

# Defect Engineering of Hafnia-Based Ferroelectric Materials for High-Endurance Memory Applications

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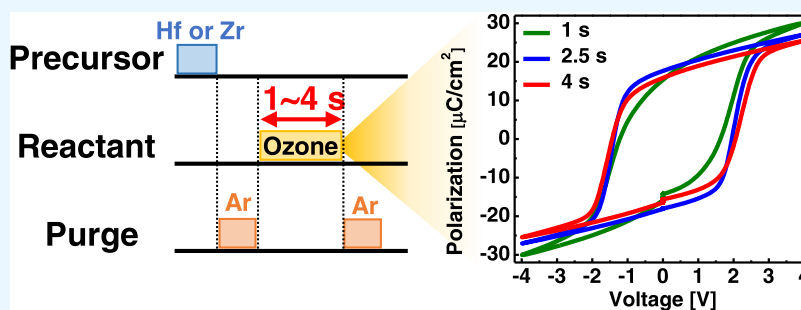
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**ABSTRACT:** Zirconium-doped hafnium oxide ( $\text{HfZrO}_x$ ) is one of the promising ferroelectric materials for next-generation memory applications. To realize high-performance  $\text{HfZrO}_x$  for next-generation memory applications, the formation of defects in  $\text{HfZrO}_x$ , including oxygen vacancies and interstitials, needs to be optimized, as it can affect the polarization and endurance characteristics of  $\text{HfZrO}_x$ . In this study, we investigated the effects of ozone exposure time during the atomic layer deposition (ALD) process on the polarization and endurance characteristics of 16-nm-thick  $\text{HfZrO}_x$ .  $\text{HfZrO}_x$  films showed different polarization and endurance characteristics depending on the ozone exposure time.  $\text{HfZrO}_x$  deposited using the ozone exposure time of 1 s showed small polarization and large defect concentration. The increase of the ozone exposure time to 2.5 s could reduce the defect concentration and improve the polarization characteristics of  $\text{HfZrO}_x$ . When the ozone exposure time further increased to 4 s, a reduction of polarization was observed in  $\text{HfZrO}_x$  due to the formation of oxygen interstitials and non-ferroelectric monoclinic phases.  $\text{HfZrO}_x$ , with an ozone exposure time of 2.5 s, exhibited the most stable endurance characteristics because of the low initial defect concentration in  $\text{HfZrO}_x$ , which was confirmed by the leakage current analysis. This study shows that the ozone exposure time of ALD needs to be controlled to optimize the formation of defects in  $\text{HfZrO}_x$  films for the improvement of polarization and endurance characteristics.

## INTRODUCTION

Ferroelectric materials based on hafnium oxide have the potential to overcome the limitations of perovskite-based ferroelectric materials because of their several advantages, including a low process temperature, high scalability, and complementary metal-oxide-semiconductor compatibility.<sup>1–4</sup> Due to these advantages, zirconium-doped hafnium oxide ( $\text{HfZrO}_x$ ) has been used as a ferroelectric material for negative capacitance transistors, next-generation memory devices, and neuromorphic devices.<sup>5–10</sup> However,  $\text{HfZrO}_x$  has shown a limitation in endurance characteristics compared with perovskite-based ferroelectric materials.<sup>11–14</sup> The degradation of  $\text{HfZrO}_x$  under repeated electrical stress is due to the generation and accumulation of defects.<sup>14,15</sup> Therefore, to improve the endurance characteristics, optimization of the defects in  $\text{HfZrO}_x$  is required.<sup>16,17</sup>

The formation of defects in  $\text{HfZrO}_x$  can be affected by the types of electrodes, annealing temperature, and ozone exposure time of the atomic layer deposition (ALD) process.<sup>14,18,19</sup> In particular, the ozone exposure time may be a critical parameter

