-11-12) [et al, 2020\)](#page-11-12). Upon binding to its cognate receptor, the intracellular signaling cascade activates SMAD proteins, which in turn induces endothelial–mesenchymal transition, facilitating the production of myofibroblasts and subsequent type I collagen ([Ghosh et al, 2000](#page-9-34); [Macarak et al, 2021\)](#page-10-1).

 $TGF\beta$ signaling has been shown to be a key modulator of myofibroblast induction arising from keratinocyte-fibroblast interactions ([Shephard et al, 2004\)](#page-10-38). Aberrant signaling of the TGFB/SMAD pathway can result in collagen overproduction and subsequent uncontrolled scar tissue deposition [\(Zhang et al, 2020\)](#page-11-12).

TGF β protein expression (particularly TGF β 1 and β 2) is elevated in keloid tissue relative to that in normal skin ([Lee](#page-9-35) [et al, 1999\)](#page-9-35). For this reason, TGF β has sparked great interest as a potential therapeutic target for keloid disease [\(Zhang](#page-11-12) [et al, 2020](#page-11-12)). TGF β 1 antisense oligonucleotide treatment significantly downregulated MMP9 secretion in keloidderived fibroblasts, suggesting a potential therapeutic opportunity ([Sadick et al, 2008](#page-10-39)). Moreover, treatment with SB-431542, a specific inhibitor of TGF β 1 receptor kinase activity, efficiently suppressed TGFβ-induced contraction of collagen gels by keloid fibroblasts, highlighting its therapeutic potential for excessive skin contraction observed in keloids [\(Hasegawa et al, 2005](#page-9-36)). Finally, the use of thalidomide has also been shown to inhibit fibronectin production in $TGF\beta1$ -treated normal and keloid-derived fibroblasts through the inhibition of the p38/SMAD3 pathway, suggesting another possible therapeutic approach for keloids ([Liang](#page-9-37) [et al, 2013\)](#page-9-37). However, it is essential to note the historical association of thalidomide and severe teratogenicity [\(Franks](#page-9-38) [et al, 2004\)](#page-9-38) when considering this agent.

Studies have not found significant differences in systemic serum TGF β 1 levels between patients with keloid and controls [\(Bayat et al, 2003](#page-8-3)). Furthermore, no differences have been observed in the frequency of common TGFB1 gene sequence variants between patients with keloid and controls ([Bayat et al, 2003](#page-8-3); [Tu et al, 2017\)](#page-10-40). It stands to reason that other external pathways may interact with keloidal tissue locally by inducing the TGFB/SMAD pathway, resulting in uncontrolled tissue proliferation.

NOTCH signaling

The NOTCH signaling pathway has also been implicated in scar tissue formation. NOTCH is a highly conserved cell-cell communication pathway involving a receptor-ligand interaction [\(Condorelli et al, 2021\)](#page-9-39). This pathway plays a vital role in maintaining skin homeostasis by regulating keratinocyte proliferation and differentiation [\(Condorelli et al, 2021\)](#page-9-39). Differences between specific NOTCH ligand-receptor structures have also been observed. NOTCH1 has been found to reduce the proliferative rate of stem cells, whereas NOTCH2 and NOTCH3 induce keratinocyte terminal differentiation [\(Negri et al, 2019\)](#page-10-41).

The NOTCH pathway has been shown to activate profibrotic processes within multiple organs, including lung, kidney, liver, skin, and heart, through direct gene activation and/or through indirect interaction with other signaling pathways such as TGF β , NF- κ B, β -catenin, and various regulatory miRNAs ([Hu and Phan, 2016\)](#page-9-40). Although the specific role of NOTCH in keloid development is not fully understood, a study by [Syed and Bayat \(2012\)](#page-10-42) found significantly elevated NOTCH receptors in keloid tissue compared with that in normal skin. NOTCH activation also appears to correlate positively with the degree of inflammation ([Syed](#page-10-42) [and Bayat, 2012\)](#page-10-42). Furthermore, NOTCH signaling blockade combined with a γ -secretase inhibitor and JAG1 depletion with siRNA impaired cell spreading, attachment, and proliferation of keloid fibroblasts [\(Syed and Bayat, 2012](#page-10-42)). NOTCH hyperactivation has also been observed in HTSs and is known to play a role in scar inflammation and hyperplasia ([Condorelli et al, 2021](#page-9-39)).

