



## OPEN ACCESS

EDITED BY  
Gregory Fonseca,  
McGill University, Canada

REVIEWED BY  
Mauro Santos,  
Universitat Autònoma de Barcelona,  
Spain

\*CORRESPONDENCE  
Gustavo Caetano-Anollés,  
gca@illinois.edu

SPECIALTY SECTION  
This article was submitted to  
Computational Genomics,  
a section of the journal  
Frontiers in Genetics

RECEIVED 19 June 2022  
ACCEPTED 21 July 2022  
PUBLISHED 17 August 2022

CITATION  
Caetano-Anollés G and Janko R (2022),  
The rise of hierarchy and modularity in  
biological networks explained by  
Empedocles' double tale ~2,400 years  
before Darwin and systems biology.  
*Front. Genet.* 13:973233.  
doi: 10.3389/fgene.2022.973233

COPYRIGHT  
© 2022 Caetano-Anollés and Janko.  
This is an open-access article  
distributed under the terms of the  
[Creative Commons Attribution License  
\(CC BY\)](https://creativecommons.org/licenses/by/4.0/). The use, distribution or  
reproduction in other forums is  
permitted, provided the original  
author(s) and the copyright owner(s) are  
credited and that the original  
publication in this journal is cited, in  
accordance with accepted academic  
practice. No use, distribution or  
reproduction is permitted which does  
not comply with these terms.

# The rise of hierarchy and modularity in biological networks explained by Empedocles' double tale ~2,400 years before Darwin and systems biology

Gustavo Caetano-Anollés<sup>1\*</sup> and Richard Janko<sup>2</sup>

<sup>1</sup>Evolutionary Bioinformatics Laboratory, Department of Crop Sciences, C.R. Woese Institute for Genomic Biology, and Illinois Informatics Institute, University of Illinois, Urbana, IL, United States, <sup>2</sup>Department of Classical Studies, University of Michigan, Ann Arbor, MI, United States

