

# Two-year outcomes of more than 30 000 elderly patients with atrial fibrillation: results from the All Nippon AF In the Elderly (ANAFIE) Registry

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## Aims

To clarify the real-world clinical status and prognosis of elderly and very elderly non-valvular atrial fibrillation (NVAF) patients, more than 30 000 elderly patients with NVAF aged  $\geq 75$  years were enrolled in the ANAFIE Registry.

## Methods and results

This multicentre, prospective, observational study followed elderly NVAF patients in Japan for  $\sim 2$  years. Among 32 275 patients (mean age, 81.5 years; men, 57.3%; mean CHA<sub>2</sub>DS<sub>2</sub>-VASc score, 4.5), 2445 (7.6%) were not receiving oral anticoagulants (OACs) and 29 830 (92.4%) were given OACs. Of these, 21 585 (66.9%) were receiving direct OACs (DOACs) and 8233 (25.5%), warfarin (mean time in therapeutic range:  $\sim 75\%$ ). In total, the 2-year incidence rate was 3.01% for stroke/systemic embolic events (SEE); 2.00%, major bleeding; and 6.95%, all-cause death. When compared with the warfarin group, the DOAC group had a lower hazard ratio (HR) for stroke/SEE, major bleeding, and all-cause death after adjusting for confounders. The group without OACs had a higher HR for stroke/SEE and all-cause death, with a lower HR for major bleeding. History of falls within 1 year at enrolment and of catheter ablation were positive and negative independent risk factors, respectively, for stroke/SEE, major bleeding, and all-cause death.

## Conclusion

In Japan, a large proportion of elderly and very elderly NVAF patients were receiving DOACs, which was significantly associated with lower rates of stroke/SEE, major bleeding, and all-cause death vs. well-controlled warfarin.

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History of falls and of catheter ablation were independently associated with stroke/SEE, major bleeding, and all-cause death.

## Keywords

Anticoagulants • Atrial fibrillation • Elderly patients • Stroke • Systemic embolism • DOACs

## Introduction

The ageing population continues to grow rapidly worldwide, particularly in developed countries. The number of people aged 65 years or older is projected to double to 1.5 billion and that aged 80 years or older to triple to 0.4 billion in 2050.<sup>1</sup> The prevalence of non-valvular atrial fibrillation (NVAF) will increase with this global ageing. A recent epidemiological study<sup>2</sup> predicted that elderly atrial fibrillation (AF) patients  $\geq 65$  years in the European Union would increase by 89% in 2060. Of note, very elderly (i.e.  $\geq 80$  years of age) AF patients represented 51.2% of the total AF population in 2016, but this percentage is expected to increase up to 65.2% in 2060. The management of elderly and very elderly NVAF patients is gaining more significance for our societies because older age is associated with an increased risk of stroke and heart failure, particularly among patients with NVAF.<sup>3</sup> However, clinical trials on NVAF<sup>4–7</sup> have not specifically targeted the enrolment of these patients. Even observational data on the background and clinical outcomes of these patients remain limited.

Some studies reported that oral anticoagulants (OACs) are underused among elderly patients in real-world clinical practice.<sup>8,9</sup> Such OAC underuse is mainly attributed to concerns of a higher risk of complications related to multimorbidity among elderly patients,<sup>8,10</sup> which is even more marked in the very elderly. Other studies have shown that populations at higher risk of embolic events and bleeding reap important benefits from anticoagulation.<sup>11,12</sup> More information is required for improving anticoagulation for elderly patients, especially very elderly NVAF patients, for whom physicians are prone to be reluctant to use OACs.

Japan has one of the largest and fastest growing ageing populations in the world.<sup>13</sup> At present, the prevalence of NVAF in Japan is less than 1% in the overall population, but the prevalence increases sharply among patients aged 80 years and older.<sup>14</sup> Thus, based on the clinical practices applied to the increasing number of elderly and very elderly NVAF patients, data from Japan can aid other regions undergoing similar ageing population growth. The ANAFIE Registry<sup>15,16</sup> is the world's largest registry, with more than 30 000 NVAF patients aged  $\geq 75$  years. The main objectives were to clarify the clinical status of elderly and very elderly NVAF patients, including their anticoagulant therapeutic regimens and their outcomes. Herein, we report the 2-year outcomes of this registry.

## Methods

### Study design

The rationale and full study design of the ANAFIE registry have been published,<sup>15</sup> as have the baseline data.<sup>16</sup> Briefly, the ANAFIE Registry was a multicentre, prospective, observational study of elderly patients (aged  $\geq 75$  years) with NVAF, irrespective of OAC use. A total of 1273 medical

facilities participated in the study throughout Japan, which was conducted from October 2016 to January 2020 and included a 2-year follow-up, with data collected at baseline, and at 1 and 2 years.

### Ethical considerations of the study

The study complied with the Declaration of Helsinki, and local requirements for registries and ethical guidelines for clinical studies in Japan were met. Ethics committee approvals were obtained. Written informed consent was obtained from all patients (or their family members for patients with communication disorders, such as aphasia, or cognitive impairment).

