# REVIEW

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# Immune checkpoint modulators in cancer immunotherapy: recent advances and emerging concepts

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# Abstract

The discovery of immune checkpoint inhibitors (ICIs) has now been universally acknowledged as a significant breakthrough in tumor therapy after the targeted treatment of checkpoint molecules: anti-programmed cell death protein 1/programmed cell death ligand 1 (PD-1/PD-L1) and anti-cytotoxic T lymphocyte-associated antigen-4 (CTLA-4) on several cancer types achieved satisfying results. However, there are still quite a lot of patients suffering from severe side effects and ineffective treatment outcomes. Although the current ICI therapy is far from satisfying, a series of novel immune checkpoint molecules with remarkable preclinical and clinical benefits are being widely investigated, like the V-domain Ig suppressor of T cell activation (VISTA), which can also be called PD-1 homolog (PD-1H), and ectonucleotidases: CD39, CD73, and CD38, which belong to the ribosyl cyclase family, etc. In this review, we systematically summarized and discussed these molecules' biological structures, molecular features, and the corresponding targeted drugs, aiming to help the in-depth understanding of immune checkpoint molecules and promote the clinical practice of ICI therapy.

**Keywords:** Immunotherapy, Immune checkpoint, Clinical trial, Targeted drugs

# Background

Immunotherapy has shown great potential and power in cancer treatment over the past decades. Multiple studies have demonstrated its efficacy in inhibiting the progression of malignancies. The prosperity of chimeric antigen receptor T-cells (CAR-T) therapy against multiple cancers revolutionized cancer immunotherapy in the year 2013 [1]. Immune checkpoint inhibitors (ICIs) [2], known for Drs. James Allison's and Tasuku Honjo's

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unprecedented discovery of CTLA-4 and PD-1 [3], have been widely investigated and applied in clinical practice.

Two specific signals are vital for T cells' full function: the interaction between the antigenic peptide/major histocompatibility complex (MHC) on the surface of antigen-presenting cells (APCs) with the T cell receptor (TCR), and the antigen-independent co-signaling molecules. The latter are the so-called immune checkpoints [4]. Ordinarily, checkpoints like CD28 belong to co-stimulators. When binding to its ligand, CD28 stimulates T cells to proliferate and be recruited to the specific region. On the contrary, co-inhibitors such as PD-1 play the opposite role [5]. Co-inhibitors and co-stimulators orchestrate the cell-mediated immune responses in the human body. Besides, most antigens expressed on tumor cells are not only neo-antigens presented explicitly on



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cancer cells but also self-antigens (tumor-associated antigens and cancer-testis antigens) simultaneously expressed on cancer and normal cells [6]. Cancer-testis antigens could be categorized into chromosome X-mapped antigens, including MAGE-A, BAGE, NY-ESO-1, and IL-13Rα, which have been broadly studied, and non-chromosome X-mapped antigens [7]. It should be noted that high-affinity TCRs for self-antigens are preferentially depleted because of positive selection, and the affinities of the remaining TCRs for self-antigens are lower than those for neo-antigens [8]. Therefore, the low immune responses toward tumor cells, the so-called immune escape, could be described as self-protection since TCRs were generally reported to interact with neoantigens instead of self-antigens [9]. Advanced studies showed the hypoxia and ischemia condition in the tumor microenvironment (TME) triggered anti-inflammatory molecules rocketing [4], which indicated the suppression of co-stimulators and the hyperfunction of co-inhibitors in TME could potentially mediate immune escape. Given the vital role of immune checkpoints in regulating immune response, a series of immune checkpoint inhibitors are developed [10, 11]. The core part of the therapeutic effect lies in re-activating the patients' immune system to enhance primary anti-tumor activity.

This review will first summarize the most widely studied immune checkpoints: CTLA-4, PD-1, and PD-L1. Then, we will focus on novel immune checkpoints that have been explored, including V-domain Ig suppressor of T cell activation (VISTA), T cell immunoglobulin and mucin domain-containing protein 3 (TIM-3), lymphocyte activation gene 3 (LAG-3), indoleamine 2,3-dioxygenase 1 (IDO-1), CD161, CD73, CD38, CD39, CD93, CD47, BTLA, CD70, VTCN1, and B7-H3. In brief, CD73 could cooperate with CD39 or CD38 to downregulate the level of ATP and upregulate adenosine, while CD38 also interposes the NAD+signaling pathway. IDO-1 mediates the transformation from Trp to Kyn. VISTA restrains cytokine secretion. TIM-3 facilitates intracellular calcium influx. All these molecules could lead to T cell exhaustion. CD47 and BTLA both have ITIM and ITSM domains, but CD47 inhibits phagocytosis through dephosphorylating motor protein myosin. BTLA then blocks TCR from working. LAG-3 also hinders CD4+T cells activation by tying to MHC-II against CD4. Once CD161 is banded to LLT1, the complex would inhibit NK cell activation. In contrast, the CD27-CD70 combination plays the opposite role by increasing IFN-γ expression and igniting the Akt signaling pathway on NK cells. CD93 stresses tumor angiogenesis. B7-H3 and B7-H4 need more fundamental studies. These newly characterized immune checkpoints and their ongoing or completed clinical studies will be systematically summarized,

which could help suggest a promising future for clinical application.

#### **Classical immune checkpoints**

CTLA-4 (CD152) is a classical immune checkpoint molecule [12], which is closely associated with CD28 but plays different roles in the immune response. Locating on CD4+ as well as CD8+T cell surfaces, CD28 is a costimulatory receptor. When interacting with the ligands (B7): CD80 dimer and CD86 monomer, a signal will be sent, along with the signal from TCR, to activate the whole cell. CTLA-4 was predominantly found in intracellular vesicles and compared with CD28. It has a higher affinity with CD80 and CD86, competing with CD28 for binding ligands [13]. Subsequently, the CTLA-4-CD80 complex or CTLA-4-CD86 complex will be transported to the cytoplasm and eliminated by lysosomal compartments, which can eventually suppress the T cell activation [12]. Ipilimumab has been the optimal anti-CTLA-4 antibody despite the potential hyperfunction of the immune system. It is a fully human antibody targeting CTLA-4 and has received FDA approval as the first available ICI for treating patients with metastatic melanoma in 2010 [14]. With a molecular mass of approximately 148 kDa, Ipilimumab is composed of four polypeptide chainstwo identical heavy chains of 447 amino acids and two identical kappa light chains of 215 amino acids [15]. It is generally thought that Ipilimumab works by blocking the interaction between B7 and CTLA-4 and then functioning in lymphoid organs. However, the novel study showed that under physiologically relevant conditions, the blockage of the B7-CTLA-4 complex could be rarely found. Ipilimumab achieved its effect in the experiment without breaking the B7-CTLA-4 interaction [16].

Human PD-1, also called CD279, is encoded by the PDCD1 gene and belongs to the immunoglobulin gene superfamily [17]. PD-1 is a type I transmembrane glycoprotein containing a single extracellular IgV domain, a hydrophobic transmembrane domain, and a cytoplasmic tail structure domain, mainly found on activated T cells [1, 18]. After incorporating with its ligands, PD-L1, and PD-L2, which usually overexpress on cancer cells, the whole complex will turn into a "brake," downregulating the activity of signaling pathways like PI3K/AKT or Ras/ MEK/ERK, thus impairing T cell proliferation as well as activation. Besides, PD-L1 is also expressed on tumorinfiltrating lymphocytes (TILs) like helper T (Th) cells or regulatory T cells (Tregs), leading to a T cell exhaustion caused by PD-L1 overexpression on cancer cells and TILs [19]. TILs refer to a series of cells, including T cells, B cells, macrophages, and natural killer (NK) cells that shape the TME and affect tumor proliferation. Highintensity TILs typically imply higher anti-tumor activities

and a better prognosis. Notably, this signal transduction could be used by the human body to restrict the range and level of inflammatory response and by tumor cells to escape immune response [20]. Since Pembrolizumab, as a humanized monoclonal anti-PD-1 antibody, was approved by FDA for treating patients with advanced melanoma and non-small-cell lung cancer (NSCLC) in 2014 [21], at least six more anti-PD-1 or anti-PD-L1 antibodies have been approved then put into clinical application [17]. However, along with the wide usage of antibodies, taking Pembrolizumab as an example, many side effects have been reported, including hypophysis [22], hypothyroidism [23], rash, fatigue, pneumonitis, hepatotoxicity, colitis [24], and type I diabetes [25]. General ICIs have already been approved safe with a toxicity profile favorable to conventional chemotherapy. The acquired resistance to the antibodies following ICI therapy has also been reported [26]. Overall, overexpression of PD-L1 is still recognized as a critical suppression of anti-cancer immunity, though regulators targeting PD-L1 have not reached the desired effect. Further studies should be conducted on more powerful PD-L1 regulators to aim for efficacy-strengthened immunotherapy [27].

As for the two classical immune checkpoints, some studies suggested that conjoint immunotherapy, including anti-PD-1 and anti-CTLA-4, would exhibit superior anti-tumor responses compared with single-agent therapy [13]. The theoretical basis that lies in each checkpoint has its unique pathway that can work independently [28], though nowadays, studies show interesting cross talk between them, which indicates an excess effect [13]. Until now, related studies have been conducted in a phase I trial in which Nivolumab combined with Ipilimumab was administered to advanced melanoma patients. The result showed a 40% objective response rate for 53 patients who received concurrent Nivolumab/Ipilimumab and reversible 3-4 related adverse events similar to what has been reported in historical monotherapy experience [29], revealing concurrent Nivolumab/Ipilimumab had a manageable safety profile and achieved promising clinical effect. In another study in melanoma (NCT01844505), investigators found the median progression-free survival (PFS) was 11.5 months for Nivolumab plus Ipilimumab rather than 2.9 months for Ipilimumab alone and 6.9 months for Nivolumab alone. The difference continued to objective response rates assessment, where the rates were 43.7%, 19.0%, and 57.6% in the patients who received Nivolumab or Ipilimumab only, otherwise being conducted with Nivolumab plus Ipilimumab, respectively. Besides, combinations of Nivolumab and Ipilimumab have demonstrated promising clinical benefits in NSCLC (NCT02477826) [30], pleural mesothelioma (NCT02899299) [31], liver cancer (NCT03222076) [32], colorectal cancer (CRC, NCT03350126) [33], and renal cell carcinoma [34] (RRC) (NCT02231749). To summarize, combining Nivolumab and Ipilimumab is a potential treatment option for previously untreated advanced melanoma, NSCLC, and RRC [35].

Previous studies have also demonstrated that radiotherapy could cooperate reasonably with anti-PD-1/ anti-PD-L1 therapies. Firstly, radiotherapy promoted tumor-infiltrating lymphocytes and expanded the TCR repertoire in the TME [36, 37]. Secondly, radiotherapy upregulated PD-L1 expression on tumor cells, which provided targets for anti-PD-1/anti-PD-L1 therapy [38]. Thirdly, radiotherapy increased MHC-I expression on tumor cells and relieved resistance to anti-PD-1/anti-PD-L1 therapy [39]. Radiotherapy was also reported to induce a better response to lung cancer against anti-CTLA-4 therapy [40]. Besides, chemotherapy has been widely explored as an appropriate partner with anti-PD-1/anti-PD-L1 and anti-CTLA-4 therapies based on the immune modulatory effect of chemotherapeutic agents. For instance, carboplatin and pemetrexed with Pembrolizumab significantly improved PFS for advanced non-squamous NSCLC in a randomized phase II study [41]. Also, it has been reported that Keynote189, a phase III study concerning combination therapy of pemetrexed, platinum chemotherapy, and Pembrolizumab (MK-3475) on participants with first-line metastatic NSCLC, owned its role as first-line standard-of-care therapy with metastatic non-squamous NSCLC [42]. Another study (Checkmate9LA, NCT03215706) confirmed that combination therapy of Nivolumab plus Ipilimumab, along with two cycles of chemotherapy, could significantly improve patients' outcomes in NSCLC, despite PD-L1 levels. It also improved the survival rates of patients from the experimental group in the early stage of the study compared to the study of checkmate227 [43]. In addition, local Melphalan combined with Ipilimumab resulted in a durable response in advanced melanoma patients [44]. These remarkable results demonstrated the great potential of anti-PD-1/anti-PD-L1 and anti-CTLA-4 therapies in clinical management.

#### Novel immune checkpoints

In addition to PD-1 and CTLA-4, a wealth of new immune checkpoint targets have emerged continuously (Table 1). VISTA has been found to express on resting CD4+T cells to act as a coinhibitory receptor and could negatively regulate T cell activation [45–48]. CD161 was encoded by KLRB1 and mainly expressed on CD8+T cells. The CD161 activation was triggered by CLEC2D (C-type lectin domain family 2 member

Target	Chromosomal location	Binding partner	Expression
VISTA	10q22.1	VSIG3	Myeloid cells, T cells
CD38	4p15.32	CD31	Non-hematopoietic cells, immune cells
CD39	10q24.1	Unknown	B cells, NK cells, DCs, monocytes, macrophages, Tregs
CD73	6q14.3	Unknown	endothelial cells, lymphocytes, tumor cells, stromal cells
LAG-3	12p13.31	MHC-II, galectin-3, LSECtin, a-synuclein, FGL1	Activated T cells, B cells, Tregs, NK cells, DCs
IDO-1	8p11.21	AhR	DCs, eosinophils, tumor cells
CD27	12p13.31	CD70	T cells, B cells, plasma cells, NK cells
TIM-3	5q33.2	Galectin-9, Ceacam-1, HMGB1, PtdSer	Activated T cells, B cells, Tregs, DCs, NK cells, monocytes
CD47	3q13.12	SIRPA	Human cells, tumor cells
CD93	20p11.21	IL-17D	Endothelial cells
CD161	12p13.31	LLT1	NK cells, T cells
BTLA	3q13.2	HVEM	Mature B cells, T cells, Tregs, macrophages, DCs
VTCN1	1p13.1-p12	Unknow	Antigen-presenting cells
B7-H3	15q24.1	Unknow	Activated T cells, NK cells, DCs, monocytes, tumor cells
TIGIT	3q13.31	CD155, CD112, CD113	NK cells, activated T cells, Tregs, follicular T helper cells

**Table 1** Comparison of properties of novel immune checkpoint modulators

D) and suppressed the anti-cancer capacity of T cells [49]. TIM-3, mainly expressed by interferon- $\gamma$  (IFN- $\gamma$ )producing CD4+ and CD8+ T cells, could bind with its ligands, galectin-9, phosphatidylserine (PtdSer), and CEACAM-1, triggering phosphorylation of Tyr256 and Tyr263 by the tyrosine kinase ITK [50]. LAG-3, mainly expressed by activated T and NK cells, could bind with its ligands, major histocompatibility complex class II (MHC-II), and fibrinogen-like protein 1 (FGL1), inhibiting the interaction between LAG-3 and MHC-II [51]. CD39, also called ecto-nucleoside triphosphate diphosphohydrolase-1 (ENTPD-1), together with CD73/ecto-5'-nucleotidase and CD38, is a multifunctional cell protein mainly expressed on immune cells, catalyzing the conversion of nicotinamide adenine dinucleotide (NAD+) to adenosine diphosphate ribose (ADPR) when working as an enzyme [52-54] and regulating the extracellular adenosine when working as a vital intercellular signaling molecule [55]. B7-H3 is a type I transmembrane protein and found aberrantly expressed in a high proportion of human malignancies. It reduces type I IFN released by T cells and downregulates the cytotoxic activity of NK cells [56, 57]. Cell surface lectin CD93, predominantly expressed on endothelial cells, selectively marks and essentially maintains LSCs (leukemia stem cells) through silencing of CDKN2B (cyclin-dependent kinase inhibitor 2B), a significant cell cycle inhibitor, which makes CD93 a primary target to acute myeloid leukemia (AML) [58].

# VISTA

# Structure and function

VISTA is a type I transmembrane protein with 279 amino acids (AAs). It contains an extracellular domain with 162 AAs, a transmembrane domain with 21 AAs, and a cytoplasmic domain containing 96 AAs [59] (Fig. 1). The extracellular region could be divided into two parts, one is an immunoglobulin (Ig) V domain with a single N-terminal, and the other is about 30 AAs stalk. An analysis showed that among the B7 family members, VISTA had been proved to have its highest homology with PD-L1, and the sequence identity could reach 22% [60]. Genetically, it is chromosome 10(10q22.1) where we found VISTS expression, and no neighboring Ig superfamily members could be found. All of this may partly explain the relatively low similarity. Among B7 members, VISTA is the most conservative, besides 76% identity was observed when considering mice and humans, and it is more likely that the translocation springs up along with evolution [45, 61]. Between the assumed B and F strands, the canonical disulfide bond could be found by the IgV domain of VISTA. Besides, VISTA has four additional unique invariant cysteines. VISTA shows its homology with CD28 and CTLA-4. Although lacking a classic ITIM/ITAM motif inside the conserved cytoplasmic tail, VISTA still plays a ligand and a receptor in regulating immune responses. The function was achieved by three C-terminal Src homology domain 3 (SH3) binding motifs, an Src homology domain 2 (SH2) binding motif detected in the medial cytoplasmic tail, multiple casein kinase 2,



and phosphokinase C phosphorylation that was in the cytoplasmic domain [60, 62]. The hematopoietic compartment, especially the myeloid cells, is where VISTA mostly be found. To be detailed, VISTA can be found in microglia, monocytes, neutrophils, macrophages, and dendritic cells (DCs). PSGL-1 and VSIG3 have been identified as VISTA's confirmed ligands. VSIG8-VISTA binding is relatively weak. It is a physiological condition where VISTA can interact with VSIG3, and PSGL-1 is bound to VISTA on T cells in an acidic environment [63]. The VSIG3-VISTA complex will downregulate the level of multiple cytokines, such as interleukin (IL)-2, IL-17, interferon  $\gamma$  (IFN)- $\gamma$ , and chemokine (C–C motif) ligand 5 (CCL5). Glycosylation and tyrosine sulfation regulate the function of the compound consisting of PSGL-1, selectins, and VISTA, while the modification of the compound is measured by lymphocyte activation. PSGL-1 is highly linked with T cell exhaustion [59, 60, 62].