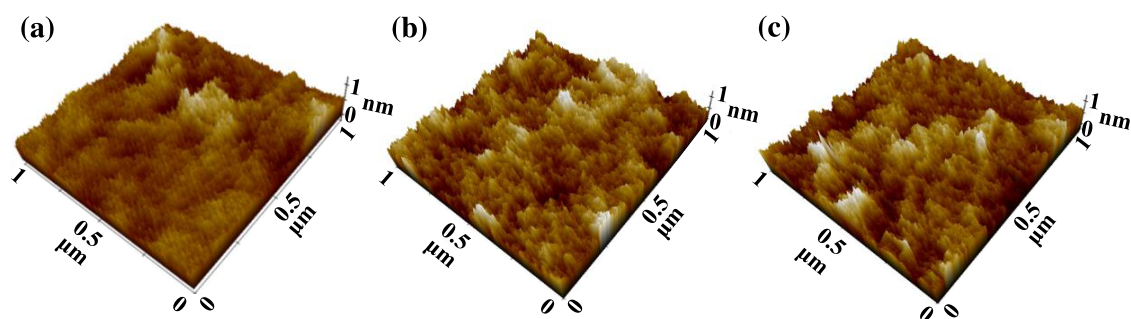
to the formation of oxygen defects in  $\text{HfZrO}_x$ , such as oxygen vacancy and interstitial defects because ozone reacts with the Hf and Zr precursors to form the  $\text{HfZrO}_x$  layer during the ALD process.<sup>19</sup> These defects can affect the leakage current and breakdown of  $\text{HfZrO}_x$  during operation. Previously, it was shown that the formation of the non-ferroelectric monoclinic phase could be suppressed and the growth of the ferroelectric orthorhombic phase could be promoted by optimizing the ozone exposure time.<sup>19–22</sup> Also, due to different phase compositions, the ferroelectric characteristics such as remnant polarization and coercive electric field could be affected by the ozone exposure time.<sup>21,22</sup> However, most of the previous

