# Utility of the Modified and High-Sensitivity **Modified Glasgow Prognostic Scores for** Hypopharyngeal Squamous Cell Carcinoma

AMERICAN ACADEMY OF OTOLARYNGOLOGY-HEAD AND NECK SURGERY FOUNDATION

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## Abstract

Objective. To determine whether the modified Glasgow prognostic score (mGPS) and high-sensitivity mGPS (HS-mGPS) could predict outcomes among patients with hypopharyngeal squamous cell carcinoma (HSCC).

Study Design. Retrospective cohort study.

Setting. Affiliated university hospital.

Methods. We reviewed the records of 115 patients with histologically confirmed HSCC between March 2007 and December 2019. Univariate and multivariable Cox proportional hazard analyses were performed for overall survival (OS) and diseasefree survival (DFS).

Results. The 5-year OS rates were 84.0% for the mGPS0 group, 47.8% for the mGPS1 group, and 17.9% for the mGPS2 group (P < .0001), while the 5-year OS rates were 86.7% for the HS-mGPS0 group, 69.0% for the HS-mGPS1 group, and 22.2% for the HS-mGPS2 group (P < .001). The mGPS and HS-mGPS were both associated with OS in the univariate analyses, although only the HS-mGPS was independently associated with OS (hazard ratio, 2.68 [95% CI, 1.19-6.05]; P < .05). The 5-year DFS rates were 75.8% for the mGPS0 group, 53.0% for the mGPS1 group, and 13.8% for the mGPS2 group (P < .001), while the 5-year DFS rates were 79.8% for the HSmGPS0 group, 56.8% for the HS-mGPS1 group, and 11.6% for the HS-mGPS2 group (P < .001). The mGPS and HS-mGPS were both associated with DFS in the univariate analyses, although only the HS-mGPS was independently associated with DFS (hazard ratio, 2.35 [95% Cl, 1.03-5.37]; P < .05).

Conclusion. Our study suggests that the HS-mGPS is useful as prognostic factor in HSCC.

#### **Keywords**

modified Glasgow prognostic score, high-sensitivity modified Glasgow prognostic score, head and neck cancer, C-reactive protein, survival

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espite improvements in the treatment of many head and neck cancers, outcomes for hypopharyngeal squamous cell carcinoma (HSCC) have remained relatively poor and exhibited only marginal improvements in survival.<sup>1</sup> Recurrence is also quite common, as nearly 50% of patients experience recurrence within the first year after diagnosis and are frequently diagnosed with distant metastasis.<sup>2</sup> The available treatment options for HSCC include surgery, radiotherapy, and/or chemotherapy, which are based on the clinical stage and comorbid conditions.

The TNM staging system is used to differentiate between patients with early-stage and more advanced disease; it also provides reliable prognostic information. However, the TNM system is less accurate for predicting the prognosis of patients with an intermediate extent of tumor invasion.<sup>3</sup> Therefore, a more accurate method for predicting prognosis is needed to provide appropriate preoperative counseling and guide treatment selection.

Several inflammation-based scoring systems have been devised, and they are strongly associated with prognoses among patients with various neoplasms. One common system is the Glasgow prognostic score (GPS),<sup>4</sup> which considers elevated serum C-reactive protein (CRP) concentrations and hypoalbuminemia. Blood-based changes during acute-phase reactions can also be evaluated by the neutrophil-tolymphocyte ratio<sup>5</sup> and platelet-to-lymphocyte ratio.<sup>6</sup> The modified GPS (mGPS) can be used to evaluate systemic

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inflammation and nutritional status in patients with cancer based on serum concentrations of CRP and albumin. The mGPS has been validated as an independent prognostic factor in various malignancies, including hypopharyngeal cancer,<sup>7</sup> and Iuchi et al reported that combining the mGPS with conventional TNM staging provided more accurate prognostic predictions.<sup>7</sup> Recent research has established that the highsensitivity mGPS (HS-mGPS) is an even more sensitive prognostic marker for several cancers.<sup>8,9</sup>

Few studies have evaluated these systems in cases of head and neck cancers, which involve different primary lesions, treatment methods, and expected outcomes.<sup>8</sup> Therefore, the present study aimed to evaluate whether the mGPS and HSmGPS could predict outcomes among patients with HSCC and to compare the prognostic values of these 2 systems.

