

## Research article

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**Measurement of global functional performance in patients with rheumatoid arthritis using rheumatology function tests**

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*Arthritis Res Ther* 2004, **6**:R315-R325 (DOI 10.1186/ar1188)© 2004 Escalante *et al.*; licensee BioMed Central Ltd. This is an Open Access article: verbatim copying and redistribution of this article are permitted in all media for any purpose, provided this notice is preserved along with the article's original URL.**Abstract**

Outcome assessment in patients with rheumatoid arthritis (RA) includes measurement of physical function. We derived a scale to quantify global physical function in RA, using three performance-based rheumatology function tests (RFTs). We measured grip strength, walking velocity, and shirt button speed in consecutive RA patients attending scheduled appointments at six rheumatology clinics, repeating these measurements after a median interval of 1 year. We extracted the underlying latent variable using principal component factor analysis. We used the Bayesian information criterion to assess the global physical function scale's cross-sectional fit to criterion standards. The criteria were joint tenderness, swelling, and deformity, pain, physical disability, current work status, and vital status at 6 years after study enrolment. We computed Guyatt's responsiveness statistic for improvement according to the American College of Rheumatology (ACR) definition. Baseline functional performance data were available for 777 patients, and follow-up

data were available for 681. Mean  $\pm$  standard deviation for each RFT at baseline were: grip strength,  $14 \pm 10$  kg; walking velocity,  $194 \pm 82$  ft/min; and shirt button speed,  $7.1 \pm 3.8$  buttons/min. Grip strength and walking velocity departed significantly from normality. The three RFTs loaded strongly on a single factor that explained  $\geq 70\%$  of their combined variance. We rescaled the factor to vary from 0 to 100. Its mean  $\pm$  standard deviation was  $41 \pm 20$ , with a normal distribution. The new global scale had a stronger fit than the primary RFT to most of the criterion standards. It correlated more strongly with physical disability at follow-up and was more responsive to improvement defined according to the ACR20 and ACR50 definitions. We conclude that a performance-based physical function scale extracted from three RFTs has acceptable distributional and measurement properties and is responsive to clinically meaningful change. It provides a parsimonious scale to measure global physical function in RA.

**Keywords:** Factor analysis, functional performance, outcome assessment, rheumatoid arthritis**Introduction**

Measurement of physical functional limitations in patients with rheumatoid arthritis (RA) is a time-honored strategy to assess the disease's outcome [1]. Performance-based tests of physical function such as grip strength and walking velocity were included in some of the earliest trials of antirheumatic therapy [2]. These tests provide reproducible, quantitative information about a patient's current status and about the prognosis [3,4]. In a paper describing the behavior of functional tests over time in RA, Pincus and Callahan made the analogy between them and commonly used laboratory tests of other organs, referring to performance- and questionnaire-based measures as 'rheumatology function tests' (RFTs) [4].

It is useful to consider RFTs within an overarching conceptual framework of the disease's outcome. We have proposed a disablement framework for studying the development of disability, and possibly other outcomes, in RA [5]. The framework consists of a main disease-disability pathway, which describes the sequential development of pathology, impairment, functional limitation, and, finally, disability [5-9]. Within this framework, performance-based functional tests are well suited to quantify functional limitations, because they entail measurement of physical actions performed by the intact person [8]. A number of different tests are available, and researchers often include more than one in studies. However, the clinical literature is sparse in guiding how to analyze or report research findings when

ACR20(50) = American College of Rheumatology 20% (50%) response criteria; BIC = Bayesian information criterion; MHAQ = modified health assessment questionnaire; RA = rheumatoid arthritis; RFT = rheumatology function test; SD = standard deviation; SF36PF = short-form 36 physical function scale

multiple tests are used. The need for data parsimony may sway investigators to report findings on less than the full set of tests available. We are concerned that if researchers choose this route, important information may be lost.

In an earlier analysis, we used principal component factor analysis to extract the underlying latent variable from three primary disability scales [10]. The distributional and measurement characteristics of the latent disability scale were better than those of the primary scales [10]. In the present analysis, we used a similar approach to extract a global physical performance scale from three primary performance-based RFTs: grip strength; walking velocity over 50 feet; and the timed shirt button test. The resulting latent functional performance scale reflects overall physical function in RA. This data reduction approach may assist investigators who wish to quantify functional limitations in RA.

## Materials and methods

### Patients

From 1996 to 2000, we enrolled patients meeting the 1987 RA criteria [11] in a study of the disablement process in RA [5]. We have described our sample in previous publications [12,13]. The study's acronym, ÓRALE (Outcome of Rheumatoid Arthritis Longitudinal Evaluation), matches a Mexican-American idiom for "Let's go!" Here, we will show cross-sectional results obtained during the recruitment evaluation of each participant.

### Data-collection procedures

Our study was approved by the institutional review board of each of the clinical facilities where we went to recruit patients, and all patients gave their written, informed consent. A physician or a research nurse, assisted by a trained research associate, conducted evaluations at the clinic where the patient was recruited. The evaluation lasted approximately 90 minutes and consisted of a comprehensive interview, a physical examination, a review of medical records, and laboratory and x-ray tests. Interviews were conducted in either English or Spanish, as preferred by the patient.

