# **Annals of Internal Medicine**

# CLINICAL GUIDELINE

# Clinical Practice Guidelines From the Association for the Advancement of Blood and Biotherapies (AABB): COVID-19 Convalescent Plasma

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**Description:** Coronavirus disease 2019 convalescent plasma (CCP) has emerged as a potential treatment of COVID-19. However, meta-analysis data and recommendations are limited. The Association for the Advancement of Blood and Biotherapies (AABB) developed clinical practice guidelines for the appropriate use of CCP.

**Methods:** These guidelines are based on 2 living systematic reviews of randomized controlled trials (RCTs) evaluating CCP from 1 January 2019 to 26 January 2022. There were 33 RCTs assessing 21916 participants. The results were summarized using the GRADE (Grading of Recommendations Assessment, Development and Evaluation) method. An expert panel reviewed the data using the GRADE framework to formulate recommendations.

**Recommendation 1 (Outpatient):** The AABB suggests CCP transfusion in addition to the usual standard of care for outpatients with COVID-19 who are at high risk for disease progression (weak recommendation, moderate-certainty evidence).

**Recommendation 2 (Inpatient):** The AABB recommends against CCP transfusion for unselected hospitalized persons with moderate or severe disease (strong recommendation, high-certainty evidence). This recommendation does not apply to immunosuppressed patients or those who lack antibodies against SARS-CoV-2.

**Recommendation 3 (Inpatient):** The AABB suggests CCP transfusion in addition to the usual standard of care for hospitalized patients with COVID-19 who do not have SARS-CoV-2 antibodies detected at admission (weak recommendation, low-certainty evidence).

**Recommendation 4 (Inpatient):** The AABB suggests CCP transfusion in addition to the usual standard of care for hospitalized patients with COVID-19 and preexisting immuno-suppression (weak recommendation, low-certainty evidence).

**Recommendation 5 (Prophylaxis):** The AABB suggests against prophylactic CCP transfusion for uninfected persons with close contact exposure to a person with COVID-19 (weak recommendation, low-certainty evidence).

**Good Clinical Practice Statement:** CCP is most effective when transfused with high neutralizing titers to infected patients early after symptom onset.

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Convalescent plasma (CP) has been used for more than 100 years for infectious disease outbreaks, including Spanish influenza, Middle East respiratory syndrome, SARS-CoV-1, and others (1-4). Convalescent plasma is collected from persons who were previously infected with the targeted virus using routine plasma collection techniques. Thus, it is relatively easy to obtain and may be available before other specific therapeutics can be developed. Data from previous outbreaks suggest that CP is most effective when it is transfused early in the disease course and contains high titers of neutralizing antibodies against the target pathogen (1-4).

Limited treatment options at the beginning of the COVID-19 pandemic led to widespread global use of COVID-19 CP (CCP). In March 2020, the U.S. Food and Drug Administration (FDA) issued initial guidance and recommendations for CCP. During the late fall and early winter of 2020 to 2021, there were more than 100000 units of CCP distributed to hospitals in the United States every month (1).

Data from observational studies in previous infectious disease outbreaks show that CP is safe, with a risk profile that is similar to standard plasma transfusion (4). In the United States and other high-income countries, the risk for transfusion-transmitted infections (HIV, hepatitis B virus, hepatitis C virus, and so forth) from plasma transfusions is less than 1 in every 2 million units transfused (5, 6). In an observational analysis that assessed more than 20 000 persons who received CCP, the rate of all reported transfusion reactions (severe allergic transfusion reactions, transfusion-associated circulatory overload, transfusion-related acute lung injury, and so forth) was

See also: Editorial comment *Web-Only* Supplement

less than 0.5% (7). In addition, there have been no reported cases of transfusion-transmitted viral infections or antibody-dependent enhancement in either CCP trials or the U.S. Expanded Access Program (6, 7).

The Association for the Advancement of Blood and Biotherapies (AABB) issued interim recommendations in early 2021 (6). However, limited data from randomized controlled trials (RCTs) were available at the time, and the recommendations were based on consensus of expert opinion. Many RCTs have subsequently been completed, making it timely to do a more rigorous and formal evaluation. A systematic review was done, and the GRADE (Grading of Recommendations Assessment, Development and Evaluation) method was used to develop these guidelines.

## METHODS

## **Target Population**

These guidelines provide recommendations for clinicians who are treating persons infected with SARS-CoV-2 and who are candidates for CCP transfusion.

## **Guideline Development Process**

The AABB Board of Directors commissioned a committee of experts to draft clinical practice guidelines. Consistent with previous clinical practice guidelines from the AABB (8, 9), the committee conducted a formal systematic review and meta-analysis of the data and used the GRADE method to formulate the current recommendations. The committee focused exclusively on randomized trial data to minimize the risk of bias.

