Immunization with Small Amyloid-β-derived Cyclopeptide Conjugates Diminishes Amyloid-β-Induced Neurodegeneration in Mice

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Abstract.

Background: Soluble oligomeric (misfolded) species of amyloid- β (A β) are the main mediators of toxicity in Alzheimer's disease (AD). These oligomers subsequently form aggregates of insoluble fibrils that precipitate as extracellular and perivascular plaques in the brain. Active immunization against A β is a promising disease modifying strategy. However, eliciting an immune response against A β in general may interfere with its biological function and was shown to cause unwanted sideeffects. Therefore, we have developed a novel experimental vaccine based on conformational neo-epitopes that are exposed in the misfolded oligomeric A β , inducing a specific antibody response.

Objective: Here we investigate the protective effects of the experimental vaccine against oligomeric $A\beta_{1-42}$ -induced neuronal fiber loss *in vivo*.

Methods: C57BL/6 mice were immunized or mock-immunized. Antibody responses were measured by enzyme-linked immunosorbent assay. Next, mice received a stereotactic injection of oligomeric $A\beta_{1-42}$ into the nucleus basalis of Meynert (NBM) on one side of the brain (lesion side), and scrambled $A\beta_{1-42}$ peptide in the contralateral NBM (control side). The densities of choline acetyltransferase-stained cholinergic fibers origination from the NBM were measured in the parietal neocortex postmortem. The percentage of fiber loss in the lesion side was determined relative to the control side of the brain. **Results:** Immunized responders (79%) showed 23% less cholinergic fiber loss (p = 0.01) relative to mock-immunized mice. Moreover, fiber loss in immunized responders correlated negatively with the measured antibody responses ($\mathbb{R}^2 = 0.29$, p = 0.02).

Conclusion: These results may provide a lead towards a (prophylactic) vaccine to prevent or at least attenuate (early onset) AD symptoms.

Keywords: Alzheimer's disease, amyloid- β protein (1–42), cholinergic fibers, cyclopeptides, immunization, mice, nucleus basalis of Meynert, stereotactic injection

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INTRODUCTION

With the worldwide aging populations growing, the burden and prevalence of Alzheimer's disease (AD) is rapidly increasing. Over the next years, AD may constitute an epidemic with high levels of individual suffering and staggering health care costs [1]. AD is characterized by its pathological features of extracellular amyloid- β (A β) plaques and tau protein aggregates known as intracellular neurofibrillary tangles. Although characteristic for AD, it is generally believed that both AB plaques and neurofibrillary tangles are relatively non-toxic. Indeed, AB plaque load does not directly correlate with clinical symptoms of patients [2, 3]. Instead, soluble oligomeric forms of A β are believed to be the main mediators of toxicity in AD [4-8]. These oligomeric species subsequently form aggregates of insoluble fibrils that precipitate as extracellular and perivascular plaques in the brain. In addition, intracellular aggregates of phosphorylated tau protein are formed at a later stage of the disease [9, 10]. Based on this sequence of events it seems consequent to consider an immunization strategy directed against epitopes specific for the misfolded oligomeric forms of AB. Since 1999 [11], there has been considerable interest in passive or active immunization with AB or AB-derived constructs against AD, although a breakthrough has not yet been reached [12–19].

In a previous study, we showed that tetanus toxoid (TTd) conjugates of small cyclic peptides derived from A β , in particular cyclo[A β_{22-28} -YNGK']-TTd, induced antibodies in Balb/c mice that cross-react *in vitro* with oligomeric and fibrillar A β_{1-42} and amyloid plaques in mouse and human brain tissue. The antibodies did not recognize the homologous unmodified linear peptide or the amyloid- β protein precursor, indicating a high specificity for misfolded A β [20].

