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Mechanisms of clonal evolution in childhood acute lymphoblastic leukemia

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Abstract

Childhood acute lymphoblastic leukemia can often be retraced to a pre-leukemic clone carrying a prenatal genetic lesion. Postnatally acquired mutations then drive clonal evolution towards overt leukemia. RAG1-RAG2 and AID enzymes, the diversifiers of immunoglobulin genes, are strictly segregated to early and late stages of B-lymphopoiesis, respectively. Here, we identified small pre-BII cells as a natural subset of increased genetic vulnerability owing to concurrent activation of these enzymes. Consistent with epidemiological findings on childhood ALL etiology, susceptibility to genetic lesions during B-lymphopoiesis at the large to small pre-BII transition is exacerbated by abnormal cytokine signaling and repetitive inflammatory stimuli. We demonstrate that AID and RAG1-RAG2 drive leukemic clonal evolution with repeated exposure to inflammatory stimuli, paralleling chronic infections in childhood.

COMPETING FINANCIAL INTERESTS

The authors declare no conflicting interests.

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AUTHOR CONTRIBUTIONS

M.M. conceived the study. S.S., L.K. and M.M. designed experiments and interpreted the data. S.S. and L.K. performed majority of the experiments. E.Park, A.F., S-M K., D.T., B.H., N.H., J.M. performed experiments. H.G. generated survival analyses for patient samples. A.F., K.S., S.C.K, R.C., D.G.S., M.R.L., E.Papaemmanuil and M.F.G. provided important reagents, mouse samples and patient data. S.S., L.K., M.F.G. and M.M. wrote the manuscript.

Introduction

Childhood pre-B acute lymphoblastic leukemia (ALL) can frequently be retraced to a preleukemic clone carrying a genetic lesion that was acquired in utero (for example ETV6-*RUNX1*)^{1,2}. During early childhood, pre-leukemic clones can acquire secondary mutations and evolve towards overt leukemia³. Leukemia cells follow Darwinian trajectories of clonal evolution where sequentially acquired genetic lesions increase or decrease adaptive fitness of pre-leukemic clones, resulting in their positive or negative selection⁴. While this concept is well established, the mechanism(s) driving clonal evolution are not known. Epidemiological studies suggested a model of clonal evolution that is driven by recurrent infections during childhood⁴⁻⁸. It was hypothesized that delayed exposure of infants to infections in highly protective environments of developed societies cause protracted and excessive immune responses with substantial collateral damage^{6,8}. Early exposure to common infections (such as via day care attendance) mitigated this risk^{5,8}. The systematic introduction of vaccination programs during early childhood reduced the incidence of ALL, presumably by reducing chronic infections and the immune responses that they instigate⁷. For example, the administration of *Haemophilus influenzae B* vaccine in early childhood significantly reduced the risk of childhood ALL^{9,10,11} in three different case-control studies conducted in American, Finnish and Canadian cohorts.

Altered cytokine environments in the context of inflammation eliminate multiple normal pre-B cell clones from the repertoire and favor selective outgrowth of pre-B cell clones that already harbor a pre-leukemic genetic lesion, such as, *ETV6-RUNX1*¹². *ETV6-RUNX1* was identified as a prenatally-acquired genetic rearrangement¹³. Additional postnatally-acquired lesions over the course of up to 15 years can give rise to leukemia in this sub-group. While *ETV6-RUNX1* is frequently found in umbilical cord B cells and neonatal Guthrie blood spots¹³, less than 1% of newborn children carrying *ETV6-RUNX1* eventually develop ALL¹⁴. These studies led to the idea that persistent infection and delayed exposure to strong inflammatory stimuli in childhood may increase the risk of acquiring the post-natal genetic lesions. However, the mechanistic basis of how such pre-leukemic clones evolved remained elusive.

Two classes of enzymes are required for somatic recombination and mutation of immunoglobulin (Ig) genes in B cells: The proteins encoded by recombination activating genes (RAG1 and RAG2) introduce DNA double-strand breaks and recombine V, D and J segments¹⁵, AID deaminates cytosines in Ig V and switch regions thereby enabling somatic hypermutation (SHM) and class switch recombination (CSR) of Ig genes¹⁶. It is particularly interesting to note that genetic diversification of the antibodies by AID and RAG1-RAG2 is activated in response to infectious and inflammatory stimuli, both in early¹⁷ and mature¹⁸ B cells. A comprehensive breakpoint analysis¹⁹ suggested that some chromosomal rearrangements in human B cell malignancies may result from the cooperation between RAG1-RAG2 and AID. During normal B cell development, however, enzymatic activities of these proteins are normally strictly segregated²⁰.

Here, we report that the above enzymes not only genetically diversify the B-lymphocyte repertoire²¹, but also contribute to the acquisition of genetic lesions and promote clonal evolution towards leukemia. In this paper, we delineate the molecular mechanism by which the normal physiological process of antibody diversification is subverted in pre-leukemic B cell clones (*ETV6-RUNX1*) leading to overt disease.

Results

Frequently deleted genes in childhood ALL are targets of AID

RAG1 and RAG2 are constitutively expressed in pro- and pre-B cells and target recombination signal sequences (RSSs) in both Ig and non-Ig genes. Motif analyses of deletion breakpoints in the tumor suppressor genes *IKZF1*²², *CRLF2*, *TBL1XR1*, *CDKN2A*, *NR3C1*, *NR3C2* and *BTG1*²³, revealed that RSS motifs in these genes were indeed targeted by RAG1-RAG2.

