LEADING ARTICLE



Beta Thalassemia: New Therapeutic Options Beyond Transfusion and Iron Chelation

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Abstract

Hemoglobinopathies are among the most common monogenic diseases worldwide. Approximately 1-5% of the global population are carriers for a genetic thalassemia mutation. The thalassemias are characterized by autosomal recessive inherited defects in the production of hemoglobin. They are highly prevalent in the Mediterranean, Middle East, Indian subcontinent, and East and Southeast Asia. Due to recent migrations, however, the thalassemias are now becoming more common in Europe and North America, making this disease a global health concern. Currently available conventional therapies in thalassemia have many challenges and limitations. A better understanding of the pathophysiology of β -thalassemia in addition to key developments in optimizing transfusion programs and iron-chelation therapy has led to an increase in the life span of thalassemia patients and paved the way for new therapeutic strategies. These can be classified into three categories based on their efforts to address different features of the underlying pathophysiology of β -thalassemia: correction of the globin chain imbalance, addressing ineffective erythropoiesis, and improving iron overload. In this review, we provide an overview of the novel therapeutic approaches that are currently in development for β -thalassemia.

1 Introduction

Hemoglobinopathies are the most common monogenic diseases worldwide, and 1–5% of the global population are carriers for a genetic thalassemia mutation [1]. β -Thalassemias are highly prevalent in the Mediterranean, Middle East, and the Indian subcontinent; however, due to recent migrations, they are becoming more common worldwide, making their management and care an increasing concern for health care systems [2].

The imbalance in the α/β -globin chain ratio leads to ineffective erythropoiesis, chronic hemolytic anemia, and compensatory hemopoietic expansion [3]. We classify thalassemia syndromes as non-transfusion-dependent thalassemia (NTDT) and transfusion-dependent

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Key Points

A better understanding of the pathophysiology of β -thalassemia has led to an increase in the life span of thalassemia patients and paved the way for new therapeutic strategies.

Gene therapy approaches using globin lentiviral vectors and genome-editing approaches to inhibit the BCL11A gene are currently under investigation.

Targeting ineffective erythropoiesis through the activin II receptor trap luspatercept has been shown to decrease the transfusion requirement in transfusion-dependent thalassemia.

Therapeutic strategies aimed at improving iron dysregulation such as minihepcidin and TMPRSS6 inhibitors are also showing promise, especially in non-transfusiondependent thalassemia patients.

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thalassemia (TDT) according to their clinical features and transfusion requirement. NTDT patients spontaneously maintain hemoglobin (Hb) values between 7 and 10 g/dL, and may require transfusion occasionally, mainly during pregnancy, surgery, and infections [2]. Due to chronic anemia, the absorption of iron in the duodenum is increased, and patients develop iron overload, mainly in the liver. This process is mediated by the hepcidin-ferroportin axis [4]. Hepcidin is the master regulator of iron metabolism [5], and despite the presence of iron overload, its levels are low in β -thalassemia patients [6, 7] due to the continuous erythropoietic stimuli mediated by GDF 15 [8] and erythroferrone (ERFE) [9, 10]. Conversely, TDT patients require chronic red blood cell (RBC) transfusions to survive, and iron chelation therapy is necessary to counterbalance the iron intake and prevent iron overload and subsequent organ damage [11, 12].

Different conventional modalities for the management of TDT and NTDT patients exist today. These include, and still are being used, blood transfusion, splenectomy, hydroxyurea, iron chelation therapy, and, for a subgroup of patients, hematopoietic stem-cell transplantation (HSCT). These conventional modalities remain the mainstay of treatment and they form the basis of the currently available guidelines [13, 14]. There are, however, many challenges and limitations in the currently available conventional therapies. In the last few decades there have been considerable advances in understanding the pathophysiology of β-thalassemia in addition to key developments in optimizing transfusion programs and iron-chelation therapy [15, 16]. These in turn have not only led to an increase in the life expectancy of thalassemia patients but have also paved the way for new therapeutic strategies. Emerging therapies in thalassemia can be classified into three major categories based on their efforts to address different features of the underlying pathophysiology of β-thalassemia: correction of the globin chain imbalance, addressing ineffective erythropoiesis, and improving iron overload. At the end of 2019, a first-in-class investigational erythroid maturation agent that promotes late-stage erythropoiesis was approved by the US Food and Drug Administration (FDA) for the treatment of TDT patients [17]. Bone marrow transplantation was the only available curative option for TDT until June 2019, when the first gene therapy product was approved by the European Medicine Agency (EMA) for TDT patients who do not entirely lack β -globin and who are eligible for stem cell transplantation but do not have a matching related donor [18]. In this review, we provide an overview of the novel therapeutic approaches that are currently in development.