The guidelines committee comprised experts with understanding of CCP and the GRADE method (Supplement Table 1, available at Annals.org). There were 9 current or former members of the AABB clinical transfusion medicine committee (C.S.C., M.B.P., E.S.A., T.J.G., R.G., R.A.M., J.S.R., B.H.S., A.A.R.T.). Other professional organizations also appointed subject experts, including the American Society of Anesthesiologists (M.J.J.), American Society of Microbiology (A.C.), American Society of Hematology (B.J.G.), Cochrane (L.J.E., C.I., N.K., N.S.), International Society of Blood Transfusion (D.V.D.), and Society of Critical Care Medicine (T.W.R.). In addition, committee members included experts on CCP collection and transfusion (E.M.B., J.G., R.R.V., J.L.W.), a GRADE methodologist (F.F.), and a patient representative (G.B.). As defined by the AABB conflict of interest policy (10), all committee members were required to disclose financial, professional, intellectual, or personal conflicts, with no substantial conflicts of interest identified. The data were analyzed, and the overall quality of evidence for each outcome was assessed by the 3 nonvoting members of the committee (C.I., N.K., N.S.) who had no involvement with any CCP trials and are authors of the Cochrane Reviews. Five members (L.J.E., A.C., E.M.B., T.W.R., A.A.R.T.) were either principal investigators or helped design a CCP trial. All members voted on each recommendation with the following exceptions: Drs. Tobian, Casadevall, and Bloch were excluded from voting on the recommendations for outpatient and prophylactic use, and Drs. Estcourt and Rice

were excluded from voting on the recommendations for inpatient use. A strong recommendation required more than 70% of the committee to vote "strongly for" the recommendation, and a weak recommendation required more than 70% of the committee to vote "for" the recommendation. Disagreements were handled by additional discussion and final voting.

## Evidence Review and Grading Systematic Review

The guidelines are based on separately published living systematic reviews of the literature on CCP published by Cochrane (11, 12). The systematic review was subsequently updated by Cochrane Haematology for these guidelines. This included all RCTs evaluating CCP that were available as either preprint or published articles between 1 January 2019 and 26 January 2022 (Appendix Figure, available at Annals.org). The intervention group was CCP from donors who had previously tested positive for SARS-CoV-2. The control groups included persons randomly assigned to nonimmune plasma, normal saline, or standard of care. The trials tested the efficacy of CCP for prophylaxis, patients in the outpatient setting, and hospitalized patients. Subgroup analyses were done to evaluate patients with SARS-CoV-2 antibodies detected at baseline compared with those who did not have antibodies, and a second subgroup analysis included patients with preexisting immunosuppression versus immunocompetent patients. The committee also evaluated the following 2 additional subgroups: patients with severity level 4 COVID-19 according to the World Health Organization (WHO) Clinical Progression Scale (Supplement Table 2, available at Annals.org) and duration of symptom onset 7 days or less versus greater than 7 days before receiving CCP; the committee did not vote on these categories (Supplement Methods, available at Annals.org).

Before reviewing the data, the committee voted on the most important primary outcomes for the different trial populations. The primary outcomes in the systematic review were SARS-CoV-2 infection status (postexposure prophylaxis trial), hospitalization or all-cause mortality within 28 days (outpatient trials), all-cause mortality within 28 days, and progression or need for invasive mechanical ventilation (WHO stage ≥7) (inpatient trials). Secondary outcomes included transfusion-related reactions, serious adverse events, ventilator-free days, admission to the intensive care unit, and duration of hospitalization.

Each clinical trial was assessed for the risk of bias for sequence generation, allocation concealment, blinding, and incomplete outcome data using methods recommended by Cochrane (13, 14). Additional details are also available in the **Supplement** (available at Annals. org). Statistical heterogeneity was assessed by both the  $l^2$  and  $\chi^2$  tests (13). All analyses were done using Review Manager Web 2022 (Cochrane) (15). For dichotomous outcomes, we calculated the relative risks (RRs) and the corresponding 95% Cls in the intervention group compared with the control group. Meta-analyses were calculated for each comparison and outcome using fixedeffects and random-effects models (14).

#### **Rating Certainty of Evidence**

The committee used the GRADE method to develop the guidelines (16-18). The evidence profiles were prepared to display data in terms of benefits and harms for the most important outcomes. The profiles provided judgments by the Cochrane group about the rating for risk of bias, consistency, directness, precision, and publication bias. The credibility of subgroup effects was assessed using the ICEMAN (Instrument to assess the Credibility of Effect Modification Analyses) criteria (19). The panel reviewed the ratings and determined the strength of recommendations during the committee meeting.

### Values and Preferences

The committee made their recommendations under the assumption that patients would highly value avoiding risks for disease progression, morbidity, and mortality from COVID-19. Thus, when the data suggested that there was limited harm from CCP transfusions and that there was benefit to CCP, the panel was prepared to make recommendations for CCP.

#### **Guideline Use and Updates**

New evidence will be evaluated by the Cochrane living systematic review (11, 12) and may be used to update these guidelines when substantial new findings are published. Use of CCP will depend on its availability at blood collection centers and hospital policies for treating patients with COVID-19.

#### **Comments and Modification**

The first, second, and last authors prepared the initial draft guideline document, which was modified and approved by all committee members. Subsequently, the AABB Board of Directors reviewed and approved the guidelines. Before publication, the guidelines were publicly available on the AABB website.

#### **Additional Materials**

Additional resources for the clinical use of CCP are available at the AABB PLasma Antibody Network site (www. aabb.org/get-involved/committees-sections/transfusionmedicine-section/plasma-antibody-network).

#### Disclaimer

This clinical practice guideline is not intended as an absolute standard and will not apply to all individual decisions on when to transfuse or withhold CCP.

### RECOMMENDATIONS

#### **Recommendation 1 (Outpatient)**

The AABB suggests CCP transfusion in addition to the usual standard of care for outpatients with COVID-19 who are at high risk for disease progression (weak recommendation, moderate-certainty evidence).