#### Clinical trials on VISTA (Table 2)

Since multiple preclinical models have proved the high therapeutic effectiveness of VISTA in restricting tumor proliferation, VISTA-targeted antagonists have stepped forward into the clinical trial [64, 65].

CA-170 is one of the first agents for a clinical trial. As PD-L1, PD-L2, and VISTA antagonist, CA-170 is uncovered to work with silenced T cells and block cytokine secretion by recognizing the binding sites conserved in VISTA [60, 64]. It is the first oral immune checkpoint inhibitor, and data from the clinical trial (NCT02812875) ensured its pharmacological safety and effectiveness. The completed phase I study was conducted on 71 adult patients suffering from advanced solid tumors or lymphomas who progressed or were non-responsive to available therapies. Participants were given CA-170 orally once or twice daily. The result showed an acceptable safety profile and a relatively shorter pharmacokinetics (PK) exposure with a t1/2 of 3.4 h for CA-170. Evidence of peripheral T cell activation was proved by the increased proportion of circulating CD8+ and CD4+T cells expressing activation markers, CD69 and Granzyme B and OX-40 (CD134).

Table	2 Clinical trials o	on VISTA, CD39, CE	773, and CD38							
Target	Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	os	Safety	Clinical trial	Status
VISTA	JNJ-61610588	1	Phase 1	Advanced cancer	I	I	I	1	NCT02671955	Terminated
	CI-8993	I	Phase 1	Solid tumor	I	I	I	I	NCT04475523	Recruiting
	CA-170	I	Phase 1	Advanced solid tumors or lym- phomas	Pharmacokinet- ics (PK) exposure with a t1/2 of 3.4 h for CA-170	I	I	I	NCT02812875	Completed
		ı	Phase 2	Advanced solid tumors or lym- phomas	ORR of 30% in Classical Hodgkin lymphoma. CBR of > 85% at a daily dose of 400 mg and PFS of 19.6 weeks in stage 4 non- squamous NSCLC	19.6 weeks	I	T	1	1
CD39	TTX-030	Pembrolizumab Docetaxel Gemcitabine nab-paclitaxel	Phase 1	Solid tumor lymphoma	1	I	1	I	NCT03884556	Active, not recruit- ing
		Budigalimab Docetaxel mFOLFOX6 Gemcitabine nab-paclitaxel Pembrolizumab	Phase 1	Solid tumor, adult	1	I	1	I	NCT04306900	Active, not recruit- ing
	SRF617	Gemcitabine Albumin-Bound Paclitaxel Pem- brolizumab	Phase 1	Advanced solid tumor	I	I	I	1	NCT04336098	Recruiting
CD73	HLX23	I	Phase 1	Advanced solid tumor	I	I	I	I	NCT04797468	Not yet recruiting
	LY3475070	Pembrolizumab	Phase 1	Advanced cancer	I	I	I	I	NCT04148937	Active, not recruit- ing
	AK119	AK104	Phase 1	Advanced or metastatic solid tumors	I	I	I	I	NCT04572152	Recruiting

Table 2	(continued)									
Target	Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	os	Safety	Clinical trial	Status
	CP1006	Ciforadenant Pembrolizumab	Phase 1	Advanced solid tumors	1 patient (monotherapy) with metastatic CRPC: substantial reduction in the size of a target lesion after only 5 cycles, sustained at the time of cutoff			No DLTs reported*	NCT03454451	Recruiting
~ /	Sym024	Sym021	Phase 1	Metastatic can- cer solid tumor	I	1	I	I	NCT04672434	Recruiting
_	NZV930	KAZ954 PDR001 NIR178	Early Phase 1	Solid tumors	I	I	I	1	NCT04237649	Recruiting
		PDR001 NIR178	Phase 1	NSCLC TNBC PDAC MSS colorectal cancer ovarian cancer RCC mCRPC	I	I	I	I	NCT03549000	Recruiting
_ 0	MED19447 (Ole- clumab)	Osimertinib AZD4635	Phase 1 Phase 2	Carcinoma, NSCLC	I	I	1	I	NCT03381274	Active, not recruit- ing
		Durvalumab	Phase 1	Muscle-invasive bladder cancer	I	I	1	I	NCT03773666	Active, not recruit- ing
		Paclitaxel Carbo- platin MEDI4736	Phase 1 Phase 2	TNBC	I	I	1	I	NCT03616886	Active, not recruit- ing
		Durvalumab Tremelimumab MEDI 0562	Phase 2	Ovarian cancer	I	1	1	I	NCT03267589	Completed
		AZD4635 Dur- valumab	Phase 2	Prostate cancer mCRPC	Experimental group ( $n = 21$ ): ORR 0.0%; positive control group ( $n = 20$ ): ORR 5.0%*	rPFS 11.1 months for experimental group against 8.8 months for positive control group	Experimen- tal group: NA; Positive control group: 10.72 months	Serious adverse events were 8/30 for experimental group, and 6/29 for control group	NCT04089553	Active, not recruit- ing
		Durvalumab	Phase 2	Luminal B	I	I	I	I	NCT03875573	Recruiting
		Durvalumab Monalizumab	Phase 2	Stage III NSCLC	I	I	I	I	NCT03822351	Active, not recruit- ing
CD38	CID-103	I	Phase 1	Multiple myeloma	ı	I	ı	I	NCT04758767	Recruiting

Table 2 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	os	Safety	Clinical trial	Status
Daratumumab	1	Phase 2	Multiple myeloma	I	1	1	1	NCT04656951	Recruiting
	I	Phase 1 Phase 2	GBM	I	1	I	I	NCT04922723	Not yet recruiting
	I	Phase 2	Multiple mye- Ioma in relapse	I	1	I	1	NCT03697629	Completed
	I	Phase 2	Plasma cell myeloma	I	I	1	No report	NCT02944565	Completed
		Phase 2	Relapsed or refractory natural killer/T cell lymphoma	ORR 25.0%, CR 3.1%*	53.0 days	141.0 days	Serious adverse events: 17/32	NCT02927925	Completed
	I	Phase 2	Myeloma mul- tiple	I	1	1	1	NCT03992170	Recruiting
	1	Phase 2	Monoclonal gammopathy smoldering mul- tiple myeloma	I	1	I	1	NCT03236428	Active, not recruit- ing
	I	Phase 4	Multiple myeloma	I	I	1	1	NCT03768960	Active, not recruit- ing
	I	Phase 2	Refractory T cell lymphoma relapsed T cell lymphoma	T	1	I	T	NCT04251065	Active, not recruit- ing
	Nivolumab Cyclophospha- mide	Phase 2	Myeloma	I	I	I	1	NCT03184194	Active, not recruit- ing
	I	Phase 2	Multiple myeloma	I	I	I	I	NCT04230031	Withdraw
	Thalidomide and Dexamethasone	Phase 2	Relapse and/ or refractory myeloma	I		I	1	NCT03143036	Unknown

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Table 2 (continued)         Target Drug	Combination	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
	Velcade, melphalan, and prednisone	Phase 3	Multiple myeloma	The Kaplan– Meier estimate of the 36-month rate of OS was 78.0% (95% Cl 73.2-82.0) in the D-WMP group and 67.9% (62.6-72.6) in the VMP group. PFS remained prominently improved for the D-VMP group (HR 0.42 [0.34-0.51]; p <0.0001)*	1	1	Respiratory infections (54 of 278 patients had upper respiratory tract infections; 42 had bronchits, and 34 had viral upper respiratory tract infections), cough (34), and diarrhea (28)	NCT02195479 (ALCYONE)	Active, not recruit- ing
	Lenalidomide and Dexametha- sone	Phase 3	Multiple myeloma	mPFS was not reached (95% Cl 54.8-not reached) in the patients with Rd compared with 34.4 months (29.6-39.2) in the control group*	NA;34.4 months*	۲ Z	Serious adverse events occurred in 281 (77%) patients with DRd and 257 (70%) patients with Rd. Thirteen (4%) patients with DRd and ten (3%) patients with Rd under- went TRDs	NCT02252172 (MAIA)	Active, not recruit- ing
	Bortezomib, Thalidomide, and Dexamethasone	Phase 3	Multiple myeloma	157 of 543 patients in the D-VTd group and 110 of 542 patients in the VTd group had achieved a stringent CR; CR was 211 vs 141, wad 346 of 543 versus 236 of 542 achieved minimal residual disease negativ- ity*	T	1	46 deaths in the study were observed, and the most com- mon grade 3 or 4 adverse events were neutropenia, lymphopenia, and stomatitis	NCT02541383 (VELCADE)	Active, not recruit- ing

Farget Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
MOR03087 (MOR202)	Dexamethasone Pomalidomide Lenalidomide	Phase 1 Phase 2	Multiple myeloma	ORR 0.0% for MOR03087 Biweekly Dose Escalation and MOR03087 Weekly Dose Escalation, 27.8% for MOR03087 Plus Dema- sone, 47.6% for MOR03087 Plus Poma- lidomide + Dexa- methasone, 64.7% for MOR03087 Plus Lena- lidomide + Dexa- methasone	In the given order, 1.1, 2.1, 8.4, 15.9, 26.7(months)		In the given order, serious adverse events: 13/31, 4/4, 7/18, 20/21, 14/17	NCT01421186	Completed
Isatuximab	I	Phase 2	Relapsed mul- tiple myeloma refractory multi- ple myeloma	I	I	I	I	NCT04802031	Withdraw
	Lenalidomide, Bortezomib, and Dexamethasone	Phase 3	Transplant-eligi- ble NDMM	Minimal residual disease (MRD) negative rate after induction therapy was 35.6% in the RVd group and 50.1% in the isatuximab–RVD group. The CR rate after induc- tion therapy was 24.2% in the isatuximab–RVD group and 21.6% in the RVd group	T	1	At least one AE of grade 3 or higher occurred in 63.6% of patients in the isatuximab–RVD group and 61.3% in the RVd group respectively. The incidence of serious AEs was 34.8% and 36.3%	GMMG-HD7	1

Table 2 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	os	Safety	Clinical trial	Status
	Carfilzomib and Dexamethasone	Phase 3	Relapsed multi- ple myeloma	mPFS was not reached in the isatuximab group compared with 19.15 months in the control group, with an HR of 0.53*			TEAEs of grade 3 or worse occurred in 136 of 177 patients in the isatuximab group versus 82 of 122 in the control group, serious TEAEs occurred in 105 versus 70 patients, and deaths were reported in six versus four patients	NCT03275285	Active, not recruit- ing
	Pomalidomide and Dexametha- sone	Phase 3	Relapsed and refractory multi- ple myeloma	mPFS was 11.5 months (95% Cl 8.9–13.9) in the isatuximab group, compared with 65 months (4.5–8.3) in the control group	65 months; 65 months*	1	Infusion reac- tions (56), upper respiratory tract infections (69), and diarrhea (68). Serious adverse events were events were reported in 12 patients (8%) in the isatuximab group and 14 (9%) in the con- trol group	NCT02990338	Active, not recruit- ing
	Dexamethasone	Phase 2	Relapsed multi- ple myeloma	I	I	I	I	NCT04965155	Active, not recruit- ing
	I	Phase 2	Multiple myeloma	I	I	I	I	NCT04786028	Recruiting
	CellProtect	Phase 2	Multiple myeloma	I	I	I	I	NCT04558931	Recruiting
	I	Phase 2	Smoldering plasma cell myeloma	I	I	I	1	NCT02960555	Recruiting
	Bortezomib Dexamethasone	Phase 1	Multiple myeloma	I	I	I	I	NCT04912427	Recruiting

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Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
	1	Phase 1	Plasma cell myeloma	MR 3.1%, SD 53.1%	1.6	10.7	16 (50.0%) patients had Grade ≥ 3 TEAE*	NCT02514668	Completed
	T	Phase 1 Phase 2	Multiple myeloma	ORR 36.4%*, CBR 54.5%	4.7	1	No DLTs reported* TEAEs of grade $\geq$ 3 included pneumonia in two patients, and interver- tebral discitis, lung infection, disseminated intravascular coagulation, seizure, throm- botic cerebral infarction, ileus, and synovial cyst in one patient each	NCT02812706	Active, not recruit- ing
	Cemiplimab	Phase 2	Natural killer/T cell lymphoma relapsed natural killer/T cell lym- phoma refractory natural killer/T cell lymphoma	I	1	1	I	NCT04763616	Recruiting
	Carfilzomib Pomalidomide	Phase 2	Recurrent plasma cell myeloma refractory plasma cell myeloma	1	I	I	I	NCT04850599	Recruiting
	I	Phase 1	Multiple myeloma	I	I	I	I	NCT03733717	Active, not recruit- ing
ISB 1342	I	Phase 1	Relapsed/refrac- tory multiple myeloma	1	I	1	I	NCT03309111	Recruiting
GEN3014	I	Phase 1 Phase 2	Multiple myeloma	1	I	I	I	NCT04824794	Recruiting

- Another monoclonal antibody that blocks the immune inhibition of VISTA, VSTB112, is also under commercial and therapeutic development [60]. As an anti-VISTA monoclonal antibody (mAb), VSTB112 links to an epitope consisting of C–C' loops and adjacent helix, VISTA with VSIG3 and PSGL-1 [63]. The phase I trial on the anti-VISTA antagonist, VSTB112 (JNJ-61610588, NCT02671955), was launched in January 2016 by Janssen Research & Development. In this trial, participants were given intravenous infusions of JNJ-61610588 until disease progression. Unfortunately, the whole research was terminated due to financial concerns.
- SG7 is a species cross-reactive antibody against murine, cynomolgus monkey, and human VISTA with high affinity [63]; the binding between VISTA and SG7 relies on H122 and E125, located on the histidine-rich tip of VISTA, blocking the bination of VISTA and PSGL-1/VSIG3. In the study, researchers chose splenocytes from C57BL/6 mice that were activated by anti-CD3/anti-CD28 beads and incubated them with mVISTA-Fc in a complex with SG7 concentration gradient at pH 6.0, then found the binding of mVISTA-Fc with mouse T cells was blocked by SG7 in a dose-dependent manner, where SG7 completely stopped the interaction at the dose of >10 nM. In human T cells, a dose-dependent reaction was observed when activated CD4+/CD8+cells were incubated to hVISTA-Fc, in which > 50 nM SG7 also suppressed the hVISTA/T cell bination [59]. Till now, at least 24 clinical trials have been registered on ClinicalTrials.gov, though no result has been reported.

# CD73, CD39, and CD38

# Structure and function

Intercellular signaling relies on specific molecules, among which adenosine has been identified as one of the regulators of multiple physiological and pathological processes [66]. Adenosine is a nucleoside derived from the extracellular hydrolysis of adenine nucleotides. Since adenine nucleotides play a core role in the biosynthesis of ATP, rapidly developed adenosine would indicate ischemia and anoxia, which is most likely to be found in the inflammation region. Adenosine was primarily thought to be a potent vasodilator in 1927 [66]. At the same time, advanced studies showed its capacity to suppress the immune response within the TME by several specific receptors' engagement, for example, A1, A2a, A2b, and A3 [66]. To summarize, adenosine acts as a "rheostat" of the immune response, mediating the transition from inflammation to healing.

However, Otto Warburg pointed out that the proliferation of cancer cells was linked to energy generation mainly through the anaerobic breakdown of glucose instead of the oxidative breakdown of pyruvate in normal tissue cells [67]. Therefore, the tumor microenvironment might be a perfect place for adenosine formation adenosine probably engages in the immune escape, which has been confirmed by a recent study [68].

Adenosine is generated in the TME through the coordinated activity of the ectonucleotidases CD39 (ENTPD-1) and CD73 (5'-NT or NT5E), in which the extracellular adenosine triphosphate (ATP) [69], an inflammationinducing factor, is converted to adenosine. Given that, CD39 and CD73 became essential targets for cancer therapy. Besides the CD39-CD73 chain, the CD38-NPP1-CD73 chain utilizes nicotinamide adenine dinucleotide (NAD) as the precursor via intermediates ADP-ribose (ADPR) and cADPR to generate AMP. Several studies have identified the functional role CD38 played in the reaction chain and targeted CD38 for therapy [70].

