Articles

Adverse events following emergent prehospital sedation of patients with behavioral emergencies: A retrospective cohort study

Lawrence H. Brown,^{*a,b,1**} Remle P. Crowe,^{*c,1*} Paul E. Pepe,^{*d,e*} Melissa L. Miller,^{*a*} Brooke L. Watanabe,^{*a,b*} Samuel S. Kordik,^{*f*} David A. Wampler,^{*g*} David I. Page,^{*h,i*} Antonio R. Fernandez,^{*c*} Scott S. Bourn,^{*c*} and J. Brent Myers,^{*c*}

^aDivision of Emergency Medicine, Dell Medical School at the University of Texas, 1400N IH35, Suite 2.230, Austin, TX 78701, USA

^bU.S. Acute Care Solutions, Canton, OH, USA

^cESO Inc., Austin, TX, USA

^dDepartment of Management, Policy and Community Health, School of Public Health, University of Texas Health Sciences Center, Houston, TX, USA

^eDallas County Emergency Medical Services and Public Safety Agencies, Dallas, TX, USA

^fCypress Creek EMS, Spring, TX, USA

⁹Department of Emergency Health Sciences, UT Health San Antonio, San Antonio, TX, USA

^hDavid Geffen School of Medicine, University of California, Los Angeles, CA, USA

ⁱDepartment of Paramedicine, Monash University, Melbourne, VIC, Australia

Summary

Background Patients presenting to emergency medical services (EMS) with behavioral emergencies may require emergent sedation to facilitate care, but concerns about sedation-related adverse events (AEs) exist. This study aimed to describe the frequency of AEs following emergent prehospital sedation with three types of sedative agents: ketamine, benzodiazepines and antipsychotics.

Methods This retrospective cohort study included patients \geq 15 years who presented to 1031U.S. EMS agencies in calendar year 2019 with behavioral emergencies necessitating emergent prehospital sedation. Serious AEs (SAE) included cardiac arrest, invasive airway placement, and severe oxygen desaturation (<75%). Less-serious AEs included positive pressure ventilation, any oxygen desaturation (<90%), oropharyngeal or nasopharyngeal airway placement, and suctioning. The need for additional sedation was also assessed.

Findings Of 7973 patients, 1996 received ketamine; 4137 received a benzodiazepine; 1532 received an antipsychotic agent; and 308 received an indeterminant agent. Cardiac arrest occurred in 11 patients (0.1%) and any SAE occurred in 165 patients (2.1%). Invasive airway placement was more frequent with ketamine (40, 2.0%) compared with benzodiazepines (17, 0.4%) or antipsychotics (3, 0.2%). Oxygen desaturation below 75% also occurred more frequently with ketamine (51, 2.6%) than with benzodiazepines (52, 1.3%) or antipsychotics (14, 0.9%). Patients sedated with ketamine were less likely to require additional sedation. Propensity-matching to minimize potential confounding between patient condition, sedative choice and AEs did not meaningfully alter the results.

Interpretation Although SAEs were rare among patients receiving emergent prehospital sedation, prehospital clinicians should remain mindful of the potential risks and monitor patients closely.

Funding None.

Copyright © 2022 The Author(s). Published by Elsevier Ltd. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/)

Keywords: Emergency Medical Services; behavioral symptoms; benzodiazepines; ketamine; Antipsychotic Agents

*Corresponding author at: Division of Emergency Medicine, Dell Medical School at the University of Texas, 1400N IH35, Suite 2.230, Austin, TX 78701, USA.

E-mail address: lhbrown@ascension.org (L.H. Brown).

Introduction

Emergency Medical Services (EMS) personnel often encounter patients presenting with acute behavioral emergencies, including those who are uncooperative or even violent.¹⁻³ Such uncooperative or violent behavior The Lancet Regional Health - Americas 2022;9: 100183 Published online xxx https://doi.org/10.1016/j. lana.2021.100183



¹ These authors contributed equally to this work.

Research in context

Evidence before this study

We searched MEDLINE using the OVID interface from January 2001 to August 20, 2021 to identify articles of any kind reporting prehospital sedation for patients experiencing behavior-related problems using combinations of search terms and text words including "prehospital", "out-of-hospital", "paramedics", "ambulances", "mental disorders", "behavioral", "delirium", "sedation", "antipsychotic agents", benzodiazepines", and "ketamine." We also reviewed the reference lists of the identified articles. Most identified articles were case series or observational descriptions of individual cohorts, although six studies reported comparative trials. Sedation was generally reported to be safe with few prehospital adverse events, but adverse event surveillance and reporting was inconsistent across studies. For the four comparative trials specifically including ketamine as a sedative agent, a total of only 315 patients had received ketamine. Whether emergent prehospital sedation-particularly sedation using ketamine-for patients with behavioral emergencies is safe remains controversial.

Added value of this study

This study specifically evaluated emergent prehospital sedation-that is, sedation as the first prehospital pharmacologic intervention. It takes advantage of a large multi-agency database, as well as propensity score matching to minimize potential confounding between patient characteristics and sedative choice, to explore the rate of cardiac arrest and other serious adverse events, as well as other less-serious adverse events, among patients presenting to emergency medical services (EMS) with behavioral-related problems who receive emergent sedation with ketamine, a benzodiazepine or an antipsychotic agent. We found that 10.5% of those patients experienced at least one adverse event of some kind, although cardiac arrest (0.1%) and other serious adverse events (2.1%) were rare. Adverse events occurred more frequently when ketamine was used for emergent sedation, but ketamine was also associated with a decreased need for additional sedation.