## Methods

## Patient Inclusion and Exclusion Criteria

This retrospective study evaluated 142 consecutive patients who underwent initial treatment at the Department of Otolaryngology-Head and Neck Surgery at Kagoshima University between March 2007 and December 2019. This retrospective study was approved by the institutional review board of Kagoshima University (180238). Other inclusion criteria were as follows: primary head and neck cancer in the hypopharynx, no history of treatment for other head and neck cancer, pathologic diagnosis as squamous cell carcinoma, and cases in which the CRP and albumin levels had been measured at the initial diagnosis. The following cases were excluded: 5 duplicate cases, 7 with recurrence, 3 with distant metastasis, and 12 in which the CRP and albumin concentrations were not measured at the initial diagnosis. Based on these criteria, the study ultimately included 115 patients with HSCC. Clinical stages were diagnosed according to the TNM staging system of the American Joint Committee on Cancer, seventh edition.

## Treatment Protocol

Induction therapy is defined as chemotherapy that facilitates subsequent local therapy, such as definitive concurrent chemoradiotherapy (CCRT) or surgery. Induction chemotherapy involved docetaxel (60 mg/m<sup>2</sup>, day 1) plus cisplatin (60 mg/m<sup>2</sup>, day 1) and fluorouracil (600 mg/m<sup>2</sup>, days 1-4). CCRT consisted of a standard regimen with cisplatin (100 mg/m<sup>2</sup>; days 1, 22, and 43) or carboplatin (area of curve, 5; every week) plus radiotherapy (conventional fractionation, 1.8-2.0 Gy; once daily, 5 d/wk, until a total tumor dose of 70 Gy). Postoperative adjuvant concurrent CCRT was performed for high-risk patients based on positive surgical margins or extranodal/perineural invasion near the cervical lymph nodes.

## Treatment Assessment and Follow-up

Clinical response was assessed according to the Response Evaluation Criteria in Solid Tumors (version 1.1) at 4 to 6 weeks after the completion of treatment. Follow-up evaluations included physical examination, blood tests, endoscopy, and enhanced computed tomography of the neck and chest. Patients were followed up every 3 months for the first year, every 6 months for the second year, and then annually thereafter.

## Inflammation-Based Prognostic Scores

Laboratory testing had been performed on the day of admission, and the results were searched to determine the patients' serum CRP and albumin concentrations. The mGPS was calculated as previously reported<sup>10</sup>: a score of 2 was assigned to patients with an elevated CRP concentration (>1.0 mg/dL) and a reduced albumin concentration (<3.5 g/dL); a score of 1 was assigned to patients with an elevated CRP concentration (>1.0 mg/dL) and a nondecreased albumin concentration (>3.5 g/dL); and a score of 0 was assigned to patients without an elevated CRP concentration ( $\le 3.5 \text{ g/dL}$ ); and a score of 0 was assigned to patients without an elevated CRP concentration. The cutoff value for the CRP concentration was reduced to 0.3 mg/dL for the HS-mGPS.

#### Statistical Analyses

All statistical analyses were performed with SPSS for Windows software (version 27.0; IBM Corp). Overall survival (OS) was defined as the primary endpoint and calculated as the interval between the first admission (the same time as the blood test) and the first instance of the date of death due to any cause or the last follow-up. Disease-free survival (DFS) was defined as no evidence of disease, whether local or systemic, from the date of diagnosis to the date of documented death or loss of follow-up. Survival outcomes were estimated with the Kaplan-Meier method and compared with the logrank test. Subjects were treated as censored if they were lost to follow-up. The relationships between survival outcomes and the mGPS or the HS-mGPS were evaluated with multivariate Cox proportional hazard models, and the results were reported as the hazard ratio (HR) and 95% CI. All tests were 2-sided, and differences were considered significant at Pvalues < .05.