#### **Evidence Summary**

There were 4 randomized trials that evaluated CCP transfusion to outpatients done in Argentina (Prevention of Severe Covid-19 in Infected Elderly by Early Administration

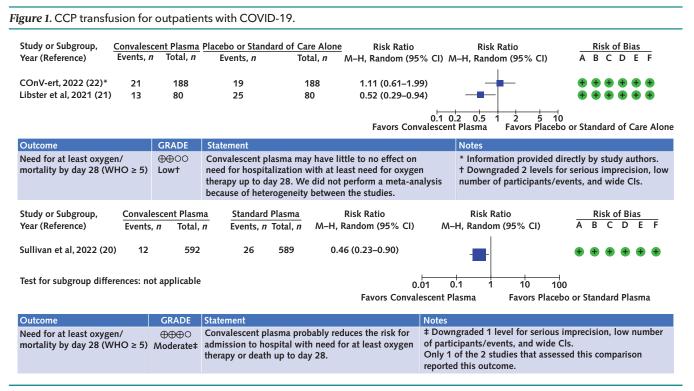
of Convalescent Plasma With High-titers of Antibody Against SARS-CoV2), Spain (COnV-ert [Convalescent Methylene Blue Treated {MBT} Plasma for Early Treatment in Non-hospitalised Mild or Moderate COVID-19 Patients: a Randomized Double Blind Study]), the United States (Convalescent Plasma to Limit SARS-CoV-2 Associated Complications [CSSC-004]), and the Netherlands (CoV-Early Study [Early Convalescent Plasma Therapy for Highrisk Patients With COVID-19 in Primary Care]) (20-22). The COnV-ert and CoV-Early trials were published as pooled analyses (22). Data were requested, but only mortality data were available for the trial from the CoV-Early investigators (n = 406). The 3 other trials evaluated 1717 participants, including 860 who received 1 unit of high-titer CCP in the intervention group. Two trials compared CCP with a control group of saline placebo (n = 536), and 2 trials compared CCP with standard control plasma (n = 1587) (Supplement Table 3, available at Annals.org). All 4 trials required CCP transfusion within 9 days of symptom onset, but there was variability in CCP transfusion timing.

The Argentinian trial by Libster and colleagues (21) did not report any adverse events in either the intervention or control group. The CONV-ert trial reported a 5.9% rate of transfusion-related adverse events in the CCP group. The U.S. trial by Sullivan and colleagues (20) reported a 0.2% adverse event rate in the CCP group and a 0.3% adverse event rate in the control plasma group. Most adverse events in all trials were due to mild allergic reactions that are commonly observed with plasma transfusion (Supplement Table 4, available at Annals.org).

The trial analyses were stratified by the control group. For the outcome "need for either hospitalization requiring oxygenation (WHO  $\geq$ 5) or death," the trial by Sullivan and colleagues showed a statistically significant reduction (RR, 0.46 [95% CI, 0.23 to 0.90]), as did the trial by Libster and colleagues, but the COnV-ert trial did not show a benefit (Figure 1). The overall certainty of evidence for the trial by Libster and colleagues and the COnV-ert trial was low and downgraded for serious imprecision, low number of participants, and wide CIs (Supplement Table 5, available at Annals.org). The overall certainty of the evidence was moderate for the trial by Sullivan and colleagues (Supplement Table 6, available at Annals.org). All 4 trials contributed data to the mortality at 28 days outcome (Supplement Figure 1, available at Annals.org). There may be a reduction in mortality, but it was not statistically significant.

#### Rationale for Recommendation

As the primary outcome of interest was preventing hospitalizations that required oxygen and death, and because the overall rate and severity of adverse events was low, the committee voted to suggest CCP for outpatients at high risk for disease progression as defined by the WHO. The trials by Libster and colleagues and Sullivan and colleagues provide very similar efficacy estimates. There was concern that data from the COnV-ert trial was not consistent with data from the trials by Libster and colleagues and Sullivan and colleagues. The COnVert data inconsistency could reflect the use of methylene blue treated CCP given that methylene blue has been



The **top panel** compares CCP to standard of care or placebo with the outcome of need for hospitalization with need of at least oxygen by mask or nasal prongs or death. The **bottom panel** compares CCP to standard plasma with the outcome of need for hospitalization with need of at least oxygen by mask or nasal prongs or death. For risk of bias assessment explanation and GRADE assessment, see the Supplement Methods (available at Annals.org). For WHO grading, see Supplement Table 8 (available at Annals.org). CONV-ert = Convalescent Methylene Blue Treated (MBT) Plasma for Early Treatment in Non-hospitalised Mild or Moderate COVID-19 Patients: a Randomized Double Blind Study; GRADE = Grading of Recommendations Assessment, Development and Evaluation; M-H = Mantel-Haenszel; WHO = World Health Organization.

reported to interfere with immunoglobulin function (23). Overall, there is biological plausibility for CCP to be used as a passive immunotherapy for outpatients. This is based on early use of CP with other viruses; monoclonal antibody therapy has also been shown to reduce risk for hospitalization for outpatients recently infected with SARS-CoV-2 (24).

#### **Recommendation 2 (Inpatient)**

The AABB recommends against CCP transfusion for unselected hospitalized persons with moderate or severe disease (strong recommendation, high-certainty evidence). This recommendation does not apply to immunosuppressed patients or those who lack antibodies against SARS-CoV-2.

#### **Evidence Summary**

There were 28 randomized trials that evaluated CCP in hospitalized patients; 22 trials compared CCP versus placebo or standard of care, 5 trials compared CCP versus standard plasma, and 1 trial compared CCP versus immunoglobulin (**Supplement Table 7**, available at Annals.org) (25-52). Hospitalized patients included those requiring emergency department care, and therefore the study by Korley and colleagues (32) was included within the inpatient studies. The primary predefined end points included all-cause mortality at 28 days and disease progression

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defined as the need for invasive mechanical ventilation or death (WHO stage  $\geq$ 7). The trials were done among hospitalized patients with moderate or severe COVID-19 in North America, South America, Europe, Africa, and Australia and involved 19625 participants. One to 3 units of CCP were transfused in the intervention group of the trials. Although nearly all trials used high-titer CCP, there was substantial variability in the antibody profiles of CCP provided. There was also variability as to when the CCP was transfused, ranging from the emergency department to more than a week after hospital admission.