Implications of all the available evidence

While emergent prehospital sedation generally appears safe, adverse events do occur and may be more likely when ketamine is used. When emergent sedation is necessary, prehospital clinicians should remain mindful of the potential risks, monitor patients closely, and be prepared to intervene if required—regardless of the sedative agent used.

can pose potential risks to the patients themselves, responders or bystanders, and can impede urgent evaluation and treatment.^{3–5} Physically restraining patients exhibiting uncooperative or violent behavior can

exacerbate underlying problems, cause physical injury to patients or caregivers, and result in asphyxia.^{4,6,7} When conventional de-escalation techniques fail or are otherwise impractical, prehospital sedation may be necessary.^{6,7} Prehospital sedation should only be administered by appropriately trained and supervised EMS clinicians, and must be for the medical benefit of the patient—not solely for law enforcement restraint purposes.^{7–9}

Some patients experiencing uncooperative or violent behavioral emergencies require emergent prehospital sedation-that is, administration of a sedative as the first intervention in order to facilitate patient care. In the United States (U.S.), recent high-profile media reports of post-sedation cardiac arrest and other adverse events (AEs) have raised concerns about emergent prehospital sedation, including questions about patient selection and the types of sedatives used. Most literature regarding prehospital sedation for patients presenting with behavioral emergencies emanates from descriptive series and single-center comparative studies¹⁰⁻¹² that do not explicitly focus on emergent sedation (see also Table SI, Appendix pp 3,4). The purpose of this study was to describe emergent prehospital sedation practices in a large sample of U.S. EMS systems, determine the frequency of cardiac arrest and other AEs following emergent sedation, and describe the incidence of AEs across three commonly-used types of sedative agents: ketamine, benzodiazepines and antipsychotics.

Methods

Design and data sources

Data for this retrospective cohort study were obtained from the ESO Data Collaborative research dataset for January I through December 31, 2019. ESO provides electronic health record (EHR) software for U.S. EMS agencies, and a subset of users voluntarily contributes all EHR records to the de-identified dataset. The annual public-use dataset includes information regarding EMS dispatch, patient demographics, clinical presentation, assessment findings, and treatments provided as recorded by the attending EMS clinicians. EHR elements are defined and data are collected in compliance with the National EMS Information System (NEMSIS) data standard.¹³ For 2019, the dataset contained 8·3 million EMS encounters attended by 1322 agencies in 50U.S. states.¹⁴

Study population

Our target population included patients 15 years of age or older who presented with a behavioral problem and who received emergent sedation.

We first identified patients presenting with a behavioral emergency. There is no single, universal EHR field that identifies patients with behavioral emergencies; instead, there are multiple EHR fields where EMS clinicians select from drop-down menus or check-boxes to document behavioral presentations or characterize combativeness. We defined a behavioral emergency as any EMS encounter with EHR documentation of: (a) a chief complaint, primary impression, secondary impression, or dispatcher-reported complaint indicating a behavioral problem (e.g., "behavioral / psychiatric disorder," "mental disorder"); (b) documentation of a self-inflicted injury; (c) utilization of a behavioral emergency protocol or transport to a behavioral health hospital; (d) signs or symptoms indicating a behavioral problem (e.g., "homicidal ideation," "hallucinations"); or (e) a primary or secondary impression, signs and symptoms or a reported barrier to care indicating combativeness or violence (e.g., "uncooperative patient," "violent behavior"). The complete list of EHR fields and entries used to identify patients with behavioral problems and characterize combativeness are detailed in Tables S2 and S3 (Appendix pp 5,6).

Excluded from the analyses were: (I) interfacility transfers; (2) responses by non-paramedic (e.g., basic life support) EMS agencies; (3) responses by air-medical services; and (4) patients in cardiac arrest when EMS arrived. We excluded children less than 15 years of age, as well as patients without a documented age, because agency protocols and paramedic thresholds for emergent sedation likely differ for young children. Also excluded were patients presenting with paramedicdocumented clinical conditions that might mimic behavioral emergencies: seizures, hypoglycaemia, stroke, transient ischaemic attack, head injury, and multi-system trauma. Alcohol or drug intoxication alone, in the absence of other indications of a behavioral problem, was not considered a behavioral emergency.

We next identified the subset of patients presenting with behavioral emergencies who received emergent sedation. Emergent sedation was defined as the intramuscular (IM) administration of ketamine, a benzodiazepine or an antipsychotic as the first pharmacologic intervention, before establishment of intravenous (IV) access. These patients formed the sample for our study.

Exposure

The primary exposure of interest was the type of initial emergent sedative agent administered: ketamine, a benzodiazepine, or an antipsychotic medication. Patients who received more than one type of sedative were excluded from the primary analysis if conflicting or missing timestamps made it impossible to identify the first emergent sedative administered.

Choice of outcome measures and variable definitions

As there are no established definitions or criteria for AEs associated with prehospital sedation, we adapted definitions from the World Society of Intravenous

Anaesthesia's procedural sedation AE reporting tool.¹⁵ The primary outcome was cardiac arrest occurring after the initial sedative administration, or the composite of any serious AE (SAE), including cardiac arrest, postsedation invasive airway placement (endotracheal tube or supraglottic airway) or new-onset severe oxygen desaturation (pulse oximeter reading < 75%). Secondary outcomes included the frequency of other AEs, including use of positive pressure ventilation (PPV), any oxygen desaturation (pulse oximeter reading < 90%), oropharyngeal or nasopharyngeal airway placement, and suctioning (potentially indicating emesis or hypersalivation). We also determined the frequency of additional prehospital sedative administrations, including both redosing of the initial sedative agent and additional dosing with other agents.

AEs were captured from the EHR as documented by the attending paramedics. Cardiac arrest, advanced airway placement, positive pressure ventilation and basic airway interventions are affirmatively documented using drop-down menus or check-boxes; serial oxygen saturation levels are electronically captured through an interface with the vital signs monitor or manually entered by the paramedics. To be included as an AE in this analysis, the event had to be timestamped as newly occurring after the administration of the first sedative. Assessment of AEs was limited to events occurring during the prehospital phase of care.