Received: March 8, 2023

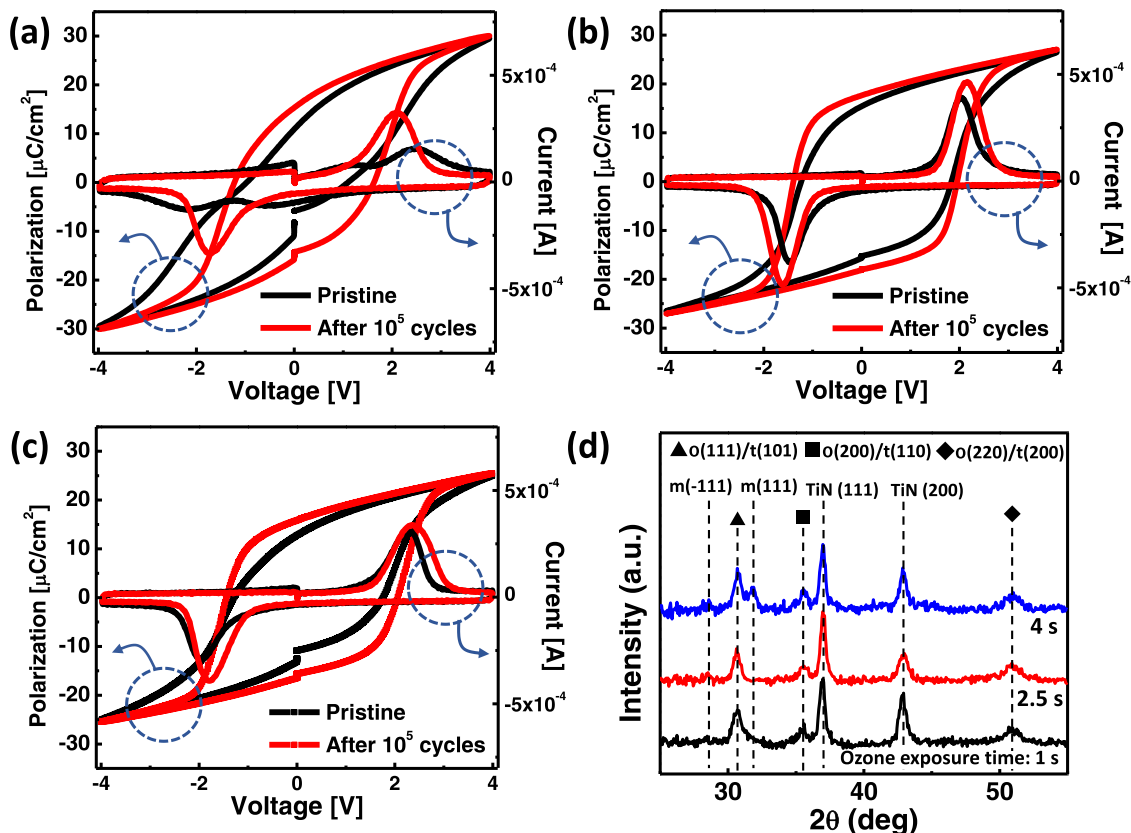
Accepted: April 24, 2023

Published: May 8, 2023





**Figure 1.** Topography images measured by atomic force microscope of HfZrO<sub>x</sub> films with the different ozone exposure times of (a) 1 s, (b) 2.5 s, and (c) 4 s.



**Figure 2.** Polarization–voltage (left y-axis) and current–voltage curves (right y-axis) of HfZrO<sub>x</sub> deposited using ozone exposure times of (a) 1 s, (b) 2.5 s, and (c) 4 s at the pristine state and after 10<sup>5</sup> bipolar pulse cycles. (d) GIXRD patterns with a 2θ range of 25–55° of HfZrO<sub>x</sub> films deposited using the ozone exposure times of 1, 2.5, and 4 s.

studies analyzed the effect of ozone exposure time on the defect and electrical properties of thin HfZrO<sub>x</sub> films with a thickness of <10 nm.<sup>19–22</sup> The impact of the ozone exposure time may be different in thicker HfZrO<sub>x</sub> films due to different in-plane stress induced in the films.<sup>23</sup> Also, as thicker HfZrO<sub>x</sub> films are beneficial for memory applications due to their large memory window, the effect of ozone exposure on the defect and electrical properties of HfZrO<sub>x</sub> should be further analyzed.

In this study, we investigate the polarization and endurance characteristics of a 16-nm-thick HfZrO<sub>x</sub> according to the ozone exposure time. When the ozone exposure time increases from 1 to 2.5 s, a wake-up effect is reduced and the polarization characteristics of HfZrO<sub>x</sub> are improved. A longer ozone exposure time of 4 s induces the reduction of polarization in HfZrO<sub>x</sub>. The effect of the ozone exposure time on the endurance characteristics of HfZrO<sub>x</sub> is investigated. The

optimized ozone exposure time (2.5 s) improves the endurance characteristics of HfZrO<sub>x</sub> by reducing the defects in HfZrO<sub>x</sub>. These results show that optimization of the ozone exposure time is essential to improve the ferroelectric and endurance characteristics of HfZrO<sub>x</sub>.

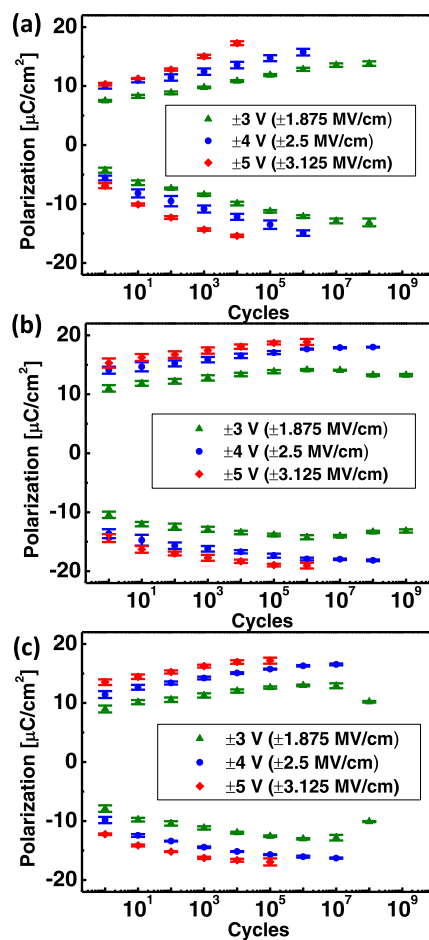
## RESULTS AND DISCUSSION

In this study, a 16-nm-thick HfZrO<sub>x</sub> is used, which can provide a sufficient memory window for memory device applications. As the memory window of the ferroelectric transistor is directly related to the coercive field and thickness of the ferroelectric layer, a thicker HZO is used to achieve a larger memory window.<sup>24,25</sup> The morphology of HfZrO<sub>x</sub> films with different ozone exposure times was investigated (Figure 1). The root-mean-square (RMS) roughness of HfZrO<sub>x</sub> films with ozone exposure times of 1, 2.5, and 4 s were 0.297, 0.412, and 0.428