CD39, also known as ENTPD-1, is the rate-limiting ectoenzyme in extracellular ATP hydrolysis [71]. Moreover, CD73 utilizes the product, 5'-adenosine monophosphate (5'-AMP), to generate extracellular adenosine [72]. The expression level of CD39 is upregulated under the stimulus from hypoxia-induced factor (HIF)-1, transforming growth factor (TGF)- $\beta$ , and epithelialto-mesenchymal-transition (EMT). CD39 expression could also be increased by T cell exhaustion and chronic inflammatory factors, such as IL-6 and TNF- $\alpha$ . CD39 is found to be broadly produced on B cells, NK cells, DCs, monocytes, macrophages, Tregs [73], also been seen on fibroblasts, myeloid cells, vascular endothelial cells, Tregs, and tumor-specific T effector cells in TME [71]. The wide distribution of CD39 indicates its abundant biological functions. (1) NLRP3 inflammasome is activated by extracellular ATP through the P2X7 receptor to induce pyroptosis [71], and CD39 can suppress the reaction by downregulating the ATP level. (2) The release of ATP by some dying tumor cells, as well as calreticulin exposure and high-mobility group protein 1 (HMGB1) secretion, are thought to be the hallmarks of immunogenic cell death, and it has been verified that the death of transformed cells often elicits highly effective antitumor immune responses [74]. CD39 could deplete ATP, thus cutting off the activation of macrophages, which is critical for dying tumor cells' immunogenicity. (3) It was shown that the chemotaxis of macrophages lies on the gradient of extracellular ATP concentrations [75]. Thus, the gradient will be blocked if adding excessive exogenous ATP or using soluble CD39-like apyrase. Since increasing extracellular ATP levels also activated P2X4 and P2X7 receptors, the activation would trigger calcium signaling

among neighboring macrophages [76] and eventually promote macrophage phagocytosis. It was thought that CD39 also plays a role in suppressing phagocytosis.

CD73, an ecto-5'-nucleotidase, is a cell surface glycosylphosphatidylinositol-anchored glycoprotein. CD73 has widely been the surface marker of several cell types, such as endothelial cells, subtypes of lymphocytes [77], stromal cells, and tumor cells. In tumor-infiltrating NK cells, CD73 is transported from intracellular vesicles to the cell surface and the extracellular matrix via actin polymerization-dependent exocytosis with the engagement of 4-1BBL on tumor cells [78]. Following the focal radiotherapy, inflammation and tissue damage induce the increased level of adenosine and CD73, and CD73 blockade promotes DC infiltration of tumors and tumor rejection which facilitates IFN-I inducing the infiltration of tumor [79]. Metformin has long been a potent drug for type 2 diabetes, and it has also been recently reported to modulate CD73/CD39 expression on MDSCs through activation of the AMPKa pathway and inhibition of the HIF-1 $\alpha$  pathway, enhancing the immune response [69].

CD38 belongs to the ribosyl cyclase family and is widely expressed on the surface of non-hematopoietic cells and several immune cells. In addition to the extracellular adenosine, NAD+is another critical factor for immune escape. It has been confirmed to participate in a series of reactions, like cell proliferation, leucocyte differentiation, as well as function [80-82]. However, CD38 is an ectoenzyme and transmits NAD+to ADP-ribose (ADPR) and cADPR. It has been proven to significantly affect intracellular Ca2+, cell adhesion, and signal transduction [83]. Th1 and Th17 cells were potent anti-tumor T cells for their heightened effector function and prolonged persistence. They need a functional NAD+-Sirt1 Axis to exert an anti-tumor response, which research proved that the anti-CD38 antibody could enhance the inhibition of tumor [80].

Overall, the extracellular ATP activated by inflammation is an essential inducer of the immune response, and the adenosine suppresses the reaction. Till now, we knew CD39 and CD73 worked together to mediate the transformation of extracellular ATP to adenosine, which took up most of the synthesis of extracellular adenosine. CD38 is a main bypass of the adenosine synthesis, and the precursor used, NAD+, also plays a vital role in immunoregulation. All in all, a deeper understanding of the three enzymes is needed to promote immunotherapy.

# Clinical trials on CD73, CD39, and CD38 (Table 2)

There are 425 clinical trials of CD38 ongoing or completed on Clinicaltrials.gov. Multiple myeloma (MM) is the second most common hematologic tumor, until anti-CD38 antibodies Daratumumab and Isatuximab have been approved for treating multiple myeloma. Daratumumab induces cell death through complementdependent cytotoxicity (CDC), antibody-dependent cell-mediated cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP), induction of apoptosis, and modulation of CD38 enzyme activities. Daratumumab may also exhaust CD38+immune regulatory cells and promote T cell expansion. Thus, it accelerates the exhaustion of tumor cells. It was approved by FDA in 2015 and applied in treating multiple myeloma [77].

- In the phase III study of MAIA (NCT02252172), Scientists enrolled 737 patients with MM not appropriate for NDMM transplantation and divided them into two groups: one group receiving Lenalidomide and Dexamethasone (Rd, 369), the other receiving Daratumumab, Lenalidomide, and Dexamethasone (DRd, 368). At a median follow-up of 56.2 months (IQR 52.7-59.9), mPFS was not reached (95% CI 54.8-not reached) in the patients with Rd compared with 34.4 months (29.6–39.2) in the control group (HR 0.53 [95% CI 0.43-0.66]; p<0.0001), the two groups did not reach mOS either (HR = 0.68, p = 0.0013). The most common (>15%) grade 3 or higher treatment-emergent adverse events included neutropenia, pneumonia, anemia, and lymphopenia. Serious adverse events occurred in 281 (77%) patients with DRd and 257 (70%) patients with Rd. Thirteen (4%) patients with DRd and ten (3%) patients with Rd underwent TRDs [84].
- Another phase III study is about ALCYONE (NCT02195479). Seven hundred and six patients with MM were enrolled, 356 received Velcade, Melphalan, and Prednisone (VMP), and the rest received Daratumumab, Velcade, Melphalan, and Prednisone (D-VMP). The HR for death in the D-VMP group compared with the VMP group was 0.60 (95% CI 0.46–0.80; p = 0.0003). The Kaplan–Meier estimate of the 36-month rate of OS was 78.0% (95% CI 73.2-82.0) in the D-VMP group and 67.9% (62.6-72.6) in the VMP group. PFS remained prominently improved for the D-VMP group (HR 0.42 [0.34-0.51]; p < 0.0001). The most frequent adverse events during maintenance of Daratumumab monotherapy in patients in the D-VMP group were respiratory infections (54 of 278 patients had upper respiratory tract infections; 42 had bronchitis, and 34 had viral upper respiratory tract infections), cough (34), and diarrhea (28) [85].

MAIA and ALCYONE put Daratumumab into the first-line treatment regimen for MM, and D-VMP

and DRd became the preferred treatment regimen for patients unsuitable for NDMM transplantation.

• CASSIOPEIA is a phase III study showing the clinical benefit of Daratumumab combined with bortezomib, thalidomide, and dexamethasone (VTd) in transplant-eligible patients with MM (NCT02541383). Totally 1085 patients were enrolled and randomly assigned D-VTd (n = 543) or VTd (n = 542). The result showed that 100 days after transplantation, 157 of 543 patients in the D-VTd group and 110 of 542 patients in the VTd group had achieved a stringent CR (odds ratio 1.60, 95% CI 1.21–2.12, p=0.0010). CR was 211 vs 141, and 346 of 543 versus 236 of 542 achieved minimal residual disease negativity (p < 0.0001). The two groups did not achieve mPFS either. Forty-six deaths in the study were observed (14 vs 32, 0.43, 95% CI 0.23-0.80), and the most common grade 3 or 4 adverse events were neutropenia, lymphopenia, and stomatitis [86]. The CASSIOPEIA study showed that compared with VTd, D-VTD showed a better and long-lasting efficacy. At the same time, the safety did not differ, making it an excellent benefit for MM patients capable of transplantation.

Isatuximab is another CD38 monoclonal antibody that also targets MM. It has an entirely different antigenbinding epitope compared to Daratumumab, which can almost completely inhibit the enzymatic activity of CD38 and directly cause the apoptosis of tumor cells through FcyR-mediated cross-linking. It has a better scavenging effect on CD38-positive NK cells and Treg cells. Recent studies have stepped into phase III trials (NCT02990338), showing that Isatuximab extended patients' PFS [87].

In a phase III study (NCT02990338), scientists ٠ enrolled 307 patients with relapsed and refractory multiple myeloma and then randomly assigned them to treatment: 154 to Isatuximab-pomalidomide-dexamethasone and 153 to pomalidomide-dexamethasone. At a median follow-up of 11.6 months (IQR 10.1–13.9), mPFS was 11.5 months (95% CI 8.9–13.9) in the Isatuximab group, compared with 65 months (4.5-8.3) in the control group (HR 0.596, 95% CI 0.44–0.81; p = 0.001). The most frequent treatmentemergent adverse events were infusion reactions (56), upper respiratory tract infections (69), and diarrhea (68). Serious adverse events were reported in 12 patients (8%) in the Isatuximab group and 14 (9%) in the control group. One case in the Isatuximab group (sepsis) and two in the control group (pneumonia and urinary tract infection) reported deaths [87].

- IKEMA is a phase III study showing the efficacy of Isatuximab plus carfilzomib-dexamethasone versus carfilzomib-dexamethasone in patients with relapsed multiple myeloma (NCT03275285). Three hundred and two patients were enrolled: 179 were randomly assigned to the Isatuximab group and 123 to the control group. The result showed that mPFS was not reached in the Isatuximab group compared with 19.15 months (95% CI 15.77-not reached) in the control group, with an HR of 0.53 (99% CI 0.32–0.89; one-sided *p* = 0.0007). TEAEs of grade 3 or worse occurred in 136 of 177 patients in the Isatuximab group versus 82 of 122 in the control group, serious TEAEs occurred in 105 versus 70 patients, and deaths were reported in six versus four patients [88].
- GMMG-HD7 is a phase III study showing the clinical benefit of Isatuximab in combination with lenalidomide, bortezomib, and dexamethasone (isatuximab-RVD) in patients with transplant-eligible NDMM. The minimal residual disease (MRD) negative rate after induction therapy was 35.6% in the RVd group and 50.1% in the isatuximab–RVD group. The CR rate after induction therapy was 24.2% in the isatuximab–RVD group and 21.6% in the RVd group. Regarding safety, at least one AE of grade 3 or higher occurred in 63.6% of patients in the isatuximab-RVD group and 61.3% in the RVd group, respectively. The incidence of serious AEs was 34.8% and 36.3%, respectively, and a comparable number of patients discontinued induction therapy because of AEs in the two groups. Isatuximab-RVd combination regimen can be used as the optimal regimen for NDMM patients undergoing transplantation, which has a good application prospect.

CD38 antibody has also been applied to lymphoma.

In the study (NCT02927925), 32 participants were enrolled. Everyone received Daratumumab 16 mg/ kg by IV infusion to assess Daratumumab's clinical efficacy and safety in relapsed or refractory natural killer/T cell lymphomas (NKTCL). Fortunately, the primary outcome ORR of Daratumumab monotherapy was 25.0% of the total 32 Asian patients, no patient achieved CR, six patients had SD and 14 cases underwent PD, and PFS reached 53.0 days, while OS comes to 141.0 days. The median duration of response of the eight responders was 55.0 days [89]. There are 17 out of 32 participants who suffered severe adverse events, including pyrexia (5 cases), thrombocytopenia (3 cases), septic shock (3 cases), etc.

Till now, all clinical trials on lymphoma have reached few results. Some even terminated due to unsatisfactory outcomes [90, 91] (NCT02999633, NCT02413489). All in all, studies on lymphoma are not recommended until breakthroughs occur.

- · There are 19 clinical trials on CD73 on Clinicaltrials.gov, among which MEDI9447 (Oleclumab) is a human monoclonal antibody targeting CD73. In a phase II study (NCT04089553), researchers applied it as a combination therapy with AZD4635 or Durvalumab in patients with mCRPC who progressed on standard treatments. Among the 59 participants, 29 (Module 1) received monotherapy of AZD4635. The rest 30 patients (Module 2) received combination therapy of AZD4635 and Oleclumab. ORR is 5.0% in Module 1 and 0 in Module 2, respectively. The percentage of participants with prostate-specific antigen (PSA) is 3.6% and 3.3%, respectively. The percentage of participants with PFS at six months is 8.8% and 11.1% in Modules 1 and 2, respectively. The result indicated that Oleclumab has few benefits for patients with prostate cancer, and further studies are needed.
- In another study (NCT04672434), researchers dug into Sym024, a newly developed anti-CD73 antibody, exploring Sym024's safety and tolerance as monotherapy or in combination with Sym021 in patients suffering from solid tumor malignancies. However, no results have been posted either.
- And TTX-030 is an uncompetitive allosteric inhibitor of CD39. By using an endpoint Malachite Greenbased assay that detects the release of free phosphate (Pi), TTX-030 inhibited rhCD39-ECD ATPase activity with an IC50 of 0.20±0.06 nM with 55% maximal inhibition (NCT03884556) [92]. In another study (NCT04306900), researchers combined TTX-030 with immunotherapy and chemotherapy like Budigalimab, Docetaxel, or Gemcitabine in patients suffering from solid tumors. The research was expected to improve the accumulation of pro-inflammatory ATP and immunosuppressive adenosine reduction, but the result has not been published.

ES014 is an anti-CD39/TGF- $\beta$  bispecific antibody; a relevant study (NCT05381935) has been conducted on patients with advanced solid tumors, but the result has not come out yet.

 CD39 and CD73, two ectonucleotidases, usually work together to upregulate the level of intercellular adenosine. Adenosine attaches to the A2A receptor to convey a signal repressing T cell activation [93]. Researchers tend to obstruct the two pathways for a better result. Although few studies are registered on Clinicaltrials.gov, we are inspired to see that many preclinical studies have taken place. In one study, researchers applied IPH5201 and IPH5301, which targeted CD39 and CD73, respectively, to mice with melanoma or colorectal cancer [94]. The result showed a decline in Ado accumulation and a limitation of Ado-mediated T cell inhibition. Overall, combinatory drug use needs more and more profound studies.

# LAG-3

# Structure and function

LAG-3 is identified as a type I transmembrane protein. It is mainly found on activated T cells, NK cells, B cells, and plasmacytoid dendritic cells [95]. It comprises four extracellular immunoglobulin (Ig)-like domains (D1–D4) that share 20% amino acid homology with CD4, and the similarity lies in the proximity of LAG-3 to CD4 on the human chromosome 12. Opposite to the semblable in the extracellular regions, intracellular parts of LAG-3 and CD4 show no noticeable similarity. For example, LAG-3 lacks the cysteine motif required to link with lymphocyte-specific protein tyrosine kinase (Lck) and the palmitoylation site observed in CD4 [96, 97]. The construction of the genomic parts of CD4 and LAG-3 obtaining exons encoding their extracellular regions is similar. Still, the genomic building receiving exons encoding their intracellular regions varies, indicating an early divergence of the two genes during evolution [98]. Now, we found that the LAG-3 cytoplasmic tail contains three conserved motifs. And it mediates intracellular negative signal transduction: (1) a potentially phosphorylatable serine (S484), (2) a KIEELE motif, and (3) a glutamate-proline dipeptide multiple repeat motif (EP motif). S484 has been found its correlate with IL-2 production [99]. Lysine residue (K468) found in KIEELE was required for LAG-3 downstream signaling. The EP motif was vital in promoting colocalization of LAG-3 with CD3, CD4, and CD8 within lipid rafts through interacting with LAP protein (LAG-3 associated protein) [100].

Since LAG-3 is structurally like the CD4 co-receptor, it links to the MHC class II complex with a stronger affinity than CD4. LAG-3 also affects the activity of CD8+T cells and NK cells, which interact with the MHC class II complex. The fact has pointed to alternate ligands for Lag-3 [101]. The DC-SIGN family member LSECtin and the liver-secreted protein FGL1 have now been identified as ligands of LAG-3. Besides, studies showed that the silence of LAG-3 and PD-1 independently has little effect on autoimmunity [102]. At the same time, the double-knockout of LAG-3 and PD-1 in the mice model resulted in multi-organ lymphocytic infiltration, indicating a closer relationship between LAG-3 and PD-1 in regulating T cell viability [98].

CD4+T cells-expressed LAG-3 binds to the MHC class II complex on antigen-presenting cells, while CD8+T cells-expressed and NK cells-expressed LAG-3 links to the LSECtin on tumor cells or liver cells. The unique KIEELE motif on the cytoplasmic tail of LAG-3 is critical for its immune suppressive function [101]. Studies showed that the deletion of KIEELE completely abrogates LAG-3 function on CD4 T cells [103]. Since LAG-3 has a significantly higher affinity with MHC-II than CD4, it is no surprise that LAG-3-Ig fusion proteins act as competitors in CD4/MHC class II-dependent cellular adhesion assays downregulate T cell activation, cytotoxicity, and cytokine production [103]. The cytoplasmic domain of LAG-3 would transmit inhibitory signals and inhibit CD4 T cell activation once LAG-3 is tied to MHC-II [104, 105]. Besides, the bination of LAG-3 to MHC class II complex also dampens Th cell response. Overall, the high-affinity LAG-3: MHC class II bination was believed to be the primary mechanism of the high inhibitory competence of LAG-3. It works through the competition with CD4: MHC-II binding in early studies, while along with the novel identification of additional ligands, a consensus is under controversy now.

# Clinical trials on LAG-3 (Table 3)

Recent studies have focused on developing antagonistic mAbs, IMP321 (Eftilagimod Alpha), a soluble dimeric recombinant protein composed of four LAG-3 extracellular domains fused to the Fc portion of human IgG1 (LAG-3-Ig) [106].

The first phase I trial using the dose-escalated IMP321 as monotherapy was conducted on 24 patients suffering from advanced metastatic renal cell carcinoma in 2006 (NCT00351949, P003). Patients with advanced RCC were treated with escalating doses of IMP321 s.c. Their blood samples were tested to detect human anti-IMP321 antibody formation and determine long-lived CD8 T cell responses. Although no therapy response was reported, 7 of 8 patients treated with the higher doses of IM321 underwent SD at three months. On the contrary, only 3 of 11 in the lower dose group did that (p=0.015). No clinically significant local or systemic treatmentrelated adverse events were recorded. Along with the 195 adverse events, 20 (10%) were reported to be related to IMP321 and were grade 1 local reactions [107].