To test the plausibility of AID's contribution to RAG1-RAG2-mediated deletions and rearrangements, we measured whether lesions in childhood ALL (data from clinical trial P9906) occur preferentially at genes bound by AID in normal B cells. We identified 40 genes that were recurrently mutated, deleted or rearranged in childhood ALL (207 patients in COG P9906) and plotted the frequency of these lesions against mouse AID (mAID) targeting of these genes in mouse B cells. mAID target genes (4,167) were identified in a previous ChIP-seq study based on increased mAID ChIP-seq tags compared to $Aicda^{-/-}$ B cells²⁴. 18,248 genes (non-mAID targets) did not have significantly higher mAID ChIP-seq tag counts compared to $Aicda^{-/-}$ B cells. Interestingly, frequencies of recurrent genetic lesions correlated significantly with mAID ChIP-seq counts (Fig. 1a). In this analysis, 34 of 40 genes with recurrent lesions in childhood ALL are mAID-targets, thereby pointing to AID's possible contribution to frequent genetic lesions in childhood ALL. Of the remaining six genes that are non-mAID targets, five (CDKN2A, TBL1XR1, NR3C1, NR3C2 and PBX1)^{23,25} have breakpoints at known RSS motifs with characteristic N-nucleotide addition at the break junctions, suggesting that lesions at these loci were caused by RAG1-RAG2 activity alone. It is important to note that not all AID targets are syntenic between human and mouse. While this represents a limitation to our approach, disparity between AID targets in humans and mice will only lead to an underestimation of the correlation we observed. Moreover, a recent study identifying hAID targets in B cell lymphoma²⁶, although not quantitative, includes multiple genes from our analysis (PAX5, BTG1, BTG2, BACH2, RHOH, EBF1, TCF3). These studies confirm that AID may be responsible for mutating a substantial percentage of genes found frequently altered in pre-B ALLs.

High AICDA and RAG1 mRNA predict poor ALL patient outcome

If AID allows clonal evolution towards childhood ALL, its expression and activity might correlate with clinical outcomes of patients. To test this possibility, we correlated *AICDA* mRNA expression in patients at diagnosis with overall and relapse-free survival. ALL patients in two clinical trials (ECOG E2993 and COG P9906) were segregated into two groups based on higher and lower than median *AICDA* mRNA abundance at the time of diagnosis. The ECOG E2993 trial included 215 patients (106 bone marrow and 109

peripheral blood), and the COG P9906 trial had 207 pediatric patients (131 bone marrow and 76 peripheral blood).

Interestingly, higher than median *AICDA* expression strongly predicted poor overall patient survival (Supplementary Fig. 1a, left; *P*=0.026). Likewise, higher than median *RAG1* mRNA abundance predicted shorter relapse-free and overall survival of patients with ALL (Supplementary Fig. 1a, center and right). In the COG P9906 trial, 49 patients relapsed after successful initial therapy. Comparing *AICDA* mRNA expression in matched sample pairs at diagnosis and relapse, for most patients, *AICDA* mRNA abundance was increased at relapse (Supplementary Fig. 1b). These findings suggest that *AICDA* expression at diagnosis can predict ALL patient-outcome.

RAG and AID proteins are active in human B cell precursors

B-ALL arises from sites of early B-lymphopoiesis- the fetal liver and the bone marrow during pre- and postnatal development, respectively. RAG enzymes are active in B cell precursors in both sites. Studies in identical twins with concordant ETV6-RUNX1 pre-B ALL indicate clonal origin in cells undergoing RAG-dependent IGH variable region rearrangement and continued recombinant activity during subsequent clonal evolution^{13,27}. To measure AID-activity in human fetal liver and bone marrow B cell precursors, we sorted CD19⁺ pre-B cells that lack κ and λ immunoglobulin light chains, from fetal liver tissues of three donors and bone marrow from one healthy adult donor. We then cloned and sequenced the IGH variable regions for SHM and constant regions for CSR (Supplementary Tables 1,2). Interestingly, most bone marrow pre-B cell clones expressed IGH with mutated V_H regions (26×10^{-3} bp, Fig. 1b, Supplementary Table 1). Likewise, fetal liver pre-B cells of three donors carried mutated V_H regions (14×10⁻³ bp, Fig. 1b, Supplementary Table 2). To control for reverse transcriptase and Pfu DNA polymerase errors, peripheral blood-derived CD3⁺ T cells lacking AICDA expression were sorted and, rearranged TCRB and TCRG variable regions were amplified and analyzed in parallel with IGH V_H regions of bone marrow and fetal liver pre-B cells. Overall, IGH V_H regions from sorted bone marrow and fetal liver pre-B cells carried a significant frequency of somatic mutations ($P=3.3\times10^{-18}$), relative to TCRB and TCRG variable regions from peripheral blood T-lymphocytes (Fig. 1b). We also found a significant fraction of the sorted CD19⁺ pre-B cells sorted from fetal liver tissues expressing class-switched IGH, containing $C\gamma3$, $C\gamma1$ and $C\alpha$ constant regions (Fig. 1b,c, Supplementary Table 2). It is important to note that our method of *IGH* cloning and sequencing was not strictly quantitative. Therefore, we are not in a position to predict the exact fraction of fetal liver pre-B cells that express class-switched IGH constant regions. These findings suggested that AID might be prematurely activated in human bone marrow and fetal liver pre-B cells. While we found genetic traces of AID activity in RAG1-RAG2expressing pre-B cells, these findings did not establish that AID and RAG1-RAG2 are concurrently active in the same cells.

Small pre-BII cells have increased genetic vulnerability

To elucidate whether and how premature activity of mAID is regulated during early Blymphopoiesis, we sorted B cell precursors from normal mouse bone marrow as described previously²⁰ by flow cytometry. Pro-B cells (c-kit⁺ B220⁺), large pre-BII (CD25⁺ B220⁺

FSChi SSClo) and small pre-BII (CD25+ B220+ FSClo SSClo) were isolated. As large and small pre-BII cells carry similar surface markers we used their size differences (FSC) to separate them. We then measured Aicda mRNA abundance in each fraction of early B cell development (Fig. 2a). In comparison to activated mature splenic B cells, Aicda mRNA in bone marrow B cell precursors was generally low or absent. However, Aicda mRNA was increased ~21-fold at the small pre-BII as compared to the large pre-BII stage of early Blymphopoiesis (Fig. 2a). This transition is characterized by signals from the pre-B cell receptor (pre-BCR) and its intracellular signaling components that are assembled by the pre-BCR linker BLNK^{28,29}. One consequence of pre-BCR signaling at the large to small pre-BII transition is the downregulation of interleukin-7 receptor (IL-7R) surface expression by BLNK activation (Fig. 2b). Attenuation of IL-7R expression reduces downstream STAT5 signaling in small pre-BII cells³⁰. We therefore tested whether *Aicda* mRNA abundance was increased in small pre-BII cells by abrogating IL-7R-STAT5 signaling using two methods. First, we reconstituted the pre-BCR linker *Blnk* in *Blnk*^{-/-} pre-B cells to induce IL-7R downregulation, and measured Aicda mRNA (Supplementary Fig. 2a) and, mAID protein abundance (Fig. 2c). Second, we removed IL-7 from pre-B cell cultures (Fig. 2d, Supplementary Fig. 2b) to force the transition from large pre-BII to small pre-BII stage. In *vitro* differentiation by both methods induced mAID protein upregulation, demonstrating that active IL-7R signaling in mouse large pre-BII cells safeguards against pre-mature mAID expression.