For each type of initial sedative agent, we report the frequency of cardiac arrest, individual SAEs, the composite of any SAE, as well as other AEs.

Propensity score matching and covariates

The choice of prehospital sedative is complex and potentially driven by clinical circumstances, an EMS agency's formulary, treatment protocols, and an individual paramedic's comfort with or preference for certain sedatives. Because the choice of sedative agent is not random, we also conducted a propensity score matched analysis in an attempt to minimize potential confounding between patient condition, sedative choice and AEs.

We created three pairwise matched cohorts based on the initial emergent sedative agent: (1) ketamine vs. benzodiazepines; (2) ketamine vs. antipsychotics; and (3) antipsychotics vs. benzodiazepines. Each pairwise cohort was created using Stata's -psmatch2- commands¹⁶ with 1:1 nearest neighbor matching, a maximum calliper width of 0.05, and without replacement. Matching variables included several demographic, clinical and situational characteristics potentially associated with the choice of sedative: patient age, sex, race, and weight; the characterization of combativeness ("not documented" vs. "uncooperative" vs. "combative" vs. "violent"); documentation of a specific behavioral condition (vs. combativeness alone); law enforcement involvement and conducted energy device (e.g., TASER) involvement; use of physical restraints; alcohol or drug use or indications of withdrawal; location urbanicity as designated by the Centers for Medicare and Medicaid Services (CMS), and vital signs. Vital signs were categorized as "not obtained", "normal," "slow/low" or "fast/ high" based on the following reference ranges: respiratory rate, 12–20 / min; heart rate, 60–100 / min; systolic blood pressure, 90–160 mmHg; GCS \geq 13; oxygen saturation, > 90%.

Weight data were missing for nearly one-quarter of the included patients, and disproportionately for patients initially sedated with a benzodiazepine or antipsychotic agent (which generally do not depend on weight-based dosing). We used the "missing indicator" approach to address missing weight data: a binary "weight missing" indicator was created and missing patient weights were assigned a place-holder value of "o." For the remaining variables, missing values were categorized as follows: Sex missing (n = 26) was included as a distinct category. When race and ethnicity were not documented (n = 176) the patient was categorized as being of unknown race. Events with missing urbanicity data (n = 3) were categorized as non-urban. The remaining matching variables-police involvement, conducted energy device use, restraint use, and alcohol/drug involvement-were expected to be documented only when they occurred; the absence of such documentation was interpreted as meaning they did not occur rather than as missing information.

Matching success was assessed using the standardized percentage bias, with values exceeding \pm 10% indicating residual imbalance. Within each pairwise matched cohort we again report the frequency of cardiac arrest, individual SAEs and the composite of any SAE, and other individual AEs. We also calculated the differences in the risk of SAEs and AEs between patients treated with each type of sedative, along with their exact 95% confidence intervals, using Stata's -cs- commands.¹⁷

Sedative dosing analysis

To explore possible dose-response relationships between SAEs and sedation, the dose of the initial IM sedative was compared for patients who did and did not experience SAEs using Wilcoxon Rank Sum test.

Additional analyses

To assess for any differential risk of SAEs across patient and event characteristics, we conducted post-hoc analyses comparing SAE and AE rates in the pairwise matched cohorts stratified by sex, age, race and ethnicity, the characterization of combativeness, alcohol or drug use, and use of physical restraints. To assess how well our inclusion and exclusion criteria identified patients with actual behavioral emergencies, we obtained emergency department and hospital discharge diagnoses for patients with linked hospital data available, and determined the proportion of patients with a mental health related diagnosis (ICD IO = FOI-FOO).¹⁸

All analyses were conducted using Stata (Version $16 \cdot I$, College Station, TX). An alpha value of 0.05 was used to establish statistical significance.

Ethical approval

The Office of Research Support and Compliance at the University of Texas affirmed *a priori* that this analysis did not constitute human subjects research.

Role of the funding source

There was no external funding source for this study. The authors are solely responsible for the design, interpretation and reporting of this study.

Results

Study sample

Of 8.3 million encounters included in the 2019 ESO dataset, 433,139 (5.2%) involved eligible patients with behavioral emergencies attended by 1031 paramediclevel EMS agencies (Figure 1). Paramedics administered emergent sedation to 7973 (1.9%) of these patients. A benzodiazepine was the most frequently administered initial sedative agent (4137; 51.9%), followed by ketamine (1996; 25.0%) and antipsychotic agents (1532; 19.2%). There were 308 (3.9%) events for which missing or conflicting timestamps precluded identification of the first sedative agent.

The demographic, clinical and situational characteristics of the 7973 events differed considerably across the initial sedative agents (Table 1). Generally, most patients were male and in their late 20's to early 50's. More than half of the patients (4394; 55·1%) were explicitly documented as "violent" and police involvement was noted in 2468 (31·0%) of the events. Approximately half of the included patients received sedation before vital signs could be obtained.

The frequency of individual SAEs and other AEs among the included patients, overall and by initial sedative agent, are shown in **Table 2**. In the total sample, cardiac arrest was rare (n = 11) regardless of initial sedative agent. SAEs occurred in 165 patients ($2 \cdot 1\%$), and 816 patients ($10 \cdot 2\%$) experienced at least one post-sedation AE of some kind. SAEs and AEs were more frequent among patients initially sedated with ketamine. However, patients initially sedated with ketamine were also less likely to receive additional sedation than those initially receiving a benzodiazepine or an antipsychotic.

