


BRIEF REPORT

TSC2 genetic variant and prognosis in non-small cell lung cancer after curative surgery

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Abstract

This study was conducted to investigate the associations between polymorphisms of genes involved in the LKB1 pathway and the prognosis of patients with non-small cell lung cancer (NSCLC) after surgical resection. Twenty-three single nucleotide polymorphisms (SNPs) in the LKB1 pathway were investigated in 782 patients with NSCLC who underwent curative surgery. The association of SNPs with overall survival (OS) and disease-free survival (DFS) were analyzed. Among the 23 SNPs investigated, *TSC2* rs30259G > A was associated with significantly worse OS and DFS (adjusted hazard ratio for OS 1.88, 95% confidence interval 1.21–2.91, $P = 0.005$; adjusted hazard ratio for DFS 1.65, 95% confidence interval 1.15–2.38, $P = 0.01$, under codominant models, respectively). Subgroup analysis showed that SNPs were significantly associated with survival outcomes in squamous cell carcinoma, ever-smokers, and stage I, but not in adenocarcinoma, never-smokers, and stage II–IIIA. The results suggest that *TSC2* rs30259G > A may be useful to predict prognosis in patients with NSCLC, especially squamous cell carcinoma, after curative surgery.

Introduction

Lung cancer is the leading cause of cancer death worldwide.¹ Despite complete resection as a potentially curative treatment in early stage non-small cell lung cancer (NSCLC), many patients experience recurrence and death during follow-up. Furthermore, patients with the same pathologic stage, the single most important prognostic factor, exhibit different recurrence and mortality rates.² Thus, the identification of novel biomarkers for more precise

prognostication after curative surgery in NSCLC patients would be very helpful.

The molecular mechanism associated with a connection between cellular metabolism and tumorigenesis is an active area of investigation in cancer research. The serine/threonine kinase liver kinase B1 (LKB1) is one of the recently discovered links connecting cell metabolism and cancer.³ LKB1, also known as serine/threonine kinase 11 (STK11), acts as a master upstream activator of AMP-activated protein kinase (AMPK) upon metabolic stress, such as energy

starvation, playing a crucial role in cell growth, polarity, and energy metabolism.^{4,5} The LKB1-AMPK pathway functions as a metabolic checkpoint in the cell, regulating cell growth and proliferation according to the availability of nutritional supplies.⁶ *LKB1* was first identified as the tumor suppressor gene associated with Peutz–Jeghers syndrome, a cancer predisposition syndrome,⁷ and its somatic mutation has been implicated in multiple sporadic cancers, including lung cancer.^{8–11} More recent studies have suggested that LKB1 loss has a considerable impact not only on tumorigenesis, but also on cancer invasion and metastasis.^{12,13} Although not yet fully elucidated, the relationship between LKB1 dependent molecular pathways and cancer may help us to better understand the pathogenesis of cancer, providing potential prognostic biomarkers or therapeutic targets.

In this study, we investigated if genetic variants in the LKB1 pathway could predict the survival outcomes of NSCLC patients undergoing surgical resection.

Methods

Study population

A total of 782 patients with pathologic stages I, II, or IIIA (micro-invasive N2) NSCLC who underwent curative surgical resection at Kyungpook National University Hospital (KNUH, $n = 354$) and Seoul National University Bundang Hospital (SNUBH, $n = 428$) were enrolled in this study. None of patients received chemotherapy or radiotherapy prior to surgery. Written informed consent was obtained from all patients prior to surgery at each of the participating institutions. This study was approved by and performed in accordance with the research protocol of the institutional review boards of KNUH and SNUBH.

Selection of single nucleotide polymorphisms (SNPs) and genotyping

We searched the public SNP database (<http://www.ncbi.nlm.nih.gov/SNP>) for all SNPs in LKB1 pathway genes to collect potentially functional polymorphisms for this study. Next, using the FuncPred utility for functional SNP prediction and TagSNP utility for linkage disequilibrium (LD) tag SNP selection in the SNPinfo web server (<http://snpinfo.niehs.nih.gov/>), a total of 23 potentially functional SNPs with minor allele frequency ≥ 0.05 in the HapMap JPT data were collected after excluding those in linkage disequilibrium ($r^2 \geq 0.8$). Genomic DNA was extracted from peripheral blood lymphocytes using a blood Quick-Gene DNA whole blood kit S (Fujifilm, Tokyo, Japan). Genotyping was performed using the MassARRAY iPLEX assay (Sequenom Inc., San Diego, CA, USA).