2 Correction of the Globin Chain Imbalance

2.1 Bone Marrow Transplantation

The rationale of bone marrow transplantation in a TDT patient is to restore the tissue's capability of producing functional hemoglobin. Data from the European Bone Marrow Transplant (EBMT) registry on 1493 consecutive patients with thalassemia major transplanted between 2000 and 2010 showed that the 2-year overall survival (OS) and event-free survival (EFS) were $88 \pm 1\%$ and $81 \pm 1\%$, respectively, after a median observation period of 2 years. OS and EFS were 90%, 81%, and 93% (P < 0.001), and 82%, 76%, and 85% (P=0.003) in patients who had received bone marrow, peripheral blood, or cord blood (alone or combined), respectively [19]. The pre-transplant evaluation includes the Pesaro risk assessment [20], which stratifies the outcome of transplantation into three classes based on hepatomegaly, portal fibrosis, and irregular chelation history [21]. Class 1 includes patients who have none of the risk factors, class 2 patients who have one or two risk factors, and class 3 patients who have all three. The thalassemia-free survival (TFS) was respectively 85-90% for class 1, 80% for class 2, and 65-70% for class 3, while the transplant-related mortality (TRM) increased from class 1 to 3 [22]. Thus, HSCT should be offered to a young TDT patient with a matched sibling donor (MSD) before the development of iron overload and subsequent tissue damage.

According to EBMT data, MSD transplants give the safest outcome with a 2-year OS of 91%, compared to 88% of matched family donors and 77% of matched unrelated donors [19]. A recent study by Li et al. on children and young adults with TDT compared the use of alternative donors and HLA-matched related donors in China, India, and the USA. The 5-year probabilities of overall survival (OS) after HLA-matched relative, HLA-mismatched relative, HLA-matched unrelated, and HLA-mismatched unrelated donor transplants were reported to be 89%,73%, 87%, and 83%, respectively. The 5-year probabilities of EFS after HLA-matched relative, HLA-mismatched relative, HLAmatched unrelated, and HLA-mismatched unrelated donor transplants were 86%, 70%, 82%, and 78%, respectively [23]. This report shows comparable event-free and OS after HLA-matched related and HLA-matched unrelated donor transplantation. Of note, the availability of a suitable donor, patient fitness, and procedure-related toxicity are the main limitations to the use of hematopoietic stem cell transplantation (HSCT). For this reason, there is the need to investigate new conditioning regimens or implement an autologous gene therapy approach to the most severe cases too.

2.2 Gene Therapy and Gene Editing

Several clinical trials are investigating the safety and efficacy of gene addition and gene editing to restore Hb synthesis in β -thalassemia (Table 1). Most of the products are gene addition-based technologies, while few are gene-editing strategies that aim to reactivate fetal Hb (HbF). The first gene addition product approved for TDT in July 2019 in Europe is Lentiglobin BB305 (Zynteglo), by bluebird bio. In the initial trials, transfusion independence was obtained in adult patients with a β^+/β^+ or β^+/β^0 genotype (ClinicalTrials. gov number NCT01745120 and NCT02151526). The level of integration [vector copy number (VCN)] proved to be insufficient at achieving transfusion independence for most of the β^0/β^0 adults [24]. Therefore, subsequent trials have aimed at optimizing transduction protocols to obtain higher VCN in the drug product and maximizing transgenic chimerism and thanks to new transduction regimens patients with β^0/β^0 achieved transfusion independence (ClinicalTrials.gov number NCT02906202 and NTC02140554).

In the Italian trial conducted in Milan at IRCCS San Raffaele-Telethon Institute of gene Therapy (SR-TIGET) (ClinicalTrials.gov number NCT02453477), using the vector GLOBE, the best outcomes were observed in the pediatric cohort, while it was progressively less successful for the middle and oldest ones [25]. The observation that naturally occurring mutations of the binding site of BCL11A in the gammaglobin promoter are associated with high persistence of fetal Hb (HPFH) paved the way for strategies to reactivate HbF. A clinical trial enrolling patients with β-thalassemia (ClinicalTrials.gov number NCT03745287) is being conducted by CRISPR Therapeutics. The CRISPR Therapeutics approach relies on the use of CRISPR/CAS9 to edit patients' hematopoietic stem cells (HSC). An additional trial by Sangamo (ClinicalTrials.gov number NCT03432364) also uses a zincfinger nuclease approach to target the enhancer of BCL11A. Preliminary results, presented by Sangamo at ASCGT 2019, showed successful gene editing of the peripheral white blood cells collected from the first treated patient, a β^0/β^0 , an increase of fetal Hb levels, and stable total Hb values around 9 g/dL at 50 days from the infusion. More extended follow-up periods for all these most recent studies are needed to further elucidate the extent of the success of the gene-editing technology for hemoglobinopathies.