Propensity-matched cohorts

Table S4 (Appendix p 7) shows the characteristics of the events retained after propensity matching. Within each paired cohort, the matching successfully minimized the

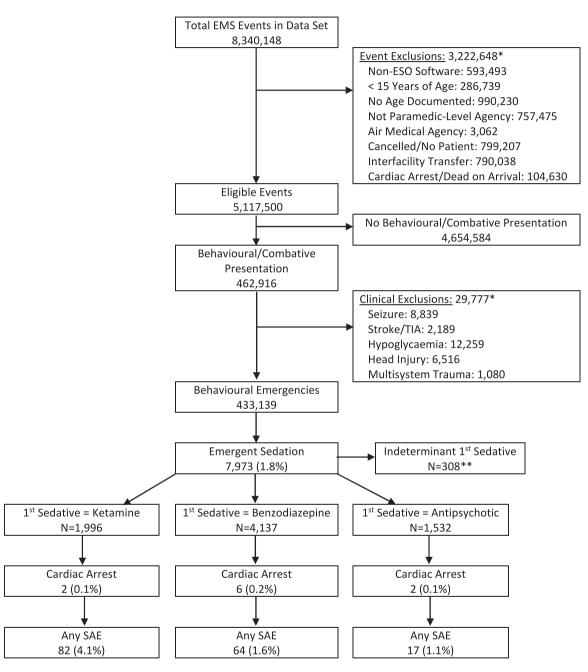


Figure 1. Accrual and Primary Outcomes of the Full Unmatched Study Cohort.

*Patients could meet multiple exclusion criteria. **308 patients, including 1 with cardiac arrest and 2 with any SAE, excluded due to indeterminant first sedative agent. TIA = transient ischaemic attack; SAE = serious adverse event.

differences between patients receiving each type of sedative, with standardized differences less than 10% for all covariates.

Figure 2 shows the incidence of cardiac arrest and other SAEs in the propensity matched cohorts, and a tabular summary of these data is shown in Table S5 (Appendix p 8). After propensity matching, the risk of post-sedation cardiac arrest remained small (0.1% to o·2%) and similar across the three types of sedatives. SAEs overall remained infrequent as well but were more frequent among patients emergently sedated with ketamine, whether compared with benzodiazepines (difference, +2.4%, 95% CI: +1.3% to +3.4%) or antipsychotics (difference, +2.1%, 95% CI: +0.8% to +3.3%).

Figure 3 shows the incidence of other AEs and additional sedation in the propensity matched cohorts,

	FullSample		By Emergent Sedative A	gent*
		Ketamine	Benzodiazepine	Antipsychotic
N	7973	1996	4137	1532
Age, median (IQR) yrs	36 (27-51)	34 (26-46)	36 (27-50)	40 (29-56)
Male, N (%)	4684 (58.8)	1328 (66.5)	2371 (57.3)	817 (53-3)
Race/Ethnicity, N (%)				
White, non-Hispanic	4486 (56·3)	1185 (59-4)	2362 (57.9)1	834 (54-4)
Black, non-Hispanic	2336 (29.3)	493 (24.7)	1145 (27.7)	517 (33.8)
Hispanic	784 (9.8)	208 (10-4)	437 (10.6)	125 (8-2)
Weight, median (IQR) kg	77 (68–91)	82 (68-100)	77 (66–91)	77 (64–91)
Weight Missing, N (%)	1814 (24.0)	349 (17.5)	924 (22.3)	471 (30.7)
Indication				
Behavioral Issue Only	1945 (24-4)	300 (15.0)	1044 (24.2)	541 (35-3)
Behavioral Issue & Combative	4505 (56.5)	1210 (60.6)	2269 (54.9)	800 (52.2)
Combative Only	1523 (19-1)	486 (24-4)	824 (19-9)	191 (12.5)
Combativeness Level, N (%)				
Not Documented	1945 (24·4)	300 (15.0)	1044 (25·2)	541 (35·3)
Uncooperative	1308 (16·4)	305 (15-3)	727 (17.6)	235 (15-3)
Combative	326 (4.1)	81 (4·1)	184 (4.5)	53 (3.5)
Violent	4394 (55.1)	1310 (65.6)	2182 (52.7)	703 (45.9)
Police Involved, N (%)	2468 (31.0)	670 (33.6)	1280 (30.9)	415 (27.1)
CED Involved, N (%)	228 (2.9)	111 (5.6)	92 (2.2)	18 (1.2)
Physically Restrained, N (%)	2728 (34-2)	602 (30-2)	1484 (35.9)	494 (32·3)
Substance Use, N (%)				
Alcohol Involved	1554 (19.5)	344 (17-2)	862 (20.8)	301 (19.7)
Drugs Involved	2662 (33.4)	825 (41.3)	1373 (33.2)	378 (24.7)
Bystander Naloxone	29 (0.4)	12 (0.6)	13 (0.3)	3 (0.2)
Indications of Withdrawal	28 (0.4)	6 (0.3)	16 (0.4)	6 (0.4)
Urban Location, N (%)	7128 (89-4)	1759 (88-1)	3721 (89·9)	1373 (89·6)
Vital Signs, N (%)				
Bradypnea (RR < 12)	40 (0.5)	19 (1.0)	15 (0.4)	6 (0.4)
Tachypnoeic (RR > 20)	1636 (20.5)	390 (19-5)	942 (22.8)	247 (16.1)
Bradycardic (HR < 60)	30 (0.4)	10 (0.5)	16 (0.4)	4 (0.3)
Tachycardic (HR > 100)	2273 (28.5)	532 (26.7)	1264 (30.6)	419 (27.4)
Hypotensive (SBP < 90)	41 (0.5)	14 (0.7)	20 (0.5)	4 (0.3)
Hypertensive (SBP > 160)	523 (6.6)	125 (6.3)	277 (6.7)	110 (7.2)
Altered Mental Status	638 (8.0)	213 (10.7)	326 (7.9)	82 (5-4)
Hypoxic (saturation < 90%)	114 (1.4)	30 (1.5)	68 (1.6)	15 (1.0)
None Documented	4016 (50-4)	1095 (54-9)	2034 (49·2)	715 (46.7)
All Documented	1844 (23.1)	353 (17.7)	1001 (24.2)	425 (27.7)

Table 1: Patient and event characteristics in the full study cohort.

