

1 **Combination of a Sindbis-SARS-CoV-2 spike vaccine and α OX40**
2 **antibody elicits protective immunity against SARS-CoV-2 induced**
3 **disease and potentiates long-term SARS-CoV-2-specific humoral**
4 **and T-cell immunity.**

5
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18 **Running Title: α OX40 potentiates Sindbis-Spike elicited SARS-CoV-2 Immunity**

19

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29 **Abstract**

30 The COVID-19 pandemic caused by the coronavirus SARS-CoV-2 is a major global public threat.
31 Currently, a worldwide effort has been mounted to generate billions of effective SARS-CoV-2
32 vaccine doses to immunize the world's population at record speeds. However, there is still demand
33 for alternative effective vaccines that rapidly confer long-term protection and rely upon cost-
34 effective, easily scaled-up manufacturing. Here, we present a Sindbis alphavirus vector (SV),
35 transiently expressing the SARS-CoV-2 spike protein (SV.Spike), combined with the OX40
36 immunostimulatory antibody (α OX40) as a novel, highly effective vaccine approach. We show
37 that SV.Spike plus α OX40 elicits long-lasting neutralizing antibodies and a vigorous T-cell
38 response in mice. Protein binding, immunohistochemical and cellular infection assays all show
39 that vaccinated mice sera inhibits spike functions. Immunophenotyping, RNA Seq transcriptome
40 profiles and metabolic analysis indicate a reprogramming of T-cells in vaccinated mice. Activated
41 T-cells were found to mobilize to lung tissue. Most importantly, SV.Spike plus α OX40 provided
42 robust immune protection against infection with authentic coronavirus in transgenic mice
43 expressing the human ACE2 receptor (hACE2-Tg). Finally, our immunization strategy induced
44 strong effector memory response, potentiating protective immunity against re-exposure to SARS-
45 CoV-2 spike protein. Our results show the potential of a new Sindbis virus-based vaccine platform
46 to counteract waning immune response that can be used as a new candidate to combat SARS-CoV-
47 2. Given the strong T-cell responses elicited, our vaccine is likely to be effective against variants
48 that are proving challenging, as well as, serve as a platform to develop a broader spectrum
49 pancoronavirus vaccine. Similarly, the vaccine approach is likely to be applicable to other
50 pathogens.

51

52 **1 Introduction**

53 In the ongoing COVID19 pandemic, vaccines play a key role in the strategy to bring SARS-CoV-
54 2 transmission under control. Safety and eliciting a broad-spectrum immune response are
55 paramount for coronavirus vaccine development. Data from vaccine clinical trials and real-world
56 evidence show that available coronavirus vaccines are able to cut the risk of severe COVID19
57 disease and transmission. However, even with first generation vaccines currently being globally
58 administered to reduce transmission and severity of the disease, the emergence of circulating
59 variants has raised major concerns that challenge sustained vaccine efficacy, particularly in the
60 face of waning immunity following vaccination[5; 6; 7; 8; 9; 10; 11]. Recent data have indicated
61 that escape (appearance and spread of viral variants that can infect and cause illness in vaccinated
62 hosts) protection by vaccines designed against the Wuhan-1 strain is inevitable[8].

63
64 The global COVID19 pandemic is unlikely to end until there is an efficient pan-global roll-out of
65 SARS-CoV-2 vaccines. Though multiple vaccines are currently available, vaccine rollout and
66 distribution at the time of writing this paper is quite incomplete. The three largest countries in the
67 western hemisphere– US, Brazil, and Mexico – have vaccinated 32.7%, 7%, and 6.6% of their
68 populations, respectively, compared to only 2.2% in India [12]. Vaccine distribution to date has
69 been highly non-uniform among these and other countries around the globe, encountering many
70 challenges. Unequal vaccine roll-out and the new B.1.617 variant are highly concerning. Major
71 challenges have been supplies shortages, logistical problems, complex storage conditions, priced
72 affordably, and safety[13]. Consequently, the pandemic is currently sweeping through India at a
73 pace faster than ever before. The countries' second wave became the worst COVID19 surge in the
74 world, despite previous high infection rates in megacities that should have resulted in some
75 immunity. More cost-effective and facilitated delivery of broad-spectrum SARS-CoV-2 vaccines
76 would help improve wide and rapid distribution, which would in turn minimize vaccine-escape.

77
78 Traditionally, vaccines have been designed to induce antibody responses and have been licensed
79 on their capacity to induce high titers of circulating antibody to the pathogen[1]. With increased
80 knowledge of host-virus interactions, it has become clear that the cellular arm of the immune
81 response is also crucial to the efficacy of vaccines against pathogens and to provide appropriate
82 help for antibody induction. Various strategies have emerged that specialize in developing
83 candidate vaccines that solely induce either cellular or humoral responses[1]. However, as most
84 viruses and pathogens reside at some point during their infectious cycle in the extracellular as well
85 as intracellular space, vaccines need to promptly elicit a strong T-cell memory response against
86 intracellular pathogens, so that, at the earliest stages of the infective process, preventing disease
87 can be addressed in coordination with antibodies.

88
89 It has been reported that recovered COVID19 patients consistently generate a substantial CD4+ T
90 (OX40+CD137+) cell response against SARS-CoV-2 spike[3]. SARS-CoV-2-specific CD4+ T-
91 cells produced IL-2 and substantial amounts of IFN γ , hallmarks of Th-1 type effector T-cell
92 polarization. Th-1 type effector T-cells provide critical help for CD8 T-cell priming and conferring
93 cytotoxic T-cell mediated immune protection. The costimulatory molecule OX40 is a member of
94 TNF receptor superfamily (TNFRSF) that is upregulated on activated T-cells shortly after T-cell
95 receptor recognition of specific antigen[15; 16]. It is mainly expressed on CD4+ T-cells, although
96 activated CD8 T also express OX40, albeit at lower levels[17]. Once activated, OX40 receptor is
97 the key molecule for clonal expansion, differentiation and survival of Th1-effector cells and

98 cytokine production. [15; 18; 19; 20; 21; 22]. Although OX40 does not directly initiate T-cell
99 memory formation, it contributes to homeostasis of memory T-cells and enhances effector memory
100 T-cell function[23]. In addition to its role in direct T-cell mediated viral clearance (T-cell
101 immunity), OX40 stimulation is found to cooperate with the inducible costimulating (ICOS)
102 molecule on follicular T helper (Tfh) cells augmenting their amplification and development to
103 coordinate humoral immune response[24]. Antigen-specific activated Tfh cells help B cells
104 produce high affinity antibodies against pathogens and are indispensable for vaccine induced long-
105 lasting humoral immunity by facilitating differentiation of memory B cells and long-lived plasma
106 cells from Germinal Centers (GC)[25; 26; 27]. Therefore, designing a vaccine that could stimulate
107 OX40 would provide a powerful platform for T-cell mediated immunity.

108
109 Alphaviruses have demonstrated strong attributes as a development-and-manufacturing platform
110 for vaccines[5; 6; 7; 8; 9; 10; 11][12]. Particularly, studies with SARS-CoV strains bearing
111 epidemic and zoonotic spike variants are promising[11]. The strength of the use of alphavirus
112 vaccine utilization is the generation of rapid, high level, and transient nature of transgene
113 expression [13]. Importantly, we have shown in our earlier preclinical work[29; 30; 31] that
114 alphavirus vaccine platforms have the advantage to directly deliver antigens and immune
115 modulatory molecules to lymph nodes, where they are expressed transiently to elicit diversified
116 CD4+ and CD8+ T-cell immunity effective at controlling tumors throughout the body. These
117 vectors represent a highly effective self-amplifying mRNA vaccine that can be engineered to
118 express multiple antigens and stimulatory molecules. Within three hours after infection the vector
119 generates hundreds of thousands of mRNA copies within the infected cells and high levels of
120 expression of the transgenes (e.g., the spike antigen and anti-OX40 antibody). At the same time,
121 the transient nature and cytosolic location of RNA improves the safety profile of SV vector-based
122 vaccines. The replication defective nature of our vectors ensures no further transmission of the
123 virus beyond the infected cells[14]. Replication-deficient alphavirus-based vaccines are
124 immunogenic, safe, well tolerated and can be cost-effectively stored and transported using
125 conventional 2-8 °C storage as well as lyophilization.

126
127 Here we describe a new Sindbis Virus (SV) vaccine transiently expressing the SARS-CoV-2 spike
128 protein (SV.Spike), which induces a strong adaptive immunity that fully protects transgenic mice
129 that express the SARS-CoV receptor (human angiotensin-converting enzyme 2 [hACE2]),
130 hACE2-Tg, against authentic SARS-CoV-2 virus infection. In addition, we demonstrate that
131 combination of our vaccine with α OX40 agonistic antibody significantly enhances the induction
132 of immunity by the SV.spike vector. Specifically, seroconversion and abundance of IgG
133 neutralizing antibodies and T-cell immunity through early initiation of Th1-type T-cell
134 polarization are markedly augmented to potentiate long-term immunity protective against SARS-
135 CoV-2 infection in mice. Together these studies develop a safe and effective vaccine platform that
136 provides humoral and cellular immunity to the SARS-CoV-2 spike. This platform has the potential
137 to be applied to other emerging pathogens.

138

139 2 Results

140 2.1 Construction and characterization of Sindbis carrying the SARS-CoV-2-spike

141 We designed and generated a Sindbis alphavirus replicon carrying the SARS-CoV-2 spike mRNA.
142 SV vectors are generated from two plasmids: a replicon and helper (Figure 1 and Supplementary
143 Figure 1). Genes of interest (GOI) can be substituted for the 5kb structural genes that were removed
144 to generate the helper plasmid. The plasmid encoding the structural genes does not contain a
145 packaging signal, preventing further virus assembly beyond the initial preparation of the vectors
146 in BHK-21 cells. Plasmids are transcribed from the T7 promoter and the RNA transcripts are
147 electroporated into BHK-21 cells to produce viral vectors.

148
149 The combination of SV vectors encoding a selected antigen with immunomodulatory antibodies
150 makes them far more effective than they are alone[40; 41; 42]. In particular we have found that
151 combining SV vectors expressing specific antigens with α OX40 generates very potent immune
152 responses capable of eradicating tumors in multiple murine models and conferring long-term
153 protection against tumor recurrences or rechallenges[40].

154 The overall design in the production of Sindbis SARS-CoV-2 spike (SV.Spike) is illustrated in
155 Figure 1 and Supplementary Figure 1. We determined the expression of the full-length SARS-
156 CoV-2 spike from infected cells by western blot in Figure 1B.

157
158 The immune responses induced by the Sindbis SARS-CoV-2 spike (SV.Spike) vaccine candidate
159 were analyzed in C57BL/6J mice. Groups of mice ($n = 5$) were immunized by intraperitoneal (i.p.)
160 route, by prime-boost vaccine strategy with SV.Spike and/or α OX40, with 14 days difference
161 between the two doses (Figure 1C). Activation and priming of T-cells were analyzed by flow
162 cytometry and ELISPOT at day 7, 21 post-immunization (p.i.), while cytotoxic assay and
163 transcriptomic analysis was performed in T-cells isolated at day 7 p.i.. Metabolic activation of T
164 and B cells was tested by Seahorse measurements (Agilent, CA) at day 7 and 21, respectively.
165 Long-term memory T-cell analysis was carried out at day 100 p.i.. The overall antibody responses
166 were measured at all the indicated time points (from day 7 to day 100 p.i.; Figure 1C).

167 168 2.2. Sindbis vaccine-elicited antibodies to SARS-CoV-2 spike

169 Serum IgM, IgG and IgA responses to SV.Spike, SV.Spike+ α OX40, injections were measured on
170 days 21, 75 and 100 days after vaccination by enzyme-linked immunosorbent assay (ELISA)
171 against recombinant SARS CoV2 spike protein[3; 4]. Sera from all of mice tested showed
172 reactivity to recombinant SARS-CoV-2 spike protein and, as might be expected, levels of
173 antibodies varied based on the experimental group and time point. Consistent with previous
174 reports[43; 44; 45], levels of IgM and IgG measured at day 21 and 75 post injection (p.i.) were
175 significantly higher in the mice vaccinated with SV.Spike and combination of SV.Spike+ α OX40
176 than in the mice who had received α OX40 alone or the naïve group (Figure 2A). Moreover, the
177 SV.Spike+ α OX40 group showed higher titers of IgG compared with only SV.Spike treatment, for
178 which IgM was the predominant isotype and did not show seroconversion to IgG over the different
179 time points. Specifically, both SARS CoV2-specific IgG and IgM antibodies demonstrated the
180 highest expression on day 21 post immunization for the indicated groups (IgG-OD450 of 2.3 for
181 SV.Spike+ α OX40 serum, and IgM-OD450 of 1.9 for SV.Spike serum). At days 75 p.i., IgG were
182 still significantly predominant in the sera of the mice immunized with the SV.Spike+ α OX40
183 combination (IgG-OD450 = 1.3), whereas IgM reactivity did not significantly vary from day 21 to
184 day 100 compared with the control groups (Figure 2B). Instead, IgM levels in the SV.Spike mice

185 showed a more significant decrease and less lasting reactivity from days 21 to 75 days p.i. (IgM-
186 OD450 of 1.2) compared to the control group, whereas the IgG trend demonstrated significant
187 high reactivity only at day 21 p.i.. Conversely, IgA levels did not show any significant difference
188 in any of the groups and time points tested (Figure 2A, B). These data support the evidence that
189 immunization of mice with SV.Spike combined with α OX40 elicits a strong and specific immune
190 response, which is predominantly represented by SARS-CoV-2 IgG- specific antibodies.

191

192 **2.3 Anti-SARS-CoV-2 spike neutralizing antibodies induced in Sindbis vaccinated mice** 193 **block the SARS-CoV-2 spike protein from binding to hACE2 receptor proteins.**

194 Immediately after SARS-CoV-2 was identified as the causative agent of the COVID-19 outbreak,
195 it was shown that human ACE2 (hACE2) is the main functional receptor for viral entry[46]. We
196 hypothesized that the virus–receptor binding can be mimicked *in vitro* via a protein–protein
197 interaction using purified recombinant hACE2 and the Spike of the SARS-CoV-2 protein. This
198 interaction can be blocked by virus naturalizing antibodies (NAbs) present in the test serum of
199 vaccinated mice.

200 A competition ELISA assay was developed to detect whether SARS-CoV-2 spike-specific antisera
201 from mice immunized with α OX40, SV.Spike and SV.Spike+ α OX40 could block the interaction
202 between SARS-CoV-2 spike and hACE2. Our assay demonstrated that the specific Spike–hACE2
203 binding can be neutralized by SV.Spike or SV.Spike+ α OX40 sera in a dose-dependent manner,
204 but not by sera from α OX40 alone or naïve groups (Supplementary Figure 2A, B). Similar results
205 are obtained by the intramuscular route (Supplementary Figure 2C). As shown in Figure 3A,
206 antibodies in the antisera from mice immunized with SV.Spike and combination of SV.Spike and
207 α OX40 at day 21 post-immunization significantly inhibited the binding of SARS-CoV-2 spike to
208 hACE2 compared to the sera from naïve mice, indicating that SV.Spike-induced antibodies could
209 strongly neutralize SARS-CoV-2 infection by blocking the binding of Spike protein on the surface
210 of SARS-CoV-2 to hACE2.

211

212 To investigate whether the neutralizing antibody response in immunized mice could maintain a
213 high level for a longer period of time, we tested the neutralization activity of mice sera at 75 days
214 post-immunization. The results showed that, although the overall antibody neutralizing capacity
215 decreased compared to day 21, antibodies from SV.Spike and SV.Spike+ α OX40 groups still
216 significantly competed for the binding of the SARS-CoV-2 spike and hACE2 (Figure 3B),
217 indicating that our SV.Spike vaccine is able to induce relative long-term neutralizing antibody
218 responses.