### Data elements

#### Demographics

We ascertained age, sex, and race/ethnicity by self-report [12,13]. For race/ethnicity, we used the following question: "In which of the following race or ethnic groups do you feel you belong?" Patients could choose from 'White', 'Black', 'Asian', 'Hispanic', and 'Other'.

#### Musculoskeletal examination

A physician or research nurse, trained in joint examination techniques, assessed 48 joints in each patient for the presence or absence of tenderness or pain on motion, swelling, or deformity, as described elsewhere [14].

#### Pain

We asked patients to rate the amount of pain they experienced due to their arthritis during the past week, on a graded, horizontal 10-point scale that we have validated in our patient population [15].

#### Global response measures

We used two scales to measure patients' overall condition. The first, a global assessment of disease activity scale, was completed by the examining physician or nurse. Raters assessed the degree of inflammatory disease activity on a 10-point scale, ranging from 'mildest disease' to 'most severe disease'. Raters were instructed to consider symptoms such as joint pain, stiffness, tenderness, and swelling, as well as the presence of subcutaneous nodules, to rate this variable. The second scale we used was the SF-36 general health subscale [16], which was administered by an interviewer. Patients were asked to respond to the following five statements: (a) "In general, would you say your health is:", with the response options 'excellent', 'very good', 'good', 'fair', and 'poor'; (b) "I seem to get sick a little easier than other people"; (c) "I am as healthy as anybody I know"; (d) "I expect my health to get worse"; and (e) "My health is excellent". Response choices for items (b) to (e) were five-level Likert scales ranging from 'definitely true' to 'definitely false'. Responses to the five questions were recorded, summed, and scaled to range from 0 to 100 [16].

#### Performance-based rheumatology function tests

We used the following tests:

1. Grip strength. This was measured with a hand-held JAMAR® Dynamometer (Sammons Preston; Bolingbrook, IL, USA). In a sitting position, with the elbow held at 90 degrees, and the forearm supported on a flat horizontal surface, patients were asked to squeeze the handle with as much as strength as possible. Three repetitions from each hand were recorded, in kilograms. The mean value of all repetitions for both hands is shown.
2. Walking velocity. Starting from a standing position, patients were asked to walk at their usual pace for a distance of 50 feet, or 25 feet if they had difficulty covering the full distance. No effort was made to conceal the stopwatch used to time the patients. Results are expressed in feet per minute. Patients unable to walk were assigned a velocity of 0 feet per minute.
3. Timed button test. Patients were asked to don a standard eight-button, men's or women's extra-large denim shirt and fasten the front buttons (Wal-Mart; San Antonio, TX, USA). A stopwatch was activated when the patient took the shirt offered by the examiner, and stopped when the last button was fastened. This test quantifies the performance of large and small upper extremity joints. Results are

expressed as buttons per minute. Patients unable to don the shirt were assigned a score of 0 buttons per minute.

#### *Physical disability measures*

We used four measures:

1. The disability index of the Modified Health Assessment Questionnaire (MHAQ) [17], a self-administered, 'arthritis-specific' instrument which asks respondents to rate the amount of difficulty they experience performing eight activities (dressing, getting out of bed, lifting a cup, walking, bathing, bending, turning faucets, and getting in and out of a car), on a scale ranging from 1 to 4 (without difficulty, with some difficulty, with much difficulty, and unable).

2. The physical function scale of the SF-36 questionnaire (SF36PF), an interviewer-administered, 'generic' instrument [16]. The SF36PF asks respondents to rate the amount of limitation caused by their health on 10 physical activities (vigorous activities; moderate activities; carrying groceries; climbing several flights of stairs; climbing one flight of stairs; bending, kneeling or stooping; walking more than a mile; walking several blocks; walking one block; and bathing or dressing). Respondents rated each activity on a three-level scale (a lot, a little, not at all). Item responses were then summed and rescaled, with results expressed on a scale ranging from 0 to 100, higher values representing better function.

3. The Steinbrocker functional classification was used by the physician or the research nurse, who were trained in physical function assessment, to rate the extent of physical disability on a four-level scale, ranging from Class I, "complete functional capacity to carry out all usual duties without handicaps", to Class IV, "largely or wholly incapacitated with [the person] bedridden or confined to wheelchair . . ." [18].

4. A latent physical disability variable was computed by extracting the first principal component from the MHAQ, SF36PF, and Steinbrocker scales, using factor analysis [10]. We extracted this latent physical disability variable scale using a procedure analogous to the one described here for the global functional performance scale and described in detail elsewhere [10].

#### *Work status*

We asked patients to describe their current work status from among the following answers: working full-time; working part-time; retired; student; housewife; unemployed/laid off; and disabled/unable to work. We used these responses for two sets of analyses. For the first, patients were classified as working (full- or part-time) vs not working (all others); for the second, they were classified as disabled/unable vs all others.

#### *Vital status*

We have recontacted the patients at yearly intervals since their initial evaluation. For patients with whom we were not able to establish contact, even through family members, we searched publicly available death registries. We obtained a death certificate for all patients who died.