Although autologous HSCT using genetically corrected cells would avoid the risk of graft-versus-host disease (GVHD) and overcome the need for a suitable donor, several challenges remain to be optimized in the field of gene therapy and gene editing [26]. These include:

 The conditioning regimen: the genotoxicity in nonmalignant disorders lessens the willingness of patients and healthcare providers to consider this therapy. The procedure requires intensive myeloablative conditioning regimens in order to reduce the risk of graft failure [27]. Myeloablative conditioning is associated with short- and long-term toxicity in hematopoietic and non-hematopoietic tissues [28]. The ideal regimen should combine an efficient depletion of HSC and minimizing toxicity. Novel strategies are based on the use of antibodies that specifically target HSC and other hematopoietic cells in the bone marrow niche, sparing non-hematopoietic cells [29–31].

- 2. The mobilization: granulocyte-colony stimulating factor (G-CSF) is the standard agent to mobilize hematopoietic stem and progenitor cells (HSPC) for transplantation. However, peculiar features of hemoglobinopathies, namely splenomegaly and thrombophilia, may represent risk factors for adverse events [32-35]. Moreover, in adult thalassemia patients, iron overload and consequent oxidative stress, the suppressive effect of long-term transfusions and chelation on the stem cell compartment, and the "aged" stem cells, could compromise the safety and success of HSC procurement [36]. Among the other compounds available, Plerixafor represents a good alternative. Plerixafor has proved to be safe and effective in mobilizing HSC in thalassemia patients [24, 25, 37]. Hydroxyurea has been evaluated as an agent to be administered prior to mobilization; however, it reduces the amount of circulating CD34+ [38], is associated with myelosuppression, and did not show any beneficial effect in thalassemia patients [36, 39].
- The large-scale availability: gene therapy has high costs. Lentivirus-based gene and cell therapy products were estimated in 2017 to cost in the range of \$500,000– \$1,000,000 per patient [40].

3 Targeting Ineffective Erythropoiesis

3.1 Activin II Receptor Traps

Members of the transforming growth factor β (TGF- β) superfamily ligands, including growth differentiation factors and activins, have been shown to act as inhibitors of late-stage erythropoiesis [41–44]. Activin receptor ligand traps, namely sotatercept and luspatercept, through their ability to reduce SMAD2/3 signaling, improve anemia in disorders characterized by ineffective erythropoiesis, such as β -thalassemia [45] and myelodysplastic syndromes (MDS) [46] (Fig. 1a). GDF11-mediated signaling has been initially hypothesized as the pathway inhibited by activin receptor ligand traps [45, 47]. However, Guerra et al. recently showed that the lack of GDF11 does not improve anemia in a mouse model of β -thalassemia [48]. The authors showed that β -thalassemia mice with the

Table 1 Gene therapy and	gene editing clinical tria	ls for β-thalassemia				
Trial identifier	Phase/status	Enrolled patients	Myeloablative regimen	Mobilization protocol	Drug product	Sponsor/center
NCT02453477 [25]	Phase 1–2 Active, not recruiting	TDT 9 (3 adults, 6 children)	Treosulfan and Thiotepa	G-CSF and Plerixafor	Autologous HSCs geneti- cally modified with GLOBE lentiviral vector encoding for the human β-globin gene	IRCCS San Raffaele Fondazione Telethon Institute for Gene Therapy (SR-TIGET), Milan, Italy
NCT01745120 (HGB-204) [24]	Phase 1–2 Completed	TM 18 (between 12 and 35 years of age)	Busulfan	G-CSF and Plerixafor	Autologous HSCs trans- duced with LentiGlobin BB305 lentiviral vector encoding the human βA-T87Q-globin gene	Bluebird bio 6 international sites
NCT02151526 (HGB-205) [24]	Phase 1–2 Completed	TDT 4 (between 5 and 35 years of age)	Busulfan (adjusted based on daily PK monitor- ing)	G-CSF and Plerixafor (after 3 months of enhanced transfusion)	Autologous HSCs trans- duced with LentiGlobin BB305 lentiviral vector encoding the human βA-T87Q-globin gene	Bluebird bio Necker Children's Hospital, Paris, France
NCT02906202 (HGB 207)	Phase 3 Active, not recruiting	TDT non $\beta 0/\beta 0/\beta 0$, ≤ 50 years of age Estimated enrollment: 23 pts	Busulfan	G-CSF and Plerixafor	Autologous HSCs trans- duced with LentiGlobin BB305 lentiviral vector encoding the human βA-T87Q-globin gene	Bluebird bio 8 international sites
NCT03207009 (HGB 212)	Phase 3 Recruiting	TDT $\beta 0/\beta 0$, $\beta 0/IVS-I-110$, or IVS-I-110/IVS-I- $110 \le 50$ years of age Estimated enrollment: 18 pts	Busulfan	G-CSF and Plerixafor	Utologous HSCs trans- duced with LentiGlobin BB305 lentiviral vector encoding the human βA-T87Q-globin gene	Bluebird bio 9 international sites
NCT03745287	Phase 1–2 Recruiting	Estimated enrollment: 45 participants including SCD and other hemato- logical disorders	Busulfan	NA	CTX001: autologous CD34 + HSPCs modified with CRISPR-Cas9 at the erythroid lineage- specific enhancer of the BCL11A gene	Vertex Pharmaceuticals Incorporated/CRISPR Therapeutics 12 international sites
NCT03432364	Phase 1–2 Recruiting	TDT ≥ 18 and ≤40 years of age Estimated enrollment: 45 participants	Busulfan	NA	ST-400: autologous CD34 + HSPCs geneti- cally modified with ZFN technology at the eryth- roid-specific enhancer of the BCL11A gene	Sangamo Therapeutics 6 sites in the United States
<i>TDT</i> transfusion dependen Hematopoietic Stem and P	t thalassemia, <i>TM</i> thalas. rogenitor Cells, <i>ZFN</i> zin,	semia major, <i>G-CSF</i> granuloc c finger nuclease	cyte-colony stimulating fac	tor, PK pharmacokinetics, 5	SCD sickle cell disease, NA no	ot available, hHSPCs Human