Testing the neuroprotective effect of AD-related vaccines or compounds in *in vivo* models is rather complicated and in most cases indirect. Mutant mice with phenotypes resembling AD pathology often do not show an overt neurotoxicity, and the protective function of compounds or vaccines can only be evaluated by indirectly measuring the postmortem plaque load or by analyses in living mice such as electrophysiological changes and/or cognitive/behavioral changes. In the present study, we have investigated if immunization can induce protection against acute oligomeric A β_{1-42} -induced neurodegeneration of the cholinergic nucleus basalis magnocellularis of Meynert (NBM) in C57BL/6 mice. This *in vivo* model combines several advantages for testing compounds

in proof of concept studies and reflects some essential hallmarks of AD pathology. The NBM is one of the early regions to be affected during AD and the overall neuropathology in this model, including cholinergic denervation and microglial activation, features much of the pathology found in AD patients [21]. The NBM lesion model provides the means to test directly the neuroprotective effect of the active immunization using cyclo-AB peptides. Cholinergic fibers emanating from the NBM innervate cortical and hippocampal brain areas. Loss of this innervation can be quantified by measuring fiber density and used to determine neuroprotective strategies in AD [21-23]. Injecting a validated amount of neurotoxic oligometric A β_{1-42} into the NBM creates an acute lesion with $\sim 40\%$ loss of cholinergic neurons [21]. Here we provide evidence that active immunization using a trivalent vaccine consisting of cyclo[AB22-28-YNGK'], cyclo[Aβ₂₃₋₂₉-YNGK'], and cyclo[AB22-29-YNGK'] peptide TTd conjugates provides significant protection in the $A\beta_{1-42}$ -induced NBM lesion model.

MATERIALS AND METHODS

Materials

Lyophilized human $A\beta_{1-42}$ (DAEFRHDSGY¹⁰ EVHHQKLVFF²⁰AEDVGSNKGA³⁰IIGLMVGGV V⁴⁰IA) was purchased from AnaSpec (Freemont, CA, USA) or rPeptide (USA). Scrambled-sequence A β_{1-42} was purchased from rPeptide (USA). Monophosphoryl lipid A (MPL) was purchased from Sigma-Aldrich (Lipid A, monophosphoryl from Salmonella enterica serotype minnesota Re 595, Re mutant). An aqueous suspension of aluminum hydroxide (Alhydrogel 2%, 10.3 mg Al³⁺/ml) was purchased from Brenntag (Frederikssund, Denmark), and monoclonal antibody 6E10 against Aβ₁₋₁₇ from Covance Research Products (Dedham, MA, USA). Tetanus toxoid (TTd) was produced by the former Vaccine Division of the National Institute for Public Health and the Environment (Bilthoven, The Netherlands).

Animals and housing

Experiments were performed using 33 male C57BL/6JRj mice (Janvier, Le Genest-St-Isle, France), 6–8 weeks old at arrival. All mice were housed individually in macrolon type II cages (length 35 cm, width 15 cm, height 13.5 cm, Bayer, Germany), with sawdust as bedding and shred-

ded cardboard as nesting material. The mice were kept in a climate room with controlled temperature $(22 \pm 1^{\circ}C)$ and humidity $(55 \pm 10\%)$. A light/dark (LD) schedule (12 h light - 12 h dark; lights on at 07:00 h GMT+1 h; ± 50 lux) was maintained. Food (standard rodent chow: RMHB/ 2180, Arie Block BV, Woerden, NL) and normal tap water were available *ad libitum*. Cages were cleaned at least every two weeks. All mice were checked daily for food/water/health/activity/abnormal behavior. All procedures were in accordance with the regulation of the ethical committee for the use of experimental animals of the University of Groningen, The Netherlands (License number DEC 6579A).