* 308 subjects excluded due to indeterminant first sedative agent. CED = conducted energy device (e.g., TASER).

which are also summarized in **Table S5** (Appendix p 8). After propensity matching, patients initially sedated with ketamine remained statistically more likely than those sedated with a benzodiazepine or antipsychotic to experience other less serious AEs. Patients sedated with a benzodiazepine were also more likely than those sedated with an antipsychotic to experience any AE (difference, $2 \cdot 2\%$, 95% CI: 0.5% to 4.7%), and specifically oxygen desaturation below 90% (difference, $2 \cdot 1\%$, 95% CI: 0.4% to 3.7%).

Sedative dosing

Table S6 (Appendix p 9) shows the dosing for each initial sedative agent for patients who did and did not experience a SAE. When ketamine was the initial sedative agent, patients who experienced an SAE received statistically higher doses than those who did not experience an SAE, although the practical relevance of this difference is questionable [median (IQR): 300 (250–400) mg vs. 300 (200–400) mg, p = 0.0065]. Indeed, weightbased ketamine dosing did not differ for those who did

			By Emergent Sedative	Agent*
	Full Sample	Ketamine	Benzodiazepine	Antipsychotic
N	7973	1996	4137	1532
Cardiac Arrest	11 (0.1)	2 (0.1)	6 (0-2)	2 (0.1)
Invasive Airway Placement	61 (0.8)	40 (2.0)	17 (0.4)	3 (0·2)
Severe Oxygen Desaturation (<75%)	118 (1.5)	51 (2.6)	52 (1.3)	14 (0.9)
Any SAE	165 (2·1)	82 (4.1)	64 (1.6)	17 (1.1)
Positive Pressure Ventilation	120 (1.5)	84 (4-2)	30 (0.7)	3 (0·2)
Any Oxygen Desaturation (<90%)	634 (8.0)	253 (12.7)	302 (7.3)	68 (4-4)
Oral/Nasal Airway	208 (2.6)	123 (6-2)	70 (1.7)	13 (0.9)
Suctioning	46 (0.6)	35 (1.8)	10 (0.2)	1 (0.1)
Any AE	816 (10·2)	360 (18.0)	360 (8.7)	82 (5-4)
Additional Sedation	2800 (35.1)	560 (28.1)	1549 (37.4)	691 (45·1)

Table 2: Frequency of individual adverse events in the full study cohort.

* 308 subjects, including I with cardiac arrest and 2 with any SAE, excluded due to indeterminant first sedative agent. SAE = serious adverse event. AE = adverse event.

and did not experience an SAE [3.8 (3.1–4.6) mg/kg vs. 3.8 (2.5–4.3) mg/kg, p = 0.27]. Similarly, when lorazepam was the initial sedative agent, patients who experienced an SAE received statistically higher doses than those who did not experience an SAE, although again with questionable clinical meaning [2 (2.2) mg vs. 2 (1.2) mg, p = 0.025]. Repeating the dosing analysis using only the paired matched cohorts produced essentially identical results.

Additional analyses

Figs. S1–S3 (Appendix pp 14–16) display the risk of SAEs stratified across various patient and event characteristics. Although the risk difference varied across demographic, clinical or situational strata, these results generally mirrored those of the main analysis. There was no stratum for which initial sedation with ketamine was associated with significantly fewer SAEs.

Linked hospital diagnosis data were available for 2141 patients, and 1554 (72.8%) were assigned mental health related ICD-10 codes. This was consistent across the three initial sedative agents, both in the full sample and in the three pairwise matched cohorts (**Table S7**, Appendix p 10).

Discussion

In this pragmatic analysis including data for more than 400,000 patients presenting to a large cross-section of U.S. EMS agencies with a behavioral problem in 2019, less than two percent of patients received emergent prehospital sedation as the first pharmacologic intervention. Cardiac arrest following emergent sedation was exceedingly rare—occurring in only 11 (0·1%) patients —regardless of the type of sedative used. Overall, 165 patients (2·0%) experienced a SAE. Although SAEs were more frequent when ketamine was the initial sedative agent, this finding might be partly explained by event and patient characteristics that influence the choice of sedative agent. However, SAEs remained more frequent with ketamine even after propensity matching intended to minimize such confounding, although the absolute differences in SAE rates with ketamine vs. other sedative agents was small. While this study did not specifically assess rapidity of onset or efficacy of the sedative types, initial sedation with ketamine was associated with a decreased need for additional sedation.