IL-7R safeguards human pre-B cells from AID activation

We next investigated whether IL-7R signaling protects against pre mature AID expression in human pre-B cells. While IL-7R signaling is essential for B cell development in mice, humans with inherited IL7R deficiency have almost normal B cell counts³¹, which allows experimental analysis of human B-lymphopoiesis in the absence of IL-7R signaling. We isolated large and small pre-BII cells from bone marrow samples of three patients with biallelic germline mutations in either gene that encode the IL-7R, namely *IL7R* and *IL2RG*. One patient harbored an *IL7R*^{G28X} mutation, the two other patients carried *IL2RG*^{Q144X} and IL2RG^{R289X} mutations, respectively (Fig. 2e). As controls, we studied sorted large and small pre-BII cells from bone marrow of three healthy donors. As in mouse B-lymphopoiesis, we observed that human pre-B cells upregulate AICDA mRNA by 10- to 25-fold at the large pre-BII to small pre-BII transition. In the three patients lacking functional IL-7R signaling, pre-B cells expressed more AICDA mRNA as compared to the healthy controls (Fig. 2e). No significant difference was observed between large and small pre-BII cell subsets obtained from IL-7R-deficient patients. Amplification and sequencing of IGH V_H regions revealed a low frequency of SHM in normal small pre-BII cells. Frequencies of somatic IGH V_H region mutations were ~5-fold higher, in both large and small pre-BII cells isolated from patients lacking functional IL-7R (Fig. 2e). Thus, IL-7R safeguards human pre-B cells from premature AID activation.

Safeguard mechanism of IL-7R against AID activation

IL-7R-STAT5 signaling in early pre-B cells³² prevents upregulation of Rag1-Rag2 mRNA and recombination of Igk V and J gene segments^{32,33}. Downregulation of IL-7R expression attenuates activities of STAT5 and Akt, and induces activation of Rag1-Rag2 mRNA

(Supplementary Fig. 2c). STAT5 recruits the polycomb repressor EZH2 to Rag1-Rag2, while Akt phosphorylates and inactivates FOXO1, a potent transcriptional activator of Rag1 and $Rag2^{34}$. Here we show that inducible, Cre-mediated deletion of *Stat5a* and *Stat5b* in large pre-BII cells not only results in activation of RAG1-RAG2 but also upregulation of AID (Fig. 3a). The mechanistic role of Akt-Foxo1 signaling in regulation of Aicda mRNA abundance was tested using genetic loss of function of PTEN, a negative regulator of Akt, and gain-of-function studies for Foxo1. Inducible deletion of *Pten* results in hyperactivation of Akt and, hence, phosphorylation and inactivation of Foxo transcription factors³⁵. Pre-BCR signaling via BLNK not only decreased IL-7R surface expression but also caused activation of Foxo factors (Fig. 3b). CRE-mediated, inducible ablation of Pten caused nearcomplete loss of Rag1, Rag2 and Aicda mRNA expression (Fig. 3c-e). In agreement with these findings, a constitutively active form of Foxo1 that is protected against Akt-mediated phosphorylation strongly activates Rag1, Rag2 and Aicda transcription. Interestingly, these effects were further enhanced by removal of IL-7 from cell culture medium (Fig. 3f-h, Supplementary Fig. 2d). In summary, IL-7R signaling protects pre-B cells from premature activation of Aicda through STAT5- and Akt-dependent pathways.

Concurrent RAG and AID activity in pre-B cell clones

AID is upregulated in mature B cells upon antigen encounter in germinal center, where it introduces SHM in Igh V_H regions and promotes CSR of constant regions^{16,36}. Antigen encounter in germinal centers can be mimicked by treatment of mature splenic B cells with lipopolysaccharide (LPS) and IL-4. We tested whether small pre-BII cells respond to inflammatory agents like LPS in a manner similar to mature B cells, by upregulating mAID. To measure mAID-activation in pre-B cells at the single cell level, we studied pre-B cells from an Aicda-GFP reporter mouse strain where GFP gene was fused in frame to Aicda exon 5^{37} . Aicda-GFP pre-B cells were propagated in IL-7 and then treated with or without LPS, and in the presence or absence of IL-7 (Fig. 4a, Supplementary Fig. 3a,b). Treatment with LPS induced minimal increases of mAID protein expression. However, when LPS-treatment was combined with IL-7-withdrawal, upregulation of mAID was increased by 20-fold compared to LPS-treatment in the presence of IL-7 (Fig. 4a,b). To measure mAID and RAG1-RAG2 activities in parallel at the single-cell level, we monitored mAID-GFP and de novo surface expression of Igk light chains after RAG-mediated Vk-Jk gene rearrangement (Fig.4a, Supplementary Fig. 3b). Treatment of Aicda-GFP pre-B cells with LPS in the presence of IL-7 induced activation of the Aicda-GFP reporter in 2.8% of cells as compared to 0.01% in LPS-untreated condition. However, combination of LPS-treatment with removal of IL-7 from the cell culture medium increased the fraction of mAID-expressing cells to 42% from 2.8% in the presence of IL-7. Interestingly, majority of Aicda-GFP⁺ cells also expressed *de novo* κ light chains (Fig. 4a, Supplementary Fig. 3b), providing direct evidence that mAID expression and RAG-mediated recombination of Vk- and Jk-gene segments can occur in the same cells. These findings were confirmed in a second Aicda reporter mouse model, in which mAID drives expression of Cre for excision of a loxP-flanked Stop cassette of an eYPF marker that is located in the Rosa26 locus³⁷ (Supplementary Fig. 3c,d).