#### **Analysis**

We performed a principal component factor analysis, using the grip strength, walking velocity, and button speed, and then extracted the first principal component from the unrotated factor loadings, using the least squares regression method [19]. We rescaled the extracted factor to vary from 0 to 100 with a positive valence, higher values representing less disability. We used the skewness and kurtosis test to check each variable for departure from normality [20]. To evaluate the degree of association between the new scale and other study variables with interval or ratio distributions, we used Pearson product moment correlation coefficients [21]. Differences between the coefficients were tested after Fisher's z-transformation [22], using the procedure provided by Goldstein [23]. Because this required us to perform a total of 21 correlation coefficient comparisons, we considered coefficients to be significantly different only if  $P$  was  $\leq 0.002$  for the comparison, adjusted according to the Bonferroni technique (the conventional  $\alpha = 0.05 \div$  number of comparisons = 21). To evaluate the association of the new global functional performance scale with categorical criterion variables, we divided the new scale into ordinal categories and used a chi-square to test the strength of association [21]. We then evaluated the fit of multivariable models that included the new global functional performance scale, compared to models that included the primary RFT. We asked the question: "Does a multivariable model that includes the new global functional performance scale fit criterion standards better than models that include the RFT?" Age and sex were included as covariates in all these multivariable models, because they can have a strong influence on any of the criterion measures we used. A simplified (without coefficients), general form of the models we compared was

$$y = a + b + fp$$

where  $y$  could be any of the criterion standards (working status, vital status, grip strength, etc.),  $a$  was age,  $b$  was sex, and  $fp$  was one of the four functional performance scales (grip strength, walking velocity, button speed, or the new global functional performance scale). When  $y$  was a categorical variable, the model was a logistic regression, and when  $y$  was an interval or ratio variable, the model was an ordinary least squares regression. We expected that the fit of a multivariable model including the new global scale on any of the criterion standards would be equivalent or superior to the fit of models that include the three primary

scales. We used the Bayesian information criterion (BIC) to confirm this expectation [24]. The BIC varies inversely with a model's fit: given two models, the one with the smaller or more negative BIC has a better fit [24]. We used Raftery's guidelines to interpret BIC differences between two models: a BIC difference >10 is considered 'very strong' evidence in favor of the model with the smaller BIC; a difference of >6 to 10 as 'strong'; >2 to 6 as 'positive'; and 0 to 2 as 'weak' evidence [24].

To assess the responsiveness of the primary RFT and the global functional performance scales, we classified patients as improved or unimproved. Available data allowed us to compute the American College of Rheumatology preliminary definition of improvement in RA, with one modification [25]. The definition requires a 20% or 50% improvement in both tender and swollen joint counts, plus a 20% or 50% improvement in at least three of five additional measures. Four of these additional measures were available to us: global assessment of disease activity completed by the examining physician or nurse, 10-point pain scale, MHAQ, and ESR. In place of the patient global assessment required by the definition [25], we substituted the SF-36 general health subscale [16]. We calculated change in the three primary RFTs and the global functional performance scale as the difference between the baseline and follow-up measurements. We used the change scores among improved and unimproved patients to calculate Guyatt's responsiveness ratio for each functional scale [26]. Guyatt's ratio =

$$\frac{\text{Mean change among improved patients}}{\text{Standard deviation of change among stable patients}}$$

We performed all analyses on a desktop personal computer, using the Stata 8 software package (College Station, Texas, USA).

### Results

We recruited 779 patients from 1996 to 2000. The clinical characteristics of the study sample have been described in earlier publications [10,13]. The median age of the patients was 57 years (range 19 to 90 years); 70% were women and 56% were Hispanic. The median number of years of formal education was 12 (range 0 to >16); 21% were working full-time or part-time, and 27% were disabled from work. The median disease duration was 8 years (range 0 to 52). Mean joint counts were 15 tender, 7 swollen, and 10 deformed. Subcutaneous nodules were present in 30% of patients, and rheumatoid factor in 89%.

Of the 779 patients enrolled, 43 (5.5%) died before we could conduct a follow-up functional performance assessment. Of the remaining 736, we measured follow-up functional performance in 676 (92% of survivors), a mean

period of 1.3 years after the baseline assessment (median 1 year, range 6 months to 5 years). An additional 48 patients died after the follow-up measurement, for a total of 101 deaths by July 2003. Significant differences at baseline between the surviving patients who did not participate in the follow-up and those who did participate included slower walking velocity (179 vs 203 feet/minute;  $P = 0.02$ ) and slower shirt button speed (6.2 vs 7.7 buttons per minute;  $P = 0.002$ ) among patients without follow-up assessment. There were no significant differences between the two groups in age or sex, or in the number of tender, swollen, or deformed joints.

Figure 1 is a diagram of the factor analysis we used to derive the global functional performance scale. The three RFTs – grip strength, walking velocity and button speed – loaded strongly on a single factor, with loadings  $\geq 0.8$ . This factor explained  $\geq 70\%$  of the primary variables' combined variance. Uniqueness values were below 0.36 for each of the primary variables, indicating that they share about two-thirds of variance. We extracted the single factor without rotation, using linear regression scoring, to derive the global functional performance scale. The factor scoring coefficients used to derive the scale are shown in the following formula, in which GFP = global functional performance, GS = grip strength, WV = walking velocity, and BS = button speed:

