




ORIGINAL ARTICLE



## Cytopenias following anti-CD19 chimeric antigen receptor (CAR) T cell therapy: a systematic analysis for contributing factors

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### ABSTRACT

**Background:** Cytopenia is one of the most common adverse events following the CAR-T cell infusion, affecting the quality of life and potentially leading to life-threatening bleeding and infection. This study aimed to systematically review the cytopenias following anti-CD19 CAR-T therapy and further analyse the contributing factors.

**Methods:** Databases including PubMed, MEDLINE, Embase and Cochrane were systematically searched on 8 May 2022. A random-effect meta-analysis was used to estimate the incidence of cytopenia, and subgroup analyses were applied to explore heterogeneity.

**Results:** A total of 68 studies involving 2950 patients were included in this study. The overall incidence of all grade anaemia, thrombocytopenia, neutropenia, leukopenia, lymphocytopenia and febrile neutropenia was 65%, 55%, 78%, 62%, 70% and 27%, respectively, and the corresponding cytopenias of grade 3 or worse were 33%, 31%, 61%, 45%, 46%, and 21%, respectively. Subgroup analysis showed increased incidence of cytopenias in subgroups with lower median age, proportion of males (<65%) and proportion of bridging therapy (<80%) and in the subgroup with a median line of prior therapy  $\geq 3$ . In terms of disease and therapeutic target, cytopenias were more frequent in ALL patients and in dual-target CAR-T therapies (targeting CD19 in combination with other targets). Furthermore, CAR-T products manufactured by lentiviral vectors and those with the costimulatory domain of CD28 were more likely to cause haematological toxicity. No significant differences were observed in cytopenia between patients treated with CAR-T products with murine and humanized scFv.

**Conclusion:** In conclusion, neutropenia is the most frequent cytopenia after CAR-T therapy, both in all grades or grade  $\geq 3$ . The incidence of cytopenias following CAR-T therapy is influenced by the age, sex, disease and number of prior therapy lines of the patients, as well as the target and costimulatory domain of CAR-T cells, and viral vectors used for manufacturing.

### KEY MESSAGES

- Neutropenia is the most frequent cytopenia after CAR-T therapy.
- The clinical characteristics of the patients, the design of CAR-T cells and the protocol of CAR-T treatment can influence the occurrence of cytopenias following the CAR-T therapy.

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## 1. Introduction

Genetically engineered T cells expressing chimeric antigen receptor (CAR), known as CAR-T cells, can recognize antigens specifically and activated directly without the need for antigen presentation by major histocompatibility complex (MHC) molecules. Over the past decade, the efficacy of CAR-T therapy in tumours, especially in B cell malignancies, has been demonstrated in a large number of clinical trials. CD19 is the most studied and the first commercialized target in

CAR-T therapy, and commercialized anti-CD19 CAR-T products like axicabtagene-ciloleucel (axi-cel), tisagenlecleucel (tisa-cel) have been applied for years [1]. The field of CAR-T therapy is rapidly evolving, whereas treatment-related adverse events (AEs) remain inevitable. Toxicities including cytokine release syndrome (CRS) and immune effector cell-associated neurotoxicity syndrome (ICANS) have been largely reported, whereas cytopenia, which can occur in up to 90% of patients, has not been well characterized [2].

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Cytopenias not only affect the quality of life and increases the cost of treatment, but may also result in life-threatening bleeding and infection, and, additionally, limit the application of salvage therapies once the disease progresses. In a study by Sarah *et al.* [3], prolonged neutropenia and thrombocytopenia after CAR-T infusion were associated with a shorter one-year overall survival.

Cytopenia is one of the most common AEs following anti-CD19 CAR-T therapy. In a single-centre, phase 1 b/2 study of relapsed or refractory B cell malignancies, neutropenia, thrombocytopenia, and anaemia occurred in 94%, 80%, and 51% of patients, respectively. Interestingly, nearly half of patients experienced biphasic cytopenias following CAR-T therapy, with the first phase occurring shortly after the infusion, followed by a second reduction after initial recovery [3,4]. Cytopenias were observed in up to 93% of patients beyond day 21 following infusion, and 62%, 44%, and 17% of patients experienced late neutropenia, thrombocytopenia, and anaemia, respectively, 42 days after cell administration [4]. According to the ZUMA-1 trial, a multi-centre, phase 1–2 study of the safety and activity of axi-cel in adult refractory large B-cell lymphoma (LBCL), grade 3 or worse neutropenia, thrombocytopenia and anaemia occurred in 11%, 7%, and 3% of patients at three months after axi-cel [5]. A long-term follow-up of axi-cel reported a 67.7% incidence of cytopenias at day 360 post cell infusion, with all grades of neutropenia, thrombocytopenia, and anaemia accounting for 25.8%, 38.7%, and 22.6%, and that, in addition, corresponding cytopenias of grade 3 or worse were found in 9.7%, 3.2%, and 3.2% of patients [6]. One real-world analysis in a large series of LBCL patients ( $n = 356$ ) displayed that grade 4 neutropenia occurred in 81% of patients, with a median duration of 13 days, and 17% with persisting grade 4 neutropenia at day 28 post-infusion [7].

The mechanism of delayed haematopoietic reconstitution and biphasic pattern of cytopenia following CAR-T infusion remains largely unexplored, with the following mechanisms postulated based on the current study. First, previous treatments, including chemotherapy, radiotherapy, immunotherapy, haematopoietic stem cell transplantation (HSCT), and others, can lead to the injury of the bone marrow microenvironment composed of haematopoietic and stromal cells. The compromised haematopoietic microenvironment predisposes patients to delayed haematopoiesis after receiving lymphodepletion and CAR-T cell infusion. Second, CAR-T cells themselves can mediate robust immune responses. The activated CAR-T cells,

monocytes and macrophages release a large number of cytokines that further act on bystander immune cells, which in turn trigger a loop of inflammation called a cytokine storm [8], thereby compromising the haematopoietic microenvironment [4,9]. However, little is known about the characteristics and influencing factors of cytopenias following CAR-T therapy. To provide a comprehensive overview of cytopenias following the anti-CD19 CAR-T therapy, we searched the database for a meta-analysis and performed subgroup analyses to clarify the critical factors for therapy-related cytopenias.

## 2. Methods

This meta-analysis was registered in the International Prospective Register of Systematic Reviews (CRD42022329286). The methods used in this study were conformed to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines.

### 2.1. Search strategy

We searched the databases including PubMed, Web of Science, Embase and Cochrane on 8 May 2022. The search strategy used in this work was a combination of Medical Subject Headings (MeSH) terms and free words. The entire search strategies are listed in the [Supplementary Material](#).

### 2.2. Eligibility criteria

Inclusion criteria: Patients with B-cell malignancies, including B-cell acute lymphoblastic leukaemia (B-ALL), chronic lymphocytic leukaemia (CLL), B-cell non-Hodgkin's lymphoma (B-NHL), and multiple myeloma (MM), who were treated with anti-CD19 CAR-T therapy were included in this meta-analysis. Both prospective and retrospective studies were eligible for inclusion, either single-centre or multi-centre.

Exclusion criteria: (1) Studies with fewer than three patients, without reporting haematological adverse events, and with insufficient data. (2) Studies that used a cocktail approach (combining CAR T cells therapy with other kinds of treatment). (3) Systematic reviews, abstracts from conferences, basic experimental researches, irrelevant studies and studies published in languages other than English. Studies with the same registration number of clinical trials were screened to exclude reports with smaller sample sizes.

