



# Systematic Review: Adverse Events of Fecal Microbiota Transplantation

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# **Abstract**

# **Background**

Fecal microbiota transplantation (FMT) is a microbiota-based therapy that shows therapeutic potential in recurrent or refractory *Clostridium difficile* infections and other intestinal or extra-intestinal disorders. Nonetheless, adverse events (AEs) remain a major challenge in the application of FMT.

#### Aim

To review the AEs of FMT and to address the concerns of safety during the procedure.

#### Methods

Publications were retrieved in the databases of Medline, Embase and Cochrane Library. AEs were classified according to their causality with FMT or their severity.

#### **Results**

A total of 7562 original articles about FMT were identified in this study, 50 of them fulfilled the inclusion criteria. Totally 78 kinds of AEs were revealed enrolled in these 50 selected publications. The total incidence rate of AEs was 28.5%. Among the 42 publications, 5 kinds were definitely and 38 kinds were probably related to FMT. The commonest FMT-attributable AE was abdominal discomfort, which was reported in 19 publications. For upper gastrointestinal routes of FMT, 43.6% (89/204) patients were compromised by FMT-attributable AE, while the incidence dropped to 17.7% (76/430) for lower gastrointestinal routes. In contrast, the incidences of serious adverse events (SAEs) were 2.0% (4/196) and 6.1% (40/659) for upper and lower gastrointestinal routes, respectively. A total of 44 kinds of SAEs occurred in 9.2% patients, including death (3.5%, 38/1089), infection (2.5%, 27/1089), relapse of inflammatory bowel diseases (0.6%, 7/1089) and *Clostridium difficile* infection (0.9%, 10/1089).



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#### Conclusion

Consequently, both AEs and SAEs are not rare and should be carefully monitored throughout FMT. However, high quality randomized controlled trials are still needed for the more definite incidence of AEs of FMT.

## Introduction

The gut microbiota is one of the most complex systems in human body, which comprises about  $10^{14}$  microbes, outnumbering human cells by 10-fold [1-3]. The majority of microbes have an extensive influence on human, including digestion, immunity, energy homeostasis, vitamin synthesis, etc. [4-7]. Alteration of the gut microbiota has been associated with both digestive and extra-digestive disorders [8-12]. Novel strategy for treatment of bacteria-associated diseases, via modulating the gut microbiota, is underway to establish its pivotal role.

Fecal microbiota transplantation (FMT), also known as fecal bacteriotherapy or intestinal microbiota transplantation, is defined as the perfusion of treated feces from a healthy donor via the upper or lower gastrointestinal route [13]. About 1700 years ago, Ge Hong, a well-known traditional Chinese medicine doctor, firstly described the use of human fecal suspension by mouth for patients with food poisoning or severe diarrhea [14]. In 1958, Eiseman *et al* applied FMT to treat antibiotic-associated diarrhea [15]. Since Schwan *et al* reported the first FMT therapy for CDI in 1983 [16], the application of FMT in CDI has been practiced extensively [17–19]. The effective rate of FMT for recurrent or refractory CDI was over 90% [20, 21]. Although FMT is still regarded as an investigational agent and requires an investigational new drug (IND) application, the US FDA has already recommended FMT as an alternative therapy for recurrence of CDI after a pulsed vancomycin regimen [22]. Moreover, FMT shows remarkable therapeutic potential in diverse conditions [13, 23] including inflammatory bowel diseases (IBD) [24, 25], irritable bowel syndrome (IBS) [26–28], metabolic diseases [4, 29, 30], neuropsychiatric conditions [31], autoimmune diseases [32, 33], allergic disorders [34, 35], and chronic fatigue syndrome [36].

Although patients benefit from FMT, concerns about this emerging strategy remain to be addressed, including long-term outcomes of FMT and the AEs. So far, the exact roles of the gut microbiota in FMT are not yet fully understood. And the AEs that happen during or after FMT still perplex clinicians and fundamental researchers. Hence, we systematically reviewed the AEs of FMT in all related publications aiming to elucidate the causality between FMT and the AEs. Furthermore, the AEs of FMT were divided into different degrees according to the severity and SAEs were emphatically introduced to arouse attention in FMT.

#### Methods

## Information Sources and Search Strategy

Electronic databases for literature search included the Medline, Embase, and Cochrane Library. The last search was run on July 2015. The complete string used for the electronic search is shown in <u>Table 1</u>. All the deriving terms were combined by the Boolean operator "OR" to assure the identification of studies regarding FMT.

#### Study selection

Titles, abstracts, and keywords were independently assessed by two investigators (WSN and XMQ) to determine the appropriateness of the publications. Both investigators checked all the



#### Table 1. Complete String Used for the Electronic Search.

(fecal microbiota transplantation) OR (fecal transplantation) OR (fecal microbiota transplantation) OR (fecal flora transplantation) OR (fecal microbiota transplant) OR (fecal transplant) OR (microflora transplant) OR (fecal flora transplant) OR (fecal microbiota bacteriotherapy) OR (fecal bacteriotherapy) OR (fecal microbiota bacteriotherapy) OR (fecal bacteriotherapy) OR (fecal microbiota bacteriotherapy) OR (fecal flora bacteriotherapy) OR (fecal microbiota suspension) OR (fecal suspension) OR (fecal flora bacteriotherapy) OR (fecal flora suspension) OR (fecal flora donation) OR (fecal flora donor) OR (fecal flo

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articles in accordance with the inclusion criteria and exclusion criteria. Disagreement was resolved by a third investigator (CHL). Original full-text articles, letters to the editor, abstracts of scientific conferences, case reports and case series which were published between 1913 and 2015 were reviewed. Studies involving the AEs of FMT for human sujects of any age were included into this study. Studies evaluating treatments with cultured bacteria other than human feces, animal studies and non-original reports (reviews, systematic reviews, meta-analyses, editorials, etc.) were excluded.

#### Data Collection and List of Items

Data extraction was conducted according to the above mentioned inclusion and exclusion criteria and cross-checked by the two independent investigators (WSN and XMQ). When publications included patients from a previous study and newly enrolled ones, only the latter were brought into the study. Items of this study were listed as follows: (i) the study characteristics (the first author, the year of publication, the length of follow-up); (ii) the patients (the number, the reason for FMT, the prior therapy); (iii) the relationship between donors and recipients; (iv) the FMT procedure (the patient preparation for FMT, the weight of infused stools, the route of infusion, the number of infusion); (v) the detailed descriptions of AEs (the onset time, the causality between AEs and FMT, how the AEs relieve and the outcomes).

## Definition of causality between the AEs and FMT

The relationship between the AEs and FMT were categorized into four types as previously dsecribed with minor modifications: definitely related, probably related, possibly related, and unrelated to FMT [37].

**Definitely related.** AEs caused by endoscopic procedure during FMT; an event that follows a reasonable temporal sequence from FMT exposure; that follows a known or expected response pattern to the FMT; that is confirmed by stopping the FMT procedure; and that is not explained by any other reasonable hypothesis.

