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ORIGINAL RESEARCH

# Long-term evaluation of eyes with central corneal thickness $<400~\mu m$ following laser in situ keratomileusis

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**Purpose:** To study long-term refractive and visual outcomes of laser in situ keratomileusis (LASIK) in eyes with a postoperative thin central cornea.

**Methods:** In this retrospective observational case series, we studied 282 myopic eyes with a normal preoperative topographic pattern and postoperative thin corneas ( $<400 \ \mu m$ ) that had at least 3 years of follow-up after LASIK in three private clinics. The main outcome measures were safety, efficacy, predictability, percent tissue altered, and complications.

**Results:** The mean postoperative central corneal thickness was 392.05  $\mu$ m (range: 363.00–399.00  $\mu$ m). After a mean follow-up of 6.89±2.35 years (standard deviation), the safety index was 1.17, the efficacy index was 0.94, and predictability (±1.00 diopter [D]) was 73.49. The mean residual stromal bed thickness was 317.34±13.75  $\mu$ m (range: 275–356  $\mu$ m), the mean flap thickness was 74.76±13.57  $\mu$ m (range: 55–124  $\mu$ m), and the mean percent tissue altered was 37.12%±3.62% (range: 27.25%–49.26%). No major complications were recorded.

**Conclusion:** LASIK with a resultant central cornea thickness  $<400 \,\mu\text{m}$  seems to be effective, safe, and predictable provided that preoperative topography is normal and the residual stromal bed thickness is  $>275 \,\mu\text{m}$ .

Keywords: LASIK, thin, cornea, ectasia, myopia, pachymetry, topography

## Introduction

Laser in situ keratomileusis (LASIK) is an effective, predictable, stable, and safe technique for the correction of refractive errors.<sup>1,2</sup> Since corneal laser refractive surgery was first introduced in ophthalmology, many studies have tried to establish risk factors for one of its most dreaded complications, keratectasia. These risk factors include age <30 years, residual stromal bed thickness <300 µm, preoperative central corneal thickness (CCT) <500 µm, preoperative mean keratometry readings >47.00 D, manifest preoperative refraction spherical equivalent (SE) >-8.00 D, against-the-rule astigmatism, Orbscan posterior float  $>50 \,\mu\text{m}$ , topography (inferior/superior ratio  $\ge 1.40 \,\text{D}$ ), retreatment surgery, and percent of tissue altered (PTA) >40%.<sup>3-6</sup> Randleman et al<sup>4</sup> suggested the Ectasia Risk Score System in 2008. Although an irregular topographic pattern seems to be the main risk factor, ectasia in eyes with normal preoperative corneal topography still present a conundrum.<sup>7,8</sup> Santhiago et al<sup>6</sup> recently proposed that the PTA at the time of LASIK was significantly associated with ectasia in eyes with normal preoperative topography. Febbraro et al<sup>9</sup> considered a postoperative CCT  $<400 \,\mu m$ to be the cutoff value for laser refractive surgery; however, to our knowledge, there are no published data to support this hypothesis.

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Postoperative corneal ectasia is most likely a manifestation of biomechanical integrity reduced to below the threshold required to maintain corneal shape and curvature. Corneal tensile strength gradually decreases in the deeper 60% throughout the central corneal stroma.<sup>10,11</sup> Flap thickness plays a direct role in this decrease, as the anterior lamellar flap does not contribute significantly to postoperative corneal tensile strength.<sup>12</sup> On the other hand, as only 50% of cases of post-LASIK corneal ectasia develop during the first year after surgery, a long-term analysis is needed to evaluate this complication.<sup>13</sup> The present study aims to evaluate the longterm visual outcome and complications of LASIK in eyes with a postoperative CCT <400 µm.

## Materials and methods

In this retrospective case series, we reviewed the medical records of 60,325 consecutive myopic eyes that had undergone LASIK at three centers of Clinica Baviera (Zaragoza, Bilbao, and Valencia, Spain) from January 2003 to April 2010. Clinica Baviera is a private ophthalmology institution with 49 centers located throughout Spain that performs a high volume of refractive surgical procedures. Clinical records and surgical data are stored in a database. The inclusion criteria were myopic refractive error, defined as a negative SE, postoperative CCT  $<400 \,\mu\text{m}$ , more than 3 years of follow-up, and normal preoperative topography. These search criteria were entered into the database. Written informed consent was obtained, clinical charts were reviewed, and the relevant fields of the case report form were completed. The Clinica Baviera review board ruled that ethics approval was not required for this study. All patients had stable refraction for at least 1 year before the procedure. No significant ocular pathology, family history of keratoconus, and historical findings (eg, corneal transplantation) were reported.