### 2.3. Article selection and data extraction

All retrieved articles were imported into Endnote X9, and duplicate entries were removed using Endnote X9 and manual identification methods. The articles were then screened independently by two authors (Y. X. and J. Z.), who first screened papers by title and abstract, and articles that could not be distinguished by the abstract were subject to full-text reading. The following data were extracted independently by the two authors mentioned above: first author, year of publication, registration number of the clinical trial, number of patients included, sex, median age, disease, target, previous treatment lines, prior HSCT, bridging therapy, costimulatory domain, the origin of single-chain antibody fragment variable (scFv), type of viral vector, AE criteria adopted, as well as the number of patients who developed anaemia, thrombocytopenia, neutropenia, leukopenia, lymphopenia and febrile neutropenia. Data from two authors were compared, and discrepancies among them were discussed and negotiated with a third author (J. L.).

### 2.4. Assessment of study quality and publication bias

Methodological quality was evaluated using the Methodological Index of Non-Randomized Studies (MINORS) scale [10]. Publication bias was assessed using funnel plots and confirmed by Egger's test.

### 2.5. Statistical analysis

Stata software (version 16.0) was utilized for this meta-analysis. The  $I^2$  test and Q test were used to measure heterogeneity. The random-effects model was applied when statistical heterogeneity was significant ( $p < .10$  or  $I^2 > 50\%$ ), whereas a fixed-effects model was chosen when statistical heterogeneity was not significant ( $p \geq .10$  or  $I^2 \leq 50\%$ ). Effects were presented as event rates with pooled dominance ratios and 95% confidence intervals (CI). The Clopper–Pearson formula was used to determine confidence intervals. The publication bias was then evaluated using a funnel plot and Egger's test, and  $p > .05$  means there was no discernible publication bias. Sensitivity analysis was applied to assess the stability and reliability of the pooled meta-analysis results, and the corresponding studies were removed on a case-by-case basis. Subgroup analyses were performed to explore sources of heterogeneity, and a Z test was used to compare the combined incidence between subgroups. All tests were

two-sided, and  $p < .05$  was considered statistically significant.

## 3. Results

### 3.1. Basic characteristics of the studies

A total of 3128 articles were retrieved from the databases, and 1085 duplicate entries were removed by Endnote X9 and manual identification. After screening titles and abstracts, 178 articles remained, which were subsequently subjected to full-text reading and alignment of clinical trial registration numbers to screen for eligible articles. Finally, a total of 68 studies involving 2950 patients were included in this meta-analysis [4,11–77]. A flow chart of the literature selection procedure is shown in Figure 1, and the clinical characteristics and quality rating of the included studies are listed in Table 1 and Supplementary Table. The median MINORS score for the 68 studies was 12 (range 11–13), suggesting a moderate quality of evidence for this work.

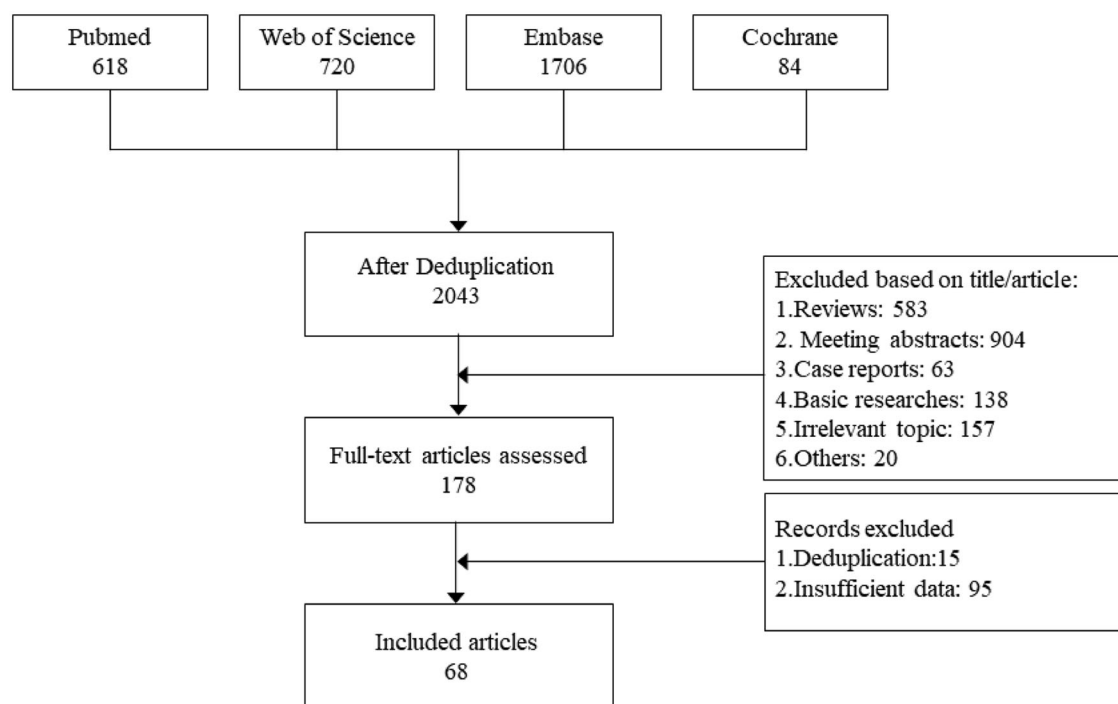
### 3.2. Pooled rates of cytopenias

Among the 68 articles, the incidence of anaemia, thrombocytopenia, leukopenia, lymphopenia, neutropenia and febrile neutropenia was described in 58, 61, 54, 32, 24 and 22 articles, respectively. The incidence of grade  $\geq 3$  anaemia, thrombocytopenia, neutropenia, leukopenia, lymphopenia and febrile neutropenia was described in 65, 66, 61, 38, 23 and 23 articles, respectively.

As shown in Figure 2, the overall incidence of all grade anaemia, thrombocytopenia, neutropenia, leukopenia, lymphocytopenia and febrile neutropenia was 65% (95% CI: 57–72%), 55% (95% CI: 47–63%), 78% (95% CI: 70–85%), 62% (95% CI: 47–76%), 70% (95% CI: 53–85%), and 27% (95% CI: 17–39%), respectively. The incidence of grade  $\geq 3$  anaemia, thrombocytopenia, neutropenia, leukopenia, lymphocytopenia and febrile neutropenia was 33% (95% CI: 26–39%), 31% (95% CI: 26–36%), 61% (95% CI: 53–69%), 45% (95% CI: 34–56%), 46% (95% CI: 31–62%), and 21% (95% CI: 14–29%), respectively.

### 3.3. Subgroup analysis

Subgroup analyses were performed for median age, the proportion of male patients, disease, target, median lines of prior therapy, the proportion of bridging therapy, the proportion of previous HSCT, costimulatory domain, type of vector, and species of scFv



**Figure 1.** Flow chart of literature screening process.

origin (Figure 3 and Figure 4). Since the  $I^2$  is greater than 50% according to the Q test, the random-effect model was adopted for the subgroup analysis.