Statistical analysis

Differences in the distribution of genotypes according to clinicopathologic factors were compared using χ^2 tests. Overall survival (OS) was measured from the date of surgery until the date of death or last follow-up. Disease-free survival (DFS) was estimated from the date of surgery until recurrence or death. The Kaplan–Meier method was used to calculate survival estimates. Differences in OS and DFS across different genotypes were compared by the log-rank test. Hazard ratios (HRs) and 95% confidence intervals (CIs) were estimated using multivariate Cox proportional hazards models with adjustments for age, gender, smoking status, tumor histology, pathologic stage, and adjuvant therapy. All analyses were performed using SAS version 9.2 (SAS Institute, Cary, NC, USA).

Results

Patient characteristics and clinical predictors

The clinical and pathologic characteristics of the patients and association with OS and DFS are shown in Table 1. Univariate analysis showed that age (log-rank $P [P_{L-R}] = 2 \times 10^{-3}$), gender ($P_{L-R} = 4 \times 10^{-4}$), smoking status ($P_{L-R} = 3 \times 10^{-4}$), and pathologic stage ($P_{L-R} = 1 \times 10^{-11}$) were significantly associated with OS. Only pathologic stage was significantly associated with DFS ($P_{L-R} = 2 \times 10^{-15}$).

Associations between SNPs and survival outcomes

The SNP information, genotype distribution, and log-rank P values for OS and DFS of the 23 SNPs are shown in Table 2. Of the 23 SNPs analyzed, *TSC2* rs30259G > A was significantly associated with poor OS (adjusted HR [aHR] 1.88, 95% CI 1.21–2.91; $P = 0.005$, under a codominant model) and DFS (aHR 1.65, 95% CI 1.15–2.38; $P = 0.01$, under a codominant model) when adjusted for age, gender, smoking status, tumor histology, pathologic stage, and adjuvant therapy (Table 3 and Fig 1). The effect of the rs30259 genotypes on survival outcomes was then evaluated according to tumor histology, smoking status, and pathologic stage. rs30259G > A was significantly associated with OS and DFS in squamous cell carcinoma (SCC) (aHR 2.36, 95% CI 1.37–4.07, $P = 0.002$; aHR 3.21, 95% CI 2.01–5.14, $P = 1 \times 10^{-6}$, under codominant models, respectively) but not in adenocarcinoma (AC) (Table 3 and Fig 1). When stratified according to smoking status, SNPs were significantly associated with OS and DFS in ever-smokers (aHR 2.08, 95% CI 1.30–3.32, $P = 0.002$; aHR 2.07, 95% CI 1.38–3.12, $P = 5 \times 10^{-4}$,

Table 1 Univariate analysis of survival outcomes by clinicopathological features

Variables	No. of cases	Overall survival			Disease-free survival		
		No. of deaths (%)†	5Y-OSR (%)‡	Log-rank <i>P</i>	No. of events (%)†	5Y-DFS _R (%)‡	Log-rank <i>P</i>
Overall	782	208 (26.6)	62		340 (43.5)	45	
Age (years)							
< 65	383	88 (23.0)	69	2×10^{-3}	162 (42.3)	48	0.14
≥ 65	399	120 (30.1)	55		178 (44.6)	41	
Gender							
Male	572	173 (30.2)	59	4×10^{-4}	261 (45.6)	42	0.10
Female	210	35 (16.7)	71		79 (37.6)	52	
Smoking status							
Never	232	40 (17.2)	74	3×10^{-4}	90 (38.8)	50	0.15
Ever	550	168 (30.6)	57		250 (45.5)	43	
Histological type							
SCC	341	103 (30.2)	60	0.17	146 (42.8)	48	0.22
AC	425	99 (23.3)	63		184 (43.3)	42	
LCC	16	6 (37.5)	59		10 (62.5)	35	
Pathologic stage							
I	378	59 (15.6)	76	1×10^{-11}	107 (28.3)	60	2×10^{-15}
II	227	81 (35.7)	52		116 (51.1)	39	
IIIA	177	68 (38.4)	47		117 (66.1)	20	
Adjuvant therapy§							
No	184	72 (39.6)	49	0.58	102 (56.0)	37	0.36
Yes	220	77 (34.7)	50		131 (59.0)	25	

†Row percentage. ‡Five year-overall survival rate (OSR) and five-year disease-free survival rate (DFS_R), proportion of survival derived from Kaplan–Meier analysis. §In pathologic stages II + IIIA: 182 cases received adjuvant chemotherapy alone, 11 cases received adjuvant radiotherapy alone, and 27 cases received both chemotherapy and radiotherapy. AC, adenocarcinoma; LCC, large cell carcinoma; SCC, squamous cell carcinoma.

