

Development of a coagulation-related gene model for prognostication, immune response and treatment prediction in lung adenocarcinoma

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Abstract. Lung adenocarcinoma (LUAD) is the most prevalent form of lung cancer worldwide. Due to the lack of clinically useful molecular biomarkers, the diagnosis and prognosis of patients with LUAD remain poor. Patients with LUAD often exhibit abnormalities in the levels of coagulation factors. Therefore, the objective of the present study was to develop a model based on coagulation-related factors in LUAD. Gene expression data and clinical information from 582 patients with LUAD were obtained from The Cancer Genome Atlas (TCGA). A set of 138 coagulation-related genes (CRGs) was retrieved from The Molecular Signatures Database, and their expression levels were examined in TCGA dataset to identify differentially expressed CRGs. Predictive models were constructed using least absolute shrinkage and selection operator-Cox regression. The risk score from the model was used to establish high- and low-risk patient groups. Additionally, Kaplan-Meier analyses were performed to evaluate the differences in overall survival (OS) and progression-free survival between the two groups. The accuracy of the model was verified through receiver operating characteristic and principal component analysis. In addition, the tumor immune dysfunction and exclusion algorithm was used to assess immune escape and immunotherapy responses

in relation to the CRGs. A predictive model comprising four genes, namely matrix metalloproteinase (MMP) 1, MMP10, cathepsin V and thrombin, was established to estimate the survival rate of patients with LUAD. The OS rates of patients in the high-risk group were lower compared with those in the low-risk group. Furthermore, a combination of high-risk score and low tumor mutation burden was associated with the poorest survival in patients with LUAD. Patients in different risk groups exhibited different drug sensitivities based on their risk scores. In conclusion, the four-gene based prognostic model served as an independent predictor of survival rates in patients with LUAD and may offer a novel approach for prognosis and treatment.

Introduction

Lung cancer is a globally prevalent malignant tumor and one of the most common causes of cancer-associated mortality. Lung cancer is classified into two main types: Non-small cell lung cancer (NSCLC) and small cell lung cancer, with NSCLC comprising ~85% of all cases (1). Among the different subtypes of NSCLC, lung adenocarcinoma (LUAD) has the highest incidence and prevalence. However, despite significant advances in surgery, chemotherapy and targeted therapy, the prognosis for patients with LUAD remains poor (2). Therefore, it is particularly urgent to develop reasonable treatment strategies to improve the therapeutic effect of lung adenocarcinoma. The invasion and metastasis of tumors is a complex regulatory process influenced by multiple factors, such as the activation of oncogenes, the inactivation of tumor suppressor genes and mutations in signaling pathways (3,4). Discovering molecular biological markers associated with lung adenocarcinoma not only helps to deepen the understanding of the disease, but also may provide guidance for the future diagnosis and treatment of lung adenocarcinoma.

The coagulation system is an inherent defense mechanism of the human body, which can be activated through the tissue factor pathway (extrinsic pathway) or the intrinsic pathway.

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Tumor cells express procoagulant factors, including tissue factor, which triggers the coagulation cascade and ultimately leads to the generation of thrombin (5). The activation of this coagulation and fibrinolysis system promotes the invasion, metastasis and angiogenesis of malignant tumor cells (6). Patients with malignant tumors often exhibit a hypercoagulable state, and have a higher risk of cancer-related thrombosis than healthy individuals (7), including deep vein thrombosis and pulmonary thromboembolism, which significantly reduces the survival rate and prognosis of patients (8). A large amount of experimental evidence supports that patients with malignant tumors often remain in a state of chronic hypercoagulability and hyperfibrinolysis, which is the main cause of the increased risk of thrombosis and bleeding (6). In particular, the prognosis of patients with cancer is closely related to the effectiveness of anticoagulant therapy. Specifically, patients with a better prognosis have an extended survival period after receiving anticoagulant treatment (9). The association between biomarkers related to coagulation disorders and the prognosis of various cancer types has previously been reported (10). The role of the coagulation cascade in the formation of the tumor microenvironment (TME) has also been emphasized in research (11). Although the associations between the coagulation system and multiple types of tumors, such as cutaneous melanoma (12), gastric cancer (13) and hepatocellular carcinoma (14), have been confirmed, the precise role of the coagulation system in LUAD has yet to be elucidated.

The present study aimed to develop a specific coagulation-related gene (CRG) prognostic model for LUAD, and to offer novel insights and approaches for the prognostic assessment, immune analysis and therapy of this disease. This model is intended to facilitate the investigation of tumor prognosis, immune cell infiltration, genetic mutations, tumor mutation burden (TMB) and drug responses.

Materials and methods

Data collection. LUAD transcriptome data and associated clinical information were sourced from The Cancer Genome Atlas (TCGA) official data portal (<https://gdc.portal.nci.nih.gov/>). The dataset included 582 samples, comprising 524 tumor samples and 58 normal tissue samples. Following initial data screening, samples with missing or zero prognostic information were excluded, resulting in the selection of 507 tumor samples and 58 normal tissue samples for detailed analysis.

Acquisition of CRGs in LUAD. A total of 138 CRGs were extracted from the Molecular Signatures database (MsigDB; <https://www.gsea-msigdb.org/gsea/msigdb>) (Table SI). Differentially expressed genes (DEGs) were identified using the limma package (version 3.54.2) in R (<https://cran.r-project.org>) with gene set normalization applied. The screening criteria were set to an absolute value of the log₂ fold change ($|\log_2FC|$) > 1.5 and adjusted $P < 0.05$. The DEGs were identified by comparing normal lung tissue samples with LUAD tissue samples from TCGA database. The DEGs were visualized using the ggplot2 package (version 3.4.2) and plotted as volcano plots. Comparative analysis was performed to identify coagulation-related DEGs specific to LUAD.

Enrichment analysis. Following the identification of differentially expressed CRGs, enrichment analysis was performed using the clusterProfiler package (version 4.6.2). The analyses included three Gene Ontology (GO) categories, namely biological process (BP), cellular component (CC) and molecular function (MF), as well as Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis. The aim of performing these analyses was to elucidate the molecular mechanisms associated with CRGs. The criteria for statistical significance were $P < 0.05$ and $q < 0.05$ in both the GO and KEGG analyses. Additionally, gene set enrichment analysis (GSEA) was conducted to assess model genes in high- and low-risk groups based on risk scores, with statistical significance defined as $P < 0.05$ and false discovery rate (FDR) < 0.05.

Establishment and validation of the CRG risk model. The survival package (version 3.5.5) was used to identify CRGs significantly associated with overall survival (OS; $P < 0.05$). Univariate Cox regression analyses were performed to calculate the hazard ratio (HR). The data from TCGA were randomly divided into two essentially equal-sized groups: Training set ($n=254$) and test set ($n=253$). Least absolute shrinkage and selection operator (LASSO) regression analyses were then conducted using the glmnet package (version 4.1.7) to refine the gene selection. A risk model was developed using the training set data and validated using the overall and test set data. The risk score formula constructed was as follows:

$$\text{Risk score} = \sum_{i=1}^n (\text{mRNAExp}_i \text{ Coef}_i)$$

The coefficient Coef_i and expression level Expr_i represent the Cox regression coefficient and normalized expression level for each mRNA, respectively. Based on the risk score, samples were divided into high- and low-risk groups, with this stratification applied to the overall, test and training sets. To compare OS rates, Kaplan-Meier (KM) curves were generated using the survival package and survminer package (version 0.4.9). An analysis of progression-free survival (PFS) was also performed. In addition, gene expression differences between the high- and low-risk groups were visualized using the pheatmap package (version 1.0.12). Receiver operating characteristic (ROC) curves were constructed using the survival package, survminer package (version 0.4.9) and timeROC package (version 0.4) to evaluate the accuracy of the prognostic model and the 1-, 3- and 5-year survival rates. The protein expression of the four genes included in the prognostic model was assessed in normal and LUAD tissues using immunohistochemistry (IHC) data from the Human Protein Atlas (HPA; <https://www.proteinatlas.org/>). Finally, principal component analysis (PCA) was performed using the ggplot2 package in R to analyze the distribution differences between high- and low-risk groups, thereby assessing the accuracy and applicability of the model.

Construction of nomogram. Univariate and multivariate Cox regression analyses were performed using the survival package (version 3.5.5), incorporating age, sex, tumor stage and TNM staging to compute risk scores as independent risk factors. Subsequently, a nomogram was constructed using the rms

package (version 6.7.0), survcomp package (version 1.48.0), regplot package (version 1.1) and survival package. The accuracy of the model was further validated using calibration curves.

Immune and TMB analyses. Initially, immune, ESTIMATE and stromal scoring was conducted for high- and low-risk groups of LUAD using the limma package and estimate package (version 1.0.13). CIBERSORT analysis was then performed using the limma package, with immune cell differences between the two groups visualized using the limma, reshape2 package (version 1.4.4) and ggpubr package (version 0.6.0). To assess immune function differences between the two groups, the limma GSVA package (version 1.46.0) and GSEABase package (version 1.60.0) were used, with results visualized using the reshape2 and ggpubr packages. Additionally, gene mutation information was obtained from TCGA, and the mutation frequencies of the most prevalent genes in the high- and low-risk groups were visualized using the maftools package (version 2.14.0). TMB was then compared between the two groups using the ggpubr and limma packages. The analysis was performed using 'ftime' as the time variable and 'fustat' as the event variable. The variable TMB was used to determine the cut off value; patients with a TMB value lower than the cut off were assigned to the low TMB group, while those with a TMB value higher than the cut off were assigned to the high TMB group. The survival rates of these two groups are compared to evaluate their association with risk scores. $P < 0.05$ was considered to indicate statistical significance.

Tumor immune dysfunction and exclusion (TIDE) score and drug sensitivity analysis. The limma and ggpubr packages were used to visualize differences in TIDE scores between the high- and low-risk groups. Subsequently, drug sensitivity analysis was performed on the two groups using the limma package, oncoPredict package (version 0.2) and parallel package (version 4.2.2). The results of the drug sensitivity analysis were then visualized as half-maximal inhibitory concentration (IC_{50}) values using the ggpubr and ggplot2 packages.

Patients and specimens. Three pairs of LUAD samples and adjacent non-cancerous tissues were collected from patients who underwent curative resection between November 2024 and January 2025 at Jinan Central Hospital (Jinan, China), including 2 female and 1 male patient, aged between 50-70 years. All tissue samples were verified by histopathological examination and stored in liquid nitrogen for subsequent reverse transcription-quantitative PCR (RT-qPCR) analysis. The inclusion criteria were as follows: i) Diagnosed with LUAD through pathological examination; ii) had not undergone any form of tumor treatment prior to surgery; and iii) had a complete clinicopathological record. The study excluded patients meeting the following criteria: i) Age < 18 or > 80 years; ii) pregnant or breastfeeding; iii) had autoimmune diseases or other types of malignancies; or iv) had received any antitumor therapy. The study protocol was approved by the Ethics Committee of Jinan Central Hospital (20241120026). All procedures were conducted in accordance with applicable guidelines and regulations. All patients signed the informed consent form.

RNA extraction and RT-qPCR. The A549 human LUAD and BEAS-2B normal human lung epithelial cell lines were obtained from Wuhan Pricella Biotechnology Co., Ltd. The cells were cultured in RPMI-1640 medium (Gibco; Thermo Fisher Scientific, Inc.) supplemented with 10% fetal bovine serum (Gibco; Thermo Fisher Scientific, Inc.) and 1% penicillin, and maintained at 37°C with 5% CO_2 . The medium was changed every 2 to 3 days. Total RNA was extracted from the cells and tissues using TRIzol® reagent (Invitrogen; Thermo Fisher Scientific, Inc.) and reverse transcribed into cDNA using a reverse transcription kit (Takara Biotechnology Co., Ltd.). The mRNA expression was quantified using RT-qPCR analysis using 10.0 μ l SYBR™ Green (Hunan Accurate Bio-Medical Technology Co., Ltd.), 0.5 μ l primer, 2 μ l cDNA and 7.0 μ l diethylpyrocarbonate-treated water. The thermocycling conditions were as follows: Initial denaturation at 95°C for 30 sec, followed by 40 cycles of 95°C for 5 sec, 55°C for 30 sec and 72°C for 30 sec. β -actin was used as an internal control. The mRNA expression levels were quantified using the $2^{-\Delta\Delta C_q}$ method and normalized against the expression levels of β -actin (15). Each experiment was repeated three times. The sequences of the primers used are listed in Table I.