#### Results

## Patient Characteristics

**Table 1** shows the baseline characteristics of the 115 included patients. The median follow-up period was 62 months (range, 3-149 months). The median age at diagnosis was 68 years (range, 48-88 years), and the majority of patients were male (97.4%). Most patients had a performance status of 0 (72.2%) and stage IV disease (63.5%). The primary tumors were located at the pyriform sinus (78.2%), postcricoid (15.7%), and posterior wall (6.1%). The mGPS scores were 0 for 63 patients, 1 for 39 patients, and 2 for 13 patients. The mGPS was significantly associated with T classification (P = .011) and TNM stage (P = .04). The HS-mGPS scores were 0 for 57 patients, 1 for 38 patients, and 2 for 20 patients. The HS-mGPS was significantly associated with T classification (P = .002) and TNM stage (P = .001).

#### Table 1. Baseline Patient Characteristics (N = 115).<sup>a</sup>

	No. (%)	mGPS				HS-mGPS			
		0	I	2	P value	0	I	2	P value
Age, y									
<68	54 (47.0)	32	20	2	.053	30	17	7	.378
≥ <b>68</b>	61 (53.0)	31	19	11		27	21	13	
Sex									
Male	112 (97.4)	61	38	13	>.999	55	37	20	>.999
Female	3 (2.6)	2	I	0		2	I	0	
Performance status <sup>b</sup>									
0	83 (72.2)	52	26	8	.173	47	27	12	.207
I	24 (20.1)	9	12	4		8	10	7	
2	8 (7.7)	2	I	I		2	I	I	
Tumor depth <sup>c</sup>									
T2	43 (37.4)	30	10	3	.011	29	10	4	.002
Т3	46 (40.0)	26	14	6		23	13	10	
Τ4	26 (22.6)	7	15	4		5	15	6	
Lymph node metastasis <sup>c</sup>									
N0	38 (33.0)	24	10	4	.067	24	9	5	.074
NI	15 (13.0)	9	2	4		8	2	5	
N2	58 (50.0)	29	25	4		24	25	9	
N3	4 (4.0)	I	2	I		I	2	I	
TNM stage <sup>c</sup>									
II	22 (19.1)	17	5	0	.04	17	4	I	.001
III	20 (17.4)	11	3	6		11	2	7	
IV	73 (63.5)	35	31	7		29	32	12	
Tumor location									
Pyriform sinus	90 (78.2)	48	33	9	.692	44	32	14	.529
Postcricoid	18 (15.7)	10	5	3		8	5	5	
Posterior wall	7 (6.1)	5	I	I		5	I	I	
Treatment									
Induction chemotherapy	56 (58.7)	27	21	8	.920	23	23	10	.597
CCRT	84 (73.0)	45	29	10		42	28	14	
TPLE + neck dissection	31 (27.0)	18	10	3		15	10	6	

Abbreviations: CCRT, concurrent chemoradiotherapy HS-mGPS, high-sensitivity modified Glasgow prognostic score; mGPS, modified Glasgow prognostic score; TPLE, total pharyngolaryngoesophagectomy.

<sup>a</sup>The groups were compared with the chi-square test or Fisher's exact test.

<sup>b</sup>Per the Eastern Cooperative Oncology Group.

<sup>c</sup>Staging based on the guidelines of the Union for International Cancer Control, seventh edition.

## **Treatment Characteristics**

**Table 1** shows the treatment characteristics of the 115 included patients. On the basis of treatment intents, 84 (73%) patients received CCRT and 31 (27%) underwent surgery. In addition, 56 (58.7%) patients received induction chemotherapy. In patients who received CCRT, 40.0% (n = 31) underwent induction chemotherapy. In patients who received surgery, 80.1% (n = 25) received induction chemotherapy. The regimen for CCRT was triweekly cisplatin for 64% and weekly carboplatin for 36%. The median dose of irradiation was 69.2 Gy (range, 66-70). There was no significant difference in treatment content between the mGPS group and the HS-mGPS group.