Table 2 List of analyzed SNPs and associations with survival outcomes

SNP ID	Gene	Base change	MAF	<i>P</i> for overall survival*			<i>P</i> † for disease-free survival*		
				Dominant	Recessive	Codominant	Dominant	Recessive	Codominant
rs30259	TSC2	G>A	0.04	0.01	0.15	0.005	0.03	8×10^{-6}	0.01
rs1130214	Akt1	G>T	0.13	0.90	0.65	0.94	0.23	0.65	0.35
rs2494750	Akt1	A>G	0.38	0.33	0.88	0.61	0.33	0.56	0.36
rs17036508	MTOR	T>C	0.12	0.36	0.97	0.20	0.72	0.71	0.82
rs1135172	MTOR	C>T	0.16	0.48	0.98	0.60	0.22	0.51	0.29
rs1034528	MTOR	G>C	0.19	0.81	0.35	0.75	0.40	0.99	0.41
rs1057079	MTOR	A>G	0.18	0.90	0.34	0.79	0.19	0.97	0.29
rs3765904	MTOR	T>C	0.01	0.32		0.46	0.33		0.46
rs11121691	MTOR	C>T	0.07	0.97	0.98	0.86	0.87	0.98	0.73
rs7711806	PRKAA1	T>C	0.24	0.50	0.99	0.57	0.24	0.85	0.30
rs1342382	PRKAA2	A>T	0.25	0.93	0.17	0.73	0.32	0.20	0.68
rs11581010	PRKAA2	A>G	0.11	0.88	0.98	0.72	0.66	0.96	0.54
rs857148	PRKAA2	G>T	0.42	0.94	0.99	0.85	0.81	0.96	0.82
rs9803799	PRKAA2	T>G	0.16	0.54	0.23	0.98	0.43	0.71	0.63
rs4912411	PRKAA2	C>A	0.38	0.39	0.25	0.25	0.47	0.06	0.18
rs3738568	PRKAA2	T>C	0.36	0.31	0.50	0.35	0.26	0.28	0.21
rs739441	TSC1	A>G	0.26	0.12	0.31	0.16	0.56	0.45	0.48
rs1050700	TSC1	A>G	0.25	0.14	0.77	0.14	0.62	0.84	0.70
rs2809244	TSC1	C>A	0.39	0.47	0.49	0.31	0.62	0.99	0.66
rs4962225	TSC1	A>C	0.12	0.76	0.24	0.45	0.66	0.56	0.54
rs2074969	TSC2	G>C	0.22	0.92	0.09	0.60	0.96	0.17	0.59
rs3806317	PRKAA2	C>T	0.12	0.71	0.51	0.37	0.50	0.48	0.32
rs701848	PTEN	C>T	0.47	0.55	0.89	0.63	0.51	0.77	0.68

**P* values calculated using multivariate Cox proportional hazard models, adjusted for age, gender, smoking status, tumor histology, pathologic stage, and adjuvant therapy. MAF, minor allele frequency.

Table 3 Overall and disease-free survival according to TSC2 rs30259G > A genotypes