Statistical analysis. All statistical analyses were performed using R software (version 4.2.2) and GraphPad_Prism (version 8.0.2; Dotmatics). The Shapiro-Wilk test was used to assess whether the data in the high- and low-risk groups followed a normal distribution. The Mann-Whitney U test (Wilcoxon rank-sum test) was used when comparing the two risk groups, as well as unpaired LUAD and normal tissue from TCGA. Wilcoxon signed-rank analysis was used to compare paired LUAD and normal tissues from TCGA. Differences between paired primary tissues and between cell lines were analyzed using paired and unpaired t-tests, respectively. The log-rank test was employed to identify differences between KM curves. Univariate and multivariate Cox regression analyses were performed to identify prognostic risk factors associated with LUAD. $P < 0.05$ was considered to indicate a statistically significant difference.

Results

Identification of CRGs in patients with LUAD. Fig. 1 provides an overview of the workflow for the present study. Gene expression data, clinical information and mutation data for patients with LUAD were collected from TCGA. By comparing the expression of 138 known CRGs between LUAD samples and adjacent normal tissue, 51 differentially expressed CRGs were identified ($FDR < 0.05$, $\log_2 FC > 1.5$), including 37 upregulated genes and 14 downregulated genes. Heatmaps and volcano plots were subsequently generated to visualize the expression patterns of these genes in normal and LUAD tissues (Fig. 2A and B). Further analysis verified that all 51 DEGs were associated with the coagulation process (Fig. 2C).

Enrichment analysis of CRGs. To explore the functions and potential mechanisms of the 51 DEGs, GO analysis was performed. The results reveal a significant enrichment of these CRGs in certain BPs, including 'blood coagulation', 'coagulation', 'hemostasis', 'wound healing' and 'regulation of body

Table I. Primer sequences used for quantitative PCR.

Genes	Forward (5'-3')	(5'-3')
β -actin	CCTTCCTGGGCATGGAGTC	TGATCTTCATTGTGCTGGGTG
MMP10	GAGTTTGACCCCAATGCCAG	TCTTCCCCCTATCTCGCCTA
CTSV	TCAGGCAGATGATGGGTTGC	GCCCAACAAGAACCACACTG
F2	CACGGCTACGGATGTGTTCT	AGTTCGTACCCAGACCCTCAG
MMP1	TTGCCGACAGAGATGAAGTCC	CGTGTAGCACATTCTGTCCCT

MMP, matrix metalloproteinase; CTSV, cathepsin V; F2, thrombin/coagulation factor II.

fluid levels'. At the CC level, these genes are predominantly enriched in 'collagen-containing extracellular matrix', 'secretory granule lumen', 'cytoplasmic vesicle lumen' and 'vesicle lumen'. In addition, MF analysis demonstrated that these genes are closely associated with 'serine-type endopeptidase activity', 'serine-type peptidase activity', 'serine hydrolase activity' and 'endopeptidase activity' (Fig. 2D). KEGG analysis revealed that these genes are significantly enriched in the 'complement and coagulation cascades' pathway (Fig. 2E). These findings reinforce the close association between coagulation processes and the development and progression of LUAD. Subsequently, a risk model based on the coagulation genes was created, to further confirm the association between LUAD and coagulation pathways.

Construction of a four-gene risk model associated with coagulation metabolism. As noted above, 51 DEGs were identified through differential expression analysis. Subsequently, univariate Cox regression analysis was performed, which revealed that 13 genes were significantly associated with OS. Of these, matrix metalloproteinase (MMP) 1 (HR, 1.105; $P=0.044$), MMP10 (HR, 1.119; $P=0.040$), cathepsin V (CTSV; HR=1.251; $P=0.003$), thrombin/coagulation factor II (F2; HR=1.324; $P<0.001$), FGA (HR, 1.073; $P=0.017$), KLK8 (HR, 1.212; $P=0.027$), GDA (HR, 1.233; $P=0.011$), HRG (HR, 1.618; $P=0.025$), HNF4A (HR, 1.190; $P=0.020$), F2RL2 (HR, 1.237; $P=0.012$), PROZ (HR, 1.430; $P=0.037$) and APOC3 (HR, 1.393; $P=0.006$) were classified as high-risk genes, while DPP4 (HR, 0.887; $P=0.045$) was classified as a low-risk gene (Fig. 3A). Subsequently, 507 eligible LUAD samples from TCGA were randomly divided into training ($n=254$) and test ($n=253$) sets in a 1:1 ratio (Table II). Based on analyses of age, sex, tumor stage and TNM staging, no significant differences between the training and test sets were identified. Within the training set, LASSO regression analysis was employed to identify the most prognostically relevant genes, which led to the construction of a four-gene prognostic model comprising the MMP1, MMP10, CTSV and F2 genes (Fig. 3B and C). The risk score formula for this model was as follows: Total risk score = $(0.0837569167784308 \times \text{MMP1}) + (0.0878985239886888 \times \text{MMP10}) + (0.159310542027166 \times \text{CTSV}) + (0.258137401792528 \times \text{F2})$.

Individuals were classified on the basis of the risk score calculated using this formula, with the average score as the cutoff. Individuals with scores above the average were categorized into the high-risk group, whereas those below the

average were placed in the low-risk group. In the total set, 253 individuals were placed in the high-risk group, and 254 were categorized in the low-risk group. In the training set, the high- and low-risk groups each comprised 127 cases, whereas the test set contained 126 high-risk and 127 low-risk cases.

The Shapiro-Wilk test was employed to evaluate the normality of the data in the high- and low-risk groups. This test indicated that both datasets deviate significantly from the normal distribution (high risk, $W=0.6951$, $P<2.2 \times 10^{-16}$; low risk, $W=0.96479$, $P=6.825 \times 10^{-6}$). Since the data did not meet the prerequisite of a normal distribution required for ANOVA, a non-parametric test method was used, namely Wilcoxon's rank-sum test. The Wilcoxon test result ($W=64,262$, $P<2.2 \times 10^{-16}$) indicated that there was a significant difference in the distribution of risk scores between the high- and low-risk groups.

KM curves were subsequently generated to compare the OS and PFS between the high- and low-risk groups in the total, training and test sets. This analysis revealed that the OS of the high-risk group was significantly lower compared with that of the low-risk group in the total, training and test sets (Fig. 4A, C and E). Similarly, the PFS rates were significantly shorter in the high-risk group compared with the low-risk group in all three sets (Fig. 4B, D and F). There were significant statistical differences ($P<0.05$). Prognostic validation using heatmaps identified MMP1, MMP10, CTSV and F2 as consistently high-risk genes across the total set (Fig. 5A). Additionally, the associations between risk scores and survival times between the high- and low-risk groups in the total set were examined, which revealed a significant reduction in survival time for patients with high risk scores (Fig. 5B). Further analysis of the OS risk score distribution in the total set corroborated the accuracy of this model (Fig. 5C). Similar results were observed for validation of the training and test sets (Fig. 5D-I).

Independent analysis of prognostic factors according to the prognostic model. Univariate and multivariate Cox regression analyses were performed to determine whether each risk factor functioned as an independent prognostic indicator, distinct from other clinical characteristics. Initially, univariate Cox regression analysis was performed on the total set. The results revealed that age, sex, tumor stage, T stage, M stage, N stage and the risk score were significantly associated with prognosis. Specifically, tumor stage (HR, 1.595; $P<0.001$), T stage (HR, 1.618; $P<0.001$), N stage (HR, 1.732; $P<0.001$) and risk score (HR, 1.517; $P<0.001$) were

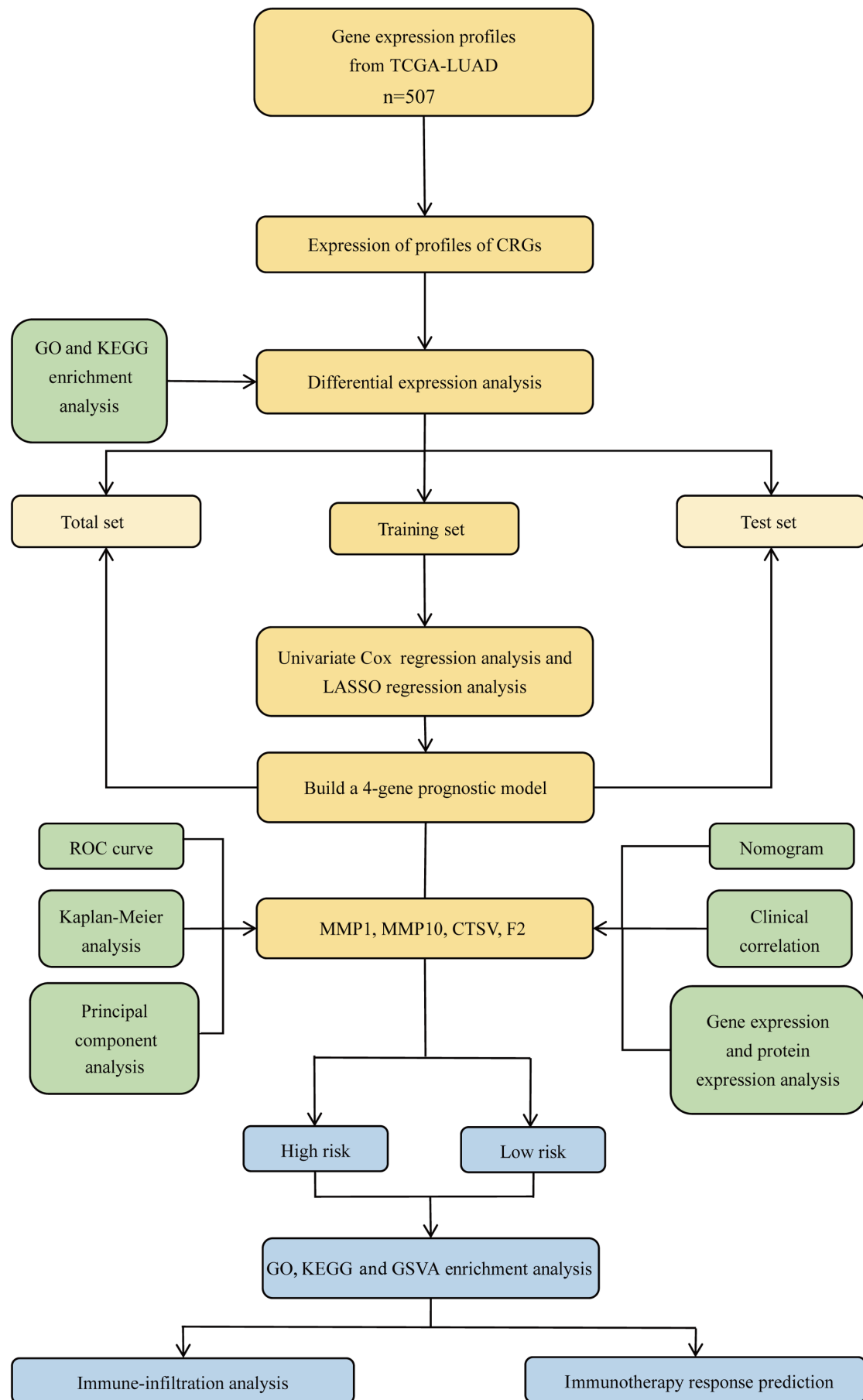


Figure 1. Methodology flow chart. TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma; CRGs, coagulation-related genes; GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; LASSO, least absolute shrinkage and selection operator; ROC, receiver operating characteristic; MMP, matrix metalloproteinase; CTSV, cathepsin V; F2, thrombin/coagulation factor II; GSVA, gene set variation analysis.