219

220 Next, we investigated if the serum from mice immunized with SV.Spike could inhibit the cell
221 membrane fusion process for viral entry[47; 48; 49]occurring upon the binding of SARS-CoV-2
222 spike Receptor Binding Domain (RBD) fragment to the ACE2 receptor on target cells. To establish
223 an assay for measuring SARS-CoV-2-spike-mediated cell–cell fusion, we employed 293T cells (a
224 highly transfectable derivative of human embryonic kidney 293 cells, that contain the SV40 T-
225 antigen) expressing both SARS-CoV-2 spike and enhanced green fluorescent protein (EGFP) as
226 effector cells and 293T cells stably expressing the human ACE2 receptor (293T/ACE2) as target
227 cells. Notably, when the effector cells and the target cells were co-cultured at 37°C for 6 h and 24
228 h, the two types of cells started to fuse at 6 h, exhibiting a much larger size and multiple nuclei
229 compared to the unfused cells. These changes were more significant at 24 h, resulting in hundreds
230 of cells fused as one large syncytium with multiple nuclei that could be easily seen under both

231 light and fluorescence microscopy (Supplementary Figure 3). The cell fusions were observed in
232 the cells transfected with SARS-CoV-2 spike but not SARS-CoV Spike, whereas those cells
233 transfected with EGFP only did not elicit such an effect, confirming that CoV-2 Spike-hACE2
234 engagement is essential for viral fusion and entry.

235
236 To determine whether the serum of mice immunized with SV.Spike can block Spike protein-
237 mediated cell–cell fusion, we incubated the effector cells with serum from Naïve, SV.Spike and/or
238 α OX40 mice (diluted 1:100) at 37 °C for 1 h and then we co-cultured them with the 293T/ACE2
239 target cells. We found that not only were fewer fusing cells observed, but also the size of fused
240 cells were visually smaller in the groups of SARS-CoV-2-spike/293T effector cells pre-incubated
241 SV.Spike with or without α OX40 sera compared to controls (Figure 3C). Quantification of fused
242 cells per field in at least four randomly selected fields revealed a remarkably lower number of cell–
243 cell fusions in both SV.Spike and SV.Spike+ α OX40 groups compared to all the other groups.
244 Moreover, SARS-CoV-2 spike-mediated cell–cell fusions were significantly inhibited by serum
245 derived from SV.Spike+ α OX40 vaccinated mice, indicating that addition of α OX40 to the
246 vaccination protocol elicits antibodies with enhanced interference of syncytium formation
247 mediated by SARS-CoV-2 infection (Figure 3C, D).

248 The interference of immunized sera NABs with SARS-CoV-2-hACE2 binding was also
249 determined by immunofluorescence experiments performed by culturing 293T/ACE2 cells with
250 recombinant SARS-CoV-2 spike previously incubated with serum from naïve and SV.Spike and
251 α OX40 immunized mice. The binding between Spike and hACE2 expressed on the cell surface
252 was subsequently visualized via confocal fluorescence microscopy (Figure 3E). As expected,
253 Spike incubated with SV.Spike+ α OX40 serum was incapable of binding to hACE2, while the
254 control group showed evident co-localization with hACE2 on the cell surface.

255 Taken together, these data demonstrate that SV.Spike alone and to a greater extent
256 SV.Spike+ α OX40 sera can neutralize SARS-CoV-2 spike-hACE2 interaction and in turn
257 counteract virus entry mediated by cell-membrane fusion.

258
259 **2.4. SV.Spike vaccine prevents infection of SARS-CoV-2 in transgenic hACE2-Tg mice.**

260 The neutralizing activity of serum from vaccinated mice was determined using Luciferase-
261 encoding SARS-CoV-2 spike pseudotyped lentivirus[50; 51] [52] (Supplementary Figure 5A, C),
262 by testing the impact of the serum on the lentivirus transduction. Serial dilutions (1:300, 1:600,
263 1:900: 1:1800, 1:3200 and 1:6400) of mice sera harvested at day 21 and 75 p.i. were incubated
264 with equal amounts of lentivirus for 1 hour at 37 °C, then plated on 293T/ACE2 cells. We then
265 measured the amount of blocked pseudotyped viral particles in infected cells by determining the
266 amount of luminescence reduction, which reflects the level of neutralizing antibody or molecular
267 inhibitors in the sample. The results showed that the antisera could inhibit SARS-CoV-2
268 pseudotype infection in a dose-dependent manner (Supplementary Figure 5), consistent with the
269 result from the antibody neutralization assay (Supplementary Figure 3). Our results demonstrate
270 that sera from SV.Spike with or without α OX40 immunized mice groups resulted in significantly
271 high levels of neutralizing antibodies both at day 21 and 75, since they overcame the pseudotyped
272 lentivirus infectivity inhibition threshold of 30% (Figure 4A, B). Moreover, serum from these mice
273 receiving combination of SV.Spike and α OX40 gave the highest levels of neutralization at day 21
274 after vaccination (95.3% of inhibition), with a slight decrease at day 75 (79% of inhibition). Naïve
275 and α OX40 groups did not develop a neutralizing antibody response (% inhibition < 30%) at the
276 timepoints tested, consistent with their lack of SARS-CoV-2 spike binding antibodies.

277 Recently, hACE2 transgenic (B6(Cg)-Tg(K18-ACE2)2Prlmn/J or hACE2-Tg) mice were used for
278 the development of an animal model of SARS-CoV-2 infection[53]. In order to test pseudotyped
279 lentivirus infectivity rate *in vivo*, we produced a *nLacZ*-encoding lentivirus expressing SARS-
280 CoV-2 spike protein (Supplementary Figure 4B, D) and we evaluated the vector expression
281 following delivery to hACE-Tg mice airways, by administrating a single dose of *nLacZ*-
282 pseudotype to 4-week-old hACE2-Tg mice by intranasal inhalation. After 7 days, the airways were
283 harvested and intact glutaraldehyde-fixed tissues were processed for staining with X-Gal for
284 detection of β -galactosidase activity expressed from the nuclear-localized *lacZ* reporter gene
285 (*nlacZ*; Figure 4C). Positive X-Gal staining observed in airways upon lentivirus intranasal
286 administration indicated the successful SARS-CoV-2-spike lentiviral vector expression and
287 pseudotype delivery in mice airways.

288 In order to investigate the protective effects of SV.Spike vaccination *in vivo*, we subsequently
289 immunized hACE2-Tg mice with the same strategy as used for the C57BL/6J mice (Figure 1D).
290 The hACE2-Tg mice were vaccinated at 0 and 2 weeks and then challenged with pseudotyped
291 SARS-CoV-2 intranasally at day 21 and 75 post-immunization (Figure 4D). The lungs were
292 collected at 7 days post-challenge and pseudotype delivery was tested by X-Gal staining. As shown
293 in Figure 4E, the *nLacZ*-SARS-CoV-2-spike lentivirus could not be detected in the lungs from
294 SV.Spike+ α OX40 immunized mice, while substantially reduced infectious virus burden was still
295 detected in the lungs from SV.Spike treated mice compared with the naïve group at the indicated
296 time points. As expected, lungs from animals treated with α OX40 showed high amount of
297 pseudotype particles, as indicated from the very high signal of X-Gal staining (Figure 4E). Finally,
298 protective immunity was also assessed in young adult vaccinated Tg-ACE2 mice challenged with
299 live SARS-CoV-2 coronavirus. Three weeks after prime and boost vaccination doses, all mice
300 were challenged with 10^4 particles of SARS-CoV-2 via the intranasal (i.n.) route (Figure 4F). We
301 recorded the daily the body weight of each mouse after infection for a total of 14 days and found
302 that the body weights of both SV.Spike and SV.Spike+ α OX40 mice showed a slow decrease at 3-
303 5 days post infection (dpi), with a progressive stabilization and increase of their weight at day 8-9
304 post infection. The naïve group showed a faster decrease during 3–5 dpi (Figure 4G), which led to
305 early mortality around day 8 dpi (Figure 4H). Vaccinated mice did not evidence any signs of
306 disease at the time the experiment was terminated but were culled on day 14 as required by the
307 protocol, which was performed in an ABSL3 facility. Together, these data suggest that
308 combination of SV.Spike and α OX40 vaccine in mice conferred remarkably long-term protection
309 against SARS-CoV-2 infection by eliciting a durable humoral response in mice.

310 **2.5 SV.Spike in combination with α OX40 metabolically reprograms and activates T-cells** 311 **shortly after prime vaccine doses.**

312 Analysis of SARS-COV-2 specific adaptive immune responses during acute COVID-19 identified
313 coordination between SARS-COV-2-specific CD4+ T-cells and CD8+ T-cells in limiting disease
314 severity[54]. We analyzed vaccine elicited T-cell responses in the spleen 7 days after mice received
315 prime doses of SV.Spike and/or α OX40 and compared the initial T-cell response to naïve mice
316 (Figure 5). Spleens of mice were excised and a single cell suspension was stained and analyzed by
317 flow cytometry.

318

319 For a successful vaccine-elicited immune response, differentiation of virus-specific T-cells from
320 the naïve to the effector state requires a change in the metabolic pathways utilized for energy

321 production[55]. Therefore, metabolic profiles of vaccine-induced T-cells are of interest and
322 correlate to vaccine-mediated immunity[56].

323

324 We performed metabolic analysis of isolated T-cells from spleens in an Extracellular Flux
325 Analyzer XFe24 (Seahorse Bioscience) to investigate metabolic changes of T-cells. We found,
326 that combining our SV.Spike vaccine with agonistic α OX40 antibody metabolically rewires T-
327 cells *in vivo* shortly after initial vaccine doses (Figure 5A-D). T-cells freshly isolated from mice
328 on day 7 after first doses with SV.Spike+ α OX40 combination displayed a metabolic shift to a
329 highly bioenergetic state compared to single agent treatment or naïve mice that show a quiescent
330 metabolism (Figure 5A-B). Naïve T-cells are quiescent and characterized by a metabolic program
331 that favors energy production over biosynthesis. Upon T-cell receptor (TCR)-mediated
332 stimulation, T-cells become activated and metabolically reprogrammed. The bioenergetic state of
333 metabolically reprogrammed T-cells is characterized by a strong increase of oxygen consumption
334 rate (OCR), which is a parameter for mitochondrial respiration (Figure 5A), and a strong increase
335 of baseline extracellular acidification rate (ECAR) (Figure 5C), which is measured as a parameter
336 for glycolysis. It has been shown that TCR signaling is directly tied to glycolysis[57]. We found
337 that T-cells isolated from mice vaccinated with SV.Spike+ α OX40 displayed a 3-fold increase of
338 OCR and a 10-fold increase of ECAR compared to naïve and single agent vaccinated mice. T-cells
339 switched to the energetic state ramped up their ATP production (Figure 5D). A metabolic rapid
340 adaptation is further required for effector T-cells cytokine production and signaling. Rapid switch
341 to type-1 cytokine production, such as IFN γ and granzyme B (GrB) in antiviral CD8+ T-cells is
342 more reliant on oxidative phosphorylation[58]. Indeed, immunophenotyping of CD4+ and CD8+
343 T-cells by flow cytometry revealed rapid clonal expansion of CD4+ T and CD8+ T subsets within
344 one week after prime vaccine doses indicated by Ki67 expression on gated CD4+ and CD8+ T-
345 cells. CD4+ T-cells showed the highest expansion increase by 10-fold in the combination
346 vaccinated group compared to naïve and SV.Spike and α OX40 single agent immunized mice
347 (Figure 5E-F). Both T-cell subsets were highly activated, indicated by CD38 and CD44 expression
348 (Figure 5G-J) underlining successful vaccine elicited effector T-cell engagement by our vaccine
349 shortly after initial vaccine doses. Similar results were obtained by the intramuscular route
350 (Supplementary Figure 6).

351

352 **2.6 SV.Spike+ α OX40 vaccinated mice are characterized by a unique T-cell transcriptome** 353 **signature profile after prime vaccine doses.**

354 To reveal the molecular profile of SV.Spike vaccine induced T-cell responses, we isolated T-cells
355 7 days after prime vaccine doses from spleens of mice from SV.Spike and/or α OX40 vaccinated
356 groups and naïve group. We then performed mRNA deep sequencing (RNAseq) and network
357 analysis (Figure 6). Principal-component analysis (PCA) showed a distinct segregation between
358 combined SV.Spike and α OX40 vaccination and all other groups (Figure 6A). These data suggest,
359 that SV.Spike and α OX40 induces a distinct T-cell response. Indeed, we next looked at gene
360 expression profiles of naïve versus SV.Spike and/or α OX40 and we found that naïve versus
361 SV.Spike+ α OX40 markedly showed the highest amount of uniquely upregulated and
362 downregulated total genes with 1,126 upregulated (left) and 328 downregulated transcripts (Figure
363 6B). Overall, in all groups more genes were significantly upregulated than downregulated (Figure
364 6B-C). These data suggest that SV.Spike+ α OX40 changes the transcriptome signature of T-cells.
365 We performed Gene Ontology (GO) functional enrichment analysis (also Gene Set Enrichment
366 Analysis, GSEA) and network analysis from naïve mice versus SV.Spike+ α OX40 (Figure 6D)

367 and naïve versus SV.Spike only (Figure 6E) immunized mice to determine key pathways and
368 intersections of these pathways. The majority of pathways were upregulated in T-cells isolated
369 from mice immunized with SV.Spike+ α OX40 with the exception of one cluster downregulated
370 (ribosomal biogenesis). The upregulated pathways in the combination immunized mice were
371 dominated by immune response, T-cell activation, chemokine/cytokine signaling, immune cell
372 migration, DNA replication, chromosomal organization, cell cycle regulation, and chromatin
373 modification that formed the central nodes of this network (Figure 6D). SV.Spike single agent
374 immunized mice showed a smaller network of seven upregulated pathways including a main
375 cluster of immune response closely connected to a cluster for to B cell engagement, a small cluster
376 of cytokine production, chemotaxis, cell cycle, DNA replication, regulation of ROS (Figure 6E).

377
378 We next identified the top 10 hub GO terms by employing the Maximal Clique Centrality (MCC)
379 for SV.Spike (Figure 6F) and SV.Spike+ α OX40 (Figure 6G) immunized mice. We found that top
380 10 hub GO terms in SV.Spike only immunized mice were a selected network cluster of B cell
381 stimulation and Immunoglobulin regulating pathways compared to the combination that represents
382 a cluster of lymphocyte activation and differentiation regulating pathways. Additionally, we
383 performed Protein Association Network Analysis using STRING to identify differentially
384 expressed genes (DEGs)-encoded protein-protein interactions (PPIs). Significantly upregulated
385 DEGs ($\log_2FC > 2$, $p < 0.05$) in T-cells of SV.Spike and/or α OX40 vaccinated mice compared to
386 naïve were analyzed to assess overrepresentation of Gene Ontology (GO) categories in Biological
387 Processes in all groups (Supplementary Figure 7). GO Biological Processes (Strength ≥ 1 ; $p < 0.05$)
388 identified by STRING for each group were assigned to one of 7 clusters (apoptosis, light green;
389 cell cycle, red; cellular signaling, dark blue; chemokines/chemotaxis, yellow; cytokines, pink;
390 immune response, light blue; mitochondrial ATP production, dark green). Each GO Biological
391 Process term is defined by one gene set. The amount of contributing DEGs from mice immunized
392 with SV.Spike and/or α OX40 in each gene set is shown as percentage. We identified fourteen
393 biological processes for α OX40, thirteen for SV.Spike and forty-five for the combination vaccine
394 strategy. We found cell-cycle related processes solely in the SV.Spike+ α OX40 combination. The
395 highest amount of chemokines/chemotaxis related processes was observed in the combination
396 (eleven) compared to α OX40 (four) and SV.Spike (four) alone. Six cytokines related pathways
397 were upregulated in the combination versus SV.Spike (one) and α OX40 (two) and fourteen
398 immune response related terms were upregulated in the combination versus SV.Spike (four) and
399 α OX40 (three). Overall, the percentage of DEGs that contribute to each biological process was
400 highest in the combination vaccinated group compared to SV.Spike and α OX40 alone. Top 20
401 ranking of selectively enriched GO terms in the GSEA (FDR < 0.05) revealed (GO)
402 immunoglobulin production in the SV.Spike group (Figure 6H) and (GO) response to chemokine
403 in the combination immunized mice group (Figure 6I, J). We analyzed expression of single
404 signature gene transcripts for each immunized mouse group. We found the highest upregulation of
405 DEGs ($p < 0.05$) indicating T-cell dependent B cell stimulation for building up humoral immunity
406 against SARS-CoV-2 (*ICos*, *Cxcr5*, *Il21*, *Cxcl13*), differentiation of Th-1 type effector T-cells
407 associated with vaccine effectiveness (*Tnfrsf4*, *Cd44*, *ICos*, *Cxcr3*, *Ccr5*, *Il2*, *Ifng*, *Tbx21*, *Ccl3*,
408 *Ccl4*, *Ccl9*) and antiviral cytotoxic T-cell stimulation for T-cell immunity (*Gzma*, *Gzmb*, *Gzmk*) in
409 the SV.Spike+ α OX40 immunized mice compared to single agent treated groups (Figure 6H).