**Probably related.** An event that follows a reasonable temporal sequence from FMT procedure; that follows a known or expected response pattern to the FMT; that is confirmed by stopping the FMT procedure; and that is unlikely to be explained by the known characteristics of the subject's clinical state or by other interventions.

**Possibly related.** An event that follows a reasonable temporal sequence from FMT procedure; that follows a known or expected response pattern to FMT; but that could readily have been caused by a number of other factors.

**Unrelated.** An event that can be determined with certainty to have no relationship to FMT.



# Definition of severity of AEs

**Adverse events (AEs).** AE is defined as any untoward medical occurrence in a patient after administration of FMT that does not necessarily have a causal relationship with this treatment. Therefore, an AE can be any unfavorable and unintended sign (including an abnormal laboratory finding), symptom, or disease temporally associated with FMT, whether or not related to the FMT [37].

**Serious adverse events (SAEs).** A SAE is any adverse experience occurring during or after FMT that results in any of the following outcomes: death, life-threatening experience, inpatient hospitalization or prolongation of existing hospitalization, persistent or significant disability or incapacity, congenital anomaly or birth defect, or an important medical event [37].

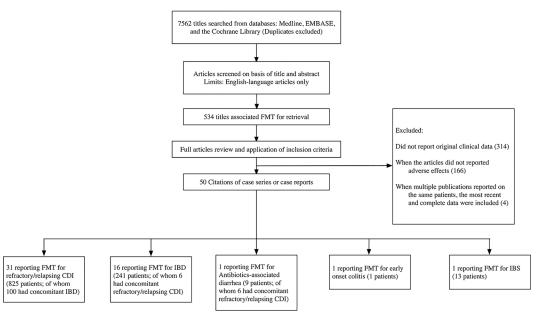
#### Results

#### Included studies

A total of 7562 original articles about FMT were identified. Among them, 534 were selected for further assessment according to the titles. After reviewing the full text articles, 50 of them fulfilled the inclusion criteria among which 16 were case series, 9 were case reports and 4 were randomized controlled trials (Fig 1). The excluded articles were presented in S1 Appendix. Besides, conference abstracts and letters to the editor were included. The included studies were published during the period from 1998 to 2015, with a span of 18 years. The follow-up time after FMT ranged between 2 weeks to 68 months.

#### Patient characteristics

In the selected 50 publications, a total of 1089 patients were treated with FMT (age range: 1–95 years). Among them, 831 patients were affected by refractory or recurrent CDI, of whom 106 had concomitant IBD; 235 were affected by independent IBD; 1 affected by early onset colitis; 9



**Fig 1. Flow chart of studies of adverse events of fecal microbiota transplantation.** FMT: fecal microbiota transplantation, CDI: *Clostridium difficile* infection, IBD: inflammatory bowel disease, IBS: irritable bowel syndrome.

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affected by antibiotic-associated diarrhea (AAD), of whom 6 had concomitant CDI; and 13 affected by IBS. Overall, 78 kinds of AEs were reported to happen on 310 patients during or after FMT. The majority of AEs were presented as mild symptoms such as abdominal disomfort, diarrhea, transient fever, nausea, vomiting and constipation. Each AE was cited once for one patient since the AE always recorded only once during a multiple FMT in one course of treatment.

Since AEs usually overlapped, we could not obtain the exact total number of patients with AEs in a publication. Hence, we took the number of patients with the most frequent kind of AEs from each publication for further calculating the overall incidence of AEs. Based on the above mentioned statistical principles, the overall incidence of AEs was 28.5% (310/1089). The incidences of AEs in CDI and non-CDI (IBD, AAD, IBS and early onset colitis) were 28.0% (233/831) and 29.8% (77/258) respectively.

# Causality between AEs and FMT

Many factors could be involved in the development of AEs, including the individual difference of recipients, donors, methods of administration and regimen of FMT. The causality between AEs (including SAEs) and FMT was analyzed according to the description as above [37]. As a result, AEs were described to be attributable to FMT in 42 publications (Tables 2 and 3). Five kinds of AEs were reported to be definitely related to FMT in 5 publications. Thirty-eight kinds of AEs, probably related to FMT, were reported in 35 articles and were considered as results of temporary systemic immune response to the applied bacteria. In addition, 25 kinds of AEs were reported to be possibly related to FMT in 13 articles. Finally, 38 kinds of AEs were reported to be unrelated to FMT in 22 articles (Table 4).

**Donors and AEs.** So far, there is no unified standard to screen the stool samples from donors. The following donor screening tests were applied to the donors in the selected 50 publications: viral screenings (hepatitis A virus, hepatitis B virus, hepatitis C virus, Epstein–Barr virus, human immunodeficiency virus, treponema pallidum, and cytomegalovirus), stool tests for *Clostridium difficile* toxin, and routine bacterial culture for enteric pathogens (*Escherichia coli, Salmonella, Shigella, Yersinia, Campylobacter*), parasites and ova. However, the donors who were in the latent period of infection could not be excluded by the above screening tests and thus these donors might contribute to the development of infectious AEs.

In addition, individual differences of donors may also lead to AEs. For example, one patient (a 1-year-old girl) developed fever, vomiting and tachycardia after receiving the fecal transplant from her brother, while she well tolerated the transplant from her niece [38]. For the infrequent infection of cytomegalovirus in FMT receipt, it may be caused by the donors with young age [39]. Finally unrecognized pathogens that were carried by donors might induce AEs [40].

Related donors (family members) for FMT were reported in 11 publications. Unrelated donors were reported in 9 publications.

**Preparation and route of administration and AEs.** For FMT via upper gastrointestinal routes, the recipients were prepared in fasting condition. For FMT via lower gastrointestinal routes, bowel lavage and/or antibiotics were given to the recipients before FMT. However, no association of AEs with the preparation of FMT was found in the 50 publications. Of note, in Bota Cui's report, the recipients who took metoclopramide before FMT manifested fewer AEs, suggesting metoclopramide might potentially help avoid the AEs [41].

The routes of administration are listed as follows according to the frequency that they were used: colonoscopy (26 publications), retention enema (8 publications), nasogastric tube (6 publications), nasojejunal tube (5 publications), gastroscopy (2 publications), sigmoidoscopy (1 publication), nasoduodenal tube (4 publications), enteroscopy (1 publication),



Table 2. Attributable adverse events are grouped by route of administration (Upper gastrointestinal routes; Lower gastrointestinal routes).