## Relationship Between OS and the mGPS or HS-mGPS

The Kaplan-Meier curves for OS were compared according to the mGPS (**Figure 1A**), which revealed 5-year OS rates of 84.0% for the mGPS0 group, 47.8% for the mGPS1 group, and 17.9% for the mGPS2 group. Significant differences in the OS outcomes were observed among the mGPS0, mGPS1, and mGPS2 groups (HR, 2.96 [95% CI, 1.94-4.55]; P < .0001). The Kaplan-Meier curves for OS were also compared according to the HS-mGPS (**Figure 1B**), which revealed 5-year OS rates of 86.7% for the HS-mGPS0 group, 69.0% for the HS-mGPS1 group, and 22.2% for the HSmGPS2 group. Significant differences in the OS outcomes were observed among the HS-mGPS0, HS-mGPS1, and



**Figure 1.** Overall survival was significantly associated with (A) the modified Glasgow prognostic score (log-rank P < .001) and (B) the high-sensitivity modified Glasgow prognostic score (log-rank P < .001).

Table 2. Univariate and Multivariable Analys	ses of Overall Survival
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	Un	ivariate analysis	Multivariate analysis		
Variable	P value	HR (95% CI)	P value	HR (95% CI)	
Age: <68 vs ≥68 y	.947	1.022 (0.542-1.935)			
Sex: male vs female	.985	1.019 (0.057-4.715)			
Performance status <sup>a</sup> : 0 vs 1-2	.24	1.408 (0.834-2.249)			
Smoking status: non vs ex or current	.196	0.725 (0.445-1.181)			
Drink: non vs ex or current	.736	0.898 (0.481-1.678)			
Tumor stage: 1, 2, 3, 4	<.001	2.111 (1.402-3.233)	.053	1.748 (0.983-3.108)	
Nodal stage: 0, 1, 2, 3	.018	1.754 (1.22-2.609)	.086	1.766 (0.890-3.502)	
AJCC stage: I, II, III, IV	.01	2.101 (1.306-3.768)	.756	0.839 (0.276-1.192)	
Tumor location: PS, PC, PW	.147	1.343 (0.818-2.063)		, , , , , , , , , , , , , , , , , , ,	
mGPS	<.001	2.964 (1.938-4.533)	.552	1.272 (0.568-2.850)	
HS-mGPS	<.001	3.179 (2.089-4.838)	.023	2.683 (1.189-6.053)	

Abbreviations: AJCC, American Joint Committee on Cancer; HR, hazard ratio; HS-mGPS, high-sensitivity modified Glasgow prognostic score; mGPS, modified Glasgow prognostic score; PC, postcricoid; PS, pyriform sinus; PW, posterior wall.

<sup>a</sup>Per the Eastern Cooperative Oncology Group.

HS-mGPS2 groups (HR, 3.17 [95% CI, 2.10-4.89]; P < .0001). Univariate analyses revealed that OS was associated with T classification (P < .001), N classification (P = .018), clinical stage (P = .01), the mGPS (P < .001), and the HS-mGPS (P < .001; **Table 2**). Multivariable analysis revealed that only the HS-mGPS independently predicted OS (HR, 2.68 [95% CI, 1.19-6.05]; P < .05).