Evidence of ongoing RAG and AID activity in human pre-B ALL

Studying IGH V_H genes amplified from 72 cases of childhood ALL (13 ETV6-RUNX1, 2 TCF3-PBX1, 3 MLL-rearranged, 1 MYC-IGH, 17 hyperdiploid, 26 with normal or undetermined karvotype and 10 with sporadic chromosomal translocations), we found evidence of ongoing RAG1-RAG2 and AID activity in all subgroups (Supplementary Tables 3-5). Pre-B ALL clones consistently carried somatically mutated V_H and J_H gene segments, indicative of AID activity (Supplementary Tables 3-5). Interestingly, in 10 of 72 cases, we found subclones in each patient that carried the same defining D-J_H junction (Supplementary Tables 3-5). Multiple distinct V_H segments diversified these subclones through sequential RAG-mediated V_H replacement³⁸. The process of leukemic clonal evolution by cooperative activities of AID (SHM) and RAGs (V_H replacement) in a pediatric pre-B ALL patient is shown (Supplementary Fig. 4, Supplementary Table 5). Comparing mutation frequencies in V_H and J_H segments, we found that J_H segments carry a significantly higher load of somatic mutations (102×10^{-3} bp in J_H, 16×10^{-3} bp in V_H; *P*=0.0007; Supplementary Tables 3-5, Fig. 4c). Multiple rounds of V_H replacement, where acquired mutations in V_H segments are erased but D-J_H junctions remain constant, could explain this unexpected difference. In 7 of 13 ETV6-RUNX1 pre-B ALL cases, we found multiple distinct subclones that had undergone V_H replacement, suggesting that RAG enzymes are particularly active in this subset (Supplementary Table 3). This finding is in agreement with a recent study highlighting aberrant activation of RAG-mediated recombination as the primary driver of ETV6-RUNX1 leukemogenesis²³. To test if ETV6-RUNX1 activity is linked to deregulated RAG expression, we transduced murine pre-B cells with vectors encoding full-length ETV6-RUNX1, a mutant ETV6-RUNX1 lacking the DNA binding Runt Homology Domain (RHD) and an empty vector (EV) control. Interestingly, full-length ETV6-RUNX1 but not

RHD ETV6-RUNX1 induced expression of *Rag1-Rag2* in mouse pre-B cells, suggesting that abnormally high recombinase activity in this ALL subset is caused by the ETV6-RUNX1 fusion (Fig. 4d,e). Hence, cooperative AID and RAG activities may explain the mechanism of clonal evolution in the *ETV6-RUNX1* leukemias.

Cytosine-deamination at CpGs are enriched in ALL patients

As AID-targets are frequently deleted or mutated in childhood ALL, we analyzed mutational hotspot targeting in 24 patient-derived ALL: 10 *ETV6-RUNX1*, 4 *MLL-AF4*, 1 *TCF3-PBX1* and 9 cases lacking a recurrent chromosomal translocation (Supplementary Table 6). In agreement with two recent studies^{23,39}, whole exome sequencing revealed a striking enrichment of C>T transitions at CpGs, accounting for 44% of all substitutions. Cytosine-deamination at methyl-CpGs strongly implicates AID-mediated deamination of methyl-CpG¹⁹. However, in agreement with previous studies^{23,38}, somatic mutations in human ALL samples did not reveal typical hotspot targeting described for SHM of *IGH* V_H genes (such as RGYW, WRCY)⁴⁰. AID hotspot targeting typically emerges from a large number of somatic mutations in *IGH* V_H region genes that were non-productively rearranged, hence mutations do not affect the outcome of clonal selection among B cells. The non-Ig gene mutations in ALL cells studied here occur at much lower frequencies and in coding regions, leaving open the possibility that hotspot targeting has been obscured by low mutation frequencies and effects of clonal selection.

Coordinate AID and RAG expression subverts genetic integrity in cord blood B cells

Based on our earlier findings, we propose that pre-mature AID expression in small pre-BII cells represents a vulnerability that exposes early B lymphocyte development to genetic lesions in the context of repeated exposure to inflammatory stimuli (Supplementary Fig. 5). We therefore tested the relevance of RAG and AID cooperation in clonal evolution in normal cord blood-derived human B cells through a genetic gain-of-function experiment. Normal CD19⁺ B cells were sorted from human cord blood (CB) and immortalized by EBV-containing supernatants from B98-5 cultures. Proliferating CB B cells were then transduced with vectors encoding *Aicda* (iRFP670), *Rag1* (eGFP) and *Rag2* (dsRedE2), either alone or in combination or empty vector controls for *Aicda*, *Rag1* and *Rag2* vectors (Figs. 5a,b; Supplementary Figs. 6,7). Transduced CB B cells were sorted in each condition and expression of AID, RAG1 and RAG2 and lack thereof was confirmed by immunoblotting (Fig. 5a). Our finding, that AID expression is absent in EBV-infected B cells is consistent with the published literature. EBV suppresses AID expression⁴¹, which is the reason why EBV⁺ B cell lymphomas do not exhibit ongoing SHM and CSR. EBV-mediated suppression of AID is induced by the EBV oncoprotein EBNA2⁴².

To be able to study genetic lesions at the level of individual clones, single transduced human B cell clones were plated into individual wells on 96-well plates. CB B cell clones were harvested and subjected to whole exome sequencing. CB B cells carrying three empty vector controls (iRFP670, eGFP, dsRedE2), *Aicda* and two empty vector controls, *Rag1*, *Rag2* and one empty vector control and, *Aicda*, *Rag1* and *Rag2*, were analyzed. The analysis focused on structural genetic lesions: insertions, deletions, inversions and translocations. Compared to empty vector transduced CB B cells, overexpression of either *Aicda* alone or *Rag1-Rag2* alone only increased the frequency of interchromosomal translocations. However, CB B cells expressing *Aicda* in combination with *Rag1-Rag2* had increased counts of insertions, deletions, inversions and intrachromosomal translocations (Fig. 5c).

RAGs and AID cooperate in ETV6-RUNX1-driven leukemogenesis

We hypothesized that AID and RAGs cooperate and induce secondary genetic lesions to accelerate leukemogenesis of an *ETV6-RUNX1* small pre-BII clone in the context of inflammation. To test this, we subjected *ETV6-RUNX1* expressing pre-B cells from wildtype mice, $Aicda^{-/-}$, and $Rag1^{-/-}$ mice to five rounds of IL-7 withdrawal and LPS treatment. During each cycle of IL-7 removal and LPS-treatment a large fraction of stimulated pre-B cells died. Before entering the next round of stimulation, the pre-B cells recovered over the course of ~2 weeks from a small fraction of surviving pre-B cells. The cell death after each cycle of IL-7 withdrawal and LPS treatment occurred independently of the *Aicda* or *Rag1* genotype. This was not surprising because IL-7 withdrawal induces a number of *Aicda* and *Rag*-independent signaling pathways that promote apoptosis at the small pre-BII stage B-lymphopoiesis.