First Author, Year (Ref.)	AEs	The number of patients with AEs	Sample size	Causality between AEs and FMT	Routes of infusion
Upper gastrointest	inal routes				
Vermeire, 2012 [ <u>60]</u>	Fever; Abdominal tenderness	3	4	Probably	Nasojejunal tube
Cui, 2014 [ <u>41</u> ]	Fever; Increased diarrhea	7	30	Probably	Gastroscopy (mid-gut)
Van Nood, 2013 [ <u>61</u> ]	Belching; Nausea; Abdominal cramps; Diarrhea; Abdominal pain; Infection; Dizziness combined with diarrhea; Constipation	27	29	Probably	Nasoduodenal tube
Aas, 2003 [62]	Death from peritonitis	1	18	Possibly	Nasogastric tube
MacConnachie, 2009 [42]	Upper gastrointestinal hemorrhage	1	15	Possibly	Nasogastric tube
Kronman, 2015 [ <u>63</u> ]	Vomiting; Mucoid stools	1	10	Probably	Nasogastric, nasoduodenal or nasojejunal tube
Pinn, 2014 [26]	Flatulence	1	13	Probably	Esophagogastroduodenoscopy
Wang, 2013 [ <u>64</u> ]	Diarrhea	5	16	Probably	Gastroscopy
Suskind, 2015	Rhinorrhea; Sore throat	5	9	Definitely	Nasogastric tube
<u>[65]</u>	Abdominal pain; Bloating; Diarrhea; Flatulence			Probably	
Suskind, 2015	Nasal stuffiness; Flatulence	1	4	Probably	Nasogastric tube
[66]	Bloating			Possibly	
Rossen, 2015 [50]	Discomfort tube placement; Fever; Nausea; Malaise; Increase of stool frequency/diarrhea; Headache; Vomited fecal infusion; Vomited bowel prep; Vomiting; Abdominal cramps; Abdominal pain; Abdominal murmurs; Dizziness; Mild constipation	34	48	Probably	Nasoduodenal tube
Borody, 2003 [67]	Sore throat	3	8	Definitely	Nasojejunal tube
Lower gastrointest	tinal routes				
Kump, 2013 [ <u>68</u> ]	Fever; Temporary increase of CRP and IL-6; Increase in stool frequency	1	6	Probably	Colonoscopy
Zhang, 2013 [ <u>69</u> ]	Severe cold	1	1	Possibly	Colonoscopy
Quera, 2013 [44]	Fever; Bacteremia	1	1	Probably	Colonoscopy
Kunde, 2013 [70]	Fever; Chills; Abdominal fullness	2	10	Probably	Enema
	UC flare			Possibly	
Gustafsson, 1998 [ <u>71</u> ]	Diarrhea	3	9	Probably	Enema
Lee, 2014 [72]	Transient constipation; Excess flatulence	9	94	Probably	Enema
Hamilton, 2012 [ <u>73]</u>	Irregularity of bowel movements; Excessive flatulence	14	43	Probably	Colonoscopy
Khoruts, 2010 [74]	Constipation; Irregularity of bowel movements	1	1	Probably	Colonoscopy
Pierog, 2014 [ <u>75</u> ]	Appendicitis	1	6	Possibly	Colonoscopy
Silverman, 2010 [76]	Urinary tract infections	2	7	Possibly	Enema
Hohmann, 2014 [39]	Cytomegalovirus colitis	1	1	Probably	Enema
De Leon, 2013 [40]	Transient relapse of UC	1	1	Probably	Colonoscopy
Schwartz, 2013 [ <u>43</u> ]	Norovirus Gastroenteritis	1	13	Probably	Colonoscopy
		_	_	_	

(Continued)



Table 2. (Continued)

First Author, Year (Ref.)	AEs	The number of patients with AEs	Sample size	Causality between AEs and FMT	Routes of infusion
Brandt, 2012 [20]	Peripheral neuropathy; Sjogren's disease; Idiopathic Thrombocytopenic purpura; Rheumatoid arthritis	4	77	Possibly	Colonoscopy
Mellow, 2011 [77]	Relapse of CDI	1	13	Possibly	Colonoscopy
Mandalia, 2014 [78]	Diverticulitis; Fever	1	1	Probably	Colonoscopy
Dutta, 2014 [79]	Fever; Bloating	5	27	Probably	Enteroscopy and colonoscopy
Ray, 2014 [80]	Pain/nausea; Bloating/cramps; Gas/nausea	4	20	Probably	Colonoscopy
	Continuing diarrhea			Possibly	
Satokari, 2015 [53]	Mild transient fever	2	49	Probably	Colonoscopy
Sun, 2014 [45]	Multi-organism bacteremia	1	1	Probably	Colonoscopy
Mandalia, 2014 [81]	Abdominal pain	1	29	Probably	Colonoscopy
Cammarota, 2015 [49]	Diarrhea; Bloating and abdominal cramping	19	20	Probably	Colonoscopy

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esophagogastroduodenoscopy (1 publication) and capsule (1 publication). Among the above routes of administration, lower gastrointestinal routes include colonoscopy, sigmoidoscopy and retention enema. Upper gastrointestinal routes include the remaining means. Compared with upper gastrointestinal routes, lower gastrointestinal routes were more widely used. After exclusion of the publications in which the routes of administration were not clearly stated, the proportion of patients affected by FMT-attributable AE is 43.6% (89/204) for upper gastrointestinal routes of FMT administration, while the incidence dropped to 17.7% (76/430) for lower gastrointestinal routes. The FMT-attributable AEs were grouped by routes of administration (Tables 2 and 3).

Among the 78 kinds of AEs, 5 kinds were definitely related to endoscopic manipulation. Of these, nasal stuffiness, sore throat, rhinorrhea and upper gastrointestinal hemorrhage happened on a total of 8 patients in 4 publications, which were attributable to upper gastrointestinal routes administration. It seems that patients are likely to be injured by invasive endoscope procedures for upper gastrointestinal routes of FMT administration.

The commonest attributable AE was abdominal discomfort for both upper and lower gastrointestinal routes, including abdominal pain, increased stool frequency, flatulence, bloating, cramps and other nonspecific symptoms. For upper gastrointestinal routes of administration in 12 publications, 29.9% (61/204) patients (in 9 publications) were reported to suffer abdominal discomfort after FMT. For lower gastrointestinal routes in 22 publications, 13.0% (56/430) patients (in 10 publications) developed abdominal discomfort after FMT. The upper gastrointestinal routes were therefore more likely to develop abdominal discomfort compared with lower gastrointestinal. The second commonest attributable AE was transient fever which was happened on 3.4% (7/204) and 2.8% (12/430) patients for upper and lower gastrointestinal routes of FMT administration, respectively (Table 2).

## Classification of AEs based on severity

Mild to moderate AEs such as abdominal pain, abdominal cramping, flatulence, increased stool frequency, constipation, vomiting, belching, fever and transient increase of C-reactive protein (CRP) were reported in most of the selected 50 publications and ususally did not cause



Table 3. Attributable adverse events are grouped by route of administration (Upper and lower gastrointestinal routes; Not mention of the routes; Capsule).