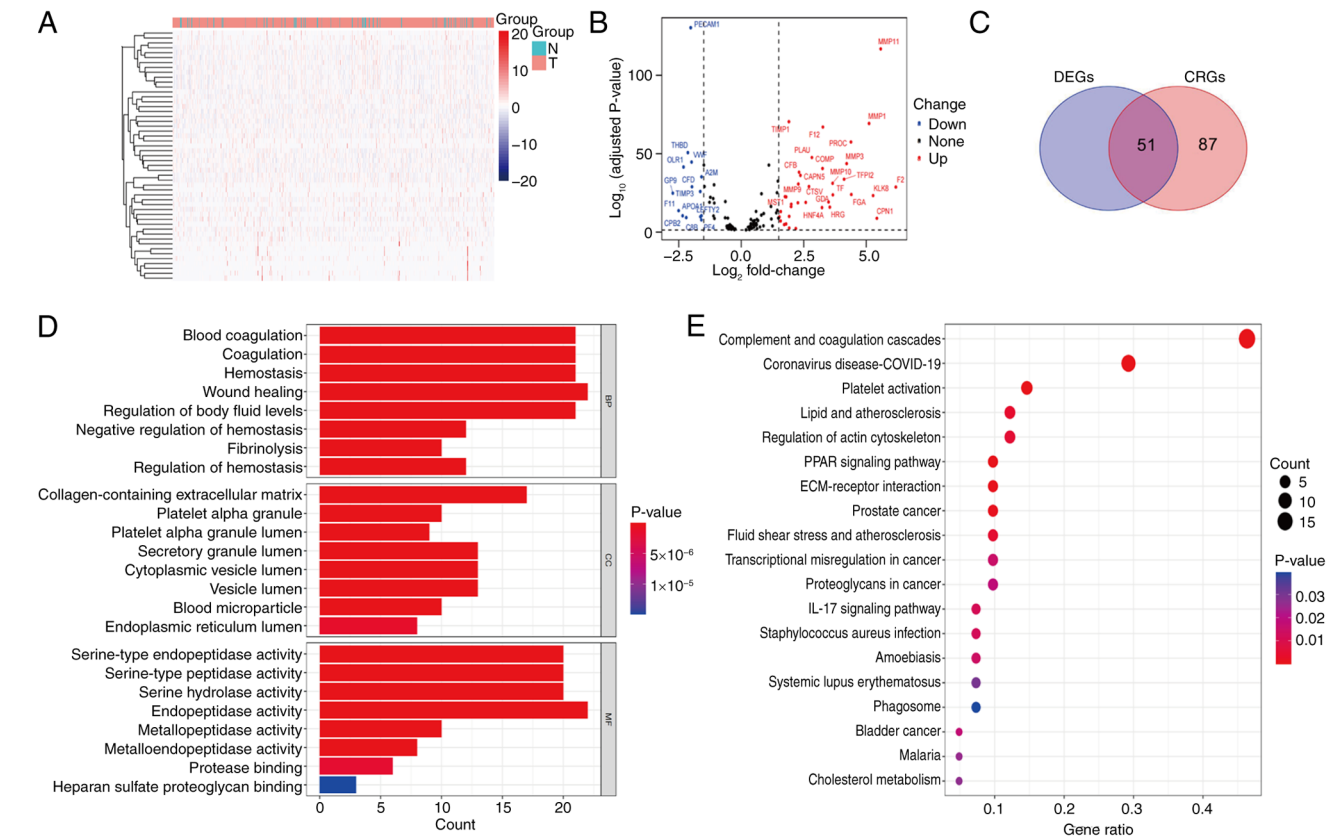


Figure 2. Gene identification and analysis. (A) Heatmap of CRGs, in which red indicates high expression and blue indicates low expression in LUAD, based on data from The Cancer Genome Atlas. (B) Volcano plot of CRGs, in which red represents upregulated genes and blue represents downregulated genes. (C) Venn diagram showing differentially expressed CRGs in LUAD. (D) Gene Ontology and (E) Kyoto Encyclopedia of Genes and Genomes pathway analysis of differentially expressed CRGs. CRGs, coagulation-related genes; LUAD, lung adenocarcinoma; N, normal tissue; T, tumor tissue; DEGs, differentially expressed genes.

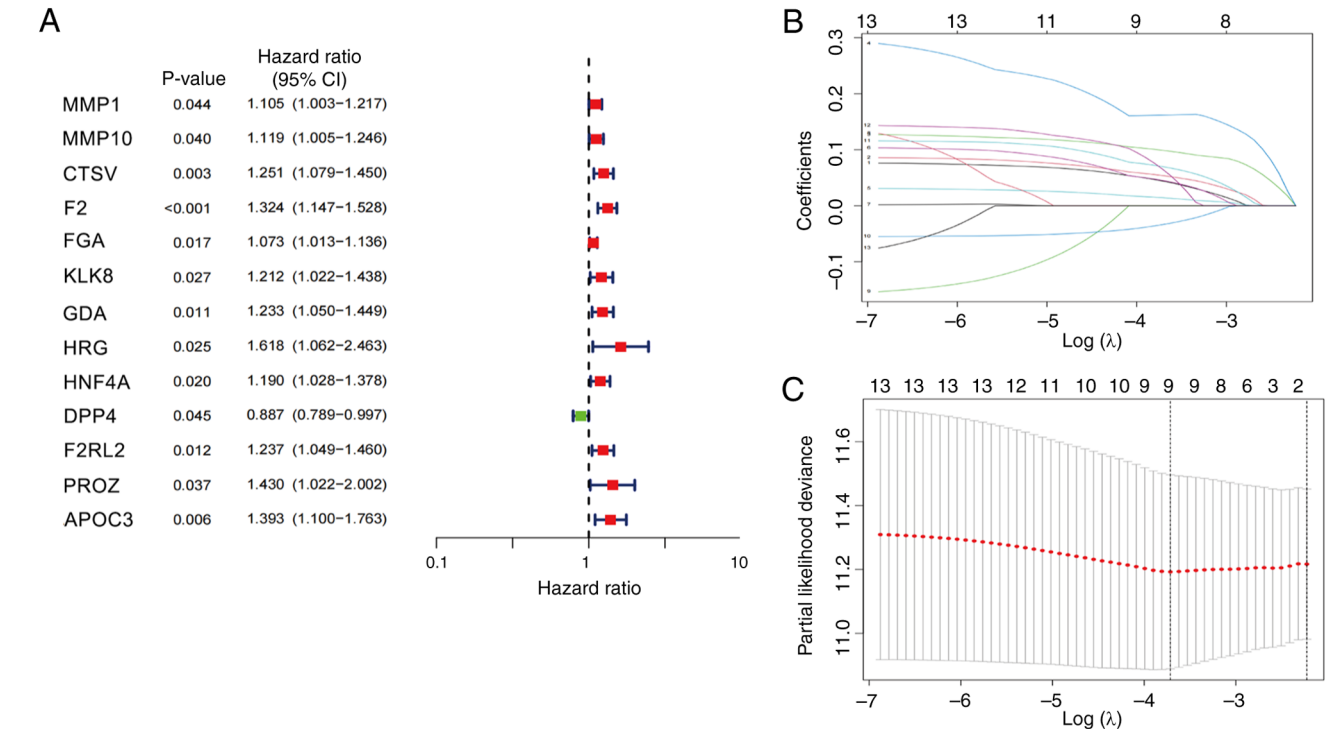


Figure 3. Construction of a risk prognostic model for patients with lung adenocarcinoma. (A) Univariate Cox regression analysis identified 13 CRGs significantly associated with overall survival, comprising 12 high-risk and 1 low-risk gene. (B) LASSO regression analysis of selected CRGs based on the minimum cross-validation error. (C) The optimal penalty parameter for LASSO regression was determined. CRGs, coagulation-related genes; LASSO, least absolute shrinkage and selection operator.

Table II. Clinical characteristics of the three sets of data randomly generated from The Cancer Genome Atlas database.

Clinical features	Total set, n=507 ^a	Training set, n=254	Test set, n=253	P-value ^b
Age, years				1
≤65	239 (47.14)	120 (47.24)	119 (47.04)	
>65	258 (50.89)	130 (51.18)	128 (50.59)	
Sex				0.8912
Female	272 (53.65)	135 (53.15)	137 (54.15)	
Male	235 (46.35)	119 (46.85)	116 (45.85)	
Stage				0.3882
I	272 (53.65)	127 (50)	145 (57.31)	
II	120 (23.67)	65 (25.59)	55 (21.74)	
III	81 (15.98)	45 (17.72)	36 (14.23)	
IV	26 (5.13)	13 (5.12)	13 (5.14)	
T stage				0.6613
T1	169 (33.33)	80 (31.5)	89 (35.18)	
T2	271 (53.45)	141 (55.51)	130 (51.38)	
T3	45 (8.88)	21 (8.27)	24 (9.49)	
T4	19 (3.75)	11 (4.33)	8 (3.16)	
N stage				0.2544
N0	327 (64.5)	155 (61.02)	172 (67.98)	
N1	95 (18.74)	52 (20.47)	43 (17)	
N2	71 (14)	42 (16.54)	29 (11.46)	
N3	2 (0.39)	1 (0.39)	1 (0.4)	
M stage				0.9886
M0	338 (66.67)	170 (66.93)	168 (66.4)	
M1	25 (4.93)	12 (4.72)	13 (5.14)	

^aNot all data were available in The Cancer Genome Atlas database. ^bP-value for the training vs. test datasets. Values are presented as n (%).

significantly associated with prognosis, and M stage (HR, 1.858; $P=0.034$) was marginally significant (Fig. 6A). Subsequently, multivariate Cox regression analysis was performed on the total set to assess the independence of these factors. The results indicated that T stage (HR, 1.362; $P=0.010$) and risk score (HR, 1.415; $P<0.001$) were independent prognostic indicators. By contrast, tumor stage (HR, 1.246; $P=0.301$), sex (HR, 0.924; $P=0.651$), M stage (HR, 1.011; $P=0.984$) and N stage (HR, 1.251; $P=0.216$) did not have significant independent prognostic value (Fig. 6B). To evaluate the accuracy of the risk model, validation analysis was subsequently performed using ROC curves. The area under the ROC curve (AUC) values for 1-, 3- and 5-year survival were 0.687, 0.634 and 0.622 respectively, demonstrating the predictive capability of the model (Fig. 6C). In addition, ROC curve analysis for different clinical characteristics revealed that the predictive performance of the risk score (AUC, 0.687) was greater than that of age (AUC, 0.490), sex (AUC, 0.550), T stage (AUC, 0.652), M stage (AUC, 0.501) and N stage (AUC, 0.666) (Fig. 6D). Taken together, these findings confirm that the risk score model was capable of outperforming other clinical characteristics in terms of prognostic accuracy.

PCA and nomogram construction. The present study employed PCA to further assess the accuracy of the prognostic model. The distribution of samples from patients with LUAD between the

high- and low-risk groups was compared at the genome-wide level (Fig. 6E), in CRGs (Fig. 6F) and in genes included in the prognostic model (Fig. 6G). The results revealed significant differences in the genome-wide distributions, with the genes involved in the prognostic model exhibiting the highest level of discrimination. Additionally, a nomogram for patients with LUAD was constructed (Fig. 6H), which incorporated variables including age, sex, the TNM, T, N and M stages, and risk score. This nomogram was used to predict the 1-, 3- and 5-year survival rates of the patients. Calibration curves were then constructed (Fig. 6I), which demonstrated that the nomogram possessed high predictive accuracy.

Associations between clinical characteristics and risk scores.

