

NEUROSCIENCE

Unsupervised experience with temporal continuity of the visual environment is causally involved in the development of V1 complex cells

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Unsupervised adaptation to the spatiotemporal statistics of visual experience is a key computational principle that has long been assumed to govern postnatal development of visual cortical tuning, including orientation selectivity of simple cells and position tolerance of complex cells in primary visual cortex (V1). Yet, causal empirical evidence supporting this hypothesis is scant. Here, we show that degrading the temporal continuity of visual experience during early postnatal life leads to a sizable reduction of the number of complex cells and to an impairment of their functional properties while fully sparing the development of simple cells. This causally implicates adaptation to the temporal structure of the visual input in the development of transformation tolerance but not of shape tuning, thus tightly constraining computational models of unsupervised cortical learning.

INTRODUCTION

It has long been proposed that the tuning of sensory neurons is determined by adaptation to the statistics of the signals they need to encode (1, 2). In the visual domain, this notion has given rise to two broad families of unsupervised learning algorithms: those relying on the spatial structure of natural images, referred to as unsupervised spatial learning (USL) models (1–6), and those leveraging the spatio-temporal structure of natural image sequences, referred to as unsupervised temporal learning (UTL) models (7–15). Both kinds of learning have been applied to explain the ability of visual cortical representations to selectively code for the identity of visual objects, a property known as shape tuning, while tolerating variations in their appearance (e.g., because of position changes), a property known as transformation tolerance (or invariance) (16). These properties are built incrementally along the ventral stream (the cortical hierarchy devoted to shape processing), but the earliest evidence of shape tuning and invariance in the visual system can be traced back to primary visual cortex (V1), where simple cells first exhibit tuning for nontrivial geometrical patterns (oriented edges) and complex cells first display some degree of position tolerance (17).

In sparse coding theories (arguably the most popular incarnation of USL), maximizing the sparsity of the representation of natural images produces Gabor-like edge detectors that closely resemble the receptive fields (RFs) of V1 simple cells (5, 6). Other USL models, by optimizing objective functions that depend on the combination of several linear spatial filters, also account for the emergence of position-tolerant edge detectors, such as V1 complex cells (3, 4). The latter, however, have been more commonly modeled as the result of UTL, where the natural tendency of different object views to occur nearby in time is used to factor out object identity from other faster-varying, lower-level visual attributes. While some UTL models presuppose the existence of a bank of simple cells, upon which the complex cells' representation is learned (7, 11–15), other models, such as slow feature analysis (SFA), directly evolve complex cells

from the pixel (i.e., retinal) representation, thus simultaneously learning shape selectivity and invariance (8, 9).

To date, it remains unclear what role these hypothesized learning mechanisms play in the developing visual cortex, despite the influence that early visual experience is known to exert on cortical tuning. This is demonstrated (e.g.) by the impact of monocular deprivation on the development of ocular dominance (18, 19), by the bias in orientation tuning produced by restricting early visual experience to a single orientation (20, 21), and by the need, for ferret visual cortex, to experience visual motion to develop direction selectivity (22). However, none of these manipulations was designed to specifically test the role of USL and/or UTL in mediating the development of simple and complex cells. As a result, empirical support for the role of sparse coding in determining orientation selectivity is still indirect (23, 6), as no study has succeeded in abolishing (or at least interfering with) the development of simple cells with Gabor-like tuning through manipulations of the visual environment (24). Similarly, no clean causal evidence has been gathered yet to demonstrate the involvement of UTL in postnatal development of invariance and/or selectivity in visual cortex. The only experiments suggesting the involvement of UTL in fostering invariant visual object representations during development come from behavioral studies of chicks' object vision (25). In mammals, a few studies based on strobe rearing did investigate the effect of degrading the temporal continuity of the visual input on the developing cortex (26–30), but they did not quantitatively probe whether this manipulation led to a reduction of invariance (see Discussion). More critically, strobe rearing does not allow effectively and selectively altering the temporal statistics of the visual input while sparing the spatial statistics (or vice versa). Short light flashes ($\leq 10 \mu\text{s}$) also severely limit the experience with the spatial content of the visual input, as well as the overall amount of light exposure during development, especially when combined with low strobe rates (0.5 to 2 Hz). Conversely, higher strobe rates (8 Hz) allow still experiencing a strongly correlated visual input over time, given the dense, ordered sampling of the visual space performed by the visual system across consecutive flashes. This makes it impossible to disentangle the contribution of USL, UTL, or simpler light-dependent plasticity processes to the changes of orientation and/or direction tuning reported in some of these studies. In summary, the lack of

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conclusive evidence about the involvement of spatial and temporal learning processes in cortical development of selectivity and invariance calls for new studies based on tighter, better controlled manipulations of visual experience during postnatal development.

RESULTS

Our study was designed to causally test the involvement of UTL in the development of shape selectivity and transformation tolerance (i.e., simple and complex cells) in V1. To this aim, we took 18 newborn rats (housed in light-proof cabinets from birth) and, from postnatal day 14 (P14) [i.e., at eye opening (EO)] to P60

[i.e., well beyond the end of the critical period (31)], subjected them to daily, 4-hour long exposures inside an immersive visual environment. This consisted of a rectangular, transparent basin, surrounded on each side by a computer-controlled liquid crystal display (LCD) monitor, and placed inside a light-proof cabinet (fig. S1). Eight animals (the control group) were exposed to a battery of 16 natural movies (lasting from a few minutes to half an hour), while the remaining 10 rats (the experimental group) were exposed to their frame-scrambled versions (Fig. 1A). As a result of the scrambling, the correlation between the frames of a movie as a function of their temporal separation was close to zero at all tested time lags, while the image frames of the original movies remained strongly correlated over several seconds

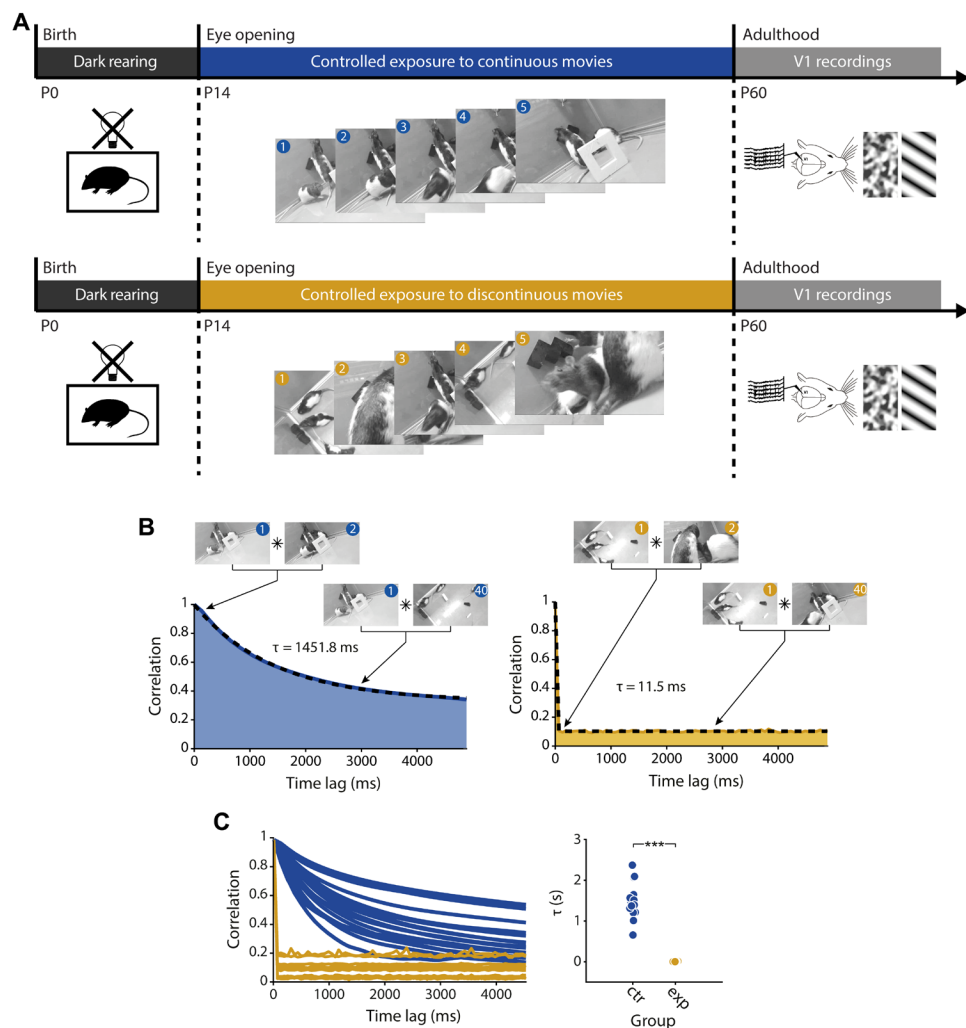


Fig. 1. Experimental design. (A) Two groups of rats, control (top) and experimental (bottom), were born in dark and housed in lightproof cabinets until EO (black bars). Afterward, the control rats were subjected to daily 4-hour-long exposures to natural videos inside the virtual cages (blue bar), while the experimental rats were subjected to the frame-scrambled versions of the same movies (orange bar). Starting from P60, neuronal recordings from V1 of both the control and experimental rats were performed under anesthesia (gray bars), while the animals were exposed to drifting gratings and movies of spatially and temporally correlated noise. (B) Left: The mean correlation between the image frames of one of the natural movies [same as in (A)] is plotted as a function of their temporal lag (blue curve). The dashed line shows the best exponential fit to the resulting autocorrelation function (τ is the time constant of the fit). Right: The autocorrelation function obtained for the frame-scrambled version of the movie (orange curve) is shown along with its best exponential fit (dashed line). (C) Left: The autocorrelation functions of all the natural movies used during postnatal rearing of the control rats (blue curves) are shown along with the autocorrelation functions of their frame-scrambled versions (orange curves) used during postnatal rearing of the experimental rats. Right: The time constants of the best exponential fits to the autocorrelation functions of the natural movies (blue dots) and their frame-scrambled versions (orange dots) were significantly different ($P < 0.001$, one-tailed, unpaired t test). Photo credit: Giulio Matteucci and Mattia D’Andola, SISSA (Trieste, Italy).