410

411 In conclusion, these findings indicate that synergistic SV.Spike+ α OX40 vaccine combination
412 successfully changes the transcriptome profile of T-cells that is indispensable for building up
413 humoral and T-cell immunity.

414

415 **2.7 CD4⁺ T-cell help promotes effector differentiation of cytotoxic T-cells.**

416 SARS-CoV-2-specific T-cells are associated with protective immune responses[54]. Th1- type
417 differentiated effector CD4⁺ T helper cells promote the development of CD8⁺ T-cells into anti-
418 viral cytotoxic T lymphocytes (CTLs) and functional memory T-cells that can be quickly
419 mobilized to directly kill SARS-CoV-2 early on upon re-infection preventing disease in
420 coordination with SARS-CoV-2 specific humoral immune responses. CD4⁺ T helper cells are
421 critical for success of vaccines and generally work by providing cytokines. We performed flow
422 cytometry analysis to investigate CD4⁺ T helper differentiation, formation and antiviral cytotoxic
423 effector T-cell differentiation in T-cells from SV.Spike and/or α OX40 immunized animals (Figure
424 7). Chemokine receptors help with the recruitment of type 1 effector and cytotoxic T-cells to
425 tissues and lymphoid organs, site- specific activation of memory T-cells and T-cell clustering
426 around activated antigen presenting cells (APCs). For example, virus-specific cytotoxic T
427 lymphocytes (CTLs) are quickly recruited to influenza-infected lungs by a Th1 response,
428 specifically due to the production of IFN γ [59]. Vaccines mimicking an infection can help to build
429 up tissue specific immunity. Two of these Th1-type effector T-cell chemokine receptors are
430 CXCR3 and CX3CR1. We found a significant increase of CXCR3 and CX3CR1 positive
431 expressing CD4⁺ T-cells (Figure 7A, B) from spleens 7 days after administration of prime vaccine
432 doses in the SV.Spike+ α OX40 immunized mice group indicating effective recruitment and
433 mobility of generated Th1-type effector T-cells. Immunophenotyping by flow cytometry revealed
434 a 2-fold increase of the transcription factor Tbet and immune costimulatory molecule ICOS-
435 double-positive Th1-type effector CD4⁺ T-cells compared with single agent vaccinated mice.
436 Tbet⁺ ICOS⁺ are hallmarks of Th1-type T-cell polarization (Figure 7C, D).

437

438 The predominant pathway used by human and murine CD8⁺ T-cells to kill virus-infected cells is
439 granule exocytosis, involving the release of perforin and GrB. It is known from influenza vaccine
440 research that GrB correlates with protection and enhanced CTL response to influenza vaccination
441 in older adults[60]. We looked at CTLs after day 7 of prime doses and found that combination
442 immunization significantly increased differentiation of CTLs indicated by GrB \pm expression
443 (Figure 7E-H) and perforin (Figure 7I-J) upregulation within one week after initial vaccine doses.
444 Seven days after mice groups received booster doses that were administered on day 14, we found
445 a robust 10-fold upregulation of GrB⁺ positive CD8⁺ T-cells indicating successful vaccine elicited
446 differentiation of cytotoxic T-cells (Supplementary Figure 8).

447

448 Interestingly, it has been reported that cytotoxic CD4⁺ T-cells can compensate for age related
449 decline of immune cell protection such as B cell loss and a less robust antibody response[61].
450 Strikingly, we found in SV.Spike+ α OX40 immunized mice showed a significant increase of
451 cytotoxic CD4⁺ T-cells indicating that our vaccine not only induced Th1-type CD4⁺ T helper
452 functions but has the potential to improve direct CD4⁺ T-cell mediated virus-killing, thus, adding
453 an extra layer to immune protection against SARS-CoV-2 in more vulnerable older populations.
454 One important early feature of response to the SV.Spike+ α OX40 immunization is a strong
455 interferon-gamma (IFN γ) secretion (Figure 7K), which is associated with polarization to Th1-type
456 effector cells and cytotoxic T-cells. In order to investigate the recruitment and specificity in CTLs

457 to prevent SARS-CoV-2 cell entry, we analyzed the potential of T-cells isolated from SV.Spike
458 and/or α OX40 immunized and naïve mice on day 7 after prime doses to block the infection of
459 293T cells with SARS-CoV-2-spike expressing, luciferase-encoding pseudovirus. VSVG
460 expressing, luciferase-encoding pseudovirus was used as control. We found that splenic T-cells
461 from SV.Spike and SV.Spike+ α OX40 mice potently inhibited infection with SARS-CoV-2
462 pseudotyped lentivirus (Figure 7L) compared to control (Figure 7M). In conclusion,
463 SV.Spike+ α OX40 activated T-cells display a Th-1 effector phenotype that promotes effector
464 differentiation and direct T-cell mediated cytotoxicity against SARS-CoV-2 spike within one week
465 after prime vaccine doses.

466

467 **2.8 SV.Spike in combination with α OX40 drives metabolic activation of B cells and T-cell** 468 **dependent B cell support.**

469 Almost all durable neutralizing antibody responses as well as affinity matured B cell memory
470 depend on CD4⁺ T-cell helper. GSEA of RNAseq data between T-cells from the
471 SV.Spike+ α OX40 vaccinated and naïve group one week after prime vaccine doses revealed
472 selective enrichment of the gene set characteristic for activation of B cells (Figure 8A) ($p < 0.05$).
473 To test if SV.Spike combination with α OX40 selectively regulates T-cell dependent B cell
474 activation, we investigated CD4⁺ T-cell activation and differentiation in mice vaccinated with
475 SV.Spike and/or α OX40 one week after booster vaccine doses by flow cytometry analysis. We
476 found that SV.Spike+ α OX40 immunized mice had a 3-fold significant increase of overall
477 CD44⁺positive splenic CD4⁺ T-cells compared to naïve mice (Supplementary Figure 9). We next
478 analyzed follicular CD4⁺ T helper (Tfh) cells that are a subset of CD4⁺ T-cells required for most
479 IgG responses promoting high-quality neutralizing antibodies and we found a 3-fold increase of
480 ICOS⁺CXCR5⁺ (Figure 8B, C) and a 2 fold increase CD44⁺CXCR5⁺ (Figure 8D, E) positive
481 CD4⁺ T-cells in splenocytes from the SV.Spike+ α OX40 group indicating Tfh cell differentiation.
482 We isolated B cells from spleens and performed a metabolic flux analysis on day 21 after initial
483 vaccine doses and we found that isolated B cells from SV.Spike+ α OX40 immunized mice were
484 metabolically reprogrammed indicating potent vaccine elicited B cell activation. Activated B cells
485 in the combination immunized group experienced a 2.5-fold increase in mitochondrial respiration
486 (Figure 8F, G) and glycolysis (Figure 8G, H) when compared to B cells isolated from mice spleens
487 that were vaccinated with a single agent or compared to naïve mice. Association analysis of the
488 frequencies of Tfh cells with SARS-COV-2 spike IgG antibody titers revealed that Tfh cells
489 positively correlated with the SARS-CoV-2 spike IgG serum levels in the SV.Spike ($R^2 = 0.9722$,
490 $P = 0.002$) and SV.Spike+ α OX40 group ($R^2 = 0.83$, $P = 0.0290$) with the highest amounts of IgG
491 antibodies and Tfh cells in the combination (Figure 8I). Taken together, these results indicate
492 SV.Spike+ α OX40 vaccine induced the most potent T-cell dependent B cell response.

493

494 **2.9 Combination of SV.Spike and α OX40 promotes robust T-cell specific immune response** 495 **in lungs.**

496 Most vaccines for airborne infectious diseases are designed for delivery via the muscle or skin for
497 enhanced protection in the lung. We investigated if SV.Spike vaccine-induced T-cells can readily
498 home most efficiently to the lungs prior to and shortly after pathogen exposure. To address the
499 immune responses in the lungs, we immunized mice with SV.Spike and/or α OX40 and excised
500 PBS-perfused lungs one week after booster doses for single cell suspensions and performed flow
501 cytometry staining (Figure 9, Supplementary Figure 9). We found an increase of ICOS⁺ CXCR5⁺
502 double-positive T helper cells indicating presence of B cell supporting Tfh cells in the SV.Spike

503 single agent and combination immunized group. We further found an increase of Th-1 type effector
504 CD4⁺ T-cells in lungs from combination treated mice indicated by expression of ICOS⁺Tbet⁺
505 double-positive effector CD4⁺ T-cells (Figure 9C, D). We next investigated if effector CTLs were
506 successfully recruited into the lungs after 3 weeks of initial vaccine administration. While we
507 found the highest increase of differentiated cytotoxic CD4⁺ T and CD8⁺ T-cells in lungs from the
508 combination treated group (Figure 9E- H, Supplementary Figure 8), we observed a significant
509 increase of differentiated cytotoxic CD8⁺ T-cells homing in the lungs of the SV.Spike single agent
510 immunized group, although this increase was less pronounced compared to the combination group.
511 These data indicate a successful recruitment of vaccine mediated antiviral Th1-type effector T-
512 cells to the lungs.

513

514 **2.10 SV.Spike and α OX40 promotes CD4⁺ T-cell memory formation and long-term** 515 **protection upon re-challenge with SARS-CoV-2 spike antigen.**

516 Boosting both, local and systemic memory T-cell response is a useful strategy to achieve long term
517 immunity. We analyzed development of T-cell memory in spleens fourteen weeks after initial
518 prime vaccine doses of SV.Spike and/or α OX40 prime-boost immunized mice by flow cytometry.
519 We found that mice in the SV.Spike+ α OX40 combination group developed significant effector
520 CD4⁺ T memory indicated by CD44⁺ CD62L⁺ double-positive CD4⁺ T-cells (Figure 10 A-C)
521 compared with naïve mice, reiterating the importance of the combination vaccination in generating
522 strong immune responses memory protection from infection and/or disease against SARS-CoV-2.

523

524 To further explore the long-term protection efficacy of our SV.Spike vaccine against SARS-CoV-
525 2 virus challenge, C57BL/6J mice (n = 5 each group) received prime and boost immunizations of
526 SV.Spike and/or α OX40 and placebo (naïve group) via the i.p. route. At day 100 post-
527 immunization, we additionally administered one dose of SV.Spike, to recapitulate Spike antigen
528 endogenous entry through SV vector injection (Figure 11A). Spleens or sera from re-challenged
529 mice were collected 3 days after SARS-CoV-2 spike antigen injection and processed for T-cell
530 response analysis (Figure 11B-F, Supplementary Figure 10) and detection of specific anti-spike
531 protein IgA, Ig and IgG isotypes by ELISA (Figure 11G). The SARS-CoV-2 pseudotyped
532 lentivirus infectivity assay revealed that mice immunized with SV.Spike or SV.Spike and α OX40
533 are effective in reactivating circulating cytotoxic T-cells (CTLs) upon challenge with Spike
534 antigen (Figure 11B). CTLs reactivation was also observed by flow cytometry as indicated by
535 granzyme B upregulation in mice receiving combination vaccination (Figure 11C, D). Moreover,
536 immunophenotyping analysis showed that CXCR5-ICOS-double-positive Th1-type effector
537 CD4⁺ T-cells were strongly rebooted in re-challenged mice receiving SV.Spike combination
538 vaccination compared to the same group of unchallenged mice (Figure 11E, F).

539

540 Antibody response analysis showed that immunization with SV.Spike or SV.Spike+ α OX40
541 followed by Spike antigen injection induced strong production of IgM antibodies compared to the
542 mice which did not received the antigen and the Naïve groups, and that was particularly evident in
543 mice vaccinated with SV.Spike (Figure 11G). Strikingly, we noticed that combination of SV.Spike
544 and α OX40 followed by challenge with antigen stimulated a high peak of Spike-specific IgG
545 antibodies levels, which were about 4 times higher than the IgG levels of unchallenged mice and
546 control group. No significant difference in the Spike-specific IgG response was detected in
547 SV.Spike or single α OX40 re-challenged mice compared to the respective unchallenged mice and
548 the control groups, whereas no SARS-CoV-2 spike-specific IgA were not detected in any of the

549 groups (Figure 11G). Together, these data suggest that combination vaccination with SV.Spike
550 and α OX40 conferred remarkably long-term and specific protection against SARS-CoV-2
551 infection by eliciting a durable humoral and T-cell response.
552

553 3 Discussion

554 The COVID-19 pandemic has placed substantial pressure on health systems to deliver an effective,
555 and scalable vaccine that can be produce in hundreds of millions of doses. New vaccine platforms,
556 reverse genetics, computational biology, protein engineering and gene synthesis facilitated this
557 effort with successful production of several vaccines with that met these goals[62]. Over 162
558 candidates are undergoing preclinical development of which 53 already in clinical development
559 (WHO [https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-](https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines)
560 [vaccines](https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines)) and several have been administered to significant, if vastly incomplete, number of
561 people. The latter include vaccine platforms based on DNA or RNA (Moderna[43], CureVac,
562 BioNTech/Pfizer[63] adenovirus vector-based vaccines (CanSinoBIO[64], University of
563 Oxford/AstraZeneca[65], Janssen Pharmaceutical Companies), inactivated vaccines (Sinopharm
564 and Sinovac, Wuhan Institute of Biological Products), and protein subunit vaccines (Sanofi
565 Pasteur/GSK, Novavax[66], Clover Biopharmaceuticals/GSK/Dynavax).

566
567 Despite promising results of early clinical trials of several vaccine candidates against SARS-CoV-
568 2, there are still concerns regarding both safety and durability of the immune responses.
569 Consequently, it is necessary to develop additional and improved vaccine candidates. An ideal
570 vaccine against SARS-CoV-2 would be effective after one or two immunizations, conferring long-
571 term protection to target populations such as the elderly or immunocompromised individuals, and
572 reducing onward transmission of the virus to contacts[65]. It would protect against a broad range
573 of coronaviruses and evolving variants, i.e., offer pancoronavirus protection. The benefit of
574 developing such a vaccine would be even greater if it were available to be rapidly deployed in time
575 to prevent repeated or continuous epidemics, economical and readily distributable worldwide
576 without temperature constraints that limit access. This supports the use of alphavirus vaccine
577 platforms that are rapid and straightforward to produce inexpensively, with less challenging
578 temperature requirements, and with previously proven safety and efficacy.

579
580 The alphavirus-based replicon platform technology has been developed as vaccine candidates for
581 many different infectious diseases, including influenza A virus (IAV), respiratory syncytial virus
582 (RSV)[67; 68] Ebola (EBOV), hepatitis C virus (HCV), chikungunya (CHIKV, now in phase
583 III)[69; 70] HIV (now in phase I), human papilloma virus (HPV, now in therapeutic phase II)[71].
584 Given the generic design of these platform and that new constructs can be made rapidly with
585 synthetic design of the insert, it can be readily adapted to SARS-CoV-2 as we have demonstrated
586 here. Moreover, when new virus species emerge, a vaccine platform that can be rapidly adapted to
587 emerging viruses is highly desirable.

588
589 Sindbis virus and other alphaviruses have a natural tropism for lymphatic tissues and dendritic
590 cells, relative resistance to interferon, high expression levels, lack of pre-existing anti-vector
591 immunity in most human and animal populations, and efficient production of methodology in cell
592 lines, with an accepted regulatory pedigree[72]. These observations indicate that a vaccine
593 platform based on Sindbis virus vectors could contribute significantly to dealing with current and
594 future vaccine needs. SV vectors constitute a novel alphavirus development platform that can be
595 readily adapted to new pathogens and block emerging future pandemics early on in outbreaks. In
596 nature SV has the safest profile among alphaviruses. SV is an RNA virus without replicative DNA
597 intermediates and poses no risk of chromosomal integration or insertional mutagenesis. Hence, its
598 presence is transitory. To avoid even transient adverse effects, our vectors have been attenuated

599 by splitting the SV genome and by removing the packaging signal from the genomic strand that
600 encodes the structural genes. Moreover, the combination of SV vectors with immunomodulatory
601 antibodies like α OX40 makes them extremely effective.

602
603 Neutralizing antibodies (NAbs) have conventionally been the desired outcome of vaccination, as
604 they are capable of intercepting and neutralizing microbes and their components as well as eliciting
605 destructive anti-microbial innate immune responses[73]. Nonetheless, humoral immunity can
606 decline over time and, as seen with influenza, can only last as short as one season. Many newer
607 vaccines and vaccines in development are also designed to generate T-cell responses that have the
608 potential to help the antibody response, promote long-term immune memory, have direct effector
609 functions themselves, or activate innate effector cells such as macrophages and neutrophils[45;
610 74].