The transfusion-related adverse events in the intervention group ranged from 0% to 15%. Most of the reported events were minor, transient transfusion reactions. However, 2 trials reported 3 possible deaths each related to CCP (Supplement Table 8, available at Annals.org) (25, 29).

Among unselected hospitalized patients receiving CCP compared with either placebo or standard of care, CCP did not affect all-cause mortality at 28 days (RR, 0.97 [CI, 0.90 to 1.04]) (Figure 2). The overall certainty of the evidence was high (Supplement Table 9, available at Annals.org). Among unselected hospitalized patients receiving CCP compared with standard plasma, CCP did not affect all-cause mortality at 28 days (RR, 0.73 [CI, 0.45 to 1.19]) (Supplement Figure 2, available at Annals.org). The overall certainty in the evidence was low (Supplement

Figure 2. CCP transfusion versu	is standard of care or	placebo for hos	pitalized patients.
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Study or Subgroup,	Convalescen	t Plasma	Placebo or Standar	rd of Care Alone	Weight,	Risk	Ratio	Risk Ratio	Risk of Bias
Year (Reference)	Events, n	Total, n	Events, n	Total, n	%	M-H, Rande	om (95% CI)	M–H, Random (95% CI)	ABCDEF
Persons with moderate disease									
Avendaño-Solá et al, 2021 (27)		179	14	171	0.6	0.48 (0	.20–1.15) 🗲		$\bullet \bullet \bullet \bullet \bullet \bullet \bullet$
AlQahtani et al, 2021 (33)	1	20	2	20	0.1	0.50 (0	.05–5.08) 🗲		➤ ⊕ ⊕ ⊕ ⊕ ? ?
Simonovich et al, 2021 (38)	25	228	12	105	1.1	0.96 (0	.50–1.83)		
Holm et al, 2021 (35)	2	17	3	14	0.2	0.55 (0	.11–2.84) 🗲		<b>•</b> • • • ? ?
Menichetti et al, 2021 (37)	14	231	19	240	1.1	0.77 (0	.39–1.49)		<b>.</b>
Agarwal et al, 2020 (29)	34	235	31	229	2.3	1.07 (0	.68–1.68)		<b>.</b>
Kirenga et al, 2021 (36)	10	69	8	67	0.6		.51-2.89)		<b>.</b>
Korley et al, 2021 (32)	5	257	1	254	0.1	4.94 (0.5	58-42.00)		> • • • • • • • •
Subtotal (95% CI)		1236		1100	6.2		.70-1.22)	-	•••••
Total events	98		90						
Heterogeneity: tau <sup>2</sup> = 0.00; $\chi^2$ =	= 6.26. df = 7 (F	P = 0.51);	$1^2 = 0\%$						
Test for overall effect: $Z = 0.58$									
	(. = 0.50)								
Persons with severe disease									
	8	51	12	50	0.7	0.65.0	20 4 46	_	
Li et al, 2020 (43)	° 352	1074	300	904			.29–1.46)		
Estcourt et al, 2021 (52)					23.2		.87–1.12)		
Bar et al, 2021 (28)	2	40 36	10 18	39	0.2		.05-0.83) -		
De Santis et al, 2022 (31)	8		18	71	0.9		.42–1.82)		• • • • • • •
Subtotal (95% CI)		1201		1064	25.1	0.78 (0	.50–1.22)		
Total events	370		340						
Heterogeneity: $tau^2 = 0.10$ ; $\chi^2 =$		<sup>2</sup> =0.12);	12 = 48%						
Test for overall effect: $Z = 1.09$	(P = 0.27)								
Persons with moderate to severe	disease								
RECOVERY Collaborative	anocaso								
Group, 2021 (25)	1399	5795	1408	5763	52.7	0 99 (0	.93–1.05)	<b>_</b>	
Bégin et al, 2022 (26)	141	614	63	307	6.5		.86–1.46)		
Sekine et al, 2022 (20)	18	80	13	80	1.2		.73–2.63)		
Körper et al, 2022 (41)	8	53	14	52	0.8		.26–1.22) –		
Ortigoza et al, 2021 (30)	59	462	71	462	4.5				* * * * * * *
Devos et al, 2022 (34)	29	320	14	163	4.5		.60–1.14)		
	6	43			0.6		.57-1.94)		
Gharbharan et al, 2021 (46)	10		11	43			.22–1.34) —		
Ray et al, 2022 (40)	10	40	14	40	1.0		.36–1.41)		• • • • • • •
Subtotal (95% CI)	4 670	7407		6910	68.7	0.98 (0	.88–1.08)	<b>•</b>	
Total events	1670		1608						
Heterogeneity: $tau^2 = 0.00$ ; $\chi^2 =$		r = 0.37;	2 = 8%						
Test for overall effect: $Z = 0.49$ (	P = 0.62								
Total (95% CI)		9844		9074	100.0	0.97 (0	.90–1.04)	•	
Total events	2138		2038						
Heterogeneity: $tau^2 = 0.00$ ; $\chi^2 = 1$		P = 0.40);	l <sup>2</sup> = 5%				0.2	0.5 1 2	5
Test for overall effect: Z = 0.89 (P						Favo	ors Convalesce	ent Plasma Favors Plac	ebo or Standard of Care Alone
Test for subgroup differences: $\chi^2$	= 1.02, df = 2 (	P = 0.60);	l <sup>2</sup> = 0%						
Outcome	GRADE	State	ement			١	lotes		
All-cause mortality by day 28	$\oplus \oplus \oplus \oplus$	Conv	alescent plasma doe	s not reduce all	-cause mo	ortality at T	wenty of the	22 included studies that com	pared convalescent plasma
An-cause monancy by day 28			day 28.						talized patients reported this
	High	up to	uay 20.					a of care of placebo in nospi	tanzeu patients reported this
						C	outcome.		