First Author, Year (Ref.)	AEs	The number of patients with AEs	Sample size	Causality between AEs and FMT	Routes of infusion
Upper and lower	gastrointestinal routes	•			
Angelberger,	Sore throat	5	5	Definitely	Nasojejunal tube and enema
2013 [52]	Fever; Temporary increase in CRP; Worsening of diarrhea; Flatulence; Vomiting			Probably	
Vandelplas, 2014 [ <u>38</u> ]	Vomiting; Profuse sweating; Paleness; Tachycardia; Fever	1	1	Probably	Colonoscopy and nasoduodenal tube
Russell, 2014 [82]	Mucoid stools; Bloating; Cramping; Loose stools; Abdominal pain; Gassiness; Diarrhea; Blood in stool	3	10	Probably	Colonoscopy and nasogastric tube
Greenberg, 2013 [83]	Transient worsening of abdominal distension	3	16	Probably	Colonoscopy and nasojejunal infusion
Not mention of the	he routes				
Kelly, 2014 [84]	Death from aspiration; Minor mucosal tear	12	80	Definitely	NR
	Fever; Bloating and abdominal discomfort; Abdominal pain			Probably	
	IBD flare; Self-limited diarrheal illness; Hip pain; Pertussis; Nausea; Death from pneumonia; Diarrhea, encephalopathy and pancytopenia; Colectomy			Possibly	
Brandt, 2013 [85]	Transient abdominal distension with bloating	2	12	Probably	NR
Wilson, 2014 [86]	Diarrhea or nullloose stoolnull; Bloating; Flatus; Constipation; Abdominal pain; GERD (gastroesophageal reflux disease)	12	45	Probably	NR
Obi, 2014 [87]	Bowel perforation	1	20	Definitely	NR
Borody, 2003 [67]	Flatulence; Rectal discomfort; Nausea; Abdominal cramping; Bloating; Headache; Abdominal pain	7	24	Probably	Combination of colonoscopy and/ or rectal enema and/or nasojejunal tube
Capsule					
Youngster, 2014 [ <u>59</u> ]	Abdominal cramping and bloating	6	20	Possibly	Capsule

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critical clinical outcome. Hence, we paid emphatic attention to SAEs and listed 44 kinds of SAEs that were reported in 27 publications (Table 5), of which 18 kinds were associated with FMT procedure. Totally 9.2% (100/1089) patients developed SAEs. The incidences of SAEs were 2.0% (4/196) and 6.1% (40/659) for upper and lower gastrointestinal routes respectively, which suggest that lower gastrointestinal routes of FMT administration induce more SAEs compared with upper routes.

The FMT-attributable (definitely, probably and possibly related) SAEs included death, pathogen infections, IBD flare, auto-immune diseases, and FMT procedure related injury, etc, while the FMT unrelated SAEs covered death or hospitalization caused by underlying conditions. The commonest SAEs were death, severe infections and relapse of CDI and IBD.

As the most devastating SAEs, death happened on 38 patients in 10 publications (<u>Table 6</u>) and the mortality rate was 3.5% (38/1089). Of these deaths, 1 was definitely related, 2 were possibly related, and 35 were unrelated to FMT. The death that was definitely related to FMT was caused by aspiration during sedation of colonoscopy [42]. The other two deaths were associated with infections which might be outcomes of either FMT procedures or underlying immunocompromised status. Except for the above 3 patients, no evidence supported the notion that



Table 4. Adverse events are grouped by their causality with fecal microbiota transplantation.

First Author, Year (Ref.)	Definitely related to FMT	Probably related to FMT	Possibly related to FMT	Unrelated to FMT
Vermeire, 2012 [60]		Fever; Abdominal tenderness; Transient increase of CRP		
Kump, 2013 [68]		Fever; Temporary increase of CRP and IL-6; Increase in stool frequency		
Angelberger, 2013 [ <u>52]</u>	Sore throat	Fever; Temporary increase in CRP; Worsening of diarrhea; Flatulence; Vomiting	Itchiness; Erythema; Paresthesia of the hip; Blisters on the tongue	Common cold; Unexplained pancreatitis; Collapse due to orthostatic disorder
Zhang, 2013 [ <u>69]</u>			Severe cold	
Cui, 2014 [ <u>41]</u>		Fever; Increased diarrhea		
Quera, 2013 [44]		Fever; Bacteremia		
Kunde, 2013 [70]		Fever; Chills; Abdominal fullness; Lower back pain; Nausea; Vomiting	Headache, UC flare	Cervical lymphadenopathy
Vandelplas, 2014 [ <u>38]</u>		Vomiting; Profuse sweating; Paleness; Tachycardia; Fever		
Russell, 2014 [82]		Mucoid stools; Bloating; Cramping, Loose stools; Abdominal pain; Gassiness; Diarrhea; Blood in stool		
Van Nood, 2013 [61]		Belching; Nausea; Abdominal cramps; Diarrhea; Abdominal pain; Infection; Dizziness combined with diarrhea; Constipation		Symptomatic choledocholithiasis
Gustafsson, 1998 [71]		Diarrhea		
Lee CH, 2014 [72]		Transient constipation; Excess flatulence		
Hamilton, 2012 [73]		Irregularity of bowel movements; Excessive flatulence		
Khoruts, 2010 [74]		Constipation; Irregularity of bowel movements		
Kelly, 2014 [ <u>84]</u>	Death from aspiration; Minor mucosal tear	Fever; Bloating; Abdominal discomfort; Abdominal pain post FMT colonoscopy	IBD flare; Self-limited diarrheal illness; Hip pain; Pertussis; Nausea; Death from pneumonia; Diarrhea; Encephalopathy and pancytopenia; Colectomy	Cerebrovascular accident, nausea and vomiting; Fall and sustained hip fracture; Influenza and diarrhea (non- CDI); Catheter infection
Pierog, 2014 [75]			Appendicitis	
Youngster, 2014 [59]		Infectious irritable bowel symptoms		Relapse of severe CDI
Silverman, 2010 [ <u>76]</u>			Urinary tract infections;	
Hohmann, 2014 [39]		Irregularity of bowel movements; Weakness; Fatigue; Decreased appetite; Abdominal pain; Night sweats; Fever; Cytomegalovirus colitis	Minor joint pains; Weight loss	
De Leon, 2013 [40]		Transient relapse of UC		
Schwartz, 2013 [43]		Norovirus Gastroenteritis		
Brandt, 2012 [20]			Peripheral neuropathy; Sjogren 's disease; Idiopathic Thrombocytopenic purpura; Rheumatoid arthritis	Death for unknown causes, metastatic colon cancer, metastatic ovarian cancer, pneumonia, myocardial infarction, cerebral vascular accident and sepsis

(Continued)



Table 4. (Continued)

