



What Causes Biliary Atresia? Unique Aspects of the Neonatal Immune System Provide Clues to Disease Pathogenesis

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SUMMARY

Biliary atresia is a devastating cholestatic liver disease of children of unknown etiology. Research pertaining to the immunopathogenesis of biliary atresia should focus on unique aspects of neonatal immunity that promote aggressive and ongoing inflammation and fibrosis early in life.

Biliary atresia (BA) is the most frequent identifiable cause of neonatal cholestasis, and the majority of patients will need liver transplantation for survival. Despite surgical intervention with the Kasai portoenterostomy, significant fibrosis and cirrhosis develop early in life. An increased understanding of what causes this inflammatory fibrosing cholangiopathy will lead to therapies aimed at protecting the intrahepatic biliary system from immune-mediated damage. This review focuses on studies pertaining to the role of the adaptive immune response in bile duct injury in BA, including cellular and humoral immunity. The neonatal presentation of BA prompts the question of what potential modifications of unique aspects of the neonatal immune system set the stage for the progressive biliary disease. This review also discusses the characteristics of neonatal immune response and the theories on how alterations of this response could contribute to the pathogenesis of BA. These include aberrant type 1 helper T-cell ($T_{H}1$) and $T_{H}17$ responses, deficiencies in regulatory T cells, activation of humoral immunity, and autoimmunity. To advance our understanding of the etiology of BA, future studies should focus on the unique aspects of the neonatal immune system that have gone awry. (Cell Mol Gastroenterol Hepatol 2015;1:267-274; <http://dx.doi.org/10.1016/j.jcmgh.2015.04.001>)

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Biliary atresia (BA) is the most frequent identifiable cause of neonatal cholestasis, occurring in approximately 1 out of 12,000 live births in the United States and accounting for an estimated 350 new cases annually. It is most common in Taiwan ($\sim 1:5,600$ live births) and occurs more frequently in females, Asians, and African Americans.¹ There are three types of BA: isolated BA (84% of cases), BA with at least one malformation but without laterality defects (6%; cardiovascular, gastrointestinal, or genitourinary defects),

and BA splenic malformation, a syndrome associated with laterality defects and polysplenia or asplenia (4% to 10%).² In isolated BA, meconium and initial stools are normal in color, suggesting early patency of the ducts. However, within the first 3 months of age, the extrahepatic biliary tree becomes obstructed, and the pathology is consistent with an inflammatory fibrosing cholangiopathy. At diagnosis, the extrahepatic biliary remnant is removed, and a Kasai portoenterostomy is performed in an attempt to reestablish bile flow. This results in initial restoration of bile flow in up to two-thirds of patients if performed within 60 days of life.

Even with surgical intervention, significant fibrosis and cirrhosis develops early in life, and the majority of patients will need liver transplant for survival. Analysis of liver tissue from BA patients >4 years old after a Kasai portoenterostomy revealed that, despite resolution of cholestasis in 83% of patients, 100% of patients had fibrosis (Metavir stage >2) or cirrhosis.³ On average, 20% of children with BA will enter adulthood with their native liver, and the vast majority of those patients will have evidence of chronic liver disease or cirrhosis.⁴ An increased understanding of what causes the inflammatory sclerosing cholangiopathy of BA could lead to therapies aimed at protecting the intrahepatic biliary system from inflammatory-mediated damage and fibrosis.

The etiology of BA is unknown, and theories of its pathogenesis include perinatal virus infection targeting cholangiocytes, chronic inflammatory or autoimmune-mediated bile duct injury, and abnormalities in bile duct development.⁵ A recent retrospective study of neonatal direct bilirubin levels obtained at 24 to 48 hours of life has shed light on the timing of the initial bile duct injury in BA.⁶ In that study, neonatal direct bilirubin levels were obtained for all newborns in a single hospital between 2007 and 2010, and the infants who later developed isolated BA were compared with newborns from the same period who did not have BA. The BA newborns had mean direct bilirubin levels

Abbreviations used in this paper: Ag, antigen; APC, antigen presenting cell; BA, biliary atresia; BCR, B-cell receptor; CD, cluster of differentiation; GST, glutathione S-transferase; IFN, interferon; IL, interleukin; NK, natural killer; NSP4, nonstructural protein 4; RRV, Rhesus group A rotavirus; T_{H} , helper T cell; TNF, tumor necrosis factor; Treg, regulatory T cell; VP, viral protein.