Fig. 1 Targets of new therapeutic options in beta-thalassemia. a > SMAD2/3 signaling pathway is the target of activin receptor ligand trap molecules. Members of the transforming growth factor β (TGF- β) superfamily ligands binding leads to the multimerization of type I and type II receptors. Upon the activation of the type I receptor, phosphorylation of SMAD2/SMAD3 takes place. This leads to dissociation from the type I receptor and oligomerization with SMAD4 to form a complex that translocates into the nucleus, thereby regulating the gene and promoting a cellular response with inhibition of latestage erythropoiesis. Luspatercept and sotatercept prevent the binding of the ligand, thus inhibiting this pathway and promoting late-stage erythropoiesis. b JAK2/STAT5 signaling pathway is the target of JAK2 inhibitors, e.g., ruxolitinib. In β-thalassemia, erythropoietin (EPO) synthesis is increased, leading to activation of the JAK2/ STAT5 pathway, thus altering the proliferation and differentiation of the erythroid progenitors. c Regulation of hepcidin expression in hepatocytes is the target of mini-hepcidin and TMPRSS6 inhibitors. The binding of bone morphogenetic protein 6 (BMP6) and hemojuvelin (HJV) to the BMP6 receptor leads to a downstream signaling mechanism via SMAD1, SMAD5, or SMAD8, which activate SMAD4. SMAD4 will then stimulate the transcription and expression of hepcidin (encoded by HAMP). The SMAD signaling pathway is also regulated by other molecules like transferrin receptor 2 (TFR2) upon its association with human hemochromatosis protein (HFE) and HJV. Transmembrane protease serine 6 (TMPRSS6) negatively modulates HAMP expression by cleaving HJV from the cell surface. The secretion of erythroferrone (ERFE) inhibits hepcidin expression, the signaling pathway of which is currently unknown. The administration of synthetic hepcidins and the inhibition of TMPRSS6, which ultimately increases hepcidin expression, result in ferroportin internalization and iron restriction. Ferroportin inhibitors (not shown) are another class of drugs that induce iron restriction (see Sect. 4.2)

deletion in the hematopoietic compartments or the pancellular deletion of GDF11 respond to RAP-536 treatment, suggesting that GDF11 is not the main target of the activin receptor ligand trap and most likely is not the dominant player of ineffective erythropoiesis [48].

3.1.1 Sotatercept (ACE-011)

Sotatercept or ACE-011 may correct ineffective erythropoiesis by acting as a ligand trap to inhibit negative regulators of late-stage erythropoiesis in the TGF-β superfamily. A phase 2 study using sotatercept was conducted on 16 TDT patients and 30 NTDT patients across seven centers around the world between November 2012 and November 2014 (ClinicalTrials.gov number NCT01571635) (Table 2) [49]. The median duration of treatment was 13.8 months for TDT patients and 19.6 months for NTDT patients. Patients were treated with sotatercept at doses of 0.1, 0.3, 0.5, 0.75, or 1.0 mg/kg. These were administered by subcutaneous injection every 3 weeks. In the TDT group, ten patients (63%) achieved a transfusion burden reduction of $\geq 20\%$ sustained for ≥ 24 weeks; seven patients (44%) achieved a reduction of \geq 33%, and two patients (13%) achieved a reduction of $\geq 50\%$ [49]. In these patients, the mean change in Hb level from baseline to the end of treatment was 0.7 g/ dL, and the active dose of sotatercept was $\geq 0.5 \text{ mg/kg}$ [49].