The primary outcome was all-cause mortality at day 28. For risk of bias assessment explanation and GRADE assessment, see the Supplement Methods (available at Annals.org). df = degrees of freedom; GRADE = Grading of Recommendations Assessment, Development and Evaluation; M-H = Mantel-Haenszel.

Table 10, available at Annals.org). The CCP also had no effect on clinical improvement (that is, weaning or liberation of mechanical ventilation) when compared with placebo or standard of care (RR, 1.05 [Cl, 0.96 to 1.14]) or plasma (RR, 5.59 [Cl, 0.29 to 108.38]) (Supplement Figure 3, available at Annals.org).

#### Rationale for Recommendation

The CCP seemed to be relatively safe as the vast majority of adverse events were minor, transient reactions despite the very rare possibility of death. However, there was no consistent evidence showing that CCP for unselected hospitalized patients reduces mortality or leads to clinical improvement. These data are consistent with biological plausibility that viral neutralization would have no effect on persons with advanced disease who are in the postviral phase of COVID-19 with systemic inflammation and cytokine storm.

#### **Recommendation 3 (Inpatient)**

The AABB suggests CCP transfusion in addition to the usual standard of care for hospitalized patients who do not have SARS-CoV-2 antibodies detected at admission (weak recommendation, low-certainty evidence).

#### Evidence Summary

There were 6 randomized trials of hospitalized patients with data on whether SARS-CoV-2 antibodies were present at baseline to assess whether CCP conferred benefit among patients who lacked antibodies compared with those with antibodies (25, 27-30, 52). There were 2 trials that assessed whether CCP was beneficial for this subgroup using a composite outcome of either need for invasive mechanical ventilation or mortality at 28 days (25, 29). These 2 trials had data for 9472 participants. Among those with antibodies, there was no difference in need for mechanical ventilation or mortality

Study or Subgroup,	Convalesce	ent Plasma	Placebo or		Weight, %	Risk Ratio	Risk Ratio	Risk of Bias
Year (Reference)	Events, n	Total, <i>n</i>	of Care Events, <i>n</i>	Alone Total, n		M–H, Fixed (95% CI) N	1–H, Fixed (95% CI)	ABCDEF
Antibodies detected at base	line							
Agarwal et al, 2020 (29)	29	185	27	163	4.9	0.95 (0.59–1.53) —		• ? • • • ?
RECOVERY Collaborative								
Group, 2021 (25)	630	2859	538	2609	95.1	1.07 (0.96–1.18)	-	$\bullet \bullet \bullet \bullet \bullet \bullet \bullet$
Subtotal (95% CI)		3044		2772	100.0	1.06 (0.96-1.17)		
Total events	659		565				•	
Heterogeneity: $\chi^2 = 0.24$ ,	df = 1 (P = 0.)	63); <i>I</i> <sup>2</sup> = 0%						
Test for overall effect: Z =	1.19 ( <i>P</i> = 0.2	3)						
No antibodies detected at b	aceline							
Agarwal et al, 2020 (29)	9	30	10	40	1.2	1.20 (0.56–2.58) —		<b>+  + + + + + + + + +</b>
RECOVERY Collaborative	-	50	10	40	1.2	1.20 (0.30-2.30)		
Group, 2021 (25)	731	1969	664	1617	98.8	0.90 (0.83–0.98)		
Subtotal (95% CI)	751	1999	004	1657	100.0	0.91 (0.84–0.98)		
Total events	740	1999	674	1057	10010	0.51 (0.04 0.50)		
Heterogeneity: $\chi^2 = 0.52$ ,		$47) \cdot I^2 = 0\%$	0/ 1					
Test for overall effect: $Z =$								
						<b></b>	+ + +	
Test for subgroup difference	es: $\chi^2 = 5.76$ ,	df = 1 (P = 0)	.02); <i>I</i> <sup>2</sup> = 82.6	5%		015	0.7 1 1.5	2
					F	avors Convalescent Plas	ma Favors Plac	cebo or Standard of Care

#### Figure 3. CCP transfusion versus standard of care or placebo stratified by status of SARS-CoV-2 antibodies at baseline.