• IMP321 has also been combined with first-line chemotherapy and immunotherapies in a series of clinical trials conducted in melanoma (NCT02676869, NCT01308294, NCT00324623), metastatic breast cancer (NCT00349934), and pancreatic neoplasms (NCT00732082). Many researchers have reported promising results of IMP321 in melanoma therapy, among which four studies have been reported on ClinicalTrials.gov.

Besides, the development of antagonistic monoclonal antibodies targeting LAG-3 drew much attention. BMS-986016 (Relatlimab) is a human IgG4 anti-LAG-3 blocking mAb, and multiple studies have proven the synergistic impact of anti-LAG-3 with PD-1/PD-L1-targeted therapies.

- So far, the safety and efficacy of Relatlimab administered alone and in combination with anti-PD-1 monoclonal antibody (Nivolumab, BMS-936558) were explored in advanced solid tumors in a phase I study (NCT02966548), no result reported.
- In another study (NCT02488759), 578 participants were divided into six groups to investigate the safety and efficacy of Nivolumab combination therapy in virus-associated Tumors. in Metastatic Combo C (8 participants), everyone received Nivolumab 240 mg IV over 30 min + Relatlimab 80 mg IV over 60 min administered every two weeks for a maximum of 24 months. ORR is 0 for the 8 participants with HPV-positive SCCHN, PFS is 3.81 months, and OS is 8.84 months. Five out of 8 patients underwent severe adverse events, including one case for angina pectoris, pathological fracture, pleural effusion, pulmonary hemorrhage, stridor, two cases for malignant neoplasm, and three cases for dyspnea. However, the sample capacity is too small. It is insufficient to reach a firm conclusion.

As a critical and a pivotal trial, the up-to-date study RELATIVITY-047 (NCT03470922) suggested that inhibiting both LAG-3 and PD-1 with Relatlimab and Nivolumab (Opdualag, BMS-986213, fixed-dose combination Relatlimab and Nivolumab at a 1:3 ratio) provides a better prognosis than those who only received Nivolumab in patients with previously untreated meta-static or unresectable melanoma, which led to FDA approval on the first anti-LAG-3 antibody Relatlimab for the treatment of melanoma.

• Specifically, the primary endpoint mPFS of the Relatlimab–Nivolumab group was 10.1 months compared with 4.6 months of Nivolumab mono-

Table	3 Clinical trials	on LAG-3								
Target	Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS (m)	OS (m)	Safety	Clinical trial	Status
LAG-3	BMS 986,016(Relatli- mab)	Nivolumab	Phase 2/3	Melanoma	Researchers provided data on PFS*, ORR of the Relatimab- Nivolumab group and the Nivolumab group was 43% and 33%	10.12 for group: Relatli- mab + Nivolumab, and 4.63 for group: Nivolumab*	NR for group: Relatil- mab + Nivolumab, and 34 for group: Nivolumab	Serious adverse events were 108/355 for Group A: Relatli- mab + Nivolumab, and 119/359 for Nivolumab	NCT03470922 (RELATIV- ITY-047)	Active, not recruiting
		Nivolumab	Phase 1	Cancer	1	I	I	1	NCT02966548	Active, not recruiting
		BMS-936558	Phase 1	Hematologic neoplasms	I	I	I	I	NCT02061761	Active, not recruiting
		Nivolumab	Phase 2	Melanoma	I	I	I	I	NCT03743766	Recruiting
		Nivolumab, BMS- 986213	Phase 1	Neoplasms by site	I	I	I	1	NCT01968109	Active, not recruiting
			Phase 2							
		Nivolumab	Phase 2	Metastatic uveal melanoma	I	I	I	I	NCT0455223	Recruiting
		Nivolumab	Phase 1	Glioblastoma	I	I	I	I	NCT03493932	Active, not recruiting
		Nivolumab	Phase 2	Chordoma, locally advanced chordoma, metastatic chordoma, unresectable	I	I	1	1	NCT03623854	Recruiting
		Anti-PD-1	Phase 1	Glioblastoma	I	I	I	I	NCT02658981	Active, not
		Anti-CD137		Gliosarcoma, recurrent brain neoplasm						5
		Elotuzumab, pomalidomide, dexamethasone	Phase 1	Multiple myeloma	I	Ι	Ι	I	NCT04150965	Recruiting
		Azacitidine Injec- tion	Phase 2	Acute myeloid Ieukemia	I	I	I	I	NCT04913922	Recruiting
		Nivolumab	Phase 3	Melanoma	I	I	I	I	NCT05002569	Not yet recruiting
		Nivolumab	Phase 2	Hepatocellular carcinoma, hepatoma	1	I	I	I	NCT04567615	Recruiting

Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS (m)	OS (m)	Safety	Clinical trial	Status
	Nivolumab	Phase 1	Various advanced cancers	ORR 0 for HPV-positive SCCHN *	3.81	8.84	Serious adverse events included one case for angina pectoris, pathologi- cal fracture, pleural effusion, pulmo- nary hemorrhage, stridor each, two cases for malignant neoplasm, and three cases for dyspnea*	NCT02488759	Active, not recruiting
	Nivolumab	Phase 1	Advanced cancer	I	I	I	I	NCT03459222	Recruiting
	Nivolumab	Phase 2	Advanced cancer	I	I	I	I	NCT02996110	Recruiting
	Nivolumab	Phase 1	Hepatocellular carcinoma	I	I	I	I	NCT04658147	Recruiting
	Nivolumab + Ipili- mumab	Phase 2	HNSCC	I	I	I	I	NCT04326257	Recruiting
	Nivolumab	Phase 2	MSS colorectal adenocarcino- mas	I	1	I	I	NCT03642067	Recruiting
	Nivolumab	Phase 2	Refractory MSI-H solid tumors prior to PD-(L) 1 therapy	I	I	I	I	NCT03607890	Recruiting
	Nivolumab	Phase 2	HNSCC	I	I	I	I	NCT04080804	Recruiting
	Nivolumab	Phase 2	Advanced gastric cancer	I	1	I	I	NCT02935634	Active, not recruiting
	Nivolumab, Carboplatin, Pacli- taxel, Radiation	Phase 1	Gastric cancer, esophageal cancer, gas- troesophageal cancer	1	I	I	ı	NCT03044613	Active, not recruiting
IMP321 (Eftilagi- mod Alpha)	Gemcitabine	Phase 1	Pancreatic neoplasms	I	I	I	I	NCT00732082	Terminated
	Pembrolizumab	Phase 1	Stage IV mela- noma, stage III melanoma	1/18 CR	1	1	No DLTs reported*	NCT02676869	Completed

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Table 3 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS (m)	OS (m)	Safety	Clinical trial	Status
	Paclitaxel, Placebo	Phase 2	Adenocarci- noma breast stage IV	1	1	I	1	NCT02614833	Active, not recruiting
	1	Phase 1	Advanced RCC	7 of 8 patients treated with the higher doses of IM321 underwent SD at three months. 3 of 11 in the lower dose group did	1	1	No clinically significant local or systemic treatment-related adverse events were recorded. Along with the 195 adverse events, 20 (10%) were reported to be related to IMP321 and were grade 1 local reactions*	NCT00351949 (P003)	Completed
	Avelumab	Phase 1	Solid tumors, peritoneal carci- nomatosis	ORR 17% and DCR 33% with 1/6 PR, 1/6 SD, and 4/6 PD	I	I	No DLTs reported*	NCT03252938	Recruiting
	Paclitaxel	Phase 1	Metastatic breast cancer	ORR 50% and 1/10 PD	T	T	Six grade 3 adverse events were recorded, including asthenia (3 cases), neuropathy, aller- gic reaction, and neutropenia*	NCT00349934	Completed
	Pembrolizumab	Phase 2	NSCLC, HNSCC	ORR 47%* and DCR 82% with 8/17 PR and 6/17 SD	1	1	Most common toxicities included cough (31%), fatigue (19%), and diarrhea (15%)	NCT03625323	Recruiting
	Pembrolizumab	Phase 2	HNSCC	I	I	I	I	NCT04811027	Not yet recruiting
	Paclitaxel	Phase 1	Metastatic breast cancer	I	I	I	I	NCT04252768	Not yet recruiting
	Immunological peptides and immunological adjuvants	Phase 1	Melanoma	I	I	I	I	NCT00365937	Terminated
	HLA-A2 peptides Montanide ISA-51	Phase 2							

Table 3 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS (m)	OS (m)	Safety	Clinical trial	Status
	Melan-A VLP vaccine, cyclo- phosphamide, fludarabine phosphate	Phase 1	Melanoma	1	1	1	Grade 3–4 treatment-related adverse events included anemia (16.6%), leucopenia (100%), thrombo- cytopenia (33%), febrile neutropenia (grade a 100%) * (100%) *	NCT00324623	Completed
Sym022	1	Phase 1	Metastatic can- cer, solid tumor, lymphoma	33.3% SD in group: Dose Level 3	1	1	Serious adverse events were 1/3 in Dose Level 3(chest pain) and 2/6 in Dose Level 4 (gas- trointestinal hem- orrhage, sepsis, lipase increased, and tumor pain) *	NCT03489369	Completed
	Sym021	Phase 1	Metastatic cancer, solid tumor	I	I	1	1	NCT04641871	Active, not recruiting
	Sym021	Phase 1	Metastatic can- cer, solid tumor, lymphoma	I	I	1	I	NCT03311412	Recruiting
INCAGN02385	1	Phase 1	Cervical cancer, MSI-high endo- metrial cancer	I	I	1	I	NCT03538028	Completed
	INCAGN02390, INCMGA00012	Phase 1/2	Melanoma	I	I	I	I	NCT04370704	Recruiting
REGN3767	I	Early Phase 1	Large B cell lym- phoma, DLBCL	1	I	I	1	NCT04566978	Recruiting

Table 3 (continued) Target Drug	Combination	Phase	Tumor type	Clinical	PFS (m)	OS (m)	Safety	Clinical trial	Status
	<b>agent</b> Cemiplimab	Phase 1	Malignancies	efficacy Monotherapy	1	1	1/67 DLT in the	NCT03005782	Recruiting
				group: URK U% and DCR 48% with 12 SD group: ORK 5% and DCR was 31% with 2 PR and 11 SD 2/12 PR and 6 SD in the group crossed over from mono-			combination group (G4 CK elevation + G3 myasthenic syn- drome + G1 eleva- tion of troponin*		
				therapy to the combination					
	Cemiplimab	Phase 1/2	Metastatic solid tumor	I	I	I	I	NCT04706715	Not yet recruiting
R07247669	I	Phase 1	Solid tumors, metastatic melanoma, NSCLC, esopha- geal squamous cell carcinoma	I	1	1	1	NCT04140500	Recruiting
	R07121661	Phase 2	Advanced or metastatic esophageal squamous cell carcinoma	I	1	1	1	NCT04785820	Recruiting
	Atezolizumab	Phase 1	Advanced liver cancers	I	I	I	I	NCT04524871	Recruiting
BI 754,091	Anti-PD-1	Phase 1	Advanced or metastatic solid	I	I	1	21/321 DLTs, particularly	NCT03156114	Active, not recruiting
	Anti-PD-1	Phase 1	tumors				infusion-related reactions (n = 6).	NCT03433898	Active, not recruiting
	Anti-PD-1 Anti-PD-1	Phase 1 Phase 2					Serious AES: ///321 (27%): pleural effu- sion (n= 6), deep venous thrombosis	NCT03780725 NCT03697304	Terminated Active, not recruiting
							(n = 4), cardiac tamponade $(n = 1)$ , and acute kidney iniury $(n = 1)$		)

farget Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS (m)	OS (m)	Safety	Clinical trial	Status
EMB-02	I	Phase 1	Advanced solid tumors					NCT04618393	Recruiting
LAG525	PDR001	Phase 2	SCLC, gastric adenocar- cinoma, esopha- geal adeno- carcinoma, castration-resist- ant prostate adenocarci- noma, soft tissue sarcoma, ovarian adeno- carcinoma, advanced well- differentiated neuroendocrine tumors DLBCL	ORR 9.3%, DCR for neuroendo- crine turmors (86%), diffuse large B cell lym- phoma (43%), and small-cell lung cancer (27%)*	2.8	1	11/72 patients had grade 3 or 4 AEs including dyspnea, fatigue, and poor appetite	NCT03365791	Completed
	Spartalizumab	Phase 2	Triple-negative breast cancer	ORR for LAG525 + Spar- talizumab (5.0), LAG525 + Spar- talizumab + Car- talizumab + Car- booplatin (17.6)*	LAG525 + Spar- talizumab (1.4), LAG525 + Spar- talizumab + Car- boplatin (4.3), LAG525 + Carbopl- atin (3.0)	I	Serious adverse events for LAG525 + Spar- talizumab (6/19), LAG525 + Spar- talizumab + Car- boplatin (12/34), LAG525 + Carbopl- atin (14/34)	NCT03499899	Completed
	PDR001	Phase 1	Advanced solid tumors	11/121 patients in the combina- tion group achieved PR 1 patient had a CR	T	1	DLTs occurred in 4/121 patients including grade 3 and 4 pneumonitis, acute kidney injury, and autoimmune hepatitis*	NCT02460224	Completed
	Spartalizumab	Phase 1	TNBC	I	I	I	I	NCT03742349	Recruiting
	Spartalizumab	Phase 2	Melanoma	I	I	I	Ι	NCT03484923	Recruiting

Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS (m)	(m) SO	Safety	Clinical trial	Status
MGD013	Alone or in combination with margetuximab (for patients who had expression of HER2 on their tumors)	Phase 1	Advanced or metastatic solid or hematologic malignancies	Dose escala- tion ( <i>n</i> = 29): ORR 10% and DCR 55% with 3 confirmed PR, 1 unconfirmed PR, and 13 SD Expansion cohort ( <i>n</i> = 41): ORR 7%, DCR 59% with 3 PR, and 21 SD	1	1	2/207 DLTs: immune-mediated hepattitis and increased lipase*	NCT03219268	Active, not recruiting
FS118		Phase 1	Advanced solid tumors	I	I	I	1	NCT03440437	Recruiting
*Primary endpoint; NSCLC, DLBCL, diffuse large B cell !	non-small-cell lung cal ymphoma; MSI, micros,	ncer; SCLC, sma atellite instabili	ll-cell lung cancer; HNS ty	CC, head and neck	squamous cell carcin	oma; MSS, microsatelli	te stable; UC, urothelial canc	cer; TNBC, triple-neg	ative breast cancer;

Table 3 (continued)

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therapy (HR=0.75). ASCO 2022 updated that the Relatlimab-Nivolumab group did not reach mOS while the mOS of Nivolumab was 34 m (HR = 0.81), which showed that patients treated with Relatlimab-Nivolumab had better survival, but it is not significant. And ORR of the Relatlimab-Nivolumab group and the Nivolumab group was 43% and 33%, respectively. All the subgroup analyses (including PD-L1 and LAG-3 expression, BRAF mutation status, M1c stage, higher tumor burden, higher LDH, etc.) favored Relatlimab-Nivolumab over Nivolumab, and ORR were higher in patients with LAG-3>1% and PD-L1 > 1%. Patients in the Relatlimab-Nivolumab group suffered more grade 3 or 4 treatment-related adverse events than those in the Nivolumab group (18.9% to 9.7%). Since the participants in the Relatlimab-Nivolumab group had a longer PFS, the implications of the adverse events occurrence rates need to be clarified. Besides, the treatment-related adverse events among the two groups did not show many differences, including hypothyroidism or thyroiditis, rash, and diarrhea or colitis [108].

LAG-3 is an immune checkpoint of great potential. Relatlimab, an anti-LAG-3 blocking mAb, has been approved for melanoma treatment. In the latest studies, Relatlimab can also be seen in treating NSCLC or gastric cancer. Maybe some inspiring news will come from treatments of other types of tumors soon. Although IMP321 is also expected, it lacks approval for treating melanoma, and more studies must be done.

# IDO-1

# Structure and function

IDO-1 is essential in regulating immune escape. It catalyzes the oxidation of Trp (l-tryptophan) to form N-formyl L-kynurenine, rapidly converted by formamidases to Kyn (kynurenine) [109]. Rocketed levels of Kyn and higher plasma Kyn/Trp ratios are often found in cancer patients at an advanced stage and correlate with poor prognosis [110]. The importance of Trp starvation responses against Kyn accumulation in the TME as the driving force for immunosuppression has been debated for over 20 years [110].

Generally, Trp is a critical amino acid for mammals. The tryptophan pool in tumor microenvironments will be restricted in response to the abnormal activation of IDO-1, leading to decreased T cells [111]. Besides, the reduced concentration of local Trp triggered the activation of the general control nonderepressible 2 kinases (GCN2) [110]. Kyn is an AhR (Aryl hydrocarbon Receptor) ligand. The interaction leads to the suppressed secretion of IFN-I and activation of NF- $\kappa$ B signaling [112].

Kyn also converted naive CD4+ T cells into Foxp3+ Treg cells and the Trp starvation [109]. Besides its immediate effect on AhR, in vitro Kyn is slowly transmitted from non-enzymatically to byproducts that serve as high-affinity (sub-nM) AhR agonists. In addition, the downstream metabolites of the Trp catabolism, 3'OH-kynurenine, and 3'OH-anthranilic acid, also act as AhR ligands [110]. As the intermediate product of the KP (kynurenine pathway), Kyn is further metabolized through the KP to quinolinic acid. And the latter is switched to NaMN through the enzyme quinolate phosphoribosyltransferase (QPRT). Then, it ultimately switched to NAD+via the Preiss-Handler pathway, thus joining the NAD+ immunoregulation pathway [113].