Table 2	Pharmacological	treatments	for	β-thalassemia
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Trial identifier	Phase/status	Enrolled patients	Drug product	Route of administration	Target	Sponsor/center
NCT01571635 [49]	Phase 2 Active, not recruiting	16 TDT 30 NTDT	Sotatercept (ACE-011)	Subcutaneous	Ineffective erythropoiesis	Celgene Multicenter interna- tional sites
NCT01749540 [51]	Phase 2 Completed	31 TDT 33 NTDT	Luspatercept (ACE-536)	Subcutaneous	Ineffective erythropoiesis	Acceleron Pharma Multicenter interna- tional sites
NCT02604433 [52]	Phase 3 Active, not recruiting	336 TDT	Luspatercept (ACE-536)	Subcutaneous	Ineffective erythropoiesis	Celgene and Accel- eron Pharma Multicenter interna- tional sites
NCT03342404	Phase 2 Active, not recruiting	145 NTDT	Luspatercept (ACE-536)	Subcutaneous	Ineffective erythropoiesis	Celgene and Accel- eron Pharma Multicenter interna- tional sites
NCT02049450 [56]	Phase 2 Completed	30 TDT	Ruxolitinib	Oral	Ineffective erythropoiesis through inhibi- tion of JAK2	Novartis Pharma- ceuticals Multicenter interna- tional sites
NCT03381833	Phase 2 Recruiting ^{b,c}	100 ^a TDT	LJPC-401	Subcutaneous	Iron metabolism through synthetic human hepcidin	La Jolla Pharmaceu- tical Company Multicenter interna- tional sites
NCT03802201	Phase 2 Recruiting ^{b,c}	192 ^a TDT and NTDT	PTG-300	Subcutaneous	Iron metabolism through synthetic human hepcidin	Protagonist Thera- peutics
NCT04364269	Phase 2 Not yet recruiting	36 ^a NTDT	VIT-2763	Oral	Iron metabolism through ferropor- tin inhibition	Vifor Multicenter interna- tional sites
NCT04059406	Phase 2a Not yet recruiting	36 ^a NTDT	IONIS TMPRSS6- LRx	Subcutaneous	Iron metabo- lism through TMPRSS6 inhibition	Ionis Pharmaceu- ticals
NCT04176653	Phase 1 Withdrawn due to COVID-19 ^b	TDT and low-risk MDS	SLN124	Subcutaneous	Iron metabo- lism through TMPRSS6 inhibition	Silence Therapeutics

TDT transfusion-dependent thalassemia, NTDT non transfusion-dependent thalassemia, MDS myelodysplastic syndrome, COVID-19 Coronavirus disease 19

^aEstimated enrollment

^bAs of 31 May 2020, from ClinicalTrials.gov

^cThis study was recently stopped and closed

In the NTDT group, on the order hand, 18 patients (60%) treated with sotatercept doses of 0.1–1.0 mg/kg achieved a mean Hb increase of ≥ 1.0 g/dL, and 11 (37%) had mean Hb increase of ≥ 1.5 g/dL sustained for ≥ 12 weeks [49]. Sotatercept exhibited an overall good safety profile, and was tolerated by most patients. Treatment discontinuation due to adverse events was rare, and the incidence of grade 3–4 adverse events was low.

3.1.2 Luspatercept

Luspatercept, or ACE-536, is also recognized as a recombinant fusion protein that acts as a trap for activin receptors. It has been recently approved by the FDA for the treatment of TDT patients at the recommended starting dose of 1 mg/kg once every 3 weeks by subcutaneous injection [17]. Luspatercept was overall very well tolerated and associated with a dose-dependent increase in Hb levels in healthy subjects [50]. A murine analog of luspatercept known as RAP-536 was also shown to reduce oxidative stress and anemia in a mouse model of β -thalassemia [45].

An initial phase 2 dose-finding study was designed in order to evaluate the effects of luspatercept in β-thalassemia patients (ClinicalTrials.gov number NCT01749540) (Table 2). Luspatercept was administered subcutaneously every 21 days at dose levels ranging from 0.2 to 1.25 mg/ kg of body weight. Primary endpoints of the study included erythroid response, defined as a Hb increase from baseline of ≥ 1.5 g/dL for ≥ 2 weeks (in the absence of RBC transfusions) for NTDT and a reduction in RBC transfusion burden over a 12-week interval of \geq 20% as compared with pretreatment for TDT patients. Eighteen out of 33 (58%) NTDT patients treated with luspatercept at a dose of 0.6-1.25 mg/ kg achieved a mean Hb increase from baseline of ≥ 1.5 g/ dL over 14 consecutive days [95% confidence interval (CI) 39.1-75.5]. Twenty-six out of 31 TDT patients (81%) achieved a transfusion burden reduction of $\geq 20\%$ over any 12 weeks on study compared with the 12 weeks before baseline (95% CI 63.6–92.8), when treated with luspatercept at a dose of 0.6–1.25 mg/kg [51]. These findings, including the achievement of secondary endpoints, prompted a randomized phase 3 clinical trial (BELIEVE Trial) to assess efficacy and safety.