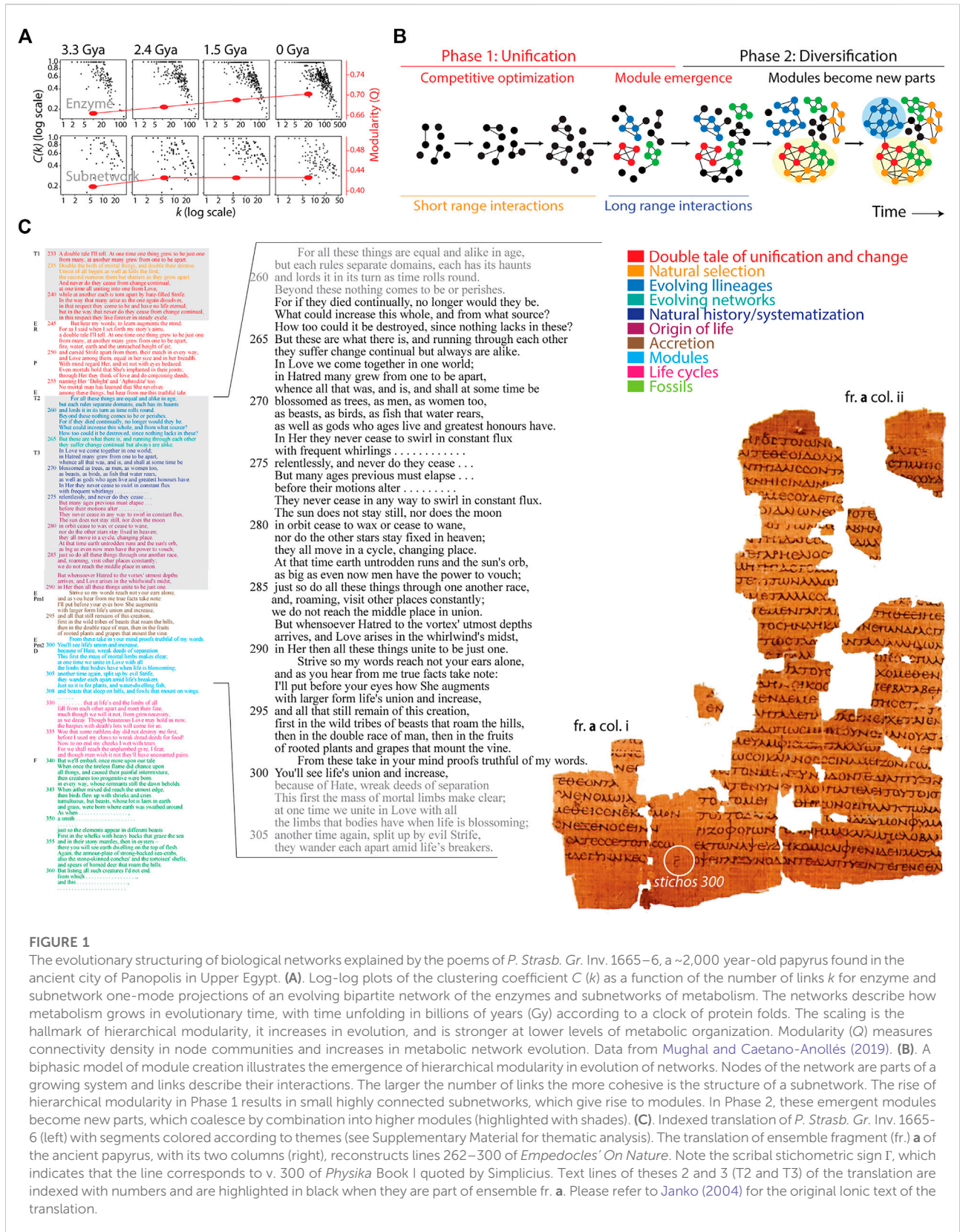
## KEYWORDS

biphasic bow-tie pattern, empedocles, hourglass, molecular structure, evolutionary diversification, evolutionary growth, phylogenetic analysis, papyrus

## Introduction

Networks describe how parts interact with each other and associate to form integrated systems. These interactions can be modeled with graphs. The vertices (*nodes*) of a network describe parts, while lines (*links*) that connect vertices describe pairwise interaction between them. Value functions are often mapped onto the nodes and links of the networks to describe static or dynamic phenomena. Networks are often structured by modularity and hierarchy across timescales (Bogdan et al., 2021). Modules make up communities, typically sets of nodes that are more connected with each other than with other nodes of the network (though link communities also exist and can be dissected; Ahn et al., 2010). Hierarchy embodies an organization that is ranked to some authority, with parent-child relationships influenced by levels, nesting, balance and authorities of the system, i.e., “a system that is composed of interrelated subsystems, each of the latter being in turn, hierarchic in structure until we reach some lowest level of elementary subsystem” (Simon, 1962). In the context of networks, hierarchical modularity is simply the fractal-like reuse or embedding of simpler network modules into modules of higher complexity.

Hierarchy and modularity are pervasive in biological networks and arise naturally as long as there is an underlying cost of emerging links (Ravasz et al., 2002; Clune et al., 2013; Corominas-Murtra et al., 2013; Mengitsu et al., 2016). We have explained the rise of hierarchical modularity in networks with a biphasic (*bow-tie*) theory of module emergence (Mittenthal et al., 2012), which relates to things that grow (Caetano-Anollés et al., 2018). The theory is compatible with modeling frameworks that reveal hierarchical modularity induces an “hourglass” effect in which networks channel many inputs to produce many outputs through a core of intermediate nodes (Sabrin and Dovrolis, 2017). We used chronologies to test the rise of hierarchical modularity in evolutionary time (Caetano-Anollés et al., 2019). Chronologies arrange parts or interactions in the order of their temporal or irreversible occurrence. They have



been reconstructed using phylogenomic methods from genomic data from thousands of organisms and viruses (Caetano-Anollés et al., 2021). Chronology-driven time series of networks (*evolving networks*) uncovered the emergence of hierarchical modularity in networks at different time scales, including the nanosecond-dynamics of proteins, the rewiring of metabolomic and transcriptome-informed metabolic networks, and deep-time evolving networks describing the evolution of metabolism, an “elementary functionome” of functional protein loops, and protein domain organization (e.g., Aziz et al., 2016; Mughal and Caetano-Anollés, 2019; Aziz and Caetano-Anollés, 2021). For example, an evolving bipartite network of metabolism that links enzymes to subnetworks of the KEGG metabolic pathway database can be dissected into its two one-mode network projections, both of which increase hierarchy and modularity as they evolve along a timeline of billions of years of evolution (Figure 1A). Constraints on network structure were however stronger at the enzyme level suggesting a “principle of granularity” that confirms Simon’s prediction that lower organizational levels should exhibit stronger internal links (Simon, 1962).