## Clinical trials on IDO-1 (Table 4)

So far, studies on IDO-1 have been extensive, and many drugs have reached phase III of clinical trials. However, there is the unexpected failure of Epacadostat (INCB024360). Epacadostat is a potent and highly selective IDO-1 enzyme inhibitor that decreases tryptophan metabolism, resulting in the enhanced viability of effector T cells, NK cells, CD86<sup>high</sup> DCs, decreased apoptosis, and the reduced expansion of Tregs [114].

- In phase I and II studies, for example, ECHO-202/ KEYNOTE-037 (NCT02178722), the result indicated excellent tolerance and efficacy of combination therapy, including Epacadostat plus Pembrolizumab that targets advanced melanoma. However, in a phase III study, the combination of Epacadostat and Pembrolizumab showed no superiority over Pembrolizumab monotherapy. Hence, scientists try to resolve this by exploring the efficacy of Epacadostat in other solid tumors. In KEYNOTE-672/ ECHO-307 (NCT03361865), another phase III study, Epacadostat, along with Pembrolizumab, was applied to patients with urothelial cancer (UC). Participants were given Pembrolizumab 200 mg intravenously, while one group (44 participants) received Epacadostat 100 mg BID orally twice daily, and the other (49 participants) took a placebo instead. The result showed that patients in the Pembrolizumab+Epacadostat group had an ORR of 31.8%, compared to 24.5% in the Pembrolizumab+Placebo group. Twenty-three out of 43 patients and 23 out of 49 reported severe adverse events. It is promising news that Epacadostat did improve the therapy, but further studies are needed to explore its effect on tolerance.
- A phase II clinical trial about the combined therapy of Epacadostat plus Pembrolizumab in patients suffering advanced solid tumors showed an encouraging anti-tumor response (NCT03322540).

Phase I         Tumor type         Clinical efficacy         PFS         OS         Safety         Clinical trial         Staus           Phase I         Rectal Cancer         -         -         -         NC03516788         Recturing           Phase I         Rectal Cancer         -         -         -         NC03516708         Recturing           Phase I         Phase I         Stold Tumors Head         In the study, stold stord         24,120,19,17, goods, 008, stold stord         -         -         NC03516708         Recturing           Phase I         Phase 2         Stold Tumors Head         In the study, stold stord         24,120,19,17, goods, 24,093         -         -         -         NC03518277         Completed           Discretion         und School, Stold Und School, Stold Und School, Stold School, Stold	Target Drug Combination agent	IDO-1 Epacadostat – (INCB24360)	MED14736	Pembrolizumab	Pembrolizumab	Fludarabine Cyclo- phosphamide	Pembrolizumab
Tumor typeClinical efficacyFSOSSafetyClinical trialSatusRectal Cancer $    -$ NCT03318.277CampletedSolid Tumors HeadIn the study.24,120,19,17, $  -$ NCT03318.277Campletedand Neck Cancerattendants were41,25,19 and 2.1 $   -$ NCT03318.277Campletedand Neck Cancerattendants were41,25,19 and 2.1 $      -$ and Neck Cancerattendants were $41,25,19$ and 2.1 $      -$ and Neck Cancerattendants were $41,25,19$ and 2.1 $       -$ and Neck Cancerattendants were $41,25,19$ and 2.1 $   -$ <td>Phase</td> <td>Phase 1</td> <td>Phase 1 Phase 2</td> <td>Phase 3</td> <td>Phase 1 Phase 2</td> <td>Phase 1</td> <td>Phase 2</td>	Phase	Phase 1	Phase 1 Phase 2	Phase 3	Phase 1 Phase 2	Phase 1	Phase 2
Clinical efficacyFSOSSafetyClinical trialStatusNCT03516708RecruitingIn the study,24,120,19,17,-Serious adverseNCT03318277Completeddivided into 641,25,19 and 2,11-Serious adverseNCT03318277Completed350%, 00%,24,120,19,17,-Serious adverseNCT03318277Completed250%, 00%,24,120,19,17,-Serious adverseNCT03318277Completed350%, 00%,00%,24,120,19,17,-Serious adverseNCT03318277Completed350%, 00%,00%,4%, 3/9 for each900up SeriousNCT03318277Completed12.5% for attend-12.5% for attend-03, 24,04900up SeriousNCT03318277Completed12.5% for attend-12.5% for attend-03, 24,04900up SeriousNCT03318277Completed12.5% for attend-ExperimentalSerious adverseNCT03361865Completed12.5% for attendSerious adverseNCT03361865Completed12.5% for metrolSerious adverseSerious adverse12.5% for metrolSerious adverseSerious adverse12.5% for attendSerious adverseSerious adverse12.5% for attendSerious adverseSerious adverse<	Tumor type	Rectal Cancer	Solid Tumors Head and Neck Cancer Lung Cancer UC	U D	Advanced mela- noma	Ovarian Cancer Fallopian Tube Carcinoma Primary Peritoneal Carci- noma	Lung Cancer
FFS         OS         Safety         Clinical trial         Status           -         -         -         NCT03516708         Recruiting           2.4,120,19,17,         -         Serious adverse         NCT03318277         Completed           4,12.5,19 and 2.1         -         Serious adverse         NCT02318277         Completed           4,83,376         0,3,24,045         (ECH0-203)         Completed         0,3,24,045           -         -         24,045         NCT03361865         Completed           -         -         -         Serious adverse         NCT03361865         Completed           -         -         -         -         Serious         Completed <td< td=""><td>Clinical efficacy</td><td>1</td><td>In the study, attendants were divided into 6 groups, ORR was 16,7%, 0.0%, 12.5%, 0.0%, 12.5% for attend- act group: ORR 12.2% for attend- ants received Epacadostat (100 mg) + Dur- valumab (10 mg/ kg), and that was 1.2.9% for par- ticipants received Epacadostat (300 mg) + Dur- valumab (10 mg/ kg)*</td><td>Experimental group (<math>n = 44</math>): ORR 31.8%; posi- tive control group (<math>n = 49</math>): ORR 24.5%*</td><td>I</td><td>1</td><td>Experimental group <math>(n = 77)</math>: ORR 32.5%; control group <math>(n = 77)</math>:</td></td<>	Clinical efficacy	1	In the study, attendants were divided into 6 groups, ORR was 16,7%, 0.0%, 12.5%, 0.0%, 12.5% for attend- act group: ORR 12.2% for attend- ants received Epacadostat (100 mg) + Dur- valumab (10 mg/ kg), and that was 1.2.9% for par- ticipants received Epacadostat (300 mg) + Dur- valumab (10 mg/ kg)*	Experimental group ( $n = 44$ ): ORR 31.8%; posi- tive control group ( $n = 49$ ): ORR 24.5%*	I	1	Experimental group $(n = 77)$ : ORR 32.5%; control group $(n = 77)$ :
OS         Safety         Clinical trial         Status           -         -         NCT03516708         Recruiting           -         Serious adverse events were 2/6, 0/3, 2/4, 0/4, 4/8, 3/916 reach group. Serious adverse events were 20/49, 5/4/93         NCT02318277         Completed           -         Serious adverse events were 2/6, for each group         NCT02318277         Completed           -         Serious adverse adverse events were 20/49, 5/4/93         NCT02318277         Completed           -         Serious adverse adverse events were 20/49, 5/4/93         NCT03361865         Completed           -         Serious adverse events were 23/43         NCT03361865         Completed           -         Serious adverse events were 23/43         NCT03351865         Completed           -         -         NCT02118285         Completed           NA         Serious adverse vents were 23/43         NCT03322540         Completed           NA         Serious adverse vents were 23/75         NCT03322540         Completed           NA         Serious adverse vents were 23/75         NCT03322540         Completed	PFS	1	24, 120, 19, 1.7, 4.12.5 1.9 and 2.1	I	I	I	Experimental group: 6.7; control group: 6.2
SafetyClinical trialStatus-NCT03516708Recruiting-NCT03516708RecruitingSerious adverseNCT02318277Completed4(8, 3/9) for each group. SeriousNCT02318277Completed4(8, 3/9) for each group(ECHO-203)Completed6) for each groupNCT02361865Completed6) for each group(ECHO-203)Completed6) for each groupCompletedCompleted9) for each groupCOmpletedCompleted10, for each groupNCT02361865Completed9) for each groupNCT02361865Completed10, for each groupNCT02361865Completed10, for each groupNCT02351825Completed10, for each groupCOmpletedECHO-307)9) for each groupNCT02118285Completed10, for each groupNCT02178722Completed10, for each groupNCT02178722Completed <td>SO</td> <td></td> <td></td> <td>1</td> <td></td> <td>I</td> <td>A Z</td>	SO			1		I	A Z
Clinical trialStatusNCT035 16708RecruitingNCT023 18277RecruitingNCT023 18277Completed(ECH0-203)CompletedKEYNOTE-672/CompletedNCT023 61 865Completed(ECH0-307)CompletedNCT021 78722CompletedNCT021 78722CompletedNCT021 78722CompletedNCT021 78722CompletedNCT021 78722CompletedNCT021 78722CompletedNCT021 18285CompletedNCT021 18285CompletedNCT023 25540CompletedNCT023 25540Completed	Safety		Serious adverse events were 2/6, 0/3, 2/4, 0/4, 4/8, 3/9 for each group. Serious adverse events were 20/49, 54/93 for each group	Serious adverse events were 23/43 against 23/49	I	1	Serious adverse events were 23/75 for experimental aroub. and 29/77
Status Recruiting Completed Completed Completed	Clinical trial	NCT03516708	NCT02318277 (ECHO-203)	NCT03361865 (KEYNOTE-672/ ECHO-307)	NCT02178722 (ECHO-202/KEY- NOTE-037)	NCT02118285	NCT03322540 (KEYNOTE-654-05/ ECHO-305-05)
	Status	Recruiting	Completed	Completed	Completed	Completed	Completed

Table 4 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	So	Safety	Clinical trial	Status
	Pembrolizumab	Phase 2	Thymic Carcinoma Thymus Neo- plasms Thymus Cancer	1/40 attendant had a complete response, 8/40 participants had partial response, 21/40 participants received stable disease, 10/40 par- ticipants suffered progression*	4.2	24.9	2/40 patients suf- fered myocarditis, one case for hyperglycemia, hepatitis, bullous pemphigoid, and polymyositis each	NCT02364076	Unknown
	Pembrolizumab Platinum-based chemotherapy	Phase 2	Lung Cancer	Experimental group $(n = 91)$ : ORR 26.4%; control group $(n = 87)$ : ORR 44.8%*	Experimental group: 8.0; control group: 8.2	₹ Z	Serious adverse events were 47/90 against 41/86	NCT03322566 (KEYNOTE-715–06/ ECHO-306–06)	Completed
	Pembrolizumab	Phase 3	UC	Experimental group ( $n = 42$ ): ORR 21.4%; control group ( $n = 42$ ): ORR 9.5%*	I	I	Serious adverse events were 22/42 for experimental group, and 16/41 for control group	NCT03374488 (KEYNOTE-698/ ECHO-303)	Completed
	I	Phase 1	Solid Tumors and Hematologic Malignancy	I	I	I		NCT01195311	Completed
	Pembrolizumab	Phase 2	Gastrointestinal Stromal Tumors	I	I	I	I	NCT03291054	Completed
	Pembrolizumab Cetuximab Cispl- atin Carboplatin 5-Fluorouracil	Phase 3	Head and Neck Cancer	Experimental group ( $n = 35$ ): ORR 31,4%; nega- tive control group ( $n = 19$ ): ORR 21.1% positive control group ( $n = 35$ ): ORR 34.3%*		I	Serious adverse events were 12/34 for experimental group, 8/19 for negative control group for positive control group	NCT03358472 (KEYNOTE-669/ ECHO-304)	Active, not recruit- ing
	Low dose cyclo- phosphamide	Phase 1 Phase 2	Breast Cancer Female Breast Neoplasm Female	I	I	I		NCT03328026	Recruiting
BMS-986205	Nivolumab	Phase 2	Endometrial Adenocarcinoma and Endometrial Carcinosarcoma	T	I	I	1	NCT04106414	Active, not recruit- ing

Table 4 (continued)								
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	OS Safety	Clinical trial	Status
	Nivolumab	Phase 3	Melanoma Skin Cancer	1	1	<ul> <li>Serious adverse events were 4/10 for experimental group against 4/10 for control group*</li> </ul>	NCT03329846	Completed
	Nivolumab	Phase 1 Phase 2	Advanced Cancer	1	1	<ul> <li>Two cases for malignant neo- plasm progres- sion, one case for tuberculosis, acute kidney injury, pneumonitis each</li> </ul>	NCT03792750	Completed
	I	Phase 1	Cancer	I	I	1	NCT03247283	Completed
	Nivolumab Gem- citabine Cisplatin Placebo	Phase 3	Bladder Cancer Muscle-Invasive Bladder Cancer	I	I	1	NCT03661320	Recruiting
	ltraconazole Rifampin	Phase 1	Malignancies Multiple	I	I	1	NCT03346837	Completed
	Nivolumab	Phase 1	Advanced Cancer	1	I	1	NCT03192943	Completed
	Nivolumab Ipili- mumab	Phase 1 Phase 2	Advanced Cancer Melanoma NSCLC	I	I	1	NCT02658890	Recruiting
CD27 Varlilumab (CDX- 1127)	1	Phase 1 Phase 2	B Cell Lymphoma	I	I	1	NCT03307746	Active, not recruit- ing
	nivolumab	Phase 1 Phase 2	HNSCC Ovarian Carcinoma-Enroll- ment Completed CRC-Enrollment Completed RCC (Phase II Only) GBM (Phase II Only)-Enrollment Completed	1	1	1	NCT02335918	Completed

Target Dring	Combination	Phace	Timor type	Clinical officacy	PES	SO	Safetv	Clinical trial	Status
and the second	agent	26811			2	3	Jaicty		14443
	1	Phase 1	Selected refrac- tory or relapsed hematologic malignancies or solid tumors	A patient with mRCC underwent a partial response (78% shrinkage) and had a durable response with PFS> 2.3 years without additional treatment. Eight patients underwent SD > 3 months, including a patient with metastatic RCC with PFS of> 3.9 years	1	1	Only one case with grade 3 transient asymptomatic hyponatremia was reported. Other adverse events were limited to grade 1 or 2 in severity*	NCT01460134	Completed
	Atezolizumab Cobimetinib	Phase 2	Unresectable Liver and Intrahepatic Bile Duct Carci- noma	I	I	I	I	NCT04941287	Recruiting
	ONT-10	Phase 1	Advanced Breast Carcinoma Advanced Ovarian Carcinoma	I	I	I	I	NCT02270372	Completed
	Rituximab	Phase 2	Relapsed or refractory B cell malignancies	T	I	I	Three Grade 3 treatment-related events in the study, including hyponatremia, decreased appe- tite, and decreased lymphocyte count	ISRCTN15025004	Ongoing
	Atezolizumab	Phase 1	Refractory NSCLC Stage IV Lung Cancer AJCC v8	I	I	I	I	NCT04081688	Recruiting
	IMA950 poly-ICLC	Phase 1	Glioma Malignant Glioma Astrocy- toma, Grade II Oli- godendroglioma Glioma, Astrocytic Oligoastrocytoma, Mixed	1	1	1	1	NCT02924038	Active, not recruit- ing

Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	OS Safety	Clinical trial	Status
	MHP Montanide ISA-51 poly-ICLC	Phase 1 Phase 2	Melanoma	1	1	1	NCT03617328	Recruiting

\*Primary endpoint; NSCLC, non-small-cell lung cancer; SCLC, small-cell lung cancer; HNSCC, head and neck squamous cell carcinoma; MSS, microsatellite stable; UC, urothelial cancer; TNBC, triple-negative breast cancer; DLBCL, diffuse large B cell lymphoma; MSI, microsatellite instability

Recently, a series of researches were restarted to increase the efficacy of Epacadostat by combining Epacadostat with other drugs, vaccines, and radiation in glioblastoma (NCT03532295), metastatic pancreatic adenocarcinoma (NCT03006302), or breast cancer in females (NCT03328026), but no results have been reported yet.

Besides Epacadostat, other antibodies targeting IDO were developed to explore IDO target therapy in solid tumors. The study of PF-06840003 that was developed by Pfizer (NCT02764151) was terminated, and anti-IDO-1 agent LY3381916 was also abandoned. Encouraging news came from BMS-986205, another IDO-1 inhibitor.

- A study (NCT03792750) concerning advanced tumors in Chinese where BMS-986205 was combined with Nivolumab. Twelve participants were enrolled, 11 experienced adverse events, 3 underwent severe adverse events, and 5 discontinued due to experiencing adverse events. There were two cases of malignant neoplasm progression and one of tuberculosis, acute kidney injury, and pneumonitis.
- In one study (NCT03329846), researchers enrolled 20 patients suffering from melanoma and skin cancer to investigate the safety of combination therapy of BMS-986205 with Nivolumab compared to Nivolumab only. The result showed that four patients underwent severe adverse events in both groups. The study has been completed, and the outcome indicated that BMS-986205 has little effect on tolerance. However, this phase III study intended to enroll 700 participants and ended up reporting only 20 cases, and there were no other results reported either. These indicated a relatively unsuccessful study, and a more extensive sample study is needed to add to the stringency.