To investigate the associations between various patient characteristics and risk scores in greater depth, heat maps were constructed to assess the associations between gene expression data derived from the model and various clinical and pathological characteristics (Fig. 7A). This analysis reaffirmed MMP1, MMP10, CTSV and F2 as high-risk genes, and highlighted significant correlations between the risk score and various clinical characteristics, particularly N stage ($P<0.01$), tumor stage ($P<0.01$) and survival time ($P<0.01$). Furthermore, KM analyses were performed between the high- and low-risk groups within clinical characteristic sub-groups. In patients

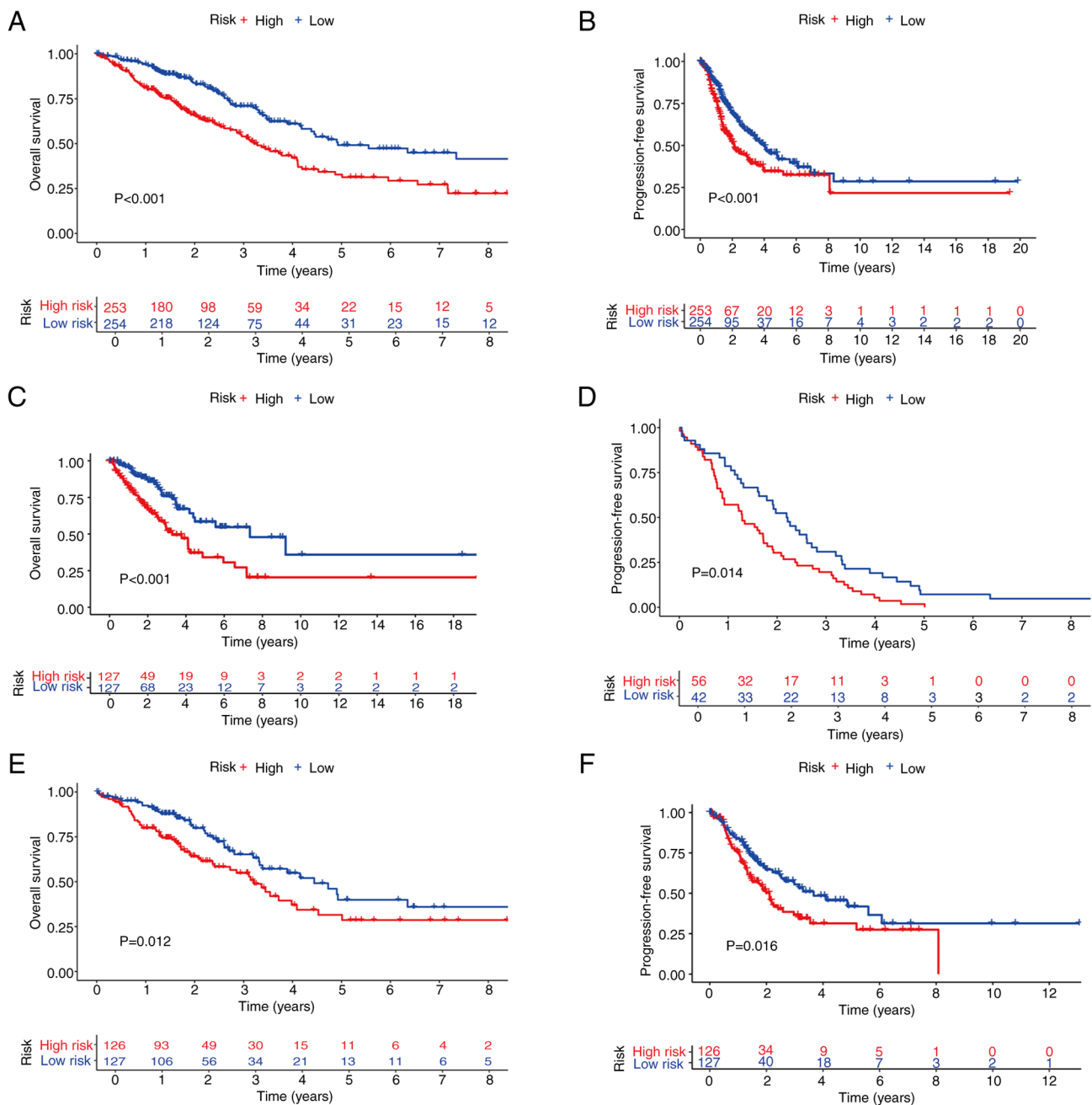


Figure 4. Survival analysis of patients with lung adenocarcinoma. Kaplan-Meier curves depict (A) OS and (B) PFS (B) for high- and low-risk groups in the total dataset, (C) OS and (D) PFS for the high- and low-risk groups in the training set and (E) OS and (F) PFS for the high- and low-risk groups in the test set. OS, overall survival; PFS, progression-free survival.

aged <65 or ≥65 years, of either sex, or with tumor stage I-II, T1-T2, T3-T4, N0 or M0, the prognostic accuracy of the risk model in terms of distinguishing between the high- and low-risk groups was significant (Fig. 7B-M).

GO, KEGG and enrichment analyses. Based on the results obtained, the prognostic model constructed from the genes MMP1, MMP10, CTSV and F2 demonstrated high accuracy in terms of distinguishing between high- and low-risk groups for patients with LUAD. GO enrichment analysis revealed that these genes are primarily associated with ‘sulfur compound binding’, ‘glycosaminoglycan binding’, ‘serine hydrolase activity’, ‘serine-type peptidase activity’

and ‘serine-type endopeptidase activity’ at the BP level. At the CC level, these genes were found to be significantly enriched in ‘collagen-containing extracellular matrix’, ‘apical part of cell’, ‘vesicle lumen’, ‘cytoplasmic vesicle lumen’ and ‘secretory granule lumen’. The analysis of MF identified associations with ‘epidermis development’, ‘negative regulation of proteolysis’, ‘skin development’ and ‘antimicrobial humoral response’ (Fig. 8A and B). Furthermore, KEGG pathway enrichment analysis revealed that these genes are primarily associated with ‘neuroactive ligand-receptor interaction’ (Fig. 8C and D). To further differentiate between the high- and low-risk groups, GSEA was performed. This led to the identification of five significant pathways based on P-value ranking.

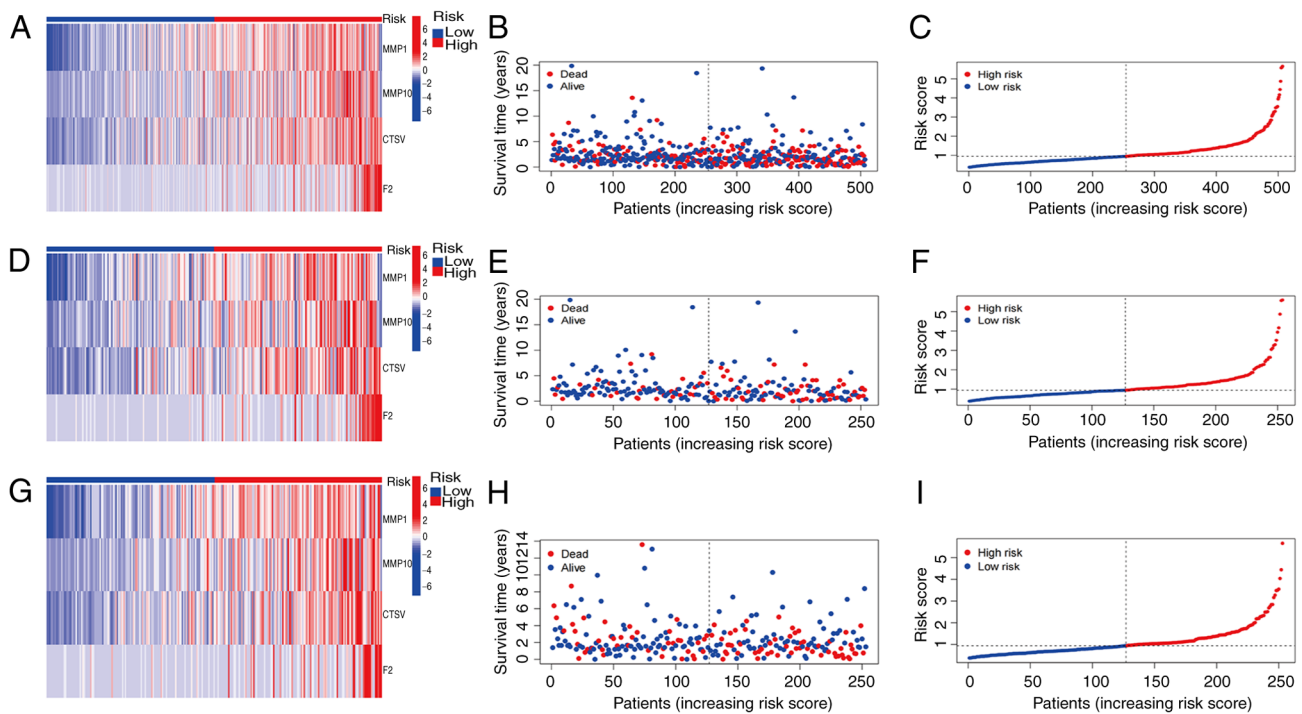


Figure 5. Establishment and validation of the four-gene risk model. (A) Expression heatmap of MMP1, MMP10, CTSV and F2 genes, (B) survival status and survival time patterns of patients and (C) the distribution of risk scores in the total set. (D) Expression heatmap of the four genes, (E) survival status and survival time patterns and (F) the distribution of risk scores in the training set. (G) Expression heatmap of the four genes, (H) survival status and survival time patterns and (I) distribution of risk scores in the test set. MMP, matrix metalloproteinase; CTSV, cathepsin V; F2, thrombin/coagulation factor II.

The GSEA analysis revealed that the high-risk group was significantly enriched in the pathways ‘cell cycle’, ‘neuroactive ligand-receptor interaction’, ‘pentose and gluconate interconversion’, ‘porphyrin and chlorophyll metabolism’ and ‘starch and sucrose metabolism’. By contrast, the low-risk group was enriched in the pathways ‘allograft rejection’, ‘asthma’, ‘autoimmune thyroid disease’, ‘ribosome’ and ‘systemic lupus erythematosus’ (Fig. 8E and F).

Immunological investigations. In terms of the TME, immune, ESTIMATE and stromal scores were analyzed. The results revealed no significant differences in TME scores between the high- and low-risk groups (Fig. 9A). However, further analysis detected significant differences in immune cell infiltration between the two groups. The low-risk group exhibited significantly higher proportions of resting CD4⁺ memory T cells, monocytes, M2 macrophages, resting dendritic cells and resting mast cells. By contrast, the high-risk group exhibited significantly higher proportions of activated CD4⁺ memory T cells, resting natural killer (NK) cells, M0 macrophages, M1 macrophages, activated mast cells and neutrophils (Fig. 9B and C). In addition, an analysis of immune function revealed significant differences in the expression of immune checkpoint molecules: The low-risk group was found to have higher proportions of B cells, human leukocyte antigens, interstitial dendritic cells, mast cells, helper T cells and type II interferon (IFN) responses. By contrast, the high-risk group had higher proportions of chemokine receptors, macrophages, MHC I molecules, NK cells, parainflammatory cells and type I IFN responses (Fig. 9D).

TMB and immune escape. Somatic mutation data were initially collected from TCGA database for patients with LUAD. Among the 171 samples in the high-risk group, 158 samples (92.4%) exhibited somatic mutations, whereas 137 of 154 samples (88.96%) in the low-risk group had somatic mutations. Further analysis revealed that the incidence of gene mutations in the high-risk group was higher compared with that in the low-risk group. The top 15 genes with the highest mutation rates were tumor protein P53 (TP53), titin (TTN), mucin 16 (MUC16), CSMD3, RYR2, LRP1B, ZFHX4, USH2A, KRAS, XIRP2, FLG, SPTA1, NAV3, ZNF536 and COL11A1 (Fig. 10A and B). TMB analysis further showed that the TMB was higher in the high-risk group compared with the low-risk group (Fig. 10C). According to the TMB value (cut off, 7.63), patients were divided into low- and high-TMB groups. KM survival analysis demonstrated that the OS rate of the high-TMB group was significantly higher compared with that of the low-TMB group (Fig. 10D). Comparative analysis for different combinations of risk and TMB levels revealed that the high risk + low TMB group had the poorest prognosis, whereas the low risk + high TMB group had the best prognosis, with statistically significant differences observed among the groups (Fig. 10E). To assess immune escape, TIDE scores were calculated, where a higher TIDE score is indicative of a greater likelihood of immune escape. The results obtained showed that the TIDE score of the high-risk group was significantly higher compared with that of the low-risk group (Fig. 10F). Further research, however, is necessary to understand the differences in drug treatment responses between the high- and low-risk groups.