611
612 Here, we developed a Sindbis-based Spike-encoding RNA vaccine against SARS-CoV-2 and
613 demonstrated that immunization with SV vector expressing SARS-CoV-2 spike along with a
614 costimulatory agonistic α OX40 antibody induced a synergistic T-cell and antibody response and
615 provided complete protection against authentic SARS-CoV-2 challenge in hACE2 transgenic
616 mice. Our adaptable approach has the potential to boost tissue specific immunity and immune
617 memory against respiratory viruses and aims to develop vaccines that could protect for several
618 seasons or years. As a viral vector, we found that a Sindbis vector expressing SARS-CoV-2 spike
619 antigen in combination with α OX40 markedly improves the initial T-cell priming, compared with
620 the viral vector alone, which results in a robust CD4+ and CD8+ T-cell response and stable SARS-
621 CoV-2 specific neutralizing antibodies. The vaccine efficiently elicits effector T-cell memory in
622 respiratory tissues with a potential for long lasting protection against COVID19, which might
623 extend for several years, through multiple beneficial mechanisms. It protects against infection with
624 authentic, live SARS-CoV-2 preventing morbidity and mortality.

625
626 It has been shown that α OX40 controls survival of primed CD8+ T-cells and confers CTL-
627 mediated protection[31; 75]. CTLs are a critical component of the adaptive immune response but
628 during aging, uncoordinated adaptive responses have been identified as potential risk factors that
629 are linked to disease severity for the outcome of COVID19 patients. It is known from influenza
630 vaccine research that Granzyme B correlates with protection and enhanced CTL response to
631 influenza vaccination in older adults. We looked at cytotoxic T-cells (CTLs) and found that
632 combination vaccination significantly increased CD8+ cytotoxic T-cells indicated by granzyme B
633 and perforin upregulation. Almost all durable neutralizing antibody responses as well as affinity
634 matured B cell memory depend on CD4+ T helper cells. We found in combination vaccinated mice
635 a significant increase of cytotoxic CD4+ T-cells indicating that our vaccine not only induced CD4+
636 T helper functions but has the potential to improve direct CD4+ T mediated virus-killing adding
637 an extra layer to long-term immunity/protection in more vulnerable older populations.

638
639 Virus-specific CTL are quickly recruited to influenza-infected lungs by a Th1 response,
640 specifically due to the production of IFN γ [59]. IFN γ regulates various immune responses that are
641 critical for vaccine-induced protection and has been well studied[76; 77]. In a clinical trial of the
642 now approved BNT162b1 IFN γ secreting T-cells increased in participants 7 days after boost [45].
643 In this regard, one important early feature of the response to the SV.Spike+ α OX40 immunization
644 is a strong interferon-gamma (IFN γ) secretion. We found a significant increase of CXCR3 and

645 CX3CR1 positive expressing CD4⁺ T-cells, indicating effective recruitment and mobility of
646 generated effector Th1 type T-cells in mice. This recruitment positively correlates with vaccine
647 induced long-term immune protection and generation of neutralizing antibodies against SARS-
648 CoV-2.

649

650 Both humoral and cell-mediated immune responses have been associated with vaccine-induced
651 protection against challenge or subsequent re-challenge after live SARS-CoV-2 infection in recent
652 rhesus macaque studies [78; 79] and there is mounting evidence that T-cell responses play an
653 important role in COVID-19 mitigation[3; 80; 81]. We demonstrated that two doses of SV.Spike
654 with or without α OX40 candidate vaccines induced neutralizing antibody titers in all immunized
655 mice, with a strong IgG response in the mice receiving combination vaccination. Moreover, our
656 data show that SV.Spike+ α OX40 skewed Tfh cells toward CXCR5⁺ Tfh differentiation, which
657 positively correlated with the magnitude of IgG isotype response. These findings indicate that the
658 induction of CXCR5⁺ Tfh cell differentiation through vaccination may be beneficial for eliciting
659 broad and specific NAb responses. Importantly, the synergistic activity of combination vaccination
660 elicited antibodies that were able to efficiently neutralize SARS-CoV-2 pseudotyped lentivirus in
661 all the mice tested. In addition, we show SV-Spike-based re-challenge in mice immunized with
662 combination vaccination led to enhanced cytotoxic reactivation of T-cells and increased IgG
663 seroconversion and response, and provided protection against re-challenge, reiterating the
664 importance of the involvement of both humoral and cellular immune responses in SARS-CoV-2-
665 mediated immunity.

666

667 The SV.Spike platform evaluated in this study has the advantage that it is inexpensive, stable, easy
668 to produce. Cost projections based on using our upstream and downstream processes for
669 production of a SV based vaccine are in line with or below costs per dose for other vaccines in use
670 today. Moreover, unlike other mRNA vaccine candidates this viral platform does not require a
671 cold-chain during transportation and storage. It can be easily reconstitute after lyophilization
672 process and is suitable for rapid adaptation such that potential new viruses/threats in an emerging
673 outbreak can be rapidly targeted[82]. Thus, for emerging pathogens like SARS-CoV-2, the SV
674 platform can be an efficient and cost-effective alternative to the traditional large-scale antigen
675 production or technology platforms that require extended time for implementation. Development
676 of a successful SV vector vaccine is readily translatable into human vaccination efforts.

677

678 As shown in this study, SV.Spike can be applied alone or can be combined with
679 immunomodulatory reagents like α OX40 in a remarkably efficient prime-boost regimen. Our goal
680 is to exploit the combined SV.Spike + α OX40 formulation and integrate the two components into
681 a single vector, to further facilitate administration and immunomodulatory response. Our lab has
682 recently demonstrated that the expression of full-length antibodies from SV vectors is feasible and
683 effective and that we can also integrate a third gene of interest such as an antigen or a cytokine
684 (unpublished). Taken together, these data provide an insight into antigen design and preclinical
685 evaluation of immunogenicity of SV-based vaccines, and support further development of SV.Spike
686 as a vaccine candidate for protection against COVID-19 and further to generate a pancoronavirus
687 vaccine.

688

689 4 Material and Methods

690 4.1 Cell lines

691 Baby hamster kidney (BHK) and 293T-cell lines were obtained from the American Type Culture
692 Collection (ATCC). 293T/ACE2 cell line was obtained from BEI Resources.

693

694 BHK cells were maintained in minimum essential α -modified media (α -MEM) (Corning CellGro)
695 with 5% fetal bovine serum (FCS, Gibco) and 100 mg/ml penicillin-streptomycin (Corning
696 CellGro). 293T and 293T/ACE2 cells were maintained in Dulbecco's modified Eagles medium
697 containing 4.5 g/l Glucose (DMEM, Corning CellGro) supplemented with 10% FCS, 100 mg/ml
698 penicillin-streptomycin. All cell lines were cultured at 37 °C and 5% CO₂.

699

700 4.2 SV Production

701 SV.Spike expressing vector was produced as previously described[38; 39; 83; 84]. Briefly,
702 plasmids carrying the replicon (pT7-SV-Spike) or T7-DMHelper RNAs were linearized with XhoI.
703 In vitro transcription was performed using the mMessage mMachine RNA transcription kit
704 (Invitrogen Life Sciences). Helper and replicon RNAs were then electroporated into BHK cells
705 and incubated at 37°C in α MEM supplemented with 10% FCS. After 12 hours, the media was
706 replaced with OPTI-MEM (GIBCO-BRL) supplemented with CaCl₂ (100 mg/l) and cells were
707 incubated at 37°C. After 24 hours, the supernatant was collected, centrifuged to remove cellular
708 debris, and frozen at -80°C. Vectors were titrated as previously described [85].

709

710 4.3 Pseudotyped Lentivirus Production

711 SARS CoV-2 pseudotyped lentiviruses were produced by transfecting the 293T cells with the
712 pLenti-Puro vectors (Addgene) expressing Luciferase or β -Galactosidase, with pcDNA3.1 vector
713 expressing SARS-CoV-2 spike (BEI repository) and the helper plasmid pSPAX2 (Addgene). The
714 VSV-G and empty lentiviruses were produced by replacing pCDNA3.1-Spike with pcDNA3.1-
715 VSV-G or pCDNA3.1 empty vector, respectively (Addgene). The transfections were carried out
716 using the Polyethylenimine (PEI) method with the ratio at PEI:pLenti:pcDNA3.1-
717 Spike:pSPAX2 = 14:2:2:1 or PEI:pLenti:pVSV-G/pcDNA3.1:pSPAX2 = 10:1:0.5:3. The virus-
718 containing medium was harvested 72 hours after transfection and subsequently pre-cleaned by
719 centrifugation (3,000 g) and a 0.45 μ m filtration (Millipore). The virus-containing medium was
720 concentrated by using a LentiX solution (TakaraBio) a 10:1 v/v ratio and centrifuged at the
721 indicated RCF at 4 °C. After centrifugation, the supernatant was carefully removed and the tube
722 was drained on the tissue paper for 3 minutes. Dulbecco's modified Eagles medium containing 4.5
723 g/l Glucose (DMEM) was added to the semi-dried tube for re-suspension and then stored at -80
724 °C.

725

726 4.4 Detection of SARS-CoV-2 spike pseudotyped lentivirus infectivity

727 *Luciferase*- and *nLacZ*-encoding SARS CoV-2 Spike or VSV-G pseudotyped lentivirus titers were
728 determined making serial dilutions of the vectors in DMEM and infect 293T/ACE2 cells pre-plated
729 in 96-well culture plates (10⁴ cells/well) and 24h later, fresh media was added. For *Luciferase*-
730 encoding pseudotype, cells were lysed 72h later using cell lysis buffer and lysates were transferred
731 into fresh 96-well luminometer plates, where luciferase substrate was added (Thermo Fisher), and
732 relative luciferase activity was determined (Supplementary Figure 4C). For *nLacZ*-encoding
733 pseudotypes, cells were washed with PBS and stained for 16h at 37 °C with X-Gal Solution [1
734 mg/ml X-Gal in PBS (pH 7. 0) containing 20 mM potassium ferricyanide, 20 mM potassium

735 ferrocyanide and 1mM MgCl₂] (Supplementary Figure 4D). Vector titers refer to the number of
736 infectious particles (transducing units per milliliter of supernatant [TU/mL] and were estimated as
737 the last dilution having detectable reporter activity. Correct assembling of pseudotypes was
738 assessed by western blot following standard protocol, to detect the expression of SARS-CoV-2-
739 spike and p24 proteins. SARS-CoV-2 spike (BPS Bioscience) and p24 (Abcam) recombinant
740 proteins were used as positive controls (Supplementary Figure 4A, B).

741

742 **4.5 *In vivo* experiments**

743 All experiments were performed in accordance with protocols approved by the Institutional
744 Animal Care and Use Committee of New York University Grossman School of Medicine. Six to
745 12-week old female C57BL/6J albino mice (B6(Cg)-Tyr^{c-2J}/J, Cat#000058) and Hemizygous
746 (B6(Cg)-Tg(K18-ACE2)2Prlmn/J; Cat#034860) (hACE2-Tg) mice expressing the human ACE2
747 receptor or non-carrier controls were purchased from Jackson Laboratory.

748

749 **4.6 ABSL3 experiments using SARS-CoV-2 Coronavirus**

750 Three weeks after prime and boost vaccination doses, hACE2-Tg and non-carrier control mice
751 were challenged with 10⁴ pfu particles of SARS-CoV-2 Coronavirus via the intranasal (i.n.) route
752 (Figure 4F). We recorded daily the body weight of each mouse after infection for a total of 14
753 days. The New York University Grossman School of Medicine (NYUSOM) Animal Biosafety
754 Level 3 (ABSL3) Facility, located on the third floor of the Alexandria Center for Life Science
755 West Tower, is a 3,000 sq. ft. high-containment research facility under the responsibility of the
756 Office of Science & Research and its Director of High-Containment Laboratories. It has been
757 designed and it is operated in compliance with the guidelines of the Centers for Disease Control
758 and Prevention (CDC) and the National Institutes of Health (NIH). All research and non-research
759 operations are governed by institutional standard operating procedures (SOPs). As per those SOPs,
760 all users undergo specific training and require medical and respiratory protection clearance. The
761 facility and its SOPs are re-certified by an outside consultant on a yearly basis. The NYUSOM
762 ABSL3 has also been registered with the Department of Health and Mental Hygiene of the city of
763 New York since March 2017.

764

765 **4.7. Mouse vaccination and serum collection**

766 Mice were i.p. immunized with SV.Spike (10⁷ TU/ml) in a total volume of 500 µl was injected i.p.
767 into the left side of the animal. The immunostimulatory αOX40 antibody (clone OX-86, BioXCell)
768 was injected i.p. into the left side of the animal at a dose of 250 µg per injection. Mice were boosted
769 once at 2 weeks. Sera were collected at 7 days post-2nd vaccination and used to detect neutralizing
770 activity.

771

772 Therapeutic efficacy of vaccines was monitored in two ways: vaccinated hACE2-Tg mice that
773 were challenged with SARS-CoV-2 Coronavirus in BSL3 were tested for survival compared to
774 their non immunized control group. Survival was monitored and recorded daily.

775

776 **4.8 *In vivo* delivery of nLacZ-SARS-CoV-2 pseudotype and X-Gal histochemistry**

777 Isoflurane-anesthetized 4-week-old young adult hACE2-Tg mice were dosed intranasally with a
778 70-µl volume of nLacZ-encoding lentiviral vector (titer 5.18x10³ TU/ml). Isoflurane anesthesia
779 (2.5% isoflurane/1.5l oxygen per minute) and dosing of animals was carried out in a vented BSL-
780 2 biological safety cabinet. For processing of mouse lungs for X-Gal staining of intact tissue, lungs

781 were inflated through the trachea with OCT embedding as described previously[86]. Intact airways
782 were submerged in 0.5% glutaraldehyde for 2 h at 4 °C, washed in PBS/1 mM MgCl₂ and stained
783 for 16h at 37 °C with X-Gal Solution [1 mg/ml X-Gal in PBS (pH 7. 0) containing 20 mM
784 potassium ferricyanide, 20 mM potassium ferrocyanide and 1mM MgCl₂].

785

786 **4.9 Neutralization experiments**

787 **4.9.1 SARS-CoV-2 spike-hACE2 blocking assay**

788 To measure protective NABs, COVID-19 convalescent plasma was diluted (1:10) and incubated
789 with recombinant SARS-CoV-2 full-length Spike (BPS Bioscience) for 1 h at 37 °C prior to adding
790 to an hACE2 pre-coated ELISA plates. The NAb levels were calculated based on their inhibition
791 extents of Spike and hACE2 interactions according to the following equation: [(1-OD value of
792 samples/OD value of negative control) × 100%]. A neutralizing antibody against SARS-CoV-2
793 spike (Bio Legend) was used as a positive control.

794

795 **4.9.2 SARS-CoV-2 spike pseudotyped lentivirus inhibition assay**

796 Pseudotyped lentivirus inhibition assay was established to detect neutralizing activity of
797 vaccinated mouse sera and inhibitory ability of antiviral agents against infection of SARS-CoV-2
798 spike pseudotyped lentivirus in target cells. Briefly, pseudotyped virus containing supernatants
799 were respectively incubated with serially diluted mouse sera at 37 °C for 1h before adding to target
800 cells pre-plated in 96-well culture plates (10⁴ cells/well). 24h later, fresh media was added and
801 cells were lysed 72h later using cell lysis buffer. Lysates were transferred into fresh 96-well
802 luminometer plates. Luciferase substrate was added (Promega), and relative luciferase activity was
803 determined. The inhibition of SARS-COV-2 Spike pseudotype lentivirus was presented as %
804 inhibition.

805

806 **4.10 Cell-cell fusion assay**

807 The establishment and detection of several cell–cell fusion assays are as previously described [47].
808 In brief, 293T/ACE2 cells were used as target cells. For preparing effector cells expressing SARS-
809 CoV-2 spike, 293T cells were transiently co-transfected with pCDNA3.1-Spike and pMAX-GFP
810 or with pMAX-GFP only as control, and applied onto 293T/ACE2 cells after 48 h. Effector and
811 target cells were cocultured in DMEM plus 10% FBS for 6 h. After incubation, five fields were
812 randomly selected in each well to count the number of fused and unfused cells under an inverted
813 fluorescence microscope (Nikon Eclipse Ti-S).