Invasive airway placement was the most frequent SAE in this study. Some previous case series have reported few intubations following prehospital sedation using ketamine, while others have reported intubation rates ranging between 7% and 63% (see Supplementary Table S1). Those analyses, however, were not limited to emergent sedation and included intubations subsequently performed in the emergency department, which might have been independent of prehospital interventions or indications. Small clinical trials reporting intubation following prehospital sedation, again including non-emergent sedation and intubations performed in the emergency department, have provided mixed results. Cole et al.¹⁹ reported more intubations among patients sedated with ketamine (25/64; 39%) compared with haloperidol (3/82; 4%), and O'Connor et al.20 found more intubations with ketamine (11/95; 12%) than with haloperidol and benzodiazepines combined (1/68; 2%). In contrast, Holland et al.²¹ reported no difference in intubation rates when comparing ketamine (6/97; 6%) with midazolam (5/66; 8%), and Lebin et al.²² reported fewer intubations with ketamine (1/59; 2%) compared with benzodiazepines (17/82; 21%). Few instances of oxygen desaturation were reported in these prior analyses, although it is often unclear whether desaturation did not occur or was simply not evaluated. One prior study did report PPV, with rates that were Articles

	Tre	Treatment	Com	Comparison		Risk Difference
'Treatment' vs. 'Comparison'	SAE	No SAE	SAE	No SAE		with 95% CI
Ketamine vs. Benzodiazepine						
Cardiac Arrest	2	1,896	ო	1,895	•	-0·1% [-0·3%, +0·2%]
Invasive Airway	38	1,860	11	1,887	+	+1.4% [+0.7%, +2.1%]
Severe Desaturation	46	1,852	21	1,877	•	+1.3% [+0.5%, +2.2%]
Any SAE	75	1,823	30	1,868	•	- +2.4% [+1.3%, +3.4%]
Ketamine vs. Antipsychotic						
Cardiac Arrest	~	1,021	\sim	1,020	÷	-0·1% [-0·4%, +0·2%]
Invasive Airway	15	1,007	с	1,019	+	+1.2% [+0.4%, +2.0%]
Severe Desaturation	21	1,001	ω	1,014	•	+1.3% [+0.3%, +2.3%]
Any SAE	32	066	-	1,011	•	- +2.1% [+0.8%, +3.3%]
Benzodiazepine vs. Antipsychotic						
Cardiac Arrest	ю	1,513	2	1,514		+0·1% [-0·2%, +0·4%]
Invasive Airway	4	1,512	с	1,513	ŧ	+0.1% [+0.3%, +0.4%]
Severe Desaturation	19	1,497	14	1,502	•	+0·3% [-0·4%, +1·1%]
Any SAE	22	1,494	17	1,499	-	+0·3% [-0·5%, +1·1%]
					\uparrow	
				Favours 'Treatment'		Eavours 'Comparison'
				-4% -2	-2% 0 +2%	

Figure 2. Incidence and Comparative Risk of Serious Adverse Events in the Three Propensity-Matched Cohorts. SAE = serious adverse event; CI = 95% confidence interval.

slightly higher than those observed in our study: ketamine (7/97; 7%); midazolam (3/66; 5%).²¹ In our study, invasive airway placement occurred approximately 1% to 1.5% more often when ketamine was used for emergent sedation, and severe oxygen desaturation occurred approximately 1% more often. Importantly, the observed

'Treatment' vs. 'Comparison'	Trea AE	Treatment AE No AE	Comp AE	Comparison AE No AE			Risk Difference with 95% CI	erence % CI	d)
Ketamine vs. Benzodiazepine									
Positive Pressure Ventilation	78	1,820	17	1,881			+3.2%[+2.2%,		+4.2%]
Desaturation	238	1,660	156	1,742		۰	+4.3%[+2.4%,		+6-3%]
Oral/Nasal Airway	120	1,778	36	1,862			+4.4%[+3.2%,		+5.7%]
Suctioning	33	1,865	9	1,892			+1-4% [+0-8%,		+2·1%]
Any AE	338	1,560	188	1,710		۰	+7.9% [+5.7%,		+10-1%]
Additional Sedation	532	1,366	754	1,144	ŧ		-11-7% [-14-7%,	7%,	-8-7%]
Ketamine vs. Antipsychotic									
Positive Pressure Ventilation	33	989	с	1,019			+2 9% [+1 8%,		+4-1%]
Desaturation	111	911	38	984		۰	+7-1% [+4-9%,		+9-4%]
Oral/Nasal Airway	55	967	11	1,011			+4·3% [+2·8%,		+5-8%]
Suctioning	18	1,004	~	1,021			+1.7% [+0.8%,		+2.5%]
Any AE	156	866	51	971		¢	+10.3% [+7.7%,		+12-9%]
Additional Sedation	263	759	473	549	Ŧ		-20-6% [-24-6%,		-16-5%]
Benzodiazepine vs. Antipsychotic									
Positive Pressure Ventilation	8	1,508	с	1,513		-8-	+0.3% [-0.1%,	1%,	0-8%]
Desaturation	66	1,417	68	1,448			+2.1% [+0.4%,		+3.7%]
Oral/Nasal Airway	17	1,499	13	1,503			+0-3% [-0-4%,		+1-0%]
Suctioning	2	1,514	~	1,515			+0.1% [-0.2%,		+0·3%]
Any AE	116	1,400	82	1,434			+2.2% [+0.5%,		+4-0%]
Additional Sedation	532	984	685	831			-10-1% [-13-6%,		-6-6%]
				Favours -30	urs 'Treatment' 	Favours 'Comp 0 +15% +30%	barison'		ع ال ال

Figure 3. Incidence and Comparative Risk of Other Adverse Events and Additional Sedative Administration in the Three Propensity-

Matched Cohorts.

AE = adverse event; CI = 95% confidence interval.

rates of SAEs in our study are lower, and the differences in rates for the various sedative agents are smaller, than those reported in randomized trials comparing ketamine with benzodiazepines and antipsychotics for sedation of agitated patients in the emergency department.^{23,24}

Sedative dosing in our study was similar to that in previous observational studies, and we found no clinically meaningful association between initial sedative dose and SAEs. This is consistent with a recent study by Cunningham et al.²⁵ that found no reduction in

intubation rates or "adverse reactions" after their EMS system reduced initial ketamine dosing for agitated patients from 4 mg/kg to 3 mg/kg.