nm, respectively, which confirmed the uniform and conformal growth of HfZrO<sub>x</sub> films. The RMS roughness increased when the ozone exposure time increased, which could be originated from different phase compositions.<sup>26,27</sup>

The polarization–voltage and switching current–voltage curves of ferroelectric capacitors with the TiN/HfZrO<sub>x</sub>/TiN structure based on different ozone exposure times were measured. When analyzing HfZrO<sub>x</sub> with the ozone exposure time of 1 s, antiferroelectric characteristics were observed at the pristine state.<sup>28,29</sup> The ferroelectric polarization curve was observed after 10<sup>5</sup> bipolar rectangular pulse cycles using a voltage pulse with an amplitude of ±4 V, width of 5 μs, and rise/fall time of 100 ns. In the polarization curve with a 4-V sweep, the positive- (+P<sub>r</sub>) and negative-remnant polarization (−P<sub>r</sub>) were 12.6 and −14.4 μC/cm<sup>2</sup>, respectively (Figure 2a). With an ozone exposure time of 2.5 s, HfZrO<sub>x</sub> showed ferroelectric polarization characteristics at the pristine state. After 10<sup>5</sup> bipolar pulse cycles, +P<sub>r</sub> and −P<sub>r</sub> were 17.4 and −17.2 μC/cm<sup>2</sup>, respectively, which are larger than those of HfZrO<sub>x</sub> with an ozone exposure time of 1 s (Figure 2b). When the ozone exposure time increased to 4 s, the ferroelectric polarization characteristics were still observed at the pristine states. However, +P<sub>r</sub> and −P<sub>r</sub> obtained after 10<sup>5</sup> bipolar pulse cycles decreased to 15.1 and −15.3 μC/cm<sup>2</sup>, respectively (Figure 2c). These results indicated that the ozone exposure time could affect the phase composition of HfZrO<sub>x</sub>.<sup>19–22</sup> To confirm the effects of ozone exposure time on the phases of HfZrO<sub>x</sub>, we analyzed the grazing incidence X-ray diffraction (GIXRD) patterns of HfZrO<sub>x</sub> films with the ozone exposure times of 1, 2.5, and 4 s (Figure 2d). In GIXRD patterns of all HfZrO<sub>x</sub> films, the peaks from the (111), (200), and (220) planes of the orthorhombic phases were observed, which were the origin of the ferroelectricity of HfZrO<sub>x</sub>. These peaks could be a mixture of the orthorhombic and tetragonal phases of (101), (110), and (200) planes.<sup>30,31</sup> For HfZrO<sub>x</sub> films with ozone exposure times of 1 and 2.5 s, the peak from the monoclinic phase was not observed. However, when the long ozone exposure time (4 s) was used, the peak from the (111) plane of the monoclinic phase was observed (Figure S1). When the oxygen source (i.e. ozone) is overexposed, the monoclinic phase can be induced in HfZrO<sub>x</sub> films.<sup>20–22</sup> The formation of the monoclinic phase can reduce the polarization of HfZrO<sub>x</sub> films. Therefore, the ozone exposure time needs to be optimized to stabilize the ferroelectric orthorhombic phase.