Patients were divided into three subgroups according to age, with younger patients more likely to experience haematological toxicity, especially neutropenia ( $p = .001$ ), leukopenia ( $p < .001$ ) and lymphocytopenia ( $p = .001$ ), as well as grade  $\geq 3$  neutropenia ( $p < .001$ ), grade  $\geq 3$  leukopenia ( $p < .001$ ) and grade  $\geq 3$  lymphocytopenia ( $p = .001$ ). Considering the differences in the age of onset of different diseases, we conducted further subgroup analyses of different diseases. In patients with ALL, we discovered that subgroup with a median age of  $\geq 20$  years tend to have a high risk of cytopenia than the subgroup with a median age of  $< 20$  years after CAR-T therapy, the grade  $\geq 3$  thrombocytopenia in particular ( $p = .049$ ). On the contrary, in patients with B-NHL, younger patients (with a median age of  $< 60$  years) present a trend of more frequent cytopenia and a significantly higher incidence of leukopenia ( $p = .039$ ). Compared with the subgroup with a higher proportion of male patients, the subgroup with a lower proportion of males appeared to have an increased risk of developing haematological toxicity, notably anaemia ( $p = .001$ ), leukopenia ( $p = .022$ ), grade  $\geq 3$  anaemia ( $p < .001$ ), grade  $\geq 3$  thrombocytopenia ( $p = .013$ ), and grade  $\geq 3$  febrile neutropenia ( $p = .028$ ).

In terms of disease type, patients with ALL were more likely to develop anaemia, thrombocytopenia,

leukopenia and febrile neutropenia, whereas patients with NHL were more likely to have neutropenia and lymphocytopenia; however, no statistical differences were achieved between groups. Significantly, anaemia ( $p = .001$ ), grade  $\geq 3$  anaemia ( $p = .047$ ), thrombocytopenia ( $p = .012$ ), grade  $\geq 3$  thrombocytopenia ( $p < .001$ ), leukopenia ( $p < .001$ ), grade  $\geq 3$  leukopenia ( $p < .001$ ), lymphocytopenia ( $p = .032$ ), grade  $\geq 3$  lymphocytopenia ( $p = .001$ ) and grade  $\geq 3$  neutropenia ( $p = .019$ ) were more frequent in patients receiving dual-target CAR-T therapy than those treated with single-targeted CAR-T cells.

Subgroup analysis of structures and manufacturing of CAR-T cells showed that CAR-T cells with a costimulatory domain of CD28 were more likely to cause haematological toxicity than those with a 4-1BB domain, and the differences were significant in the analysis of febrile neutropenia ( $p < .001$ ). Moreover, products manufactured by lentiviral vectors presented a higher incidence of thrombocytopenia ( $p = .008$ ), neutropenia ( $p = .009$ ), leukopenia ( $p = .012$ ), febrile neutropenia ( $p < .001$ ) and grade  $\geq 3$  anaemia ( $p < .001$ ) than those by gamma retroviral vectors. No significant differences were observed in cytopenia between patients treated with CAR-T cells with murine or humanized scFv.

In terms of prior treatment, we discovered that patients with median lines of prior chemotherapy  $\geq 3$  tend to develop cytopenias more frequently, especially leukopenia ( $p = .025$ ), lymphopenia ( $p < .001$ ) and

**Table 1.** Clinical characteristics for the included studies.

Study	Phase or study design	Disease	Sample	Age [median, (range)]	Male (%)	Prior therapy lines [median, (range)]	Prior HSCT (%)	Bridging therapy (%)	Regimen of lympho-depletion	Target	Origin of scFv	Costimulatory domain	Type of vector	AE criteria <sup>a</sup>
Abramson 2020	1	LBCL	269	63 (54–70)	65	3 (2–4)	35	59	CF	CD19	Murine	4-1B8	LV	4.03
Baird 2021	Retro-spective	LBCL	41	56 (21–76)	59	3 (2–4)	20	44	CF	CD19	Murine	CD28	GRV	5.0
Bishop 2022	3	Aggressive B-NHL	162	59.5 (19–79)	64	1 for all	0	83	CF or Ben	CD19	Murine	4-1B8	LV	5.0
Brudno 2016 (ALL)	1	B-ALL	5	25 (20–68)	40	3 (2–4)	100	0	/	CD19	Murine	CD28	GRV	4.02
Brudno 2016 (CLL)	1	CLL	5	55 (44–66)	60	3 (1–7)	100	0	/	CD19	Murine	CD28	GRV	4.02
Brudno 2016 (NHL)	1	B-NHL	10	49.5 (44–63)	60	4 (1–7)	100	0	/	CD19	Murine	CD28	GRV	4.02
Brudno 2020	1	B-NHL	20	NA	NA	4 (1–9)	35	NA	CF	CD19	Humanized	CD28	LV	4.0
Chen 2020	1	B-NHL	14	46 (18–59)	71	NA	0	NA	CF	CD19	Murine	4-1B8	LV	4.0
Cheng 2018	1	B-ALL, CLL	7	26 (7–45)	57	1 (1–4)	14	NA	CF	CD19	Murine	1:1 mixture of CD28- and 4-1B8-containing cells	GRV	4.03
Cordoba 2021	1	B-ALL	15	8 (4–16)	73	2 (1–4)	47	NA	CF	CD19 + CD22 (bicistronic vector)	Humanized	CD19: OX40, CD22: 4-1B8	GRV	4.03
Curran 2019	1	B-ALL	25	13.5 (1–22.5)	NA	NA	20	100	Cy ± Flu	CD19	NA	CD28	GRV	4.03
Enblad 2018	1/2a	B-NHL, B-ALL	15	61 (24–71)	47	4 (1–7)	40	100	CF	CD19	Murine	CD28 + 4-1B8	GRV	NA
Fan 2022	1	DLBCL	10	mean 44.5, range 25.4–69.2	70	80% ≥ 3 lines	0	NA	CF	CD19	Murine	4-1B8	LV	4.03
Fowler 2022	2	FL	97	57.0 (49–64)	66	4 (2–13)	36	45	CF or Ben	CD19	Murine	4-1B8	LV	4.03
Frey 2020	1/2	CLL	38	61.3 (48.8–76.1)	78	3.5 (2–7)	NA	NA	CF	CD19	Murine	4-1B8	LV	4.03
Fried 2019 (ALL)	1b/2	B-ALL	19	14 (5–48)	63	NA	37	NA	CF	CD19	NA	CD28	NA	NA
Fried 2019 (NHL)	1b/2	B-NHL	16	35 (3.5–55)	81	NA	37	NA	CF	CD19	NA	CD28	NA	NA
Gauthier 2022 (Axi-cel)	Retro-spective	Aggressive B-NHL	68	62 (25–79)	69	3 (2–9)	NA	59	CF	CD19	Murine	CD28	GRV	4.03
Gauthier 2022 (ICAR014)	1/2	Aggressive B-NHL	30	60 (27–71)	63	4 (2–9)	NA	20	CF	CD19	Murine	4-1B8	LV	4.03
Gauthier 2022 (Tisa-cel)	Retro-spective	Aggressive B-NHL	31	64 (23–81)	68	3 (2–9)	NA	71	CF	CD19	Murine	4-1B8	LV	4.03
Geyer 2018	1	CLL	8	58.5 (45–68)	NA	1 for all	0	NA	C	CD19	Murine	CD28	GRV	4.0
Geyer 2019	1	CLL, B-NHL	20	63 (43–75)	70	4 (1–11)	5	NA	Cy ± Flu or Flu or Ben	CD19	Murine	CD28	GRV	4.0
Ghorashian 2019	1	B-ALL	14	9.24 (1.35–19.28)	93	4 (2–7)	71	NA	CF	CD19	Murine	4-1B8	LV	4.03
Gill 2022	2	CLL	19	62 (42–76)	79	2 (1–17)	NA	0	CF or Ben	CD19	Humanized	4-1B8	LV	NA
Gu 2020	Pilot study	B-ALL	20	18 (3–52)	60	2 (1–5)	NA	NA	CF or Ben	CD19	Murine	4-1B8	LV	4.03
Heng 2020	1/2	B-ALL	10	16 (5–40)	70	6.5 (5–13)	20	20	CF + cytarabine	CD19	Humanized	4-1B8	LV	4.03
Hu 2017	NA	B-ALL	15	32 (7–57)	60	2 (1–6)	33	NA	CF	CD19	Murine	4-1B8	LV	4.0
Hu 2021	1	B-ALL	6	49 (26–56)	33	5 (2–8)	NA	0	CF + Alemtuzumab	CD19 + CD22 (tandem)	Murine	4-1B8	LV	NA
Jacobson 2022	2	B-NHL	148	61 (53–68)	57	3 (2–4)	22	4	CF	CD19	Murine	CD28	GRV	4.03
Kadauke 2021	1	B-ALL	70	11.2 (1.4–29.1)	59	NA	36	100	CF	CD19	Murine	4-1B8	LV	4.03
Kato 2022	2	LBCL	16	58 (44–70)	69	75% ≥ 3 lines	38	0	CF	CD19	Murine	CD28	GRV	4.03
Kochenderfer 2017	1/2	B-NHL	22	53.5 (26–67)	NA	4 (1–7)	27	NA	CF	CD19	Murine	CD28	GRV	NA
Lee 2015	1	B-ALL	19	13 (5–27)	68	3 (2–15)	37	NA	CF	CD19	Murine	CD28	GRV	4.02
Lee 2021	1	B-NHL	9	51 (22–62)	56	2 (1–4)	NA	NA	CF	CD19	NA	NA	NA	NA
Liu 2022	NA	DLBCL	3	48 (43–66)	33	4 (2–5)	33	NA	CF or BuCy-E	CD19	Murine	NA	NA	NA
Locke 2017	1	Aggressive B-NHL	7	59 (29–69)	71	3 (2–4)	0	0	CF	CD19	Murine	CD28	GRV	4.03
Locke 2022	3	LBCL	170	58 (21–80)	61	1 for all	0	38	CF	CD19	Murine	CD28	GRV	4.03
Lyu 2021	NA	DLBCL	25	51 (15–77)	72	3 (2–4)	NA	100	CF	CD19	Humanized	4-1B8	LV	4.03
Maschan 2021 (ALL)	1/2	B-ALL	31	10 (1–20)	71	3 (1–4)	42	0	CF	CD19	Murine	4-1B8	LV	5.0
Maschan 2021 (NHL)	1	B-NHL	23	60 (33–76)	65	5 (2–7)	43	0	CF	CD19	Murine	4-1B8	LV	5.0
Maude 2018	2	B-ALL	75	11 (3–23)	57	3 (1–8)	61	87	CF	CD19	Murine	4-1B8	LV	4.03
Myers 2021	Pilot study	B-ALL	74	range 1.7–29.1	66	NA	46	NA	CF	CD19	Humanized	4-1B8	LV	4.03
Neelapu 2017	2	LBCL	101	58 (23–76)	67	69% ≥ 3 lines	21	0	CF	CD19	Murine	CD28	GRV	4.03
Neelapu 2022	2	LBCL	40	61 (23–86)	68	0	0	18	CF	CD19	Murine	CD28	GRV	5.0
Ortiz-Maldonado 2021 (ALL)	1	B-ALL	38	24.5 (3–67)	63	4 (2–10)	87	NA	CF	CD19	Murine	4-1B8	LV	4.0
Ortiz-Maldonado 2021 (NHL)	1	B-NHL	8	47.5 (19–62)	63	6 (4–9)	50	NA	CF	CD19	Murine	4-1B8	LV	4.0
Pan 2020	1	B-ALL	20	6 (1–15)	65	NA	NA	0	CF	CD19	Murine	4-1B8	LV	NA
Ramos 2018	1	B-NHL	16	58.5 (16–75)	69	4 (2–10)	50	NA	CF	CD19	Murine	2nd generation: CD28, 3rd generation: CD28 + 4-1B8	GRV	4.0