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that were significantly higher than those of the controls (1.4 ± 0.43 vs. 0.19 ± 0.075 mg/dL), suggesting that the bile duct damage began in utero. A leading hypothesis in the pathogenesis of isolated BA is that the cholangiocyte injury is initiated by a virus infection followed by an exaggerated autoinflammatory or autoimmune response that targets bile duct epithelia, resulting in progressive bile duct damage and obliteration.⁷ In this theory, the virus infection is short lived, but the cholangiocytes within the entire biliary tree may continue to be damaged by aberrant autoimmune mechanisms. Cholangiocyte proteins may be seen as foreign because of alterations from the virus or exposure of previously sequestered antigens. Alternatively, the virus and epithelial proteins could have a high degree of sequence homology leading to antiviral and autoimmune responses that overlap, an autoimmune mechanism known as molecular mimicry.

This review will focus on studies pertaining to the role of the adaptive immune response in bile duct injury in BA, including cellular (T cell) and humoral (B cell) immunity. The significance of T cells versus B cells in disease pathology cannot be easily separated, as the two arms of adaptive immunity are intertwined. T cells provide "help" for B-cell immunoglobulin isotype switching and antibody production. B cells function as antigen-presenting cells or producers of cytokines for T-cell activation. The neonatal presentation of BA prompts the question of what potential modifications of known unique aspects of the neonatal immune system set the stage for the progressive biliary disease. In this review I discuss the characteristics of the neonatal immune response and the theories of how alterations of this response could contribute to the pathogenesis of BA. Innate immune responses in the infant with BA also contribute to bile duct damage; these pathways will not be reviewed here, but key investigations are referenced.^{8,9}

Adaptive Cellular Immunity: T-Cell Subsets

Adaptive cellular immunity involves the interaction of antigen-presenting cells with T cells, resulting in activation of T cells with the production of cytokines. Adaptive immune responses are triggered by repeat exposure to both pathogen and non-microbial antigens, resulting in highly specific memory T-cell activation. Aspects of adaptive cellular immunity that characterize the neonate include decreased frequencies and function of dendritic cells (antigen-presenting cells) compared with adults and T-cell responses that are skewed to a type 2 helper T cell (T_H2) profile, with the production of interleukin 4 (IL-4), IL-5, and IL-13. It is becoming clear that neonates are also capable of generating adult-like T_H1 responses (IL-2, interferon- γ [IFN- γ]) when the conditions for antigenic priming are optimized. Over 30 years ago, Hoffman et al described T-cell responses in neonatal mice and found that infection with a high dose of a murine leukemic virus (>1,000 plaque-forming units) led to nonprotective T_H2 responses and disease.¹⁰ In stark contrast, a low exposure of virus (0.3 plaque-forming units) to the neonate induced a

virus-specific T_H1 response with clearance of virus.¹¹ These studies have led to the hypothesis that BA pathogenesis could be related to low-dose neonatal virus infection with proinflammatory T_H1 immune responses. Multiple studies have since shown that neonates are able to mount fully mature T_H1 responses under certain circumstances, which increases costimulatory signals on antigen-presenting cells.¹²⁻¹⁴ It can therefore be theorized that an abnormal skewing of the T-cell response in the neonate from the default T_H2 response to the inflammatory T_H1 response could be an early event that promotes ongoing T-cell-mediated bile duct injury in BA.