(compare the orange versus blue curves in Fig. 1, B and C; the average time constants of the exponential fits to the correlation functions were 6.9 ± 1.3 ms and 1.47 ± 0.10 s, respectively, for the frame-scrambled and original movies; see Fig. 1C, right). All movies were played at 15 Hz, which is approximately half of the critical flicker fusion frequency (~30 to 40 Hz) of the rat (32). This ensured that, while the temporal correlation of the input was substantially broken, no fusion occurred between consecutive frames of a movie, thus allowing the rats of the experimental group to fully experience the spatial content of the individual image frames. This likely enabled the experimental rats to also experience some amount of continuous transformation (e.g., translation) of the image frames, as the result of spontaneous head or eye movements during the 66.7-ms presentation time of each frame. This, along with the presence of some stable visual features in the physical environment (e.g., the dark edges of the monitors) and the possibility for the rats to see parts of their own body, allowed for some residual amount of temporal continuity in the visual experience of the experimental group. This incomplete disruption of temporal continuity was unavoidable, given the constraints of (i) granting the animals full access to the spatial content of natural visual scenes and (ii) trying to foster visual cortical development and plasticity by leaving the rats free to actively explore the environment (33), thus avoiding body restraint and head fixation. Crucially, despite these constraints, the temporal statistics of the visual stream experienced by the two groups of animals at time scales larger than 66.7 ms was radically different (Fig. 1, B and C), while the spatial statistics and overall amount of light exposure were very well matched. This allowed isolating the contribution of temporal contiguity to the postnatal development of V1 simple and complex cells.

Postnatal rearing in a temporal discontinuous visual environment leads to a reduction of V1 complex cells but leaves spatial tuning of V1 neurons unaltered

Shortly after the end of the controlled-rearing period, we performed multichannel extracellular recordings from V1 of each rat under fentanyl/medetomidin anesthesia (see Materials and Methods for details) (34). Our recordings mainly targeted layer 5, where complex cells are known to be more abundant (35), and layer 4, with the distributions of recorded units across the cortical depth and the cortical laminae being statistically the same for the control and experimental groups (fig. S2). During a recording session, each animal was presented with drifting gratings spanning 12 directions (from 0° to 330° in steps of 30°) and with contrast-modulated movies of spatially and temporally correlated noise (34, 35). Responses to the noise movies allowed inferring the linear RF structure of the recorded units using the spike-triggered average (STA) analysis and the temporal scale over which the stimulus representation unfolded (see Materials and Methods). Responses to the drifting gratings were used to estimate the tuning of the neurons with the standard orientation selectivity index (OSI) and direction selectivity index (DSI) (defined in Materials and Methods) and to probe their sensitivity to phase shifts of their preferred gratings, thus measuring their position tolerance (see Discussion) (34, 35).

This is illustrated in Fig. 2A, which shows a representative complex cell from the control group (left, blue lines) and a representative simple cell from the experimental group (right, orange lines). Both units displayed sharp orientation tuning (polar plots), but the STA method successfully recovered a sharp, Gabor-like RF only for the simple cell—as expected, given the nonlinear stimulus-response re-

lationship of complex cells (34). Consistently, the response of the complex cell was only weakly modulated at the temporal frequency (4 Hz) of its preferred grating (middle plots), with the highest power spectral density concentrated at frequencies of <4 Hz (bottom plot). By contrast, the response of the simple cell was strongly phase modulated, with a power spectrum narrowly peaked at the grating frequency. Thus, by *z*-scoring the power spectral density of the response at the preferred grating frequency, it was possible to define a modulation index (MI) that distinguished between complex ($MI < 3$) and simple ($MI > 3$) cells (see Materials and Methods) (34, 36).

We applied this criterion to the neuronal populations of 105 and 158 well-isolated single units recorded from, respectively, the control and experimental group, and we found a significantly lower fraction of complex cells in the latter (39%, 61 of 158) with respect to the former (55%, 58 of 105; $P < 0.01$, Fisher's exact test). Consistently, the median MI for the control population (2.69 ± 0.29) was significantly smaller than for the experimental one (3.52 ± 0.25 ; $P < 0.05$, Wilcoxon test). Such a difference became very sharp after restricting the comparison to the neurons that, in both populations, were at least moderately orientation tuned (i.e., 50 control and 75 experimental units with an OSI of >0.4). The resulting MI distribution for the control group had a typical double-peak shape (34), featuring two maxima, at $MI \sim 2$ and $MI \sim 5$, corresponding to the two classes of the complex and simple cells (Fig. 2B, blue curve). Instead, for the experimental group, the peak at low MI was flattened out, leaving a single, prominent peak at $MI \sim 5$ (orange curve). This resulted in a large, significant difference between the two distributions and their medians (dashed lines), with the fraction of complex cells being almost half in the experimental (35%; orange bar) than in the control group (60%; blue bar).

The lower incidence of complex cells in the experimental group was confirmed when a different metric (the *F1/F0* ratio; see Materials and Methods) was applied to quantify the modulation of neuronal responses at the temporal frequency of the gratings (fig. S3; see Discussion for a thorough comparison among the MI and *F1/F0* indices and an explanation of why our main analyses have been carried out using the MI). We also verified that the difference in the fraction of complex cells found between the two groups was not driven by a few outlier recording sessions. To this aim, we performed a bootstrap analysis in which (i) we obtained 100 surrogate MI distributions for the populations of control and experimental units by sampling with replacement the available sessions for the two groups and (ii) we computed the fraction of complex cells found in each surrogate distribution. This allowed estimating the spread of the fraction of complex cells measured in each group, as a result of the variable sampling of the recorded sessions. The overlap between the spreads obtained for the two groups was minimal (fig. S4A) and not significant (fig. S4B; $P < 0.05$), thus showing that the lower incidence of complex cells in the experimental group was robust against the sampling of V1 units performed across different recordings/animals.

Conversely, no difference was observed between the two groups in terms of orientation tuning (Fig. 2C), with the OSI distributions (blue and orange curves) and their medians (dashed lines) being statistically undistinguishable, as well as the fraction of sharply orientation-tuned units (i.e., neurons with an OSI of >0.6 ; blue versus orange bar). A similar result was found for direction tuning (fig. S5; see Discussion for an interpretation of this finding). Together, these results suggest that our experimental manipulation substantially impaired the development of complex cells but not the emergence of orientation and motion sensitivity.

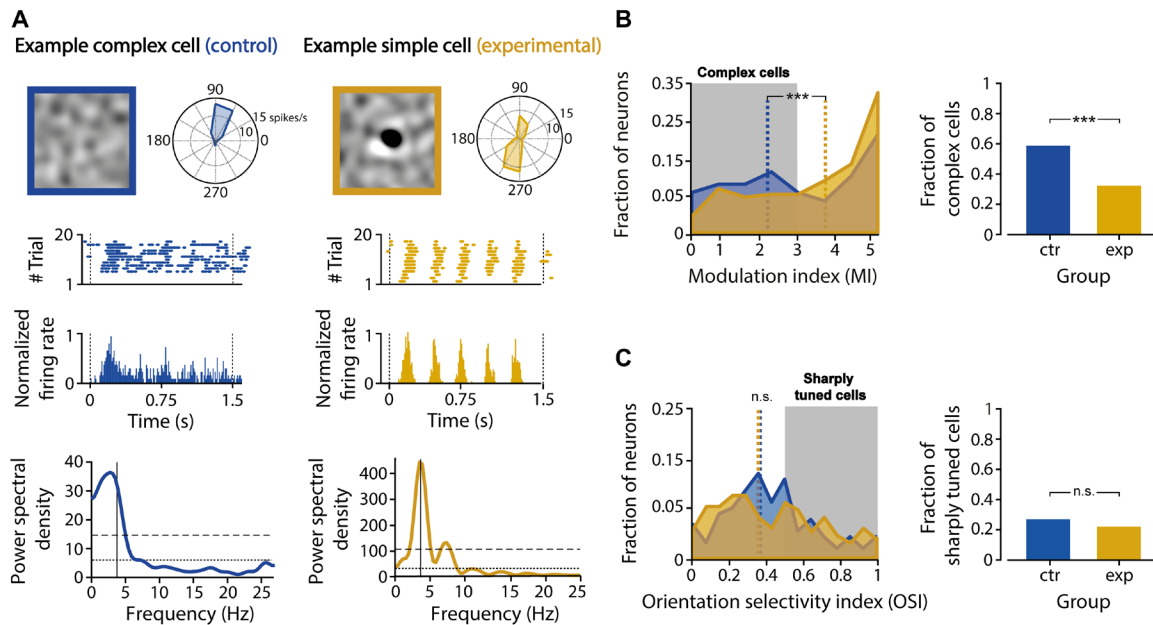


Fig. 2. Postnatal rearing in temporally discontinuous visual environments results in an impoverished population of V1 complex cells but spares the development of orientation tuning. (A) A representative V1 complex cell of the control group (left, blue lines) is compared to a representative simple cell of the experimental group (right, orange lines). For each neuron, the graph shows, from top/left to bottom, (i) the linear RF structure inferred through STA, (ii) the direction tuning curve, (iii) the raster plot with the number of spikes (dots) fired across repeated presentations of the most effective grating stimulus, (iv) the corresponding peristimulus time histogram (PSTH) computed in 10-ms-wide time bins, and (v) its power spectrum with its mean (dotted line), its mean + SD (dashed line), and the 4-Hz frequency of the grating stimulus (vertical line) indicated. (B) Left: Distributions of the MI used to distinguish the poorly phase-modulated complex cells (MI < 3; gray-shaded area) from the strongly modulated simple cells (MI > 3), as obtained for the control (blue; $n = 50$) and experimental (orange; $n = 75$) V1 populations (only units with an OSI of >0.4 included). Both the distributions and their medians (dashed lines) were significantly different ($P < 0.02$, Kolmogorov-Smirnov test; $***P < 0.001$, Wilcoxon test). Right: The fraction of units that were classified as complex cells (i.e., with an MI of <3) was significantly larger for the control than for the experimental group ($***P < 0.001$, Fisher's exact test). (C) Left: Distributions of the orientation selectivity index (OSI), as obtained for the control (blue; $n = 105$) and experimental (orange; $n = 158$) V1 populations. No significant difference was found between the two distributions and their medians ($P > 0.05$, Kolmogorov-Smirnov test; $P > 0.05$, Wilcoxon test). Right: The fraction of sharply orientation-tuned units (i.e., units with an OSI of >0.6) did not differ between the two groups ($P > 0.05$, Fisher's exact test). n.s., not significant.