## Relationship Between DFS and the mGPS or HS-mGPS

The Kaplan-Meier curves for DFS were compared according to the mGPS (**Figure 2A**), which revealed 5-year DFS rates of 75.8% for the mGPS0 group, 53.0% for the mGPS1 group, and 13.8% for the mGPS2 group. Significant differences in DFS outcomes were observed among the mGPS0, mGPS1, and mGPS2 groups (HR, 2.664 [95% CI, 1.741-4.076]; P <.001). The Kaplan-Meier curves for DFS were also compared according to the HS-mGPS (**Figure 2B**), which revealed 5year DFS rates of 79.8% in the HS-mGPS0 group, 56.8% in the HS-mGPS1 group, and 11.6% in the HS-mGPS2 group. Significant differences in the DFS outcomes were observed among the HS-mGPS0, HS-mGPS1, and HS-mGPS2 groups (HR, 2.896 [95% CI, 1.893-4.429]; P < .001). Univariate analyses revealed that DFS was associated with performance status (P = .034), N classification (P = .028), the mGPS (P < .001), and the HS-mGPS (P < .001; **Table 3**). Multivariable analysis revealed that DFS was independently predicted only by performance status (HR, 1.89 [95% CI, 1.07-3.33]; P < .05) and the HS-mGPS (HR, 2.35 [95% CI, 1.03-5.37]; P < .05).

## Discussion

The present study showed that the mGPS and HS-mGPS were significantly associated with outcomes among patients with HSCC in the univariate analyses. However, just the HSmGPS was independently associated with OS and DFS in the multivariable analysis. Therefore, the results from this study showed that the HS-mGPS is superior to the mGPS for predicting outcomes in cases of HSCC.

The inflammation-based GPS system is based on elevated serum CRP concentrations and hypoalbuminemia.<sup>11</sup> Elevated



Figure 2. Disease-free survival was significantly associated with (A) the modified Glasgow prognostic score (log-rank P < .001) and (B) the high-sensitivity modified Glasgow prognostic score (log-rank P < .001).

Table 3. Univariate and Multivariable Analys	vses of Disease-Free Survival.
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	Ur	nivariate analysis	Multivariable analysis		
Variable	P value	HR (95% CI)	P value	HR (95% CI)	
Age: <68 vs ≥68 y	.625	1.174 (0.618-2.229)			
Sex: male vs female	.385	1.881 (0.452-7.837)			
Performance status <sup>a</sup> : 0 vs 1-2	.034	1.730 (1.042-2.872)	.027	1.893 (1.076-3.329)	
Smoking status: non vs ex or current	.585	0.865 (0.515-1.454)			
Drink: non vs ex or current	.161	1.629 (0.823-3.227)			
Tumor stage: 1, 2, 3, 4	.142	1.364 (0.901-2.063)			
Nodal stage: 0, 1, 2, 3	.028	1.516 (1.047-2.196)	.151	1.336 (0.900-1.984)	
AJCC stage: I, II, III, IV	.157	1.362 (0.888-2.088)			
Tumor location: PS, PC, PW	.944	0.981 (0.571-1.685)			
mGPS	<.001	2.664 (1.741-4.076)	.448	1.398 (0.589-3.319)	
HS-mGPS	<.001	2.896 (1.893-4.429)	.041	2.356 (1.034-5.367)	

Abbreviations: AJCC, American Joint Committee on Cancer; HR, hazard ratio; HS-mGPS, high-sensitivity modified Glasgow prognostic score; mGPS, modified Glasgow prognostic score; PC, postcricoid; PS, pyriform sinus; PW, posterior wall.

<sup>a</sup>Per the Eastern Cooperative Oncology Group.

serum CRP concentrations reflect a state of systemic inflammation and are generally associated with a higher cancer risk and poorer prognosis.<sup>12</sup> Hypoalbuminemia reflects the hypercatabolic state of cancer cachexia, which is caused by cytokine activation, and it is commonly observed in patients with cancer.<sup>13</sup> Previous studies have indicated that the GPS was superior to white blood cell count, neutrophil count, platelet count, the neutrophil-lymphocyte ratio, and the Edinburgh Clinical Risk Score for predicting survival among patients with cancer.<sup>14,15</sup> Several studies have also investigated the association between the mGPS and outcomes among patients with head and neck cancer. Nakayama et al were the first to report the prognostic value of the mGPS in this setting,<sup>16</sup> and Iuchi et al subsequently found that combining the mGPS and conventional TNM staging provided more accurate prognostication.<sup>7</sup> In addition, Chen et al noted that the GPS may have prognostic value and guide personalized treatment among patients with metastatic nasopharyngeal carcinoma who received cisplatin-based palliative chemotherapy.<sup>17</sup> The present study revealed that the mGPS was useful for predicting

outcomes among patients with HSCC. Therefore, when considered together, our findings and previous findings suggest that the mGPS is a significant and independent predictor of survival outcomes.