Among all studies concerning IDO-1, Epacadostat is of great potential. Though its trial on melanoma was not satisfying, its efficacy for urothelial cancer was promising.

# CD27

# Structure and function

CD27 is a member of the TNF receptor superfamily (TNFRSF). Besides, it is a type I transmembrane, disulfide-linked homodimer [115] (Fig. 2). CD27 is widely expressed in human lymphocytes, including naive and central memory T (TCM) cells, germinal center and memory B cells, plasma cells, and NK cells [116]. As the unique ligand of CD27, CD70 is mainly expressed in hematologic malignancies, for example, diffuse large B cell, follicular lymphoma, Hodgkin lymphoma, Waldenström macroglobulinemia, multiple myeloma, human T-lymphotropic virus type 1- and EBV-associated malignancies [116]. The costimulatory signals induced by CD70-CD27 interaction increase T cell proliferation and activity [117]. Unlike CD27, CD70 expression is transitory and limited to a subset of strongly activated T cells, B cells, and DCs, but is undetectable in homeostasis [118]. And CD70 expression is also being detected in nonhematologic malignancies, for example, renal cell carcinoma and glioblastoma [119].

After binding to CD70, the TRAF2 and TRAF5 adaptor proteins are recruited to the cytoplasmic tail of CD27, which activates either the JNK or NF-kb signaling pathways [115, 120] and finally induces the effective primary and memory cell-mediated responses. There was evidence that anti-CD70 treatment could alleviate Th17cell-mediated inflammatory disease and experimental autoimmune encephalomyelitis (EAE) on CD4+T cells. Besides, CD70-transgenic mice were detected with increased interferon- $\gamma$ -producing CD4+ and CD8+T cells [117].

Apart from the typical costimulatory pathway, other mechanisms account for the CD27 expression on B cells and NK cells. In human peripheral B cells cultivated with IL-2 and IL-10, the binding of CD27 with CD70 upregulates the secretion of IgG and IgM. Plasmacytoid dendritic cells (pDCs) are believed to be another important source of CD70 [121] that plays a vital role in regulating the differentiation of B cell [122]. CD27 has also been proved to stimulate Akt signaling in NK cells and upregulate IFN-γ secretion [115].

# Clinical trials on CD27 (Table 4)

So far, the only fully human immunoglobulin G1 kappa anti-CD27 monoclonal antibody [123] is Varlilumab (CDX-1127), which interacts with the CD70-binding site and serves as an agonist of CD27.

In phase I clinical trial exploring the safety and pharmacokinetics of CDX-1127 in patients suffering from selected refractory or relapsed hematologic malignancies or solid tumors (NCT01460134). Fifty-six patients participated in the study until March 2014. In a 3 + 3 dose escalation design (n = 25), the patients received a single dose of Varlilumab (0.1, 0.3, 1.0, 3.0, or 10 mg/kg IV) to determine an applicable dose. Base on the data of dose escalation cohort, expansion cohorts were initiated at 3.0 mg/kg in patients with melanoma (n=16) and RCC (n=15). After only one cycle of Varlilumab treatment, a patient with metastatic RCC underwent a partial response (78% shrinkage) and had a durable response with PFS > 2.3 years without additional treatment. Eight patients underwent SD>3 months, including a



patient with metastatic RCC with PFS of > 3.9 years. Only one case with grade 3 transient asymptomatic hyponatremia was reported. Other adverse events were limited to grade 1 or 2 in severity. The study confirmed that at 10 mg/kg, Varlilumab showed a good tolerance, while the maximum tolerated dose remains unknown, and Varlilumab has clinical activity. Since two RCC patients had extremely long PFS, and the recruitment of 90 has been completed, we expect the ITT group's analysis and further analysis, which show more favorable results for patients with RCC and other mechanisms [124].

 In another phase II study, researchers focused on the cooperation of Rituximab and Varlilumab while applying to relapsed or refractory B cell malignancies (ISRCTN15025004), which demonstrated depleted B cells and increased infiltration of myeloid cells [125]. Forty patients suffering low- or high-grade relapsed or refractory CD20+ B cell lymphoma were enrolled. Participants were divided into two groups, receiving different doses of Varlilumab; the result has not come out yet, but researchers reported three Grade 3 treatment-related events in the study, including hyponatremia, decreased appetite, and decreased lymphocyte count [125].

 Another study exploring the effect of Varlilumab and Nivolumab in advanced refractory solid tumors (NCT02335918) is completed nowadays, and the result is about to publicly available.

# **CD70**

CD70 is a member of the TNF ligand superfamily. It is mainly expressed on activated T cells, B cells, and DCs [126]. By binding to CD27, its specific ligand, it sends costimulatory signals. Then researchers found a significant enhancement in T cell activation, survival, proliferation, and differentiation [121, 126]. By the way, ligation of CD70 on NK cells upregulated Akt signaling, which is well known for activating NK cells' pathway [127]. It is undisputed that CD70 and CD27 weigh a lot in immunity. At the same time, many terrible cases have also witnessed the CD27–CD70 axis's role in many inflammatory settings [126, 128]. For example, in MRL/lpr lupus-prone mice, the study showed defective DNA methylation and CD70 overexpression [129]. On the contrary, if some biallelic mutations are found on the CD27-encoded gene or the gene encoding the CD27's ligand, CD70. Inborn errors of immunity will occur, and it can finally bring a list of EBV (Epstein–Barr virus)-associated immuno-pathologic conditions like lymphoproliferative disorders (LPDs) or hemophagocytic lymphohistiocytosis (HLH) [116, 130]. Similar things happened in tumor cells, in which an NLRandP3-mediated release of IL-18 would downregulate CD70 on tumor cells and generate immune escape [131].

However, recent studies reported overexpression of CD70 on multiple tumor cells, like solid cancers, for example, renal cell cancer (RCC), glioblastoma, and hematological malignancies [132–134]. Advanced research showed, at least in clear cell renal cell carcinoma (ccRCC), CD70 upregulation is driven by HIF, which ought to be hydrolyzed by pVHL (VHL protein), and the story started with Von Hippel–Lindau gene (VHL) mutations in ccRCC [135]. A further rigid mechanism of over-expression of CD70 on tumors remains unknown, but CD70 is still attractive as a therapeutic target.

## Clinical trials on CD70 (Table 5)

At present, clinic trials on CD70 mainly focus on ADC (antibody–drug conjugate), mAb (monoclonal antibody), and CAR (chimeric antigen receptor)-T therapy. Though its overexpression fails to rouse immune response, CD70 can still be used to indicate tumor cells.

SGN-CD70A is a CD70-targeted antibody-drug ٠ conjugate. In a phase I trial on participants suffering CD70-positive, metastatic RCC (NCT02216890), 18 patients were enrolled, 94% had the clear cell subtype of RCC, and all participants received SGN-CD70A IV in dose escalation (8, 15, 30, 50, 80, 120, 160, and 200  $\mu$ g/kg). One patient in the 50- $\mu$ g/kg cohort achieved a PR (6%), and 13 out of 18 patients (72%) had SD. Thus, the overall disease control rate was 78%, and the estimated median PFS was 3.5 months [136]. Grade 3 TEAEs were thrombocytopenia (22%), anemia (17%), neutropenia (17%), and dehydration (11%), and there were no reports of neutropenic fever. The result did not support its development in mRCC, but there is still a chance in combination therapy.

Besides ADC, mAb Cusatuzumab was developed by Argenx, and studies showed that it could both induce cytotoxicity against CD70+tumor cells through enhanced ADCC, complement-dependent cytotoxicity, or Ab-dependent cellular phagocytosis) and improve the anti-tumor immune response by interrupting the CD70-CD27 signaling with Tregs [137]. In recent studies, researchers reported that combination therapy of Cusatuzumab plus Azacitidine has a higher ORR than monotherapy of Azacitidine in patients with phase I or 2 AML.

• In a phase I study, Cusatuzumab was applied with Azacitidine and Venetoclax to patients suffering AML (NCT04150887). Sixty-one participants were divided into two cohorts, receiving combination therapy of Cusatuzumab+Venetoclax, or Cusatuzumab+Venetoclax+Azacitidine. The study is not recruiting now, hoping it will bring us a satisfying outcome.

The third CD70-related treatment is CAR-T, which consists of diverse T-lymphocytes and CAR transmembrane molecules encoded by artificial fusion genes. It redirects T cell cytotoxicity against cells expressing a specific target antigen. CAR binding to target antigens is independent of the MHC receptor, resulting in abundant T cell activation and robust anti-tumor responses [138]. Therefore, CAR-T therapy was born for tumor immunotherapy. In one study, researchers constructed seven anti-human CD70 CARs. They cured NSG mice bearing established human tumors that secreted CD70 and human lymphocytes transduced with the CAR, which side effect includes transient weight loss and hematopoietic suppression [139]. A similar trial reported favorable outcomes that CD70-specific CAR-T cells could recognize and kill CD70-positive head and neck squamous cell carcinoma cells efficiently [140]. ALLO-316 is an allogeneic CAR-T cell therapy targeting CD70, and the phase I study has not been completed yet.

Research on ADCs or mAbs of CD70 is relatively few, but it has nothing to do with its efficacy on AML, especially in combination with Azacitidine. CAR-T therapy is vital in immune therapies targeting CD70, and further studies are promising.

# TIM-3

# Structure and function

T cell immunoglobulin and mucin domain-containing protein 3 is a member of the TIM family of immunoregulatory proteins [50]. TIM-3 was initially classified as a receptor expressed on IFN- $\gamma$ -producing CD4+ Th1 and CD8+T cytotoxic 1 (Tc1) T cells [101]. Recent studies have also shown its capacity for immune evasions like PD-1 and CTLA-4. TIM-3 is encoded by HAVCR2 and located on chromosome band 5q33.2 in humans. Human TIM-3 protein comprises an amino-terminal immunoglobulin variable domain (V domain) with five noncanonical cysteines, a mucin stalk, a transmembrane

Target	Drug	Combination agent	Phase	Tumor type	Clinical efficacy PFS	OS	Safety	Clinical trial	Status
S-MI	Sym023	1	Phase 1	Metastatic Cancer Solid Tumor Lymphoma	3 patients were reported SD> 16 weeks, 7 were reported SD ≤ 16 weeks. ORR not reported	1	Researchers reported one case of immune- mediated arthritis for Sym023 0.1 mg/kg, one case of lipase increased and pathological fracture each for 10.0 mg/kg, and one case of back pain plus spinal cord compres- sion for 20.0 mg/ kg*	NCT03489343	Completed
		Sym021 Sym022	Phase 1	Metastatic Cancer Solid Tumor	I	I	Ĩ	NCT04641871	Recruiting
		Sym021 Sym022	Phase 1	Metastatic Cancer Solid Tumor Lymphoma	I	I	I	NCT03311412	Completed
	MBG453 (Saba- tolimab)	Venetoclax Azac- itidine	Phase 2	Acute Myeloid Leukemia	I	I	I	NCT04150029	Recruiting
		Azacitidine	Phase 3	MDS Leukemia Myelomonocytic Chronic	I	1	I	NCT04266301	Active, not recruit- ing
		Hypomethylating agents	Phase 2	MDS	I	I	I	NCT03946670	Active, not recruit- ing
		HDM201 Vene- toclax	Phase 1	AML High-risk MDS	I	I	I	NCT03940352	Recruiting
		PDR001 Decit- abine	Phase 1 Phase 2	Advanced Malig- nancies	ORR in the mono- therapy group was 0% and DCR was 29% with 25/87 SD* ORR in the com- DRR in the com- Dination group was 5% and DCR was 44% with 4/86 SD*	I	One DLT in com- bination cohort (grade 4 MG)* 11% developed grade 3 or 4 AEs in the combina- tion cohort*	NCT02608268	Active, not recruit- ing
		1	Phase 1	GBM	1	Ι.	1	NCT03961971	Recruiting

Target Drug Combin agent agent Azacitidi abine IN (oral dec Sabatolit Azacitidi Azacitidi azacitidi sabatolit Sabatolit TSR-022 TSR-042								
Azacitidi abine IN (oral dec Sabatolii Azacitidi Azacitidi numab sabatolii azacitidi toclax TSR-042 TSR-042	ination	Phase -	Tumor type	Clinical efficacy PF9	SO	Safety	Clinical trial	Status
Sabatolii Azacitidi NIS793 c numab Sabatolii azacitidii toclax TSR-042 TSR-042	idine Decit- INQOVI ecitabine)	Phase 2	SOM	1	1	1	NCT04878432	Recruiting
NIS793 c numab Sabatolii azacitidii toclax TSR-042 TSR-042	olimab dine	Phase 1 Phase 2	Acute Myeloid Leukemia	I	I	I	NCT04623216	Recruiting
Sabatolii azacitidii toclax TSR-022 TSR-042	3 canaki- o	Phase 1	MDS	1	I	I	NCT04810611	Recruiting
TSR-022 TSR-042	olimab, dine, vene-	Phase 2	MDS	I	I	I	NCT04812548	Recruiting
	4	Phase 2	Adult Primary Liver Cancer Advanced Adult Primary Liver Cancer Localized Unresectable Adult Primary Liver Cancer	1	I	I	NCT03680508	Recruiting
TSR-042	12	Phase 2	Melanoma Stage III Melanoma Stage IV	I	I	I	NCT04139902	Recruiting
I		Phase1	Advanced solid tumors	I	I	I	NCT02817633	Recruiting
R07121661 -		Phase 1	Solid Tumors Met- astatic Melanoma NSCLC SCLC ESCC	I	I	I	NCT03708328	Active, not recruit- ing
RO72476 Nivolum	17669 mab	Phase 2	Advanced or Metastatic ESCC	1	I	I	NCT04785820	Recruiting

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Table 5 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
LY 3321 367	LY3300054	Phase 1	Solid Tumor	In monotherapy expansion cohort, outcomes varied: anti-PD-1/ L1 refrac- tory patients [N= 23, ORR 0%, DCR 35%, PFS 1.9 months] versus anti-PD-1/ L1 responders (N= 14, ORR 7%, DCR 50%, PFS 7.3 months]. N, DCR 50%, PFS 7.3 months]. In combina- tion expansion cohorts (N= 91), ORR and DCR were 4% and 42%	in Clinical effi- cacy) cacy)		No DLTs were observed*, treatment-related adverse events (≥ 2 patients) included pruritus, rash, fatigue, anorexia, and infusion-related reactions	NCT03099109	Active, not recruit- ing
	LY3300054 Ramucirumab Abemaciclib Merestinib	Phase 1	Solid Tumor MSI-H Solid Tumors Cutane- ous Melanoma Pancreatic Cancer Breast Cancer (HR + HER2-)	1	1	1	DLTs associated with hepato- toxicity were observed in 3 of 4 patients in the abemaciclib lead- in cohorts. No DLTs or grade 3 or 4 hepatotoxic- ity were reported in the concurrent abemaciclib arm*	NCT02791334	Active, not recruit- ing
BTLA JS004	I	Phase 1	Advanced Solid Tumors	I	I	I	I	NCT04773951	Recruiting
	Toripalimab	Phase 1 Phase 2	Advanced Lung Cancer	I	I	I	I	NCT05000684	Recruiting
	I	Phase 1 Phase 2	HNSCC Naso- pharyngeal Carcinoma	I	I	I	I	NCT04929080	Recruiting
	I	Phase 1	Recurrent/Refrac- tory Malignant Lymphoma	I	I	I	I	NCT04477772	Recruiting

Table 5 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
	I	Phase 1	Advanced Solid Tumor	I	1	I	1	NCT04278859	Unknown
	Toripalimab	Phase 1	Advanced Unre- sectable Solid Tumor Metastatic Solid Tumor	I	I	I	1	NCT04137900	Recruiting
	Toripalimab	Phase 1	Advanced Unre- sectable Solid Tumor Metastatic Solid Tumor	I	I	I	I	NCT04137900	Recruiting
CD47 Magrolimab (Hu5F9-G4)		Phase 1	Solid tumors	ORR ~ 5% DCR 19% with 2/43 PR (ovarian and fallopian tube cancers) and 6/43 SD (CRC)*	1	I	AEs occurred with higher doses. These included constitutional symptoms (50%), headache (34%), and lymphopenia (28%) *	NCT02216409	Completed
	Rituximab Gemcitabine Oxaliplatin	Phase 1 Phase 2	Non-Hodgkin Lymphoma	CRR 21% ORR 49% with 16/75 CR and 21/75 PR	1	I	DLTs 4% (no spe- cifics provided) *	NCT02953509	Active, not recruit- ing
	Venetoclax Azac- itidine Cytarabine Daunorubicin Ida- rubicin Steroidal Eye Drops	Phase 3	AML	I	I	1	1	NCT04778397	Recruiting
AK117	I	Phase 1	Neoplasms Malignant	1	I	I	I	NCT04728334	Recruiting
	Azacitidine	Phase 1 Phase 2	Myelodysplastic Syndrome	1	I	I	I	NCT04900350	Recruiting
	Azacitidine	Phase 1 Phase 2	Acute Myeloid Leukemia	1	I	I	I	NCT04980885	Recruiting
		Phase 1	Neoplasms Malignant	I	I	I	1	NCT04349969	Not yet recruiting