This conclusion was confirmed by comparing the quality of the RFs inferred through STA for the experimental and control units. To ease the comparison, the pixel intensity values in a STA image were z -scored on the basis of the null distributions of STA values obtained for each pixel, after randomly permuting the association between frames of the movie and spike times, 50 times. This allowed reporting the intensity values of the resulting z -scored STA images in terms of their difference (in units of SD σ) from what expected in the case of no frame-related information carried by the spikes. As illustrated by the examples shown in Fig. 3A, we found that STA was as successful at yielding sharp, linear RFs (often similar to Gabor filters) for the experimental units as for the control ones. The sharpness of the STA images, as assessed through an expressly devised contrast index (CI; see Materials and Methods) (34), was similar for the two groups, with the CI distributions and their medians being statistically undistinguishable (Fig. 3B, blue versus orange curve/line). As expected, for both groups, the mean CI was significantly larger for the simple than for the complex cells (dark versus light bars), reflecting the better success of STA at inferring the linear RFs of the former, but no difference was found between the mean CIs of the simple cells of the two groups (dark blue versus brown bar) and the mean CIs of the complex cells (light blue versus yellow bar).

To further explore the extent to which the spatial structure of the STA-based RFs was similar for the experimental and control units, we measured the size of the RFs and counted how many distinct lobes they contained (this analysis was applied only to the units with

well-defined linear RFs, i.e., to STA images within the top quartiles of the CI distributions shown in Fig. 3B, left). To count the lobes, we binarized each STA image by applying a threshold to the modulus of its intensity values. This allowed identifying the lobes as distinct connected regions that crossed the binarization threshold [a more detailed description of this procedure is provided in Materials and Methods, and a graphical illustration can be found in figure 5B of our previous study (34)]. Since these regions became progressively smaller and fewer as a function of the magnitude of the binarization threshold, we compared the distributions of lobe counts obtained for the experimental and control units across different thresholds—from 3.5 to 6.5 σ . At every tested threshold, the distributions of lobe counts for the two populations were statistically indistinguishable ($P > 0.05$, Fisher's exact test; compare matching rows in Fig. 3C). The same was true for the distributions of RF sizes (compare matching rows in Fig. 3D), with the RF size of a unit being defined as the mean of the lengths of the major and minor axes of the ellipse that best fitted the area covered by the detected lobes. These results confirmed that our experimental manipulation did not alter the spatial tuning properties of V1 units.

Postnatal rearing in a temporal discontinuous visual environment reduces the ability of complex cells to represent stimulus orientation in a translation-invariant manner

Next, we tested the extent to which the experimental units that had been classified as complex cells fully retained the functional properties

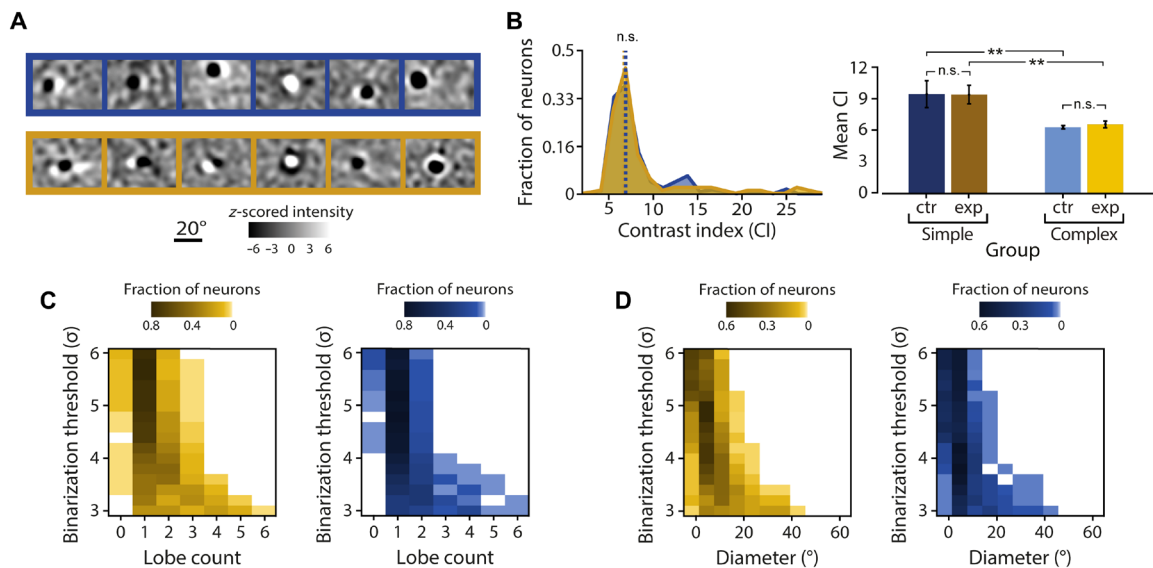


Fig. 3. Unaltered spatial tuning of V1 neurons following the controlled rearing in temporally discontinuous visual environments. (A) Examples of linear RFs inferred through STA for the control (blue frame) and experimental group (orange frame). In every STA image, each pixel intensity value was independently z-scored on the basis of the null distribution of STA values obtained through a permutation test (see Materials and Methods). (B) Left: Distributions of the CI used to measure the sharpness of the STA images, as obtained for the control (blue; $n = 105$) and experimental (orange; $n = 158$) V1 populations. No significant difference was found between the two distributions and their medians ($P > 0.05$, Kolmogorov-Smirnov test; $P > 0.05$, Wilcoxon test). Right: Mean values (\pm SEM) of the CIs computed separately for the simple (dark bars; $n = 20$, control; $n = 49$, experimental) and complex (light bars; $n = 30$, control; $n = 26$, experimental) cells of the two groups (only units with an OSI of > 0.4 included). Within each group, the mean CI was significantly larger for the simple than for the complex cells (** $P < 0.01$, two-tailed unpaired t test). (C) The color maps showing the distributions of lobe counts (abscissa) for the units with well-defined linear RFs [i.e., within the top quartiles of the CI distributions shown in (B)] in the control (blue; $n = 27$) and experimental (orange; $n = 37$) populations are plotted as a function of the binarization threshold (ordinate) used by the lobe-counting algorithm (see Materials and Methods). For every choice of the threshold, the control and experimental distributions were not significantly different ($P > 0.05$, Fisher's exact test). (D) Same analysis as in (C) but applied to the distributions of RF sizes obtained for the control (blue; $n = 27$) and experimental (orange; $n = 37$) populations, as a function of the binarization threshold. Again, no significant difference was found between the two distributions at any threshold level ($P > 0.05$; Fisher's exact test).

of this class of neurons. As already shown in the previous section, the key property of complex cells is their ability to fire more persistently than simple cells in response to a continuous, spatiotemporally correlated visual input. This can be understood on the basis of intuitive considerations, i.e., the local invariance of complex cells to (e.g.) translations of their preferred oriented edges. In the original work of Hubel and Wiesel (17), this property emerged when static oriented bars matching the preferred orientation of a complex cell were shown in different RF positions and, despite these translations, were found to elicit strong responses in the recorded unit. More recent investigations of V1 have relied instead on moving stimuli, such as the full-field drifting gratings used in our study, which allow probing at once the invariance properties of all the units recorded with a multielectrode array. In these experiments, the translation invariance of complex cells manifests itself as the phase invariance of the response—despite the phasic alternation of light and dark oriented stripes, produced by the drifting of the preferred grating across its RF, a complex cell is able to respond to the stimulus with a more sustained, temporally persistent firing, as compared to a simple cell [compare the blue and orange rasters/peristimulus time histograms (PSTHs) in Fig. 2A]. More in general, these persistent, slowly changing responses should be expected every time a complex cell is probed with a spatiotemporally correlated stimulus, such as the noise movies used in our study to map the RFs through STA. From a theoretical point of view, this is consistent with the predictions of UTL models, such as SFA (8, 9), that are based exactly on maximizing the slowness

(or persistence) of neuronal responses to learn invariance. Critically, the different persistency of the responses of complex and simple cells to spatiotemporally correlated stimuli is not expected to result from intrinsic differences in terms of membrane excitability, temporal integration of the synaptic inputs or firing dynamics. That is, complex cells are not expected to fire more persistently than simple cells when probed with brief, static stimuli (e.g., a complex cell will not continue to fire persistently in the absence of the stimulus). It is the invariance of the stimulus representation afforded by complex cells that is at the origin of their slower responses. Hence, the more persistent firing of complex cells can only emerge when V1 neurons are tested with spatiotemporally continuous stimuli.