Experimental outline

Mice were habituated to the climate room and housing conditions for seven days before receiving the first round of immunization. The mice were immunized by subcutaneous injection in the groin with either our trivalent vaccine (referred to as 'immunized' mice, n = 24) or mock vaccine (referred to as 'mock-immunized' or 'mock' mice, n=9). Three, four, or five immunizations were performed with three-weekly intervals. An overview of the experimental procedures is provided in Fig. 1. Twelve days after all mice had received the third immunization, blood samples were collected from the tail vein and the derived serum samples were analyzed the next day (day 55) by enzyme-linked immunosorbent assay (ELISA). Based on the anti-oligometric $A\beta_{1-42}$ endpoint titers obtained (ELISA 1), eight immunized and three mock-immunized mice were selected for stereotactic AB1-42-induced NBM lesion surgery which was performed the next day (group A, day 56, two weeks after the third immunization). Remaining mice received a fourthimmunization (on day 63) and another tail puncture after twelve days (on day 75). Based on the titers obtained (ELISA 2), eight immunized and four mock-immunized mice were selected for $A\beta_{1-42}$ induced NBM lesion surgery (group B, day 77, two weeks after the fourth immunization). Remaining mice (group C: eight immunized, two mock-immunized mice) received a fifth immunization (on day 84) and received AB1-42-induced NBM lesion surgery two weeks later (day 98). Mice from each group were transcardially perfused ten days after NBM lesion surgery. Final blood samples were collected from the heart just before perfusion and derived serum samples were analyzed later (ELISA 3). Brains were isolated for further immunohistochemical analysis.

Peptide synthesis and purification

The synthesis and purification of the cyclic peptides cyclo[A β_{22-28} -YNGK'], cyclo[A β_{23-29} -YNGK'], and cyclo[A β_{22-29} -YNGK'], in which K' is N^{e} -(S-acetylmercaptoacetyl)lysyl for conjugation purposes, was performed as described earlier [20, 24].

Preparation of conjugates and vaccines

The peptides were coupled to either bromoacetylated TTd (to obtain vaccine components) or maleimidyl-modified bovine serum albumin (BSA) (to obtain ELISA coating antigens) [25]. Bromoacetylated TTd was also capped with 2aminoethanethiol, without prior incubation with peptide, to obtain the protein for the mock vaccine (capped TTd).

Vaccine preparation

Aliquots (300 or 120 µl) of a solution of MPL (1.0 mg/ml) in chloroform/methanol/water, 74/23/3, were evaporated to dryness in round bottom polypropylene tubes. MPL films obtained from 300 µg samples were reconstituted with 0.60 ml of each cyclopeptide-TTd conjugate (0.50 mg/ml in physiological salt), followed by 0.58 ml Alhydrogel. MPL films obtained from 120 µg samples were reconstituted with 0.72 ml of capped TTd (0.50 mg/ml in physiological salt), followed by 0.23 ml Alhydrogel. The tubes containing the suspensions were left on a roller mixer for 1 h at room temperature and thereafter stored overnight at 4°C). Then, the concentrated vaccine was diluted with 6.62 ml of physiological salt and the concentrated mock vaccine with 2.65 ml physiological salt. One dose of 0.3 ml of vaccine contained 10 µg of each cyclopeptide-TTd conjugate, 10 µg MPL, and 0.2 mg Al³⁺. One dose of 0.3 ml of mock vaccine contained 30 µg capped TTd, 10 µg MPL, and $0.2 \,\mathrm{mg} \,\mathrm{Al}^{3+}$.

ELISA

Wells of microtiter plates (Greiner 655092) were coated with oligomeric $A\beta_{1-42}$, peptide-BSA conjugates or TTd. Freshly prepared $A\beta_{1-42}$ oligomers were diluted to a final concentration of 2.5 μ M (11.3 μ g/ml) in 0.04 M sodium carbonate/bicarbonate buffer, pH 9.7. Peptide-BSA conjugates and TTd were diluted to a total concentration of 0.5 μ g/ml in the same carbonate/bicarbonate