814

815 **4.11 Inhibition of SARS-CoV-2-spike-mediated cell-cell fusion**

816 The inhibitory activity of neutralizing antibodies from immunized mice sera on a SARS-CoV-2-
817 spike-mediated cell–cell fusion was assessed as previously described[49; 87].

818 Briefly, a total of 2 × 10⁴ target cells/well (293T/ACE2) were incubated for 5 h. Afterwards,
819 medium was removed and 10⁴ effector cells/well (293T/Spike/GFP) were added in the presence
820 of serum from C57BL/6J immunized mice at 1:100 dilution in medium at 37 °C for 2 h. The fusion
821 rate was calculated by observing the fused and unfused cells using fluorescence microscopy.

822

823 **4.12 Immunocytochemistry**

824 Cell immunocytochemistry was performed as described previously[88]. Briefly, cells were fixed
825 with 4% paraformaldehyde (PFA) for 20 min at room temperature and then the membrane was
826 permeabilized with 0.1% (vol/vol) Triton X-100 (Fisher Scientific). Incubation with blocking

827 solution (5% normal goat serum) was performed at room temperature for 45 min. Anti-mouse
828 SARS-CoV-2-spike (GTX, 1:100) and anti-rabbit hACE2 (Thermo Fisher,1:100) were applied
829 overnight at 4 °C followed by incubation of appropriate secondary antibodies conjugated with
830 fluorophores. Confocal images were captured using the Zeiss LSM-800 system.

831

832

833 **4.13 Flow cytometry**

834 For flow cytometry analysis, spleens were harvested from mice and processed as previously
835 described[39]. Extracted lungs were chopped in small pieces and incubated with a digestive mix
836 containing RPMI with collagenase IV (50 µg/ml) and DNaseI (20 U/ml) for 30 min at 37 °C.
837 Spleens and lungs were mashed through a 70-µm strainer before red blood cells were lysed using
838 ammonium-chloride-potassium (ACK) lysis (Gibco). Cells were washed with PBS containing 1%
839 FCS and surface receptors were stained using various antibodies. Fluorochrome-conjugated
840 antibodies against mouse CD3, CD4, CD44, CD38, ICOS, OX40, CD62L, Perforin, Granzyme B
841 and Tbet, CXCR5 were purchased from Biolegend. Fluorochrome-conjugated antibodies against
842 mouse CD8a were purchased from BD Biosciences. Fluorochrome-conjugated antibodies against
843 CXCR3 and Ki67 were purchased from ThermoFisher. Stained cells were fixed with PBS
844 containing 4% Formaldehyde. For intracellular staining, the forkhead box P3 (FOXP3) staining
845 buffer set was used (eBioscience). Flow cytometry analysis was performed on a LSR II machine
846 (BD Bioscience) and data were analyzed using FlowJo (Tree Star).

847

848 **4.14 T and B cell isolation**

849 Total T-cells were freshly isolated with the EasySep™ mouse T Cell Isolation Kit. Total B cells
850 were freshly isolated with the EasySep™ mouse B Cell Isolation Kit. Isolation of T and B cells
851 were performed according to the manufacturer's protocols (Stemcell Technologies).

852

853 **4.15 Enzyme-Linked Immunospot (ELISPOT)**

854 Enzyme-linked immunospot was performed as previously described[39]. Mouse IFN γ ELISPOT
855 was performed according to the manufacturer's protocol (BD Bioscience). Freshly isolated ($1 \times$
856 10^5) T-cells were directly plated per well overnight in RPMI supplemented with 10% FCS. No *in*
857 *vitro* activation step was included. As positive control, cells were stimulated with 5ng/ml
858 PMA+1µg/ml Ionomycin.

859

860 **4.16 Ex Vivo Cytotoxic Assay**

861 T-cells (8×10^5 /mL) from C57BL/6J immunized splenocytes were co-cultured with 293T/ACE2
862 cells (2×10^4 /mL), previously infected with 3×10^5 TU of SARS-CoV-2 Luc-SARS-CoV-2 spike
863 pseudotyped lentivirus. Cells were co-cultured in a 24-well plate for 2 days in 1 mL of RPMI 1640
864 supplemented with 10% FCS, washed with PBS and lysed with 100 µL of M-PER mammalian
865 protein extraction reagent (Thermo Fisher) per well. Cytotoxicity was assessed based on the
866 viability of 293T/ACE2 cells, which was determined by measuring the luciferase activity in each
867 well. Luciferase activity was measured by adding 100 µL of Steady-Glo reagent (Promega) to each
868 cell lysate and measuring the luminescence using a GloMax portable luminometer (Promega).

869

870 **4.17 Transcriptome analysis of T-cells**

871 Total RNA was extracted from freshly isolated T-cells on day 7 of treatment from spleens using
872 RNeasy Kit (Qiagen). For each group, 5 C57BL/6J mice were used for biological repeats. RNA-

873 seq was done by NYUMC Genome Center. RNA quality and quantity were analyzed. RNAseq
874 libraries were prepared and loaded on the automated Illumina NovaSeq 6000 Sequencing System
875 (Illumina). 1x S1 100 Cycle Flow Cell v1.5, 30 automated stranded RNA-seq library prep polyA
876 selection, per sample.

877
878

879 **4.18 RNA-Seq data analysis**

880 RNA-seq data were analyzed by sns rna-star pipeline
881 (<https://github.com/igordot/sns/blob/master/routes/rna-star.md>). Sequencing reads were mapped
882 to the reference genome (mm10) using the STAR aligner (v2.6.1d)[89]. Alignments were guided
883 by a Gene Transfer Format (GTF) file. The mean read insert sizes and their standard deviations
884 were calculated using Picard tools (v.2.18.20) (<http://broadinstitute.github.io/picard>). The read
885 count tables were generated using subread (v1.6.3)[90], (normalized based on their library size
886 factors using DEseq2[91], and differential expression analysis was performed. To compare the
887 level of similarity among the samples and their replicates, we used principal-component. All the
888 downstream statistical analyses and generating plots were performed in R environment (v4.0.3)
889 (<https://www.r-project.org/>). The results of gene set enrichment analysis were generated by GSEA
890 software[92; 93]. The network of Gene Ontology terms was generated by Enrichment Map in
891 Cytoscape. Additional protein–protein functional associations used in this study for bar graphs
892 were retrieved from STRING (<http://www.string-db.org/>, version 11)[94], a well-known public
893 database on several collected associations between proteins from various organisms.

894
895

896 **4.19 Measurement of Oxygen Consumption and Extracellular Acidification Rates of T and B cells**

898 T and B cell metabolic output was measured by Seahorse technology as previously described[95].
899 Purified T-cells from C57BL/6J immunized or control mice were plated at 6×10^5 cells/well in a
900 Seahorse XF24 cell culture microplate. Oxygen consumption rate (OCR) and extracellular
901 acidification rate (ECAR) were measured using an Agilent Seahorse XFe24 metabolic analyzer
902 following the procedure recommended by the manufacturer (Agilent). For the mitochondrial stress
903 test, 1) oligomycin (1 μ M), 2) FCCP (1.5 μ M) and 3) rotenone (100 nM) and antimycin A (1 μ M)
904 were injected sequentially through ports A, B and C.

905

906 **4.20 Immunoblot analysis**

907 Western blot was performed to detect SARS-CoV-2 spike protein in 293T cells infected with
908 SV.Spike and in the generated pseudotyped lentivirus. Cells were lysed in M-PER[®] Mammalian
909 Protein Extraction Reagent (Thermo Fisher) according to the manufacturer's protocol. Lysates
910 were separated by SDS-PAGE on 4-12% Bio-Rad gels, transferred to polyvinylidene difluoride
911 (PVDF) membranes, blocked in 5% milk in TBS buffer with 0.1% Tween-20 (TBST). Primary
912 antibodies to SARS-CoV-2 spike (GTX, 1:1000) and p24 (Abcam, 1:1000) were added overnight
913 at 4 °C. HRP-conjugated secondary antibodies were added in 5% milk in TBST for 1 h at room
914 temperature. BioRad Imaging System was used for visualization.

915

916 **4.21 Statistical analysis**

917 Statistical analysis was performed using GraphPad Prism 7.0 as described in figure legends. All
918 data are shown as mean \pm SEM. Figures were prepared using GraphPad Prism 7, Adobe Photoshop

919 and ImageJ Software. Treated groups were compared using a one-way analysis using Prism7
920 (GraphPad Software) to naïve mice. Differences with a P value of <0.05 were considered
921 significant: *P<0.05; **P<0.005; ***P<0.001.

922

923 **4.22 Data Availability Statement**

924 The original contributions presented in the study are included in the article/Supplementary
925 Material, further inquiries can be directed to the corresponding authors.

926

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932

933

934 **6 Author contribution**

935 A.S., S.O. and D.M. conceived the study. A.S., S.O., A.H., designed experiments. A.S., S.O.,
936 A.M., C.P., Z.L. performed mouse experiments and/or data analysis. M.G.N., S.A.T. and K.A.S
937 performed BSL3 experiments with live coronavirus and related data analysis. A.S., S.O. and D.M.
938 wrote the manuscript. All authors reviewed and edited the manuscript.

939

940 **7 Competing interest statement**

941 All authors are employed by NYU Langone School of Medicine and have no employment
942 relationship or consultancy agreement with Cynvec a biotechnology company that support some
943 studies under a Research and Licensing agreement with NYU. A.S., A.H., C.P. and D.M. are
944 inventors on one or several issued patents and/or patent applications held by NYU that cover
945 Sindbis treatment of neoplasia and COVID19. As part of the Research and Licensing agreement
946 authors who are inventors on patents are entitled to a portion of NYU Langone's royalties received,
947 should Sindbis vectors be approved by the FDA for the therapeutic or vaccination use. S.O., C.L.
948 and Z.L. declare that they have no competing interests. Data and materials availability:
949 Correspondence should be addressed to D.M.

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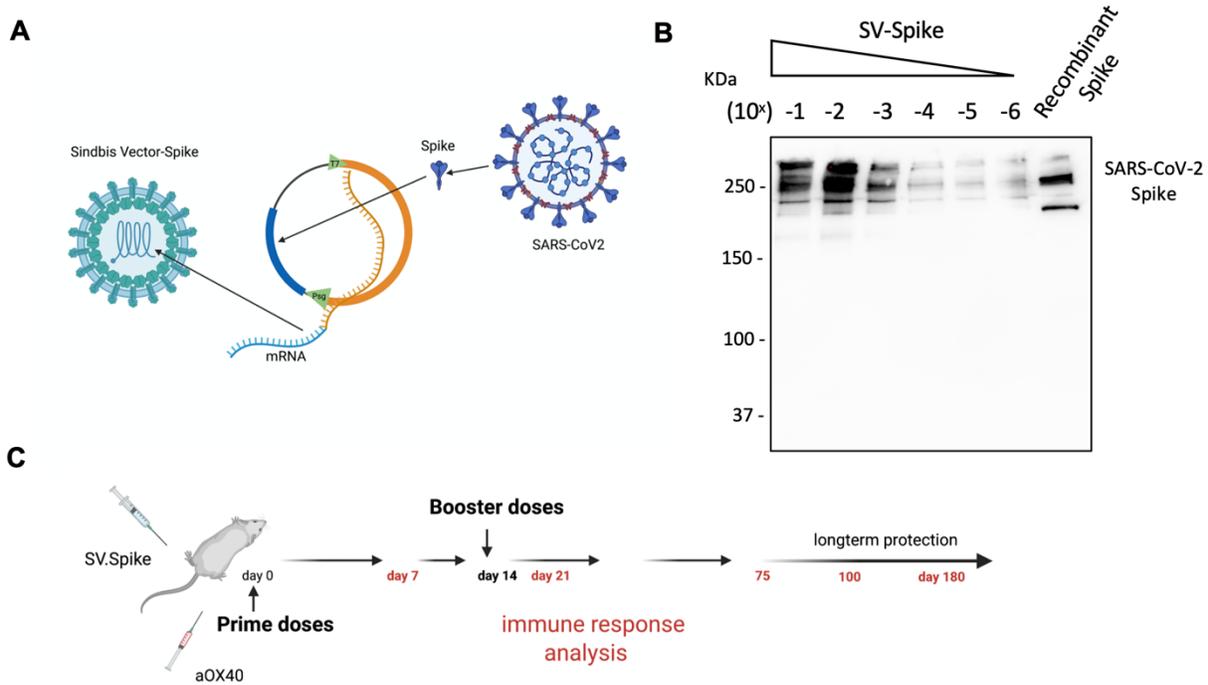
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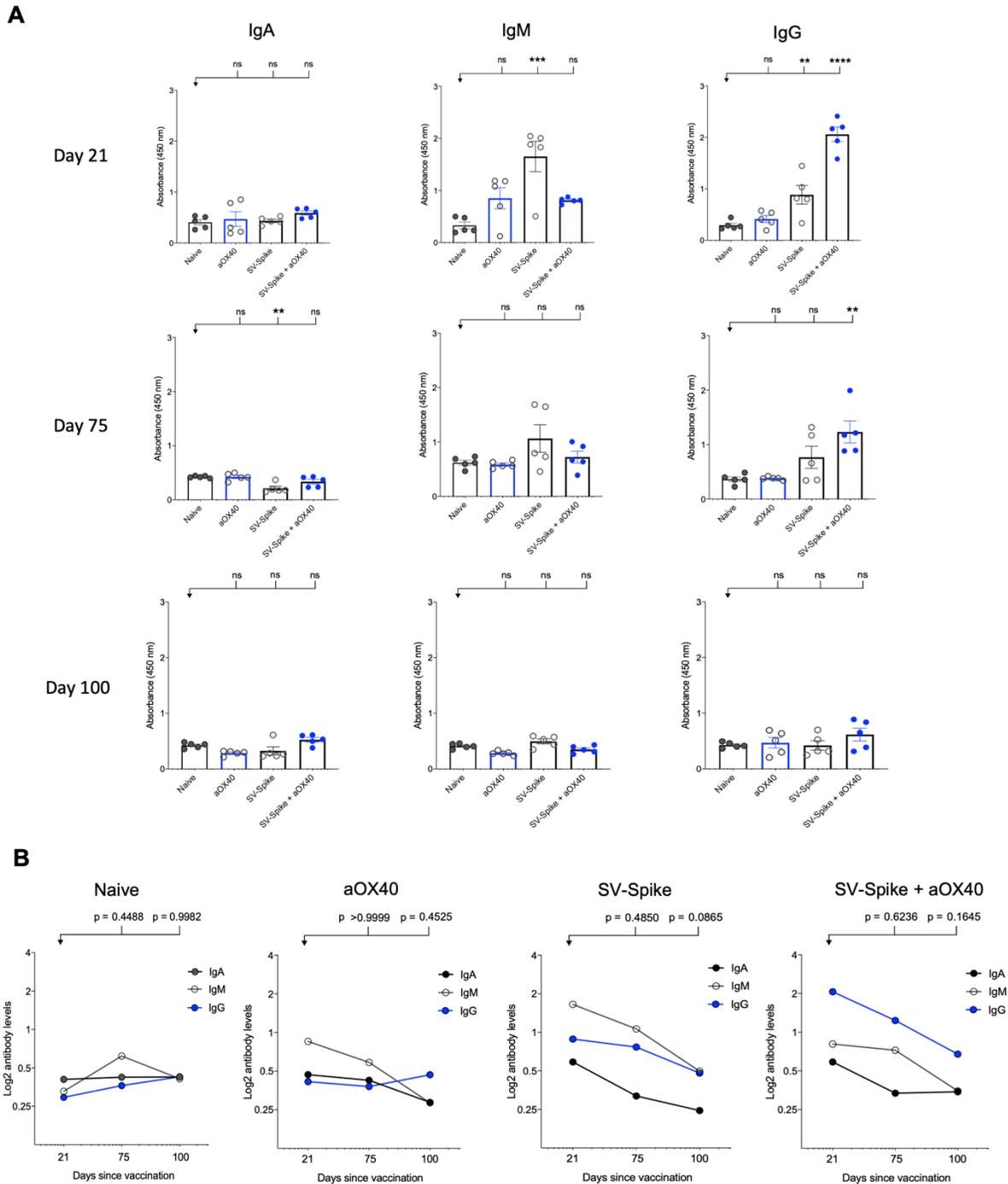
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1367 **Figures**