After five cycles, firefly luciferase-labeled pre-B cells were injected intravenously into NOD/SCID mice. All mice receiving wildtype pre-B cells with IL-7 withdrawal and LPS treatment died of leukemia within three weeks. Multiple mice (3-4) in the $Aicda^{+/+} RagI^{+/+}$ -IL-7+LPS group were sacrificed on the same day because they were terminally ill with

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leukemia. This phenomenon is reflected in the rapid drops of the Kaplan Meier curve for this group. In contrast, the absence of Aicda or Rag1 delayed and abrogated leukemia development respectively, demonstrating that both proteins are required for clonal evolution of ETV6-RUNX1 pre-B cells towards leukemia (Fig. 6a,b, Supplementary Figs. 8,9). In the absence of Aicda, two mice developed leukemia, albeit after a substantially prolonged latency period (Fig. 6a,b). We were able to verify that the sick mice injected with stimulated $Aicda^{+/+} Rag1^{+/+}$ pre-B cells indeed died of pre-B ALL (Fig. 6c, Supplementary Figs. 8,9). These findings provide genetic evidence that clonal evolution of pre-leukemic ETV6-*RUNX1* pre-B cells in the context of inflammatory/repetitive infectious stimulation requires both AID and RAG activities.

Discussion

A recent study demonstrated that aberrant RAG-mediated V(D)J recombination targeting non-Ig genes causes genetic lesions that drive clonal evolution of B-ALL²³. Likewise, AID targets non-Ig genes, promotes drug-resistance in B-lineage ALL^{43,44} and gives rise to AIDdependent chromosomal rearrangements. A comprehensive sequence analysis of 1,700 breakpoints of chromosomal rearrangements in human B cell malignancies suggested that these lesions are caused by cooperation between RAGs and AID¹⁹. This was based on the surprising finding that ~70% of chromosomal rearrangements in pro- and pre-B cells involve a break at CpG dinucleotides. Cytosines in CpG dinucleotides are frequently methylated. While AID-mediated cytosine-deamination typically results in conversion to uracil and triggers highly efficient repair by base-excision repair, AID-mediated deamination of methyl-cytosine, e.g. in CpG, results in conversion of cytosine to thymine. The resulting T:G mismatch is more stable than a U:G mismatch, and repair of T:G mismatches is ~2,500 times less efficient⁴⁵. These T:G mismatches generate a one-base bubble that is recognized by RAGs, resulting in the introduction of a single-strand nick¹⁹. AID thus generates multiple one-base bubbles (T:G mismatches) at methylated CpG sequences that form substrates for RAG-mediated cleavage. An important premise for this scenario is that, under some circumstances, B cells express RAGs and AID concomitantly.

Our findings highlight that small pre-BII cells represent a subset of increased genetic vulnerability because they concurrently express AID and RAGs in the presence of strong inflammatory stimuli. The concurrent activation of these enzymes provides an explanation for the thus far elusive origin of childhood leukemia. We formally demonstrate that combined AID and RAG activities are required for clonal evolution from pre-leukemic lesions and that; such cooperative activities potentiate genetic instability in these clones. We elucidate how IL-7R signaling safeguards the early B cell genome from premature AICDA activation. Altered cytokine environments have been previously attributed to the clonal evolution of ETV6-RUNX1-driven pre-B ALLs¹². Here, we provide one more example of this phenomenon by which cytokine signaling in pre-B cells can contribute to genomic stability, and hence leukemic transformation.

Our work provides a mechanistic underpinning for the epidemiological findings that altered patterns of infection during early childhood provide a promotional drive to leukemogenesis; especially for subtypes of childhood leukemia initiated in utero via ETV6-RUNX1 fusion or

hyperdiploidy⁶. In future, it may be interesting to investigate if leukemic children with known infectious origins express high levels of AICDA and RAG enzymes. It may also be particularly important to identify the classes of pathogens responsible for overt leukemogenesis in such children. Delineating the molecular bases behind the infectious and inflammatory origins of pre-B ALLs could inform the development of public health policies regarding the benefits of vaccination programs during early childhood for leukemia prevention.

Methods

Patient samples, cord blood and human cell lines

We obtained diagnostic bone marrow samples of individuals with primary pre-B ALL from our collaborators, and human pre-B ALL cell lines from DSMZ, Germany (Supplementary Tables 6,7). These primary pre-B ALL samples, along with some pre-B ALL patient xenografts were used for *IGH* sequencing studies. Our Primary Patient Xenograft Repository is approved by the University of California, San Francisco (UCSF) and Institutional Review Board of NCI/CTEP and the Eastern Cooperative Oncology Group (ECOG, protocol E2993T5). Human CD19⁺ cord blood B cells were obtained from AllCells. AllCells procures cord blood subsequent to receiving informed consent from its donors. We have approval to use cord blood cells in our experiments (protocol BU085087).

Mice strains and cell culture

Bone marrow cells were obtained from young female and male mice (less than 6 weeks of age). The following strains of mice were used: Blnk^{-/-46}, Pten^{fl/fl47}, Aicda-EGFP³⁷, Aicda-Cre X Rosa26-EYFP³⁷, Stat5^{fl/fl48}, Rag1^{-/-49}, Aicda^{-/-16} and, NOD-SCID (Jackson Laboratories). Bone marrow cells were isolated by flushing the cavities of femur and tibia with PBS. After filtration through a 40-µm filter and depletion of erythrocytes using RBC lysis buffer (BD PharmLyse, BD Biosciences), washed cells were either cryopreserved or utilized for further experiments. Bone marrow cells were cultured with 10 ng/ml IL-7 on either RetroNectin(Takara)-coated non tissue culture dishes or on irradiated OP9 stroma layers. Precursor B cell populations were sorted from mouse bone marrow using the following markers: pro-B cells (c-Kit⁺ B220⁺), large pre-BII (CD25⁺ B220⁺ FSC^{hi} SSC^{lo}) and small pre-BII (CD25⁺ B220⁺ FSC^{lo} SSC^{lo}) were separated by flow cytometry using antibodies (Supplementary Table 8) on a FACSAriaII (BD). All pre-B cells were maintained in Iscove's modified Dulbecco's medium (IMDM, Invitrogen) with GlutaMAX containing 20% fetal bovine serum, 100 IU/ml penicillin, 100 µg/ml streptomycin, 50 µM 2mercaptoethanol and 10 ng/ml recombinant mouse IL-7 (Peprotech) at 37 °C in a humidified incubator with 5% CO₂. All mouse experiments were approved by the IACUC of the University of California, San Francisco.