All the procedures were performed by experienced surgeons. The preoperative regimen was similar for all patients and included anesthesia with tetracaine hydrochloride 0.01% eyedrops and oral sedation (alprazolam 0.25 mg). Keratectomy was performed using a Moria LSK-One microkeratome (Moria, Antony, France; distributed by Microtech Inc, Doylestown, PA, USA). In our institution, surgeons were trained to achieve flaps thinner than 80  $\mu$ m in special cases using 80 or 100  $\mu$ m footplates. A relative fast pass of the manual microkeratome helps to achieve an intended thin flap. The excimer lasers used were the Technolas Keracor 217C or Z (Bausch & Lomb) and the MEL 80 (Zeiss-Meditec, Jena, Germany).

CCT (preoperative and postoperative) and corneal bed thickness were obtained by taking at least three pachymetry

measurements (DGH 5100 Technology, Inc, Exton, PA, USA), of which the smallest value was recorded. Flap thickness was measured by subtracting the corneal bed thickness (before laser application) from the CCT. The residual stromal bed thickness was calculated by subtracting the laser ablation depth from the measured corneal bed thickness. The postoperative CCT was calculated by subtracting the laser ablation depth from the preoperative CCT.

Preoperative and postoperative examinations included uncorrected distance visual acuity (UDVA), corrected distance visual acuity (CDVA), refraction, cycloplegic refraction, slit-lamp evaluation, intraocular pressure, indirect ophthalmoscopy, keratometry, pachymetry, and topography (Orbscan II, Bausch & Lomb, Rochester, NY, USA). All eyes were targeted for distance vision. The patients were usually examined immediately after surgery and at 12 hours, 1 week, and 1 and 3 months. Annual follow-up visits were recommended. Postoperative corneal topography was usually assessed at 3 months and at each annual follow-up visit. The PTA, which is the percentage of anterior tissue that is modified during LASIK, was obtained using the equation:

 $PTA = \frac{Flap \text{ thickness} + Ablation \text{ depth}}{Preoperative CCT}$ 

Predictability was defined based on the percentage of eyes that achieved a postoperative SE of  $\pm 1.00$  D and of  $\pm 0.50$  D. The indicators of visual outcomes were the efficacy index (postoperative UDVA/preoperative CDVA) and the safety index (postoperative CDVA/preoperative CDVA).

Continuous data were expressed as mean  $\pm$  standard deviation (SD) and range (minimum and maximum). As most variables did not follow a normal distribution, the median and interquartile range were also calculated. In order to calculate 95% confidence intervals, mean  $\pm 1.96$  SD was used for normally distributed variables, and the percentiles 0.025 and 0.975 were used in the remaining cases. Normality was confirmed using the Kolmogorov–Smirnov test. Categorical variables were expressed as frequency (n) and percentage (%). Statistical analysis was performed using SPSS 19.0 for Windows (IBM Corporation, Armonk, NY, USA).

### **Results**

Out of a total of 60,325 LASIK procedures performed on myopic eyes, 282 eyes from 146 patients had a postoperative CCT <400 µm and a follow-up of at least 3 years (6.89±2.35, range: 3.02–11.41 years) that were included in this study. LASIK was performed by 15 surgeons. The mean (SD)

Parameter	Mean $\pm$ SD	Range	Median	Quartile I	Quartile 3	95% CI	P-value <sup>KS</sup>
CDVA	0.12±0.12	(0.00, 0.52)	0.10	0.05	0.19	(0.00, 0.40)	<0.001
Spherical error (D)	-6.96±2.13	(-13.75, -1.25)	-6.75	-8.50	-5.50	(−11.13, −2.79)ª	0.332
Astigmatism error (D)	-1.43±1.15	(-6.75, 0.00)	-1.25	-1.75	-0.75	(-5.00, 0.00)	< 0.00 I
Spherical equivalent (D)	-7.68±2.00	(-14.13, -2.63)	-7.50	-8.88	-6.25	(−11.60, −3.75)ª	0.093
Mean keratometry (D)	44.40±1.53	(40.25, 48.50)	44.25	43.50	45.50	(41.40, 47.39)ª	0.215
Keratometric cylinder (D)	-1.29±0.88	(-5.50, 0.00)	-1.25	-1.75	-0.75	(-3.48, 0.00)	< 0.00 I
Anterior BFS (D)	43.12±1.47	(39.30, 46.80)	43.00	42.20	44.23	(40.24, 46.00) <sup>a</sup>	0.608
Anterior corneal elevation (μm)	11.45±4.76	(1.00, 40.00)	10.00	10.00	14.25	(4.00, 20.00)	< 0.00 I
Posterior BFS (D)	52.19±2.07	(41.10, 56.80)	52.10	51.10	53.60	(48.13, 56.25)ª	0.196
Posterior corneal elevation (µm)	26.55±11.18	(4.00, 61.00)	25.00	18.00	35.00	(4.63, 48.46)ª	0.108
CCT (µm)	505.33±16.75	(440, 543)	505.00	497.00	515.00	(472.51, 538.15) <sup>a</sup>	0.056