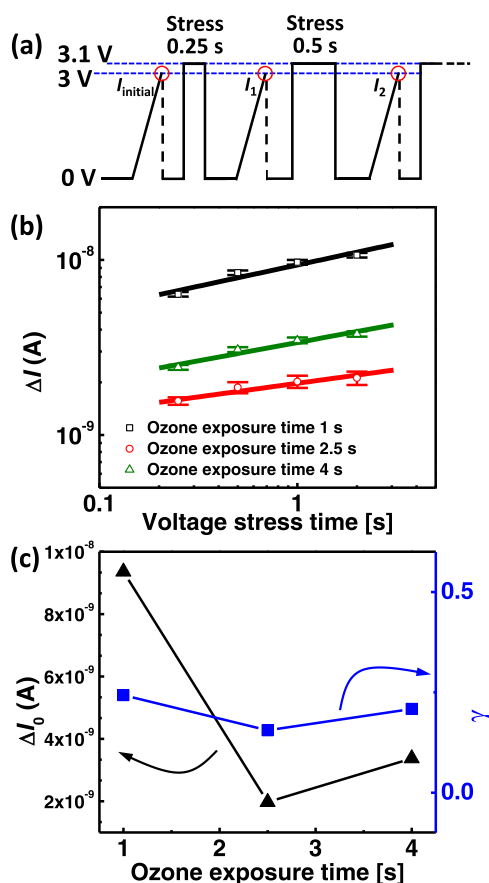
The endurance characteristics of HfZrO<sub>x</sub> with different ozone exposure times were investigated. The devices underwent bipolar rectangular pulse cycles with a width of 5 μs, rise/fall time of 100 ns, and amplitudes of ±3, ±4, and ±5 V (±1.875, ±2.5, and ±3.125 MV/cm). The properties of the devices were measured before and after applying the bipolar pulse cycles. The HfZrO<sub>x</sub> deposited using the ozone exposure time of 2.5 s exhibited the most stable endurance characteristics. With the ozone exposure time of 1 s, HfZrO<sub>x</sub> showed a large increment of polarization during the repeated switching operation, which was called as the wake-up effect (Figure 3a). With a higher voltage, polarization increased, but the endurance characteristics were degraded. When the ozone exposure time increased to 2.5 s, a large increment of polarization was not observed during cycles, and longer endurance cycles were observed (Figure 3b). When bipolar pulses with an amplitude of ±3 V were used, HfZrO<sub>x</sub> could achieve stable polarization characteristics for 10<sup>9</sup> cycles. The breakdown of the HfZrO<sub>x</sub> layer was observed after 5 × 10<sup>9</sup>



**Figure 3.** Endurance characteristics of HfZrO<sub>x</sub> deposited using ozone exposure times of (a) 1 s, (b) 2.5 s, and (c) 4 s (error bars: standard deviation from the measurement of five devices). Bipolar pulses with a width of 5 μs and amplitudes of 3, 4, and 5 V are used.

cycles. With the longer ozone exposure time of 4 s, a wake-up effect similar to HfZrO<sub>x</sub> with an ozone exposure time of 2.5 s was observed, which was smaller than HfZrO<sub>x</sub> with an ozone exposure time of 1 s. However, the endurance characteristics were degraded, and the breakdown of the HfZrO<sub>x</sub> layer was observed after 10<sup>8</sup> cycles (Figure 3c). These differences may originate from an increase in the defects, such as oxygen vacancies or interstitials in HfZrO<sub>x</sub>.

To investigate the defect concentration and generation rate of HfZrO<sub>x</sub>, leakage currents of HfZrO<sub>x</sub> films were analyzed depending on the voltage stress. After applying the voltage stress, leakage currents of HfZrO<sub>x</sub> films deposited using different ozone exposure times were measured (Figure 4a). The leakage current of HfZrO<sub>x</sub> films decreased with an increase in the voltage stress time. This behavior may originate from charge trapping by the newly generated oxygen defects.<sup>32</sup> The change of  $I_{\text{leakage}}$  is defined as  $\Delta I = I_{\text{initial}} - I$ . Here,  $I_{\text{initial}}$  and  $I$  are the leakage currents at the initial state and after applying the voltage stress pulse, respectively. To fit  $\Delta I$  depending on the stress time,  $\Delta I = \Delta I_0 e^{-\gamma t}$  was used.<sup>32,33</sup> Here,  $\Delta I_0$  is proportional to the initial defect concentration (i.e. defect concentration before the voltage stress) and  $\gamma$  represents the defect generation rate.<sup>32–34</sup> Ozone exposure time did not affect the defect generation rates of HfZrO<sub>x</sub>. However, HfZrO<sub>x</sub> films showed different initial defect concentrations depending on the ozone exposure times (Figure 4b). HfZrO<sub>x</sub> with an