$$GFP = GS \times 0.38033 + WV \times 0.40709 + BS \times 0.40508$$

Figure 1

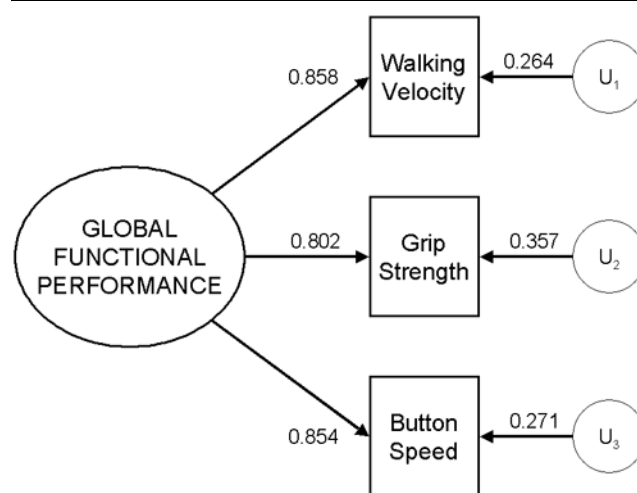
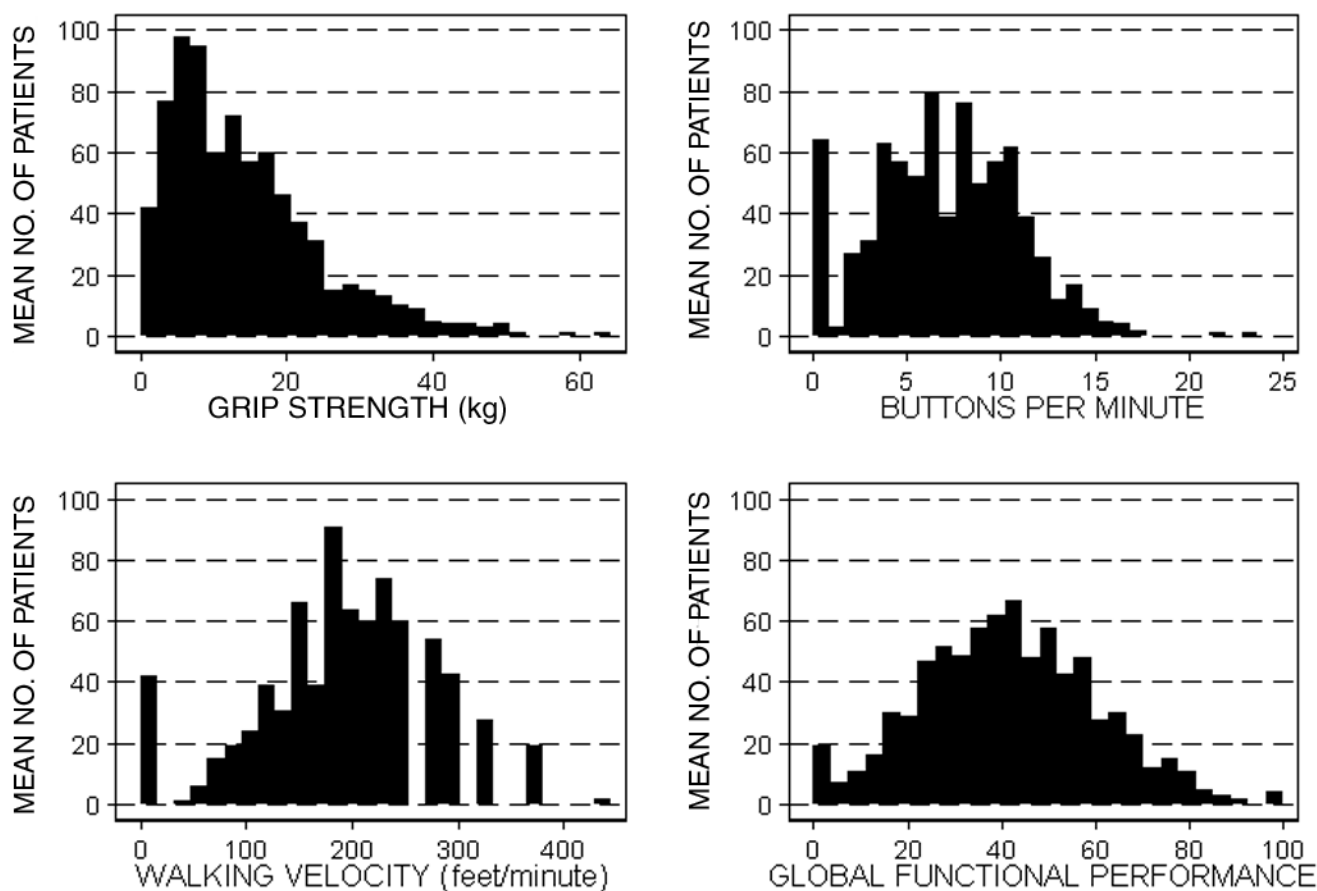


Diagram of the factor analysis conducted to extract the global functional performance scale (oval on left) from primary rheumatology function tests measured on patients with rheumatoid arthritis. The three primary variables are represented by squares. The numbers next to arrows from the extracted to the primary variables are factor loadings. The numbers next to arrows from circles to primary variables represent uniqueness (U), the proportion of the variable that cannot be explained by the other primary scales.

Figure 2



Frequency distributions of the functional performance scales for 779 patients with rheumatoid arthritis. Values (range, mean  $\pm$  SD) were grip strength, 0–62 kg, 14  $\pm$  10 kg; walking velocity, 0–429 feet/min, 194  $\pm$  82 feet/min; shirt button speed, 0–23 buttons/min, 7.1  $\pm$  3.8 feet/min; and global functional performance, 0–100, 42  $\pm$  19.