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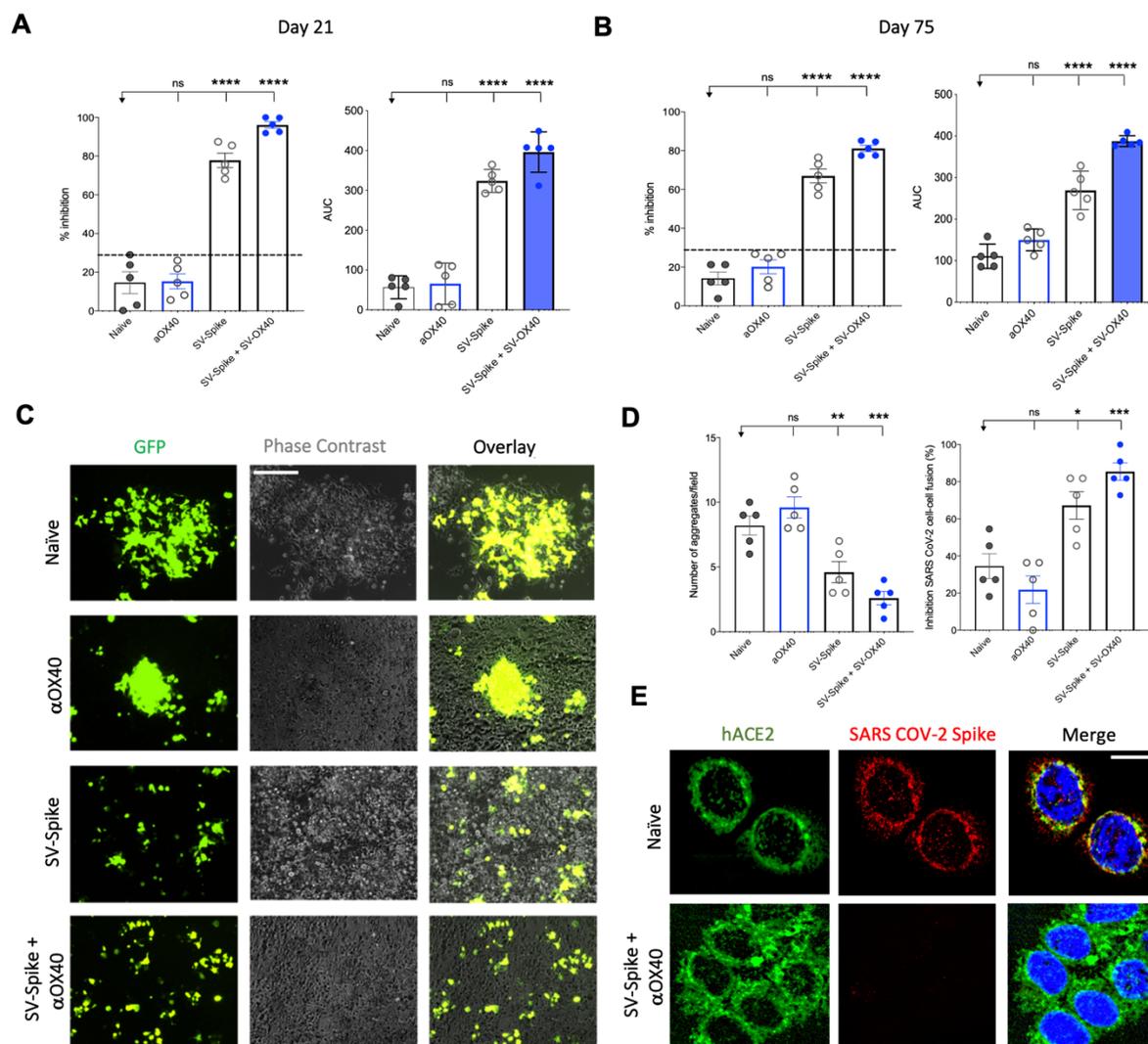
Figure 1. Characterization of Sindbis vector carrying the SARS-CoV-2 spike. **(A)** Schema of SARS-CoV-2 spike gene cloned into Sindbis vector system. **(B)** Western Blot of SARS-CoV-2 spike produced from the Sindbis vector. Lanes shown are titration of the vector, and recombinant spike control produced in HEK cells. **(C)** Schematic of vaccination. C57BL/6 mice were immunized with 1x 0.5 ml SV.Spike/and or α OX40 antibody (250 μ g/dose) on day 0. A boost injection of SV.Spike/and or α OX40 were once given on day 14. On day 7,14 and 21, 75 and 100, blood was taken to determine Sars-Cov-2 spike specific antibodies by ELISA. Spleens were excised and a single cell suspension was stained and analyzed by flow cytometry. T-cells were isolated and were used for ELISPOT assay and Seahorse. As control, naïve C57BL/6J mice were used.



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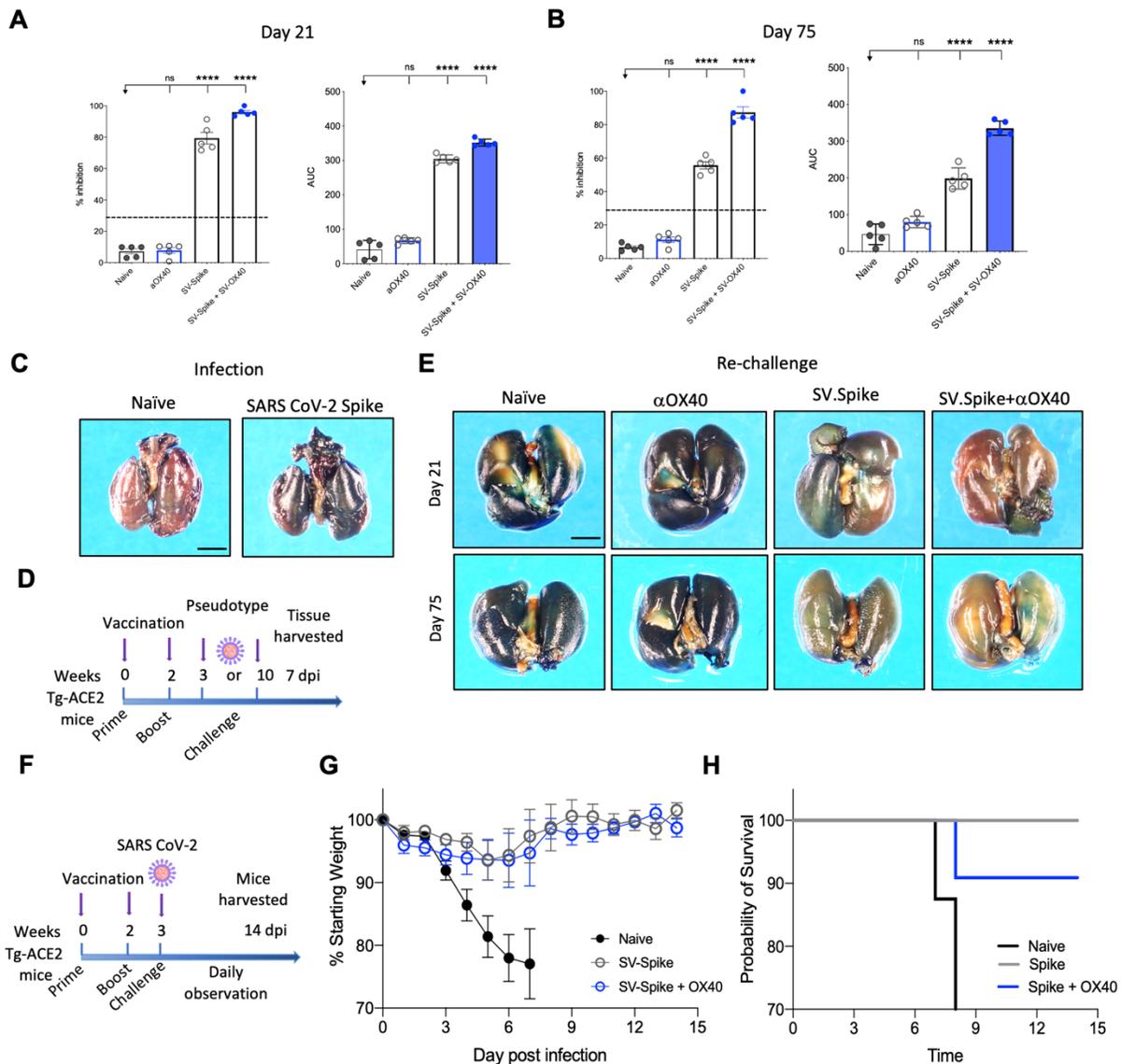
Figure 2. SARS-CoV-2 spike specific antibodies induced by Sindbis vector. Characterization of serum IgA, IgM, and IgG in C57BL/6J mice vaccinated with SV.Spike at day 21, 75 and 100 post-immunization. (A) The levels of Spike-specific IgA, IgM, and IgG isotypes in sera of immunized mice at different time windows. P values were calculated by one-way ANOVA with the Bonferroni correction in Graphpad Prism. n.s. > 0.05; **P < 0.01; ***P < 0.001; ****P < 0.0001. (B) The kinetics of Spike-specific IgA, IgM, and IgG isotypes in sera of immunized mice at different time windows. Two-way ANOVA with the Bonferroni correction in GraphPad Prism used to calculate the indicated P values. The data presented are the mean of three technical replicates. The median

1389 values of (A) OD450 or (B) calculated log2 antibody levels were plotted for each isotype of three
 1390 antibodies.
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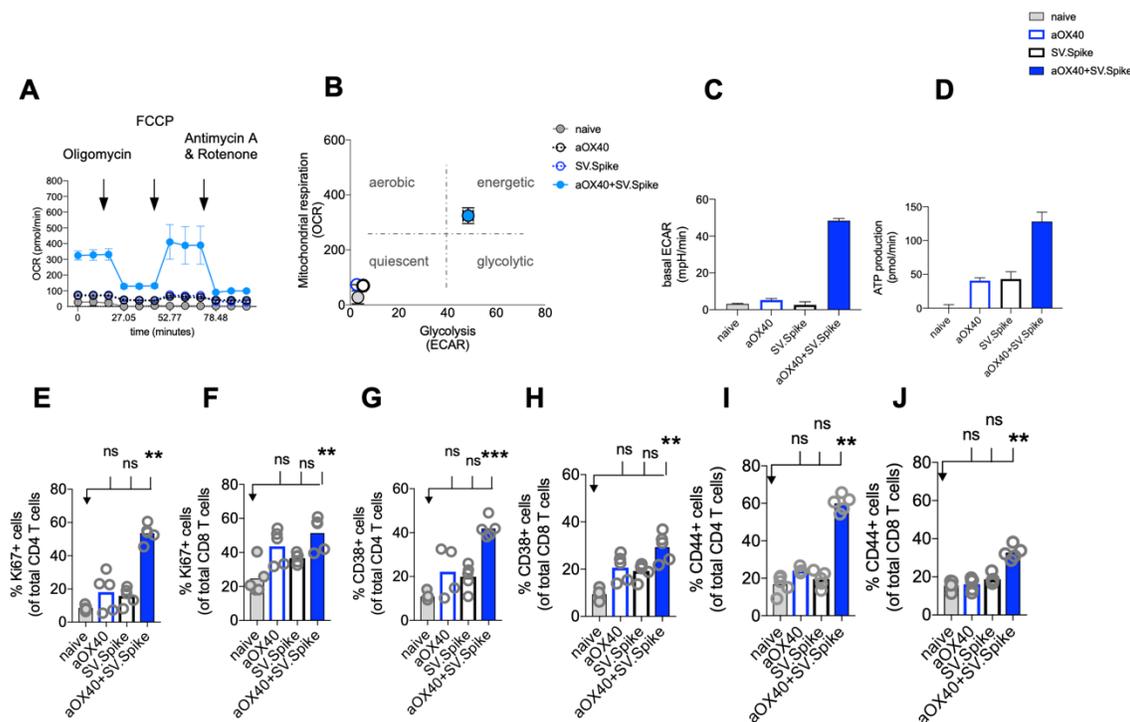
1392
 1393 **Figure 3.** Blockade of SARS-CoV-2 spike-hACE2 binding and spike protein-mediated cell-cell
 1394 fusion by anti-SARS-CoV-2 spike neutralizing antibodies. (A, B) In the assay, anti-SARS-CoV-2
 1395 neutralizing antibodies from immunized C57BL/6J mice, block recombinant Spike protein from
 1396 binding to the hACE2 protein pre-coated on an ELISA plate. Percentage of inhibition distributed
 1397 along y-axis of SARS-CoV-2 spike-hACE2 interaction for the indicated reciprocal plasma
 1398 dilutions by mouse sera collected at (A) 21 and (B) 75 days post vaccination with Sindbis
 1399 expressing SARS-CoV-2 spike (SV.Spike), SV.Spike in combination with α OX40 and α OX40
 1400 alone compared to the naive group. Area under the curve (AUC) values of serum antibodies were
 1401 calculated from reciprocal dilution curves in antibody detection assay. The data presented are the
 1402 mean of 5 biological replicates with two technical replicates. Statistics were performed using a
 1403 One-way ANOVA with the Bonferroni correction in Graphpad Prism. n.s. > 0.05; *P < 0.05;
 1404 **P < 0.01; ***P < 0.001; ****P < 0.0001. (C) Images of SARS-CoV-2 spike-mediated cell-cell
 1405 fusion inhibition on 293T/ACE2 cells by sera from C57BL/6J vaccinated mice. SARS-CoV-2

1406 spike-transfected 293T were incubated with mice serum at 1:100 dilution and applied onto
 1407 293T/ACE2 cells for 24 hours. Scale bar: 100 μ m. **(D)** Quantification of the number aggregates
 1408 (left panel) and inhibition of cell-cell fusions (right panel) induced by SARS-CoV-2 spike
 1409 following pre-incubation with naïve, SV.Spike, SV.Spike+ α OX40 and α OX40 alone are shown.
 1410 N = 5 biological replicates with 2 independent technical replicates. One-way ANOVA with
 1411 Bonferroni correction *P < 0.05, **P < 0.01, and ***P < 0.001. **(E)** Representative confocal
 1412 images of 293T/ACE2 cells treated with serum from Naïve and SV.Spike+ α OX40-immunized
 1413 mice pre-incubated with SARS-CoV-2 spike recombinant protein and stained for hACE2 (green),
 1414 SARS-CoV-2 spike (red), and DAPI (blue). Scale bar: 20 μ m.
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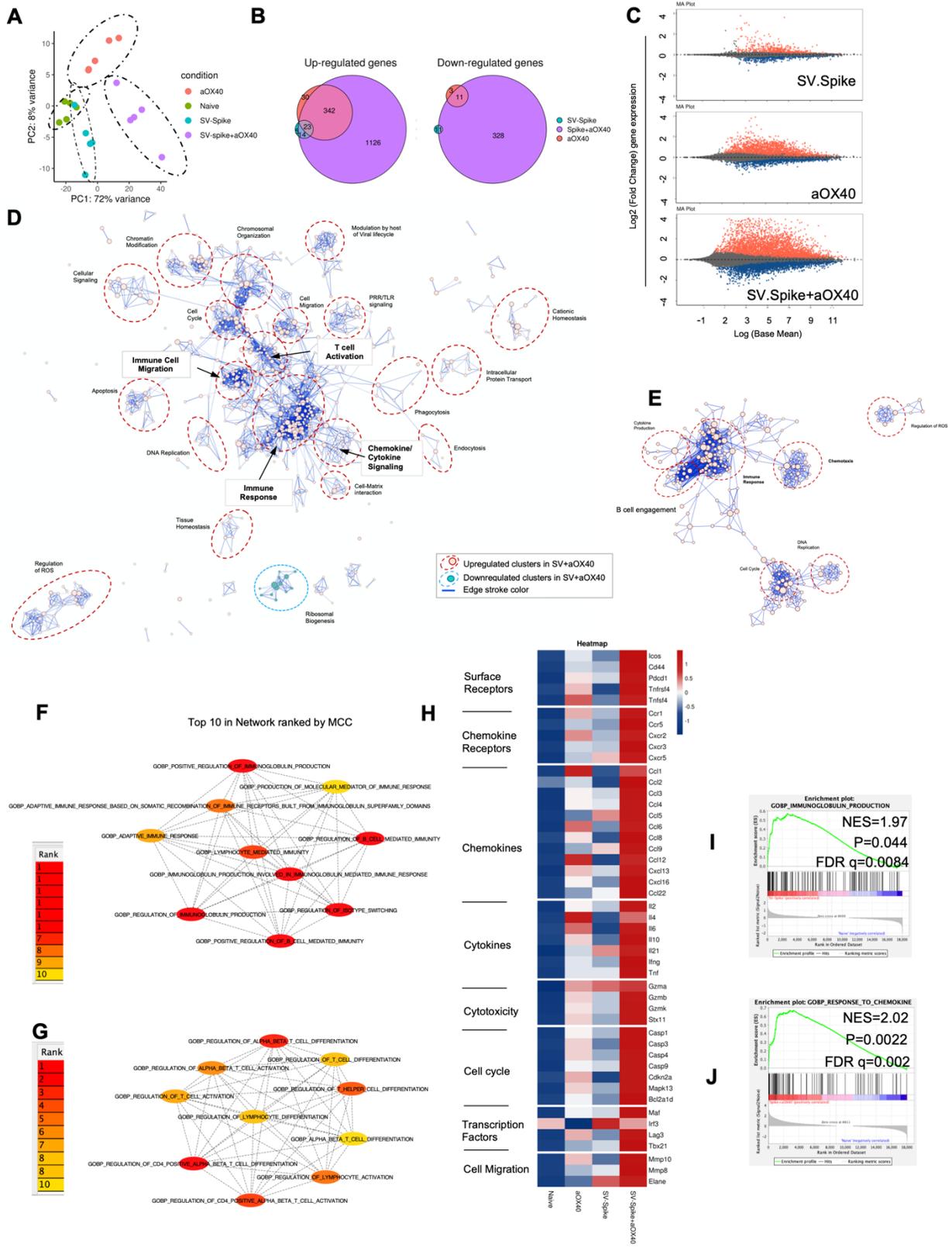
1416 **Figure 4.** Sindbis-Spike vaccine prevents infection of SARS-CoV-2 in hACE2 transgenic
 1417 (hACE2-Tg) mice. *Luciferase*-encoding SARS-CoV-2 spike pseudotyped lentivirus was incubated
 1418 with mouse sera collected at **(A)** 21 and **(B)** 75 days post vaccination with SV.Spike, SV.Spike in
 1419 combination with α OX40 and α OX40 antibody alone compared and unvaccinated naïve groups.
 1420