First Author, Year (Ref.)	Definitely related to FMT	Probably related to FMT	Possibly related to FMT	Unrelated to FMT
Mellow, 2011 [77]			CDI relapse	Death from pneumonia; Death from superior mesenteric vein thrombosis; Death from ovarian cancer
Aas, 2003 [62]			Death from peritonitis	Death from pneumonia
Mandalia, 2014 [78]		Diverticulitis; Fever		
MacConnachie, 2009 [42]			Upper gastrointestinal hemorrhage	
Kronman, 2015 [63]		Vomiting; Mucoid stools		
Dutta, 2014 [79]		Low-grade fever; Bloating		
Friedman- Moraco, 2014 [88]				Cerebral vascular event; Bronchiolitis obliterans
Ray, 2014 [ <u>80</u> ]		Pain/nausea; Bloating/cramps; Vomiting; Abdominal pain	Continuing diarrhea	Cerebrovascular accident
Pinn, 2014 [26]		A transient increase in flatus		
Mattila, 2012 [ <u>19</u> ]				Died of unrelated illnesses
Satokari, 2015 [53]		Mild transient fever		
Trubiano, 2013 [89]				Renal failure, episodes of VAP (ventilator-associated pneumonia) and death
Garborg, 2010 [ <u>90]</u>				Died of serious co-morbid conditions
Borody, 2003 [67]	Sore throat	Flatulence, rectal discomfort, nausea, abdominal cramping, bloating, headache, abdominal pain		
Sun, 2014 [45]		Multi-organism bacteremia		
Mandalia, 2014 [81]		Abdominal pain		
Greenberg, 2013 [83]		Transient worsening of abdominal distension		
Brandt, 2013 [85]		Transient abdominal distension with bloating		
Fischer, 2014 [91]				Multi-organ failure
Wilson, 2014 [86]		Diarrhea; Bloating; Flatus; Constipation; Abdominal pain; GERD (gastroesophageal reflux disease)		Infections; recurrent CDI; Death of lung cancer
Wang, 2013 [64]		Diarrhea		
Fischer, 2014 [92]				Refractory CD, refractory CDI, UC flare, non-infectious severe diarrhea, recurrent CDI and worsening CD
Obi, 2014 [87]	Bowel perforation			Diarrhea
Suskind, 2015 [65]	Rhinorrhea, sore throat	Abdominal pain, bloating, diarrhea, flatulence		Mild stuffy nose,
Suskind, 2015 [66]		Nasal stuffiness, flatulence	Bloating	Vomiting, developed C difficile diarrhea
Cammarota, 2015 [49]		Diarrhea, bloating and abdominal cramping		

(Continued)



Table 4. (Continued)

First Author, Year (Ref.)	Definitely related to FMT	Probably related to FMT	Possibly related to FMT	Unrelated to FMT
Rossen, 2015 [50]		Transient borborygmia, increase of stool frequency, vomiting, transient fever,		Suspicion of a small bowel perforation, cytomegalovirus infection, abdominal pain, cervix carcinoma
Moayyedi, 2015 [56]				Crohn's colitis, active ulcerative colitis, <i>Clostridium difficile</i> toxin positive

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the remaining deaths could have been caused or facilitated by preparation, route of infusion, donor, number of infusion or the FMT procedure.

Twenty-seven patients were reported to be hospitalized or die for infection in 12 publications (CDI was not included) (Table 7). The incidence of severe infection was 2.5% (27/1089). Among the 27 cases of severe infection, 8 cases were probably or possibly related to FMT and the remaining 19 cases were unrelated to FMT.Out of the 8 cases of severe infection, 2 were viral infection, 2 were bacteriemia infection, and the remaining 4 were infection of unknown pathogens. The pathogens that caused the 2 cases of viral infection were cytomegalovirus [39] and norovirus [43] respectively and the pathogens that caused the 2 cases of bacteriemia infection were Escherichia coli, Proteus mirabilis, Citrobacter koseri, and Enterococcus faecium [44, 45]. The cytomegalovirus infection happened after home FMT and was suspected to be probably related to a child donor without strict donor screening. The noroviurs infection was speculated to be probably related to environmental contamination by an endoscopy suite employee. IBD flare happened on 7 patients (4 UC and 3 CD) post-FMT in the 50 selected publications (Table 8) and its incidence reached 0.6% (7/1089). Most patients who suffered from IBD flare were those with low immunity, such as kids, aged people and immunocompromised ones. Of note, patients with IBD flare were administered FMT via lower gastrointestinal routes, such as colonoscopy, sigmoidoscopy or enema. Therefore, IBD flare should arouse attention when FMT was administered via lower gastrointestinal routes. So far, the association between donors and IBD flare has not been defined, for some unrecognized pathogens from donors' stool might also lead to IBD flare.

Although unrelated to FMT, CDI relapse was still another unignorable SAE, the incidence of which reached 0.9% (10/1089) in the selected 50 publications (<u>Table 7</u>).

## **Discussion**

The human gastrointestinal tract harbors the largest number of microbes in the human body, which is referred to as the gut microbiota. Perturbations in the gut microbiota have been associated with conditions as diverse as gastrointestinal diseases and even systemic disorders [46]. As a microbiota-targeted therapy, FMT shows promise in controlling bacteria-associated disorders, especially recurrent or refractory CDI. Nevertheless, with the growing application of FMT, safety evaluation for FMT is increasingly urgent and potential risks of FMT must be paid attention to. Previous studies focus on the effectiveness of FMT treatment on CDI [47], IBD [25] and other digestive and nondigestive disorders [23, 48], lacking of emphasizing AEs of FMT. Landy et al [48] reviewed publications about FMT therapy for gastrointestinal diseases that were published before 2011 and did not find any reports regarding FMT related AEs. A more recent systematic review about FMT for IBD treatment (2012) summarized AEs that were reported in just three citations [25]. Lately, two randomized controlled trials of FMT for CDI [49] and UC [50] reported a high incidence of AEs, suggesting that under-reporting AEs