To measure the persistence of neuronal responses in our recorded populations, we computed the time constants of the exponential fits to the autocorrelograms of the spike trains evoked by the noise movies. This analysis was restricted to those units whose firing was strongly modulated at the frequency of variation of the contrast in the noise movies (i.e., 0.1 Hz; see examples in Fig. 4A, top, and see Materials and Methods for details). This ensured that our analysis measured the stimulus-dependent amount of slowness in the neuronal responses, as determined by the interplay between the temporal continuity of the visual stimulus and the transformation invariance afforded by the recorded neurons. As expected, the average time constant was larger for the control than for the experimental units (Fig. 4B). This difference, however, was not merely driven by the larger fraction of complex cells in the control group (Fig. 2B). While

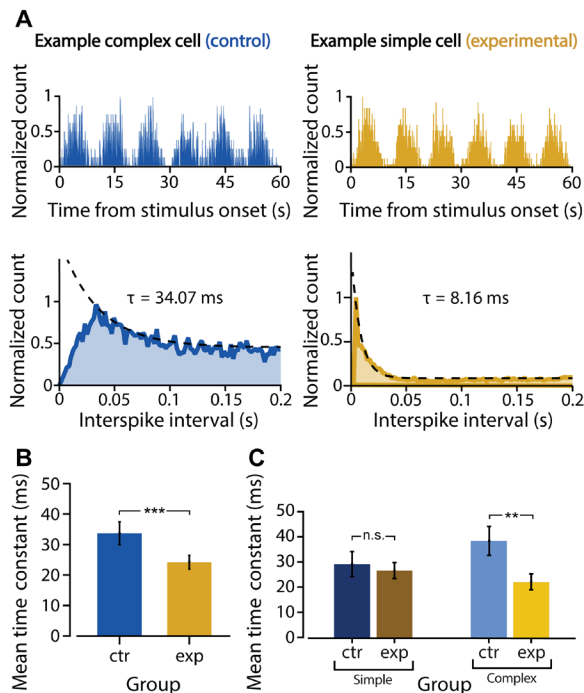


Fig. 4. Postnatal rearing in temporally discontinuous visual environments leads to the development of complex cells with abnormally fast response dynamics.

(A) Top: PSTHs showing the average responses of the two example neurons of Fig. 2A to the contrast-modulated noise movies (see Materials and Methods). For both neurons, the firing rate was strongly modulated at the frequency of variation of the contrast of the movies (i.e., 0.1 Hz). Bottom: Distributions of interspike intervals (ISIs) of the spike trains evoked by the noise movies for the two example neurons. The resulting autocorrelograms were fitted with exponential decaying functions (dashed lines) to measure the slowness (i.e., the time constant τ of the exponential fit) of the responses. In this example, the complex cell (blue curve) displays slower dynamics (i.e., larger τ) than the simple cell (orange curve). The two units also differ in the number of counts at low ISIs, which is much larger for the simple cell, as expected for a unit firing tightly packed trains of spikes (see Fig. 2A). (B) Mean values (\pm SEM) of the time constants τ computed for the control (blue; $n = 92$) and experimental (orange; $n = 143$) populations ($***P < 0.001$, two-tailed unpaired t test). (C) Mean values (\pm SEM) of the time constants τ computed separately for the simple (dark bars; $n = 43$, control; $n = 89$, experimental) and complex (light bars; $n = 49$, control; $n = 54$, experimental) cells of the two groups. While the simple cells had equally fast dynamics, the complex cells were significantly slower in the control than in the experimental group ($**P < 0.01$, two-tailed unpaired t test).

the average time constants did not significantly differ between the simple cells of the two groups (Fig. 4C, dark blue versus brown bar), the responses of complex cells unfolded over a shorter time scale for the experimental than for the control units (yellow versus light blue bar).

To understand the functional implication of these abnormally fast-changing stimulus representations, we assessed the ability of the four distinct populations of simple and complex cells of the two groups to support stable decoding of stimulus orientation over time. To this aim, we randomly sampled 300 neurons from each population (after having first matched the populations in terms of OSI and orientation preference distributions; see Materials and Methods) so as to obtain four equally sized and similarly tuned pseudo-populations whose units homogeneously covered the orientation axis. We then trained binary logistic classifiers to discriminate between 0° - and 90° -oriented

gratings (drifting at 4 Hz) based on the activity of each pseudo-population. Each classifier was trained using neuronal responses (spike counts) in a 33-ms-wide time bin that was randomly chosen within the presentation epoch of the gratings. We then tested the ability of each classifier to generalize the discrimination to test bins at increasingly larger time lags (TLs) from the training bin (see Fig. 5A and Materials and Methods for details). As expected, given the strong phase dependence of their responses (see cartoon in Fig. 5A, top), the simple cells from both groups yielded generalization curves that were strongly modulated over time and virtually identical (Fig. 5B, dark blue and brown curves). The performance was high ($\geq 80\%$ correct) at test bins where the phase of the grating was close to that of the training bin (i.e., at TLs that were multiple of the 250-ms grating period), but it dropped to less than 30% correct (i.e., well below chance; dashed line) at test bins where the grating was in opposition of phase with respect to the training bin (e.g., at a TL of ~ 125 ms). By comparison, the complex cells of the control group, by virtue of their weaker phase dependence (see cartoon in Fig. 5A, bottom), afforded a decoding of grating orientation that was substantially more phase tolerant, with the performance curve never dropping below chance level at any TL (Fig. 5B, light blue curve). However, for the complex cells of the experimental group, the performance curve (in yellow) was not as stable—at most TLs, it was 5 to 10 percentage points smaller than the performance yielded by the control complex (CC) cells, dropping significantly below chance at test bins where the grating was in opposition of phase with respect to the training bin. That is, the ability of the experimental complex (EC) cells to support phase-tolerant orientation decoding was somewhat in between that of properly developed complex cells and that of simple cells. This shows that, even if some complex cells survived our experimental manipulation (i.e., the rearing in temporally broken visual environments), their functional properties were nevertheless impaired by the controlled rearing, as demonstrated by their reduced ability to support phase-invariant decoding of stimulus orientation.

DISCUSSION

The findings reported in our study show that breaking the temporal continuity of early visual experience severely interferes with the typical development of complex cells in V1, leading to a sizable reduction of their number (Fig. 2B) and an impairment of their functional properties (Figs. 4C and 5B). This implies that experience with the temporal contiguity of natural image sequences over time scales longer than 66.7 ms (i.e., the frame duration used during our controlled rearing) plays a critical role in postnatal development of the earliest form of invariance found along the ventral stream. Such an instructive role of temporal continuity of visual stimuli, so far, has been empirically demonstrated only in adult monkeys, at the very last stage of this pathway, the inferotemporal cortex (37). At the same time, our experiments show that degrading the amount of the temporal continuity experienced during development does not affect the emergence of orientation tuning (Fig. 2C), with simple cells exhibiting unaltered spatial (Fig. 3), temporal (Fig. 4C), and functional (Fig. 5B) properties. Interpreting these findings requires a careful discussion of our procedure to classify simple and complex cells, as well as of the strengths and limits of our protocol for controlled rearing, along with a thorough review of the previous studies in which early visual experience was altered during postnatal development.

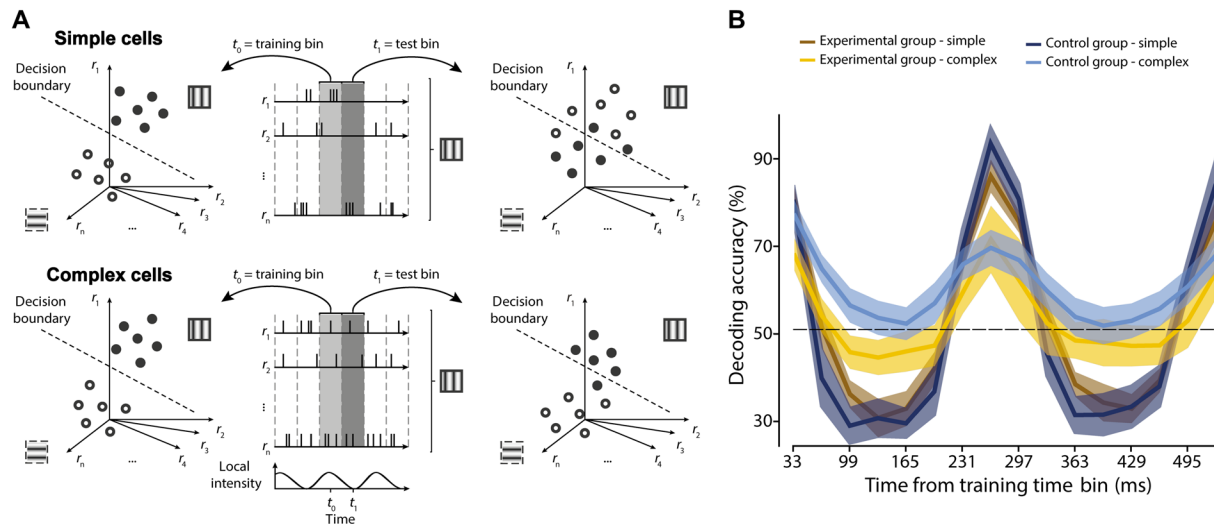


Fig. 5. Postnatal rearing in temporally discontinuous visual environments reduces the ability of complex cells to support phase-tolerant discrimination of grating orientation. (A) The cartoon illustrates the expected outcome of the decoding analysis to test the ability of simple and complex cells to support phase-tolerant discrimination of grating orientation. In the case of simple cells (top), a linear classifier built at time t_0 (middle; light gray shading) to successfully discriminate a vertical from a horizontal drifting grating (left; the filled and empty dots are well separated, within the neuronal representational space, by the linear decision boundary) will generalize poorly when tested at a later time t_1 (middle; dark gray shading), with the accuracy dropping even below chance (right; the filled and empty dots swap sides of the linear decision boundary) due to the strong phase dependency of the responses r_i (middle; some neurons firing at t_0 will stop firing at t_1 , while some other units that are silent at t_0 will respond at t_1). By contrast, for a population of complex cells (bottom), given the greater stability of the responses r_i (middle), the decision boundary resulting from the training at t_0 (left) will generalize better at t_1 (right; the filled and empty dots are still mostly on the original side of the decision boundary). (B) Decoding accuracy yielded by the four populations of control simple (dark blue), control complex (light blue), experimental simple (brown), and experimental complex (orange) cells in the vertical (i.e., 90°) versus horizontal (i.e., 0°) grating discrimination task in 33-ms-wide test bins located at increasingly larger time lags from the training bin (i.e., bin with a lag of 0). The solid curves are the averages of many resampling loops of the neuronal population vectors and the training bins (see Materials and Methods). The shaded regions are the bootstrap-estimated 95% confidence intervals of the solid lines (see Materials and Methods).