Figure 2 shows frequency distributions for the three primary scales and the derived global scale. The global functional scale's distribution did not depart significantly from the normal distribution on the skewness-kurtosis test (chi-square 4.01 with 2 degrees of freedom,  $P = 0.13$ ). In contrast, grip strength and walking velocity departed significantly from normality (chi-square 155 and 10.4,  $P = 0.007$  and  $\leq 0.001$ , respectively), with shirt button speed as the one primary test that had normal distribution (chi-square 3.3,  $P = 0.19$ ). Figure 3 depicts a matrix of bivariate distributions between the three primary RFTs and the derived global physical functions scale. The correlation between the latter and the three primary RFTs was  $\geq 0.8$  in all three cases.

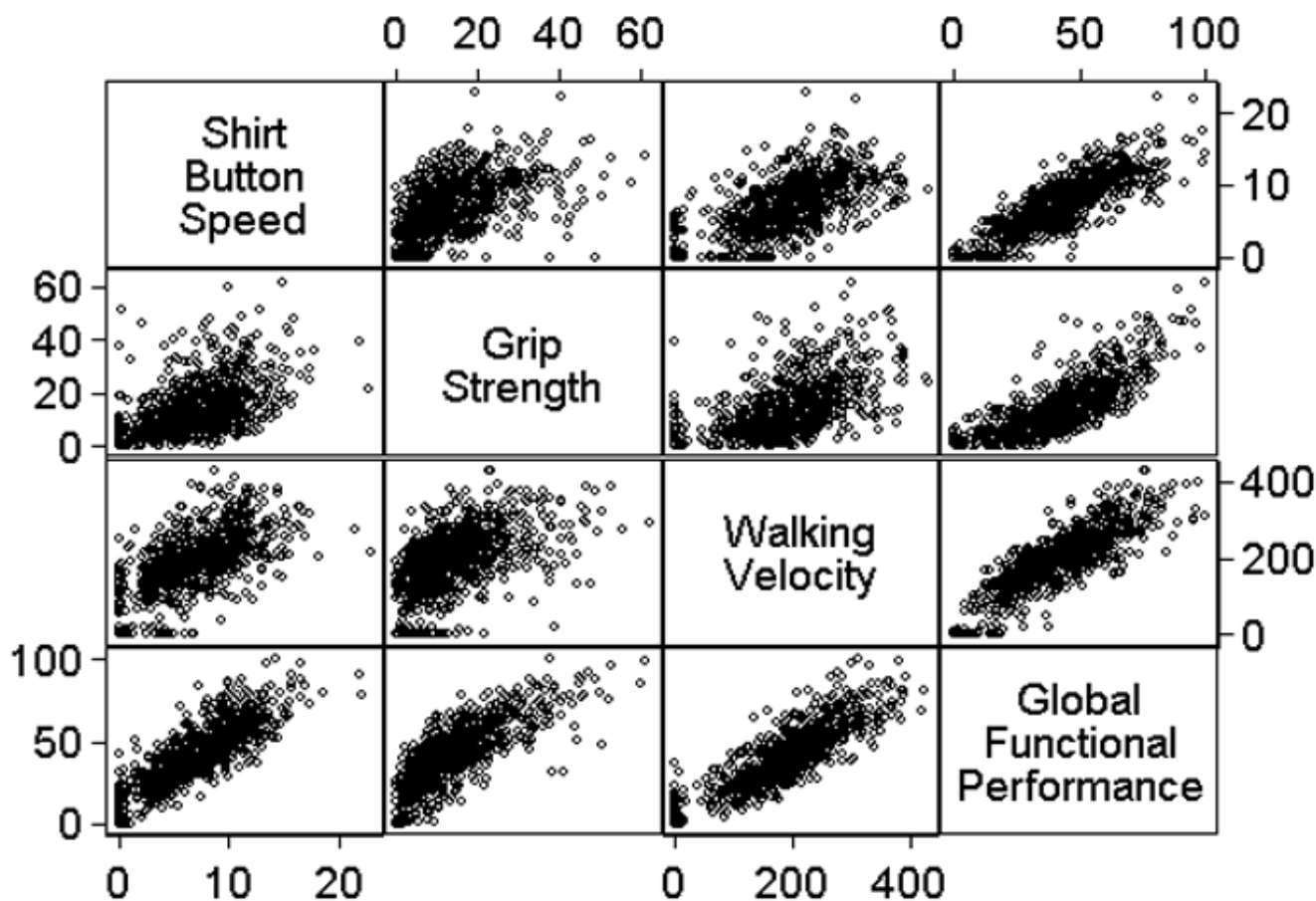
Table 1 shows coefficients of correlation between each of the RFTs, including the new global physical function scale, and the criterion variables of joint tenderness, swelling, and deformity; overall pain; the MHAQ and SF36PF scales, and the Steinbrocker class; and the latent disability scale. For

19 of 24 comparisons, the strength of the correlation between the global physical function scale and the criterion variables was stronger than that between the primary RFTs and the criterion variables.

Table 2 shows the BIC of models that contained age and sex plus either the grip strength, walking velocity, shirt button speed, or global functional performance scale as independent variables, with each of the criterion standards as dependent variables. The fit of the models that included the derived global scale was better than the fit of most of the models that included the primary RFTs. This was evidenced by smaller or more negative BICs on the better-fitting models, as shown in the table.