Author, Year (Ref.)	The total number of patients	Patient Preparation to FMT	Infused Stools	Route of Infusion	Donor Relation- ship	Number of Infusion	SAE		Causality between AEs and FMT	Day post- FMT event occurred	How to relieve the AE	Follow- Up
De Leon, 2013 [40]	1 UC/CDI	Antibiotics	600ml infusion	Colonoscopy	Related	-	Transient relapse of UC	of UC	Probably	9 days	Prednisone, mesalamine	2 weeks
Hohmann, 2014 [39]	1 UC	RN RN	K.	Home FMT	Related	4	Cytomegalovirus colitis	colitis	Probably	Several weeks	Anti- cytomegalovirus therapy	£
Van Nood, 2013 [61]	16СDI	Bowel lavage	500ml infusion	Nasoduodenal tube	Unrelated	1 or 2	Symptomatic choledocholithiasis	edocholithiasis	Unrelated	During follow-up	Stone extraction	15 weeks
Schwartz,	13 CDI	Antibiotics,	æ	Colonoscopy	Related	RN	Norovirus Gastroenteritis;	enteritis;	Probably	2 days	Self-limited	Æ
2013 [43]		Bowel preparation					Norovirus Gastroe CDI	Norovirus Gastroenteritis; Relapse of CDI	Unrelated	12 days		
Brandt,	77 CDI	Antibiotics,	300-700ml	Colonoscopy	Related/	1 or 2	Peripheral neuropathy	athy	Possibly	Æ	NR	3-68
2012 [20]		Bowel	infusion		Unrelated		Sjogren 's disease	Ф				mont
		preparation					Idiopathic thrombo	Idiopathic thrombocytopenic purpura				
							Rheumatoid arthritis	tis				
							Died of unrelated diseases	diseases				
Mellow,	13 CDI	N.	300-600 ml	Colonoscopy	R	_	Death	B strep pneumonia	Unrelated	1 month	Died	1-10
2011 [77]			infusion					Superior mesenteric vein thrombosis	Unrelated	5 months		mont
								Ovarian cancer	Unrelated	7 months		
							Relapse of CDI		Unrelated	7 months	Relapse of CDI	
Youngster, 2014 [59]	20 CDI	RN	650µl*15	Capsule	Unrelated	1 or 2	Relapse of severe CDI	CDI	Unrelated	R	Receiving the remaining 15 capsules	8 weeks
Aas, 2003	18 recurrent	A.	≤30 g	Nasogastric	R	_	Death	Peritonitis	Possibly	3 days	Died	90 days
[62]	Colitis			tube				Pneumonia	Unrelated	14 days		
Kunde, 2013 [70]	10 UC	AN A	70–113 g	Enema	Related and unrelated	വ	UC flare		Possibly	Third week	Corticosteroid enema	1 month
Kelly, 2014	80 CDI in	NR	N.	Colonoscopy or	Æ	1 or	Death	Pneumonia	Possibly	13 days	Died	3-46
84	Immunoco-			others		more		Aspiration	Definitely	1 day		mont
	inpromised Patients						Hospitalizations	Fever, diarrhea, encephalopathy and pancytopenia	Possibly	4 days	RN EN	
								Abdominal pain post FMT colonoscopy	Probably	0 day	Self-limited	
								IBD flare	Possibly	< 84 days	NR	
								Cerebrovascular accident; nausea and vomiting	Unrelated	21 days		
								Colectomy	Possibly	< 28 days		
								Fall and sustained hip fracture	Unrelated	84 days		
								Influenza B and diarrhea (non-CDI)	Unrelated	3 days		



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First Author, Year (Ref.)	The total number of patients	Patient Preparation to FMT	Infused Stools	Route of Infusion	Donor Relation- ship	Number of Infusion	SAE		Causality between AEs and FMT	Day post- FMT event occurred	How to relieve the AE	Follow- Up
Mandalia, 2014 [78]	1 CDI/CD	RN R	100g	Colonoscopy	NR	-	Diverticulitis, fever		Probably	2–3 hours	Antibiotics	3 months
Quera, 2013 [44]	1 CD/CDI	EN.	Æ.	Colonoscopy	NA R	-	Bacteriemia		Probably	24 hours	Aztreonam	5 months
Pierog, 2014 [75]	6 CDI	Bowel lavage	250–500 mL infusion	Colonoscopy	Related	-	Appendicitis		Possibly	2 weeks	Appendectomy	12 weeks
Silverman, 2010 [76]	7 CDI	Stop anti-CDI antimicrobial	50 mL infusion	Enema	Related	1 or 2	Post infectious irritable bowel symptoms	table bowel	Unrelated	R E	Cotrimoxazole	14 months
							Urinary tract infections	tions			Ampicillin/ gentamicin and ciprofloxacin	
Friedman- Moraco,	2 CDI	NR N	80mL	Nasojejunal tube	Related	2	Cerebral vascular event	event	Unrelated	R E	NR	1 year
2014 [88]			250mL	Colonoscopy								
			325mL	Colonoscopy	Unrelated	2	Bronchiolitis obliterans and death	rans and death		5 days		Æ
			100mL	Nasojejunal tube								
Ray, 2014 [80]	20 CDI	Stop all antibiotics	æ Z	Colonoscopy	Related and unrelated	-	Cerebrovascular accident	accident	Unrelated	> 1 month	E S	3 months
Mattila, 2012 [19]	70 CDI	Antibiotics were stopped	100mL suspension	Colonoscopy	Related and	1 or more	Died of unrelated illnesses	illnesses	Unrelated	Within 1 year	NR	1 year
		Colonic Iavage			unrelated		No response and death	death	Unrelated	Within 3 months		
Trubiano, 2013 [89]	1 CDI	E C	30mL suspension	Nasogastric tube	Related	-	Renal failure, epis associated pneurr	Renal failure, episodes of ventilator- associated pneumonia and death	Unrelated	Æ	Continuous renal replacement therapy	Æ
Garborg, 2010 [90]	40 recurrent Clostridiumdifficile- associated diarrhea	Fast	50-100 g	Gastroscopy or colonoscopy	Related and unrelated	1 or 2	Wegener 's granulomatosis, acute myelogenous leukaemia, advance cardiovascular disease developed fulminant colitis and underwent sub colectomy	Wegener 's granulomatosis, acute myelogenous leukaemia, advanced cardiovascular disease developed fulminant colitis and underwent subtotal colectomy	Unrelated	3 weeks- 2 months	Died	2 months
Sun, 2014 [45]	1 CDI	RN R	R	Colonoscopy	NR R	R R	Multi-organism bacteremia	cteremia	Probably	R E	Ampicillin/ sulbactam; vancomycin	R E
Fischer, 2014 [91]	17 CDI	Bowel preparation	R	Colonoscopy	Related and unrelated	1, 2 or 3	Multi-organ failure and death	Immunosuppression Septic shock	Unrelated	R E	E S	R E
Wilson, 2014 [86]	45 CDI	EN.	R N	NR.	NA R	N R	Infections; Recurrent CDI; Death of Iung cancer	ent CDI; Death of	Unrelated	R E	R.	6 months
Fischer, 2014 [ <u>92]</u>	41 CDI/IBD (21 CD, 19UC, 1 indeterminate colitis)	R	RN	Colonoscopy or Sigmoidoscopy	R	1 or 2	Refractory CD, refractory CDI, UV non-infectious severe diarrhea, recurrent CDI and worsening CD	Refractory CD, refractory CDI, UC flare, non-infectious severe diarrhea, recurrent CDI and worsening CD	Unrelated	R R	R	R R
Obi, 2014	20 CDI	Æ.	NB	EN EN	R	NR	Bowel perforation		Definitely	뛴	Colectomy	4