### Patients

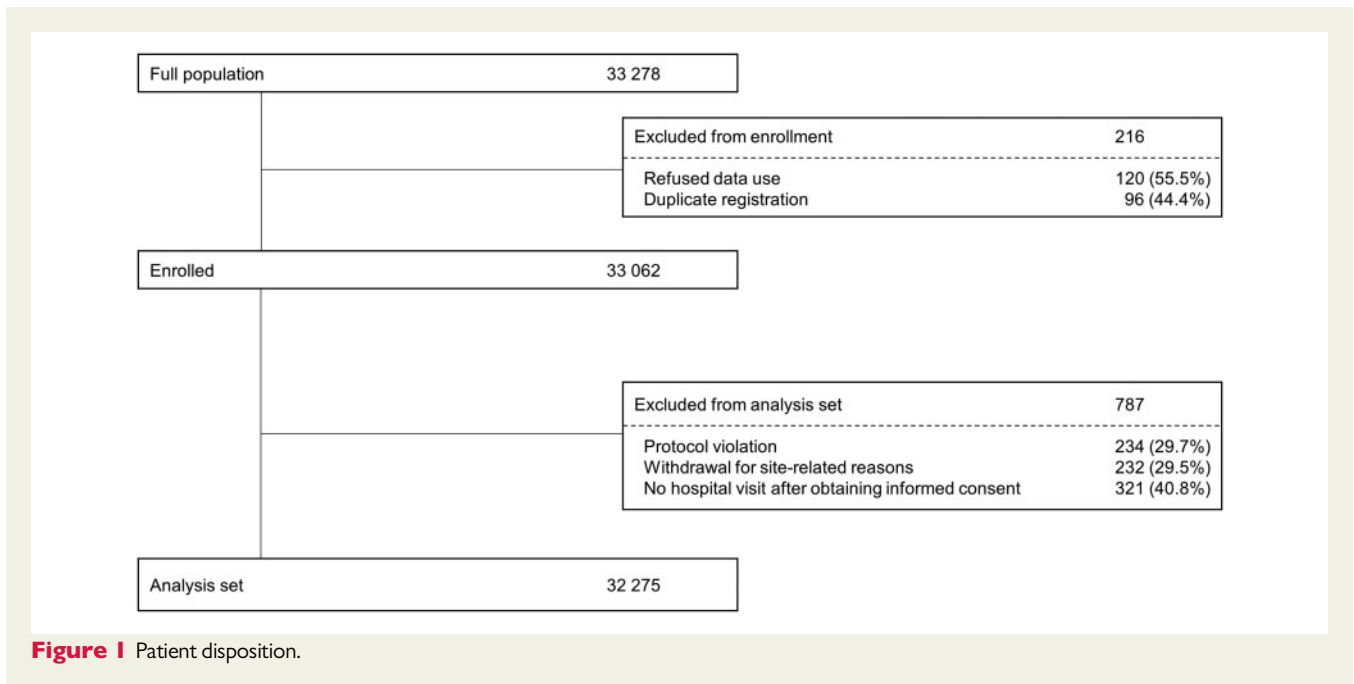
Men and women  $\geq 75$  years of age at the time of informed consent, with a definite diagnosis of NVAF (by electrocardiogram), who were able to attend hospital visits were included. Patients were excluded if any of the following criteria were met: currently participating or planning to participate in an interventional study; definite diagnosis of mitral stenosis; artificial heart valve replacement (either mechanical or tissue valve prostheses); very recent history of cardiovascular events including stroke, myocardial infarction (MI), cardiac intervention, heart failure requiring hospitalization, or any bleeding leading to hospitalization within 1 month prior to enrolment; life expectancy of  $< 1$  year; or participation was deemed inappropriate by treating physicians.

### Study endpoints

The primary endpoint was the incidence of stroke/systemic embolic events (SEE). The secondary endpoints were the incidence of the following events: major bleeding; stroke; SEE; ischaemic stroke; haemorrhagic stroke; intracranial haemorrhage; cardiovascular events (stroke, MI, cardiac intervention for ischaemic disease other than MI, and heart failure requiring hospitalization); death from cardiovascular diseases; and all-cause death. Net clinical outcomes were defined as the composite of stroke/SEE, major bleeding, and all-cause death. All endpoint events were adjudicated by cerebrovascular, cardiac, and bleeding event evaluation committees, consisting of neurologists, cardiologists, and haematologists, respectively, who were blind to the anticoagulation treatment. Major bleeding was classified according to the International Society on Thrombosis and Haemostasis (ISTH) definition. Additional disease classifications (including the type of AF) and assessments [including the target range of prothrombin time-international normalized ratio (PT-INR) of 1.6–2.6 for Japanese patients] were based on AF guidelines published by the Japanese Circulation Society.<sup>17</sup> PT-INR monitoring was conducted using the results obtained from outpatient blood tests; data from patients who had three or more measurements in 6 months were averaged. All patients underwent follow-up from enrolment until the end of the study, death, or withdrawal from the study.

### Statistical analysis

The analysis was performed using the full analysis set, which included all enrolled patients but excluded patients with protocol violation (i.e. not meeting all of the inclusion criteria or meeting any of the exclusion criteria), lack of follow-up visits after obtaining informed consent, and other



reasons. Categorical variables were analysed using frequency tables. Summary statistics, including  $n$ , mean, standard deviation, minimum value, median, and maximum value, were calculated for continuous variables. Baseline variables were compared among no anticoagulation (No OAC), warfarin, and direct oral anticoagulant (DOAC) groups, using the  $\chi^2$  test for categorical variables and one-way analysis of variance for continuous variables. Outcomes were assessed at 2 years from obtaining informed consent, and the effect of anticoagulation on outcomes was analysed by type of anticoagulation therapy at the enrolment. The occurrence of primary and secondary endpoints was analysed with Kaplan–Meier curves and also described as rate per 100 person-years with 95% confidence interval (CI). For the comparison of incidence rates among types of anticoagulation therapy, the Cox proportional hazards model was used, where the hazard ratios (HRs) and 95% CIs were calculated using the warfarin group as a reference. In this analysis, the variables possibly associated with selection of anticoagulant therapy or incidence of outcomes were entered in the statistical model. The variables, other than anticoagulation therapy, were also determined as independent risk factors for stroke/SEE, major bleeding, intracranial haemorrhage, and all-cause death. All statistical analyses were performed using SAS version 9.4 (SAS Institute, Tokyo, Japan).

### Role of the funding source

Daiichi Sankyo Co., Ltd., supported the ANAFIE Registry. The sponsor was involved in the study design, planning of the data analysis, data interpretation, and decision to submit the manuscript for publication, but was not directly involved in data management, direct access, or statistical analysis. The corresponding author had full access to all data and was responsible for the submission for publication.

## Results

### Patient disposition and characteristics

Of the 33 062 patients enrolled, 787 were excluded, and a total of 32 275 patients were included in the present analysis (Figure 1).

There were 1109 (3.4%) patients lost to follow-up; the proportion did not differ among the No OAC, warfarin, and DOAC groups ( $P = 0.29$ ). Meanwhile, 762 (2.4%) discontinued the study due to withdrawal of consent and other reasons; the proportion of patients who discontinued differed slightly but significantly among the three treatment groups ( $P = 0.005$ , [Supplementary material online, Table S1](#)). The mean follow-up period was 1.88 years.

Table 1 provides a summary of the main baseline characteristics of the patients by anticoagulant use. Overall, 57.3% of patients were men, the mean body mass index (BMI) was 23.3 kg/m<sup>2</sup>, and the mean age was 81.5 years. Most patients were between 75 and 79 years of age (40.0%) or between 80 and 89 years of age (43.5%), and 6.5% were aged over 90 years. Paroxysmal AF was the most common type of AF, followed by persistent AF and long-standing persistent/permanent AF. The most common comorbidities were hypertension (75.3%) and heart failure (37.5%).