1421 Area under the curve (AUC) values of serum antibodies were calculated from reciprocal dilution
 1422 curves in antibody detection assay. The data presented are the mean of 5 biological replicates with
 1423 two technical replicates. Statistics were performed using a One-way ANOVA with the Bonferroni
 1424 correction in GraphPad Prism. n.s. > 0.05; ****P<0.0001. (C) Expression of pseudotyped SARS-
 1425 CoV-2-spike-lacZ lentivirus in whole mouse lung following intranasal delivery. One week
 1426 following vector nasal administration to the right nostril of four weeks old hACE2 transgenic mice
 1427 (B6(Cg)-Tg(K18-ACE2)2Prlmn/J), expression of lacZ was analyzed in mice airways. X-Gal
 1428 stained whole lungs from (left) hACE2 non carrier control mouse and (right) hACE2 transgenic
 1429 mouse, both dosed with SARS-CoV-2-spike-lacZ pseudotyped lentivirus. (D) Schematic of the re-
 1430 challenge experiment with SARS-CoV-2-spike-lacZ lentivirus. (E) On day 21 (upper panels) and
 1431 75 (lower panels) after the initial infection hACE2-Tg were rechallenged with 3.6×10^5 PFU of
 1432 SARS-CoV-2-spike-lacZ pseudotyped lentivirus and then analyzed for X-Gal staining at day 7
 1433 post rechallenge. Three non-vaccinated naïve animals were included as a positive control in the
 1434 rechallenge experiment. (F-H) hACE2-Tg mice were vaccinated with SV.Spike and/or α OX40
 1435 and challenged with 10^4 particles of live SARS-CoV-2 coronavirus at day 21 post immunization.
 1436 Weight loss and mortality was observed daily for 14 days after live virus infection and compared
 1437 to the naïve unvaccinated group. (G) Change of body weight during systemic infection with SARS-
 1438 CoV-2 coronavirus. Percent weight loss (y-axis) is plotted versus time (x-axis). Data points
 1439 represent mean weight change \pm SEM. (H) Survival curves of SV.Spike with or without α OX40
 1440 treated and naïve unvaccinated mice. n = 5 mice per group.
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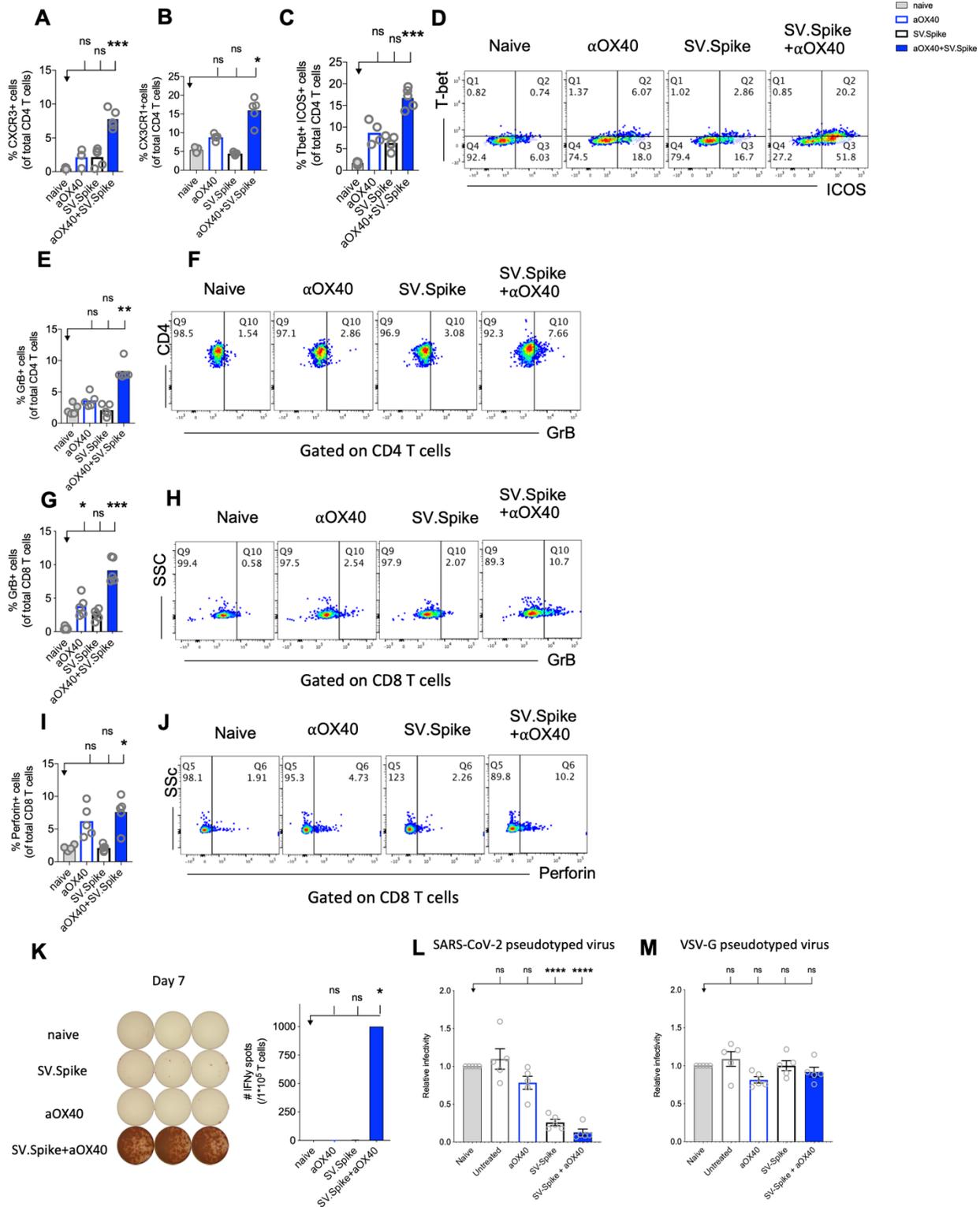


1442
 1443 **Figure 5.** SV.Spike in combination with α OX40 activates and metabolically reprograms T-cells.
 1444 C57BL/6J mice were vaccinated with first doses of SV.Spike and/or α OX40. Naive mice were
 1445 used as control. T-cells were isolated from spleens on day 7 or otherwise indicated. (A)
 1446 Mitochondrial respiration was assessed by measuring the median values of oxygen consumption
 1447 rates (OCR) in T-cells of indicated groups using an extracellular flux analyzer. Oligomycin, FCCP,

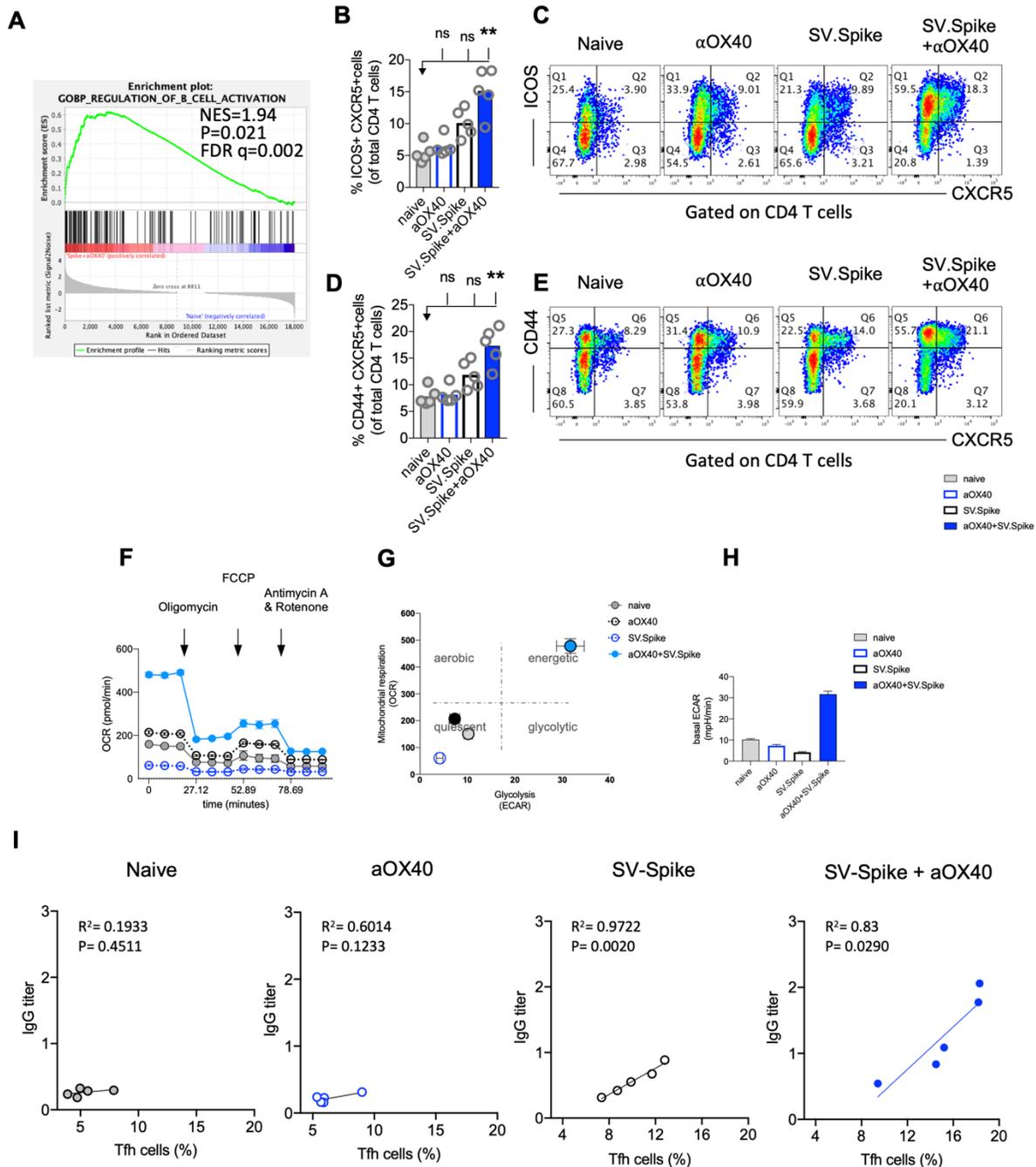
1448 Antimycin A and Rotenone were injected as indicated to identify energetic mitochondrial
1449 phenotypes. **(B)** Energy Map (OCR versus ECAR) of T-cells from naïve or mice treated with
1450 SV.Spike, or α OX40 or combination of SV.Spike+ α OX40 on day 7. **(C)** Baseline extracellular
1451 acidification rates (ECAR) in T-cells of indicated groups. **(D)** ATP Production in T-cells of
1452 indicated groups. (E-J) Splenocytes were analyzed in flow cytometry. **(E, F)** Expansion of CD4+
1453 **(E)** and CD8+ T **(F)** cells is indicated by expression of Ki67-positive cells. **(G, H)** Activation of
1454 CD4+ T-cells **(G)** and CD8+ T-cells **(H)** indicated by CD38+ expression. **(I, J)** Expression of
1455 CD44+ positive cells. CD4 **(I)** and CD8 **(J)** cells. Error bars indicate SEM. Results are
1456 representatives of two independent experiments. Each symbol represents an individual mouse in
1457 E, F, G, H, I, J. Bars represent means. Statistical significance was determined with the Kruskal-
1458 Wallis test followed by the Dunns' test. n.s. > 0.05, **p<0.005, ***p≤ 0.001.
1459