In vivo model for inflammatory origins of ETV6-RUNX1-driven leukemia

IL-7-dependent pre-B cells from wild-type, $Aicda^{-/-} Rag1^{+/+}$, $Aicda^{+/+} Rag1^{-/-}$ mice were transformed with a retroviral vector encoding *ETV6-RUNX1*-IRES-GFP (ETV6-RUNX1^{GFP}). Next, these cells were either cultured with 10 ng/ml IL-7 on OP9 plates or subjected to five cycles of IL-7 withdrawal and 2.5 µg/ml LPS treatment. For the latter, each

cycle was interrupted by a phase where cells were given 10 ng/ml IL-7 for 1 to 2 days to aid their recovery before the starting the subsequent cycle. We then transduced cells with a retroviral vector encoding the luciferase enzyme and injected cells intravenously into 7 sublethally irradiated (250 cGy) female NOD-SCID mice per group. Recipient mice were monitored for weight loss, hunched posture and inability to move, as indicators of leukemia progression. Recipient mice were imaged for bioluminescence on days 7, 10 and 12 post-injection. Mice were sacrificed after they became terminally ill. For the group that displayed signs of leukemia; immunostaining for CD19 was performed on the bone marrow and spleen of mouse recipients to confirm leukemic disease. We sacrificed mice from all the healthy groups at day 70 post-injection.

Immunoblotting

Cells were lysed in CelLytic buffer (Sigma) supplemented with 1% protease inhibitor cocktail (Pierce). Protein samples were subsequently separated on NuPAGE (Invitrogen) 4–12% Bis-Tris gradient gels and transferred on PVDF membranes (Immobilion, Millipore). For the detection of mouse and human proteins by immunoblot, we used primary antibodies together with the WesternBreeze immunodetection system (Invitrogen). All antibodies used for immunoblotting and their corresponding dilutions are listed in Supplementary Table 8.

Flow cytometry

All antibodies for flow cytometry measurements as well as their respective isotype controls are indicated in Supplementary Table 8. Surface staining antibodies that were PE conjugated were used at a dilution of 1:10 and the ones conjugated with FITC at a dilution of 1:5.

Quantitative RT-PCR

Quantitative real-time PCR carried out with the SYBRGreenER mix from Invitrogen according to standard PCR conditions and an ABI7900HT (Applied Biosystems) real-time PCR system. Primers for quantitative RT-PCR are listed in Supplementary Table 9.

Sequencing for somatic hypermutation of the V_H region

Genomic DNA was isolated from the patient samples described in Supplementary Tables 6,7. V_H -DJ_H segments were amplified using the mixture of V_H forward primers (V_H mix) and J_H reverse primers (J_H mix) described in Supplementary Table 9. PCR was carried out utilizing the Phusion Hot Start Kit (NEB). PCR fragments were cloned using a Topo TA cloning kit (Invitrogen) and subsequently sequenced unidirectionally in a 96-well format at Eurofins MWG Operon LLC. Comprehensive sequence analysis was used to identify somatic mutations in the V_H -DJ_H junction sequences using IMGT-V Quest (http://www.imgt.org/IMGT_vquest/share/textes/).

Retrovirus production and transduction

Transfections of retroviral constructs and their corresponding empty vector controls (Supplementary Table 10a) were performed using Lipofectamine 2000 (Invitrogen) with Opti-MEM media (Invitrogen). Retroviral supernatants were used to infect murine cells by co-transfecting HEK 293FT cells with the plasmids pHIT60 (gag-pol) and pHIT123

(ecotropic envelope; provided by D.B. Kohn, University of California, Los Angeles, Los Angeles, CA). To infect human cells we replaced pHIT123 with pHIT456 (amphotropic envelope; provided by D.B. Kohn). Cells were cultured in high-glucose DMEM (Invitrogen) with GlutaMAX containing 10% FBS, 100 IU/ml penicillin, 100 µg/ml streptomycin, 25 mM HEPES pH 7.2, 1 mM sodium pyruvate, and 0.1 mM of nonessential amino acids. Serum-free media was replaced after 16 h with growth media containing 10 mM sodium butyrate. After 8 h of incubation, the media was changed back to growth media without sodium butyrate. 24 h later, we harvested the viral supernatants, passed them through a 0.45 µm filter, and centrifuged them twice at 2,000g for 90 min at 32°C on 50 µg/ml RetroNectin-coated non-tissue culture treated 6-well plates. $2-3 \times 10^6$ pre–B cells were transduced per well by centrifugation at 600g for 30 min and maintained overnight at 37 °C with 5% CO₂ before transferring into culture flasks.

Lentivirus production and transduction

Lentiviral (Supplementary Table 10b) transfections were performed using Lipofectamine 2000 (Invitrogen) with Opti-MEM media (Invitrogen). We produced lentiviral supernatants to infect murine cells by cotransfecting HEK 293FT cells with the plasmids pCD/NL-BH*DDD⁵⁰ (gag-pol; Addgene 17531) and pMD2.G (VSV-G envelope; Didier Trono, Addgene 12259). Cells were cultivated in high-glucose DMEM (Invitrogen) with GlutaMAX as described above. Culture supernatants were concentrated with Lenti-XTM (Clontech) according to the manufactures recommendation. The concentrate of 3 viruses was incubated for 30 min on 50 µg/ml RetroNectin-coated non-tissue culture treated 6-well plates. 2×10^6 EBV cells were transduced per well by centrifugation at 600g for 30 min and maintained overnight at 37 °C with 5% CO₂ before transferring into culture flasks.