Credibility Notes Subgroup Statement Rating/GRADE Likely effect modification \* The analysis is based on within-trial comparison; effect modification is not similar Overall credibility of  $\oplus \oplus \oplus \odot$ between trials; however, Agarwal and colleagues differed regarding the intervention (low or no nAb titer for donor plasma). † Number of trials small (both within and between trial). Only 2 trials reported the Moderate\*++ Use separate effects for each the effect subgroup but note remaining modification uncertainty (ICEMAN criteria) subgroup.  $\pm$  Interaction *P* < 0.05, random effects and fixed effects show the same effect. Positive result for  $\oplus \oplus \oplus \oplus$ The evidence suggests that CP has no § Agarwal and colleagues has little effect on the overall effect, 34% of plasma units antibodies at baseline High§ beneficial effect on invasive had no detectable nAbs, the maximum nAb titer was 1:80, which is below EU and FDA recommendation. Agarwal and colleagues' study was done in a middle-income country (India) in patients with moderate-severity disease and at the very beginning of the pandemic in 2020. Patients received 2 doses (200 mL). No big difference to the RECOVERY Collaborative Group study (high-income countries receiving highmechanical ventilation/death bv dav 28 compared with placebo/standard of care in patients with positive results for antibodies at baseline. titer plasma, all hospitalized patients). Il Agarwal and colleagues has little effect on the overall effect, 34% of plasma units had no detectable nAbs, the maximum nAb titer was 1:80, which is below EU and Negative result for The evidence suggests that CP may  $\oplus \oplus \oplus \oplus$ reduce the frequency of invasive mechanical ventilation/death by day 28 compared with placebo/standard antibodies at baseline Highl FDA recommendation. Agarwal and colleagues' study was done in a middle-income country (India) in patients with moderate-severity disease and at the very beginning of the pandemic in 2020. Patients received 2 doses (200 mL). No big difference to of care in patients with positive results for antibodies at baseline. the RECOVERY Collaborative Group study (high-income countries receiving hightiter plasma, all hospitalized patients).

The primary outcome was need for invasive mechanical ventilation or death at 28 days in hospitalized patients. For risk of bias assessment explanation and GRADE assessment, see the Supplement Methods (available at Annals.org). CP = convalescent plasma; df = degrees of freedom; EU = European Union; FDA = U.S. Food and Drug Administration; GRADE = Grading of Recommendations Assessment, Development and Evaluation; ICEMAN = Instrument to assess the Credibility of Effect Modification Analyses; M-H = Mantel-Haenszel; nAb = neutralizing antibody titer.

between those who received CCP and those who received standard of care or placebo (Figure 3). However, among hospitalized patients who lacked SARS-CoV-2 antibodies at baseline, CCP decreased the need for mechanical ventilation or mortality compared with standard of care or placebo (RR, 0.91 [Cl, 0.84 to 0.98]). For this subgroup difference, the  $l^2$  was 82.6% with P = 0.020. The overall credibility of the effect modification was moderate. Among the 5 trials that evaluated only mortality, similar direction of effect was seen favoring CCP use for those persons who lacked SARS-CoV-2 antibodies at the time of hospitalization (Supplement Figure 4, available at Annals.org).

#### **Rationale for Recommendation**

Although these subgroup data are in contrast to the overall unselected hospitalized patient data, the committee found that there was moderate certainty of a subgroup difference to suggest that CCP should be transfused to

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hospitalized patients without SARS-CoV-2 antibodies at baseline. The subgroup difference was seen with both random- and fixed-effects models. Because of the large quantity of data originating from the RECOVERY (Randomised Evaluation of COVID-19 Therapy) trial, the certainty of the evidence was high. Evaluating the presence or absence of antibodies was also a prespecified outcome for many of the trials. Finally, there is biological plausibility for CCP being most beneficial for those without antibodies. Besides the recipients initially lacking antibodies, the humoral response is not as effective when it initially begins to develop. The SARS-CoV-2 antibody response improves with time, including increased avidity and isotype switching, that likely leads to improved viral neutralization (53). Throughout history, CP has consistently been most effective when provided early in the course of disease. Observational data have also shown that CCP is most effective when provided earlier to hospitalized patients

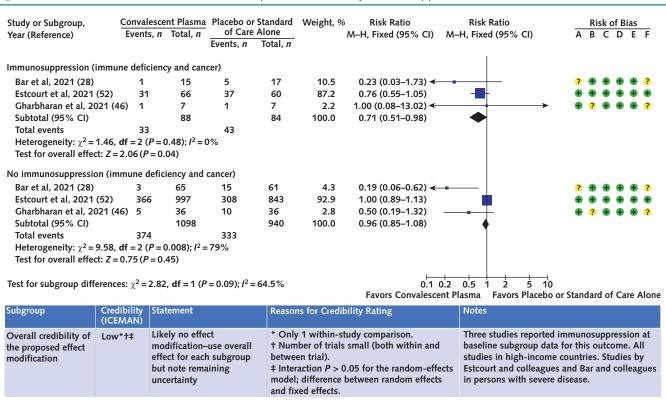


Figure 4. CCP transfusion versus standard of care or placebo stratified by immunosuppression status.

The primary outcome was all-cause mortality at 28 days in hospitalized patients. For risk of bias assessment explanation and GRADE assessment, see the Supplement Methods (available at Annals.org). df = degrees of freedom; GRADE = Grading of Recommendations Assessment, Development and Evaluation; ICEMAN = Instrument to assess the Credibility of Effect Modification Analyses; M-H = Mantel-Haenszel.

(54, 55). Thus, the committee noted that in immunocompetent patients, the lack of a detectable antibody response could be used as a surrogate for early infection.

#### **Recommendation 4 (Inpatient)**

The AABB suggests CCP transfusion in addition to the usual standard of care for hospitalized patients with preexisting immunosuppression (weak recommendation, low-certainty evidence).