Fig. 1. Experimental overview. Mice were randomly assigned to be mock-immunized (mock) or immunized. All mice received three rounds of subcutaneous injections in the groin with a 3-week interval. Mock mice received mock vaccine, immunized mice received our trivalent vaccine. Blood samples were collected from the tail vein and the sera was analyzed by ELISA. Based on the anti-oligomeric $A\beta_{1-42}$ endpoint titers obtained (ELISA 1), eight well-responding immunized mice and three mock mice were selected for oligomeric $A\beta_{1-42}$ -induced NBM lesion surgery (group A). Remaining mice received a fourth immunization and another tail puncture. Based on the titers obtained (ELISA 2), eight immunized and four mock mice were selected for NBM lesion surgery (group B). Remaining mice (group C: eight immunized, two mock mice) received a fifth immunization before NBM lesion surgery. Mice from each group were transcardially perfused ten days after NBM lesion surgery. Final blood samples were collected from the heart just before perfusion and sera obtained were analyzed later (ELISA 3). Experimental days are indicated on the left.

buffer. Aliquots (100 μ l) of these solutions were transferred into wells of the plates. The plates were incubated for 90 min at 37°C, emptied and washed twice with tap water containing 0.05% Tween 80. The plates were incubated with threefold serial dilutions of the serum samples in phosphate buffered saline containing 0.1% Tween 80. Monoclonal antibody 6E10 was used as positive control on plates coated with oligomeric A β_{1-42} . The starting dilution for determination of anti-oligomeric A β_{1-42} titers and anti-linear[A β_{1-15} -C] titers was 1/150. For determination of anti-cyclopeptide and anti-TTd titers, a starting dilution of 1/1500 was used. The plates were further processed as described earlier [26]. Endpoint titers were defined as the dilution, which gave an optical density (OD) reading identical to the mean OD plus three times standard deviation of sera of mice immunized with mock vaccine [27].

Preparation of oligometric $A\beta_{1-42}$ for stereotactic NBM lesions

Oligomeric $A\beta_{1-42}$ was prepared as described before [28–30]. In short, lyophilized $A\beta_{1-42}$ peptide

(rPeptide, USA) was dissolved in 1,1,1,3,3,3hexafluoro-2-propanol (HFIP, Sigma-Aldrich, St. Louis, MO, USA) at a concentration of 1 mM. The peptide solution was aliquoted and the HFIP removed by evaporation at room temperature in a flow cabinet for 3 h. The dry peptide films were stored at -20° C until further processing. Before use, A β_{1-42} films were dissolved in anhydrous DMSO to 5 mM and subsequently diluted in neurobasal medium to a final concentration of 250 μ M. The solution was incubated at 4°C for 24 h to enable A β_{1-42} oligomerization. Scrambled A β_{1-42} control peptide was processed using exactly the same procedure.

Stereotactic $A\beta_{1-42}$ -induced NBM lesions

Mice were anaesthetized with an isoflurane/oxygen gas mixture and received 60 µl finadyne s.c. (1 mg/ml, Schering-Plough NV/SA, Brussels, Belgium). Mice were then placed in a stereotact (Kopf instruments model 900, Tujunga, CA, USA) secured with cheek bars and a tooth-bar/nose clamp. The stereotact was equipped to support maintenance of the isoflurane/oxygen anesthesia. Eyes were protected against dehydration by applying Vita-Pos[®] salve (Ursapharm) and the shaved skin was disinfected with 70% ethanol. A small medial incision was made from bregma to lambda. The periost was gently scraped away with a scalpel and the scalp was cleaned using cotton swaps. A hole was drilled in the skull and the dura was punctured with a needle. A 1 μ l Hamilton syringe was slowly lowered into the brain (coordinates: 0.6 mm posterior to bregma; 2.1 mm lateral to the sagittal suture). $0.2 \,\mu$ l of $250 \,\mu$ M oligomeric AB1-42 was slowly (0.1 µl/min) injected at 4.6 and 4.4 mm ventral to the dura (total unilateral injection amount: 0.4 µl, 100 pmol). The stereotactic coordinates had been confirmed by several pilot experiments in which trypan blue was injected into the NBM and traced (postmortem) to the NBM. After injection, the syringe was kept in place for 2 min at each injection site to optimize diffusion into the brain and to limit spread of the solution during withdrawal of the needle. The same procedure was followed on the contralateral side of the brain, where scrambled $A\beta_{1-42}$ control peptide was injected. Left/right injection of the peptides were randomized between mice. After contralateral injection, mice were immediately removed from the stereotact. The head wound was sutured (Ethicon perma-hand N266 5-0), disinfected with Povidine-iodine (Betadine[®]) and mice received an i.p. injection of 0.5 ml warm $(\pm 25^{\circ}C)$ saline/glucose (0.45% NaCl+2.5% glucose). Mice were placed back in their homecage and kept under a IR heat lamp for 24 h.