Here we discuss how our model of unification and diversification has been already described in a ~2,000-year-old papyrus from the ancient city of Panopolis in Upper Egypt. The embedded poem, which is attributed to Empedocles of Akragas [Ἐμπεδοκλῆς (*Empedoklēs*); ca. 495–435 BC], recounts a “double tale” of unification and change that is consistent with the biphasic theory of module emergence. We interpret Empedocles’ ancient text as a description of biological evolution with network hierarchies ~2,400 years before Darwin and systems biology.

## A phylogenomic-based biphasic model of module generation is a double tale of growth

The biphasic theory of module emergence explains evolutionary growth, a process known as *accretion* (Mittenthal et al., 2012). In a first phase, parts are at first weakly linked and associate variously. As they diversify, they compete with each other and are often selected for performance. The emerging interactions constrain their structure and associations. This causes parts to self-organize into modules with tight linkage. In a second phase, variants of the modules diversify and become new parts for a new generative cycle of higher-level organization. Figure 1B illustrates how competitive optimization of parts trigger the emergence of network communities (modules) in a dynamic process of system innovation, and how this emerging

modular structure diversifies and generates new parts for a combinatorial landscape of increasing organization. The paradigm is a “double tale” that predicts the rise of hierarchical modularity in evolving networks. This prediction has been experimentally confirmed at different timescales and complexity levels.

## Empedocles’ *On Nature*, P. Strasb. Gr. Inv. 1665-6

In 1904, German archaeologist Otto Rubensohn purchased a late first century AD roll for *Das Papyruskartell* from an antiquities shop in Akhmim, Egypt. The roll was part of a collar-shaped funeral pectoral wreath that was originally attached to a mummy recovered from a nearby necropolis of the ancient city of Panopolis. The 52 papyrus fragments contained text written in columns of 30 hexameters each. They were conserved at the National University Library of Strasbourg in 1905 but were not transcribed or translated until papyrologist Alain Martin attributed the text in them to Empedocles in 1992. Martin, together with Oliver Primavesi, published a textual reconstruction, transcription, paleographic commentary and interpretations in *L’Empédocle de Strasbourg* (the *editio princeps*) 7 years later (Martin and Primavesi, 1999).

The discovery of the Strasbourg papyrus (P. Strasb. Gr. Inv. 1665-6) is of extraordinary significance. Very much like the carbonized Derveni papyrus from Macedonia (Kouremenos et al., 2006; Kotwick, 2017), it opened a floodgate of reinterpretations of Presocratic philosophy (Mace, 2002; Janko, 2004; Trépanier, 2017, 2019; Vassallo, 2019). The stichometric symbol Γ, third of the 24 letters of the Ionic alphabet that scribes placed in the left-hand margins of their texts (Figure 1C), denotes the 300th line of verse (Van der Ben, 1999). It shows that the text is a copy *via* scribal transmission of at least 300 lines of a comprehensive philosophical treatise. Textual reconstructions matched doxographic evidence, and in particular a long passage of Empedocles’ poem *On Nature* quoted by Simplicius (a Neoplatonist commentator on Aristotle) who often quoted from Theophrastus (Mansfeld, 2016). These overlaps settled some disagreements about quotations in Diogenes Laërtius and the *Suda* and clarified Empedocles’ account of his dynamic model of nature (known as “cosmic cycle”), the interpretation of which had been controversial (Graham, 1988; Trépanier, 2000). Textual reconstructions also demanded a revision of traditional interpretations derived mostly from Aristotle and his commentators, who disparaged Empedocles because they

embraced a static Universe. The fragments of the Strasbourg papyrus remained disjointed and their assembly peculiar; a reinterpretation permitted the reconstruction of a largely uninterrupted passage of coherent philosophical poetry that is beautiful, novel and puzzling (Janko, 2004, 2010; Trepanier, 2019). This passage suggested that Empedocles' poems, *On Nature*, which dealt with the creation of the living world, and *Purifications*, which focused on the fate of the soul, presented a unified theory that described the physical nature of living matter, and consequently, was a poetical rendition of a coherent philosophy.