The predominant cellular immune response in BA at diagnosis encompasses activated CD4⁺ and CD8⁺ T cells within portal tracts that produce T_H1 cytokines (IL-2, IFN- γ , tumor necrosis factor α [TNF- α]) and macrophages secreting TNF- α .¹⁵⁻¹⁷ These lymphocytes have been found invading between bile duct epithelia, resulting in degeneration of intrahepatic bile ducts.¹⁸ With the aim of understanding whether the inflammation is nonspecific (bystander activation) versus antigen specific with expansion of clones of T cells, T-cell receptor characterization was performed. Analysis of the T-cell receptor variable region of the β -chain (V β) within BA liver and extrahepatic bile duct remnants revealed that the T cells were indeed oligoclonal in nature with a limited T-cell receptor V β repertoire, suggesting antigen-specific activation. The exact antigen(s) stimulating the clonal expansions remains a mystery that if solved will provide a wealth of information on the processes of T-cell-mediated bile duct injury in BA.¹⁹

T_H1 Cellular Immunity

To perform mechanistic studies of immune-mediated hypotheses, the Rhesus group A rotavirus (RRV)-induced mouse model of BA (murine BA) has been employed by many investigators. This model mimics many aspects of the human disease, including bile duct epithelial apoptosis, portal inflammation, intrahepatic bile ductule proliferation, and extrahepatic biliary obstruction. The main limitation of the mouse model is that the extrahepatic biliary fibrosis is minimal compared with humans and the biliary obstruction is mainly due to inflammation and edema.

Many investigators view the findings in the mouse model as representative of the early events in human BA. In murine BA the virus is cleared within the first 2 weeks of life, a time point when extrahepatic biliary obstruction is complete. The CD4⁺ T_H1 cellular inflammatory environment found in murine BA recapitulates the human disease, and the progressive inflammatory destruction and obliteration of the bile ducts leads to death by 3 weeks of age.^{20,21} In support of a T_H1 cytokine environment in BA mice, many investigators have described increased levels of chemokines that promote T_H1 cellular differentiation [chemokine (C-C motif) ligand 2, chemokine (C-C motif) ligand 5, C-X-C motif chemokine 10].^{22,23} IFN- γ is a necessary cytokine in the pathogenesis of murine BA, as RRV-infected IFN- γ knockout mice are protected from developing biliary obstruction and have a dramatic increase in survival.²⁴

Depletion of the CD8⁺ T-cell subset was also associated with increased survival, and the CD8⁺ T cells from BA mice were found to be directly cytotoxic to cholangiocytes *in vitro*.²⁵ A recent study by Zheng et al²⁶ further analyzed the CD8⁺ T-cell response in murine BA. Multiple RRV nonstructural protein 4 (NSP4) constructs were created to assess which viral epitope was responsible for CD8⁺ T-cell activation. A computer based program was utilized that predicted which NSP4 viral epitopes would most likely interact with CD8⁺ T cells. A fusion protein composed of glutathione S-transferase and NSP4 (GST-NSP4), as well as NSP4_{144–152} and NSP_{157–170} epitopes, were all recognized by CD8⁺ T cells and induced CD8⁺ T-cell IFN- γ production, similar to that found with RRV stimulation. Injection of neonatal mice with GST-NSP4, and not other viral constructs, led to biliary obstruction similar to RRV-infected mouse pups. Liver CD8⁺ T cells from NSP4_{144–152}, NSP_{157–170}, and GST-NSP4 injected mice that were cultured with bile duct epithelial cells led to direct epithelial cytotoxicity. The fact that the viral epitope-specific liver CD8⁺ T cells also recognized proteins within bile duct epithelia and elicited cellular damage suggests molecular mimicry as a potential mechanism of autoimmune activation. The researchers concluded that NSP4 is a pathogenic immunogen that initiates the inflammatory response, resulting in bile duct epithelial injury in murine BA. Collectively, these studies suggest that CD4⁺ T_H1 cells may activate CD8⁺ cytotoxic T cells and that both subsets contribute to the biliary injury and obstruction in BA.

The murine BA model has been used to understand the role of cellular autoimmunity in bile duct injury. Periductal inflammation involves an influx of bile duct epithelial-specific IFN- γ -producing T cells.²⁷ This was determined based on liver memory T-cell activation when cells were cultured with a bile duct epithelial homogenate protein source. *In vitro* analysis revealed that inhibition of CD4⁺ T cells, but not CD8⁺ T cells, was associated with loss of IFN- γ production, identifying the CD4⁺ T cell as the key cell type associated with bile duct-specific autoimmune activation. Adoptive transfer of the liver T cells from BA mice into immunodeficient recipient mice resulted in bile duct-targeted inflammation.^{25,27} Similar to human BA, the exact identity of the bile duct antigens that are being targeted has not been elucidated.