*(continued)*

Table 1. Continued.

Study	Phase or study design	Disease	Sample	Age [median, (range)]	Male (%)	Prior therapy lines [median, (range)]	Prior HSCT (%)	Bridging therapy (%)	Regimen of lympho-depletion	Target	Origin of scFv	Costimulatory domain	Type of vector	AE criteria <sup>a</sup>
Roddie 2021	1	B-ALL	20	41.5 (18–62)	65	3 (2–6)	65	90	CF	CD19	Murine	4-1B8	LV	4.03
Sang 2020	2	DLBCL	21	55 (23–72)	62	3 (1–6)	5	NA	CF or ifosfamide	CD19 + CD20 (coadmini-stration)	Murine	4-1B8	LV	4.03
Schuster 2017	Case-series study	FL, DLBCL	28	57.5 (25–77)	64	4.5 (1–10)	39	36	Various regimen	CD19	Murine	4-1B8	LV	NA
Schuster 2019	2	DLBCL	111	56 (22–76)	65	3 (1–6)	49	92	CF or Ben	CD19	Murine	4-1B8	LV	4.03
Sesques 2020 (Axi-cel)	Retro-spective	Aggressive B-NHL	28	59 (27–72)	57	79% $\geq$ 4 lines	29	96	CF	CD19	Murine	CD28	GRV	5.0
Sesques 2020 (Tisa-cel)	Retro-spective	Aggressive B-NHL	33	62 (28–75)	72	64% $\geq$ 4 lines	30	97	CF or Ben	CD19	Murine	4-1B8	LV	5.0
Shah 2020	1	B-NHL, CLL	22	57 (38–72)	86	4 (2–12)	36	NA	CF	CD19 + CD20 (tandem)	Murine	4-1B8	LV	5.0
Shah 2021 [a]	1	B-ALL	45	46 (18–77)	49	67% $\geq$ 3 lines	29	96	CF	CD19	Murine	4-1B8	GRV	4.03
Shah 2021 [b]	2	B-ALL	55	40 (28–52)	60	47% $\geq$ 3 lines	42	93	CF	CD19	Murine	4-1B8	GRV	4.03
Siddiqi 2022	1	CLL/SLL	23	66 (50–80)	48	4 (2–11)	NA	74	CF	CD19	Murine	4-1B8	LV	4.03
Sim 2019	Retro-spective	DLBCL	11	NA	NA	3 (2–5)	NA	100	CF	CD19	Murine	CD28	GRV	5.0
Spiegel 2021	1	LBCL, B-ALL	38	59 (25–78)	71	NA	42	NA	CF	CD19 + CD22 (tandem)	CD19: murine, CD22: humanized	4-1B8	LV	4.03
Sun 2021 (4-1B8)	1	B-NHL	4	25 (21–40)	50	4.5 (3–20)	25	NA	CF	CD19	Murine	4-1B8	LV	4.0
Sun 2021 (4-1B8 + DAP12)	1	B-NHL	4	34 (23–55)	50	6.5 (3–8)	25	NA	CF	CD19	Murine	4-1B8 + DAP12	LV	4.0
Talleur 2022	1	B-ALL	12	13.9 (1.8–21.7)	33	NA	33	83	CF	CD19	Murine	4-1B8	LV	5.0
Wang 2020	2	MCL	68	65 (38–79)	84	3 (1–5)	43	37	CF	CD19	Murine	4-1B8	GRV	4.03
Wang 2020 (ALL)	Pilot study	B-ALL	51	27 (9–62)	63	NA	24	NA	CF	CD19 + CD22 (coadmini-stration)	Murine	CD28 + 4-1B8	LV	4.03
Wang 2020 (NHL)	Pilot study	B-NHL	38	47 (17–71)	58	NA	16	NA	CF	CD19 + CD22 (coadmini-stration)	Murine	CD28 + 4-1B8	LV	4.03
Wang 2021	1/2	B-ALL	21	13 (3–69)	52	4 (1–7)	10	NA	CF	CD19	Humanized	4-1B8	LV	4.03
Wang 2022	2	MM	62	58 (30–69)	55	4 (2–17)	27	NA	CF	CD19 + BCMA (coadmini-stration)	CD29: humanized, BCMA: murine	4-1B8	LV	4.03
Wei 2021	1	Aggressive B-NHL	16	52.5 (23–68)	50	3 (2–5)	6	NA	CF	CD19 + CD22 (tandem)	CD19: murine, CD22: NA	4-1B8	LV	5.0
Wudhikarn 2020	Retro-spective	DLBCL	60	63 (19.5–85.9)	70	3 (2–9)	27	63	CF or Ben	CD19	Murine	CD28 or 4-1B8	NA	5.0
Yan 2019	1	B-NHL	10	47 (32–59)	80	3.5 (3–5)	10	20	CF	CD19	Murine	4-1B8	LV	4.03
Ying 2019 [a] (4-1B8)	1/2a	B-NHL	6	49.5 (34–65)	67	2 (1–3)	NA	NA	CF	CD19	Murine	4-1B8	LV	5.0
Ying 2019 [a] (CD28)	1/2a	B-NHL	3	34 (21–47)	67	1.5 (1–3)	NA	NA	CF	CD19	Murine	CD28	LV	5.0
Ying 2019[b]	1	B-NHL	25	48 (24–76)	5	3 (1–6)	24	NA	CF	CD19	Murine	4-1B8	LV	4.03
Ying 2021	2	LBCL	59	56.0 (18–75)	56	2 (2–7)	10	44	CF	CD19	Murine	4-1B8	LV	4.03
Zhang 2021	2	B-NHL	32	<60 ( $n = 24$ ), $\geq 60$ ( $n = 8$ )	59	41% $\geq$ 3 lines	13	NA	CF	CD19 + CD22 (tandem)	Murine	4-1B8	LV	5.0
Zhang 2021	1/2	B-NHL	87	50 (17–68)	47	74% $\geq$ 3 lines	14	NA	CF	CD19 + CD20 (tandem)	Murine	4-1B8	LV	4.0
Zhang 2022	NA	DLBCL	31	73 (65–86)	52	77% $\geq$ 5 lines	10	NA	CF	CD19	Humanized	4-1B8	LV	4.03
Zhou 2020	1	B-NHL	21	range 31–77	62	38% $\geq$ 4 lines	24	33	CF	CD19	Murine	CD28 + CD27	LV	4.03