Of 32 275 patients, 12 were using parenteral anticoagulants and were therefore excluded from further analyses according to types of anticoagulation. In total, 2445 (7.6%) patients were not receiving OACs (No OAC group), and 29 830 (92.4%) were receiving OACs (OAC group). Of the latter, 8233 (25.5%) were using warfarin, and 21 585 (66.9%) were using DOACs. In the warfarin group, the mean prothrombin time-international normalized ratio was 1.98 at enrolment, and the mean time in the therapeutic range (PT-INR 1.6–2.6) was 75.5% during the 6 months just before the enrolment. In the DOAC group, apixaban, rivaroxaban, edoxaban, and dabigatran was used in 8045 (24.9% of the total), 6403 (19.8%), 4790 (14.8%), and 2347 (7.3%) patients, respectively. The percentage of patients prescribed a reduced dose of each DOAC was 61.1%, and 27.5% of those were treated with doses below those recommended in the package insert ([Supplementary material online, Table S2](#)).

The mean age of the No OAC group was the highest, followed in order by the warfarin and DOAC groups (Table 1). Patients receiving

**Table 1** Patient characteristics

	Total (N = 32 275)	No OAC (n = 2445)	OAC			P-value <sup>a</sup>
			All (n = 29 818)	Warfarin (n = 8233)	DOAC (n = 21 585)	
Men	18 482 (57.3)	1273 (52.1)	17 200 (57.7)	5109 (62.1)	12 091 (56.0)	<0.001
Age, years	81.5 ± 4.8	82.3 ± 5.5	81.4 ± 4.7	81.9 ± 4.9	81.2 ± 4.7	<0.001
≥75 to <80	12 895 (40.0)	893 (36.5)	11 995 (40.2)	2986 (36.3)	9009 (41.7)	<0.001
≥80 to <85	10 961 (34.0)	725 (29.7)	10 232 (34.3)	2863 (34.8)	7369 (34.1)	
≥85 to <90	6295 (19.5)	547 (22.4)	5747 (19.3)	1743 (21.2)	4004 (18.5)	
≥90 to <95	1848 (5.7)	224 (9.2)	1624 (5.4)	556 (6.8)	1068 (4.9)	
≥95 to <100	265 (0.8)	51 (2.1)	214 (0.7)	82 (1.0)	132 (0.6)	
≥100	11 (<0.1)	5 (0.2)	6 (0.0)	3 (<0.1)	3 (<0.1)	
BMI, kg/m <sup>2</sup>	23.3 ± 3.6	22.7 ± 3.5	23.4 ± 3.6	23.4 ± 3.6	23.4 ± 3.6	<0.001
SBP, mmHg	127.4 ± 17.0	130.0 ± 17.5	127.1 ± 17.0	125.8 ± 17.1	127.7 ± 16.9	<0.001
DBP, mmHg	70.6 ± 11.6	70.4 ± 11.5	70.7 ± 11.6	69.9 ± 11.8	71.0 ± 11.6	<0.001
Creatinine clearance, mL/min	48.6 ± 22.0	45.6 ± 18.2	48.6 ± 18.2	44.7 ± 18.3	50.1 ± 18.0	<0.001
CHADS <sub>2</sub> score	2.9 ± 1.2	2.6 ± 1.2	2.9 ± 1.2	3.0 ± 1.2	2.8 ± 1.2	<0.001
CHA <sub>2</sub> DS <sub>2</sub> -VASc score	4.5 ± 1.4	4.3 ± 1.4	4.5 ± 1.4	4.5 ± 1.4	4.4 ± 1.4	<0.001
HAS-BLED score	1.9 ± 0.9	2.0 ± 0.9	1.9 ± 0.9	2.0 ± 0.9	1.8 ± 0.8	<0.001
History of major bleeding	1439 (4.5)	170 (7.0)	1268 (4.3)	387 (4.7)	881 (4.1)	<0.001
AF type						
Paroxysmal	13 586 (42.1)	1720 (70.3)	11 857 (39.8)	2431 (29.5)	9426 (43.7)	<0.001
Persistent	5336 (16.5)	255 (10.4)	5080 (17.0)	1279 (15.5)	3801 (17.6)	
Long-standing persistent/permanent	13 353 (41.4)	470 (19.3)	12 881 (43.2)	4523 (55.0)	8358 (38.7)	
History of non-pharmacological therapy for AF	5677 (17.6)	580 (23.7)	5096 (17.1)	1342 (16.3)	3754 (17.4)	<0.001
Catheter ablation	2970 (9.2)	376 (15.4)	2594 (8.7)	500 (6.1)	2094 (9.7)	<0.001
Electrical defibrillation	715 (2.2)	40 (1.6)	675 (2.3)	186 (2.3)	489 (2.3)	0.13
ICD	151 (0.5)	17 (0.7)	134 (0.4)	54 (0.7)	80 (0.4)	0.001
Pacemaker	2358 (7.3)	189 (7.7)	2169 (7.3)	713 (8.7)	1456 (6.7)	<0.001
Others	112 (0.3)	13 (0.5)	98 (0.3)	39 (0.5)	59 (0.3)	<0.001
Comorbidities						
Hypertension	24 312 (75.3)	1821 (74.5)	22 482 (75.4)	6159 (74.8)	16 323 (75.6)	0.21
Diabetes mellitus	8733 (27.1)	580 (23.7)	8150 (27.3)	2416 (29.3)	5734 (26.6)	<0.001
Chronic kidney disease	6705 (20.8)	441 (18.0)	6261 (21.0)	2150 (26.1)	4111 (19.0)	<0.001
Myocardial infarction	1851 (5.7)	138 (5.6)	1712 (5.7)	614 (7.5)	1098 (5.1)	<0.001
Heart failure	12 116 (37.5)	742 (30.3)	11 372 (38.1)	3592 (43.6)	7780 (36.0)	<0.001
History of cerebrovascular disease	7303 (22.6)	468 (19.1)	6833 (22.9)	1873 (22.7)	4960 (23.0)	<0.001
Gastrointestinal diseases	9467 (29.3)	805 (32.9)	8661 (29.0)	2336 (28.4)	6325 (29.3)	<0.001
Active cancer	3569 (11.1)	284 (11.6)	3283 (11.0)	846 (10.3)	2437 (11.3)	0.03
Dementia	2512 (7.8)	248 (10.1)	2264 (7.6)	574 (7.0)	1690 (7.8)	<0.001
Fall within 1 year	2347 (7.3)	167 (6.8)	2178 (7.3)	660 (8.0)	1518 (7.0)	0.01