1461 **Figure 6.** Sindbis expressing SARS-CoV-2 spike+ α OX40 C57BL/6J vaccinated mice are
1462 characterized by a unique transcriptional signature of T-cells. Combination therapy markedly
1463 changes the transcriptome signature of T-cells favoring T-cell differentiation towards effector T-
1464 cells with a Th1 type phenotype 7 days after prime vaccination. **(A)** Principal component analysis
1465 (PCA) of RNA seq data from naïve, SV.Spike and/or α OX40 groups. **(B)** Venn diagrams
1466 summarizing the overlap between differentially expressed genes (DEGs) from SV.Spike (blue),
1467 α OX40 (pink) and SV.Spike+ α OX40 (purple). Up-regulated DEGs (left) and down-regulated
1468 (right). **(C)** MA plots of differentially expressed genes in T-cells of naive versus SV.Spike (top
1469 graph), α OX40 (middle graph) and combination (bottom graph). Significantly ($p < 0.05$)
1470 upregulated and downregulated DEGs are depicted in red or blue, respectively. **(D)** Pathway and
1471 network analysis based on GSEA in T-cells isolated from mice treated with combination therapy.
1472 Downregulated (blue circle) and upregulated (red circles) pathways are shown, respectively. **(E)**
1473 Pathway and network analysis based on GSEA in T-cells isolated from mice treated with single
1474 dose of SV.Spike. Top 10 hub biological process gene ontology (GO) terms ranked by the
1475 Cytoscape plugin cytoHubba (red, highest ranks; yellow, lowest ranks) in the SV.Spike only **(F)**
1476 versus combination immunized group **(G)**. Heatmap analysis of selected genes based on
1477 normalized read counts linked to T-cell differentiation in the SV.Spike and/or α OX40 immunized
1478 mice compared to naïve **(H)**. Highlighted selected gene set enrichment analysis (GSEA) pathways
1479 based on DEG in naive versus SV.Spike **(I)** and combination treated group **(J)**.
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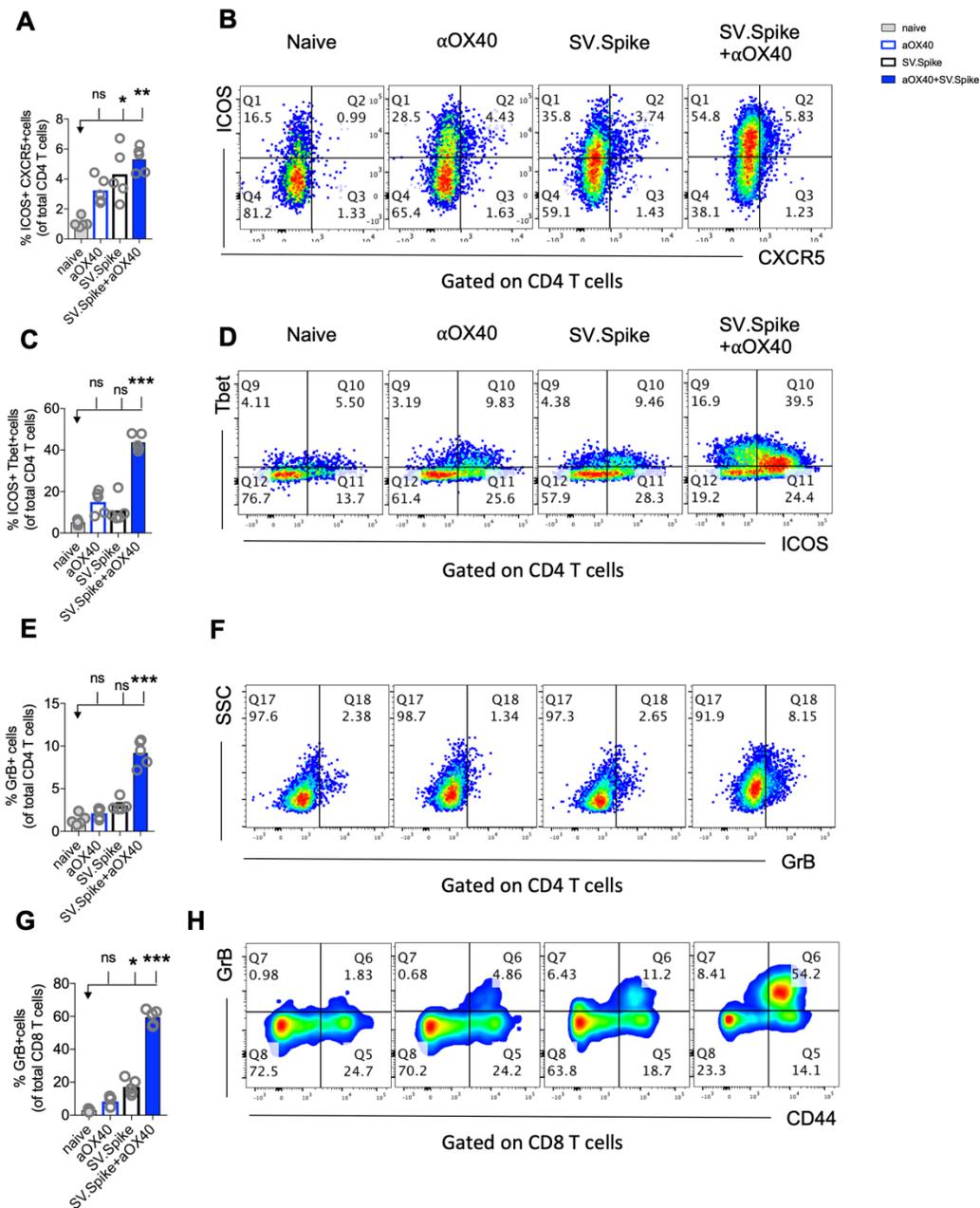
1482 **Figure 7.** Reprogrammed T-cells in SV.Spike+ α OX40 vaccinated mice display enhanced Th-1 T-
1483 cell phenotype mediated cytokine production and cytotoxic T-cell activity. Spleens of naïve and
1484 C57BL/6J vaccinated mice were excised on day 7 after prime vaccine doses for flow cytometry
1485 analysis (**A-J**). T-cells were further isolated for (**K**) Interferon-g (IFN γ) enzyme-linked
1486 immunospot analysis (ELISpot) and (**L, M**) cytotoxicity analysis. Percentage of (**A**) CXCR3 and
1487 (**B**) CX3CR1 expressing CD4⁺ T-cells indicating Th1-like T-cell effector phenotype. (**C**)
1488 Percentage of Tbet+ICOS⁺ positive Th1-type effector CD4⁺ T-cell polarization. (**D**)
1489 Representative blots. (**E**) Percentage of granzyme B (GrB) positive CD4⁺ T-cells from indicated
1490 groups using flow cytometry. (**F**) Representative blots. (**G**) Percentage of GrB positive CD8⁺ T-
1491 cells from indicated groups using flow cytometry. (**H**) Representative blots. (**I**) Percentage of
1492 Perforin positive CD8⁺ T-cells. (**J**) Representative blots. Bars represent means \pm SEM (**A-J**) and
1493 each symbol represent an individual mouse (n=5 per group). Statistical significance was
1494 determined with the Kruskal-Wallis test followed by the he Dunns' test. Results are representatives
1495 of at least two independent experiments. (**K**) Amount of IFN γ spots per 10⁵ T-cells determined by
1496 ELISpot. (**L, M**) Cytotoxic activity of T-cells harvested on day 7 from control and treated mice (n
1497 = 5 mice per group). T-cells were isolated from splenocytes and were co-cultured with 293T/ACE2
1498 cells for 2 days. Effector-to-target (E/T) cell ratio (T-cells/ACE2 cells) was 30:1. Cytotoxicity was
1499 determined for each group of mice by measuring the infectivity of luciferase-encoding
1500 pseudotyped particles with (**L**) Spike protein of SARS-CoV-2 or (**M**) VSV-G and is shown relative
1501 to naive T-cells. Bars or symbols represent means \pm SEM, and statistical significance was
1502 determined with one-way ANOVA with the Bonferroni correction. n.s. > 0.05, *p<0.05, ***p \leq
1503 0.001, ****p \leq 0.0001.
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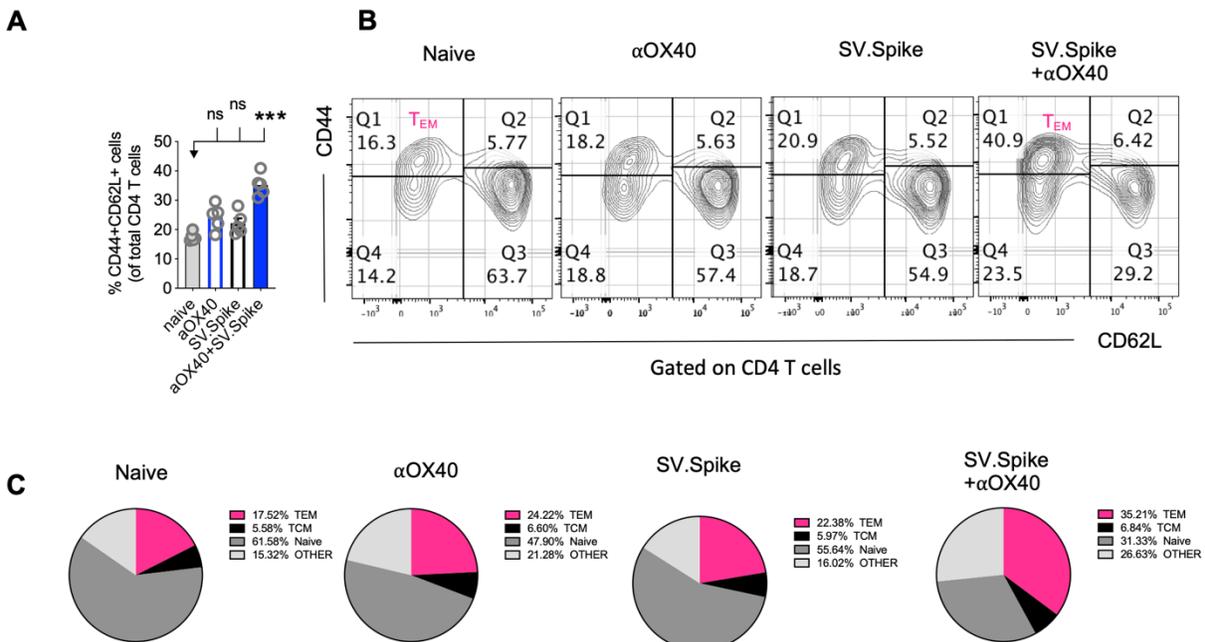
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Figure 8. SV.Spike in combination with α OX40 drives follicular T helper cell function and metabolic activation of B cells. C57BL/6J mice were vaccinated with SV.Spike and/or α OX40. Naive mice were used as control. T-cells were isolated on day 7 after prime vaccine doses and RNAseq was performed (A). GSEA for biological processes identified pathway enrichment that regulates B cell activation after prime vaccine doses in combination immunized mice. Splenocytes were excised on day 21 for flow cytometry analysis (B-E). (B-C) CXCR5+ICOS+ expressing CD4+ T-cells and (D-E) CXCR5+CD44+ expressing CD4+ T-cells indicating Tfh-cell differentiation with representative plots (n=5 individual mice per group). (F-H) B cells were isolated for Seahorse metabolic flux analysis one week after boost doses. (F) Mitochondrial

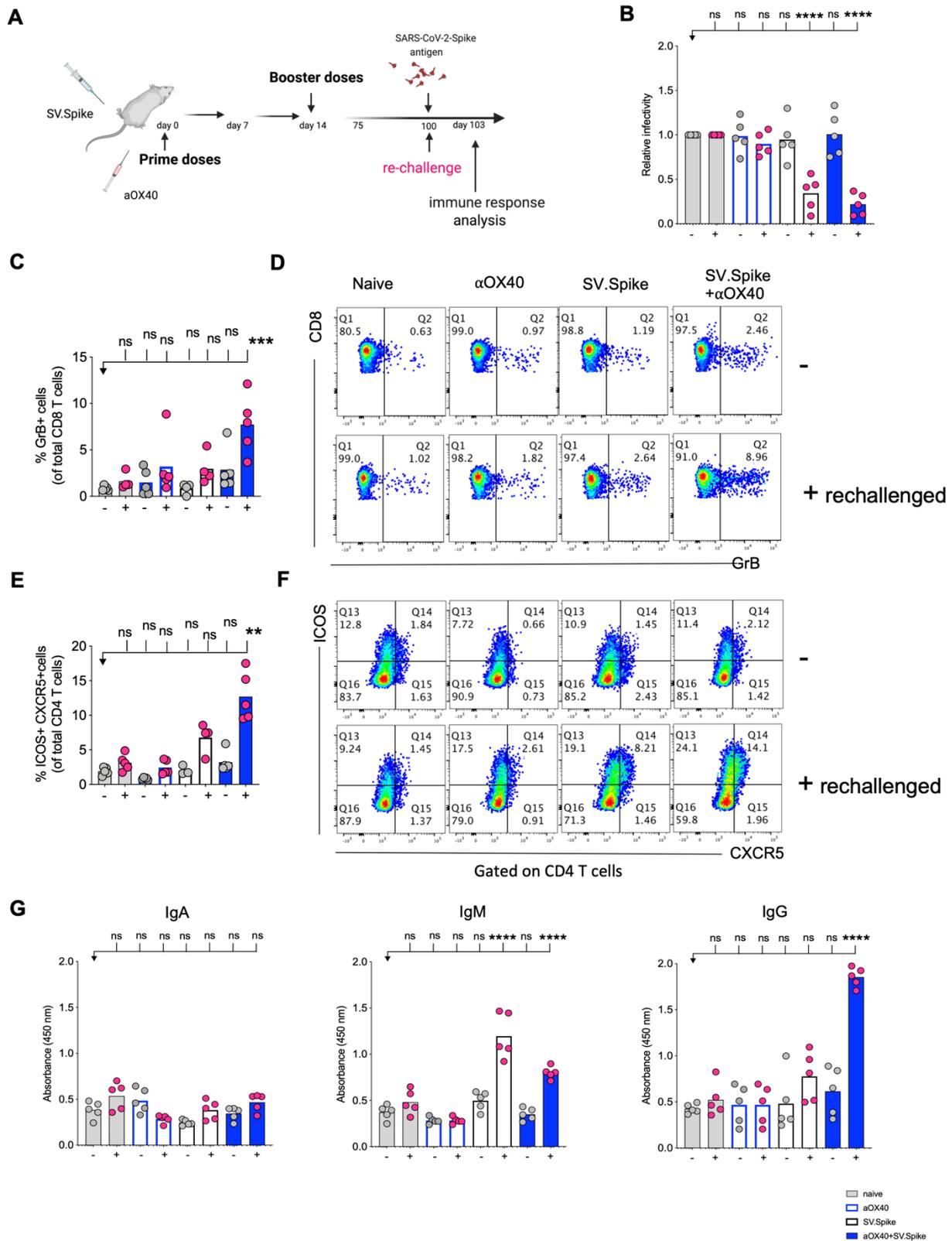
1515 respiration was assessed by measuring the median values of oxygen consumption rates (OCR) in
 1516 B cells of indicated groups using an extracellular flux analyzer. Oligomycin, FCCP, Antimycin A
 1517 and Rotenone were injected as indicated to identify energetic mitochondrial phenotypes. **(G)**
 1518 Energy Map (OCR versus ECAR) of B cells from naïve or mice treated with SV.Spike and/or
 1519 α OX40 on day 21. **(H)** Baseline extracellular acidification rates (ECAR) in B cells of indicated
 1520 groups. Error bars indicate SEM. Results are representatives of one or two independent
 1521 experiments. Bars or symbols represent means \pm SEM, and statistical significance was determined
 1522 with the Kruskal-Wallis test followed by the he Dunns' test. n.s. > 0.05, **p<0.005. **(I)** Correlation
 1523 analysis of ICOS+CXCR5+ expressing Tfh cells with IgG antibody titers at 21 days post
 1524 vaccination. (n=5). Pearson's rank correlation coefficients (R) and p values are shown.
 1525



1527 **Figure 9.** Combination of SV.Spike and α OX40 promotes robust tissue specific Th1-type T-cell
 1528 immune response in lungs. Presence of activated T-cells in lungs after 21 days after prime vaccine
 1529 doses indicate tissue specific immune protection. C57BL/6J mice were immunized by a
 1530 Prime/Boost strategy with SV.Spike and/or α OX40 and lungs were excised and a single cell-
 1531 suspension was stained for flow cytometry analysis. Naive mice were used as control. **(A)** CD4+
 1532 Tfh type T-cells presence in the lung indicated by ICOS+CXCR5+ double-positive CD4+ T-cells.
 1533 **(B)** Representative plots. **(C)** Expression of ICOS+Tbet+ double positive CD4+ T-cells indicating
 1534 Th-1 type effector cells polarization and recruitment to the lungs. **(D)** Representative plots. **(E-H)**
 1535 Cytotoxic T-cells in lungs indicated by **(E)** Granzyme B positive CD4+ T-cells and representative
 1536 plots **(F)** and CD8+ effector T-cells indicated by GrB+ and representative plots **(G, H)**. Bars or
 1537 symbols represent means \pm SEM. Each symbol represents one individual mouse. Statistical
 1538 significance was determined with the Kruskal-Wallis test followed by the he Dunns' test. n.s. >
 1539 0.05, * $p < 0.05$, ** $p < 0.005$, *** $p \leq 0.001$.
 1540



1541 **Figure 10.** Combination of SV.Spike and α OX40 potentiates CD4 effector memory T-cells 14
 1542 weeks after prime vaccine doses. Splenocytes from indicated immunized C57BL/6J mice groups
 1543 were harvested 14 weeks after first vaccine doses. Memory phenotype was characterized in spleen
 1544 from indicated groups by flow cytometry by gating on CD4+ cells. The percentage of CD4+ T-
 1545 cells expressing CD62L and/or CD44 was analyzed and shown **(A)**. **(B)** Representative contour
 1546 plots and **(C)** pie charts. (n=5 mice per group). TCM, central-memory T-cells; TEM, effector-
 1547 memory T-cells.
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1551 **Figure 11.** Challenging immunized mice with spike antigen promotes a fast and coordinated
1552 response of the two arms of the adaptive immune system. Humoral and T-cell immune responses
1553 were assessed in vaccinated mice after rechallenge with Sindbis carrying SARS-CoV-2-spike
1554 (SV.Spike). **(A)** Design steps of the rechallenge experiment in vaccinated of immunized C57BL/6J
1555 mice evaluated by **(B)** T-cell cytotoxic assay, **(C-F)** Flow cytometry indicating cytotoxic CD8 T-
1556 cell effector response by GrB⁺ positive CD8 T-cells and activation of CXCR5⁺ICOS⁺ positive
1557 Tfh cells upon rechallenge, **(G)** binding IgA, IgM, IgG antibody ELISA to SARS-CoV-2-spike
1558 recombinant protein (n=5 mice per group, or as otherwise indicated). Each symbol represents one
1559 individual mouse. Bars or symbols represent means \pm SEM, and statistical significance was
1560 determined with one-way ANOVA with the Bonferroni correction **(B, G)** or with the Kruskal-
1561 Wallis test followed by the he Dunns' test **(C-F)**. n.s. > 0.05, **p<0.005, ***p \leq 0.001, ****p \leq
1562 0.0001.
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