## Empedocles' double tale describes evolution of biological networks

Empedocles' *On Nature* embodies a “double tale” of evolutionary growth and change in which two opposing forces unify and diversify. Zirkle (1941) intimated almost a century ago that Empedocles' theory described biological evolution ~2,400 years before Darwin—a claim that was based at that time on quotations from Aristotle and Lucretius. Our recent biological reinterpretation of *On Nature* based on *P. Strasb. Gr. Inv.* 1665-6 supports this contention (Caetano-Anollés and Janko, 2021). Remarkably, the double tale also involves a “network” paradigm (*tela vitae*) of systems of interconnected things. Figure 1C presents a thematic indexing of the poem, highlighting segments describing the double tale, natural selection, evolving lineages, evolving networks, natural history and systematization, origin of life, accretion, modules, life cycles, and fossil remnants. An indexed translation and commentary can be found in Supplementary Material and in Caetano-Anollés and Janko (2021).

The first three lines of the poem (lines 233–235, = Diels-Kranz (DK) fr. no. B 17.1-3) introduce the main thesis of Empedocles' argument:

*“A double tale I'll tell. At one time one thing grew to be just one  
From many, at another many grew from one to be apart.  
Double the birth of mortal things, and double their demise.”*

This thesis describes the unification and diversification of things that are “mortal” (θνητός) and “grow”. One process grows these living things by “union” (Love, Φιλότης), while the other grows things “apart” into many distinct forms (Strife, Νείκος). Since growing apart implies that unified things become separated by a distance in time and space, one very likely interpretation of his crucial statement about growth is that it describes a process of evolutionary diversification. Note that

there is no evidence in the text that “things” that unify or diversify should refer exclusively to Empedocles' “elements” (fire, water, earth and air, listed in line 249), as has been claimed by encyclopedic editions or other interpretations that give great weight to Roman doxographic evidence (e.g. Trépanier, 2017). In fact, line 235, “*Double the birth of mortal things, and double their demise*”, crucially reinforces the biological rather than the “cosmic cycle” interpretation. A balance of birth and demise in biology implies natural selection and change, the hallmarks of Darwinian evolution. Natural selection requires gains through birth of reproducing entities that “double” as they grow. Demise counterbalances growth through either stasis (unproductive growth) or death. Implicit in this process (or other causal influences) is differential loss and reproduction as prelude to fitness.

The lines that follow restate the main thesis but now describe the frustrated dynamics of the two tales (lines 236–240, = DK fr. no. B 17.4–9), anticipating the persistent and ephemeral properties of evolving systems (lines 241–244, = DK fr. no. B 17.10–13). Subsequent text reinforce the main thesis step by step, *via* exhortation and the gradual revelation of Empedocles' argument (Janko, 2010), which now anticipates concepts in systems biology. For example, the text corresponding to the papyrus fragment ensemble fr. a (illustrated in Figure 1C) presents crucial principles that are common to modern evolutionary biology, sometimes cryptically evident (Caetano-Anollés and Janko, 2021). Lines 258–260 specify how growing things establish a *hierarchy* of wholes unified from integrated parts to make up what can be interpreted as lineages: “*For all these things are equal and alike in age but each rules separate domains*”. Indeed, the rise of lineages from a ‘last universal common ancestor’ endows them with equal age, a property that enables the Sibley-Ahlquist model used for calculation of stem and crown ages of higher taxa (Stadler et al., 2014). Lines 261–266 crucially extend the concept of lineages of a hierarchy (a tree) to lineages of an evolving network: “*But these are what there is, and running through each other they suffer change continual but always are alike*”. Lines 270–274 posit that lineages of the network “blossom” into species, “*as trees, as men, as women too, as beasts, as birds, as fish that waters rear*”. Lines 285–287 later restate how parts “*through one another race, and, roaming, visit other places constantly*” as they unify in the context of an origin of life, making explicit time trajectories of evolutionary recruitment that make network structures. Finally, lines 293–298 describe how unification “*augments with larger form life's union and increase*” to generate a wealth of organismal diversity, which is then catalogued. Remarkably,