**Figure 4.** (a) Measurement sequence to evaluate the leakage current characteristics of  $\text{HfZrO}_x$ . (b) Change of leakage current ( $\Delta I$ ) according to the voltage stress time for  $\text{HfZrO}_x$  deposited using different ozone exposure times (error bars: standard deviation from the measurement of 5 devices). (c)  $\Delta I_0$  and  $\gamma$  of  $\text{HfZrO}_x$  according to the ozone exposure time.

ozone exposure time of 2.5 s showed a smaller initial defect concentration than  $\text{HfZrO}_x$  with ozone exposure times of 1 and 4 s.  $\text{HfZrO}_x$  films with short ozone exposure time (1 s) showed the largest initial defect concentration in analysis based on leakage current. When the ozone exposure time increased to 2.5 s, the initial defect concentration decreased, which may indicate a decrease in oxygen vacancy concentration. However, when the ozone exposure time was further increased to 4 s, the  $\text{HfZrO}_x$  film showed a larger initial defect concentration than the  $\text{HfZrO}_x$  film using the ozone exposure time of 2.5 s (Figure 4c). With overexposure to the oxygen source, defects, such as oxygen interstitials, can be seen in  $\text{HfZrO}_x$  films.<sup>35,36</sup> Oxygen interstitials have been suggested as the origin of stabilizing the monoclinic phase in  $\text{HfZrO}_x$  films.<sup>37–39</sup>  $\text{HfZrO}_x$  film using the ozone exposure time of 4 s showed the peak of the monoclinic phase in GIXRD measurement and the increased initial defect concentration compared with  $\text{HfZrO}_x$  film using the ozone exposure time of 2.5 s. The degraded endurance characteristics of  $\text{HfZrO}_x$  with an increase in the ozone exposure time from 2.5 to 4 s, seem to be originated from the formation of oxygen interstitials. Therefore, the reason for the improved endurance characteristics of  $\text{HfZrO}_x$  with an ozone exposure time of 2.5 s, seems to be the low initial defect concentration, including oxygen vacancies and interstitials. The low initial defect concentration can prevent the breakdown of the  $\text{HfZrO}_x$  layer.<sup>40</sup> As the ALD is based on thermally activated chemical

reactions, the defect concentration can also be affected by the deposition temperature, type of precursors, and reactants.<sup>41–43</sup> However, in this work, only the ozone exposure time during the deposition is changed, and all other parameters are fixed. Thus, the difference between  $\text{HfZrO}_x$  films is thought to be originated from the difference in the ozone exposure time, which can change the concentration of oxygen-related vacancies. In this work, we correlated the leakage current of the hafnia-based ferroelectric transistor with the defect inside the  $\text{HfZrO}_x$  film. Although other sources, such as surface roughness, structural defect, and tunneling, could affect the leakage current, we excluded other sources due to the following reasons: First, if the surface roughness was the main contributor to the leakage current difference, the leakage current of the ferroelectric capacitor with a 4-s ozone exposure time should show the highest leakage current, but the ferroelectric capacitor with a 1-s ozone exposure time showed the highest leakage current. Also, structural defects, such as pin holes or pores, were excluded because the thin film was conformally deposited using ALD (Figure 1). Finally, as the thickness of the ferroelectric thin film used in this work was 16 nm, the direct tunneling through the ferroelectric thin film could be suppressed compared with ferroelectric films with a thinner thickness.<sup>44</sup> Thus, we believe that the main contributor to the leakage current is the oxygen-vacancy-related defects that originated from different ozone exposure times. These results indicate that the optimization of the ozone exposure time can reduce the initial defect concentration and lead to the improvement of endurance characteristics of  $\text{HfZrO}_x$ .