Distinguishing simple from complex cells

The original definition of simple cells provided by Hubel and Wiesel (17) was based on the subjective assessment of distinct, elongated ON and OFF flanking regions in the RF of this class of neurons, which endowed them with the property of being both orientation selective and very sensitive to the position of their preferred oriented edges. By contrast, no clearly defined ON and OFF regions could be found for complex cells, which retained the ability to selectively respond to specific orientations, but in a locally position-invariant way—a complex cell would still respond vigorously despite displacements of the preferred oriented edge within its RF. Later studies proposed more objective measures to distinguish simple from complex cells (38, 39) by relying instead on the level of modulation of the neuronal response during the presentation of a drifting grating. This approach has gained increased popularity with the advent of multielectrode arrays. Recording many tens of neurons in parallel does not allow probing each individual unit with cell-specific stimuli [such as the oriented bars originally used by Hubel and Wiesel (17)]—full-field stimuli (such as drifting gratings) are necessary to simultaneously test the recorded population (34, 35, 40). However, assessing the level of modulation of neuronal firing to distinguish simple from complex cells raises two important issues. The first is methodological and concerns the definition of the most suitable metric to measure response modulation (36). A second, deeper issue concerns the validity itself of the classification of V1 neurons into distinct functional cell types, with some authors proposing that a continuum of cell properties, rather than a segregation into discrete cell classes, better describes the organization of visual cortex (41).

With regard to the first issue, the traditional metric that has been proposed, and is still often used, to characterize response modulation is the so-called $F1/F0$ ratio, i.e., the ratio between the amplitude of the Fourier spectrum at the temporal frequency of the drifting grating and the mean spike rate of the neuron (see Materials and Methods for details). This metric, however, has been criticized in a recent study (36), which quantitatively demonstrated the already-known drawbacks of the $F1/F0$ ratio in terms of consistency and reliability. This ratio, in fact, is very sensitive to the relative magnitude of the evoked and background firing rate of a neuron. Specifically, it tends to yield low values not only in the absence of modulation but also when the amplitude of the modulation is weak, relative to the background rate. In this scenario, the $F1/F0$ ratio tends to underestimate the level of modulation, thus misclassifying as complex cells units that exhibit clearly modulated activity in their PSTHs. In addition, the $F1/F0$ ratio is not a standardized metric, and the threshold traditionally used to distinguish complex from simple cells (i.e., $F1/F0 = 1$) is arbitrary and not based on statistical considerations. This led Wypych *et al.* (36) to define a new modulation metric (which they named standardized $F1$ or $zF1$), in which the spectral intensity at the temporal frequency of the drifting grating (i.e., $F1$) is referred to the mean spectral intensity and divided by its SD. As shown in (36), this metric is more reliable in capturing the level of modulation of neuronal firing that is apparent from the PSTHs. In addition, being a standardized metric, a criterion to distinguish highly modulated (i.e., simple) from poorly modulated (i.e., complex) cells can be defined on statistical grounds, i.e., by measuring how distant $F1$ is from the mean spectral intensity in units of SD.

In our study, we also used a standardized *F1* metric to quantify the level of modulation of neuronal responses to drifting gratings (simply referred to as the MI; see Materials and Methods). This choice was motivated by the considerations explained in the previous paragraph and by having verified, in an earlier study, the effectiveness and robustness of this index at quantifying the level of response modulation not only in rat V1 and higher-level visual cortical regions but also across the layers of deep, artificial neural networks for image classification, such as HMAX and VGG16 (34). Notably, following our adoption of this metric, the key advantages of the standardized *F1* index were recently acknowledged by the Allen Institute, which used it for its large-scale surveys of mouse visual cortex (42, 43).

In our current study, for completeness, we have also assessed the modulation of neuronal firing using two different instances of the *F1/F0* ratio—the most commonly applied definition (38, 39) and a modified version that has the advantage of being bounded between 0 and 2 (see Materials and Methods) (44). As expected, both *F1/F0* ratios tended to inflate the proportion of units falling below the *F1/F0* = 1 threshold that is typically used to classify a cell as complex (fig. S3). Despite this reduced sensitivity to capture variations in the level of modulation of the firing rate, the experimental units still displayed a significantly larger response modulation than the control units (orange versus blue curves; $P < 0.05$, Wilcoxon test). As a result, a significantly lower proportion of experimental cells was classified as complex (orange versus blue bars; $P < 0.05$, Fisher's exact test), thus confirming the impact of rearing newborn rats in visually discontinuous environments on the development of complex cells.

As mentioned above, the debate about the best choice of the modulation metric relates to the deeper issue of whether it is appropriate in the first place to segregate visual cortical neurons into discrete functional classes. Critically, the decoding analysis presented in our study (see Fig. 5) addresses both questions. From a computational perspective, the key functional property distinguishing simple from complex cells is the larger translation invariance that the latter are supposed to afford in the representation of stimulus orientation (16). Modulation metrics measure this ability only indirectly and with a variable degree of reliability. On the other hand, reading-out stimulus orientation using a linear classifier directly quantifies the amount of translation-invariant information that can be easily (i.e., linearly) extracted from the underlying neuronal representation (16). Hence, our decoding analysis (Fig. 5) validates at once the existence of two functionally distinct subpopulations of visual cortical neurons and the metric (i.e., the MI) we used to distinguish them. The radically different degree of phase invariance in the representation of stimulus orientation afforded by the two populations of units classified as simple and complex in the control group (dark versus light blue curves) demonstrates that (i) these populations are indeed functionally distinct, with respect to their ability to code invariantly stimulus orientation; (ii) the MI provides a measure of response modulation that is highly consistent with the degree of translation invariance of the recorded units; and (iii) the 3σ threshold used to distinguish simple from complex cells effectively partitions the range of measured MI values into distinct functional classes.

Breaking temporal continuity of early visual experience: A comparison with strobe rearing studies

The development of complex cells in the animals reared with the temporally discontinuous movies (i.e., the experimental group) was strongly impaired, with the experimental animals showing a median

MI that was almost twice as large as that of the control rats and a fraction of complex cells that was almost half (Fig. 2B). However, it was not fully abolished—a small amount of complex cells survived the experimental manipulation, although with a diminished capability of supporting translation-invariant decoding of stimulus orientation (Fig. 5B). At first glance, this may seem at odd with the hypothesis that temporal continuity is strictly necessary for the development of transformation tolerance in V1. However, it should be considered that, as explained in Results, the disruption of temporal continuity achieved with our controlled rearing was not complete. Even if the frame-scrambled rearing videos lacked temporal structure at time scales longer than 66.7 ms (Fig. 1, B and C), the experimental rats could still experience some residual amount of temporal continuity in the visual experience because of head and/or eye movements. Specifically, the visual features that the animals may have experienced as continuously transforming (e.g., translating) include (i) structural parts of the physical environment (e.g., the edges of the monitors; see fig. S1), (ii) parts of their own bodies, and (iii) the content of individual movie frames, although over very short temporal spans (≤ 66.7 ms). As already explained, this residual temporal continuity was not accidental but intentional. It was dictated by the need of allowing the rats full access to the spatial content of the individual image frames, which prevented using frame rates higher than rat flicker fusion frequency (~ 30 to 40 Hz) (32). In addition, although experience with the motion of physical features and/or body parts may have been strongly limited by the use of head fixation, we preferred to avoid this procedure. In fact, head fixation would have prevented a natural and active exploration of the visual environment, which, in rodents, has been shown to strongly affect the plasticity and development of visual cortex (33)—a phenomenon that is consistent with the tight relationship between the encoding of visual and locomotory/positional signals recently reported in rodent V1 (45). The concern that head fixation could limit the impact of controlled visual rearing on the developing visual cortex was reinforced by the failure of a previous study (performed on head-fixed ferrets) to causally demonstrate that experience with oriented visual patterns is necessary for the development of orientation tuning in V1 (24). On the basis of these considerations, we reasoned that the rearing would have been more effective if the newborn rats were left unrestrained inside the immersive visually environments, even at the cost of allowing some residual temporal continuity in their visual experience. The fact that, despite this residual continuity, the development of complex cells was strongly impaired in the experimental rats testifies to the paramount importance of experiencing a fully continuous visual environment for the development of translation tolerance. At the same time, the residual temporal continuity experienced during rearing can easily explain why the development of complex cells was not fully abolished.

The incomplete disruption of temporal continuity during postnatal rearing can also explain why the development of direction selectivity was unaffected by our experimental manipulation (fig. S5). This finding was somewhat unexpected, given that, in agreement with the temporal extension of the sparse coding principle (46), postnatal rearing under stroboscopic illumination has been found to produce a substantial loss of direction selectivity in V1 (26–30). This discrepancy with our result can be understood by considering that strobe light flashes in these earlier studies had a much shorter duration (typically, ~ 10 μ s) than the frame duration in our movies. Thus, in strobe rearing studies, the animals were fully deprived of

experience with smooth motion signals, while our controlled rearing allowed the content of individual image frames to be experienced as smoothly moving (e.g., translating) over time scales of ≤ 66.7 ms. On the other hand, our rearing ensured that the temporal correlation of the visual stream delivered through the displays was close to zero over time scales of >66.7 ms (see Fig. 1, B and C). By contrast, strobe rearing, especially at high rates (8 Hz), allowed for such a high-frequency sampling of the visual environment to resemble a “normal patterned input” (29), leading to “human subjective experience [...] of a series of jerky images, reminiscent of the early motion picture” (26). This implies that, despite the disruption of smooth motion signals at the microsecond time scale, the animals subjected to strobe rearing likely experienced a strongly correlated visual input at time scales as large as several hundreds of milliseconds or a few seconds (i.e., of the order of what experienced by our control rats; see blue curves in Fig. 1, B and C). This likely explains why several studies based on strobe rearing at 4 to 8 Hz mention the existence of complex cells in the strobe-reared animals without explicitly reporting any loss of these neurons (26, 27, 30), with one study, in particular, reporting no qualitative differences in the sampling of simple and complex cells between the strobe-reared and control subjects (28).