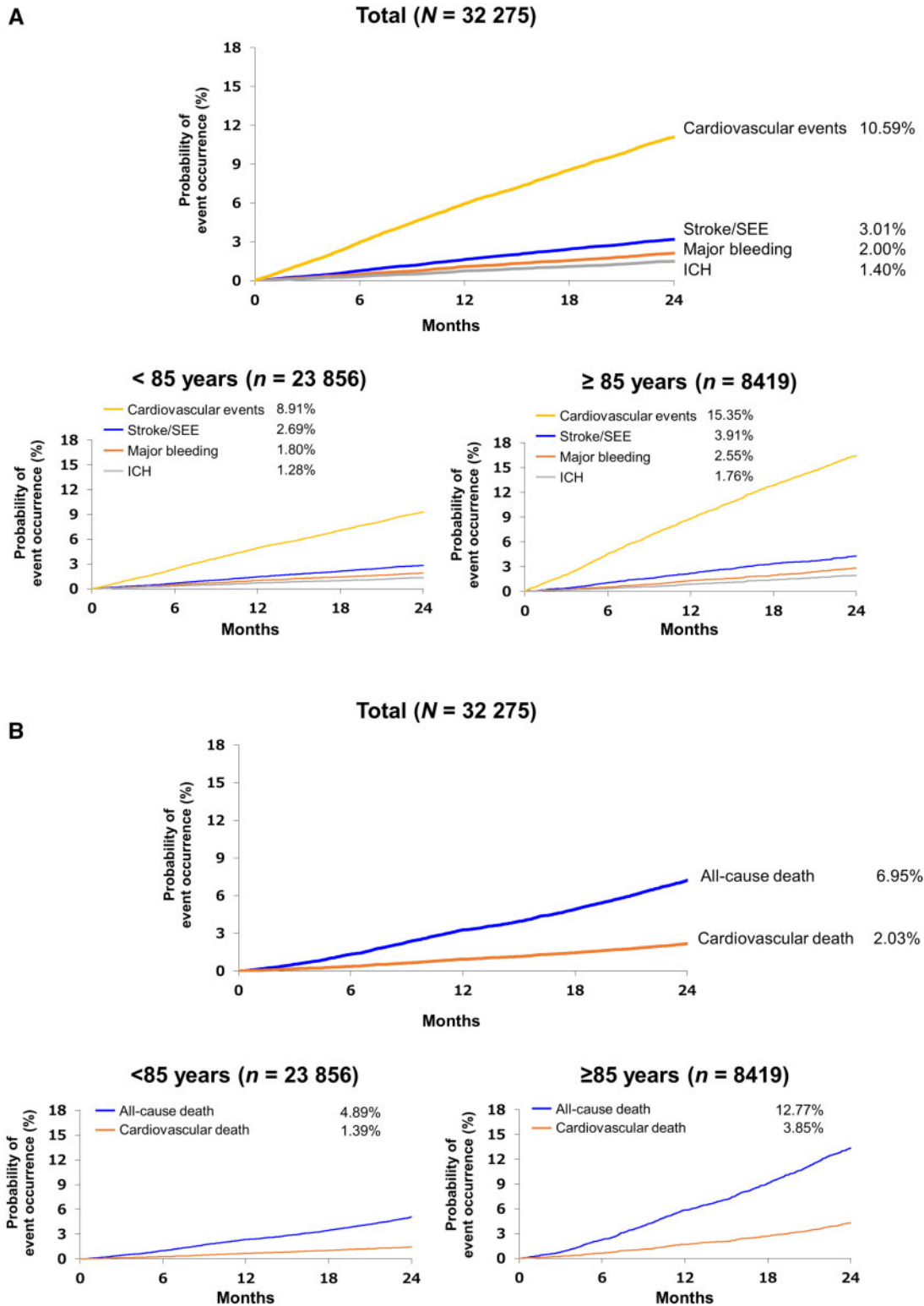
Data in the table are presented as n (%) or mean ± standard deviation.

AF, atrial fibrillation; BMI, body mass index; DBP, diastolic blood pressure; ICD, implantable cardioverter-defibrillator; OAC, oral anticoagulants; SBP, systolic blood pressure.  
aComparison among no OAC, warfarin and DOAC groups.

DOACs tended to have a higher creatinine clearance and tended to be more frequently diagnosed with paroxysmal AF than warfarin groups. Patients using warfarin tended to have higher proportions of heart failure, diabetes mellitus, chronic kidney disease, and MI than those in the No OAC and DOAC groups. Non-pharmacological therapy for AF, including catheter ablation was more common in the No OAC group.

## Primary and secondary endpoints

Kaplan–Meier curves are shown in *Figure 2*. Among 32 275 patients, the 2-year incidence of the primary endpoint of stroke/SEE was 3.01% and was lower in patients aged <85 years than in those aged ≥85 years (*Figure 2A*). The incidence of major bleeding and intracranial haemorrhage was 2.00% and 1.40%, respectively, and was higher in patients aged ≥85 years compared with those aged <85 years



**Figure 2** Kaplan–Meier curves of the total population and by age group, showing stroke/SEE, major bleeding, cardiovascular events, and ICH (A), and deaths (B). ICH, intracranial haemorrhage; SEE, systemic embolic events.