T_H2 Cellular Immunity

There is a rare subgroup of BA patients who present with a biliary cystic form of atresia. In this anatomic variant, there is a role for T_H2-mediated bile duct injury in murine BA. Stat1 knockout mice, which generate only T_H2 cytokines and are unable to make T_H1 cytokines, develop a cystic biliary form of BA after RRV infection. The role of IL-13, an effector cytokine found to be important in T_H2-mediated diseases, was also analyzed. Stat1/IL-13 double knockout mice showed some protection from bile duct obstruction with improved survival, and it was concluded that IL-13 and T_H2 responses contribute to the pathogenesis of cystic BA.²⁸

T_H17 Cellular Immunity

IL-17 has been implicated as a major pathogenic cytokine contributing to autoimmune-mediated diseases. Neonates have an enhanced ability to mount proinflammatory T_H17 responses, based on research showing that TLR-stimulated cord blood cells produce high levels of IL-6 and IL-23, necessary cytokines for T_H17 differentiation.²⁹ In addition, cultured cord blood CD4⁺ T cells can generate significant amounts of IL-17.³⁰ In the neonatal setting of a fully mature T_H17 pathway, is it possible that an aggressive, persistent T_H17 response plays a role in bile duct damage in BA? A recent study in BA found that serum IL-17a and IL-23 levels were increased in BA patients compared with healthy age-matched controls.³¹ In addition, the ratio of T_H17 cells/regulatory T cells (Tregs) was significantly higher in the peripheral blood of BA patients. BA liver tissue had increased mRNA expression of ROR- γ (IL-17 transcription factor), IL-17a, IL-1 β , IL-6, and transforming growth factor β 1, an increased number of IL-17a infiltrating cells, and a decreased ratio of Treg/CD4⁺ T cells. This study implies that T_H17 inflammatory pathways dominate and overcome the regulatory T-cell response, contributing to biliary injury in BA. T_H17 cell-mediated immunity requires further investigation to determine the significance of IL-17 to BA pathogenesis.

Regulatory T Cells

The Treg subset of CD4⁺ T cells is responsible for controlling immune responses to prevent "bystander damage" of healthy tissue and to prevent activation of autoreactive T cells. The Treg subset expresses the cell surface marker CD25^{high} and the transcription factor forkhead box P3 (Foxp3).³² Tregs inhibit cells involved in adaptive immunity (T- and B-cell responses) and innate immunity (macrophages, dendritic cells, and natural killer cells).^{33,34} In human neonates, the percentage of Tregs in peripheral blood increases significantly in the first 5 days of life, reaching adult levels at that time.³⁵ Recent studies suggest that there is a significantly greater number of Tregs in cord blood compared with adult Tregs.³⁶ Furthermore, cord blood Tregs are highly functional and can suppress T-cell proliferation and T_H1 IFN- γ production, similar to adult Treg function.³⁷

In neonatal mice, Tregs exit the thymus and travel to the spleen and lymph nodes on day 3 of life.^{32,33,38} Thymectomy in 3-day-old neonatal mice results in a spectrum of organ-specific autoimmunity that can be prevented by reconstitution of the thymectomized animals early in life with normal adult Tregs.^{38–40} Autoimmune disease may also develop when exogenous insults, such as virus infection, disrupt the maturation or functioning of Tregs. Morse et al⁴¹ showed that murine T lymphotropic virus infection on day 1 of life (but *not* on day 7 or 28) led to decreased release of Tregs from the thymus and the development of autoimmune gastritis. Kobayashi et al⁴² found that the administration of a poly I:C virus mimic into neonatal thymectomized mice resulted in worsening incidence and severity of autoimmune gastritis and was associated with a significant

decrease in the number of splenic Tregs. These studies reveal that neonatal viral infection can induce or exacerbate the propensity for autoimmunity due to Treg deficiencies, which sets the stage to study this mechanism of autoimmunity in the pathogenesis of BA.