these interpretations of recurrent arguments gradually advance two concepts linked to the double tale, growth and diversification, which are recurrent features in evolutionary and systems biology research and central elements of our biphasic model.

## Conclusion

Empedocles' double tale of evolutionary growth represents a discovery of extraordinary significance. It is one of few Presocratic texts preserved by direct scribal transmission. The double tale coherently explains the living world with a network paradigm of accretion and change. This ancient philosophy embodies a biphasic model of module generation in biological systems, which explains fractal-like patterns of complexification that are both entrenched and highly dynamic at all levels of organization. The themes that are advanced in the papyrus have considerable explanatory power, given background knowledge and evidence from evolutionary genomics and systems biology. This fact in itself now demands explanation.

## Author contributions

GC-A and RJ contributed to the conceptualization and analysis of the study and drafted, edited, improved, and finalized the manuscript.

## References

- Ahn, Y.-Y., Bagrow, J. P., and Lehmann, S. (2010). Link communities reveal multiscale complexity in networks. *Nature* 466, 761–764. doi:10.1038/nature09182
- Aziz, M. F., and Caetano-Anollés, G. (2021). Evolution of networks of protein domain organization. *Sci. Rep.* 11, 12075. doi:10.1038/s41598-021-90498-8
- Aziz, M. F., Caetano-Anollés, K., and Caetano-Anollés, G. (2016). The early history and emergence of molecular functions and modular scale-free network behavior. *Sci. Rep.* 6, 25058. doi:10.1038/srep25058
- Bogdan, P., Caetano-Anollés, G., Jolles, A., Kim, H., Morris, J., Murphy, C. A., et al. (2021). Biological networks across scales. *Integr. Comp. Biol.* 61, 1991–2010. doi:10.1093/icb/icab069
- Caetano-Anollés, G., Aziz, M. F., Mughal, F., and Caetano-Anollés, D. (2021). Tracing protein and proteome history with chronologies and networks: folding recapitulates evolution. *Expert Rev. Proteomics* 18, 863–880. doi:10.1080/14789450.2021.1992277
- Caetano-Anollés, G., Aziz, M. F., Mughal, F., Gräter, F., Koç, I., Caetano-Anollés, K., et al. (2019). Emergence of hierarchical modularity in evolving networks uncovered by phylogenomic analysis. *Evol. Bioinform. Online* 15, 1176934319872980. doi:10.1177/1176934319872980
- Caetano-Anollés, G., Caetano-Anollés, K., and Caetano-Anollés, D. (2018). Evolution of macromolecular structure: a 'double tale' of biological accretion and diversification. *Sci. Prog.* 101, 360–383. doi:10.3184/003685018X15379391431599
- Caetano-Anollés, G., and Janko, R. (2021). "Empedocles' on Nature, *P. Strasb. Gr. Inv.* 1665-6, a theory of networks and evolutionary growth ~2,400 years before Darwin," in *Untangling molecular biodiversity*. Editor G. Caetano-Anollés (Singapore: World Scientific), 599–648.
- Clune, J., Mouret, J. B., and Lipson, H. (2013). The evolutionary origins of modularity. *Proc. Biol. Sci.* 280, 20122863. doi:10.1098/rspb.2012.2863
- Corominas-Murtra, B., Goni, J., Sole, R. V., and Rodriguez-Caso, C. (2013). On the origins of hierarchy in complex networks. *Proc. Natl. Acad. Sci. U. S. A.* 110, 13316–13321. doi:10.1073/pnas.1300832110
- Graham, D. W. (1988). Symmetry in the empedoclean cycle. *Cl. Q.* 38, 297–312. doi:10.1017/s000983880003696x
- Janko, R. (2004). Empedocles, on nature I 233–364: a new reconstruction of *P. Strasb. Gr. Inv.* 1665–6. *Z. Papyrol. Epigr.* 150, 1–26. doi:10.5840/ancientphil201030235
- Janko, R. (2010). Review of Empedokles Physika I. Eine Rekonstruktion des zentralen Gedankengangs by Oliver Primavesi. *Anc. Philos.* 1, 407–411.
- Kotwick, M. E. (2017). *Der papyrus von Derveni*. Berlin and Boston: De Gruyter.
- Kouremenos, T., Parássoglou, G. M., and Tsantsanoglou, K. (2006). *The Derveni papyrus*. Florence: Casa Editrice Leo S. Olschki.
- Mace, S. T. (2002). Review: L'Empédocle de Strasbourg (*P. Strasb. Gr. Inv.* 1665-1666): Introduction, édition, et commentaire. A. Martin & O. Primavesi Strasbourg Bibliothèque Natl. Univ. de Strasbourg. *Classical World*, 96, 195–197. doi:10.2307/4352657
- Mansfeld, J. (2016). "Doxography of ancient philosophy,". Encyclopedia of Philosophy. Editors E. N. Zalta and Stanford (Winter 2016 edition). Available at <https://plato.stanford.edu/archives/win2016/entries/doxography-ancient/> (Accessed April 15, 2018).
- Martin, A., and Primavesi, O. (1999). *L'Empédocle de Strasbourg*. Berlin: De Gruyter.
- Mengistu, H., Huizinga, J., Mouret, J.-B., and Clune, J. (2016). The evolutionary origins of hierarchy. *PLoS Comput. Biol.* 12, e1004829. doi:10.1371/journal.pcbi.1004829