Jak/STAT pathway

The JAK-STAT is another pathway that has been studied in relation to keloid pathogenesis. The Jak-STAT pathway is an evolutionarily conserved cell signaling pathway that mediates cell cycle and homeostatic processes across various tissues ([Harrison, 2012\)](#page-9-41). Briefly, binding of a ligand to the Jak-STAT receptor induces dimerization of intracellular Jak proteins, leading to dissociation of STAT proteins, which then translocate to the cell nucleus and induce gene expression ([Zeidler et al, 2000](#page-11-13)).

In the context of keloids, most studies indicate enhanced expression of STAT3 in keloid tissue and keloid fibroblasts ([Yin et al, 2023](#page-11-14)). Upregulation of Jak1 [\(Ghazizadeh et al,](#page-9-24) [2007\)](#page-9-24) and Jak2 ([Lim et al, 2006\)](#page-9-42) has also been observed in keloid tissue. This pathway has been shown to induce $TGF\beta$ gene expression ([Lee et al, 2019](#page-9-43)) leading to fibrosis ([Liu et al,](#page-9-44) [2014;](#page-9-44) [Tang et al, 2017\)](#page-10-43). Upstream activators of Jak-STAT in keloids have also been studied. IL-6, a cytokine known to be elevated in keloid tissue, has been poised as a likely inducer of STAT3 [\(Yin et al, 2023](#page-11-14)). STAT inhibitors have shown the suppression of cell proliferation and ECM production in keloidal fibroblasts in vitro [\(Hong et al, 2022\)](#page-9-45), shedding light on the potential of Jak/STAT inhibitors as a therapeutic option for keloids ([Yin et al, 2023\)](#page-11-14).

CONCLUSION

As demonstrated by the wide breadth of studies performed during recent years, keloids have a complex pathophysiology encompassing a broad range of elements, including genetic, endocrine, epigenetic, immune, and cell-signaling dysregulation. Keloids represent a complex biological ecosystem characterized by unconstrained growth, alterations in its immunological milieu, and changes in cellular signaling and metabolism. Contrary to cancer, keloids have not been shown to have the capacity to metastasize or to pose a direct threat to patient survival. Nevertheless, the disfiguration and debilitating symptoms associated with keloids can be very detrimental to a patient's QOL.

Although still an area of active research, genetics likely plays an important role in determining the likelihood of keloid formation across ethnic groups. However, the precise genetic landscape modulating keloid susceptibility among various populations is unknown. Future research avenues should include comprehensive population studies that include a wider variety of ethnic groups. In addition, we have only recently developed techniques to effectively profile and better understand associated epigenetic elements such as ncRNA and transposons. Together, these approaches will ideally allow us to better characterize the molecular underpinnings of keloid predisposition; progression; and, possibly, pharmacological response. Finally, it is critical to contextualize keloids vis à vis other fibrotic processes, such as HTSs.

Regarding therapies, there are currently no systemic treatments that can eradicate large-volume keloids. However, a broad array of options is currently available for localized disease control. Treatment options are typically based on disease severity, with initial approaches including a combination of silicone gel sheets, compression therapies, topical and/or intralesional steroids, cryotherapy, and laser. More aggressive treatments include radiation, intralesional chemotherapeutics (fluorouracil [5-FU]), and surgical approaches [\(Ogawa, 2022](#page-10-44)).

These treatment approaches prevent further proliferation of scar tissue cells through 5 primary mechanisms: cutting the blood supply to scar tissue (compression therapies), reducing inflammation (corticosteroids), DNA damage of highly proliferative cells (chemotherapy, radiation), direct destruction (cryotherapy), or removal (surgery). Often, monotherapy is insufficient, with patients typically necessitating a combination approach to achieve adequate disease control and mitigation.

Our increasing understanding of keloid pathophysiology allows us to conceptualize potential new therapies that could be more effective than the ones currently available. Promising treatment targets include the RAS, androgens, vitamin D, IL-13, HIF-1 α , TGF β 1, and Jak-STAT. These pathways are already targeted for other disease processes through commercially available medications. Additional experimental targets include different cytokine inhibitors, VEGF inhibitors, and NOTCH1 inhibitors. Anticollagenic agents have also been proposed as a potential treatment approach ([Rajadurai and Tsao, 2023](#page-10-45)).

ETHICS STATEMENT

No human or mice studies were involved.

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CONFLICT OF INTEREST

The authors state no conflict of interest

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Conceptualization: DIL, HT, ST; Resources: HT, SST; Supervision: HT, SST; Writing - Original Draft Preparation: DIL, DCM, HT, SST; Writing - Review and Editing: DIL, DCM, HT, SST

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