Table 5 (continued)								
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy PFS	os	Safety	Clinical trial	Status
	AK112 Nab-pacli- taxel paclitaxel	Phase 2	Metastatic Triple- negative Breast Cancer Locally Advanced Triple- negative Breast Cancer	1	1	T	NCT05227664	Recruiting
	Capecitabine tablets Oxaliplatin Cisplatin Pacli- taxel Irinotecan Docetaxel 5-FU AK104	Phase 1 Phase 2	Advanced Malig- nant Tumors	1	1	1	NCT05235542	Not yet recruiting
ТП-622	ı	Phase 1	Relapsed or refractory lym- phomas	1 patient (DLBCL) – with 5 prior lines of therapy achieved a PR by week 8 and a CR by week 36	1	No DLTs*	NCT03530683 (TTI-622-01)	Recruiting
RRx-001	Nivolumab	Phase 1	Advanced solid malignancies or lymphomas	ORR 25%, DCR 67% with 3/12 PR, 5/12 SD, and 3/12 PD	1	No DLTs reported 1 patient discontinued therapy due to pneumonitis*	NCT02518958 (PRIMETIME)	Completed
B7-H3 MGC018	MGA012	Phase 1 Phase 2	Advanced Solid Tumor, Adult Met- astatic Castrate Resistant Prostate Cancer NSCLC TNBC HNSCC Melanoma Pros- tate Cancer	ORR 0% and DCR – 15% with 3/20 SD	1	1 DLT: grade 4 neutropenia 3 serious AEs: pneumonitis, gastroenteritis, stasis dermatitis*	NCT03729596	Recruiting
B7-H3 CAR-T	Temozolomide	Phase 1	Recurrent GBM Refractory GBM	1	I	I	NCT04385173	Unknown
	Temozolomide	Phase 1 Phase 2	Recurrent GBM Refractory GBM	1	I	I	NCT04077866	Recruiting
	1	Phase 1	Lung Cancer Immunotherapy CAR-T Cell	1	I	1	NCT03198052	Recruiting
	Fludarabine Cyclophospha- mide	Phase 1	Epithelial Ovarian Cancer	1	I	I	NCT04670068	Recruiting

Table 5 (continued)									
Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
	I	Early Phase 1	Osteosarcoma Neuroblastoma Gastric Cancer Lung Cancer	1	1	1	1	NCT04864821	Not yet recruiting
	I	Phase 1 Phase 2	Solid Tumor	1	I	I	I	NCT04432649	Recruiting
	GD2, PSMA CAR-T cells	Phase 1 Phase 2	Neuroblastoma	I	I	I	I	NCT04637503	Recruiting
Enoblituzumab (MGA271)	T	Phase 1	Neuroblastoma Rhabdomyosar- coma Osteo- sarcoma Ewing Sarcoma Wilms Tumor Desmo- plastic Small Round Cell Tumor	I	1	1	1	NCT02982941	Completed
	Ipilimumab	Phase 1	Melanoma NSCLC	I	I	I	I	NCT02381314	Completed
	1	Phase 2	Prostate Cancer	31% of patients had a more than 10% decline in PSA before post- prostatectomy, and an altered Gleason score was observed*	1	T	3 cases of serious adverse events*, including ascites, pericardial effusion, cardiac disorders, atrial fibrillation, pericarditis, myocarditis, and infusion-related reaction	NCT02923180	Active, not recruit- ing
	Retifanlimab Tebotelimab	Phase 2	Head and Neck Cancer Head and Neck Neoplasms HNSCC	1	I	I	1	NCT04634825	Recruiting
	IP FT516 IL-2	Phase 1	Ovarian Cancer Fallopian Tube Adenocarci- noma Primary Peritoneal Cavity Cancer	I	I	T	1	NCT04630769	Completed

Table	5 (continued)									
Target	Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
		Pembrolizumab MGA012	Phase 1	Melanoma Head and Neck Cancer NSCLC UC	ORR 33.3% for patients with CPI- naive HNSCC, and 35.7% for patients with CPI-naive NSCLC	1	1	Treatment- related adverse events occurred in 116 patients (87.2%) and were grade ≥ 3 in 28.6%*	NCT02475213	Completed
B7-H4	FPA150	1	Phase 1	B7-H4 positive solid malignan- cies	ORR 3% and DCR 38% with 1/29 PR and 10/29 SD	I	I	No DLTs or grade 4/5 toxicities were reported	NCT03514121	Completed
CD70	SGN-CD70A	1	Phase 1	CD70-positive metastatic RCC	One patient in the 50-µg/kg cohort achieved a PR (6%), and 13 out of 18 patients (72%) had SD. Thus, the overall disease control rate was 78%, and the estimated median PFS was 3.5 months	3.5 months	1	Grade 3 TEAEs were thrombo- cytopenia (22%), anemia (17%), neutropenia (17%), and dehy- dration (11%)*	NCT02216890	Completed
	Cusatuzumab	Azacitidine and Venetoclax	Phase 1	AML	I	I	I	I	NCT04150887	Active, not recruit- ing
ТІGП	Tiragolumab	Atezolizumab	Phase 2	NSCLC	ORR 31.3% in experimental group vs 16.4% in the control group*	5.4 months vs 3.6 months*	1	14 (21%) patients receiving com- bination therapy and 12 (18%) patients receiving monotherapy had serious adverse effects, two treatment- related deaths	NCT03563716	Active, not recruit- ing
		Atezolizumab	Phase 3	NSCLC	I	I	I	I	NCT04294810 (SKYSCRAPER-01)	Recruiting

Target Drug	Combination agent	Phase	Tumor type	Clinical efficacy	PFS	SO	Safety	Clinical trial	Status
	Atezolizumab, Carboplatin and Etoposide	Phase 3	ES-SCLC		5.4 months in the experimen- tal group vs 5.6 months in the control group*	Both 13.6 months*	Grade 3/4 TRAEs occurred in 52.3% of the experimental group and 55.7% of the placebo group and Grade 5 TRAEs occurred in 0.4% of experi- mental group and 2.0% placebo group	NCT04256421 (SKYSCRAPER-02)	Active, not recruit- ing
Etigilimab (OMP- 313M32)	Nivolumab	Phase 1	Advanced or met- astatic cancer	No DLT detected. The maximum administered dose was 20 mg/ kg. Besides, in the phase la study, seven patients (30,0%) had SD, and no PR was reported; in the phase lb study, one patient had prolonged SD of nearly eight months	56.0 days in phase 1a, 57.5 days in phase 1b	1	The most reported AEs were rash (43.5%), nausea (34.8%), and fatigue (30.4%) in phase la and decreased appetite (50.0%), nausea (50.0%), nausea (50.0%), and rash (40%) in Phase Ib. Six patients experienced Grade $\geq$ 3 treatment-related AEs*	NCT03119428	Terminated

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domain, and a cytoplasmic tail. Unlike other immune checkpoint molecules, for example, PD-1 and TIGIT. The TIM-3 cytoplasmic tail has no classical inhibitory signaling motifs, like immune receptor tyrosine-based inhibitory motif (ITIM) or immune receptor tyrosine-based switch motif (ITSM). Instead, TIM-3 obtains five conserved tyrosines. Among the five tyrosines, Tyr256 and Tyr263 allow interactions with HLA-B-associated transcript 3 (BAT3) and the tyrosine kinase FYN. TIM-3 was firstly found on IFN- $\gamma$ -producing CD4+ and CD8+T cells. Gradually, Tregs, myeloid cells, NK cells, and mast cells are also revealed to express TIM-3 abundantly [141, 142].

TIM-3 is also reported with four distinct ligands, including galectin-9, HMGB1, carcinoembryonic antigen cell adhesion molecule 1 (Ceacam-1), and phosphatidyl serine (PtdSer) [50]. Galectin-9 is a C-type lectin widely expressed and secreted by many hematopoietic cells. Galectin-9 binds to carbohydrate moieties on cell surface proteins, which induces intracellular calcium influx and cell death of TIM-3+T cells. The discovery of PtdSer could be contributed to elucidating the crystal structures of the TIM family. PtdSer binds to the pocket framed by the FG and CC' loops in the TIM-3 immunoglobulin V domain [143], acts as a surface marker for apoptotic cells, and coordinates calcium-binding. The binding site of TIM-3 and HMGB1 remains uncertain, and their interaction was proposed to impact innate immune activation. Ceacam-1 works in the regulation of antiviral responses [102].

# Clinical trials on TIM-3 (Table 5)

There are over ten TIM-3 antagonistic mAbs being registered on ClinicalTrials.gov. Sym023 is a recombinant anti-TIM-3 monoclonal human antibody.

The first study targeting Sym023 was started in ٠ 2018 and was intended to investigate the safety and antineoplastic activity of Sym023 on patients suffering from advanced solid tumors or lymphomas (NCT03489343). Twenty-four patients were enrolled and distributed into six groups, each corresponding to a dose level. Two cases (66.7%) in the group with Sym023 1.0 mg/kg and Sym023 3.0 mg/kg each reached SD  $\leq$  16 weeks. One case in the 0.1 mg/ kg group reached SD>16 weeks. For patients in the 20.0 mg/kg group, there were 83.3% reached SD, but no DLTs were reported. It also reported severe adverse events, including immune-mediated arthritis (1/1), pathological fracture (1/7), back pain (1/6), and spinal cord compression (1/6). In the subsequent trial, researchers could try larger doses of Sym023. The success of Sym023 promoted the studies evaluat-

ing the preliminary efficacy of the combined Sym021 (anti-PD-1), Sym022 (anti-LAG-3), and Sym023 in tumor therapies (NCT04641871 and NCT03311412). Novartis also reported the success of anti-TIM-3 antibody MGB453 (Sabatolimab) as a single agent or cooperated with PDR001 in phase I clinical trial in patients suffering advanced malignancies (NCT02608268), in which 219 participants were given MBG453 monotherapy (n = 133) or combination therapy of MBG453 plus PDR001 (n = 86). The result showed that the RP2D for Novartis was selected as 800 mg Q4W. The most common adverse event in the study was treatment-related fatigue (9%, Novartis; 15%, combination). One out of 151 patients in the dose-determining set underwent a DLT: grade 4 myasthenia gravis. Overall, 111 patients (51%) experienced grade 3/4 events. Further studies are warranted to identify how much patients will benefit from MBG453 and PDR001 therapy.

INCAGN2390, Other TIM-3 inhibitors like LY3321367, BMS-986258, and SHR1702 are also being tested in phase I trial independently (INCAGN02390, NCT03652077) or in combination with anti-PD-1/PD-L1 mAb (LY3321367, NCT03099109. BMS-986258, NCT03446040. SHR1702, NCT03871855) in advanced malignancies.

# **CD47**

The CD47 protein (also known as integrin-associated protein, IAP) consists of a single extracellular V-set IgSF domain, a presenilin domain with five membrane-spanning sections, and a short cytoplasmic domain that is subject to alternative splicing [144, 145], it is cell surface protein initially observed on stem cells, and soon being found expressed by most cell types including RBCs [146]. The cell surface protein CD47 is a "Don't Eat Me" signal that protects healthy cells from macrophage engulfment [147], so lacking CD47 would bring hematopoietic cells a rapid engulfment from macrophages and trigger DCs activation [148]. When functioning in the nervous system, CD47 protects active synapses from pruning by microglia [149]. But signal could also be found on cancer cells, thus mediating evading immune detection [150, 151]. On the contrary, anti-CD47 therapies achieved encouraging results [152, 153]. In short, more research is needed to explore the mechanism of CD47 on how it suppresses macrophage engulfment. Using CD47 signaling for tumor therapies is feasible and attractive.

SIRPA (signal regulatory protein  $\alpha$ ) is the primary receptor of CD47 and is mainly expressed on macrophages or dendritic cells [148, 154, 155] and also in neurons, endothelial cells, and fibroblasts. SIRPA has

three extracellular Ig-like domains, one distal IgV-like domain, and two membrane-proximal IgC-like domains [156, 157], and the intracellular region of SIRP $\alpha$  obtains both ITIM and ITSM motifs. The two are critical for the inhibitory activity of the receptor. When binding to CD47, the ITIM or ITSM would be phosphorylated and counteract the cellular activation that occurs as an activating receptor (most likely an ITAM-containing receptor). Meanwhile, tyrosine phosphatases SHP-1 and SHP-2 will be gathered and triggered in [157]. Studies have shown that the two phosphatases will dephosphorylate motor protein myosin IIA of macrophages and dendritic cells, thus inhibiting phagocytosis [158, 159]. However, details on CD47 binding translating across the cell membrane and finally driving SIRPA phosphorylation remain unknown [160].

An exciting phenomenon arose with the wide application of anti-CD47 antibodies: fewer normal cells seem to be affected. In contrast, the blockade of CD47 with a monoclonal antibody enables phagocytosis of tumor cells [150]. Surface calreticulin (CRT) is one of them. It links to its macrophage receptor, low-density lipoprotein-related protein (LRP), and mediates the target cells' engulfment [161]. Researchers found a boom in CRT expression on tumor cells, but the overexpression of CD47 counterbalances the possible phagocytosis it mediated. When anti-CD47 antibodies were used, the overmuch CRT on tumor cells would bind to LRP first, leading to neoplasm preferentially elimination [162].

Besides, it was found that when phagocytes took tumor cells, the cytosolic DNA, especially mtDNA, plays a unique role in anti-tumor immunity. Tumor mtDNA directly interacted with cGAS in the cytosol of DCs, sparking the cGAS-STING-IRF3 signaling pathway and eventually initiating IFN- $\beta$  production and cross-prime CD8+T cells [163]. DCs could maintain an alkaline phagosomal lumen by NOX2 (NADPH oxidases II) in the hope of DNA degradation delay, while CD47 could activate SIRP $\alpha$  signaling and downregulate NOX2 [158]. It added to the anti-tumor liveness of anti-CD47 mAbs.

# Clinical trials on CD47 (Table 5)

To date, there are 34 records on CD47 antagonistic mAbs registered on ClinicalTrials.gov. Magrolimab (Hu5F9-G4) is an anti-CD47 antibody and firstly entered the phase I clinical trial in 2014 [164].

• The research (NCT02216409) was intended to verify the safety and tolerability of Magrolimab while applied to solid tumors and made a success. Sixtytwo patients were treated: 11 in part A, 14 in B, 22 in C, and 15 in the biopsy cohort. In part A, patients were given doses ranging from 0.1 to 3 mg/kg, and finally, 1 mg/kg was decided to be the priming dose. In later parts, patients were tested for a proper maintenance dose, and the result was a priming dose at 1 mg/kg on the first day and followed by maintenance doses of up to 45 mg/kg weekly showed tolerability with patients. Finally, two patients with ovarian/fallopian tube cancers had partial remissions for 5.2 and 9.2 months. Most participants only underwent mildto-moderate toxicities (grade 1 or 2), including transient anemia (57%), hemagglutination on a peripheral blood smear (36%), fatigue (64%), etc. [164].

- Advanced studies showed that Magrolimab was applied to patients with non-Hodgkin lymphoma along with Rituximab, Gemcitabine, and Oxaliplatin [165] (NCT02953509). The study enrolled 22 patients, 15 with DLBCL and 7 with follicular lymphoma. All the participants were administered Magrolimab intravenously at a priming dose of 1 mg/kg with weekly maintenance doses of 10 to 30 mg/kg. Researchers reported that the most common toxicity of Magrolimab was the expected on-target anemia and infusion-related reactions, whose intensity was limited to grade 1 or 2. A total of 50% of the patients had an objective response, with 36% having a complete response. The ORR and CR were 40% and 33%, respectively, among patients with DLBCL and 71% and 43%, respectively, among those with follicular lymphoma [165]. More studies are wanted for a more robust outcome. Besides, it is also essential to expand the sample capacity to search for potentially clinically significant safety events.
- Besides lymphoma, a promising study (NCT04778397) compared the efficacy of Magrolimab + Azacitidine against Venetoclax + Azacitidine in adults with AML, but the outcome remains unknown.

AK117 is another anti-CD47 antibody with high expectations, nine clinical trials are registered on Clinicaltrials. gov, but no results are reported.

#### CD93

CD93 is a transmembrane receptor found overexpressed in tumor vessels of varied cancer types. CD93 is mainly expressed on endothelial cells and obtains a C-type lectin domain, 5 EGF-like repeats, a serine/threonine-rich mucin-like domain, a transmembrane domain, and a short cytoplasmic domain harboring a binding site for moesin. In endothelial cells, CD93, as a part of the endothelial filopodia, promotes filopodia through a tight binding with Multimerin-2 (MMRN2) [166]. That belongs to the EDEN family and mostly be found in the extracellular environment of tumor vasculature. CD93 is of great importance in EC adhesion and migration that the CD93-MMRN2 complex mediates tumor angiogenesis by forming a fibrillar fibronectin network [167]. Since the abnormal vasculature is a critical pathological feature facilitating tumor outgrowth and metastasis, the blockade of CD93 has been proved to contribute to immunotherapy response [168].

# CD161

CD161 (NKR-P1A) is widely found on NK cells, subsets of CD4+ and CD8+ T cells (Fig. 3). After binding to its ligand, LLT1 (lectin-like transcript 1), a C-type lectin-like receptor predominantly expressed on NK cells and T cell subsets, the CD161-LLT1 complex blocks the activation of NK cells [169]. Notably, LLT1 is expressed in immune cells. It enhances functions like targeting pathogens, presenting antigens to other cells, secreting cytokines, and improving the interactions between receptors and ligands on immune cells with co-stimulation. Another study showed that LLT1 expressed on NK cells induces the IFN- $\gamma$  production, which further proved the vital role of LLT1 in pathogens-targeted early innate immune response [170].