<sup>a</sup> All the criteria listed all followed the Common Terminology Criteria for Adverse Events (CTCAE).

NA, not available; LBCL, large B-cell lymphoma; B-NHL, B cell non-Hodgkin's lymphoma; B-ALL, B cell acute lymphoblastic leukaemia; CLL, chronic lymphocytic leukaemia; DLBCL, diffuse large B cell lymphoma; FL, follicular lymphoma; MCL, mantle cell lymphoma; MM, multiple myeloma; HSCT, haematopoietic stem cell transplantation; CF, cyclophosphamide and fludarabine; Ben, bendamustine; Cy, cyclophosphamide; Flu, fludarabine; scFv, single-chain antibody fragment variable; LV, lentiviral; GRV, gamma retroviral; AE, adverse event.



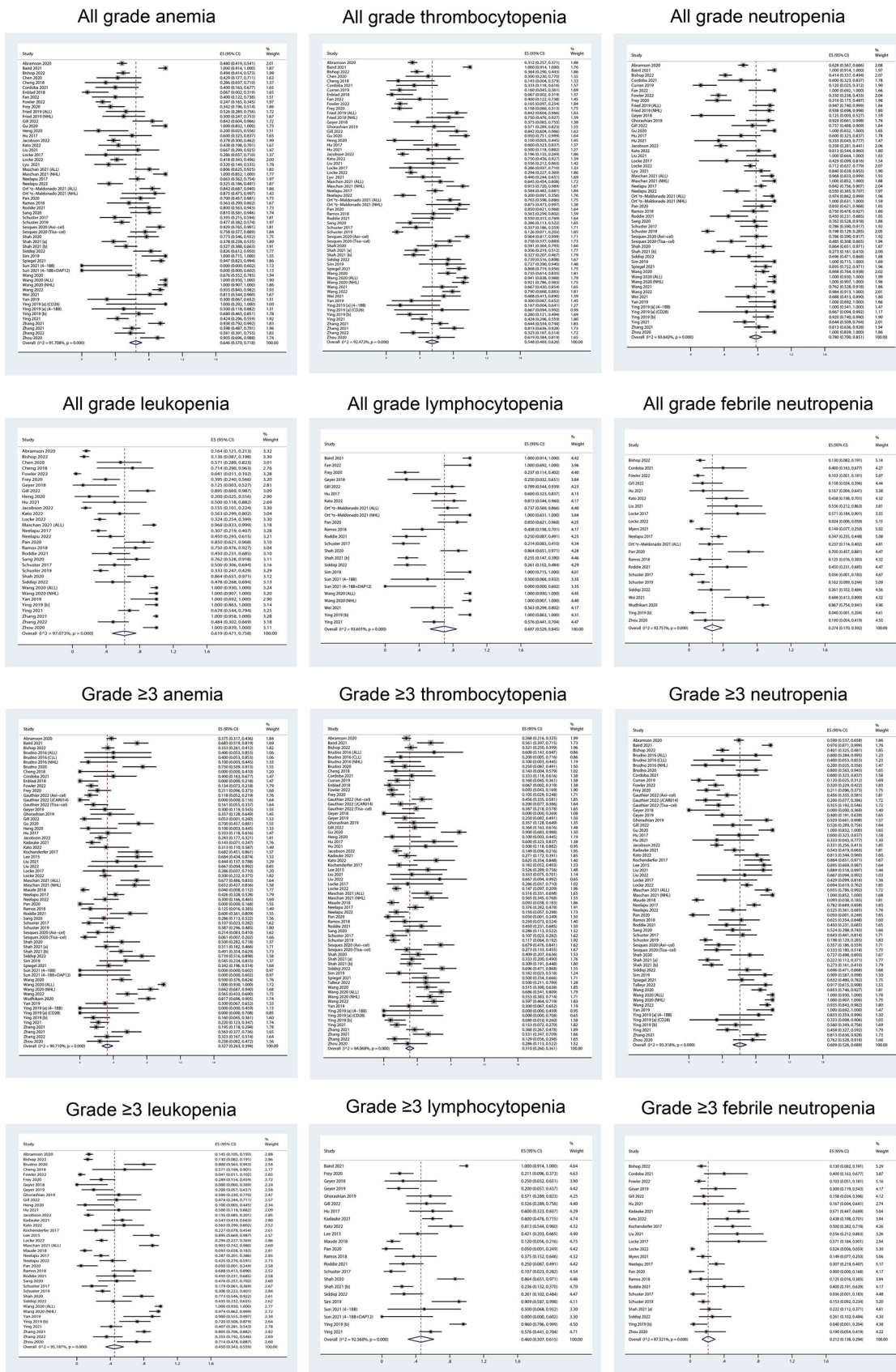


Figure 2. Forest plots of cytopenias.