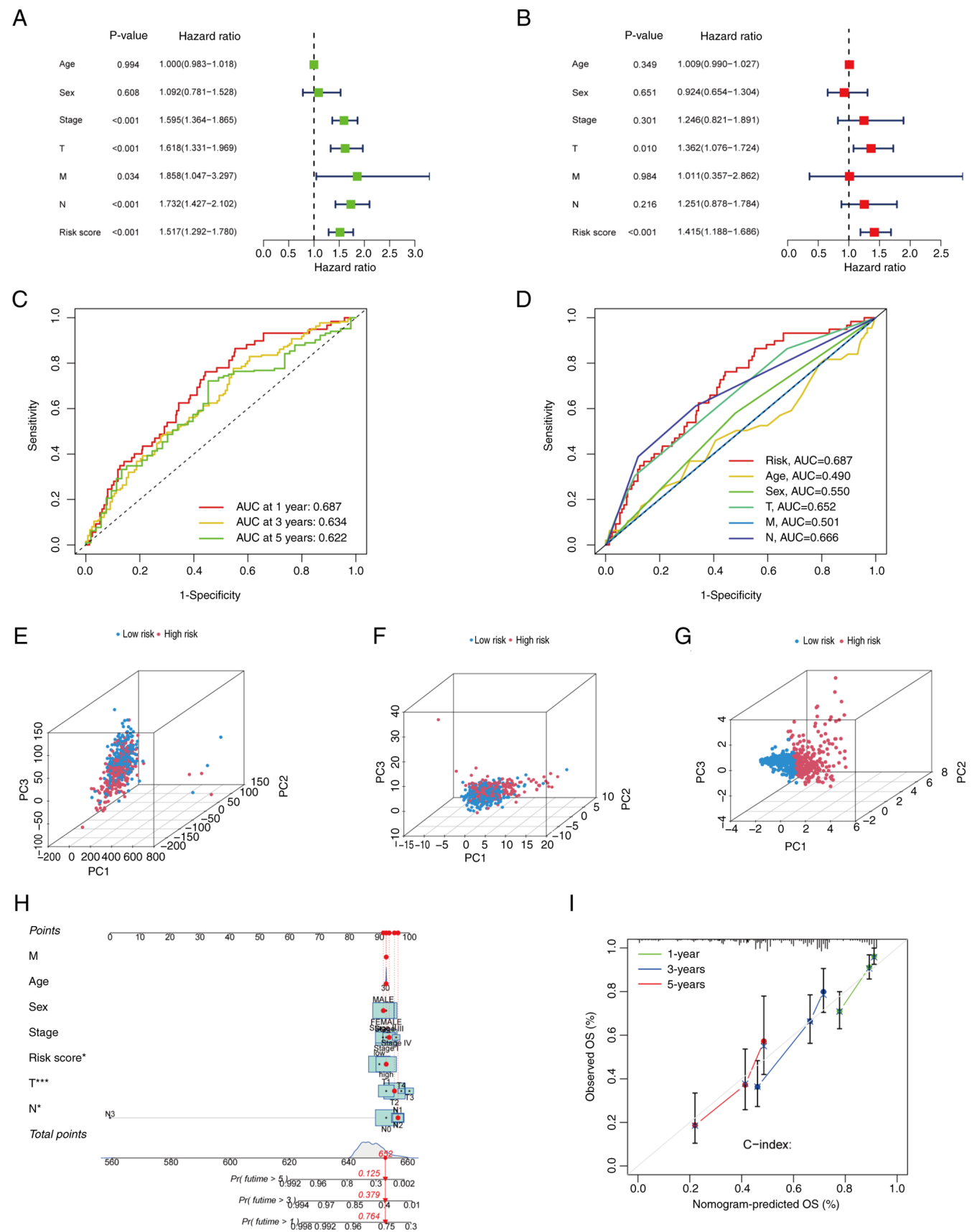


Figure 6. Evaluation of the predictive ability of the prognostic model. (A) Univariate and (B) multivariate Cox regression analyses of clinical information and risk scores. (C) ROC curves for the prediction of 1-, 3- and 5-year OS. (D) ROC curves comparing the predictive performance of the risk score with that of various clinical characteristics. Principal component analysis showing the distribution of individual patients in the high- and low-risk groups based on (E) genome-wide gene expression profiles, (F) CRGs and (G) CRGs included in the prognostic model. (H) Nomogram for prediction of the 1-, 3- and 5-year OS of patients with LUAD from TCGA. (I) Calibration curve of the nomogram for predicting 1-, 3- and 5-year OS in patients with LUAD from TCGA. ROC, receiver operating characteristic; OS, overall survival; LUAD, lung adenocarcinoma; TCGA, The Cancer Genome Atlas; AUC, area under the curve; Pr(future), probability of future time.

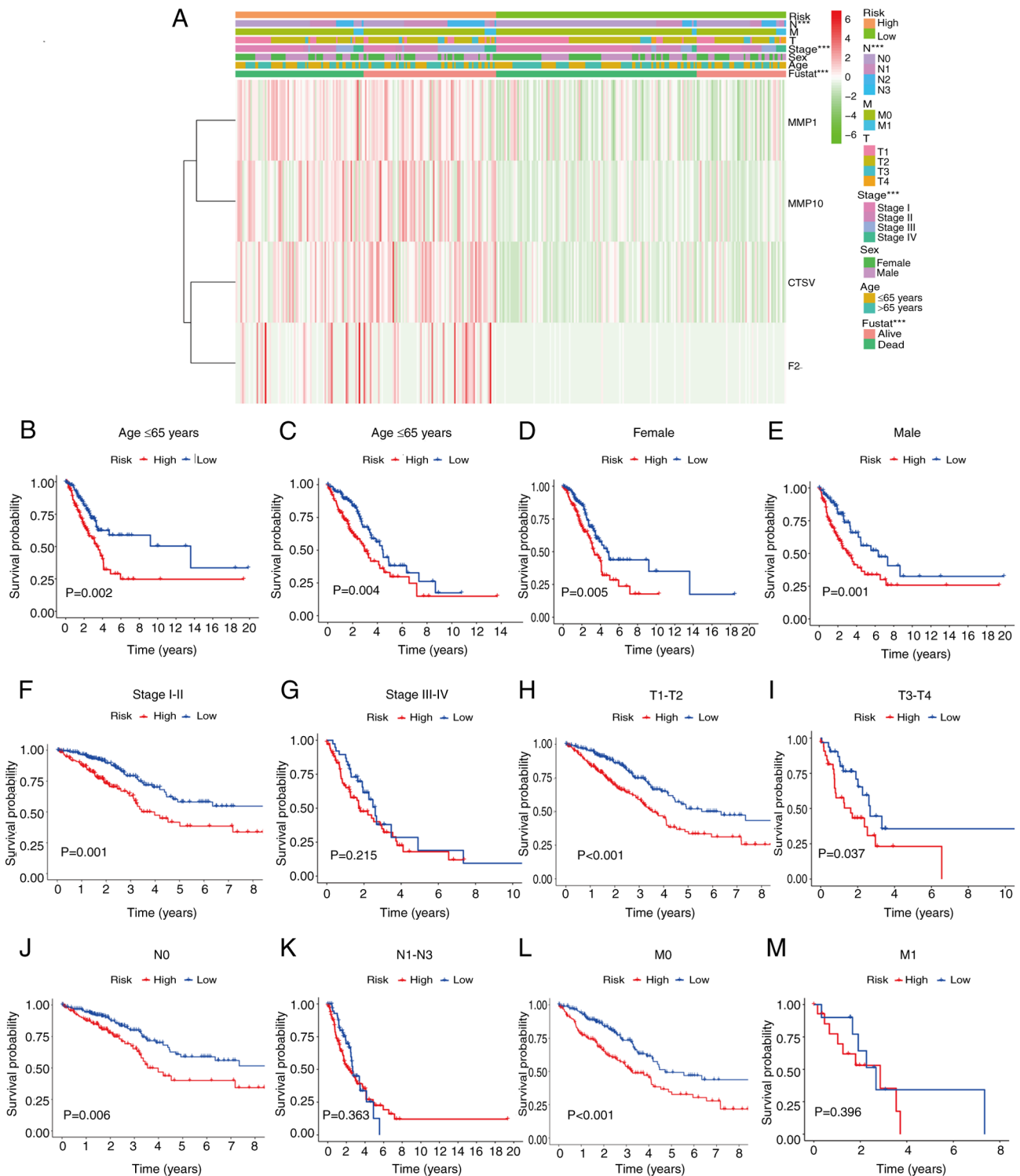


Figure 7. Relationships between risk patterns and clinical characteristics. (A) Associations between prognosis and clinical characteristics based on data from TCGA. (B-M) Kaplan-Meier survival curve analysis of the overall survival rates in the high- and low-risk groups of patients from TCGA with different clinical pathological characteristics: (B) Age ≤65 years, (C) age >65 years, (D) female patients, (E) male patients, (F) stage I-II, (G) stage III-IV, (H) T1-T2, (I) T3-T4, (J) N0, (K) N1-N3, (L) M0 and (M) M1. ***P<0.001. TCGA, The Cancer Genome Atlas; MMP, matrix metalloproteinase; CTSV, cathepsin V; F2-, thrombin/coagulation factor II; fustat, follow-up status.

Drug-sensitivity analysis. Differences in drug sensitivity between the high- and low-risk patient groups were investigated. Analysis of the drug-sensitivity data revealed significant differences in IC₅₀ values between the two groups. Specifically, the high-risk group demonstrated greater sensitivity to the following drugs: BI-2536 (P=1.1x10⁻⁴; Fig. 11A), dasatinib (P=3.3x10⁻⁶; Fig. 11B),

PD0325901 (P=7.3x10⁻⁸; Fig. 11C), SCH772984 (P=3.4x10⁻¹⁶; Fig. 11D) and trametinib (P=5.2x10⁻⁷; Fig. 11E). By contrast, the low-risk group exhibited higher sensitivity to BMS-754807 (P=9.99x10⁻⁸; Fig. 11F), doramipod (P=4.0x10⁻¹⁰; Fig. 11G), JAK1-8709 (P=5.9x10⁻⁴; Fig. 11H), ribociclib (P=2.5x10⁻⁵; Fig. 11I) and SB216763 (P=1.4x10⁻⁵; Fig. 11J).