#### **Evidence Summary**

There were 3 randomized trials that evaluated whether CCP was efficacious among hospitalized patients with preexisting immunosuppression (cancer, steroids, B-celldepleting therapies, and so forth) (28, 46, 52). The 3 trials had immune status data on 2210 enrolled participants. A forest plot shows there was no difference in mortality at 28 days among immunocompetent persons who received CCP versus the standard of care or placebo (Figure 4). However, among immunosuppressed hospitalized patients at baseline, CCP decreased mortality compared with standard of care or placebo (RR, 0.71 [Cl, 0.51 to 0.98]). For this subgroup difference, the  $l^2$  was 64.5%% with P = 0.090. There was no evidence of effect modification. By ICEMAN criteria, the overall credibility of the subgroup effect was low. This was likely because of the small numbers and wide Cls so there was remaining uncertainty.

#### Rationale for Recommendation

Although the subgroup difference was not statistically significant, the committee suggests with low-certainty evidence that CCP should be provided in addition to the usual standard of care for hospitalized patients with preexisting immunosuppression. Most of the data were derived from the REMAP-CAP (Randomized, Embedded, Multifactorial Adaptive Platform Trial for Community-Acquired Pneumonia) trial, which had a prespecified outcome of evaluating CCP efficacy in immunosuppressed patients. Patient preferences were considered because this patient population has limited therapeutic options. Patients with preexisting immunosuppression do not respond well to SARS-CoV-2 vaccines and are also at the highest risk for severe complications from COVID-19 (56, 57). In addition, there is biological plausibility that CCP would be beneficial as CCP provides antibodies to help neutralize the virus among persons who are not able to mount an antibody response.

#### **Recommendation 5 (Prophylaxis)**

The AABB suggests against prophylactic CCP transfusion for uninfected persons with close contact exposure to a person with COVID-19 (weak recommendation, low-certainty evidence).

Study, Year	Convalesce	nt Plasma	Standard	Plasma	Risk Ratio	Risk Ratio	Risk of Bias				s
(Reference)	Events, n	Total, n	Events, n	Total, <i>n</i>	M–H, Random (95% CI)	M–H, Random (95% CI)	A B C D E F				
Shoham et al, 2022 (58)	12	81	13	87	0.99 (0.48–2.04)		+	+	+	Ŧ	•
Test for subgroup differe	nces: not app	olicable				01 0.1 1 10 100					
					Favors Convale	escent Plasma Favors Standar	d Pla	sma			
Outcome	GR	ADE Stat	ement			Notes					
Infection with SARS-CoV within 30 d	- 00		Convalescent plasma may have little to no risk for infection with SARS-CoV-2 up to day 30			*Downgraded 2 levels for serious imprecision, low number of participants/events, and wide CIs.					

For risk of bias assessment explanation and GRADE assessment, see the Supplement Methods (available at Annals.org). df = degrees of freedom; GRADE = Grading of Recommendations Assessment, Development and Evaluation; M-H = Mantel-Haenszel.

#### **Evidence Summary**

One trial evaluated 168 adults across 19 sites in the United States who had close contact exposure to a person with confirmed COVID-19 in the previous 5 days and a negative SARS-CoV-2 polymerase chain reaction test within the 24 hours before transfusion (Supplement Table 11, available at Annals.org) (58). Persons were randomly assigned to 1 unit of high-titer CCP (n = 87) or standard plasma (n = 81). The median time from exposure to transfusion was 2 days (interguartile range, 1 to 4 days). A forest plot (Figure 5) shows no statistically significant difference in the development of infection between the 2 trial groups (RR, 0.99 [CI, 0.48 to 2.04]). There were no statistically significant differences between trial groups for admission to the hospital within 30 days (RR, 0.21 [CI, 0.01 to 4.39]) or development of clinical COVID-19 symptoms (RR, 0.92 [Cl, 0.32 to 2.62]) (Supplement Figures 5 and 6, available at Annals.org). There were 28 adverse events in the CCP group and 58 in the control group (Supplement Table 12, available at Annals.org). The overall quality of the RCT evidence for infection within 30 days was low (Supplement Table 13, available at Annals.org). The data were downgraded 2 levels for serious imprecision, low number of participants and events, and wide Cls.

#### **Rationale for Recommendation**

There was no consistent evidence showing that 1 unit of high-titer CCP prevents SARS-CoV-2 infection among highly exposed persons. The CCP data are in contrast to data showing that monoclonal antibodies prevent SARS-CoV-2 infection (59). The differences between CCP and monoclonal antibodies could be due to the quality and/or quantity of antibody present in CCP, different trial populations, and the limited data from only 1 CCP trial. The AABB suggests against prophylactic CCP transfusion because there was no conclusive evidence showing the benefits of CCP in this setting.

## **GOOD CLINICAL PRACTICE STATEMENT**

Both observational data (54, 55, 60) and randomized trial data showed that CCP is most effective when transfused to infected patients at the earliest possible time and with high neutralizing antibody titers. However, the randomized trial data were difficult to interpret for hospitalized patients with symptoms present less than 7 days or patients with stage 4 COVID-19 severity according to the WHO Clinical Progression Scale (Supplement Figures 7 to 11, available at Annals.org). For outpatients, it is good practice to transfuse CCP within 5 days of symptom onset, and CCP transfusion continues to be effective up to 9 days after symptom onset (20). In addition to the timing, high-titer CCP units are the most effective (21, 54), and high-titer CCP can now be obtained from persons who have had a primary infection and vaccination (61).

#### DISCUSSION

During the initial waves of the pandemic, CCP became one of the most commonly used therapies despite limited data on its efficacy. Now that data are available, the AABB suggests high-titer CCP transfusion for infected patients who are outpatients with risk for progression, those without detectable SARS-CoV-2 antibodies, and those who are immunosuppressed. The AABB recommends against CCP transfusion for those patients with later-stage COVID-19.