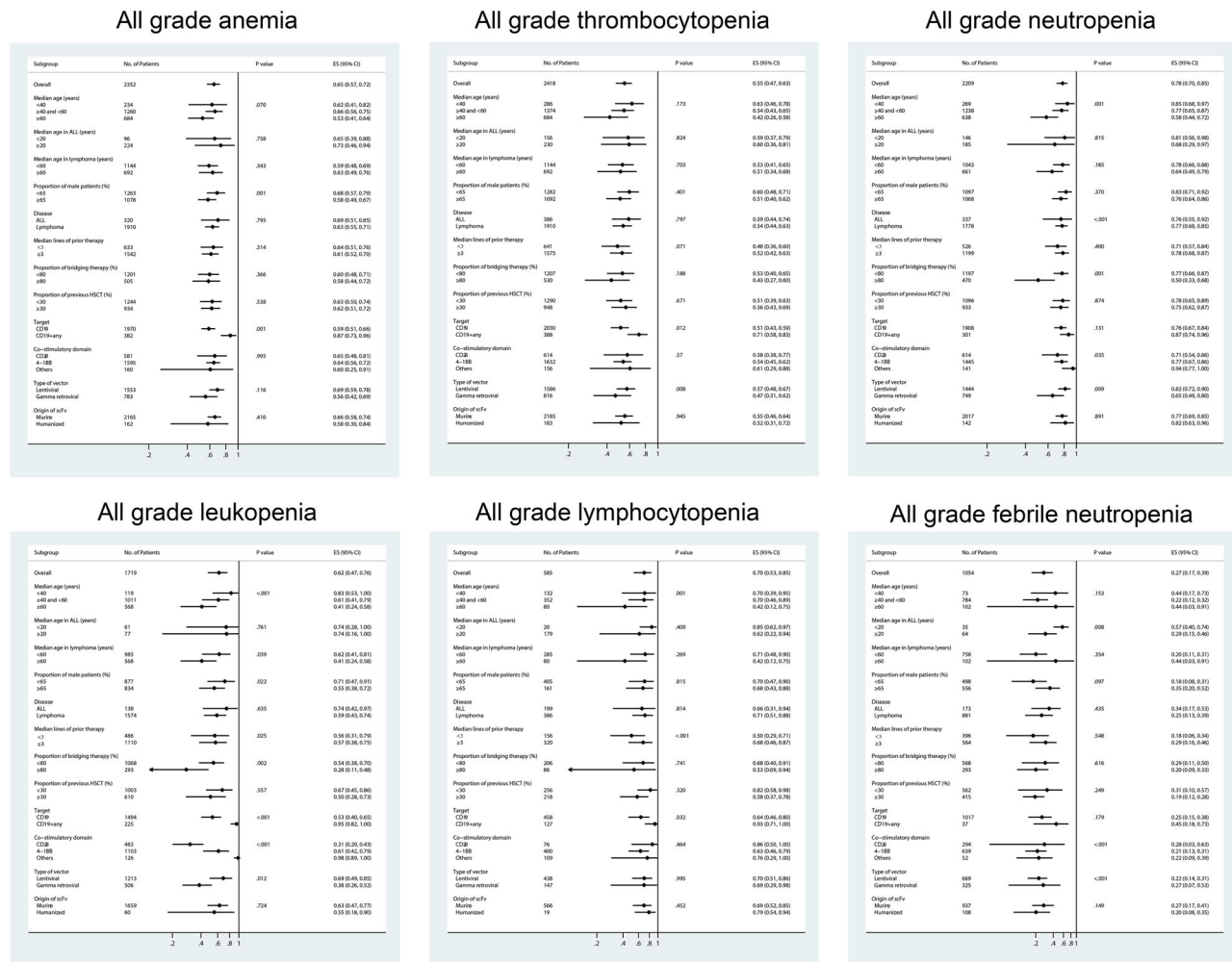


Figure 3. Subgroup analysis of all grade cytopenias.

grade  $\geq 3$  leukopenia ( $p=.024$ ). Subgroups with lower bridging rates were more likely to experience cytopenia, particularly neutropenia ( $p=.001$ ) and leukopenia ( $p=.002$ ). No significant differences were observed in the incidence of cytopenias between subgroups with different rates of prior HSCT ratios.

### 3.4. Sensitivity analysis and publication bias assessment

To evaluate the robustness of this pooled meta-analysis, sensitivity analysis on the incidence of haematological toxicity using the 'leave-one-out' method was conducted. We found that the pooled effect sizes were not significantly affected after excluding each study individually, suggesting the stability and reliability of this work (Supplementary Figure).

To determine if publication bias affected the overall incidence of anaemia, thrombocytopenia, neutropenia, leukopenia, febrile neutropenia, and lymphocytopenia, the funnel plots and Egger's test were performed.

Publication bias occurred in the groups of thrombocytopenia, neutropenia, leukaemia and grade  $\geq 3$  leukaemia (Figure 5). Nevertheless, the trim and fill method (without adding new studies) indicated the reliability of the results.

## 4. Discussion

Despite the extensive application and encouraging efficacy of anti-CD19 CAR-T in B-cell malignancies, cytopenias remain the most common adverse effects following CAR-T therapy, which greatly affects the quality of life of patients. Of the 68 studies involving 2950 patients included in the study, neutropenia is the most frequent cytopenia after CAR-T therapy, both in all grades or grade  $\geq 3$ . Subgroup analysis showed that patients with ALL tended to have a higher incidence of cytopenia, which may be related to the bone marrow infiltration and intensive prior therapy in ALL patients. Although elderly patients are more likely to develop post-treatment cytopenias due to the