## CONCLUSIONS

In summary, we investigated the effects of ozone exposure time in the ALD process on the ferroelectric and endurance characteristics of  $\text{HfZrO}_x$ . With the short ozone exposure time of 1 s,  $\text{HfZrO}_x$  showed wake-up effects and large initial defect concentrations. When the ozone exposure time increased from 1 to 2.5 s, the wake-up effects and initial defect concentration of  $\text{HfZrO}_x$  were reduced. The further increase of ozone exposure time could induce the formation of oxygen interstitials, which might cause the reduction of polarization. Among the ozone exposure condition (1–4 s), an ozone exposure time of 2.5 s showed the largest polarization and most stable endurance characteristics. These improved characteristics were achieved by the optimization of defects and phase composition in  $\text{HfZrO}_x$  by controlling the ozone exposure time. This study provides a feasible strategy to improve the endurance and ferroelectric characteristics of  $\text{HfZrO}_x$  by optimizing the ozone exposure time for promoting the application of  $\text{HfZrO}_x$  to next-generation memory devices.

## EXPERIMENTAL DETAILS

**Materials.**  $\text{Hf}[\text{N}(\text{C}_2\text{H}_5)\text{CH}_3]_4$  [tetrakis(ethylmethylamido)hafnium (TEMAH)] and  $\text{Zr}[\text{N}(\text{C}_2\text{H}_5)\text{CH}_3]_4$  [tetrakis(ethylmethylamido)zirconium (TEMAZ)] were purchased from UP Chemical, Korea. Si wafers with 100-nm-thick thermally grown  $\text{SiO}_2$  were used as substrates.

**Device Fabrication.** Ferroelectric capacitors with a TiN/ $\text{HfZrO}_x$ /TiN structure were fabricated on the  $\text{SiO}_2$ /Si substrate. First, a 100-nm-thick TiN layer was deposited on the  $\text{SiO}_2$ /Si substrate using direct current sputtering. Then, the 16-nm-thick  $\text{HfZrO}_x$  films were deposited via ALD using the  $\text{HfO}_2$ / $\text{ZrO}_2$  ALD cycle ratio of 1:1 at 280 °C.  $\text{Hf}[\text{N}(\text{C}_2\text{H}_5)-$

CH<sub>3</sub>]<sub>4</sub> (TEMAH), Zr[N(C<sub>2</sub>H<sub>5</sub>)CH<sub>3</sub>]<sub>4</sub> (TEMAZ), and ozone with a concentration of 180 g/m<sup>3</sup> were used as Hf precursor, Zr precursor, and oxygen source, respectively.<sup>5,10</sup> The ozone was inserted into the chamber after Hf and Zr precursors were inserted. During the deposition of HfZrO<sub>x</sub>, ozone exposure times of 1, 2.5, and 4 s were used. The 100-nm-thick TiN electrode formed on the HfZrO<sub>x</sub>. Then, the ferroelectric characteristics of HfZrO<sub>x</sub> were induced by thermal annealing under an N<sub>2</sub> environment for 1 min at 500 °C. The area of the TiN top electrode was 50 μm × 50 μm.

**Characterizations.** All characteristics were measured at room temperature under ambient conditions. The polarization–voltage curves and endurance characteristics of HfZrO<sub>x</sub> with different ozone exposure times were measured using a pulse measurement unit (PMU) (4225-PMU, KEITHLEY Instruments). The leakage current characteristics of HfZrO<sub>x</sub> with different ozone exposure times were measured using a semiconductor parameter analyzer (4200A-SCS, KEITHLEY Instruments). The surface roughnesses of HfZrO<sub>x</sub> layers were measured by atomic force microscopy (Park Systems, NX10). The crystal structures of the HfZrO<sub>x</sub> films were analyzed using the grazing incidence X-ray diffraction (GIXRD) (D/MAX-2500, Rigaku).

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsomega.3c01561>.

Additional data on GIXRD patterns for HfZrO<sub>x</sub> thin films (PDF)

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### Author Contributions

<sup>†</sup>M.-K.K. and I.-J.K. contributed equally to this work. J.-S.L. conceived and directed the research. J.-S.L. and M.-K.K. designed and planned the experiment. M.-K.K. and I.-J.K. performed the experiment and acquired the data. M.-K.K., I.-J.K., and J.-S.L. wrote the manuscript.

### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

This work was supported by Samsung Research Funding & Incubation Center of Samsung Electronics under Project No. SRFC-TA1903-05. This work was also supported by the

National Research Foundation of Korea (NRF-2019R1A2C2084114) and Samsung Electronics Company Ltd. (IO201215-08198-01).

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