In summary, when our results are considered together with those of earlier strobe rearing studies, an intriguing double dissociation emerges with regard to the instructive role of temporal continuity during cortical development. The temporal learning mechanisms leading to the development of invariance appear to be distinct and independent from those supporting the development of direction tuning, with the former operating over time scales that are several orders of magnitude longer than the latter. As a result, successful disruption of temporal continuity at the microsecond time scale but preservation of temporal correlations at time scales of the order of tens/hundreds of milliseconds (as in most strobe rearing studies) interferes with the development of direction tuning but spares the development of complex cells. Vice versa, preserving time contiguity at the microsecond/millisecond level but destroying correlations at longer time scales (as in our study) impairs the development of complex cells without preventing the emergence of direction selectivity.

Another finding of our study that is worth discussing in the context of the limitations of our rearing procedure and previous strobe rearing studies is the typical development of orientation tuning (Fig. 2C) and spatial RF properties (Fig. 3) observed in the experimental rats. Given that the access to the image content of the individual movie frames was the same as for the control animals, this result strongly suggests that development of shape tuning depends on the exposure to the spatial statistics of natural images, rather than on the temporal continuity of the visual stream. Thus, our results would add to the indirect evidence in favor of the role played by USL during development (23, 6). However, given the residual amount of temporal continuity allowed by our rearing procedure, we cannot exclude that, as for the case of direction tuning, development of orientation tuning too may rely on UTL mechanisms working at smaller temporal scales than those required to support the development of invariance. The fact that strobe rearing at 4 to 8 Hz impairs the development of direction tuning but not of orientation selectivity makes this scenario unlikely (26–28, 30). Nevertheless, this does not fully exclude the possibility that an intermediate time scale of temporal continuity exists that is necessary for the development of spatial selectivity but is neither sufficiently long to support the development of invariance nor sufficiently short to sustain the development of

direction tuning. To settle this question, future studies will need to rear newborn animals with purely static images, possibly varying image duration from a few tens of milliseconds to a few tens of microseconds in different experimental groups. This will require combing head fixation with eye tracking in closed-loop experiments, where initiation of a saccade should abort stimulus presentation so as to fully deprive the subjects of the experience of continuous transformations of the visual input at any time scale.

Nature versus nurture

While our findings, as those of previous strobe rearing studies, point to a pivotal, instructive role of early visual experience in determining the tuning properties of visual cortical neurons, the residual amount of complex cells in our experimental animals, as well as the unimpaired tuning for orientation and direction, could also be explained as the result of genetically encoded, experience-independent developmental programs. Support for this “hardwiring” hypothesis comes from studies in which orientation and direction selectivity in various species was found to be already highly developed at the onset of visual experience, i.e., right after EO (19). However, this does not seem to apply to rat V1 whose functional properties have been reported to remain immature after postnatal rearing in complete darkness (31). This may point to differences not only among species but also among experimental manipulations, since, in many studies, the animals were kept in a normal dark-light cycle before EO. Differently from dark rearing (DR), this procedure allows for a very blurred and dimmed stimulation of the retina through the closed eyelids, which could drive the development of cortical tuning in an experience-dependent way, either by directly evoking neuronal responses or by fostering the generation of waves of spontaneous activity (see next paragraph) (47). In addition, even a few hours of visual experience after EO may be enough to drive fast development of cortical tuning properties, as demonstrated in juvenile ferrets (22). To date, the most convincing demonstration of experience- and activity-independent formation of orientation and direction tuning comes from a mouse study in which DR was paired with genetic silencing of spontaneous cortical activity during development (48) (unfortunately, the study did not test whether complex cells developed normally).

The possible role played by spontaneously generated activity in instructing the development of cortical tuning is yet another explanation for the residual fraction of complex cells and the unaltered orientation and direction selectivity found in our study. Key to this concept, often referred to as “innate learning” (49), is the idea that, during development, neural circuits, by virtue of their genetically determined structure, could self-generate activity patterns that are able to act as “training examples” to sculpt and refine their own wiring or the wiring of other downstream circuits. This activity-dependent structuring may be driven by the same unsupervised plasticity rules (such as USL and UTL) that would later act on stimulus-evoked activity after the onset of sensory experience. An example of innate learning is the role played by the spatiotemporally correlated patterns of activity evoked by retinal waves in driving the development of topographic visual maps (50). From a theoretical standpoint, computational studies have shown that these spontaneous activity patterns could also support the development of simple and complex cells via, respectively, sparse coding (49, 51) and temporal learning mechanisms (52). This may explain the finding of a recent study, where the presence of complex cells in mouse V1 was reported at EO already (40). However, the animals included in that study were

not subjected to DR and were also allowed normal visual experience for several hours before the neuronal recordings. This makes it difficult to infer what developmental mechanism was at the origin of the complex cells reported by (40)—whether experience-dependent or independent and, in the latter case, whether activity-driven (innate learning) or purely genetically encoded.

Conclusions and implications

In summary, it is difficult to fully reconcile the conclusions of the studies reviewed in the previous two sections, especially given the variability found across species and the variety of experimental approaches that have been devised to manipulate visual experience and/or retinal/cortical activity during early postnatal development. This makes it hard to know whether our altered rearing acted on visual cortical circuits in a “blank,” immature state or rather reshaped the wiring of circuits that had already been structured by innate developmental programs, possibly combined with the effect of internally generated activity. Nevertheless, what our data causally demonstrate is that a form of plasticity based on UTL must be at work in the developing visual cortex to build up (or maintain) invariance in a way that is highly susceptible to the degree of temporal correlation of visual experience.

From a theoretical standpoint, this result causally validates the family of UTL models (7–15) at the neural level, albeit strongly suggesting that their scope is limited to the development of invariance and not of shape selectivity. More in general, since slowness has been related to predictability (53–55), our results are also consistent with normative approaches to sensory processing that are based on temporal prediction (56). On the other hand, our findings, by showing that exposure to the spatial structure of natural images alone is not enough to enable proper development of complex cells, reject computational accounts of invariance based exclusively on USL (3, 4) while leaving open the possibility that the latter may govern the development of shape tuning (1, 2, 5, 6). As a result, our study tightly constrains unsupervised models of visual cortical development, supporting theoretical frameworks where the objectives of sparseness and slowness maximization coexist to yield, respectively, shape selectivity and transformation tolerance (13, 14, 57).

MATERIALS AND METHODS

All animal procedures were in agreement with international and institutional standards for the care and use of animals in research and were approved by the Institutional Animal Care and Use Committee of the International School for Advanced Studies (SISSA) and by the Italian Ministry of Health (project DGSAF 22791-A, submitted on 7 September 2015 and approved on 10 December 2015, approval 1254/2015-PR).

Animal subjects and controlled rearing protocol

Data were obtained from 18 Long-Evans male rats that were born and reared in our facility for visually controlled rearing. The facility consists of a small vestibule, where the investigators can wear the infrared goggles that are necessary to operate in total darkness, and a larger, lightproof room containing a lightproof housing cabinet (Tecniplast) and four custom cabinets (Tecniplast) for exposure of the rats to controlled visual environments.

Pregnant mothers (Charles River Laboratories) were brought into the housing cabinet about 1 week before delivery. Pups were

born inside the cabinet and spent the first 2 weeks of their life in total darkness with their mothers. Starting from P14 (i.e., at EO) until P60 (i.e., well beyond the end of the critical period), each rat, while still housed in full darkness (i.e., inside the housing cabinet) with his siblings, was also subjected to daily 4-hour-long exposures inside an immersive visual environment (referred to as the virtual cage), consisting of a transparent basin (480 mm by 365 mm by 210 mm; Tecniplast 1500 U), fully surrounded by four computer-controlled LCD monitors (one per wall; 20" HP P202va; see fig. S1), and placed on the shelf of one of the custom cabinets (each cabinet had four shelves, for a total of 16 rats that could be simultaneously placed in the visually controlled environments). These controlled rearing environments, which are reminiscent of those used to study the development of object vision in chicks (25), were custom-designed in collaboration with Videosystem, which took care of building and installing them inside the custom cabinets.

Different visual stimuli were played on the monitors, depending on whether an animal was assigned to the experimental or the control group. Rats in the control group ($n = 8$) were exposed to natural movies, including both indoor and outdoor scenes, camera self-motion, and moving objects. Overall, the rearing playlist included 16 videos of different duration, lasting from a few minutes to half an hour. The playlist was played in random order and looped for the whole duration of the exposure. Rats from the experimental group ($n = 10$) were exposed to a time-shuffled version of the same movies, where the order of the frames within each video was randomly permuted so as to destroy the temporal continuity of the movie (see Fig. 1, B and C) while leaving unaltered the natural spatial statistics of the individual image frames. All movies were played at 15 Hz, which is approximately half of the critical flicker fusion frequency (~30 to 40 Hz) that has been measured for the rat (32), to make sure that the animals could experience the image content of the individual frames of the movies. Animal care, handling, and transfer operations were always executed in absolute darkness using night vision goggles (Armasight NXY7) in such a way to prevent any unwanted exposure of the animals to visual inputs different from those chosen for the rearing.

Quantification of the temporal correlations in the rearing videos

To assess the level of temporal structure in the videos that were administered to the control and experimental rats during the controlled rearing inside the virtual cages, we computed the average pixel-level temporal autocorrelation function for each movie. This function was then fitted with an exponential decay model whose time constant provided a measure of the time scale of temporal continuity in the movie.