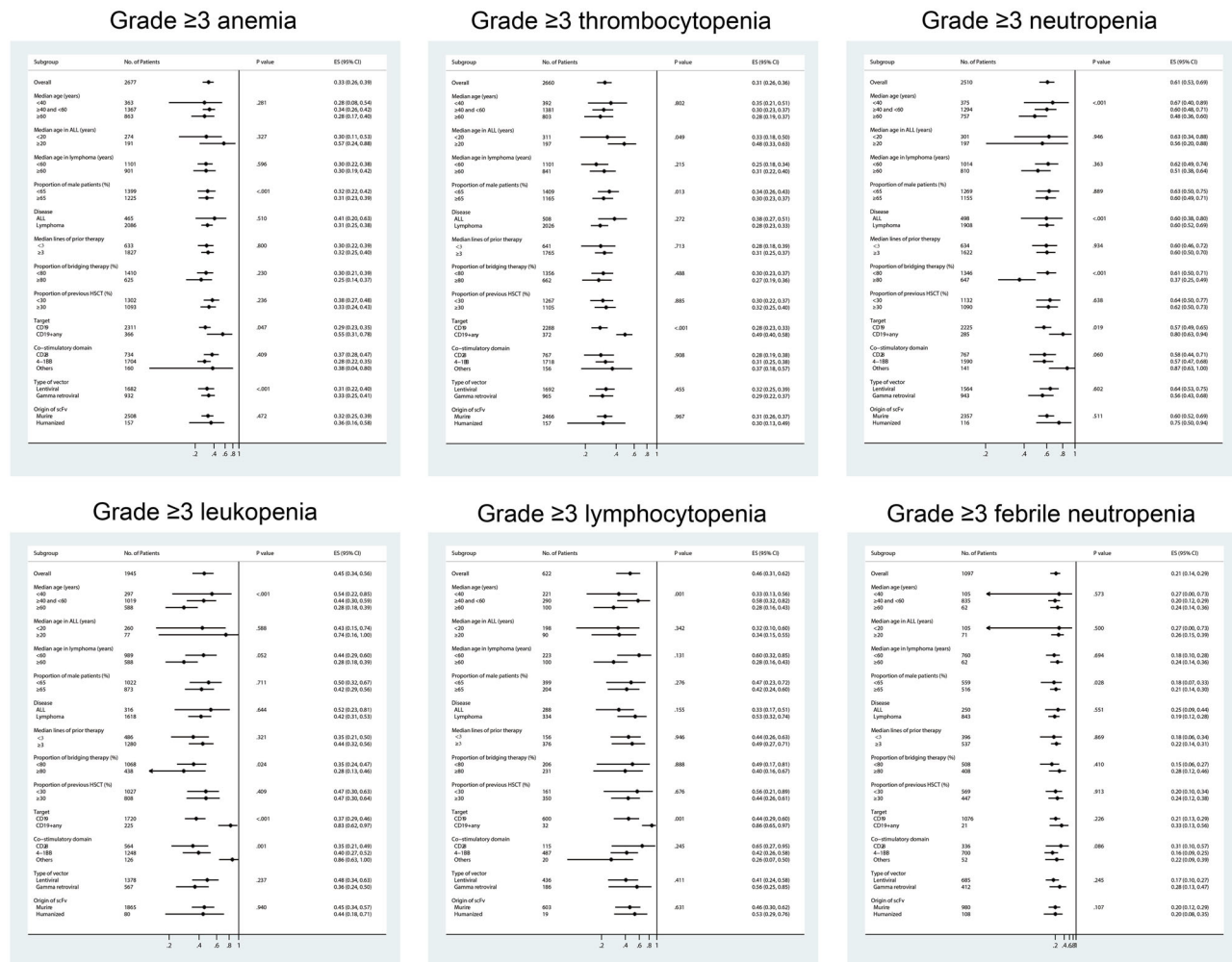


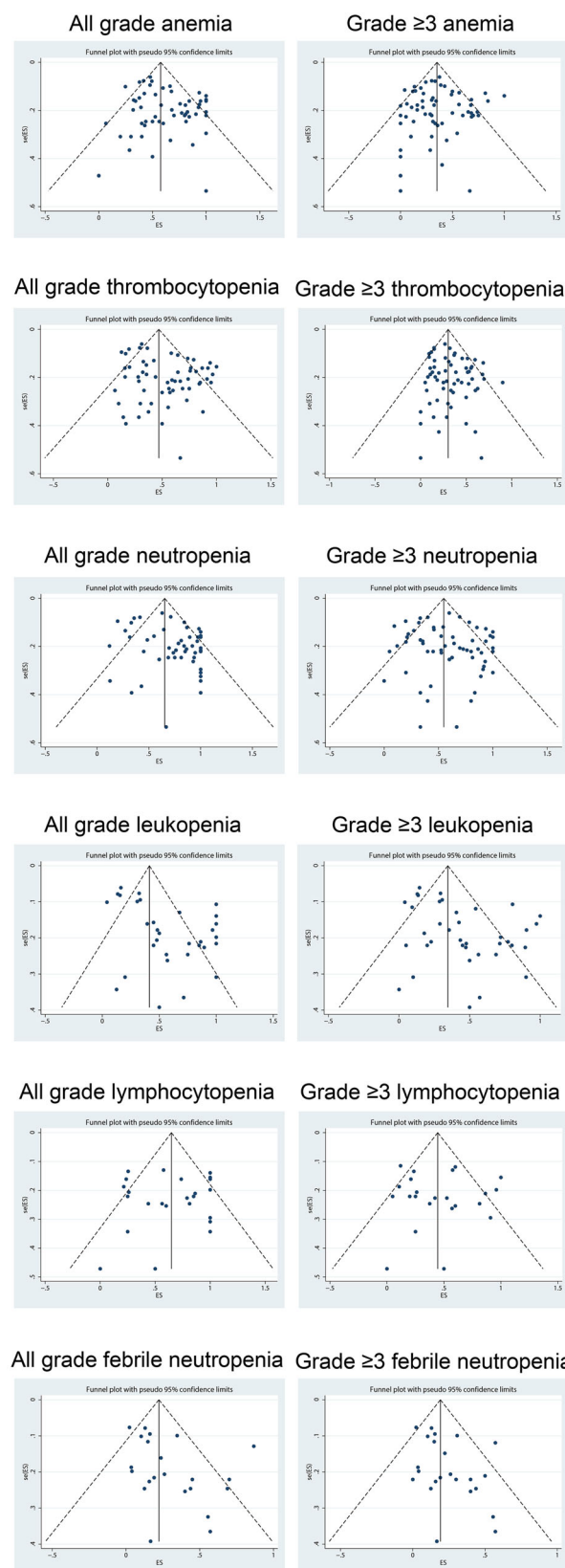
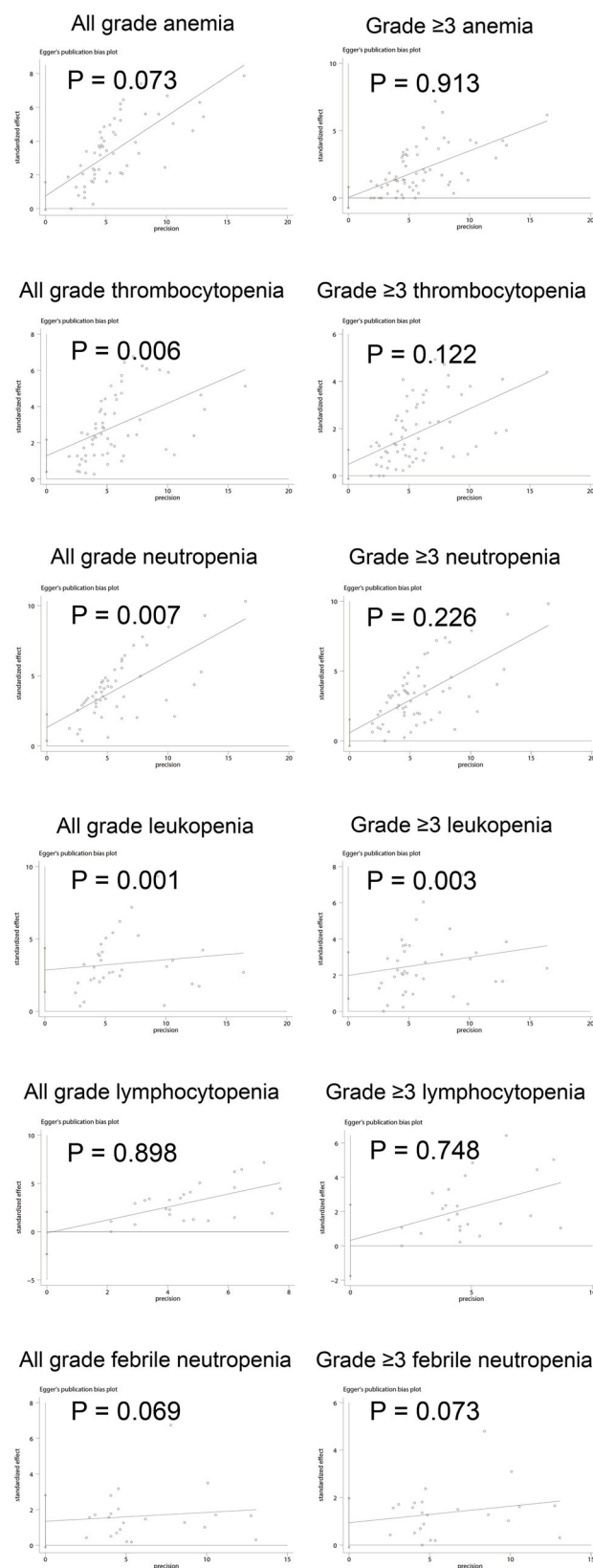
Figure 4. Subgroup analysis of grade ≥3 cytopenias.