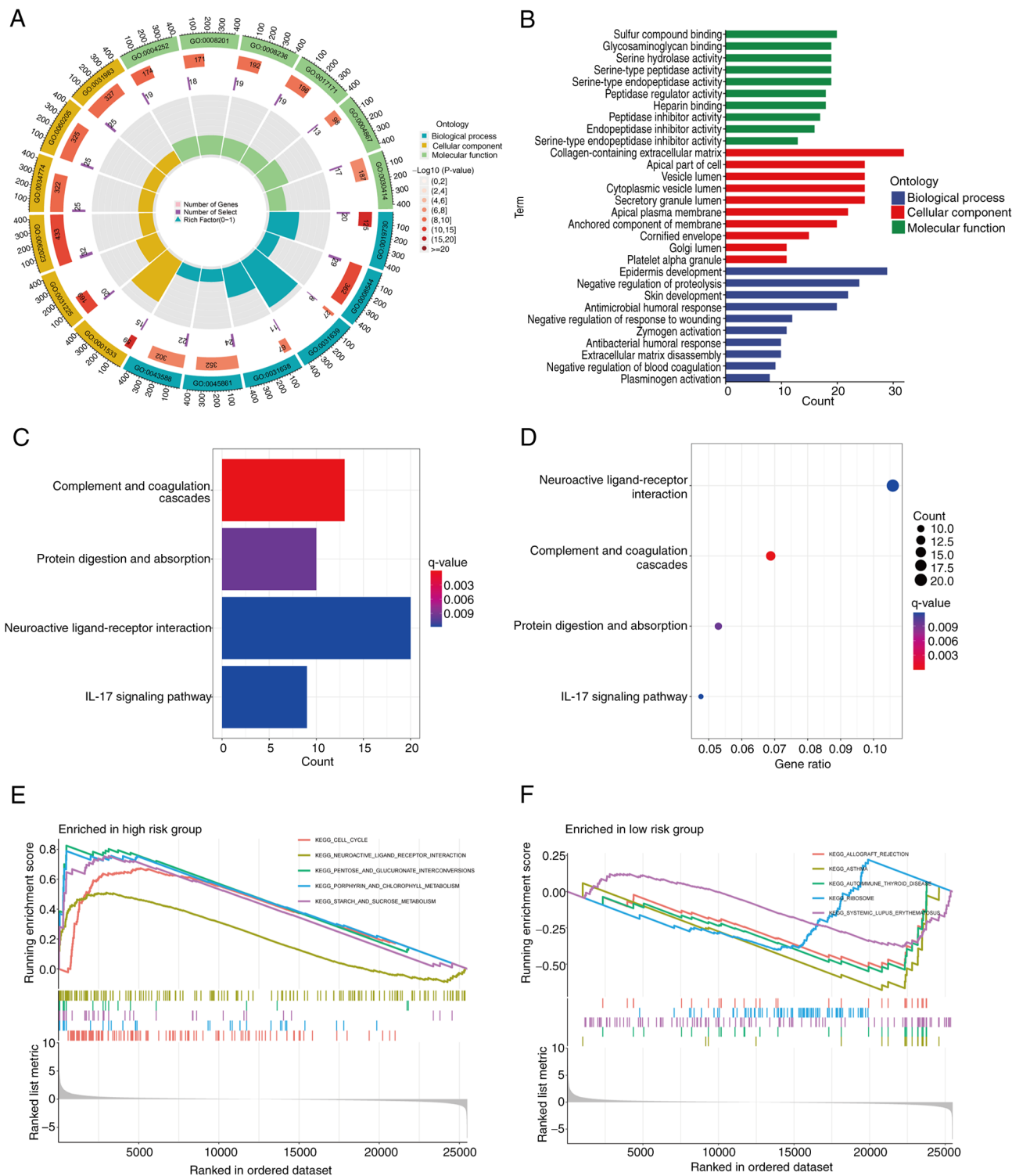


Figure 8. GO and KEGG pathway analyses and GSEA for the four-gene model. (A and B) GO enrichment analysis of genes associated with the model. (C) Bar chart showing KEGG pathways significantly enriched in genes from the prognostic model. (D) Bubble plot of KEGG pathway enrichment. GSEA of KEGG pathways enriched in the (E) high- and (F) low-risk groups. GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; GSEA, gene set enrichment analysis.

Verification of the CRG expression levels. Subsequently, samples from TCGA database were utilized to assess the expression levels of MMP1, MMP10, CTSV and F2 in normal and LUAD tissues. Analysis using the Mann-Whitney U test revealed that the expression of these genes in LUAD tissue was significantly higher compared with that in normal lung tissue (Fig. 12A-D). Wilcoxon signed-rank analysis further

confirmed significant differences in the expression of these genes between the two types of tissues (Fig. 12E-H), supporting the high-risk status of these genes. To validate these findings, IHC results from the HPA database were compared between LUAD and normal lung tissues. The protein expression levels of MMP10, CTSV and F2 were observed to be markedly higher in LUAD tissues compared with normal tissues

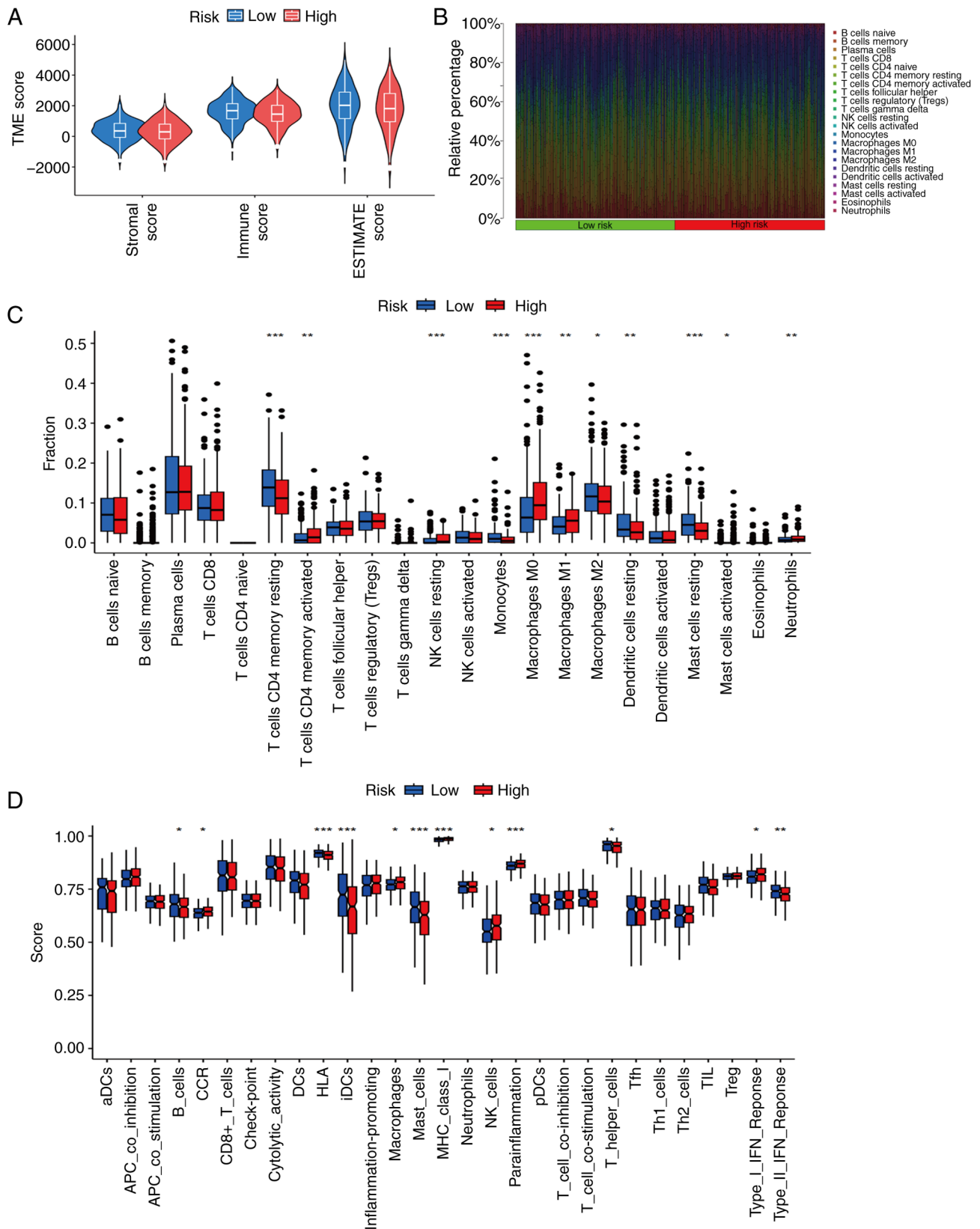


Figure 9. Differences in the TME between high- and low-risk groups. (A) Differences in immune, estimate and stromal scores between the high- and low-risk groups. (B and C) The CIBERSORT algorithm was used to evaluate the difference of 22 immune cells between the high and low-risk groups (D) Differences in immune cell function between the high- and low risk groups. * $P < 0.05$, ** $P < 0.01$ and *** $P < 0.001$ TME, tumor microenvironment.

(Fig. 13A). The HPA database did not include IHC data for MMP1, so its protein expression level could not be assessed. Additionally, RT-qPCR experiments were performed to

further verify the accuracy of the CRG diagnostic model. The mRNA expression levels of MMP10, CTSV, MMP1 and F2 were found to be upregulated in primary tumor tissues

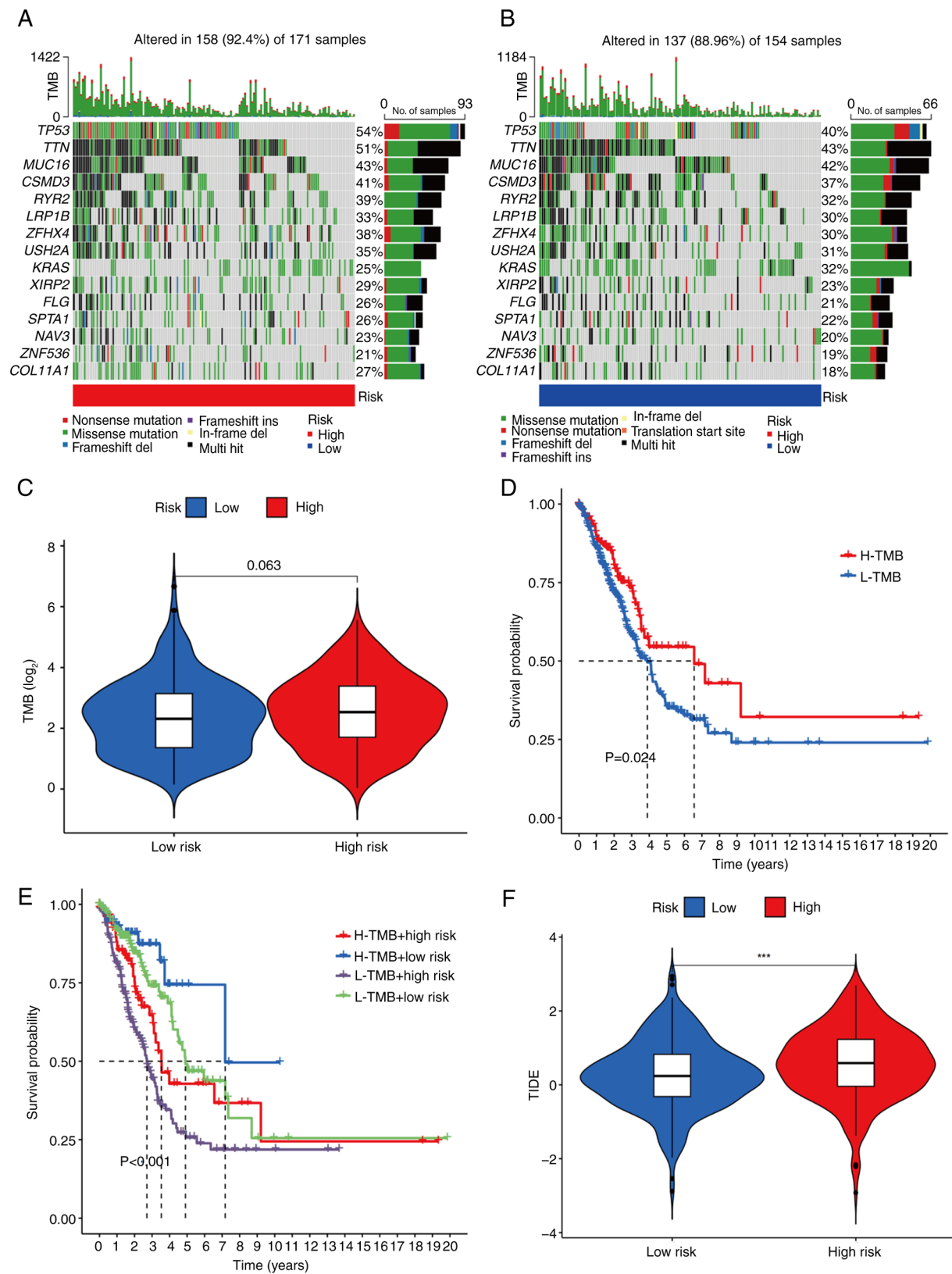


Figure 10. Relationship between TMB and different risk groups. Waterfall charts depicting the 15 genes with the highest mutation rates in the (A) high- and (B) low-risk groups. (C) Comparison of TMB between the high- and low-risk groups. Kaplan-Meier survival curves for the (D) high- and low-TMB groups and (E) four patient subgroups stratified by risk scores and TMB. (F) Comparison of TIDE scores between the high- and low-risk groups. ***P<0.001. TMB, tumor mutation burden; TIDE, tumor immune dysfunction and exclusion; H, high; L, low.

compared with normal tissue (Fig. 13B). Furthermore, the mRNA levels of MMP10, CTSV and F2 were found to be significantly higher in the LUAD cell line A549 compared

with the BEAS-2B cell line (Fig. 13C). Taken together, these findings corroborate the bioinformatics analysis results from TCGA database.