Note: "Confidence interval calculated assuming a normal distribution.

Abbreviations: BFS, best-fit sphere (diameter, 10.0 mm); CCT, central corneal thickness; CDVA, corrected distance visual acuity (logMAR); CI, confidence interval; <sup>KS</sup>, Kolmogorov–Smirnov test; SD, standard deviation.

age of the patients included was 33.26±8.78 years (range: 19-62 years); 67 patients (45.89%) were men. Age was <30 years in 117 eyes (41.48%). Of the 16 eyes with keratectasia from the 60,325 myopic LASIK procedures (0.0003%), one had a postoperative CCT <400  $\mu$ m. This eye was not included in the study because of preoperative irregular topography suggesting subclinical keratoconus. Corneal ectasia also developed in the fellow eye of the same patient with postoperative CCT  $>400 \,\mu\text{m}$ . The MEL 80 excimer laser was used in 71 eyes (25.18%), the Technolas Keracor 217Z laser (tissue-saving program) in 64 eyes (22.69%), and the Technolas Keracor 217C or Z laser (standard program) in the remaining 147 eyes (52.13%). Preoperative mean keratometry reading was >47.00 D in ten eyes (3.54%). Preoperative manifest refraction SE was >-8.00 D in 111 eyes (39.36%) and astigmatism >3.00 D in 21 (7.44%). Three eyes (1.06%) had a preoperative Orbscan posterior float >50 µm. Preoperative CCT was <500 µm in 84 eyes

(29.78%) and postoperative residual stromal bed thick-
ness ${<}300~\mu\text{m}$ in 28 eyes (9.92%). All eyes had normal
topography before surgery. Preoperative clinical data are
shown in Table 1.

The mean flap thickness (intraoperative measurements after flap lifting) was 74.76 $\pm$ 13.57 µm (range: 55–124 µm). In none of the thin flaps did the surgeon mention the presence of an epithelial flap (as in epithelial LASIK) or a Bowman layer in the stromal bed. The consistency of the flap indicated that the stromal layer was included in it. The mean stromal ablation was 113.23 $\pm$ 16.88 µm (range: 50–157 µm), resulting in a mean residual stromal bed thickness of 317.34 $\pm$ 13.75 µm (range: 275–356 µm). The mean PTA was 37.12% $\pm$ 3.62% (range: 27.25%–49.26%). More than 40% of tissue was altered in 59 eyes (20.92%). Postoperative clinical data at the last follow-up visit are shown in Table 2.

The predictability index in eyes that achieved a postoperative SE within  $\pm 1.00$  D and within  $\pm 0.50$  D was 73.49 and

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Parameter	$\mathbf{Mean} \pm \mathbf{SD}$	Range	Median	Quartile I	Quartile 3	95% CI	P-value <sup>KS</sup>
UDVA	0.19±0.26	(0.00, 2.00)	0.10	0.02	0.30	(0.00, 0.82)	< 0.001
Spherical error (D)	-0.43±0.69	(-2.75, 1.75)	-0.25	-0.81	0.00	(-2.00, 0.75)	< 0.001
Astigmatism error (D)	-0.43±0.44	(-2.50, 0.00)	-0.50	-0.75	0.00	(-1.50, 0.00)	< 0.001
Spherical equivalent (D)	-0.64±0.77	(-3.38, 1.25)	-0.50	-1.16	0.00	(-2.38, 0.50)	< 0.001
Mean keratometry (D)	39.11±1.74	(34.00, 44.00)	39.25	38.00	40.25	(35.71, 42.52)ª	0.244
Keratometric cylinder (D)	-0.82±0.43	(-2.25, 0.00)	-0.75	-1.00	-0.50	(-1.75, 0.00)	< 0.001
Anterior BFS (D)	41.40±1.55	(37.20, 45.30)	41.30	40.38	42.50	(38.35, 44.44)ª	0.719
Anterior corneal elevation (μm)	-16.62±7.71	(-47.00, 10.00)	-16.00	-21.00	-11.00	(−31.73, −1.51)ª	0.150
Posterior BFS (D)	52.40±2.17	(38.00, 58.10)	52.30	51.18	53.90	(48.16, 56.65)ª	0.451
Posterior corneal elevation (µm)	43.56±13.69	(5.00, 98.00)	42.50	34.00	52.00	(16.72, 70.40) <sup>a</sup>	0.260
CCT (µm)	392.10±6.87	(363, 399)	394.00	390.00	397.00	(371.15, 399.00)	< 0.00 I