After a median period of one year, 119 patients (18%) improved sufficiently to meet the ACR50 definition. An additional 117 patients (17%) met the ACR20 definition of improvement. Change in RFT and in the global functional performance scale is shown in Table 3, according to the

Figure 3



Matrix plot showing the bivariate distribution of the three primary rheumatology function tests (grip strength, walking velocity, timed button test, and the global physical function scale) for 779 patients with rheumatoid arthritis. The Pearson correlation coefficients of the global physical function scale with grip strength = 0.80, with walking velocity = 0.86, and with the timed button test = 0.85. All coefficients were significant at  $P \leq 0.0001$ .

level of ACR improvement. The responsiveness of all functional tests was at least moderate. The largest Guyatt's ratio was seen for the global functional performance scale, suggesting that this scale is the most responsive to improvement as defined here (Table 3).

We measured the correlation between assessments performed at the baseline evaluation and the extent of physical disability measured at follow-up (Table 4). Global functional performance correlated significantly more strongly with physical disability at follow-up than did any of the primary RFTs. Global functional performance at baseline also had a stronger correlation with follow-up physical disability than did the baseline number of tender, swollen, or deformed joints, or the baseline primary disability scales, MHAQ, SF36 PF, or Steinbrocker class. Only the baseline latent physical disability exceeded the global functional performance in its correlation with follow-up physical disability (Table 4).

Figure 4 shows the relation between the global physical function scale and the deformed-joint count, current working status, current disabled status, and death occurring during the 6 years of observation covered by the present report. For all comparisons, the global physical function scale was strongly associated with the outcome.

### Discussion

Our objective was to measure the degree of functional limitation in a sample of RA patients. We elected three established, performance-based RFTs: grip strength, walking velocity, and the timed shirt button test [3]. We found evidence that a new variable derived through a data reduction process from the three tests performed better than the primary tests, while meeting the need for data parsimony.

To demonstrate the characteristics of the global functional scale, we used a number of comparison variables, based on the disablement process model [5,10]. Thus, our com-

**Table 1****Correlation between rheumatology function tests (RFTs) and variables measured as criterion standards in 779 patients with rheumatoid arthritis**

Criterion variable	Primary RFT scales			Derived global physical function scale
	Grip strength	Walking velocity	Button speed	
Pain	-0.16	-0.19	-0.13	-0.19**
Tender-joint count	-0.44	-0.37	-0.34	-0.46**
Swollen-joint count	-0.32	-0.16	-0.19	-0.27**
Deformed-joint count	-0.36	-0.35	-0.45	-0.46**
MHAQ	-0.54	-0.60	-0.53	-0.66***
SF36PF	0.52	0.65	0.55	0.68**
Steinbrocker Functional Class	-0.47	-0.63	-0.59	-0.67***
Latent disability scale	0.59	0.72	0.64	0.78***

Pearson correlation coefficients were compared after Fisher's z-transformation [22,23]. Significance of comparisons was set at  $P \leq 0.002$ . \*\*Correlation between criterion variable and global physical function is significantly stronger than with *two* of the primary scales. \*\*\*Correlation between criterion variable and global physical function is significantly stronger than with *three* of the primary scales. MHAQ, Modified Health Assessment Questionnaire; SF36PF, short-form 36 physical function scale.

**Table 2****Comparative fit of multivariate models containing different rheumatology function tests.**

Dependent variable	Rheumatology function test scale included as independent variable in multivariate model <sup>a</sup>			
	Primary RFT scale			Derived global physical function <sup>b</sup>
	Grip strength	Walking velocity	Button speed	
Currently working	-4430	-4455	-4438	-4462***
Currently disabled	-4260	-4283	-4283	-4298***
Death within 6 years	-4673	-4677	-4679	-4689***
Pain	-159	-171	-156	-170**
Tender-joint count	828	894	907	824**
Swollen-joint count	-69	-9	7	-37**
Deformed-joint count	556	620	571	535***
MHAQ scale	-3788	-3880	-3816	-4002***
SF36PF scale	-253	-695	-549	-763***
Steinbrocker functional class	-3564	-3707	-3633	-3787***
Latent disability scale	-3277	-3481	-3334	-3635***

Values shown are Bayesian information criteria. <sup>a</sup>Model's form was  $y = \text{age} + \text{sex} + \text{physical disability scale}$ , where  $y$  = dependent variable. For current working, currently disabled and death by 6 years, the model was logistic; for other variables, model was ordinary least squares. <sup>b</sup>Extracted from a principal component factor analysis of grip strength, walking velocity, and button speed (see Fig. 1). \*\*Strong or very strong support in favor of model that includes the latent variable, over two of the primary tests. \*\*\*Strong or very strong support in favor of model that includes the latent variable, over three of the primary tests. MHAQ, Modified Health Assessment Questionnaire; RFT, rheumatology function test; SF36PF, short-form 36 physical function scale.