The beneficial effects of CCP are primarily associated with its neutralizing antibodies, which target SARS-CoV-2 and assist in viral clearance (62, 63). Thus, persons who benefit the most from CCP are those treated early and who have not yet developed their own neutralizing antibodies. As SAR-CoV-2 antibody detection is easily available at most hospitals and also by point-of-care assays, the recommendations could be easily implemented. In addition to neutralization, CCP antibodies provide additional benefits, as they can also stimulate phagocytosis, complement activation and antibody dependent cellular cytotoxicity against SARS-CoV-2 (64). Overall, the efficacy is likely related to antibody avidity, the inflammatory environment, and multiple functions of the antibodies in CCP (53, 64, 65).

There are several advantages of CCP, especially as SARS-CoV-2 evolves and new variants of concern (VOCs) emerge. In vitro data suggest that high-titer CCP continues to be effective against the Omicron VOC (66, 67), which has many mutations in the spike glycoprotein. However, data indicate that the Omicron VOC and 2

sublineages of Omicron can evade most available monoclonal antibodies (68). Also, CCP can be collected from persons who have been both infected with SARS-CoV-2 and vaccinated, thus ensuring very high titers of neutralizing antibodies. Finally, CCP is relatively easy to collect, making it a less expensive therapeutic option than other passive antibody therapies.

There are limitations to these guidelines. Although the committee waited until most of the RCT data were available, there are still ongoing trials, and the evidence base remains incomplete. There is substantial variability in the quantity of neutralizing antibodies present in CCP units (63), and it is difficult to compare antibody titers across all trials because different assays were used to quantify the presence of antibodies. In addition, the ideal quantity of CCP needed is unknown. Fortunately, the FDA's emergency use authorization has now set minimum standards for titers permitted for CCP to be used, and these levels are higher than most of the CCP used in the trials. Many of the trials were initiated near the beginning of the pandemic, which led to confounding factors that have affected the trial results; these include changes in therapies and availability of highly effective vaccines. In addition, there was duplication of RCT results for late stages of disease and limited data available for early disease when CCP is most effective. Limited data are available on the role of CCP in the vaccinated population. The applicability of these guidelines may also vary depending on the disease severity of each VOC. However, CCP likely has the most potential with the current VOCs for persons with preexisting immunosuppression who do not respond well to vaccination.

In comparison with other CCP guidelines, the recommendations and authorizations from other societies and government agencies vary often by the timing of their most recent evaluation. In December 2021, the WHO issued 2 strong recommendations against CCP use for either patients with nonsevere COVID-19 or patients with severe COVID-19 (69). The WHO only recommends CCP use in the context of clinical trials. In April 2022, the National Institutes of Health COVID-19 Treatment Guidelines Panel recommended against CCP for immunocompetent hospitalized patients and stated that there is insufficient evidence to recommend either for or against CCP for outpatients or immunosuppressed hospitalized patients (70). In March 2022, the Infectious Diseases Society of America issued a strong recommendation against CCP for hospitalized patients with COVID-19 (71). However, the Infectious Diseases Society of America has a conditional recommendation to transfuse CCP for ambulatory patients with mild to moderate COVID-19 who are at high risk for progression and without other treatment options. In December 2021, the FDA revised the emergency use authorization to limit the use of high-titer CCP for patients with COVID-19 who are immunosuppressed (61). The AABB recommendations for those without antibodies or who are immunosuppressed are consistent with the FDA but may differ from the other societies because AABB specifically focused on targeted hospital patient populations who could receive CCP rather than unselected groups, many later in their

disease course. The AABB's recommendations for CCP use in outpatients at high risk for disease progression and against CCP use in unselected hospitalized patients with severe disease are consistent with other organizations.

There are several areas of uncertainty that would benefit from additional clinical trials. Although the AABB suggests that CCP should be provided for immunosuppressed patients with COVID-19, the committee strongly encourages additional research in this area. Additional data are also needed about the role of CCP in combination with other antiviral therapies. In addition, there are limited data on the safety and efficacy of CCP in pediatric patients and pregnant women.

In conclusion, the data about optimal use of CCP has dramatically advanced in the past 2 years. Similar to the historical data for use of CP in other viral outbreaks (1–4), randomized trial data have shown that CCP is most beneficial when the units contain high levels of neutralizing antibodies and are transfused early after infection. The AABB's recommendations are biologically consistent in supporting CCP transfusion for patients without antibodies. Coronavirus disease 2019 CP is relatively easy to obtain and often one of the first therapeutics available for emerging infections. These key principles will be important to incorporate during the current evolving pandemic and future epidemics.

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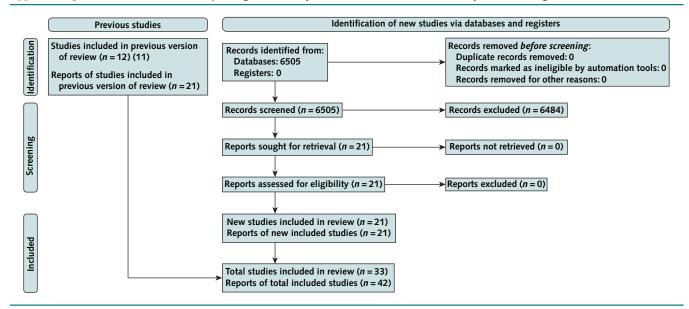
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Appendix Figure. PRISMA (Preferred Reporting Items for Systematic reviews and Meta-Analyses) flow diagram.



Modeled using Page MJ, McKenzie JE, Bossuyt PM, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ. 2021;372:n71. [PMID: 33782057] doi:10.1136/bmj.n71.