Note: <sup>a</sup>Confidence interval calculated assuming normal distribution.

 Table 2 Postoperative data in 282 eyes

Abbreviations: BFS, best-fit sphere (diameter 10.0 mm); CCT, central corneal thickness; CI, confidence interval; UDVA, uncorrected distance visual acuity (logMAR); SD, standard deviation; <sup>KS</sup>, Kolmogorov–Smirnov test.

55.80, respectively. The efficacy index was 0.94, and the safety index was 1.17. Retreatment was for undercorrection in 23 eyes (8.15%), which was treated with LASIK enhancement. No loss of CDVA was observed after retreatment. Three eyes lost  $\geq$ 1 line of CDVA during follow-up owing to cataract formation.

Intraoperative complications were detected in six eyes (2.12%) and comprised one buttonhole, one free flap, and four epithelial defects. Postoperative complications such as diffuse lamellar keratitis, epithelial ingrowth, delayed epithelial healing, infection, or keratectasia were not recorded.

# Discussion

Post-LASIK cornea <400  $\mu$ m is a rare finding. In order to ensure that a sufficient number of samples are available for analysis, data must be obtained from several surgeons. Consequently, the inclusion of 15 surgeons was not considered to lead to bias. On the other hand, in Clinica Baviera, ophthalmologists have a standard protocol and training for their refractive procedures. For the same reason, the analyzed eyes are not as homogeneous as desired according to parameters such as age or preoperative refraction.

Our results provide evidence that postoperative thin cornea alone may not be a risk factor for the development of ectasia after LASIK. Corneal thickness after LASIK comprises flap and residual stromal bed thickness. As corneal tensile strength is not uniform throughout the central stroma, with a progressive weakening in the deeper 60%, the relative extent of biomechanical alteration after refractive surgery, expressed as depth, plays a clear role in postoperative weakening.<sup>10,11</sup>

Flap thickness is a key variable in this alteration, as the anterior lamellar flap does not contribute significantly to postoperative corneal tensile strength.<sup>12</sup> Therefore, the thinner the flap, the safer the LASIK procedure in terms of ectasia. Thin flaps have been thought to predispose to flap folds and postoperative irregular astigmatism, with an increasing risk for loss of visual acuity and poorer quality of vision.<sup>14</sup> In our study, flap thickness ranged from 55 to 124 µm (mean, 74.76±13.57 µm) and was measured by 15 surgeons. Rainer et al<sup>15</sup> reported that the correlation coefficients for the intraobserver variability were between 0.987 and 0.995 for ultrasound pachymetry measurements. Thin flaps up to 40 µm have been reported and can be explained by the margin of error of ultrasound pachymetry and the fact that thin corneas can have thin central epithelial thickness.<sup>16,17</sup> Borderie<sup>18</sup> found that the results of three consecutive measurements of CCT by ultrasound pachymetry were within 10 µm.

In their study using optical coherent tomography, Wirbelauer et al<sup>19</sup> showed that mean geometrical central epithelial thickness ranged from 45 to 92  $\mu$ m. Using very high-frequency digital ultrasound, Reinstein et al<sup>20</sup> reported that corneal vertex epithelial thickness ranged from 43.5 to 63.6  $\mu$ m in 110 eyes. The human corneal epithelium represents ~10% of the total corneal thickness.<sup>21</sup> Lin et al<sup>22</sup> reported visual acuity and anatomic results in a large sample of thin-flap LASIK cases (45–130  $\mu$ m) and found no significant anatomic complications. Femtosecond lasers have also been used to create a thin flap (90–120 mm), with reproducible results.<sup>23</sup> The safety of the techniques for creating very thin flaps described here should be verified in studies with larger samples. Femtosecond laser may play a greater role in this type of surgery in the future.