The BELIEVE study (ClinicalTrials.gov number NCT02604433) (Table 2) is a randomized, double-blind, placebo-controlled trial that was conducted in 65 sites across 15 countries, enrolling a total of 336 adult TDT patients (>18 years) randomized in a 2:1 ratio to receive luspatercept or placebo subcutaneously every 21 days for at least 48 weeks. The primary endpoint was the percentage of patients who had an erythroid response, defined as a reduction in the transfusion burden of at least 33% from baseline (the 12 weeks before the first dose of luspatercept or placebo) during weeks 13 through 24 plus a reduction of at least 2 red-cell units over this 12-week interval. Key secondary endpoints included a reduction in the transfusion burden of at least 33% from baseline during weeks 37 through 48 plus a reduction of at least 2 red-cell units over this 12-week interval. Moreover, a reduction in the transfusion burden of at least 50% from baseline during weeks 13 through 24 plus a reduction of at least 2 red-cell units over this 12-week interval, a reduction in the transfusion burden of at least 50% from baseline during weeks 37 through 48, plus a reduction of at least 2 red-cell units over this 12-week interval, and a mean change from baseline in the transfusion burden during weeks 13 through 24 were also considered secondary endpoints.

Forty-eight out of 224 patients (21.4%) in the luspatercept group achieved the primary endpoints compared to the placebo group (5/112 patients: 4.5%); these results were statistically significant (P < 0.001). Similarly, a significantly higher percentage of patients in the luspatercept group than in the placebo group achieved the secondary endpoints. Indeed, three-quarters of patients (75%) had at least a 33% reduction in transfusion burden during any rolling 12-week interval with luspatercept, and they had a response within 86 days (four treatment cycles). Preliminary post hoc analyses indicate that the response was faster among the patients with a non- β^0/β^0 genotype than among those with a β^0/β^0 genotype. Transfusion independence was attained by 11% of the patients in the luspatercept group during any 8-week interval. Luspatercept was well tolerated, and safety was consistent with previous experience in phase 2 studies. In conclusion, all the results of all primary and key secondary efficacy analyses were in favor of luspatercept over placebo. A 5-year open-label extension phase is underway to provide long-term data on the safety of luspatercept and its effects on the transfusion burden and iron outcomes [52].

A phase 2 trial (BEYOND) in adults with NTDT is ongoing (ClinicalTrials.gov number NCT03342404) (Table 2). The primary endpoint is the proportion of patients with an increase in mean Hb concentration of ≥ 1 g/dL in the absence of RBC transfusion from weeks 13 to 24 versus baseline.

3.2 JAK2 Inhibitors

Several studies have provided evidence on the role of JAK2 as a potential target to treat disorders of ineffective erythropoiesis (Fig. 1b) [53]. The inhibition of JAK2 in TDT and NTDT mouse models not only improved ineffective erythropoiesis but also reversed splenomegaly [54, 55]. Based on these findings, it has been suggested that the use of ruxolitinib could benefit thalassemia patients. A phase 2a study (ClinicalTrials.gov number NCT02049450) (Table 2) assessed the efficacy and safety of ruxolitinib in TDT patients with spleen enlargement [56]. A total of 30 TDT patients received ruxolitinib at a starting dose of 10 mg twice daily. A decrease in spleen size from baseline was observed in ruxolitinib-treated patients. Mean change in spleen volume from baseline to week 12 (n=26) and week 30 (n=25)was - 19.7% and - 26.8%, respectively. At week 30, one patient who initially had a 15% decrease in spleen volume at week 12 demonstrated an increase in spleen volume [56]. No clinically significant improvements in pre-transfusion Hb were seen, thus there was no related reduction in transfusion needs. Moreover, although hepcidin levels increased in the ruxolitinib treatment group, no significant changes in serum iron or ferritin levels were observed over time [56]. For all the above-mentioned reasons, the study did not proceed into phase 3.

4 Modulating Iron Metabolism

Hepcidin is the master regulator of iron metabolism [5], and, despite the presence of iron overload, its levels are low in β -thalassemia patients (Fig. 1c) [6, 7]. A substantial number of agents that stimulate hepcidin expression or activity have been investigated in preclinical studies, demonstrating a beneficial activity [57–59]. A similar ferroportin-reducing effect can be achieved with agents that target ferroportin directly, blocking the binding of hepcidin and preventing internalization and degradation of the iron exporter protein. Owing to the homeostatic control of hepcidin expression, approaches that interfere with the underlying regulatory pathways may be a useful option [60]. These includes the blocking of matriptase 2 (MT2), interfering with the cleavage of haemojuvelin (Fig. 1c) [61, 62].