**Table 2** Incidence rates of clinical events

Variable	Total (N = 32 275)	No OAC (n = 2445)	Warfarin (n = 8233)	DOAC (n = 21 585)
	<b>Event number Incidence rate/ 100 person-years (95% CI)</b>			
Stroke/SEE	970 1.62 (1.52–1.73)	88 2.00 (1.58–2.41)	298 1.98 (1.75–2.20)	584 1.45 (1.33–1.57)
Stroke	945 1.58 (1.48–1.68)	86 1.95 (1.54–2.36)	287 1.90 (1.68–2.13)	572 1.42 (1.31–1.54)
Ischaemic stroke	743 1.24 (1.15–1.33)	77 1.74 (1.35–2.13)	227 1.50 (1.31–1.70)	439 1.09 (0.99–1.19)
Haemorrhagic stroke	201 0.33 (0.29–0.38)	10 0.22 (0.09–0.36)	60 0.39 (0.29–0.49)	131 0.32 (0.27–0.38)
SEE	28 0.05 (0.03–0.06)	2 0.04 (–0.02–0.11)	13 0.09 (0.04–0.13)	13 0.03 (0.01–0.05)
Major bleeding	645 1.08 (0.99–1.16)	40 0.90 (0.62–1.18)	216 1.43 (1.24–1.62)	389 0.96 (0.87–1.06)
All bleeding	2557 4.40 (4.23–4.57)	116 2.65 (2.17–3.14)	749 5.12 (4.76–5.49)	1690 4.32 (4.11–4.53)
ICH	453 0.75 (0.68–0.82)	27 0.61 (0.38–0.83)	156 1.03 (0.87–1.19)	270 0.67 (0.59–0.75)
GI bleeding	1139 1.92 (1.81–2.03)	59 1.34 (1.00–1.68)	316 2.11 (1.88–2.34)	763 1.91 (1.78–2.05)
Cardiovascular disease	3417 5.91 (5.71–6.11)	259 6.03 (5.29–6.76)	1140 7.92 (7.46–8.38)	2018 5.16 (4.94–5.39)
Cardiovascular death	654 1.08 (1.00–1.17)	73 1.63 (1.26–2.01)	231 1.51 (1.32–1.71)	350 0.86 (0.77–0.95)
All-cause death	2242 3.71 (3.56–3.87)	235 5.26 (4.58–5.93)	728 4.77 (4.43–5.12)	1278 3.14 (2.97–3.32)
Net clinical outcome <sup>a</sup>	3273 5.51 (5.32–5.70)	313 7.13 (6.34–7.92)	1034 6.91 (6.49–7.33)	1925 4.81 (4.59–5.02)

DOAC, direct oral anticoagulant; GI, gastrointestinal; ICH, intracranial haemorrhage; OAC, oral anticoagulant; SEE, systemic embolic events.  
<sup>a</sup>Stroke/SEE/major bleeding/all-cause death.

(Figure 2A). The incidence of cardiovascular events was 10.59%, and nearly two-fold higher for those aged  $\geq 85$  years compared with those aged  $< 85$  years (Figure 2A). The 2-year incidence of all-cause death was 6.95%, and that of cardiovascular death was 2.03%, which nearly tripled in patients aged  $\geq 85$  years compared with those aged  $< 85$  years (Figure 2B). Incidence rates of endpoints according to types of anticoagulation are shown in Table 2.

### Comparison of anticoagulation therapy

Table 3 shows the crude and adjusted HRs of primary and secondary endpoints according to anticoagulation therapy with the warfarin group serving as the reference. The risk of stroke/SEE, stroke, and ischaemic stroke was higher in the No OAC group but lower in DOAC group as compared with the warfarin group. The risk of major bleeding events and intracranial haemorrhage was lower in the No OAC and DOAC groups than in the warfarin group. However, all bleeding and gastrointestinal bleeding was comparable between the warfarin and DOAC groups. All-cause death and net clinical outcome were significantly higher in the No OAC group and lower in

the DOAC group compared with the warfarin group. Similar results were observed when excluding off-label dosing (Supplementary material online, Tables S3 and S4).

### Analysis of risk factors for stroke/SEE, major bleeding, and all-cause death

Table 4 shows the results of Cox multivariate regression analysis for variables other than the type of anticoagulation therapy for stroke/SEE, major bleeding, and all-cause death. Independent risk factors for stroke/SEE were older age  $\geq 85$  years, history of cerebrovascular diseases, persistent and long-standing persistent/permanent AF, higher systolic blood pressure, higher HbA1c, other thromboembolic disease, creatinine clearance  $< 30$  mL/min, and history of falls within 1 year. Conversely, history of catheter ablation emerged as a factor associated with lower risk of stroke/SEE. As for major bleeding, older age, severe liver function disorder, history of major bleeding, history of cerebrovascular diseases, active cancer, multiple ( $\geq 5$ ) drug use, and history of falls within 1 year were significant risk factors. BMI  $\geq 25$  kg/m<sup>2</sup>, history of catheter ablation and antiarrhythmic drug use



**Table 3** Analysis of each event by crude and adjusted hazard ratios for oral anticoagulant treatment group vs. warfarin

Variable	No OAC vs. warfarin				DOAC vs. warfarin			
	Crude HR (95% CI)	P-value	Adjusted HR <sup>a</sup> (95% CI)	P-value	Crude HR (95% CI)	P-value	Adjusted HR <sup>a</sup> (95% CI)	P-value
Stroke/SEE	1.01 (0.80–1.28)	0.94	1.31 (1.02–1.68)	0.03	0.73 (0.64–0.84)	<0.001	0.82 (0.71–0.95)	<0.001
Stroke	1.02 (0.80–1.30)	0.85	1.32 (1.03–1.70)	0.03	0.75 (0.65–0.86)	<0.001	0.83 (0.72–0.96)	0.01
Ischaemic stroke	1.16 (0.90–1.50)	0.26	1.62 (1.24–2.13)	<0.001	0.72 (0.62–0.85)	<0.001	0.82 (0.70–0.97)	0.02
Haemorrhagic stroke	0.57 (0.29–1.11)	0.10	0.59 (0.29–1.17)	0.13	0.82 (0.60–1.11)	0.20	0.85 (0.62–1.17)	0.31
SEE	0.52 (0.12–2.32)	0.40	0.77 (0.16–3.58)	0.74	0.38 (0.17–0.81)	0.01	0.53 (0.24–1.17)	0.11
Major bleeding	0.63 (0.45–0.88)	0.01	0.67 (0.48–0.95)	0.03	0.67 (0.57–0.80)	<0.001	0.73 (0.62–0.87)	<0.001
All bleeding	0.52 (0.43–0.63)	0.007	0.53 (0.43–0.65)	<0.001	0.84 (0.77–0.92)	<0.001	0.92 (0.84–1.01)	0.07
ICH	0.59 (0.39–0.89)	0.01	0.62 (0.40–0.94)	0.02	0.65 (0.53–0.79)	<0.001	0.68 (0.55–0.83)	<0.001
GI bleeding	0.63 (0.48–0.84)	0.001	0.65 (0.49–0.86)	0.003	0.91 (0.79–1.03)	0.14	1.00 (0.87–1.14)	0.98
Cardiovascular disease	0.76 (0.66–0.87)	<0.001	0.95 (0.83–1.10)	0.50	0.65 (0.61–0.70)	<0.001	0.83 (0.77–0.89)	<0.001
Cardiovascular death	1.08 (0.83–1.40)	0.57	1.41 (1.07–1.86)	0.01	0.57 (0.48–0.67)	<0.001	0.81 (0.68–0.96)	0.01
All-cause death	1.10 (0.95–1.28)	0.19	1.29 (1.11–1.51)	0.001	0.66 (0.60–0.72)	<0.001	0.85 (0.77–0.93)	<0.001
Net clinical outcome <sup>b</sup>	1.03 (0.91–1.17)	0.63	1.24 (1.09–1.42)	0.001	0.69 (0.64–0.75)	<0.001	0.85 (0.78–0.91)	<0.001