Preoperative CCT was  $<500 \ \mu\text{m}$  in 84 eyes (29.78%). There are various reports of successful LASIK in patients with corneas thinner than 500 or 470  $\mu$ m.<sup>16,24,25</sup> Consequently, thin cornea is a major risk factor for ectasia, but is not the only factor.

The depth of ablation, together with flap thickness, determines the relative amount of biomechanical change after LASIK. Santhiago et al<sup>6</sup> recently reported that a percent tissue altered  $\geq$ 40% at the time of LASIK was significantly associated with ectasia in eyes with normal preoperative topography. However, in our study, 59 eyes (20.92%) had more than 40% of tissue altered with no signs of ectasia after more than 3 years of follow-up.

We found that the lowest postoperative residual stromal bed thickness was 275  $\mu$ m. Rao and Epstein<sup>26</sup> postulate that the main risk factors for ectasia are residual stromal bed thickness and preexisting abnormal corneal topography. From 1999 to 2002, a residual stromal bed thickness of 250  $\mu$ m was attempted. After 2002, the minimal residual stromal bed thickness was calculated preoperatively to be 300  $\mu$ m.<sup>5</sup> However, ectasia can occur in patients with no obvious risk factors.<sup>6,27</sup> Kymionis et al<sup>24</sup> measured the residual stromal bed thickness in eyes with thin CCT that underwent LASIK or photorefractive keratectomy to correct myopia. The lowest residual corneal bed thickness was 276  $\mu$ m in the LASIK group and 308  $\mu$ m in the photorefractive keratectomy group.<sup>22</sup> The authors did not observe complications after a minimum 1-year follow-up.

All the patients in our study had at least 3 years of follow-up ( $6.89\pm2.35$ ; range, 3.02-11.41 years). Length of follow-up is important, especially for post-LASIK patients, because corneal ectasia develops during the first year after LASIK in only 50% of cases.<sup>13</sup>

Rao et al<sup>28</sup> suggested that patients with positive keratoconus screening test results have higher anterior and posterior elevation on Orbscan topography. Some authors consider Orbscan posterior float >50  $\mu$ m a risk factor for corneal ectasia.<sup>3,5</sup> We used the anterior and posterior corneal elevation, together with the anterior and posterior best-fit sphere, as additional parameters to evaluate the potential development of postoperative ectasia. In our study, three eyes (1.06%) had a preoperative Orbscan posterior float >50  $\mu$ m. We will continue to study the occurrence of late-onset keratectasia in the future.

We observed that a postoperative corneal thickness  $<400 \ \mu m$  might not be a contraindication to LASIK. No major intraoperative or postoperative complications were found. LASIK has been performed on eyes with preoperative thin corneas of which some of the corneas would presumably have postoperative CCT thinner than 400  $\mu m$ ;<sup>16,24,25</sup> however, we were unable to find data on outcomes of LASIK in eyes with post-LASIK thin corneas.

In our study, the predictability index in eyes that achieved a postoperative SE within  $\pm 0.50$  D and within  $\pm 1.00$  D was 55.80 and 73.49, respectively. Yuen et al<sup>29</sup> observed that the overall predictability index over 10 years ranged from 56.4% to 83% for SE within  $\pm 0.50$  D, while Alió et al<sup>2</sup> reported a predictability index of 73% for SE within  $\pm 1$ D. The safety index we recorded was 1.17, which is comparable with findings from other large, long-term studies (Alió et al,<sup>2</sup> 1.08; Yuen et al,<sup>29</sup> 1.04). The overall efficacy index in long-term LASIK studies on myopic eyes has been reported to be approximately 0.87.<sup>2,29</sup> In our study, the efficacy index was 0.94.

The limited number of eyes in our study may have prevented us from detecting ectasia. A larger sample or a longer follow-up may have uncovered cases of ectasia. However, we did not aim to detect the incidence of ectasia after corneal laser ablation; rather, we evaluated the safety of LASIK in a group of patients for whom laser refractive surgery is controversial.

# Conclusion

In summary, our long-term study indicates that post-LASIK corneal thickness <400  $\mu$ m may not be the cutoff value for LASIK. We detected no severe late-onset or irreversible complications, such as ectasia. We recommend careful selection of candidates for surgery, with emphasis on normal corneal topography, a residual stromal bed thickness >275  $\mu$ m, and creation of thin flaps.

## Disclosure

The authors report no conflicts of interest in this work.

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