Ketamine has pharmacological advantages, particularly its rapid onset, that make it desirable in critical and difficult cases. In our study, patients emergently sedated with ketamine were less likely to require additional sedation (28% for ketamine; 37% for benzodiazepines; 45% for antipsychotics). Previous studies have reported additional sedative administrations ranging from 0% to 62% for patients sedated with ketamine and from 0% to 40% for patients sedated with benzodiazepines and antipsychotics (Table SI, Appendix pp 3,4). However, the indications for sedation, specific agents, routes of administration and duration of follow-up varied greatly across those studies. In a national survey, paramedics generally viewed ketamine as safe and effective but less than 25% of the respondents' agencies authorized ketamine for emergent sedation in behavioral emergencies.²⁴

While we selected and compared cohorts of similar patients through propensity matching, it remains possible that ketamine administration is a surrogate marker for sicker, higher risk patients, and that residual confounding by unmeasured situational or clinical characteristics explains the increased frequency of SAEs and other AEs when ketamine was the initial sedative agent. For example, ketamine (as well as benzodiazepines) can be used with the intention of facilitating airway management-that is, invasive airway placement might be a planned rather than an untoward post-sedation intervention. However, our focus on patients with behavioral emergencies whom paramedics chose to emergently sedate with an IM injection makes it unlikely that the initial sedative administration was part of a planned airway intervention. Indeed, the median time from first sedative administration to advanced airway placement was 20 min (IQR: 13-31), and only three patients received an advanced airway within five minutes of the first sedative dose (data not shown).

Limitations

The principal limitation of this study is that sedative choice may have been influenced by factors that are difficult, if not impossible, to fully identify in a retrospective analysis. While propensity matching should minimize the risk of confounding, it cannot control for unmeasured variables that might differ between patient groups. Other limitations include that the represented agencies are not a random sample of all U.S. EMS agencies. Nonetheless, this dataset provided a large sample of patients treated by a broad array of U.S. EMS agencies. This study was dependent upon information documented in the EHR. No single EHR field uniquely identifies patients with mental health conditions. Similarly, the EHR does not include any validated measure

of agitation such as the Richmond Agitation Scale²⁷ or Sedation Assessment Tool.²⁸ We therefore used a combination of EHR variables to identify patients presenting with behavioral emergencies and to characterize combativeness. We did not include alcohol or drug intoxication, in the absence of other indications, in our definition of a behavioral emergency because EMS providers frequently encounter patients who have been drinking or using recreational drugs with non-mental health emergencies (e.g., an intoxicated person who has fallen or who is having a myocardial infarction). We also excluded patients with documented conditions like hypoglycaemia or stroke that might mimic behavioral emergencies. That nearly three-quarters of included patients with linked hospital diagnosis data were assigned a mental health related ICD 10 code gives us some confidence in our selection criteria. There are also no established, standardized definitions for AEs associated with emergent prehospital sedation, so we adapted our definitions from those used for in-hospital procedural sedation.¹⁵ Side effects like hypotension and dystonia were not specifically captured as AEs, although we did record suctioning as a potential indirect indication of emesis or hypersalivation. Our inclusion of alcohol or drug use as potential covariates is based on the attending paramedics' impression, not laboratory testing, and also cannot differentiate between specific toxins (e.g., cocaine vs. methamphetamine vs. psychoactive substances). Our dose-response analysis was limited to only the first sedative administration, and additional sedation was classified simply as "yes" or "no." In reality, additional sedation could be with the same or other medications administered either IM or IV.

In summary, post-sedation cardiac arrest and other SAEs were rare among patients presenting to EMS with behavioral emergencies who received emergent prehospital sedation, regardless of the initial sedative agent. While SAEs and other AEs were statistically more frequent when ketamine was the first agent used for emergent sedation, the absolute differences were small and might represent residual confounding between patient acuity, sedative choice, and AEs. Patients initially sedated with ketamine were less likely to require additional sedation. No matter what sedative agent is used, prehospital clinicians should remain mindful of the potential risks associated with emergent sedation, monitor patients closely, and be prepared to intervene if necessary.

LHB, RPC, SSB and JBM conceived the study and all authors contributed to the refinement of the study question and the development of the methodology. LHB and RPC acquired and analyzed the data, which all other authors helped to interpret. LHB, RPC, MLM and BLW drafted the initial manuscript; all authors critically revised the manuscript for important intellectual content. RPC, ARF, SSB and JBM also provided administrative and technical support for the study. LHB and RPC had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the analysis.

Data sharing

The 2019 ESO Research Dataset and supporting materials (e.g., data dictionary) are available from ESO Inc. through their established data request processes (email: research@eso.com). Requests for additional information can be directed to Dr. Crowe (email: remle.cro we@eso.com).

Declaration of interests

The authors declare no competing or conflicting financial interests. RPC, ARF, SSB and JBM are employed by ESO Inc., which provided the underlying data for this analysis. JBM receives ESO stock options as a component of his employment compensation package. JBM has also engaged in advocacy related to prehospital sedation on behalf of the National Association of EMS Physicians and the American College of Emergency Physicians.

Acknowledgments

The authors wish to express their appreciation to ESO for its assistance with the data. The content derived from this dataset remains the property of ESO Solutions, Inc. ESO is not responsible for any claims arising from works based on the original data, text, tables, or figures.

Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j. lana.2021.100183.