**Table 3**

**Responsiveness to change of rheumatology function test (RFT) scales in 676 patients with rheumatoid arthritis**

Status at follow-up	n	Primary RFT scales			Global functional performance change <sup>a</sup> (mean ± SD)
		Grip strength change <sup>a</sup> (kg) (mean ± SD)	Walking velocity change <sup>a</sup> (ft/min) (mean ± SD)	Button speed change <sup>a</sup> (buttons/min) (mean ± SD)	
Unimproved	440	0.42 ± 5.31	5.08 ± 59.73	0.16 ± 2.90	0.92 ± 8.72
Improved ACR20	117	-2.94 ± 5.84	-19.74 ± 51.98	-1.18 ± 3.26	-5.48 ± 9.91
Guyatt's ratio <sup>b</sup>		-0.55	-0.33	-0.40	-0.63
Improved ACR50	119	-4.54 ± 6.56	-30.30 ± 59.68	-1.54 ± 2.94	-7.86 ± 10.53
Guyatt's ratio <sup>b</sup>		-0.85	-0.51	-0.53	-0.90

<sup>a</sup>Change = baseline measurement minus follow-up measurement. <sup>b</sup>Guyatt's ratio is the mean change among improved patients divided by the standard deviation of change among stable patients [26]. ACR20(50), American College of Rheumatology 20% (50%) response criteria; RFT, rheumatology function test.

**Table 4**

**Correlation between baseline measurements and the extent of physical disability at follow-up in patients with rheumatoid arthritis**

Baseline measurement	r value with physical disability at follow-up <sup>a,b</sup>	P for comparison with global functional performance
Global functional performance	-0.72	Referent
Tender joint count	0.40	≤0.001
Swollen joint count	0.19	≤0.001
Deformed-joint count	0.36	≤0.001
Pain	0.45	≤0.001
Grip strength	-0.52	≤0.001
Walking velocity	-0.69	0.03
Timed button test	-0.59	≤0.001
Steinbrocker functional class	0.62	≤0.001
SF-36 physical function	0.67	0.02
MHAQ	0.65	≤0.001

<sup>a</sup>r, correlation between baseline measurements and level of physical disability after a median follow-up of 1 year. <sup>b</sup>Physical disability measured as the first principal component of SF-36 physical function, MHAQ, and Steinbrocker class [10]. MHAQ = Modified Health Assessment Questionnaire [17]; SF-36, short-form 36 questionnaire [16].

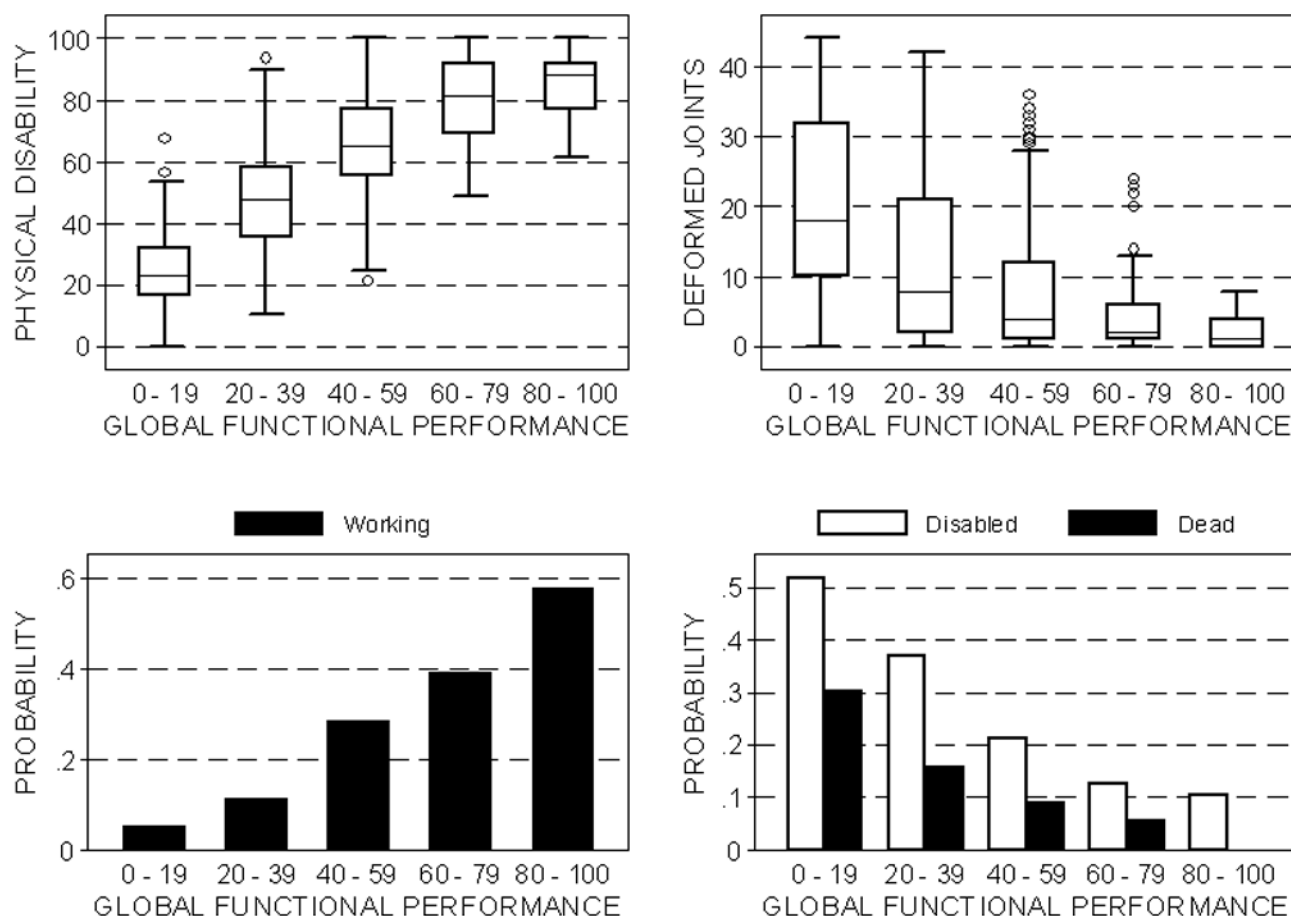
parison criteria included key RA impairments such as the amount of pain and the number of tender, swollen, and deformed joints; and measures of physical disability, including the MHAQ, SF36PF, and Steinbrocker functional class, as well as current occupational status. To be consistent with earlier studies of RFTs [4], we also included death within 6 years as an outcome. We demonstrate significant associations between the new global functional performance score and each of the comparison standards. We chose the BIC as a comparative fit measure because it is a tool used often for model selection [24,27]. We expected that the models that included global functional performance scale would have smaller BICs, indicating better fit. Indeed, this was usually the case: for nearly all of the