First Author, Year (Ref.)	The total number of Patient Infused patients Preparation to Stools FMT	Patient Preparation to FMT		Route of Infusion	Donor Relation- ship	Number of Infusion	SAE	Causality between AEs and FMT	Day post- FMT event occurred	Day post- How to relieve FMT the AE event	Follow
Suskind, 2015 [66]	4 UC	Omeprazole, rifaximin, MiraLAX and bowel preparation	Infusion of 30 mL	Nasogastric tube	RN	-	Developed <i>C difficile</i> diarrhea	Unrelated	3 months 4 months	Vancomycin NR	6 months
Rossen, 2015 [50]	50 UC	Φ.	120 g	Nasoduodenal tube	Unrelated	2	Suspicion of a small bowel perforation Cytomegalovirus infection Abdominal pain Cervix carcinoma	Unrelated	5 weeks 7 weeks 11 weeks 6 weeks	Antibiotics Ganciclovir Spontaneous recovery Operation	12 weeks
Moayyedi, 2015 [56]	75 UC	Ã.	50 mL	Retention enema.	Unrelated	ဖ	Three patients had their diagnoses changed to Crohn's colitis from ulcerative colitis.  Active ulcerative colitis  Clostridium difficile toxin positive	Unrelated	NR Three weeks After study exit	NR Urgent colectomy NR	12 months

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Table 5. (Continued)



First Author, Year (Ref.)	The total number of patients	Patient Preparation to FMT	Infused Stools	Route of Infusion	Donor Relationship	Number of Infusion	Cause of death	Causality between AEs and FMT	Day post- FMT event occurred	Follow- Up
Garborg, 2010 [90]	40 recurrent Clostridiumdifficile- associated diarrhea	Fast	50–100 g	Gastroscopy or colonoscopy	Related and unrelated	1 or 2	Wegener 's granulomatosis, acute myelogenous leukaemia, advanced cardiovascular disease developed fulminant colitis and underwent subtotal colectomy	Unrelated	3 weeks-2 months	2 months
Mellow,	13 CDI	NB RB	300-600 ml	Colonoscopy	NR R	-	B strep pneumonia	Unrelated	1 month	1-10
2011 [77]			infusion				Superior mesenteric vein thrombosis	Unrelated	5 months	months
							Ovarian cancer	Unrelated	7 months	
Aas, 2003	18 recurrent	NR.	≤30 g	Nasogastric	N46R	-	Peritonitis	Possibly	3 days	90 days
[62]	<i>Clostridium difficile</i> Colitis			tnbe			Pneumonia	Unrelated	14 days	
Kelly, 2014	80 CDI in Immunocom-	NB R	NB R	Colonoscopy or	NR	1 or more	Pneumonia	Possibly	13 days	3-46
84	promised Patients			others			Aspiration	Definitely	1 day	months
Friedman-	2 CDI	NB	325mL	Colonoscopy	Unrelated	2	Bronchiolitis obliterans	Unrelated	5 days	R H
Moraco, 2014 [88]			100mL	Nasojejunal tube						
Mattila, 2012 [19]	70 CDI	Antibiotics were stopped, colonic	100mL suspension	Colonoscopy	Related and unrelated	1 or more	Unrelated illnesses	Unrelated	Within 1 year	1 year
		lavage					No response and died	Unrelated	Within 3 months	
Brandt, 2012 [20]	77 CDI	Antibiotics, bowel preparation	300–700ml infusion	Colonoscopy	Related/ Unrelated	1 or 2	Death for unknown causes, metastatic colon cancer, metastatic ovarian cancer, pneumonia, myocardial infarction, cerebral vascular accident and sepsis	Unrelated	NB	3–68 months
Trubiano, 2013 [89]	1 CDI	RN	30 mL	Nasojejunal tube	Related	-	Renal failure, episodes of ventilator- associated pneumonia	Unrelated	NR R	Ä.
Fischer, 2014 [92]	17 CDI	Bowel preparation	N N	Colonoscopy	Related and unrelated	1 or 2 or 3	Immunosuppression Septic shock	Unrelated	N R	R
Wilson, 2014	45 CDI	NB	RN	A.	AN A	æ	Lung cancer	Unrelated	NR	6 months



First Author, Year (Ref.)	The total number of patients	Patient Preparation to FMT	Infused Stools	Route of Infusion	Donor Relationship	Number of Infusion	SAE (infections)	Causality between AEs and FMT	Day post- FMT event occurred	How to relieve the AE	Follow- Up
Hohmann, 2014 [39]	1 UC	R.	R R	Home FMT	Related	4	Cytomegalovirus colitis	Probably	Several weeks	Anti- cytomegalovirus therapy	£
Schwartz, 2013 [43]	13 CDI	Antibiotics, Bowel preparation	R R	Colonoscopy	Related	R R	Norovirus Gastroenteritis Norovirus Gastroenteritis	Probably Unrelated	2 days 12 days	Self-limited	£
Mellow, 2011 [77]	13 CDI	E Z	300–600 ml infusion	Colonoscopy	Œ Z	-	B strep pneumonia	Unrelated	1 month	Died	1–10 months
Aas, 2003 [62]	18 recurrent Clostridium difficile Colitis	Æ.	<30 g	Nasogastric tube	RN R	-	Peritonitis Pneumonia	Possibly Unrelated	3 days 14 days	Died	90 days
Kelly, 2014 [84]	80 CDI in Immunocom- promised	Æ.	R.	Colonoscopy or others	RN R	1 or more	Died of pneumonia Influenza B and diarrhea (non-CDI)	Possibly Unrelated	13 days 3 days	Died	3-46 months
	Patients						Catheter infection	Unrelated	14 days		
Mandalia, 2014 [78]	1 CDI/CD	a Z	100g	Colonoscopy	æ	-	Diverticulitis, fever	Probably	2–3 hours	Antibiotics	3 months
Quera, 2013 [44]	1 CD/CDI	A N	N R	Colonoscopy	E S	-	Bacteriemia	Probably	24 hours	Aztreonam	5 months
Pierog, 2014 [75]	e cDI	Bowellavage	250–500 mL infusion	Colonoscopy	Related	-	Appendicitis	Possibly	2 weeks	Appendectomy	12 weeks
Silverman, 2010 [76]	7 CDI	Stop anti-CDI antimicrobial	50 mL infusion	Enema	Related	1 or 2	Post infectious irritable bowel symptoms	Unrelated	R N	Cotrimoxazole	14 months
							Urinary tract infections			Ampicillin/ gentamicin and ciprofloxacin	
Sun, 2014 [45]	1 CDI	RN R	R R	Colonoscopy	RN R	R R	Multi-organism bacteremia	Probably	Æ	Ampicillin/ sulbactam; vancomycin	Æ
Wilson, 2014 [86]	45 CDI	R	æ Z	N.	RN	æ æ	HCV seroconversion, urinary tract infection, viral upper respiratory infection, foot infection, eye infection, and shingles	Unrelated	R	RN R	6 months
Rossen, 2015 [50]	50 UC	Bowel lavage	120 g	Nasoduodenal tube	Unrelated	2	Cytomegalovirus infection	Unrelated	7 weeks	Ganciclovir	12 weeks



Table 8. Summary of relapse of inflammatory bowel disease or Clostridium difficile infection after fecal microbiota transplantation.