References

- I Pajonk FG, Schmitt P, Biedler A, et al. Psychiatric emergencies in prehospital emergency medical systems: a prospective comparison of two urban settings. *Gen Hosp Psychiatry* 2008;20:360–366.
- 2 Prenner C, Lincoln AK. Emergency medical services and "psych calls": examining the work of urban EMS providers. Am J Orthopsychiatry 2015;85:612–619.
- 3 Grange JT, Corgett SW. Violence against emergency medical services personnel. Prehosp Emerg Care 2002;6:186-190.
- 4 Strömmer EMF, Leith W, Zeegers MP, Freeman MD. The role of restraint in fatal excited delirium: a research synthesis and pooled analysis. *Forensic Sci Med Pat* 2020;16:680–692.
- 5 Maguire BJ, Smith S. Injuries and fatalities among emergency medical technicians and paramedics in the United States. *Prehosp Disaster Med* 2013;28:376–382.
- 6 Brice J, Pirrallo RG, Racht E, Zachariah BS, Krohmer J. Management of the violent patient. *Prehosp Emerg Care* 2003;7:48–55.
- 7 Kupas DF, Wydro GC, Tan DK, Kamin R, Harrell AJ, Wang A. Clinical care and restraint of agitated or combative patients by emergency medical services practitioners. Available at: https://naemsp.org/ NAEMSP/media/NAEMSP-Documents/Clinical-Care-and-

Restraint-of-Agitated-or-Combative-Patients.pdf. Accessed 02 February 2021.

- 8 Anonymous. New AMA Policy Opposes "excited delirium" Diagnosis (press release). Chicago, IL: American Medical Association; 2021. Available at: https://www.ama-assn.org/press-center/pressreleases/new-ama-policy-opposes-excited-delirium-diagnosis. Accessed 27 June 2021.
- 9 Anonymous. American College of Emergency Physicians and American Society of anesthesiologists issue joint statement on ketamine use. Available at: https://www.emergencyphysicians.org/ press-releases/2020/8-26-20-american-college-of-emergency-physi cians-and-american-society-of-anesthesiologists-issue-joint-state ment-on-ketamine-use. Accessed o2 February 2021.
- 10 Linder LM, Ross CA, Weant KA. Ketamine for the acute management of excited delirium and agitation in the prehospital setting. *Pharmacotherapy* 2018;38:139–151.
- II Mankowitz SL, Regenberg P, Kaldan J, Cole JB. Ketamime for rapid sedation of agitated patients in the prehospital and emergency department settings: a systematic review and proportional meta-analysis. J Emerg Med 2018;55:670–681.
- 12 Sullivan N, Chen C, Siegel R, et al. Ketamine for emergency sedation of agitated patients: a systematic review and meta-analysis. Am J Emerg Med 2020;38:655–661.
- 13 National EMS Information System. Version 3 national requisite elements. Available at: https://nemsis.org/technical-resources/version-3/version-3national-requisite-elements/. Accessed 02 February 2021.
- 14 ESO. ESO data and research insights. Available at: https://www.eso. com/data-and-research/. Accessed 21 June 2021.
- 15 Mason KP, Green SM, Piacevoli Q, International Sedation Task Force. Adverse event reporting tool to standardize the reporting and tracking of adverse events during procedural sedation: a consensus document from the World SIVA International Sedation Task Force. Br J Anaesth 2012;108:14–20.
- 16 Leuven E, Sianesi B. PSMATCH2: stata module to perform full Mahalanobis and propensity score matching, common support graphing, and covariate imbalance testing. Statistical Software Components S432001, Boston College Department of Economics, revised 01 February 2018.
- 17 Anonymous. Epitab tables for epidemiologists. Available at: stata. com/manuals13/stepitab.pdf. Accessed 01 November 2021.
- 8 World Health Organization (WHO). The ICD-10 Classification of Mental and Behavioral Disorders. Geneva: World Health Organization; 1993.
- 19 Cole JB, Moore JC, Nystrom PC, et al. A prospective study of ketamine versus haloperidol for severe prehospital agitation. *Clin Toxicol* 2016;54:556–562.
- 20 O'Connor L, Rebesco M, Robinson C, et al. Outcomes of prehospital chemical sedation with ketamine versus haloperidol and benzodiazepine or physical restraint only. *Prehosp Emerg Care* 2019;23:201–209.
- 21 Holland D, Glober N, Christopher S, Zahn E, Lardaro T, O'Donnell D. Prehospital sedation with ketamine vs. midazolam: repeat sedation, intubation, and hospital outcomes. Am J Emerg Med 2020;38:1748–1753.
- 22 Lebin JA, Akhavan AR, Hippe DS, et al. Psychiatric outcomes of patients with severe agitation following administration of prehospital ketamine. *Acad Emerg Med* 2019;26:889–896.
 23 Lin J, Figuerado Y, Montgomery A, et al. Efficacy of ketamine for ini-
- 23 Lin J, Figuerado Y, Montgomery A, et al. Ethcacy of ketamine for initial control of agitation in the emergency department: a randomized study. *Am J Emerg Med* 2021;44:306–311.
 24 Heydari F, Gholamian A, Zamani M, Majidinejad S. Effect of intra-
- 14 Heydari F, Gholamian A, Zamani M, Majidinejad S. Effect of intramuscular ketamine versus haloperidol n short-term control of severe agitated patients in emergency department; a randomized clinical trial. Bull Emerg Trauma 2018;6:292–299.
- 25 Cunningham C, Gross K, Broach JP, O'Connor L. Patient outcomes following ketamine administration for acute agitation with a decreased dosing protocol in the prehospital setting. *Prehosp Disaster Med* 2021;36:276–282.
- 26 Buckland DM, Crowe RP, Cash RE, et al. Ketamine in the prehospital environment: a national survey of paramedics in the United States. Prehosp Disaster Med 2018;33:23–28.
- 27 Sessler CN, Gosnell MS, Grap MJ, et al. The Richmond agitationsedation scale: validity and reliability in adult intensive care unit patients. *Am J Respir Crit Care* 2002;166:1338–1344.
- 28 Calver LA, Stokes B, Ibister GK. Sedation assessment tool to score acute behavioral disturbance in the emergency department. *Emerg Med Austral* 2011;23:732–740.