Several studies have recently suggested that the HS-mGPS is superior to the mGPS as a prognostic marker for many cancer types.<sup>18,19</sup> Proctor et al reported that the HS-mGPS provided better prognostic value than the GPS and mGPS in a large cohort of patients with cancer.<sup>18</sup> Furthermore, Hanai et al demonstrated that the HS-mGPS is superior to the mGPS as a prognostic predictor for head and neck cancer, and that study included patients with HSCC.8 However, there is limited evidence regarding the prognostic value of the HS-mGPS among patients with HSCC, and the present study revealed that the HS-mGPS was an independent prognostic marker in cases of HSCC. A large retrospective study of patients with resectable gastric cancer also suggested that the mGPS and HS-mGPS provided good preoperative prediction of OS outcomes, although the HS-mGPS was superior per multivariable Cox regression analysis.<sup>20</sup> Another study compared the

prognostic values of the GPS, mGPS, HS-mGPS, and other inflammation-based markers among patients with resectable non–small cell lung cancer, which revealed that the HS-mGPS provided better ability to predict OS (vs the GPS and mGPS).<sup>21</sup> Therefore, when considered together, our findings and previous findings suggest that the HS-mGPS is a significant and independent predictor of survival outcomes and superior to the mGPS.

In this study, HS-mGPS is more useful than the mGPS among patients with HSCC. The high-sensitivity test for CRP, termed low-reactive protein, measures very low levels of CRP more accurately and is even more reliable than standard CRP.<sup>22</sup> Technological advances have permitted very accurate measurements of inflammatory markers, including CRP, even at relatively small values.<sup>23</sup> In addition, there is increasing evidence that CRP concentrations >0.3 mg/dL can predict poor prognosis among patients with and without cancer,<sup>24</sup> and high-sensitivity CRP (hs-CRP) level is positively associated with cancer.<sup>22</sup> Two possibilities have been pointed out for this. First, elevated hs-CRP levels are suggested to be the result of cancer, and chronic inflammation and elevated hs-CRP may play a role in carcinogenesis.<sup>22</sup> These findings could explain the ability of the HS-mGPS to independently predict survival outcomes.

This study has 2 important limitations. First, a small single-center retrospective study is prone to various sources of bias, including selection bias. Second, the mGPS and HS-mGPS were calculated retrospectively, not by the clinicians who were making the treatment decisions, which is a potential source of information bias. Thus, large prospective studies are needed to validate our findings.

# Conclusion

The present study showed that the mGPS and HS-mGPS have prognostic value among patients with HSCC, although only the HS-mGPS was independently associated with OS and DFS in the multivariable analysis. The greater prognostic value of the HS-mGPS may be related to it being a more sensitive index of inflammation. Therefore, we suggest using the HS-mGPS for prognostication among patients with HSCC. However, further studies are required to confirm our results.

## **Author Contributions**

Hiroyuki Iuchi, acquired and organized the data, prepared the initial draft of the manuscript, and revised the manuscript; Junichiro Ohori, acquired, organized, and interpreted the data, prepared the initial draft of the manuscript, and revised the manuscript; Hisahiro Matsuzaki, interpreted the data and revised the manuscript; Satoshi Kiyama, analyzed the data and revised the manuscript; Masaru Yamashita, formulated the research question, collected the data, and drafted and revised the manuscript. All authors reviewed and approved the final version of the manuscript.

## Disclosures

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