The first step to compute the temporal autocorrelation function was to chunk each frame in a movie into blocks of 6×6 pixels and then average the pixel intensity values inside each block so as to lower the resolution of the movie frames. This downsampling was necessary to ease the computational load of the analysis. Each movie frame was then unrolled into a vector, and the correlation matrix of the ordered ensemble of frame vectors was computed. Last, all the elements of the correlation matrix that were located along the k th diagonal (where k denotes the distance from the main diagonal) were averaged to obtain the value of the mean temporal autocorrelation function at lag k (with k ranging from 1 to the maximal separation between two frames in a movie).

The following exponential model was used to fit the mean temporal autocorrelation function obtained for each movie

$$f(\Delta t) = Ae^{-\frac{\Delta t}{\tau}} + C$$

where Δt is the TL (obtained by multiplying the frame lag k by the frame duration of 66.7 ms) and τ is the time constant of the exponential decay whose value was taken as a measure of the amount of temporal structure in a movie. A and C are free parameters. Only the first 4.95 s of the mean temporal autocorrelation functions were taken into account for the fitting procedure (see Fig. 1, B and C).

Surgery and recordings

Acute extracellular recordings were performed between P60 and P90 (last recording). During this 30-day period, the animals waiting to undergo the recording procedure were maintained on a reduced visual exposure regime (i.e., 2-hour-long visual exposure sessions every second day; see previous section).

The surgery and recording procedure was the same as described in (34). Briefly, the day of the experiment, the rat was taken from the rearing facility and immediately (within 5 to 10 min) anesthetized with an intraperitoneal injection of a solution of fentanyl (0.3 mg/kg; Fentanest, Pfizer) and medetomidin (0.3 mg/kg; Domitor, Orion Pharma). A constant level of anesthesia was then maintained through continuous intraperitoneal infusion of the same aesthetic solution used for induction, but at a lower concentration [fentanyl (0.1 mg/kg per hour) and medetomidine (0.1 g/kg per hour)], by means of a syringe pump (NE-1000, New Era Pump Systems). After induction, the rat was secured to a stereotaxic apparatus (SR-5R, NARISHIGE) in flat-skull orientation (i.e., with the surface of the skull parallel to the base of the stereotax), and following a scalp incision, a craniotomy was performed over the target area in the left hemisphere (typically, a 2 mm by 2 mm window), and the dura was removed to allow the insertion of the electrode array. The coordinates of penetration used to target V1 were ~ 6.5 mm posterior from bregma and ~ 4.5 mm left to the sagittal suture (i.e., anteroposterior, 6.5; mediolateral, 4.5). Once the surgical procedure was completed, and before probe insertion, the stereotax was placed on a rotating platform, and the rat's left eye was covered with black, opaque tape, while the right eye (placed at 30-cm distance from the monitor) was immobilized using a metal eye-ring anchored to the stereotax. The platform was then rotated in such a way to bring the binocular visual field of the right eye to cover the left side of the display.

Extracellular recordings were performed using either single- (or double-) shank 32- (or 64-) channel silicon probes (NeuroNexus Technologies) with a site recording area of $775 \mu\text{m}^2$ and an intersite spacing of $25 \mu\text{m}$. After grounding (by wiring the probe to the animal's head skin), the electrode was manually lowered into the cortical tissue using an oil hydraulic micromanipulator (typical insertion speed, $5 \mu\text{m/s}$; MO-10, NARISHIGE), up to the chosen insertion depth (800 or $1000 \mu\text{m}$ from the cortical surface), either perpendicularly or with a variable tilt, between 10° and 30° , relative to the vertical to the surface of the skull. Extracellular signals were acquired using a System 3 Workstation (Tucker Davis Technologies) with a sampling rate of 25 kHz.

Since, in rodents, the largest fraction of complex cells is found in layer 5 of V1 (35), our recordings aimed at sampling more densely that layer. This was verified a posteriori (fig. S2) by estimating the cortical depth and laminar location of the recorded units, based on

the patterns of visually evoked potentials (VEPs) recorded across the silicon probes used in our recording sessions. More specifically, we used a template-matching algorithm for laminar identification of cortical recording sites that we recently developed and validated in an appositely dedicated methodological study (58). Briefly, the method finds the optimal match between the pattern of VEPs recorded in a given experiment across a silicon probe and a template VEP profile, spanning the whole cortical thickness, that had been computed by merging an independent pool of 18 recording sessions in which the ground-true depth and laminar location of the recording sites had been recovered through histology. The method achieves a cross-validated accuracy of $79 \mu\text{m}$ in recovering the cortical depth of the recording sites and a 72% accuracy in returning their laminar position, with the latter increasing to 83% for a coarser grouping of the layers into supagranular (L1 to L3), granular (L4), and infra-granular (L5 and L6).

Visual stimuli

During a recording session, each animal was presented with (i) 20 repetitions (trials) of 1.5-s-long drifting gratings, made of all possible combinations of two spatial frequencies (0.02 and 0.04 cycles/degree), two temporal frequencies (2 and 4 Hz), and 12 directions (from 0° to 330° , in 30° increments); and (ii) 20 different 60-s-long spatially and temporally correlated, contrast modulated, noise movies (34, 35). All stimuli were randomly interleaved, with a 1-s-long interstimulus interval, during which the display was set to a uniform, middle-gray luminance level. To generate the movies, random white noise movies were spatially correlated by convolving them with a Gaussian kernel having full width at half maximum corresponding to a spatial frequency of 0.04 cycles/degree. Temporal correlation was achieved by convolving the movies with a causal exponential kernel with a 33-ms decay time constant. To prevent adaptation, each movie was also contrast modulated using a rectified sine wave with a 10-s period from full contrast to full contrast (35).

Stimuli were generated and controlled in MATLAB (MathWorks) using the Psychophysics Toolbox package and displayed with gamma correction on a 47-inch LCD monitor (SHARP PNE471R) with 1920×1080 -pixel resolution, a maximum brightness of 220 cd/m^2 , and spanning a visual angle of 110° azimuth and 60° elevation. Grating stimuli were presented at 60-Hz refresh rate, whereas noise movies were played at 30 Hz.

Single-unit isolation

Single units were isolated offline using the spike sorting package KlustaKwik-Phy (59). Automated spike detection, feature extraction, and expectation maximization clustering were followed by manual refinement of the sorting using a customized version of the Phy interface. Specifically, we took into consideration many features of the candidate clusters: (i) the distance between their centroids and their compactness in the space of the principal components of the waveforms (a key measure of goodness of spike isolation); (ii) the shape of the auto- and cross-correlograms (important to decide whether to merge two clusters or not); (iii) the variation, over time, of the principal component coefficients of the waveform (important to detect and take into account possible electrode drifts); and (iv) the shape of the average waveform (to exclude, as artifacts, clearly nonphysiological signals). Clusters suspected to contain a mixture of one or more single units were separated using the "reclustering" feature of the graphical user interface (GUI). After the manual refinement step,

we included in our analyses only units that were (i) well-isolated, i.e., with less than 0.5% of “rogue” spikes within 2 ms in their autocorrelogram and (ii) grating-responsive, i.e., with the response to the most effective grating condition being larger than 2 spikes/s (baseline-subtracted) and being larger than six *z*-scored points relative to baseline activity. The average baseline (spontaneous) firing rate of each well-isolated unit was computed by averaging its spiking activity over every interstimulus interval. These criteria led to the selection of 105 units for the control group and 158 units for experimental group.

Quantification of selectivity

The response of a neuron to a given drifting grating was computed by counting the number of spikes during the whole duration of the stimulus, averaging across trials and then subtracting the spontaneous firing rate (see previous section). To quantify the tuning of a neuron for the orientation and direction of drifting gratings, we computed two standard metrics, the OSI and DSI, which are defined as $OSI = (R_{pref} - R_{ortho}) / (R_{pref} + R_{ortho})$ and $DSI = (R_{pref} - R_{opposite}) / (R_{pref} + R_{opposite})$, where R_{pref} is the response of the neuron to the preferred direction, R_{ortho} is the response to the orthogonal direction, relative to the preferred one (i.e., $R_{ortho} = R_{pref} + \pi/2$), and $R_{opposite}$ is the response to the opposite direction, relative to the preferred one (i.e., $R_{opposite} = R_{pref} + \pi$). Values close to one indicate very sharp tuning, whereas values close to zero are typical of untuned units.

Quantification of phase modulation (i.e., position tolerance)

Since phase shifts of a grating are equivalent to positional shifts of the whole, two-dimensional sinusoidal pattern, a classical way to assess position tolerance of V1 neurons (thus discriminating between simple and complex cells) is to probe the phase sensitivity of their responses to optimally oriented gratings. Quantitatively, the phase-dependent modulation of the spiking response at the temporal frequency f_1 of a drifting grating was quantified by the MI adapted from (36) and used in (34), defined as

$$MI = \frac{|PS(f_1) - \langle PS_f \rangle|}{\sqrt{\langle PS^2 \rangle_f - \langle PS \rangle_f^2}}$$

where PS indicates the power spectral density of the stimulus-evoked response, i.e., of the PSTH, and $\langle \rangle_f$ denotes the average over frequencies. This metric measures the difference between the power of the response at the stimulus frequency and the average value of the power spectrum in units of its SD. The power spectrum was computed by applying the Blackman-Tukey estimation method to the baseline-subtracted, 10-ms binned PSTH. Since the MI is a standardized measure, values greater than 3 can be interpreted as signaling a strong modulation of the firing rate at the stimulus frequency (typical of simple cells), whereas values smaller than 3 indicate poor modulation (typical of complex cells). On this ground, we adopted $MI = 3$ as a threshold for classifying neurons as simple or complex. The choice of this classification criterion and the use of the MI itself were determined before seeing the data collected for the current study, exclusively on the basis of our experience with the same metric and criterion in a previous study (34).