In murine BA, RRV infection must take place in the first 48 hours of life to induce biliary disease, and the incidence of disease is highest when virus is administered in the first 24 hours of life.⁴³ The necessity of early age at the time of viral infection to generate disease leads to the question of whether this neonatal virus infection could alter the release of Tregs from the thymus or decrease their suppressive capacity in the periphery, thus allowing for pathogenic autoreactive T cells and inflammation to flourish, stimulating effector cell functions (Figure 1). Miethke et al⁴⁴ characterized the frequency of Tregs in neonatal mice and found that the liver and spleen of 3-day-old mice had

significantly fewer Tregs compared with 7-day-old mice, similar to previous studies showing that Tregs begin migration to the periphery on day 3 of life. The Treg deficit in week 1 was associated with enhanced dendritic cell activation of natural killer (NK) cells, resulting in biliary injury and obstruction. Lages et al⁴⁵ showed that adoptive transfer of total CD4⁺ T cells, but not Treg-depleted CD4⁺ T cells, into RRV-infected mice was associated with increased survival and diminished CD8⁺ T-cell cytotoxicity. Tucker et al⁴⁶ reported significant deficits in liver Treg frequencies as well as Treg suppressive function in BA mice. In addition, adoptive transfer of highly purified adult Tregs into RRV-infected BA mice prevented the development of biliary obstruction, dramatically increased survival and inhibited T_H1 cell-mediated biliary injury. These complimentary studies demonstrate that Treg frequency and function are diminished in BA; further analysis of the mechanisms