insufficient haematopoietic reserve [78], this study showed a higher incidence of cytopenias in younger patients after CAR-T therapy, possibly due to the predominance of ALL in young patients treated with CAR-T therapy. Interestingly, the subgroup with a higher proportion of male patients had a lower incidence of cytopenias (except for febrile neutropenia), that is, female patients may have a higher risk of cytopenia after CAR-T therapy. However, the mechanisms by which male patients are more prone to febrile neutropenia remain unknown, and the increased risk of infection due to a history of smoking in males may be a contributing factor [79].

With the wide application of single-targeted CAR-T therapies, antigen loss is well recognized as one of the mechanisms to evade CAR-T therapy [80]. Multi-targeted CAR-T therapies have been proved to partially overcome the immune escape caused by the down-regulation or loss of tumour antigens, yet, a more robust immune response, such as CRS, may also be elicited [81]. Dual-targeted CAR-T therapies that

combine CD19 and other targets, including CD20, CD22, or BCMA, were also included in this meta-analysis. Three types of multi-targeted approaches were observed in this study, involving tandem CAR-T [17,18,22,26,44,62], bicistronic vector transduced CAR-T [19] and the coadministration of two separate products with different targets [28,45,59]. We found that dual-targeted therapies induced more frequent cytopenias than single-target products, suggesting that cytopenias after CAR-T therapy may be mediated by enhanced immune responses.

Since bone marrow injury could be induced by chemotherapy and HSCT, we subsequently analysed the impact of prior therapy on cytopenias after CAR-T cell infusion. It is observed that cytopenias, especially leukopenia and lymphopenia, were

**A****B**

**Figure 5.** Funnel plots and Egger tests for cytopenias. (A) Funnel plots for cytopenias. (B) Egger tests for cytopenias.

the limitations of the data, the type of HSCT, source of the donor and pre-treatment regimen were not further analysed, which may overlook the vital influencing factors of HSCT on post-CAR-T cytopenias. Bridging therapy prior to CAR-T infusion refers to treatment delivered between apheresis and lymphodepletion, involving chemotherapy, immunotherapy and radiotherapy [83]. We were surprised to find that, contrary to our common knowledge, subgroups with lower rates of bridging therapy tended to develop cytopenias more frequently, including grade 3 leukopenia and neutropenia. Despite the inferior survival conferred by bridging therapy in some studies, which may be explained by the selection bias given that patients with heavy tumour burden were more likely to receive bridging therapy [84,85], our results suggest that it would not increase the risk of delayed haematopoietic recovery after CAR-T infusion, and the underlying mechanism remains unknown. We speculate that the decreased tumour burden may provide the possibility of better reconstruction of the haematopoietic niche and alleviation of severe CRS. Due to the differences in clinical study design, as bridging therapy was given to almost all patients in some studies [25,65] while not permitted in some others [15,48]; thus, inevitable heterogeneity of baseline characteristics and treatment selection do exist across clinical studies, and head-to-head studies are warranted to clarify the effect of bridging therapy, as well as the aforementioned HSCT, on haematopoietic recovery following CAR-T therapy.

The structure, manufacturing and species of scFv origin of CAR-T cells can influence the immune response and efficacy of CAR-T therapy [86]. A single-centre study on NHL showed complete haematopoietic recovery rates of 42% and 100% for Axi-cel (with the CD28 costimulatory domain) and Tisa-cel (with the 4-1BB costimulatory domain) at three months after infusion [87]. A previous meta-analysis showed that products with the CD28 costimulatory domain had a significantly higher incidence of thrombocytopenia and anaemia than those with the 4-1BB domain [82]. In the present work, we noticed that products with the CD28 domain also had a trend to develop lymphopenia and febrile neutropenia more frequently, while the incidence of neutropenia was similar for both domains, possibly due to the fact that CAR-T cells with CD28 domains exhibit a higher incidence of CRS that can lead to fever. Therefore, CAR-T products with the 4-1BB domain may be a preferable option for patients at high risk of developing cytopenias. To date, the vast majority of scFv of CAR-T products are

derived from mice, and only nine studies involving 277 patients in this meta-analysis adopted humanized scFv. The immunogenicity of non-humanized scFv can induce an anti-CAR immune response that may influence the persistence of CAR-T cells [88], but its effect on haematopoietic reconstitution remains unexplored. The present work suggests that it may have no effect on haematopoietic reconstitution after CAR-T therapy. Currently, CAR-T manufacturing relies primarily on retrovirus vectors, including lentiviral and gamma retroviral vectors, to transduce CAR into T cells [89]. We found that products manufactured by lentiviral vectors presented a higher incidence of leukopenia, neutropenia and thrombocytopenia, but the mechanisms involved remain unclear.

There are still some limitations to this study. First, we did not analyse the influence factors on haematopoietic recovery time by reason of limited data in the publications. Second, due to the considerable variation in regimens and dosage of lymphodepletion across clinical studies, the effect of lymphodepletion on haematopoietic reconstitution after CAR-T infusion was not analysed in this study. Lymphodepletion, the chemotherapy prior to CAR-T cell administration, enables better engraftment and expansion of CAR-T cells [83]. High-dose lymphodepletion regimens may contribute to the release of beneficial cytokine and are associated with better treatment response [90], yet lead to stronger haematologic toxicity. Last, this work is limited by being a systematic review that includes studies with heterogeneous designs and populations. Moreover, this study is also methodologically limited due to the fact that only a relatively small number of articles screened described leukopenia and most of the matched articles did not present data for all six categories of cytopenia, thus leading to the occurrence of partial publication bias. Therefore, larger scale clinical studies, especially prospective and head-to-head studies, are warranted to further define the contributing factors of delayed haematopoietic reconstitution in CAR-T therapy so as to optimize the structure, manufacturing and therapeutic schedule of CAR-T therapy.

## 5. Conclusion

In conclusion, cytopenia is one the most common adverse events following CAR-T therapy, compromising patients' quality of life and potentially being life-threatening. The occurrence of cytopenia may be related to clinical characteristics of patients such as disease, age, sex, prior treatment, as well as the design

of CAR-T cells, including target, costimulatory domain and type of viral vector. Further studies are needed to confirm our findings and enable a better appreciation of haematopoietic reconstruction after CAR-T therapy.

## Author contributions

Conception and design: All author

Collection and assembly of data: Y.X., J.Z. and Jing.L.

Data analysis and interpretation: Y.X., J.Z., Jing.L., L.Z., J.L., L.F. and L.C.

Manuscript writing: Y.X. and J.Z.

Manuscript review & editing: L.C. and L.F.

Final approval of manuscript: All authors.

## Disclosure statement

No potential conflict of interest was reported by the authors.

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## Data availability statement

The data that support the findings of this study are available on request from the corresponding author.

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