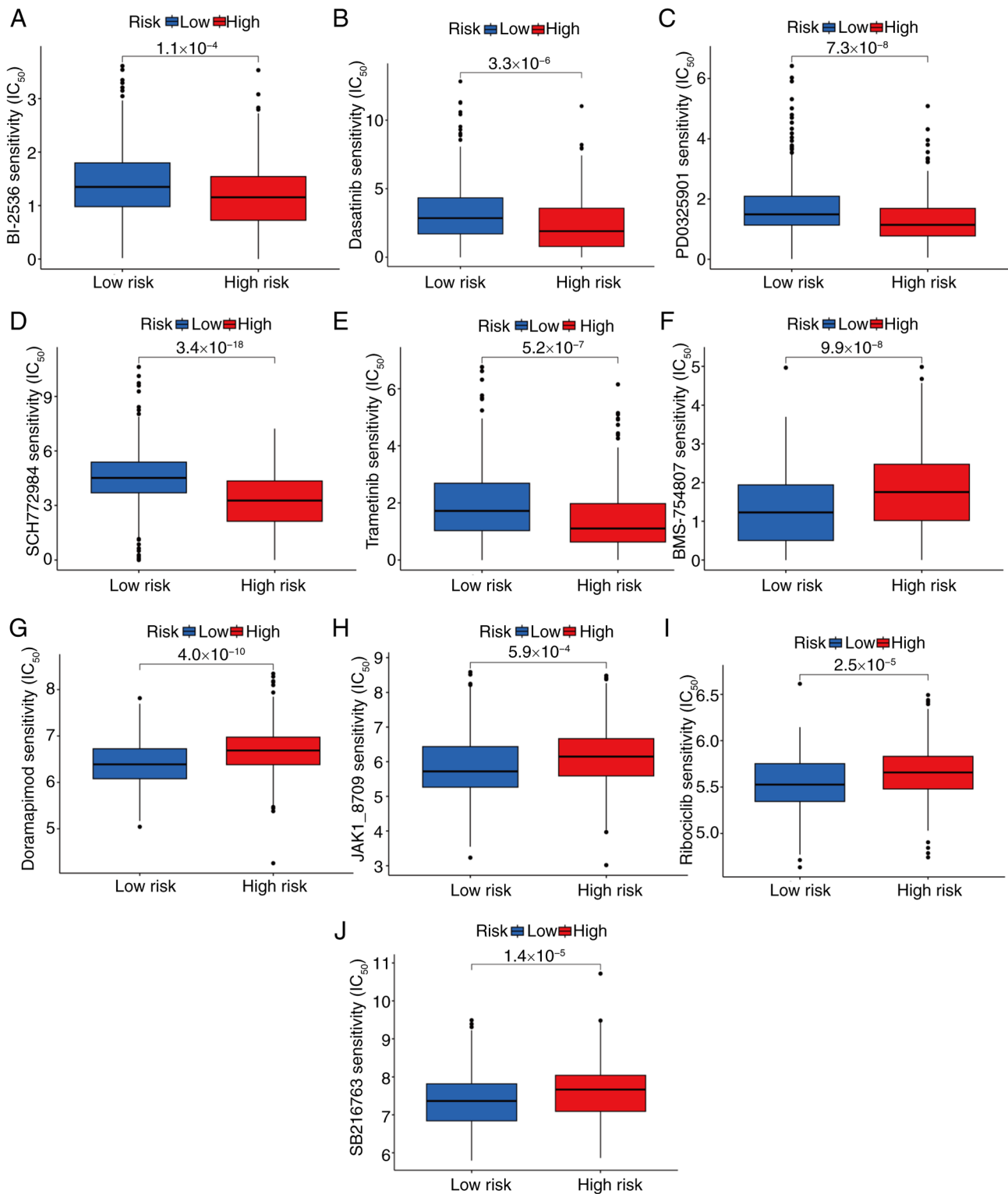


Figure 11. Drug sensitivity analysis. (A) BI-2536, (B) dasatinib, (C) PD0325901, (D) SCH772984 and (E) trametinib were indicated to be more effective in the high-risk group than in the low-risk group. (F) BMS-754807, (G) doramapimod, (H) JAK1_8709, (I) ribociclib and (J) SB216763 were found to be more effective in the low-risk group than in the high-risk group. IC₅₀, Half maximal inhibitory concentration

Discussion

The coagulation system maintains a delicate balance between clotting and bleeding under physiological conditions, although this balance is disrupted in disease states (16). The systemic activation of hemostasis and thrombosis in patients with malignancies

has been shown to be closely associated with tumor occurrence, progression and metastasis (17,18). A review of numerous studies revealed that approximately half of patients with malignancies exhibit coagulation abnormalities, and this proportion rises to as high as 90% in patients with metastasis (19). There is a close interplay between tumor activation and the coagulation system,

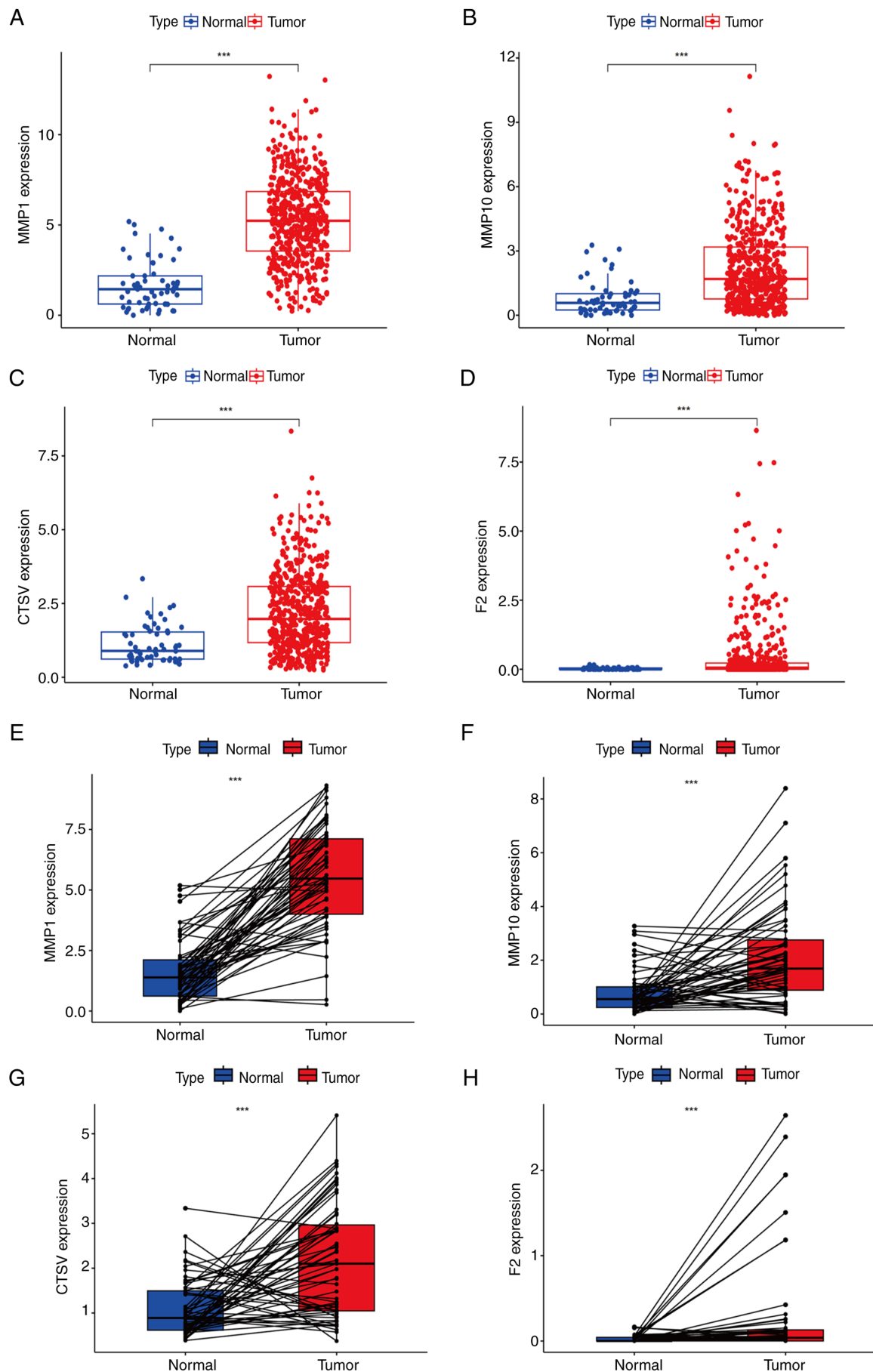


Figure 12. Expression levels of genes in the prognostic model validated using data from TCGA. (A) MMP1, (B) MMP10, (C) CTSV and (D) F2 gene expression levels in LUAD and normal lung tissue. Paired differential analysis of the gene expression of (E) MMP1, (F) MMP10, (G) CTSV and (H) F2 in LUAD and normal lung tissue. ***P<0.001. MMP, matrix metalloproteinase; CTSV, cathepsin V; F2, thrombin/coagulation factor II.