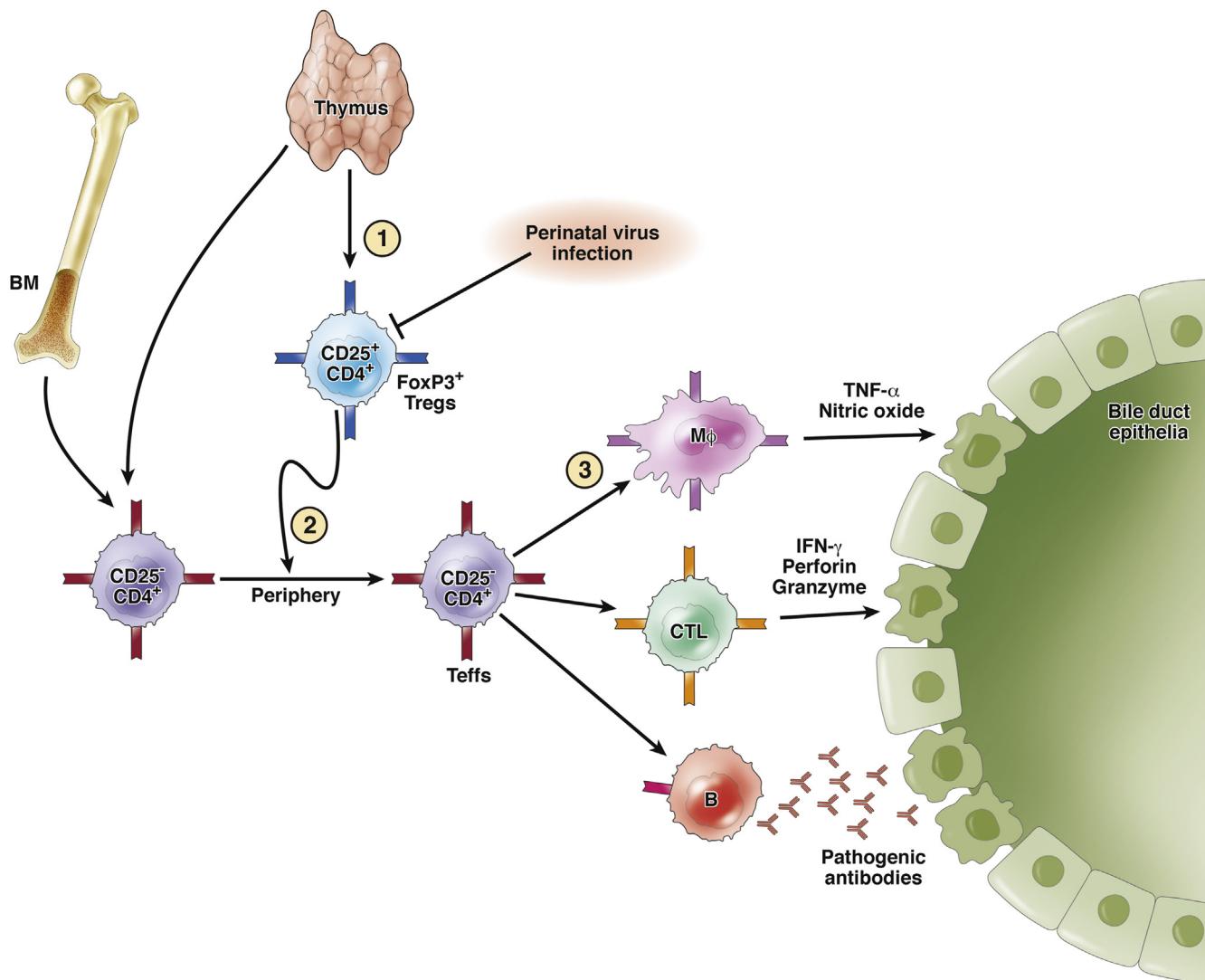


Figure 1. Hypothetical model of the role of Treg deficits in BA pathogenesis. Neonatal virus infection in the genetically predisposed individual may (1) alter the release of Tregs from the thymus or (2) decrease their regulatory capacity in the periphery, allowing for autoreactive CD4⁺ T-effector cells (Teffs) to flourish and (3) activate macrophages, cytotoxic CD8⁺ T cells (CTLs), and autoantibody-producing B cells, leading to progressive bile duct epithelial injury.

contributing to the Treg deficiencies is warranted. Future therapies aimed at enhancing Treg numbers and suppressive capabilities in BA may lead to protection of the intrahepatic biliary tree from ongoing damage.

Adaptive Humoral Immunity and B Cells

Humoral immune responses are initiated by interaction of antigen with the B-cell receptor (BCR) and direct cell contact with CD4⁺ T cells and/or Toll-like receptor ligands. The BCR is composed of a membrane-bound form of IgM (binds antigen [Ag]) and the signal transduction moiety Ig- α /Ig- β that is necessary for activation. The engagement of BCR by Ag leads to activation and proliferation of Ag-specific B-cell clones that differentiate into either plasmablasts or germinal center B cells, which then give rise to plasma cells or memory B cells.⁴⁷ In neonatal immunity, murine studies demonstrate that predominantly IgM antibody responses to *T-cell-independent* antigens such as plant lectins, polysaccharides, and polymerized proteins are well established by 2 to 3 weeks of age. In contrast, *T-cell-dependent* IgG antibody responses begin at ~2 weeks of age and reach peak levels by 6 to 8 weeks of age.^{48–50}

In humans, nearly all the IgG at birth is maternally derived and reaches a nadir between 1.5 and 3.0 months of age. At this point, IgG synthesis by the newborn begins; by 18 months of age, the maternally derived IgG is absent. Peak IgG levels are not attained until 5 years of age. On the other hand, IgM levels rise rapidly during the first months of life, attaining 75% of adult levels by 1 year of age. Pertinent to the potential pathogenesis of BA is a landmark study on the presence of IgM autoantibodies to defined self molecules in newborn humans.⁵¹ Termed “natural antibodies,” these neonatal natural IgMs react to a selective set of self antigens, many of which are among the target self antigens associated with autoimmune diseases. A subset of B cells, “B-1” cells, are in high frequency in the fetal liver and in neonates and are the main producers of these natural antibodies.⁵² Evidence exists that the IgM natural antibodies may actually inhibit autoimmunity through facilitating clearance of apoptotic cell debris.^{53,54} In contrast, it has been theorized that based on the presence of some major disease-associated self antigens within the IgM natural antibody repertoire, pathologic autoimmune disease could arise through a lapse in the regulation of otherwise benign, “natural” autoimmunity. One such cause of this lapse in regulation could be a perinatal virus infection, as theorized in BA.