# B and T lymphocyte attenuator (BTLA)

BTLA is a coinhibitory receptor that works with HVEM, which structurally belongs to the immunoglobulin (Ig) superfamily. It is widely expressed in T cells, B cells, and DCs [171]. The cytoplasmic domain of BTLA consists of three motifs: an ITIM, an ITSM, and a growth factor receptor-bound protein 2 motif (Grb2) [172]. Src homology 2 (SH2), which contains phosphatase 1 and 2 (SHP-1 and SHP-2), was recruited to ITIM and ITSM motifs through the ligation of BTLA by HVEM, resulting in the suppressed activity of TCR [171]. BTLA also contains a Grb2 binding site that promotes CD8+T cell cytokine production and proliferation [173]. Thus, the signaling actions of BTLA should be defined on cell types since they may vary between different cell populations. There are limited clinical trials on BTLA. JS004, also named TAB004, is the only available recombinant humanized mAb specifically targeting BTLA developed by Shanghai Junshi Bioscience Co., Ltd. Some latest studies focused on



BTLA's effect in advanced solid tumors (NCT04278859, NCT04477772, NCT04929080).

# VTCN1

VTCN1 (B7-H4), also called B7x/B7s, is a B7 superfamily member identified as an inhibitory modulator of T cell response [174] through interacting with an unknown receptor. B7-H4 was first discovered on antigen-presenting cells [175]. The mRNA encoding B7-H4 is widely found in murine and human peripheral tissues. However, the B7-H4 cell surface protein is limited to normal human epithelial cells of the female genital tract, kidney [176], lung, and pancreas [177]. The regulation of B7-H4 in humans has two main mechanisms. In monocytes, macrophages, and myeloid DCs, IL-6 and IL-10 can promote the expression of B7-H4. However, the promotion can be interrupted with granulocyte–macrophage colony-stimulating factor (GM-CSF) and IL-4 [174].

#### Clinical trials on B7-H4 (Table 5)

Since the receptor of B7-H4 remains unknown, only three studies are currently registered on ClinicalTrials. gov.

 An ongoing trial uses anti-B7-H4 antibody FPA150 as a single agent or cooperated with Pembrolizumab in patients with advanced solid tumors (NCT03514121). Twenty-nine patients were enrolled. Researchers did not report any DLTs or grade 4/5 toxicities, ORR was 3%, and DCR was 38% in combination therapy, making FPA150 a good choice for advanced solid cancer tumors.

# B7-H3

It is also named CD276 and has several isoforms. Due to different splicing, there are 4Ig domain transcripts (VCVC) and 2Ig domain transcripts (V1C2). Only the isoform of B7-H3 with a single VC domain is expressed in mice. The B7-H3 mRNA is widely expressed in lymphoid and non-lymphoid organs with relatively low protein levels. An abnormal B7-H3 rocketing is always associated with tumorigenesis and inflammation. Evidence supports the costimulatory and coinhibitory receptors for B7-H3 [57].

# Clinical trials on B7-H3 (Table 5)

Exciting news came from Enoblituzumab (MGA271), an engineered Fc humanized IgG1 mAb against B7-H3, where Enoblituzumab was used for participants with localized intermediate and high-risk prostate cancer before performing radical prostatectomy (NCT02923180). Thirty-two participants received treatment, among which most patients only experienced grade 1 (n=31) or grade 2 (n=12) treatment-related adverse events, and only four underwent grade 3 adverse events. By the way, we could see cardiac disorders as major serious adverse events in the study: 1 case with myocarditis and 1 with pericardial effusion). The result showed that 31% of patients had a more than 10% decline in PSA before post-prostatectomy, and an altered Gleason score was observed, indicating the efficacy of Enoblituzumab for prostate cancer patients.

• In another study (NCT02475213), researchers tried a combination therapy of Enoblituzumab plus Pembrolizumab on patients with advanced solid cancer, including melanoma, NSCLC, HNSCC, and urothelial cancer. One hundred and thirty-three participants joined the phase I study. They all received > 1dose of study treatment and failed to reach the maximum tolerated dose of Enoblituzumab with Pembrolizumab at 2 mg/kg. In the phase II study, 67 participants (including 21 with HNSCC, 16 with NSCLC, 17 with UC, and 13 with melanoma) were given intravenous Enoblituzumab (15 mg/kg) every three weeks plus Pembrolizumab (2 mg/kg) every three weeks. The result showed that 116 patients (87.2%) suffered treatment-related adverse events, and the percentage was 28.6% for grades  $\geq$  3. One treatment-related death occurred (pneumonitis). Objective responses occurred in 6 of 18 (33.3% [95% CI 13.3 to 59.0]) patients with checkpoint inhibitor (CPI)-naïve HNSCC and in 5 of 14 (35.7% [95% CI 12.8 to 64.9]) patients with CPI-naïve NSCLC [178]. This phase I/II trial showed that combining Enoblituzumab with Pembrolizumab demonstrated acceptable safety and effect in patients with CPI-naïve HNSCC and NSCLC.

An agent MGD009 (NCT02628535) is a humanized B7-H3/CD3 dual-affinity re-Targeting (DART) protein. For unknown reasons, the project has been terminated. The same team turned to MGD009 administered in combination with MGA012 (Anti-PD-1Antibody) (NCT03406949). This trial ended without an exact explanation.

# TIGIT

TIGIT belongs to the PVR-like proteins family, first reported in 2008 through a genomic search for T-cellspecific genes that encode potential inhibitory receptors. It has an extracellular immunoglobulin (Ig) variable domain, a type 1 transmembrane domain, and a cytoplasmic tail with two inhibitory motifs: an ITIM and an Ig tail-tyrosine (ITT)-like motif. TIGIT has three ligands, CD155, CD112, and CD113, which all belong to a family of nectin and NECL molecules. Among the three ligands, TIGIT has the highest affinity with CD155. Once TIGIT is binding to CD155, the ITT-like motif would be phosphorylated, phosphatase-1 (SHIP-1) would be gathered, and finally, inhibiting IFN- $\gamma$  production by NK cells. Besides, TIDIT could bind CD155 with higher affinity than CD226, the latter being the costimulatory receptor. All in all, TIGIT inhibits immunity through multiple mechanisms.

#### Clinical trials on TIGIT (Table 5)

Several clinical trials on TIGIT are ongoing or completed on Clinicaltrials.gov, including Tiragolumab, Etigilimab (OMP-313M32), etc.

A phase II study recently released its result (NCT03563716, CITYSCAPE). Totally, 155 patients with NSCLC were enrolled. They were given Atezolizumab monotherapy (with placebo, 68 patients) or combination therapy of Tiragolumab plus Atezolizumab (67 patients). Objective response occurred in 21 patients (31.3% [95% CI 19.5-43.2]) in the Tiragolumab plus Atezolizumab group versus 11 patients (16.2% [6.7-25.7]) in the placebo plus Atezolizumab group (p=0.031), and median PFS was 5.4 months and 3.6 months (HR = 0.57), respectively. Fourteen (21%) patients receiving combination therapy and 12 (18%) patients receiving monotherapy had serious adverse effects. In patients with high PD-L1 expression (TPS  $\geq$  50%), the improvement effect of Tiragolumab combined with Atezolizumab was more obvious, ORR: 55.2% vs 17.2%, HR = 0.33. The most frequently reported grade 3 or worse treatment-related adverse event was lipase increase (in six [9%] patients with combination therapy against two [3%] with monotherapy). Two treatment-related deaths (including pyrexia and infection) occurred in the combination therapy group [179]. This trial showed that Tiragolumab plus Atezolizumab improved ORR and PFS compared with Atezolizumab monotherapy in PD-L1 positive NSCLC.

Several phase III studies on Tiragolumab have been conducted (SKYSCRAPER-01, NCT04294810; SKY-SCRAPER-02, NCT04256421; SKYSCRAPER-02C, NCT04665856), targeting NSCLC and small-cell lung carcinoma relatively. However, the results did not meet our expectations.

On May 11, Genentech announced that the study of SKYSCRAPER-01 did not meet its co-primary endpoint of progression-free survival. At this first analysis, the other co-primary endpoint of overall survival (OS) was immature, and the trial phase of TIGIT immunotherapy in combination with first-line PD-L1 non-small-cell therapy failed.

ASCO reported the result of the study NCT04256421. In this study, 490 eligible patients with untreated extensive-stage small-cell lung cancer (ES-SCLC) were randomized 1:1 to receive Atezolizumab, carboplatin, and etoposide with or without Tiragolumab (n=243,n = 247). The result showed that the mPFS of the experimental group is 5.4m (95% CI 4.7-5.5), and that is 5.6m (95% CI 5.4-5.9) of the placebo group; mOS is 13.6m (95%CI 10.8-14.9) vs 13.6m (95%CI 12.3-15.2), and grade 3/4 TRAEs occurred in 52.3% of the experimental group and 55.7% of the placebo group and Grade 5 TRAEs occurred in 0.4% of experimental group and 2.0% placebo group [180]. The result showed that Tiragolumab combined with Atezolizumab plus chemotherapy (carboplatin/etoposide) did not improve the efficacy of ES-SCLC.

Despite the failure of Tiragolumab phase III studies, the search for TIGIT targets continues. In another phase I study (NCT03119428), 33 patients with locally advanced or metastatic cancer were enrolled (Phase Ia, n=23. Phase Ib, n = 10). In phase Ia study, patients underwent 14-day treatment cycles with anti-TIGIT antibody Etigilimab alone (0.3, 1.0, 3.0, 10.0, 20.0 mg/kg IV) while in the phase Ib study, patients received a combination therapy of Nivolumab and Etigilimab (3.0, 10.0, 20.0 mg/ kg Etigilimab and 240 mg Nivolumab). The study was terminated for a sponsor decision but still released part of the result. It reported no DLT detected. The maximum administered dose was 20 mg/kg. At the same time, MTD for monotherapy and combination therapy was not determined. The median PFS was 56.0 days and 57.5 days for phase Ia and phase Ib, respectively. Besides, in the phase Ia study, seven patients (30.0%) had SD, and no PR was reported; in the phase Ib study, one patient had a partial response. One patient had prolonged SD of nearly eight months. The most reported AEs were rash (43.5%), nausea (34.8%), and fatigue (30.4%) in phase Ia and decreased appetite (50.0%), nausea (50.0%), and rash (40%) in Phase Ib. Six patients experienced Grade >3 treatment-related AEs [181].

At least 50 clinical trials are registered on Clinicaltrials.gov, though only two studies have yielded results. Etigilimab has not reached phase III study, but recent outcomes indicated its potential for cancer therapy. NSCLC is a good target, and more combination therapies on Tiragolumab are appreciated.

# Conclusion

The success of PD-1/L1 and CTLA-4 blockade contributed to the research enthusiasm for finding additional agents and inhibitory pathways that could help improve malignancy management. However, despite the significant advancement of ICI therapy, several challenges, including the immune-associated adverse events, treatment duration, biomarkers to predict treatment efficacy, and most importantly, the treatment resistance and limited clinical benefit to a minority of patients, remain to be addressed.

ICI therapy is often related to toxicities caused mainly by increased immune system activity. These toxicities may present as systemic symptoms, including fatigue, hypothyroidism, anemia, neutropenia, rash, colitis, and pneumonitis. Some immune-associated adverse events (myocarditis and hepatitis) might even be fatal. Therefore, the successful application of ICI therapy demands a comprehensive understanding of these toxicities from clinicians and oncologists to promptly prevent, accurately recognize, and appropriately treat each adverse event. Most adverse events of level 1 could be resolved by expectant treatment. Most adverse events of levels two and three could be resolved by interrupting the treatment and administrating the short course of steroids. In contrast, some severe adverse events of level 4 might require the impact therapy of steroids and even the administration of Infliximab if hormonotherapy failed [182].

Besides, given the relatively favorable safety profile of ICI therapy, dose selection has been at the higher end of the dose range, well above the lowest possible effective dose, as well as the dosing interval and the duration of treatment. Establishing the labeled dose of ICI could potentially increase the treatment outcome. Some studies have speculated that interindividual pharmacokinetic differences in clearance rates influence the results and that individual dosing should be considered [183]. In addition, as ICIs function as reactivators of anti-tumor immunity, one question is whether there is the possibility of extending the dosing intervals once the immune response has been restored. Moreover, the current maintenance treatment of ICI therapy usually lasts 2 years, and whether more extended maintenance treatment increases efficacy remains unknown.

So far, the response rates to ICI therapy remain low. Most patients are observed with primary resistance. Some responders are observed with acquired resistance after the initial response. The treatment resistance could be attributed to tumor intrinsic and microenvironment extrinsic factors [184]. Tumor innate mechanisms are mainly categorized into the alteration of antigen-presenting machinery (loss of tumor antigen and MHC) and alteration of immunosuppressive pathways (PI3K, WNT/ b-catenin, and IFN- $\gamma$ ). Extrinsic mechanisms mainly depend on the inhibitory effects of immune response from immunosuppressive cells in the microenvironment. An in-depth understanding of these mechanisms would help design new strategies and combination therapies to overcome resistance.

Therefore, developing novel immune checkpoint molecules with better treatment efficacy and the potential of combined therapy to overcome treatment resistance is necessary. Nowadays, novel inhibitory checkpoints are being widely investigated to expand the application and effectiveness of existing ICI therapy. Clinical trials on LAG-3 pile up due to its promising efficacy. More trials with CD38/CD39/CD73 are needed since they differ in the immune modulatory mechanisms. Once they're applied in combination with other drugs, antagonism is less possible. However, there are still several puzzles remaining to be solved.

Firstly, reports on the combination of drug usage are far from satisfying. A fully described and systematic program isn't available. As combined therapy could be used as the initial treatment and sequential therapy after the classical PD-1/PD-L1/CTLA-4 treatment resistance, more combination strategies are urgently needed. Anti-PD-1 combined with anti-TIM-3 or anti-BTLA deserves further exploring as evidence suggests that BTLA, a receptor structurally related to PD-1, could contribute to resistance to anti-PD-1 therapy [185]. Likewise, a co-blockade of TIM-3 and PD-1 may result in tumor regression in preclinical models and improve anti-tumor T cell responses in patients with advanced cancers [50]. Besides, a combination of anti-CD39 and anti-CD73 is worth further exploring as they both function as ectonucleotidases that interfere with anti-tumor immune responses.

Secondly, the ligands for some checkpoint molecules (e.g., CD161 and CD93) must be fully identified, which could help develop novel targeted drugs and significantly increase the therapeutic efficacy.

Thirdly, in the last two decades, we excitedly witnessed a long list of antibodies emerge and gradually move into clinical trials. However, till now, very few drugs have taken that step. More phase II and III trials are expected to identify clinical application value completely.

Fourthly, as a good form of immunotherapy, CAR-T therapy based on gene-editing technology has been rapidly developing in recent years, showing remarkable results in clinical applications and bringing a new dawn to personalized treatment for tumor patients. More trials are expected to test the feasibility of combining ICIs and CAR-T therapy.

Lastly, the clinical response to ICI therapy varies among patients, and heterogeneous responses have been observed in different tumor regions of the patient. Thus, several biomarkers have been identified and proposed to predict immunotherapy responses. Currently, PD-L1 expression, CD8+T cell infiltration, tumor mutation burden (TMB), microsatellite instability (MSI), and IFN- $\gamma$  have been the most widely used biomarkers [186]. Future development of effective predictive biomarkers would undeniably help select patients likely to benefit from ICI therapy. As ICI therapy has still been a massive cost in many developing countries, selecting beneficiaries would also deal with overtreatment.

#### Abbreviations

ICI: Immune checkpoint inhibitor; PD-1: Programmed cell death protein 1; PD-L1: Programmed cell death ligand 1; CTLA-4: Cytotoxic T lymphocyteassociated antigen-4; VISTA: V-domain Ig suppressor of T cell activation; PD-1H: PD-1 homolog; CAR-T: Chimeric antigen receptor T; MHC: Major histocompatibility complex; APC: Antigen-presenting cell; TCR: T cell receptor; TME: Tumor microenvironment; TIM-3: T cell immunoglobulin and mucin domain-containing protein 3; LAG-3: Lymphocyte activation gene 3; IDO-1: Indoleamine 2,3-dioxygenase 1; TIL: Tumor-infiltrating lymphocyte; Th: Helper T; Treg: Regulatory T; NSCLC: Non-small-cell lung cancer; PFS: Progression-free survival; CLEC2D: C-type lectin domain family 2 member D; IFN-y: Interferon-y; ENTPD1: Ecto-nucleoside triphosphate diphosphohydrolase-1: ADPR: Adenosine diphosphate ribose; CDKN2B: Cyclin-dependent kinase inhibitor 2B; AML: Acute myeloid leukemia; DC: Dendritic cell; HIF: Hypoxia-induced factor; TGF: Transforming growth factor; EMT: Epithelial-to-mesenchymal transition; HMGB1: High-mobility group protein 1; NKTCL: Natural killer/T cell lymphomas; RCC: Renal cell cancer; VHL: Von Hippel-Lindau gene; ITIM: Immune receptor tyrosine-based inhibitory motif; ITSM: Immune receptor tyrosine-based switch motif; BAT3: HLA-B-associated transcript 3; SIRPA: Signal regulatory protein a; LLT1: Lectin-like transcript 1; BTLA: B and T lymphocyte attenuator.

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#### Author contributions

YW and HZ drafted the manuscript and prepared the figures. YW, HZ, CL, WW, NZ, ZW, YP, LZ, JH, PL, ZL, and JZ collected the related references and participated in the discussion. ZL, LT, and QC designed this review and revised the manuscript. All authors contributed to this manuscript. All authors read and approved the final manuscript.

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#### **Competing interests**

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