Polymorphism/genotype	Overall survival					Disease-free survival				
	No. of Cases(%) [†]	No. of deaths(%) [‡]	5Y-OSR (%) [§]	HR (95% CI) [¶]	P	No. of deaths(%) [‡]	5Y-DFSR (%) [§]	HR (95% CI) [¶]	P	
All cases ^{††}										
GG	715 (92.9)	184 (74.3)	63	1.00	0.02	302 (57.8)	46	1.00	0.07	
GA	53 (6.9)	19 (64.2)	45	1.79 (1.12–2.89)	0.02	28 (47.2)	36	1.44 (0.98–2.13)	7 × 10 ⁻⁶	
AA	2 (0.3)	1 (50.0)	50	4.43 (0.61–32.15)	0.14	2 (0.0)	50	26.0 (6.31–107.26)	0.03	
Dominant	55 (7.1)	20 (63.6)	45	1.85 (1.16–2.94)	0.01	30 (45.5)	35	1.54 (1.06–2.25)	8 × 10 ⁻⁶	
Recessive	768 (99.7)	203 (73.6)	62	4.25 (0.59–30.86)	0.15	330 (57.0)	45	25.43 (6.17–104.86)	0.01	
Codominant				1.88 (1.21–2.91)	0.005			1.65 (1.15–2.38)		
Squamous cell carcinoma										
GG	314 (93.2)	90 (71.3)	62	1.00	0.01	126 (59.9)	50	1.00	0.0003	
GA	21 (6.2)	11 (47.6)	32	2.34 (1.23–4.46)	0.01	15 (28.6)	23	2.74 (1.58–4.75)	5 × 10 ⁻⁵	
AA	2 (0.6)	1 (50.0)	50	4.46 (0.61–32.78)	0.14	2 (0.0)	50	20.03 (4.74–84.60)	2 × 10 ⁻⁵	
Dominant	23 (6.8)	12 (47.8)	33	2.45 (1.32–4.54)	0.005	17 (26.1)	21	3.07 (1.82–5.16)	7 × 10 ⁻⁵	
Recessive	335 (99.4)	101 (69.9)	60	4.35 (0.59–31.94)	0.15	141 (57.9)	48	18.70 (4.43–78.88)	1 × 10 ⁻⁶	
Codominant				2.36 (1.37–4.07)	0.002			3.21 (2.01–5.14)		
Adenocarcinoma										
GG	386 (92.6)	88 (77.2)	65	1.00	0.59	166 (57.0)	43	1.00	0.89	
GA	31 (7.4)	8 (74.2)	56	1.22 (0.59–2.54)	0.59	13 (58.1)	46	0.96 (0.55–1.70)	—	
AA	0 (0.0)	0 (0.0)	0	—	—	—	—	—	—	
Dominant	31 (7.4)	8 (74.2)	56	1.22 (0.59–2.54)	0.59	13 (58.1)	46	0.96 (0.55–1.70)	0.89	
Recessive	417 (100.0)	96 (77.0)	64	—	—	179 (57.1)	92	—	—	
Codominant				1.16 (0.55–2.42)	0.70			0.95 (0.54–1.68)	0.87	

[†]Column percentage. [‡]Row percentage. [§]Five-year overall survival rate (OSR) and five-year disease-free survival rate (DFSR), proportion of survival derived from Kaplan–Meier analysis. [¶]Hazard ratios (HRs), 95% confidence intervals (CIs), and their corresponding P values were calculated using multivariate Cox proportional hazard models adjusted for age, gender, smoking status, tumor histology, pathologic stage, and adjuvant therapy for all cases, and adjusted for age, gender, smoking status, pathologic stage, and adjuvant therapy for squamous cell carcinoma and adenocarcinoma. ^{||}Genotype failures: 12 cases for rs30259.

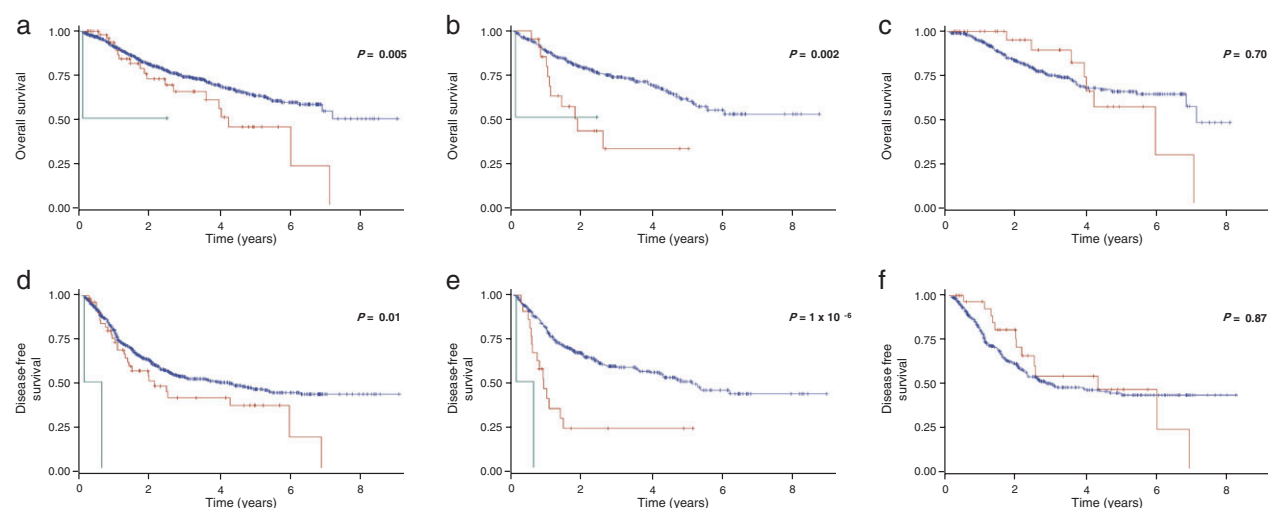


Figure 1 Overall survival according to *TSC2* rs30259G > A genotypes in (a) all cases, (b) squamous cell carcinoma, and (c) adenocarcinoma, and disease-free survival in (d) all cases, (e) squamous cell carcinoma, and (f) adenocarcinoma. *P* values from the multivariate Cox proportional hazard model. — GG, — GA, — AA.