## Funding

Systems biology research was supported by a grant from the USDA National Institute of Food and Agriculture (Hatch-1014249) and several Blue Waters supercomputer allocations to GCA.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

## Supplementary Material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.973233/full#supplementary-material>

- Mittenthal, J. E., Caetano-Anollés, D., and Caetano-Anollés, G. (2012). Biphasic patterns of diversification and the emergence of modules. *Front. Genet.* 3, 147. doi:10.3389/fgene.2012.00147
- Mughal, F., and Caetano-Anollés, G. (2019). Manet 3.0: hierarchy and modularity in evolving metabolic networks. *PLoS One* 14, e0224201. doi:10.1371/journal.pone.0224201
- Ravaszi, E., Somera, A. L., Mongru, D. A., Oltvai, Z. N., and Barabasi, A. L. (2002). Hierarchical organization of modularity in metabolic networks. *Science* 297, 1551–1555. doi:10.1126/science.1073374
- Sabrin, K. M., and Dovrolis, C. (2017). The hourglass effect in hierarchical dependency networks. *Netw. Sci. Camb. Univ. Press.* 5, 490–528. doi:10.1017/nws.2017.22
- Simon, H. A. (1962). The architecture of complexity. *Proc. Am. Phil. Soc.* 106, 467.
- Stadler, T., Rabosky, D. L., Ricklefs, R. E., and Bokma, F. (2014). On age and species richness of higher taxa. *Am. Nat.* 184 (4), 447–455. doi:10.1086/677676
- Trépanier, S. (2019). Presocratics and Papyrological Tradition.” in *Empedocles on the origin of plants*. Editors C. Vassallo (Berlin and Boston: De Gruyter), 271
- Trépanier, S. (2017). Empedocles, on nature 1.273–287. *Mnemosyne* 70, 562–584. doi:10.1163/1568525x-12302170
- Trépanier, S. (2000). The structure of empedocles' fragment 17. *Essays Philosophy* 1, 1–15. doi:10.5840/eip2000111
- Van der Ben, N. (1999). The Strasbourg papyrus of empedocles: some preliminary remarks. *Mnemosyne* 52, 525–544. doi:10.1163/156852599323224617
- Vassallo, C. (2019). *Presocratics and papyrological tradition*. Berlin and Boston: De Gruyter.
- Zirkle, C. (1941). Natural selection before the “origin of species”. *Proc. Am. Phil. Soc.* 84, 71.