4.1 Minihepcidins

Minihepcidins are known to restrict iron absorption. They can, therefore, be used to target iron dysregulation. A 2016 study by Casu et al. showed that minihepcidins were able to ameliorate ineffective erythropoiesis, anemia, splenomegaly, and iron overload in young Hbb^{th3/+} mice, which resemble the human NTDT phenotype [57]. In old Hbb^{th3/+} mice, however, the adminsitration of minihepcidin in combination with the iron chelator deferiprone was able to improve ineffective erythropoiesis, anemia, and also reverse splenomegaly [57]. In order to assess the efficacy of minihepcidins in TDT, Casu et al. recently generated a new mouse model (Hbb^{th1/th2}) that closely resembles the human TDT phenotype [58]. Hbb^{th1/th2}BMC were treated with two doses of minihepcidin: 2.625 mg/kg (low dose) or 5.25 mg/kg (high dose) for a period of 2 months post-transplant. Administration of the high-dose minihepcidin ameliorated RBC lifespan, ineffective erythropoiesis, anemia and splenomegaly in untransfused Hbb^{th1/th2} BMC mice. Hbb^{th1/th2} BMC mice treated with minihepcidins also showed decreased serum erythroferrone levels, serum iron levels, and siginificant reduction of iron in the liver and spleen. The administration of minihepcidin therefore ameliorated iron overload in untransfused Hbb^{th1/th2} BMC mice [58].

Multiple ongoing clinical trials are assessing the efficacy and safety of minihepcidin. The first is a phase 2 study by La Jolla Pharmaceutical Company, using the molecule LJPC-401, for the treatment of myocardial iron overload in adult TDT patients (ClinicalTrials.gov number NCT03381833) (Table 2). As part of its primary objective, this study aimed at evaluating the effect of LJPC-401 on iron levels in adult TDT patients who suffer from myocardial iron overload. This trial, however, was prematurely terminated, as an interim analysis on the safety and efficacy data showed an absence of efficacy with the protocol treatment regimen, thus indicating an unfavorable risk-benefit profile for patients. Another phase 2 study by Protagonist Therapeutics aimed at assessing efficacy of PTG-300 on TDT and NTDT patients with chronic anemia (TRANSCEND trial) (ClinicalTrials.gov number NCT03802201) (Table 2). Primary outcome measures of this study include: (a) NTDT subjects who achieve an increase in Hb without transfusion and (b) TDT subjects who achieve a decrease in red blood cell units required over an 8-week period. Due to efficacy issues in the drug, however, this study was recently stopped.

4.2 Ferroportin Inhibitors

A more recent approach to target ineffective erythropoiesis through the modulation of iron metabolism involves the use of ferroportin inhibitors. A newly described compound in the field is VIT-2763, a small oral molecule that acts as a ferroportin inhibitor [63]. In cells, VIT-2763 blocked iron efflux, competed with hepcidin for ferroportin binding, and triggered ferroportin internalization and ubiquitination [63]. In Hbb^{th3/+} mice, VIT-2763 improved anemia and ameliorated erythropoiesis [63]. ROS levels were also subsequently lowered and overall oxidative damage was also decreased by the drug. Overall tissue oxygenation was also improved upon the administration of VIT-2763 in Hbb^{th3/+} mice, thus inhibiting the hypoxic cycle and lowering EPO production. Iron overload in the liver was also decreased with the use of this drug. Furthermore, a significant amelioration of myelopoiesis was noted in the spleen of Hbb^{th3/+} mice after 3 weeks of administration with VIT-2763 [63]. In rodents, the drug was well tolerated with no observed adverse effects for a dose above 600 mg/kg over 14 days, and no dose-limiting toxicity was noted in longer studies. All this evidence proves the therapeutic effect of the oral VIT-2763 ferroportin inhibitor for β -thalassemia patients.

In order to determine the safety, tolerability, pharmacokinetic properties, and pharmacodynamic effects of VIT-2763, a phase 1 randomized, double-blind, placebo-controlled, parallel-group, dose-escalation study, comprising a single ascending phase (SAD) and multiple ascending phases (MADs), was performed on healthy male and female volunteers aging between 18 and 65 years [64]. Patients were hospitalized on day-1 and were to receive VIT-2763 or placebo on the following day (day 1 in the SAD phase) or for the next 7 days (day 1 to day 7 on the MADs phase). During the SAD phase, five groups were recorded sequentially and were to receive either placebo or single oral doses (5, 15, 60, 120, or 240 mg) of VIT-2763. In the MAD phase, the drug was administered at multiple oral doses (60 or 120 mg, once daily or twice daily) or matching placebo, in sequential ascending groups, for 7 days [64]. Results showed that no

adverse events or serious adverse events that led to drug discontinuation.