Immunohistochemistry

Spleen and liver were obtained from experimental mice were immersed in 20 ml of formalin (VWR) for 24h and transferred to PBS. Paraffin embedding was carried out using standard procedures on a Tissue-TEK VIP processor (Miles Scientific), and 4-µm sections were mounted on Apex superior adhesive slides (Leica Microsystems) and stained on a Ventana BenchMark automated IHC stainer). mCD19 (2E2B6B10) antibody from Abcam was used and the antigen-antibody reaction was detected and visualized using the Ventana iView DAB detection kit, including secondary antibody and other necessary reagents. Immunohistochemistry sections were mounted with mounting medium, antifade reagent (Pro-Long Gold; Invitrogen) was applied, and coverslips were sealed before acquisition of fluorescent images at 25 degrees C on a Zeiss Axiovert 200M inverted confocal microscope with a 40 Plan Neofluor objective using IP Lab 4.0 software (Scanalytics). Photomicrographs were acquired using a Hamamatsu ORCAER HAL100 digital camera (400× original amplification).

Cloning of lentiviral vectors encoding Aicda and Rag1-2

The plasmid pCL6-IRES-dsRedExpress2-wo and pCL6-IRES-iRFP670-wo where generated by replacing the eGFP of pCL6-IRES-eGFP-wo (obtained from H. Hanenberg Heinrich Heine University School of Medicine, Duesseldorf, Germany), with dsRedExpress2 from

pDsRed-Express2 (Clontech) or with iRFP670 from pNLS-iRFP670 (V. Verkhusha, Addgene 45466). Rag1 and Rag2 were amplified with Phusion Hot Start II (Thermo Fisher Scientific) from C57BL/6J cDNA and inserted via In-Fusion HD cloning (Clontech) into pCL6-IRES-eGFP-wo and pCL6IEdsRedExpress2-wo vectors respectively. Aicda was amplified with Phusion Hot Start II (Thermo Fisher Scientific) from MIG-AICDA and inserted into pCL6-IRES-iRFP670-wo.

EBV transformation of Cord Blood (CB) CD19⁺ B Cells

 2×10^{6} fresh CD19⁺ B cells obtained from human cord blood were infected with 1 ml supernatant from B95-8 cells containing EBV (ATCC (VR-1492)) at a 1/4 dilution with RPMI (Invitrogen) containing GlutaMAX containing 20% FBS, 100 IU/ml penicillin, 100 µg/ml streptomycin.

Introduction of Aicda and Rag1-Rag2 in human CD19⁺ B cells

Lentiviral vectors encoding mouse Aicda (pCL6-Aicda-IRES-iRFP670-wo), Rag1 (pCL6-Rag1-IRES-eGFP-wo), Rag2 (pCL6-Rag2-IRES-dsRedExpress2-wo) and the corresponding empty vector (EV) controls were introduced into EBV-transformed human CD19⁺ cord blood B cells by the transduction protocol described earlier. Cells were either transduced with EVs, Aicda alone, Rag1-Rag2 combination or both Aicda and Rag1-Rag2. After 4 days, live EBV cord blood B cells, stained with DAPI, that were triple positive for eGFP, iRFP670 and dsRedExpress2 were single-cell sorted into 96-well plates using a 488nm (525/50), 640nm (670/30), 561nm (582/15) and 355nm(450/50) configuration on an BD AriaII Sorter. The DNA of the sorted triple-positive single cells was amplified using REPLIg Single Cell Kit (Qiagen) according to the manufacturer's protocol. The resulting DNA was target enriched using SureSelect Human All Exon V5 plus UTR target enrichment (Agilent), paired-end libraries where prepared and Illumina sequenced with 2×100nt paired-end (MOgene). The resulting files were analyzed using GeneSpring (Agilent). The mate status was fixed to exclude reads with an inconsistent mate status. A minimum Indel detection size of 100 bp was applied using the PEMer Indel detection algorithm. The minimum local deviant read coverage used was 11 and the minimum local deviant read fraction was 0.2.

Statistical Analysis

Data are presented as the mean \pm s.d. The comparisons for the mean values between two sample groups were made by two-tailed Student's t-test or Wilcoxon's rank-sum test using with Graphpad Prism software or R software (R Development Core Team 2009; http://www.r-project.org). For experiments involving transplantation of cells into mice, the minimal number of mice in each group was calculated by using the 'cpower' function in R/ Hmisc package. The Kaplan-Meier method was used to estimate overall survival and relapse-free survival. Log-rank test was used to compare survival differences between patient groups. The R package 'survival' version 2.35-8 was used for the survival analysis. The level of significance was set at P < 0.05.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations

AICDA	Activation Induced Cytidine Deaminase (gene)
AID	Activation Induced Cytidine Deaminase (protein)
RAG	Recombination Activation Gene
OS	overall survival
RFS	Relapse Free Survival
IGH	immunoglobulin heavy chain
EV	empty vector
IL	interleukin
IL-7R	Interleukin 7 receptor
RSS	recombination signal sequence
ALL	acute lymphoblastic leukemia
LPS	lipopolysaccharide
SHM	somatic hypermutation
CSR	class switch recombination
TCR	T cell receptor
pre-BCR	Pre-B cell receptor
Foxo	Forkhead Family
EBV	Epstein-Barr virus
СВ	cord blood

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Figure 1. Expression and activity of AID in human B cell precursors and B-lineage ALL (a) Plot showing correlation between common somatic hypermutation targets of mAID and their alteration status in childhood ALL. On plotting the genes which are frequently bound and targeted by mAID²⁴, against genes which are commonly deleted and amplified in childhood ALL (data from clinical trial P9906), a positive correlation was observed using a 2×2 contingency table analysis by Chi-square test with Yates' correction. (b) SHM frequency of *IGH* V_H region genes in single pre-B cell clones isolated from human bone marrow (n=1) and human fetal liver (n=3). SHM of variable regions of *TCRVG* and *TCRVB* measured in these cells were used as negative controls. p-values were calculated using student t-test. (c) A schematic depicting CSR in early human B cells derived from three fetal liver donors.