To date, published work on humoral immunity in BA has been limited to characterization studies, such as those describing periductal immunoglobulin deposits.⁵⁵ Over 30 years ago, Hadchouel et al⁵⁶ described both IgM and IgG immunoglobulin deposits along the basement membrane of bile duct epithelia in the extrahepatic biliary remnant of 34% of infants with BA. Similar ductal epithelial immune deposits have been reported in the mouse model of BA.^{24,27} The target antigen(s) that these immunoglobulins are complexing with is unknown and could include self-bile duct epithelia proteins or viral proteins present within infected epithelia.

Liu et al⁵⁷ performed immunoblot analysis of sera from BA mice and discovered autoantibodies reactive to cytosolic proteins within bile duct epithelia. One such protein was identified as α -enolase, and significant elevations of α -enolase autoantibodies were uniquely present in BA mouse sera. One possible theory to explain the increase in production of autoantibodies in the setting of previous virus infection is that there is molecular mimicry between virus and self proteins. In this study, a high degree of sequence homology between enolase and rotavirus proteins VP4 and VP8 were identified, and the anti-enolase antibodies bound to both enolase and rotavirus, suggesting molecular mimicry as a mechanism of the autoimmune response. In addition, high levels of IgM and IgG α -enolase autoantibodies were detected in ~40% of infants and children with BA.⁵⁷ Interestingly, anti-enolase antibodies have been found in other autoimmune diseases including autoimmune liver diseases, suggesting that this antibody may be a nonspecific marker of autoimmunity.^{58,59} Future research centered on identifying potentially pathogenic, bile-duct specific autoantibodies should be pursued.

B cells are not only responsible for production of antibodies, but also play a key role as professional antigen-presenting cells (APCs), with subsequent T-cell activation. Naive neonatal APCs have limited ability to activate T cells due to low levels of major histocompatibility complex class II and costimulatory molecules.⁶⁰ However, neonatal mice exposed to low levels of replicating virus display increased antigen-presentation capabilities, with subsequent T_H1 cell activation and cytotoxic T-cell function.⁶¹ Perhaps a similar virus-induced APC activation is occurring in the neonate with BA. To assess the importance of B cells in BA pathogenesis, Feldman et al⁶² used mice deficient in Ig- α (Ig- α ^{-/-}) that have loss of BCR expression and function, resulting in defective B-cell antigen presentation and immunoglobulin production. RRV-infected Ig- α ^{-/-} mice had dramatically increased survival and lack of bile duct obstruction. Significantly decreased numbers of liver CD4⁺ T cells, NK T cells, and NK cells and macrophages were observed in RRV-infected Ig- α ^{-/-} mice compared with wild-type mice. Similar to other B-cell depletion studies,^{63–66} the RRV-infected Ig- α ^{-/-} mice had increased levels of Tregs, suggesting a link between B-cell activation and Treg inhibition. In addition, lack of T-cell activation in RRV-infected Ig- α ^{-/-} mice was demonstrated based on markedly decreased production of IFN- γ and TNF- α from CD4⁺ T cells and IFN- γ from CD8⁺ T cells. This implies that without B-cell antigen presentation, the T cells are not activated, which suggests a possible mechanism of protection from disease. B cells appear to play a critical role in the RRV-induced mouse model of BA, and future studies aimed at deciphering the specific role of antigen presentation and production of pathogenic autoantibodies are necessary to understand the impact of B cells in disease pathogenesis.

Summary

Biliary atresia is a devastating disease wherein the vast majority of patients require liver transplantation for

survival. It is critical to grasp the immunopathogenesis of BA in order to provide future therapies that control the intrahepatic biliary inflammation and prevent subsequent fibrosis. Evidence exists for a key role of both arms of the adaptive immune response in bile duct injury. The neonatal presentation of BA provides a clue to disease pathogenesis. Early events that impact the neonatal immune system (ie, perinatal virus infection) may alter the immune response and promote a progressive inflammatory or biliary autoimmune disease. To advance our understanding of the etiology of BA, future studies should focus on those unique aspects of the neonatal immune system that have gone awry, as detailed throughout this review.

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Conflicts of interest

The authors disclose no conflicts.

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