Moreover, most of the adverse events in the SAD (23/32) and MADs (68/96) were not related to the drug itself. The most reported adverse event was headache in both SAD and MAD phases, and it was more frequent in the MAD phase. There was a temporary decrease in mean serum iron levels with VIT-2763 single doses \geq 60 mg and all multiple doses [64]. The mean calculated transferrin saturation (only assessed following multiple dosing) also temporarily decreased. A shift in mean serum hepcidin peaks followed the administration of all iron-lowering doses of VIT-2763 [64]. Based on the data from this study, a phase 2 study by Vifor Pharma will be soon initiated in NTDT patients, assessing the efficacy, safety, tolerability, pharmacokinetics, and pharmacodynamics of VIT-2763 in this patient population (ClinicalTrials.gov number NCT04364269) (Table 2).

4.3 Transmembrane Protease Serine 6 (TMPRSS6)

Other novel therapeutic approaches to target iron dysregulation include increasing the hepatic synthesis of hepcidin. This can be achieved by suppressing the gene TMPRSS6. Deletion of the TMPRSS6 gene in Hbb^{th3/+} mice has been shown to improve anemia, ineffective erythropoiesis, and splenomegaly [65]. The use of second-generation antisense oligonucleotides (ASOs) to target TMPRSS6 have also been described in Hbb^{th3/+} mice. A study by Guo et al. revealed that targeting TMPRSS6 by ASO can modulate hepcidin antimicrobial peptide (HAMP) expression [62]. Using hemochromatosis-affected mice (Hfe^{-/-}), the ASO-treated group showed a significant decrease in iron parameters and liver iron accumulation, significant amelioration of ineffective erythropoiesis, lower erythropoietin levels, decrease in splenomegaly, and an increase in Hb levels [62]. Another study by Schmidt et al. showed that the use of small interfering RNAs (siRNA) can downregulate TMPRSS6. They showed that lipid nanoparticles-TMPRSS6 siRNA treatment of Hbb^{th3/+} mice induced hepcidin and decreased both tissue and serum iron levels [66].

A phase 2a study by Ionis Pharmaceuticals using TMPRSS6 inhibitors will soon be initiated in patients with NTDT \geq 18 years of age. In this study patients will be subcutaneously administered IONIS TMPRSS6-LRx every 4 weeks (ClinicalTrials.gov number NCT04059406) (Table 2). Primary outcome measures of the study will include the percentage of participants with a \geq 1.0 g/dL increase in plasma Hb from baseline at week 27. Another molecule currently under development by Silence Therapeutics is SLN124, a GalNAc conjugated double-stranded fully

modified siRNA that targets TMPRSS6 messenger RNA (mRNA). A randomized, single-blind, placebo-controlled, phase 1b, single-ascending and multiple-dose study in adult patients with NTDT and very low- and low-risk myelodys-plastic syndrome (MDS) is currently underway to investigate the safety, tolerability, pharmacokinetics, and pharmaco-dynamic response of SLN124 (ClinicalTrials.gov number NCT04176653) (Table 2).

5 Conclusion

The improvement in the understanding of β -thalassemia pathophysiology has paved the way for new therapeutic approaches that aim to directly correct the imbalance between α - and β -globin chains through gene therapy or correct ineffective erythropoiesis acting on different pathways. On the other hand, the treatment of α -thalassemias is not as advanced as for β -thalassemias because of the complexity of α genes and the less ineffective erythropoiesis component. Nevertheless, the most severe form of α -thalassemia (absence of the four α genes), i.e., Hb Bart's, which causes hydrops fetalis, requires in utero intervention, while the absence of three α genes, i.e., disease, is phenotypically an NTDT.

A new era of novel therapies beyond transfusion and iron chelation is emerging in the thalassemia realm, with the aim of improving outcomes and overall quality of life. Among the new options, activin receptor ligand trap molecules are, so far, the most promising, with significant improvement in Hb or transfusion requirements. Indeed, a reduction of 33% in transfusion burden is equivalent to a one-third reduction of transfused blood, corresponding to less blood per transfusion or less frequent transfusion, with fewer hospital visits. Ultimately, this produces less iron accumulation and subsequent reduction in iron chelation therapy. Nonetheless, these drugs have first been tested for osteoporosis, thus exhibiting a potential effect on an invalidating complication for β -thalassemia patients. Moreover, with some patients now on long-term treatment (up to 8 years), they present an excellent safety profile. The efficacy and safety of these novel therapies are still under study by several clinical trials, some in early phases. Ultimately, long-term, head-to-head, and comparison trials using these novel therapies are necessary for the future to determine the optimal use of these novel therapies. Moreover, whether these novel therapies will be used on their own or in combination with other conventional therapeutic agents, such as iron chelators, is yet to be determined.

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Compliance with Ethical Standards

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