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Figure 2. Late pre-B cells (small pre-BII) represent a natural subset of increased genetic vulnerability

(a) Wildtype mouse bone marrow was sorted into different fractions of early B cell development²⁰. Quantitative RT-PCR showing *Aicda* mRNA abundance in each fraction of early B cell development (n=3; mean \pm s.d.), as compared to splenic B cells induced with LPS and IL-4 (positive control) and *Aicda^{-/-}* pre-B cells (negative control). (b) FACS analysis of surface IL-7R α in *Blnk^{-/-}* pre-B cells expressing empty GFP vector, *Blnk*/GFP or *BlnkY96F*/GFP. (c) Immunoblot depicting increase in mAID protein level upon differentiation of *Blnk^{-/-}* pre-B cells from large pre-BII to small pre-BII by *Blnk* reconstitution. (d) mAID protein levels measured by western blotting before (large pre-BII) and after (small pre-BII) IL-7 withdrawal from mouse pre-B cell cultures. (e) Plots showing *AICDA* mRNA levels (left) and V_H mutation frequency (right) in large pre-BII and small pre-BII human pre-B cells, in children with mutation in one of the chains of the *IL-7R*. Normal human bone marrows were used as negative controls. All p-values were calculated

using student t-test. One representative of three experiments is shown for FACS plots and immunoblots.

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Figure 3. *Aicda* and *Rag1-Rag2* are regulated by the same transcriptional control elements in pre-B cells

(a) AID protein levels in *Stat5*^{fl/fl} IL-7-dependent cells transduced with empty ER^{T2} (no Stat5 deletion) and Cre-ER^{T2} (with Stat5 deletion) vectors were compared by immunoblotting. (b) Immunoblot for active nuclear FOXO4 after Blnk^{-/-} IL-7-dependent pre-B cells are differentiated from large pre-BII to small pre-BII stage by Blnk reconstitution. (c) Aicda mRNA levels were compared between the empty vector (EV) transduced and Pten deleted Ptenfl/fl pre-B cells 48 hours after tamoxifen induction, by qRT-PCR (n=3, mean \pm s.d.). (**d-e**) *Rag1* and *Rag2* mRNA levels were measured by qRT-PCR following inducible deletion of *Pten* in *Pten*^{fl/fl} pre-B cells (n=3, mean ± s.d.). (**f-h**) Mouse IL-7-dependent pre-B cells were retrovirally transduced with either an empty vector or a constitutively active form of Foxol (Foxol^{CA}). The 2 groups of cells were then subject to two conditions each, either they were retained in the presence of IL-7 (large pre-BII) or IL-7 withdrawal was carried out for 24 hours to differentiate them to small pre-BII. (f) Aicda mRNA level was then measured in each case by qRT-PCR (n=3, mean \pm s.d.). (g-h) Rag1 and Rag 2 mRNA levels measured by qRT-PCR after retroviral expression of a constitutively active form of Foxol (Foxol^{CA}) or empty vector (EV) in mouse pre-B cells, in the presence or absence of IL-7 (n=3, mean \pm s.d.). All p-values were calculated using student t-test.



Figure 4. Evidence for concurrent activities of RAGs and AID in single pre-B cell clones

(a) Aicda-GFP pre-B cells³⁷ upregulate expression of AID, RAG1 and RAG2 at small pre-BII in the context of inflammatory signals like LPS (GFP⁺ κ LC⁺ cells) (b) Immunoblot comparing mAID protein levels in large pre-BII and small pre-BII mouse pre-B cells, following LPS exposure. Splenocytes from a wildtype mouse induced with LPS and IL-4 were used as a positive control for mAID expression. (c) SHM frequencies of *IGH* V_H regions in pediatric ALL cases were compared against *SHM* of *TCRG* and *TCRB* in these cases. Leukemia patients displaying ongoing V_H replacements are represented by solid black

circles. (**d-e**) Effects of *ETV6-RUNX1*^{GFP} and its mutant *ETV6-RUNX1*- RHD^{GFP} on *Rag1* and *Rag2* expression in mouse pre-B cells were evaluated by overexpression of retroviral vectors encoding these fusion proteins. Empty vector (EV) transduced mouse pre-B cells served as negative control. (**d**) Representative FACS plots showing the percentages of GFP⁺ cells in each case on day 2 post transduction. One representative of three replicates is shown. (e) GFP⁺ cells in (d) were sorted and used to measure *Rag1* and *Rag2* mRNA levels by qRT-PCR (n=3, mean \pm s.d.). All p-values were calculated using student t-test.

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Figure 5. Cooperation between RAG1, RAG2 and AID promotes clonal evolution towards pre-B ALL

(a) Immunoblot depicting protein expression of AID, RAG1 and RAG2 after retroviral overexpression of these vectors in EBV-immortalized human cord blood B cells. (b) Verification of *Aicda* (iRFP670), *Rag1* (eGFP) and *Rag2* (dsRedE2) overexpression in EBV transformed human B cells by flow cytometry (top) and verification of *Rag1* and *Rag2* overexpression in in these cells by fluorescence microscopy (bottom). (c) Whole exome sequencing analyses to compare the total counts of chromosomal abnormalities in empty vector (EV), *Aicda, Rag1-Rag2*, and *Aicda Rag1-Rag2* transduced EBV-transformed human cord blood B cells.





Figure 6. Cooperation between RAGs and AID is required for clonal evolution of pre-leukemic *ETV6-RUNX1* B cell precursors

(a) Luciferase bioimaging of NOD-SCID mice injected with $Aicda^{+/+} Rag1^{+/+} +IL-7$, $Aicda^{+/+} Rag1^{+/+}$ No IL-7+LPS, $Aicda^{-/-} +IL-7$, $Aicda^{-/-}$ No IL-7+LPS, $Rag1^{-/-} +IL-7$ and $Rag1^{-/-}$ No IL-7+LPS, with all cell types overexpressing *ETV6-RUNX1*^{GFP}. IL-7 dependent pre-B cells from each group were taken through 5 rounds of IL-7 withdrawal and LPS treatment. 7 mice were used per group. Luciferase bioimages are shown for five mice in each group. (b) Kaplan Meier curves comparing the overall survival percentage of mice in all 6 groups. (c) Verification of pre-B ALL development in the $Aicda^{+/+} Rag1^{+/+}$ No IL-7+LPS group by immunohistochemistry. Haematoxylin and Eosin (H&E) staining was carried out to verify lymphocyte infiltration into the liver and spleen of sick mice. Additionally, CD19 immunohistochemistry was carried out to verify that the infiltrating cells represent B-lineage ALL. Immunohistochemistry pictures are shown for one representative mouse out of 7.