We also quantified the phase sensitivity of the recoded neurons using two other popular metrics of response modulation: the standard $F1/F0$ ratio and a modified version of this metric that has the

advantage of being bounded between 0 and 2 (we will refer to this metric as $F1/F0^*$). The $F1/F0$ ratio (38, 39) is typically defined as

$$F1/F0 = \frac{F1}{F0}$$

where $F1$ is the value of the amplitude of the Fourier spectrum at the stimulus frequency f_1 , whereas $F0$ is its value at the zero frequency f_0 (i.e., the “DC” or constant component of the response), that is

$$F1 = AS(f_1) \quad F0 = AS(f_0 = 0)$$

On the other hand, the $F1/F0^*$ ratio (44) has been defined as

$$F1/F0^* = \frac{2 \cdot F1}{(F0 + F1)}$$

This allows obtaining an index that is bounded to have a maximum value of 2 rather than infinity (as in the case of the $F1/F0$ ratio). The amplitude spectra used to compute the $F1/F0$ and $F1/F0^*$ ratios were obtained by subjecting each trial of the preferred grating orientation of a neuron to Fourier analysis. Trials with a firing rate of <2 Hz were excluded from the analysis. Specifically, Fourier amplitude spectra were obtained by applying the fast Fourier transform algorithm to the baseline-subtracted, 10-ms binned PSTH of the steady-state grating response (i.e., from 250 to 1500 ms after stimulus onset). As done in previous studies (39, 44), the threshold we adopted to classify neurons as simple or complex via these ratios was 1 for both indices.

Estimation of linear RFs through STA and characterization of their properties

We used the STA method (60) to estimate the linear RF structure of each recorded neuron. The method was applied to the spike trains fired by neurons in response to the spatiotemporally correlated and contrast modulated noise movies described above. To account for the correlation structure of our stimulus ensemble and prevent artifactual blurring of the reconstructed filters, we “decorrelated” the raw STA images by dividing them by the covariance matrix of the whole stimulus ensemble (60). We used Tikhonov regularization to handle covariance matrix inversion. Statistical significance of the STA images was then assessed pixel-wise by applying the following permutation test. After randomly reshuffling the spike times, the STA analysis was repeated multiple times ($n = 50$) to derive a null distribution of intensity values for the case of no linear stimulus-spike relationship. This allowed *z*-scoring the actual STA intensity values using the mean and SD of this null distribution. The temporal span of the spatiotemporal linear kernel we reconstructed via STA extended until 330 ms before spike generation (corresponding to 10 frames of noise at 30-Hz frame rate). The STA analysis was performed on downsampled noise frames (16×32 pixels), and the resulting filters were later spline-interpolated at higher resolution for better visualization.

To estimate the amount of signal contained in a given STA image, we used the CI metric that we have introduced in a previous study (34) (see the method section and figure 5A of that study). The CI is a robust measure of maximal local contrast in a *z*-scored STA image. Since the intensity values of the original STA images were expressed as *z* scores (see above), a given CI value can be interpreted in terms of peak-to-peak (i.e., white-to-black) distance in sigma units of the *z*-scored STA values. For the analysis shown

in Fig. 3B, the STA image with the highest CI value was selected for each neuron.

We also characterized the structural complexity of the RFs yielded by STA by counting the number of excitatory/inhibitory lobes that were present in a STA image and measuring the overall size of the resulting RF. The procedure is the same described in our previous study (34) (see the method section and figure 5B of that study). Briefly, we applied a binarization threshold over the modulus of the z -score values of the image (ranging from three to six units of SDs). We then computed the centroid positions of the simply connected regions within the resulting binarized image (i.e., the candidate lobes) and their center of mass (i.e., the candidate RF center). Last, we applied a refinement procedure, which is detailed in (34), to prune spurious candidate lobes (often very small) that were far away from the RF center. Obviously, the number of lobes and the size of the RF (computed as the mean of the major and minor axes of the ellipse that best fitted the region covered by the detected lobes) depended on the binarization threshold. For this reason, in Fig. 3 (C and D), we have compared the lobe number and the RF size of the recorded populations of experimental (orange) and control (blue) units over a range of possible choices of this threshold.

Quantification of response slowness

For each neuron, we quantified the slowness of its response to the same noise movies used to estimate its RF by computing the time constant of the autocorrelogram of the evoked spike trains [i.e., the probability density function of interspike intervals (ISI)]. Being the noise movies composed of richer visual patterns than drifting gratings (i.e., richer orientation and spatial frequency content), this was a way to assess the response properties of the recorded population in a slightly more naturalistic stimulation regime. The time constants τ were computed by fitting autocorrelograms with the following exponential function

$$f(\Delta t) = Ae^{-\frac{\Delta t}{\tau}} + C$$

where Δt is the ISI (see Fig. 4A, bottom) and τ is the time constant of the exponential decay whose value was taken as a measure of the slowness of the response of each neuron to the noise movies. A and C are free parameters. Only the first 200 ms of the ISI distributions were taken into account for the fitting procedure (see Fig. 4A, bottom).

Only neurons that were strongly modulated at the frequency of variation of the contrast in the movies (i.e., 0.1 Hz) were included in the analysis. To select the neurons that met this criterion, the level of response modulation was quantified by a standardized contrast MI (MIc). The MIc was defined exactly as the MI that was used to assess the phase sensitivity of the responses to the gratings (see above), with the only difference that the target frequency to measure $PS(f_1)$ (i.e., the power spectral density at the frequency of the modulated input) was now the frequency of the contrast modulation in the noise movies (i.e., 0.1 Hz). To this aim, we built PSTHs for the noise movies by considering each of the 20 different movies we presented as a different trial of the same stimulus so as to highlight the effect of contrast modulation (see examples of highly contrast modulated neurons in Fig. 4A, top). The MIc for each unit was computed over these PSTHs, and only units with a MIc of >3 (i.e., units that were significantly contrast modulated) were included in the analysis. Furthermore, to ensure a robust estimation of the response time con-

stants, we rejected units for which the R^2 (coefficient of determination) of the fit with the best exponential model was lower than 0.5.

Orientation decoding analysis

The goal of this analysis was to build four pseudo-populations of neurons—i.e., control simple (CS), control complex (CC), experimental simple (ES), and experimental complex (EC) cells—with similar distributions of orientation tuning and orientation preference and then compare their ability to support stable decoding of the orientation of the gratings over time. The pseudo-populations were built as follows. We first matched the control and experimental populations in terms of the sharpness of their orientation tuning. To this aim, we took the OSI distributions of the two populations (i.e., the blue and orange curves in Fig. 2C), and for each bin b in which the OSI axis had been divided (i.e., 10 equispaced bins of size = 0.1), we took as a reference the population with the lowest number of units N_b in that bin. For this population, all the N_b units were considered, while for the other population, N_b units were randomly sampled (without replacement) from those with OSI falling in the bin b . Repeating this procedure for all the 10 bins, we obtained two downsampled populations of control and experimental units, having all the same OSI distribution and the same number of units ($n = 92$). When considering separately the pools of simple and complex cells within these downsampled populations, the resulting mean OSIs were very similar (CS: 0.44 ± 0.04 , $n = 43$; CC: 0.42 ± 0.03 , $n = 49$; ES: 0.46 ± 0.03 , $n = 57$; EC: 0.38 ± 0.04 , $n = 35$) and not statistically different pairwise ($P > 0.05$, two-tailed unpaired t test). Matching the four populations in terms of the OSI was essential, but not sufficient, to make sure that they had approximately the same power to support discrimination of the oriented gratings. The populations could still differ in terms of the distributions of orientation preference. To also equate them in this sense and make sure that all possible orientations were equally discriminable, we replicated each unit 11 times by circularly shifting its tuning curve of 11 incremental steps of 30° . This yielded four final pseudo-populations of 473 (CS), 539 (CC), 627 (ES), and 385 (EC) units, with matched orientation tuning and homogeneous orientation preference to be used for the decoding analysis.

The latter worked as follows. From each pseudo-population, we sampled (without replacement) 300 units (referred to as decoding pool in what follows) and built 300-dimensional population vectors having as components the responses (i.e., spike counts) of the sampled units in randomly selected presentations (i.e., trials) of either the 0° - or the 90° -oriented grating (drifting at 4 Hz), with each response computed in the same, randomly chosen 33-ms-wide time bin within the presentation epoch of the grating. More specifically, this time bin was chosen under the constraint of being between 561 and 957 ms from the onset of stimulus presentation so that the drifting grating continued for at least two full cycles (i.e., 561 ms) after the selected bin. The random sampling of the trial to be used in a given population vector was performed independently for each neuron (and without replacement) so as to get rid of any noise correlation among the units that were recorded in the same session. Given that 20 repeated trials were recorded per neuron and stimulus condition, a set of 20 population vectors was built for the 0° -oriented grating and another set for the 90° -oriented gratings. These vectors were used to train a binary logistic classifier to discriminate the two stimuli. The resulting classifier was then tested for its ability to discriminate the gratings in 33-ms-wide test bins that were increasingly distant (in time) from the training bin, covering two full cycles of

the drifting gratings (i.e., from 33 to 561 ms following the training bin; see abscissa in Fig. 5B). This analysis was repeated for 50 random samplings (without replacement) of the decoding pools and, given a decoding pool, for 10 independent random draws (without replacement) of the training time bin. The resulting 500 accuracy curves were then averaged to yield the final estimate of the stability of the classification over time (solid curves in Fig. 5B).

To obtain 95% confidence intervals (shaded regions in Fig. 5B) for these average classification curves, we run a bootstrap analysis that worked as follows. For each of the four pseudo-populations, we sampled (with replacement) 50 surrogate populations and used those to rerun the whole decoding analysis described in the previous paragraph. This yielded 50 bootstrap classification curves that were used to compute SEs for the actual generalization curve. The SEs were then converted into confidence intervals by multiplying them by the appropriate critical value of 1.96.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/6/22/eaba3742/DC1>

[View/request a protocol for this paper from Bio-protocol.](#)

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