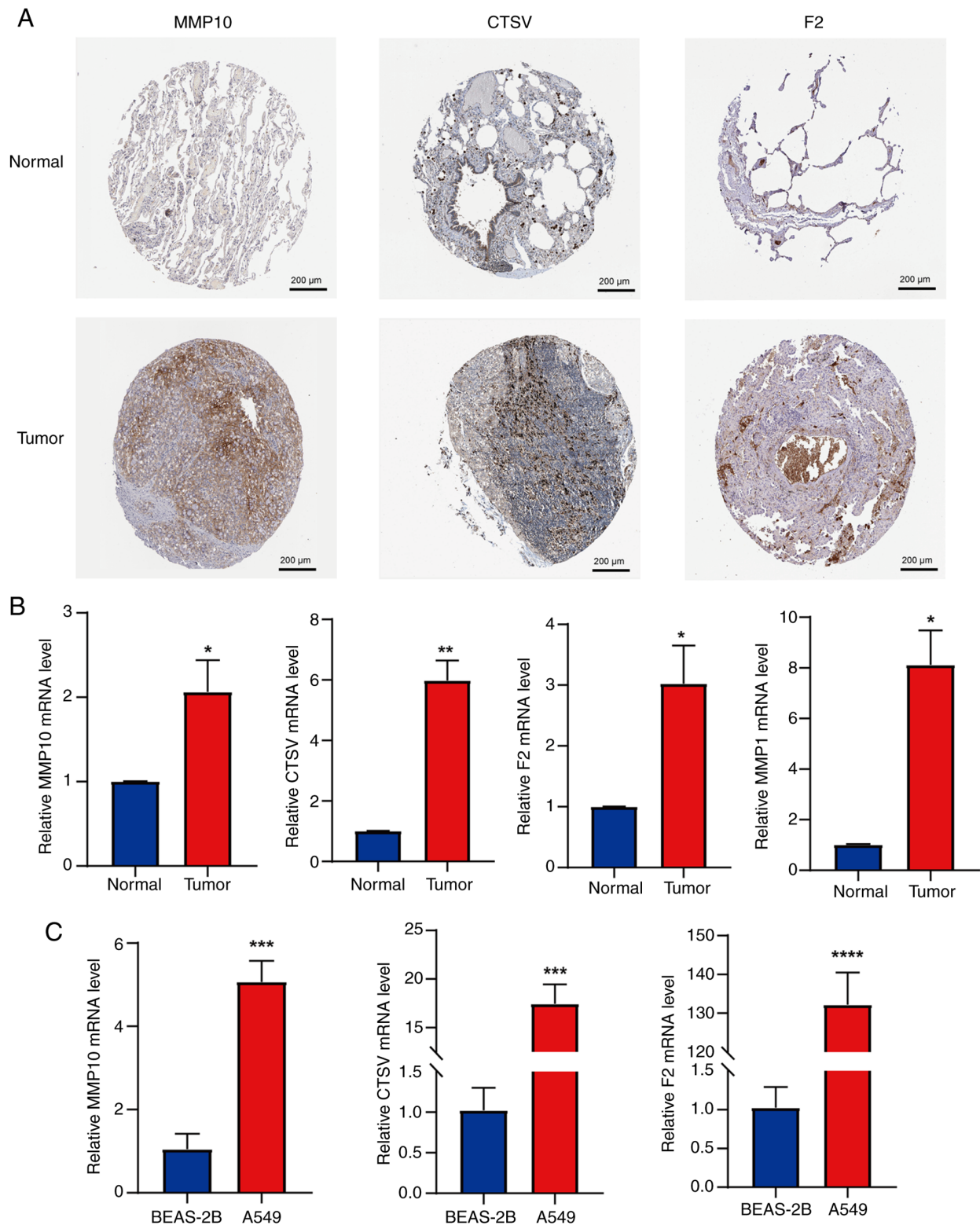


Figure 13. Validation of the expression levels of genes in the prognostic model using images from the HPA database and RT-qPCR analysis. (A) Immunohistochemical staining images of MMP10, CTSV and F2 in LUAD and normal lung tissue from the HPA database; magnification, x100. (B) mRNA expression levels of MMP10, CTSV, F2 and MMP1 in primary LUAD and peritumoral lung tissues, measured by RT-qPCR. (C) mRNA expression levels of MMP10, CTSV and F2 in LUAD and normal bronchial epithelial cell lines, assessed using RT-qPCR. Results are presented as mean \pm standard deviation. * P <0.05, ** P <0.01, *** P <0.001 and **** P <0.0001 vs. normal or BEAS-2B. HPA, Human Protein Atlas; RT-qPCR, reverse transcription-quantitative PCR; MMP, matrix metalloproteinase; CTSV, cathepsin V; F2, thrombin/coagulation factor II.

which is crucial for advancing our understanding of cancer biology and cancer-associated thrombosis, particularly the role of tissue factor. The coagulation system plays an important role in

tumor formation, cell migration, vascular invasion, extravasation and distant metastasis in LUAD (20). Therefore, coagulation-associated biomarkers hold great potential for prognostication.

In the present study, four key prognostic CRGs, namely MMP1, MMP10, CTSV and F2, were identified and used to construct a prognostic signature for patients with LUAD. This predictive model may serve as a valuable tool in the differential diagnosis of lung cancer and its mimickers, such as those reported by Neacșu *et al* (21). However, only a handful of studies have highlighted the roles played by these four genes during the progression of LUAD. MMP1, which was recognized as a high-risk gene in the present study, is mainly located in the mitochondria, where it suppresses mitochondrial respiration, increases hypoxia-inducible factor-1 expression, and reduces the production of reactive oxygen species (22). MMP1 has been shown to promote the progression of several types of tumors, including cutaneous squamous cell carcinoma, hepatocellular carcinoma and colorectal cancer (23-25). In addition, Guo *et al* (26) found that wogonin inhibits lung cancer progression by regulating MMP1 and the PI3K/Akt signaling pathway. MMP10 (27), another high-risk gene, is involved in various pathological and physiological processes, including colitis-associated cancer, hepatocellular carcinoma and breast cancer (28-30). In addition, previous evidence has shown that MMP10 regulates NSCLC radiosensitivity via the DNA damage repair pathway (31). Therefore, MMP10 may play an important role in NSCLC and could help determine indications for radiotherapy. The third high-risk gene, CTSV, is a cysteine protease, with an active form present in the cytoplasm, nucleus and plasma membrane. It contributes to the degradation of specific components of the extracellular matrix, and has been implicated in the progression of various types of cancer (32-34). In addition, Zhu *et al* (35) found that CTSV promotes the development of lung cancer by modulating the immunosuppressive microenvironment and cleaving adhesion molecules. The fourth high-risk gene, F2, encodes the serine protease thrombin. Elevated levels of thrombin not only promote blood coagulation but also facilitate tumor growth and metastasis. Therefore, thrombin and its precursor factors have emerged as potential targets for the treatment of malignant tumors and tumor-associated thrombosis (36). Furthermore, F2 has also been found to be associated with immune infiltration and poor prognosis in various types of tumors, including LUAD and breast cancer (37). The present study indicates that the four genes are all upregulated in LUAD tissues and function as tumor oncogenes, which is consistent with previous findings. Furthermore, an innovative predictive model incorporating these four genes has been developed in the present study to assess the prognosis of LUAD. This model provides valuable insights for the prognosis assessment and treatment of LUAD, and potentially other tumor types.

Alterations in the TME are critical in LUAD progression (38). The quantity and characteristics of tumor-infiltrating lymphocytes serve as important predictors of prognosis and treatment response in cancers such as oral squamous cell carcinoma and epithelial ovarian cancer (39-41). In the present study, significant differences in immune cell composition were observed between the high- and low-risk groups. Specifically, the low-risk group exhibited higher proportions of resting CD4⁺ memory T cells, monocytes, M2 macrophages, resting dendritic cells and resting mast cells. By contrast, the high-risk group exhibited higher proportions of activated CD4⁺ memory T cells, resting NK cells, M0 macrophages, M1 macrophages,

activated mast cells and neutrophils. A number of studies have investigated the antitumor functions of CD4⁺ T memory cells (42-44), and their presence has been significantly associated with an improved prognosis in various types of cancer (45-47). The role of NK cells in antitumor activity, exerted through their cytotoxic effects, has been established (48). However, M0 macrophages have been identified as independent predictive factors of poor outcomes in patients with pancreatic ductal adenocarcinoma (49). Previous studies have reported similar findings to those of the present study, supporting the accuracy of the current model (50,51). In the present study, the low-risk group exhibited enhanced immune cell infiltration and immune function activation, indicative of a more responsive and active antitumor immune system. By contrast, the high-risk group was indicated to have a more immunosuppressive TME, leading to a weaker immune response and potential resistance to immunotherapy. These differences in immune profiles and TME characteristics highlight the greater potential of the low-risk group for improved treatment outcomes, particularly with immunotherapy. These insights into the associations between risk groups, TME and immune function are valuable for understanding LUAD immunology and may assist in the development of personalized treatment strategies.

In the present study, the mutation rate in the high-risk group was significantly higher compared with that in the low-risk group. Notably, the mutation frequencies of TP53, TTN and MUC16 were significantly different between the two groups. Mutations in the TP53 tumor suppressor gene are the most common in human malignancies, particularly in NSCLC (52). In the present study, the analysis of 227 patients with LUAD revealed that differences in mutation rates between the high- and low-risk groups were primarily attributable to TP53 gene mutations. These mutations are typically associated with extensive tumor invasion and poor prognosis (53). Notably, several drugs have been confirmed to treat malignancies by inhibiting the TP53 signaling pathway (54). Similarly, TTN plays a crucial role in the development and progression of various tumors. In LUAD, the long non-coding RNA TTN-AS1 has been shown to promote cell invasion and migration (55). In the present study, the mutation rate of MUC16 was significantly higher in the low-risk group than in the high-risk group, suggesting that MUC16 may serve as a protective mutation in LUAD, a conclusion supported by findings in other tumor types. For example, in ovarian cancer, high expression of MUC16 has been demonstrated to have a significant antitumor effect (56). In addition, MUC16 is considered a potential therapeutic target in pancreatic cancer (57). An observational study of patients with LUAD revealed that MUC16 is significantly associated with a higher TMB and improved clinical prognosis (58), findings that are consistent with those of the present study. However, the specific mechanism of action of MUC16 requires further investigation. Genetic mutations serve as critical targets for the treatment of malignancies. In the present study, mutations in TP53, TTN and MUC16 were particularly important when comparing the high- and low-risk LUAD groups. Specifically, TP53 and TTN mutations play important roles in tumor progression and invasion in LUAD, whereas MUC16 may act as a protective mutation,

although this warrants further investigation. Collectively, these findings offer novel insights for targeted therapies in patients with LUAD, and potential strategies for improving patient prognosis.

Immune checkpoint inhibitors (ICIs) have emerged as efficacious immunotherapies for different types of cancer. By targeting molecules such as programmed death 1 (PD-1)/PD-ligand 1 (PD-L1) and cytotoxic T-lymphocyte associated protein 4, they boost antitumor responses and counteract tumor immune evasion (59,60). TMB is a key predictive factor of ICI response (61-63), with higher TMB being associated with improved efficacy of PD-1/PD-L1 inhibitors (64). An association between high TMB and improved ICI treatment outcomes has also been observed (65). In addition, the TIDE score has been shown to be useful for assessing the response to immunotherapy and the immune evasion capacity of tumors (66). In the present study, the TIDE score in the high-risk group was significantly higher compared with that in the low-risk group, suggesting a stronger tendency for immune evasion in high-risk patients, which may affect treatment outcomes. In addition, the patients with LUAD and high TMB exhibited longer OS times. However, the cross-validation of TMB with risk scores revealed that patients in the high-risk group with low TMB had significantly poorer OS outcomes. These findings underscore the importance of considering TMB and its interaction with risk scores when evaluating the immune response and predicting treatment outcomes in LUAD. This information is vital for guiding personalized treatment decisions, and for optimizing patient prognosis in the context of immunotherapy.

In the analysis of drug sensitivity, the response of patients with LUAD in different risk groups to anticancer agents was evaluated, which provides insights into personalized treatment strategies. The results demonstrated that the IC_{50} values of BI-2536 (67), dasatinib (68), PD0325901 (69), SCH772984 (70) and trametinib (71) were significantly lower in the high-risk group than in the low-risk group, suggesting that patients in the high-risk group may exhibit more favorable therapeutic responses to these agents. By contrast, BMS-754807 (72), doramapimod (73), JAK1_8709 (74), ribociclib (75) and SB216763 (76) exhibited superior efficacy in the low-risk group. These findings provide novel insights into the pharmacotherapy for patients with high- and low-risk LUAD. By matching the most suitable anticancer drugs with different patient types, the present study has the potential to facilitate more precise and effective treatment strategies. Although these drugs are suggested to have considerable therapeutic potential, the specific mechanisms underlying their action and actual efficacy in LUAD require further investigation.

The present study had certain limitations. The data were mainly derived from TCGA and lacked validation with external datasets, which may introduce some bias. In addition, the data did not follow a normal distribution. In subsequent research, a more detailed analysis of data distribution characteristics will be conducted, to enhance the scientific rigor of the research methods and the reliability of the results. Furthermore, although RT-qPCR analysis confirmed that MMP1, MMP10, F2 and CTSV are highly expressed in LUAD tissues, the sample size was limited. In future studies, it is

planned to increase the sample size, conduct more comprehensive clinical validations, and perform *in vivo* and *in vitro* experiments to further evaluate the performance of the present model as an independent predictor of survival in real-world clinical settings.

In summary, the present study developed a new CRG-based model, by integrating bioinformatics analyses of immune-related indicators and tumor mutations to predict potential drug sensitivities across different patient subgroups. This model serves as a novel index for predicting treatment efficacy and prognosis in patients with LUAD. Future validation of the model using primary data is essential to confirm its reliability, accuracy and practicality in a clinical setting. This will involve conducting large-scale, multi-center clinical trials to test the performance of the model in diverse patient populations and real-world scenarios, and indicate its potential to guide individualized treatment strategies.

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Availability of data and materials

The data generated in the present study may be requested from the corresponding author.

Authors' contribution

LZ and XS conceived and designed the research. JL and XG prepared the manuscript and figures. LL performed the acquisition and analysis of bioinformatic data. XG, JL, YH and HZ performed experiments, LZ and XS revised the manuscript. LZ and XS confirm the authenticity of all the raw data. All authors read and approved the final version of the manuscript.

Ethics approval and consent to participate

Human lung cancer tissues and paired adjacent noncancerous lung tissues were obtained from three patients undergoing lung resection. The study was approved by the Institutional Review Board (IRB) of Jinan Central Hospital (approval no. 20241120026). All subjects signed an IRB-approved informed consent form prior to enrollment in the study.

Patient consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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