under codominant models, respectively), but not in never-smokers (Table S1 and Figure S1). We then evaluated the effect of SNPs according to pathologic stage. The association between SNPs and survival outcomes remained significant in stage I (aHR 2.30, 95% CI 1.21–4.39, $P = 0.01$; aHR 2.02, 95% CI 1.13–3.60, $P = 0.02$, under codominant models, respectively), but not in stage II–IIIa (Table S1 and Figure S1).

Discussion

The present study was performed to examine whether genetic variants involved in the LKB1-dependent pathway affect the prognosis of patients with NSCLC undergoing curative surgery. Among the 23 SNPs evaluated, *TSC2* rs30259G > A was significantly associated with survival outcomes. Subgroup analysis showed that SNPs were significantly associated with survival outcomes in SCC, ever-smokers, and stage I, but not in AC, never-smokers, and stage II–IIIa. These results suggest that *TSC2* rs30259G > A may be useful to predict prognosis in patients with NSCLC, especially SCC, after curative surgery.

TSC/mammalian target of rapamycin (mTOR) signaling is one of the major downstream pathways of LKB1/AMPK and is associated with protein synthesis, cell growth, and viability.¹⁴ Phosphorylation of TSC2 by AMPK after ATP depletion results in activation of the TSC1:TSC2 complex, which regulates the activity of mTORC1, a complex comprised of mTOR, raptor, and mLST8.^{15–17} Several downstream effectors of mTORC1 play a key role in protein translation, angiogenesis, and autophagy.^{18–20} Thus, genetic alteration of either TSC1, TSC2, or other upstream regulators increases the level of mTOR activators, resulting in the inappropriate stimulation of protein translation and cell

growth.⁴ In lung cancer, however, data regarding a pathogenic role of the TSC complex is sparse. Previous studies have shown that both the TSC1 locus (9q34) and the TSC2 locus (16p) are frequent targets of loss of heterozygosity in both lung AC and precursor lesions.^{21,22} Another study suggested that TSC1 loss synergizes with the *KRAS* mutation to enhance lung tumorigenesis in mice.²³

Somatic mutations in the *LKB1* gene are observed in 20–30% of white NSCLC patients, although less frequently in Asians, ranking *LKB1* as the third most frequently mutated gene in lung adenocarcinoma.^{10,11} Interestingly, in our study, *TSC2* rs30259G > A was significantly associated with survival outcomes in SCC but not in AC. A previous study showed that *TSC1* expression was significantly associated with poor survival in SCC and small cell LC, but was not observed in AC.²⁴ These results suggest that genetic variations in the LKB1 pathway may have differential biological effects that could modify the clinical outcome according to the histologic subtypes of NSCLC. Recent advances in the molecular biology of lung cancer have revealed that genetic alterations in SCC and AC are markedly different, leading to the development of histology-specific therapeutics and different clinical outcomes between SCC and AC.²⁵ Therefore, the genetic biomarkers of the two different major subtypes of NSCLC may be different. Subgroup analysis by smoking status showed that the effect of *TSC2* rs30259G > A was limited to ever-smokers, in line with the histology-specific effect of the variant given that SCC is a smoking-related histological subtype of lung cancer.²⁶ Further studies are needed to investigate the role of the LKB1 pathway in the development and progression of NSCLC, and also the biologic mechanism of the observed associations between the variant and survival, especially differential effects according to histologic subtypes.

In conclusion, analysis of the *TSC2* polymorphism may be useful to predict patient prognosis after surgery, thereby helping to improve therapeutic decisions for NSCLC, especially SCC. Future studies are warranted to understand the biological mechanism of our findings and to confirm our results in a larger patient cohort including diverse ethnic groups.

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Disclosure

No authors report any conflict of interest.

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Supporting Information

Additional Supporting Information may be found in the online version of this article at the publisher's website:

Table S1. Overall and disease-free survival according to *TSC2* rs30259G > A genotypes, stratified by smoking status and pathologic stage

Figure S1. Overall survival according to *TSC2* rs30259G > A genotypes in (a) ever-smokers, (b) never-smokers, (c) stage I, and (d) stage II–IIIa; disease-free survival in (e) ever-smokers, (f) never-smokers, (g) stage I, and (h) stage II–IIIa. *P* values from the multivariate Cox proportional hazard model.