CI, confidence interval; DOAC, direct oral anticoagulant; GI, gastrointestinal; HR, hazard ratio; ICH, intracranial haemorrhage; OAC, oral anticoagulant; SEE, systemic embolism. <sup>a</sup>Adjusted by sex, body mass index history of bleeding, type of AF, systolic blood pressure, severe hepatic disease, diabetes, hyperuricaemia, heart failure and/or reduced left ventricular ejection fraction, myocardial infarction, cerebrovascular disease, thromboembolic disease, active cancer, dementia, fall within 1 year, history of catheter ablation, creatinine clearance, digestive diseases, polypharmacy, and use of antiarrhythmic drugs, anti-platelet agents, proton pump inhibitors, P-glycoprotein inhibitors, and anti-hyperlipidemia drugs.

<sup>b</sup>Stroke/SEE/major bleeding/all-cause death.

were associated with lower major bleeding events. Independent risk factors for all-cause death varied widely and included factors other than those for stroke/SEE and major bleeding. In addition to the known risk factors, history of falls within 1 year was also associated with higher all-cause death, whereas female sex, BMI  $\geq 25$  kg/m<sup>2</sup>, dyslipidaemia, digestive disease, and history of catheter ablation were associated with lower all-cause death. Risk factors identified for intracranial haemorrhage were similar to those associated with major bleeding (Supplementary material online, Table S5).

## Discussion

The present results are clinically relevant because clinical trial data on the efficacy and safety of DOACs for elderly and very elderly patients are limited. Illness, treatment response, and complications tend to manifest differently in elderly patients compared with younger patients owing to the ageing process itself and reduced physiological functions.<sup>18,19</sup> Furthermore, elderly patients generally present several comorbidities and are likely to be taking multiple medications, which increases the risk of drug interactions.<sup>20</sup> All these factors highlight the complexity involved in treating elderly NVAF patients. The risk of bleeding and stroke increase with increasing age. Thus, the prospectively collected data on the safety of anticoagulants in this large-scale study will help to inform the selection of treatment in this particular population to achieve optimal anticoagulation with fewer complications.

## Major findings of the present study

At the 2-year final assessment of the elderly and very elderly NVAF patients (mean age of 81.5 years) in the ANAFIE Registry, 92.4% of patients were receiving OACs, and only 7.6% of patients did not receive OACs. Among those receiving OACs, three-quarters were receiving DOACs, and those remaining were receiving warfarin. During the 2-year observation period, the overall incidences of stroke/SEE, major bleeding, and all-cause death were 3.01%, 2.00%, and 6.95%, respectively. These incidences were lower in the DOAC group than in the warfarin group, but higher in the No OAC group, except for major bleeding. Most of the risk factors for stroke/SEE, major bleeding, and all-cause death were consistent with previous reports,<sup>21–23</sup> but several novel risk factors were identified; for instance, fall within 1 year was associated with increased risk, and history of catheter ablation was associated with a decreased risk for stroke/SEE, major bleeding, and all-cause death.

## Clinical characteristics of patients in the ANAFIE Registry

In the ANAFIE Registry, the proportion of patients receiving OACs was >90%, which seems high. However, a previous study from Canada<sup>24</sup> has reported that more than 70% of octogenarians with AF received anticoagulation therapy and that the proportion increased with lower Clinical Frailty Scale scores. Additionally, the Atrial Fibrillation in Octogenarians (OCTOFA) study<sup>25</sup> in France reported that a similar proportion of elderly patients was receiving OACs. Considering that the patients in our registry could visit the hospital and that the development of DOACs has progressively contributed