variables, the fit of the global scale was superior to that of the primary measures of grip strength, walking velocity, or shirt button speed.

We also evaluated the ability of these performance-based measures to respond to clinical change. With the data available to us, we could compute the ACR20 and ACR50 improvement definitions, with one exception: we lacked a patient global assessment scale [25]. In its place, we used the general health subscale of the SF-36. We estimate that the global functional performance scale is more responsive to clinically significant improvement than are the primary RFTs. However, it should be noted that improvement among our patients was not in response to a specific inter-



Figure 4



Box-whisker plots showing the relation between the global functional performance scale and the comparison measures for 779 patients with rheumatoid arthritis. The horizontal line in each box in the two top graphs represents the median, and the box height represents the interquartile range; whiskers represent the range of adjacent data. The deformed-joint count (top left) decreased with rising global functional performance, trend  $P \leq 0.001$ ; physical disability decreased (top right); trend  $P \leq 0.001$ . The probability of working full-time or part-time increased (bottom left), and the probability of work disability and death within 6 years decreased (bottom right); trend  $P \leq 0.001$  for each.

vention. Because of this, further research is necessary to test the responsiveness of the global functional performance scale to specific intervention, and to distinguish between active drug and placebo in a clinical trial.

Pooled indices are often more reliable than the individual components of an index [28]. This is most likely due to improved capture of an underlying construct when multiple scales are used, in contrast with a single instrument. There are precedents in rheumatology for developing pooled indices, usually as part of efforts aimed at measuring the efficacy of antirheumatic drugs [29-32]. We have previously applied this data reduction strategy to develop a physical disability scale, using a generic scale, an arthritis-specific one, and an observer-assessed functional status grade [10]. Similar processes could be applied to develop

summary scales for other RA dimensions, such as disease damage or joint impairment.

The polyarticular nature of RA usually causes a global limitation in joint function. This characteristic of RA makes a global functional scale valuable for investigators who wish to capture the full impact of RA on a patient's performance. However, each of the RFTs we chose is influenced by different upper and lower extremity properties: hand prehensile strength for the grip measure; large and small upper extremity joint range and dexterity for the shirt button test; and lower limb strength; joint stability; and overall balance for walking velocity. The many-sided quality of the three tests works against the aim of measuring global performance as a single construct. Our approach was to use principal component factor analysis to extract the shared

component from the three scales. Indeed, the three primary tests loaded strongly on a single factor that explained 70% of the variance of the three scales.

We believe this approach is suited for research focusing on RA patients' total level of functional limitation, as is the case in our and other studies aiming to map the outcome of RA in patients over time. It may also be a reasonable approach to measure the effectiveness of therapies that reach all joints, such as antirheumatic drugs. Although performance-based RFTs such as grip strength or walking velocity were often included in antirheumatic drug trials in the past, investigators did not attempt to condense them as we have done. These tests have usually not been included in recent trials of antirheumatic drugs. It may be of interest to re-evaluate the role of performance-based RFTs in antirheumatic drug trials, using the approach we used here to tap into the underlying construct. Our initial estimate of the responsiveness of the global scale responsiveness suggests that its use could lead to more efficient clinical trials.

It should be mentioned that investigators who aim to measure regional joint performance more specifically can still do so using the primary RFTs. For example, a study aiming to assess the impact of lower-limb joint replacement on functional performance may be better off using the walking velocity. Likewise, interventions aimed at increasing upper-limb performance may wish to use the grip strength or button speed instead of the global scale.

As we have pointed out previously [10], our approach is data-driven. The global functional performance scale is derived after all data collection has been completed. Researchers planning to use the approach we have outlined can define the primary outcome scales in advance of a study (i.e. grip strength, walking velocity, and button speed in the present analysis). Expected effect sizes on the extracted variable can be used to compute statistical power and the needed sample size. As we have found, it is likely that with this approach, the extracted latent variable will exceed the primary scales in performance.

## Conclusion

In conclusion, we have used principal component factor analysis to derive a global functional performance scale to measure the functional limitation stage in the process of disablement in RA. The new variable outperforms the primary scales in a number of tests of association and fit with criterion standards, and in response to clinically significant change. This approach may be used to develop latent variables measuring other RA disease components, such as disease activity and damage.

## Competing interests

None declared.

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