SAE	First Author, Year (Ref.)	The total number of patients	Patient Preparation Infused to FMT Stools		Route of Infusion   Donor	Donor Relationship	Number of Causality Infusion between SAEs and FMT	Causality between SAEs and FMT	Day post- FMT event occurred	How to relieve the AE	Follow- Up
Relapse of CDI	Schwartz, 2013 [43]	13 CDI	Antibiotics, Bowel preparation	R N	Colonoscopy	Related	NR R	Unrelated	12 days	NN N	R R
	Mellow, 2011 [77]	13 CDI	NR	300–600 ml infusion	Colonoscopy	RN	_	Unrelated	7 months	NR	1–10 months
	Youngster, 2014 [59]	20 CDI	NR	650µl*15	Capsule	Unrelated	1 or 2	Unrelated	AN A	Receiving the remaining 15 capsules	8 weeks
	Wilson, 2014 [86]	45 CDI	NR	R	NR	NR R	N R	Unrelated	NR	NR	6 months
	Suskind,	4 UC	Omeprazole,	Infusion of	Nasogastric tube	an E	-	Unrelated	3 months	Vancomycin	9
	2015 [66]		rifaximin, MiraLAX and bowel preparation	30 mL					4 months	a a	months
Relapse of IBD	De Leon, 2013 [40]	1 UC/CDI	Antibiotics	600ml infusion	Colonoscopy	Related	-	Probably	9 days	Prednisone, mesalamine	2 weeks
	Kunde, 2013 [70]	10 UC	NR	70–113 g	Enema	Related and unrelated	5	Possibly	Third week	Corticosteroid enema	1 month
	Kelly, 2014 [84]	80 CDI in Immunocomprom-ised Patients	NR	E S	Colonoscopy or others	NR R	1 or more	Possibly	< 84 days	NB BN	3-46 months
Relapse of UC, relapse of CDI	Fischer, 2014 [92]	41 CDI/IBD (21 CD, 19UC, 1 indeterminate colitis)	NB	Ω Ω	Colonoscopy or Sigmoidoscopy	RN	1 or 2	Unrelated	AN A	N.	RN R

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of FMT may exist in many other cases. Therefore, there is an urgent need to systematically review and analyze the characteristics of AEs of FMT to evaluate the safety of the procedure.

Here, we selected 50 publications in which AEs of FMT were reported. Totally 78 kinds of AEs happened on 310 patients following FMT treatment. The AEs of FMT were divided into two major categories, namely related and unrelated to FMT. Our analytical results showed that the AEs related to FMT (including definitely, probably and possibly related) accounted for a larger proportion than the AEs unrelated to FMT. Moreover, we found that SAEs related to FMT such as death, viral and bacterial infections, transient relapse of IBD, were not rare and therefore deserved attention and consideration in the procedure of FMT.

Human Microbiome Project (HMP) has sampled the microbiome of many people to get a better idea of variability, and how microbes work together in complex communities. HMP implied that because the microbiome is more varied than the genome, and easier to modify, it gives a more logical starting point for individual treatments [51]. As observed by Angelberger et al, most AEs may be caused by the applied bacteria into the gut [52], which, in our opinion, could be further supported by the notion that most patients receiving FMT were under the conditions of impaired intestinal mucosal barrier and severe inflammation. In a recent observational cohort study of FMT for treating recurrent CDI, mild transient fever happened on two patients receiving FMT [53]. The authors speculated that FMT itself rather than glycerol used in the frozen preparations caused the AE, which was in agreement with Angelberger's opinion. Though all donors underwent blood and stool tests before FMT as recommended by FDA, some unrecognized infective agents might cause AEs of FMT. Since variability in donor microbiotas exists, it is necessary to establish a better donor screening methodologies. Moreover, the inclusion criteria of FMT donors for recurrent or refractory CDI have been established, but an agreement of the inclusion criteria of donors for IBD, IBS, metabolic diseases, and other extra-CDI have not been reached, which might engender potential risks for AEs of FMT [54, 55]. A recent placebo-controlled randomized trial demonstrated that FMT with the donation of two donors were more effective but with milder AEs than the other donors. Sequencing analysis of the microbiota was conducted for the two donors and they turned out to have similar taxonomic profiles [56]. Previous study also demonstrated that genetic variation in immune genes could result in variability in susceptibility to enteric infection in germfree mice [57]. Thus, genetic variation may paly a key role in variability in microbiota composition, susceptibility to enteric infection, response to FMT treatments, and even AEs. The emerging metagenomics, genetic and microbiota screening methodologies could be useful for identifying better donor sources for FMT therapies in the future [58].

Route of fecal infusion is another concern in FMT that may lead to AEs. Lower gastrointestinal routes, including colonoscopy, sigmoidoscopy, and retention enema, were more widely used than upper gastrointestinal routes. We found that the patients who received FMT treatment via upper gastrointestinal routes were more likely to develop AEs than those who received FMT treatment via lower gastrointestinal routes (43.9% vs. 20.6%). To avoid injury associated AEs during endoscopic process, noninvasive and patient-acceptable routes can be chosen for FMT treatment. Actually, a recent pilot study in which frozen capsules FMT was administered orally for patients with recurrent CDI demonstrated a high incidence of diarrhea resolution (overall 90%) but few mild AEs such as abdominal cramping and bloating [59]. Therefore, capsules would potentially make FMT procedure safer by avoiding procedure-related complications as well as availability for long-term usage. Furthermore, the encapsulated FMT can be accessible to a wider range of patients, especially to those who cannot withstand the endoscopic procedure. Hence, large randomized controlled studies for the safety and therapeutic efficacy of encapsulated FMT are warranted.



Though this systematic review provides a handful of valuable messages for clinical application of FMT, some limitations need to be addressed. First, the incidences of AEs might be underestimated. On one hand, since AEs usually overlapped, we took the number of patients with the most frequent kind of AE from a publication for further calculating the overall incidence of AEs. On the other hand, transient or mild AEs were sometimes ignored by researchers, resulting in the missing data of AE occurrence. Secondly, some potential confounding factors such as the health conditions of the donors, the time span from FMT exposure to the onset of the AEs and the outcomes of AEs could have substantial impacts on the classification of AEs. Thirdly, there was subjective nature in the classification of AEs. In most instances, it is impossible for us to obtain the original data of the publications we selected. Therefore we categorized AEs according to the authors' subjective description or FDA general definitions of AEs for drugs or therapy [37].

#### Conclusion

Though FMT was validated to be a beneficial therapeutic strategy, we should pay enough attention to AEs of FMT. In order to prevent or treat AEs during or after FMT, more clinical trials and fundamental research are urged to elucidate the exact mechanism of how FMT causes AEs and set up a guideline on how to handle FMT-related AEs in different situations.

# **Supporting Information**

**S1 Appendix. Full-text excluded articles.** (DOCX)

S2 Appendix. PRISMA Checklist.

(DOC)

S3 Appendix. PRISMA flow diagram.

(DOC)

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## **Author Contributions**

Conceived and designed the experiments: SW HC XC FY BW.

Analyzed the data: SW MX HC.

Wrote the paper: SW MX WW MP SK.

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