**Table 4** Multivariate analysis on Stroke/SEE, major bleeding and all-cause death

Factor	Stroke/SEE		Major bleeding		All-cause death		
	HR (95%CI)	P-value	HR (95%CI)	P-value	HR (95%CI)	P-value	
Sex	Men <sup>a</sup>	—	—	—	—	—	
	Women	1.01 (0.88–1.16)	0.91	0.92 (0.78–1.09)	0.33	0.61 (0.55–0.67)	<0.001
Age	<85 years <sup>a</sup>	—	—	—	—	—	
	≥85 years	1.28 (1.11–1.48)	0.001	1.27 (1.06–1.52)	0.01	1.81 (1.66–1.99)	<0.001
Body mass index	<18.5 kg/m <sup>2</sup>	1.12 (0.86–1.44)	0.40	1.32 (0.99–1.76)	0.06	1.84 (1.62–2.09)	<0.001
	≥18.5, <25.0 kg/m <sup>2a</sup>	—	—	—	—	—	
	≥25.0 kg/m <sup>2</sup>	1.03 (0.88–1.21)	0.74	0.74 (0.60–0.91)	0.005	0.85 (0.75–0.95)	0.006
History of major bleeding	Yes	1.18 (0.91–1.51)	0.21	1.75 (1.32–2.32)	<0.001	1.18 (1.00–1.39)	0.06
	No <sup>a</sup>	—	—	—	—	—	
Types of AF	Paroxysmal <sup>a</sup>	—	—	—	—	—	
	Persistent	1.64 (1.36–1.98)	<0.001	1.03 (0.82–1.30)	0.78	1.29 (1.14–1.46)	<0.001
	Long-standing persistent/permanent	1.68 (1.44–1.96)	<0.001	0.98 (0.81–1.17)	0.79	1.22 (1.10–1.35)	<0.001
Systolic blood pressure	<130 mmHg <sup>a</sup>	—	—	—	—	—	
	≥130, <140 mmHg	1.08 (0.92–1.28)	0.35	0.97 (0.79–1.19)	0.79	0.88 (0.78–0.98)	0.02
	≥140 mmHg	1.31 (1.12–1.54)	0.001	1.14 (0.93–1.39)	0.20	0.91 (0.81–1.02)	0.12
Severe liver function disorder <sup>b</sup>	Yes	1.60 (0.94–2.72)	0.08	2.26 (1.32–3.85)	0.003	1.79 (1.30–2.47)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Diabetes mellitus	HbA1c: <6.0%	1.11 (0.82–1.51)	0.50	0.95 (0.64–1.42)	0.81	1.07 (0.88–1.31)	0.50
	HbA1c: ≥6.0%	1.18 (1.01–1.40)	0.04	1.00 (0.81–1.23)	0.98	1.04 (0.93–1.16)	0.52
	None <sup>a</sup>	—	—	—	—	—	
Hyperuricaemia	Yes	0.91 (0.78–1.07)	0.27	1.06 (0.88–1.28)	0.55	1.11 (1.01–1.22)	0.04
	No <sup>a</sup>	—	—	—	—	—	
Heart failure, reduced LVEF	Yes	0.95 (0.83–1.09)	0.46	1.13 (0.95–1.34)	0.16	1.33 (1.21–1.45)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Myocardial infarction	Yes	1.18 (0.91–1.55)	0.22	1.08 (0.78–1.49)	0.64	1.41 (1.21–1.64)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Cerebrovascular disease <sup>c</sup>	Yes	2.25 (1.97–2.58)	<0.001	1.25 (1.05–1.49)	0.01	1.13 (1.02–1.24)	0.02
	No <sup>a</sup>	—	—	—	—	—	
Other thromboembolic disease	Yes	1.38 (1.13–1.67)	0.001	1.17 (0.91–1.51)	0.21	1.16 (1.01–1.32)	0.04
	No <sup>a</sup>	—	—	—	—	—	
Active cancer	Yes	1.00 (0.82–1.22)	1.00	1.34 (1.08–1.68)	0.009	1.54 (1.38–1.73)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Dementia	Yes	1.11 (0.90–1.36)	0.34	1.05 (0.81–1.38)	0.70	1.78 (1.59–2.00)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Fall within 1 year	Yes	1.38 (1.12–1.69)	0.002	1.94 (1.54–2.44)	<0.001	1.47 (1.29–1.67)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Catheter ablation	Yes	0.58 (0.42–0.79)	<0.001	0.66 (0.47–0.94)	0.02	0.55 (0.44–0.69)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Antiarrhythmic agents	Yes	0.99 (0.87–1.13)	0.91	0.84 (0.72–0.99)	0.04	0.97 (0.89–1.06)	0.54
	No <sup>a</sup>	—	—	—	—	—	
Antiplatelet agents	Yes	0.89 (0.74–1.06)	0.19	0.99 (0.80–1.22)	0.91	1.09 (0.98–1.22)	0.12
	No <sup>a</sup>	—	—	—	—	—	
Proton pump inhibitors	Yes	0.88 (0.76–1.01)	0.08	1.00 (0.84–1.19)	1.00	1.06 (0.97–1.17)	0.18
	No <sup>a</sup>	—	—	—	—	—	
P-gp inhibitors	Yes	1.08 (0.67–1.76)	0.74	0.84 (0.43–1.63)	0.60	1.34 (1.01–1.78)	0.04
	No <sup>a</sup>	—	—	—	—	—	
Dyslipidaemia	Yes	0.91 (0.79–1.04)	0.16	0.93 (0.79–1.10)	0.42	0.75 (0.69–0.83)	<0.001
	No <sup>a</sup>	—	—	—	—	—	
Creatinine clearance		1.34 (1.07–1.67)	0.01	1.10 (0.84–1.43)	0.51	2.56 (2.22–2.96)	<0.001

Continued



**Table 4** Continued

Factor	Stroke/SEE		Major bleeding		All-cause death	
	HR (95%CI)	P-value	HR (95%CI)	P-value	HR (95%CI)	P-value
Digestive disease						
Polypharmacy						

Type of anticoagulants were included in the multivariate analysis model as an explanatory factor. The results of univariate and multivariate analysis for type of anticoagulants are separately shown in Table 2 to avoid duplication. Unknown category of body mass index, blood pressure, HbA1c, creatinine clearance, and fall within 1 year were not shown. AF, atrial fibrillation; BMI, body mass index; CI, confidence interval; DBP, diastolic blood pressure; HbA1c, glycosylated haemoglobin; HR, hazard ratio; LVEF, left ventricular ejection fraction; P-gp, glycoprotein; SBP, systolic blood pressure; SEE, systemic embolic events.

aReference.

bSevere liver dysfunction was based on physician's decision with no criteria.

cCerebrovascular disease included stroke, TIA, and other cerebral diseases.

to the widespread use of anticoagulation, the proportion of patients receiving OACs would be comparable to these previous reports.

Among the anticoagulated patients, patients receiving DOACs tended to have a higher creatinine clearance and tended to be more frequently diagnosed with paroxysmal AF, while patients receiving warfarin tended to be older and to have higher proportions of heart failure, diabetes mellitus, chronic kidney disease, and MI. This is consistent with the results of the OCTOFA study, in which most patients receiving vitamin K antagonists had similar characteristics to those in our analysis.<sup>25</sup>

In contrast, the No OAC group patient profile was complex. Although they were characterized by older age, low BMI, decreased creatinine clearance, and a higher proportion of history of major bleeding, they had relatively low CHADS<sub>2</sub> and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores, and a relatively high proportion of paroxysmal AF and history of catheter ablation. The former indicated an increased risk for bleeding, which may have led to hesitation from clinicians to prescribe anticoagulation. In contrast, the latter may suggest a relatively low risk for stroke/SEE.<sup>26</sup> From these characteristics, the group might comprise subgroups that could be classified by reasons for not using anticoagulation. However, the fact that elderly patients, with lower AF burdens with/without a history of catheter ablation, were included in the same group as very elderly persistent/permanent AF patients, at a high risk of stroke/SEE, might have distorted the patient profiles and clinical outcomes of the No OAC group.

## Incidences of clinical events

In the ANAFIE Registry, the incidence rate of stroke/SEE (1.62/100 person-years) was mostly comparable to that in the PREFER in AF trial (<85 years, 2.3%/year)<sup>27</sup> and those in other clinical trials (mostly 2–2.5%/year).<sup>28–30</sup> While a remarkable increase in stroke/SEE was observed for very elderly patients aged >85 years in the PREFER in AF trial (4.8%/year),<sup>27</sup> such an increase was not evident in the ANAFIE Registry. The incidence rate of all-cause death in the

ANAFIE Registry (3.71/100 person-years) was much lower than that in previous studies, reflecting an inherent high life expectancy in Japanese elderly NVAf patients than in Caucasian patients.<sup>9</sup> The incidence rate of major bleeding in the ANAFIE Registry (1.08/100 person-years) was extremely low compared with previous reports on elderly NVAf patients (aged ≥75 years), which were mostly over 4%/year.<sup>27–30</sup>

## Comparison of event rates among anticoagulation treatment groups

In the ANAFIE Registry, patients in the DOAC group had favourable outcomes for stroke/SEE, major bleeding, all-cause death, and other endpoints compared with those in the warfarin group. These findings would primarily be explained by the advantages of the pharmacological profiles of DOACs, more stable and predictable effects, and less intracranial haemorrhages than warfarin; all of these could also be applied to elderly and very elderly NVAf patients.

Patients receiving DOACs tended to have a higher creatinine clearance, at least in part, because of the prescribing recommendations that prohibit the use for patients with severely impaired renal function. Accordingly, they were more frequently diagnosed with paroxysmal AF. In contrast, patients receiving warfarin tended to be older and have higher proportions of heart failure, diabetes mellitus, chronic kidney disease, and MI. These differences might contribute to the apparently improved prognosis in the DOAC group through unknown confounding factors even after adjustment.

## Risk factors associated with primary and secondary endpoints

Most of the identified risk factors for outcome events were consistent with previous reports.<sup>21–23</sup> In addition to the well-known risk factors included in the components of CHA<sub>2</sub>DS<sub>2</sub>-VASc score, AF types and creatinine clearance <30 mL/min were extracted as independent

risks for stroke/SEE. A recent prospective, observational registry study, which included >10 000 patients with AF, also identified the type of AF as a risk factor for the incidence of stroke events and suggested that the AF diagnosis should be considered during therapeutic decision making.<sup>31</sup> As for major bleeding, in addition to the components of HAS-BLED score, polypharmacy was an independent risk factor, while BMI >25 kg/m<sup>2</sup> was identified as a negative risk factor. Risk factors for all-cause death were various, including age >85 years, BMI <18.5 kg/m<sup>2</sup>, dementia, and creatinine clearance <30 mL/min. In general, these risk factors are consistent with previous reports.<sup>20,21</sup>

This registry also identified lesser-known positive and negative risk factors, which were a history of falls within 1 year and history of catheter ablation. The former was associated with both stroke/SEE and major bleeding, and further associated with all-cause death. A history of falls represents the presence of frailty in elderly patients, and it is associated with the increased risk of all-cause death. Moreover, as history of falls is associated with a high risk for future falls, repeated falls could lead to fall-induced major bleeding. The apparent relationships of fall to stroke/SEE remain controversial. In contrast to a report from the ARISTOTLE study, in which a history of falls was not associated with stroke/SEE,<sup>32</sup> the Loire Valley Atrial Fibrillation Project demonstrated that it was independently associated with thromboembolism.<sup>33</sup> The direct association would be unlikely, and the history of falls, which is common in elderly individuals, might be a surrogate marker applied only to elderly patients.

History of catheter ablation was negatively associated with stroke/SEE, major bleeding, and all-cause death. Reducing AF burden by catheter ablation would contribute to reducing the risk of stroke/SEE and other cardiovascular events leading to lower cardiovascular deaths.<sup>26</sup> Although anticoagulation may not be discontinued after catheter ablation, reducing AF burden would allow lowering of the dose or transient interruption of anticoagulation as necessary, and may lead to a reduced risk of major bleeding.

## Limitations

This study had some limitations. First, the registry targeted a specific group of Japanese elderly patients. Therefore, the results should not be applied to other populations, particularly inpatients, patients visited by home doctors, or residents of nursing homes. Second, the present analysis did not take into account OAC changes during the follow-up. Control with warfarin had been determined for 6 months just preceding the enrolment, but not during the follow-up period. Third, in the design of this study, not only newly diagnosed AF patients or new users of anticoagulants, but established NVAF patients or those who were receiving anticoagulants prior to enrolment were allowed to participate. Such patients were associated with low incidence of clinical events. However, even in those populations, stroke/SEE, major bleeding, and all-cause death were significantly lower in the DOAC group than in the well-controlled warfarin group. Fourth, as in many observational studies, the proportions of patients lost to follow-up and who withdrew consent were relatively high as compared with randomized controlled trials. While the frequency of patients lost to follow-up did not differ among the treatment groups that of withdrawal of consent differed among the groups. Continued follow-up, particularly in very elderly patients and frail patients, was difficult. Fifth, the incidence of major bleeding was lower than we expected. We used the ISTH definition, which

explains that a bleeding event with a fall in haemoglobin level of 2 g/dL (1.24 mmol/L) or more or leading to transfusion of two or more units of whole blood or red cells, should be classified as major bleeding if it is not a surgical situation and not in a critical area or organ. A possible reason for the low incidence of major bleeding is that the haemoglobin level may not have been well evaluated before and after the bleeding events occurred, or the amounts of transfusions may be smaller for elderly patients in the real-world setting compared with that in clinical trial settings. Finally, multivariate analysis was performed with the obtained factors that were expected to be associated with each event according to current guidelines. Nonetheless, some unknown confounders might have affected the present results.

## Conclusion

Currently, in Japan, a large proportion of elderly and very elderly NVAF patients were treated with DOACs. The rates of stroke/SEE, major bleeding, and all-cause death were observed less frequently in patients receiving DOACs as compared with patients well-controlled with warfarin. No anticoagulation was associated with worse outcomes, except for major and all bleeding events compared with warfarin. Moreover, history of falls within 1 year at enrolment and history of catheter ablation were identified as positive and negative independent risk factors, respectively, for stroke/SEE, major bleeding, and all-cause death. These results can help inform appropriate management of the growing elderly and very elderly NVAF patient population worldwide.

## Supplementary material

Supplementary material is available at *European Heart Journal – Quality of Care and Clinical Outcomes* online.

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## Data availability

1. Will the individual deidentified participant data (including data dictionaries) be shared?

→Yes

2. What data in particular will be shared?

→Individual participant data that underlie the results reported in this article, after deidentification (text, tables, figures, and appendices).

3. Will any additional, related documents be available? If so, what is it? (e.g. study protocol, statistical analysis plan, etc.)

→Study Protocol

4. When will the data become available and for how long?

→Ending 36 months following article publication.

5. By what access criteria will the data be shared (including with whom)?

→Access criteria for data sharing (including proposals) may be reviewed by a committee led by Daiichi-Sankyo.

6. For what types of analyses, and by what mechanism will the data be available?

→Any purpose; proposals should be directed to [yamt-ky@umin.ac.jp](mailto:yamt-ky@umin.ac.jp)

To gain access, data requestors will need to sign a data access agreement.

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