Transcardial perfusion

At postoperative day 10, under deep pentobarbital anesthesia, mice were perfused transcardially for 1 min with 0.9% NaCl+0.5% heparin (400U) in H₂O (15 ml/min), followed by 150 ml 4% paraformaldehyde (PF) in 0.1 M phosphate buffer (PB) for fixation. Brains were collected, post fixated for 24 h in 4% PF in 0.1 M PB, rinsed for one day in 0.01 M phosphate buffered saline (PBS, pH 7.4) and then kept overnight in 30% sucrose in PBS cryoprotectant at room temperature. Brains were frozen using liquid nitrogen and stored at -80° C. The brains were cut in 20 µm coronal sections using a cryotome, collected in PBS (0.01 M, pH = 7.4) containing 0.1% sodium azide and kept at 4°C until choline acetyltransferase (ChAT) immunostaining.

Immunohistochemical ChAT staining

The free floating brain sections were rinsed three times (rinsing was always performed in 0.01 M PBS, pH = 7.4, for 5 min on a shaker) before being incubated for 30 min in 0.3% H₂O₂ in PBS. Next, the brain slices were rinsed five times before pre-incubation for 1 h at room temperature (RT) in PBS containing 5% normal rabbit serum (NRS, Zymed, San Francisco, CA, USA) and 0.4% Triton X-100. Subsequently, sections were incubated for 3 days at 4°C in the primary antibody solution containing 1:333 diluted goat anti-ChAT IgG (Millipore, Billerica, MA, USA), 1% NRS, 0.5% BSA, and 0.4% Triton X-100 in PBS. Next, brain sections were rinsed five times and thereafter incubated at room temperature for 4 h in the secondary antibody solution containing rabbit anti-goat IgG 1:500 (Sigma), 1% NRS, 0.2% Triton X-100, and 0.5% BSA in PBS. Afterwards, sections were rinsed five times before being incubated at RT for 2h with Vectastain Elite ABC Kit (Vector Laboratories, CA, USA). Both the 'A' and 'B' solution were diluted to 1:500. The staining was completed with nickel-enhanced (BDH Chemicals Ltd., UK) diaminobenzidine (DAB) reaction in the presence of H2O2. The next day, slices were mounted on glass from a 1% gelatin+0.01% aluin solution, dried overnight and defatted/dehydrated through respectively 100% EtOH, 100% EtOH, 70% EtOH+30% Xylol, 30% EtOH+70% Xylol, 100% Xylol, 100% Xylol, 100% Xylol. Glass preparations were cover slipped using DPX mountant, dried for two days and then cleaned.

Quantitative image analysis

The parietal neocortex is topographically the target of the afferent cholinergic pathway from the NBM sites where the $A\beta_{1-42}$ oligomers were injected. The quantification procedure was established in our laboratory and described in detail in a series of previous publications [21, 22, 31-33]. Briefly, exact measurement took place in the superficial sublayer of the layer V cortical area representing the densest zone of cortical cholinergic innervation (coordinates: 0.6 mm posterior to bregma [34]. After background subtraction and gray-scale threshold determination, the surface area of ChAT-positive fibers (percentage of the area covered by ChAT-positive cholinergic fibers relative to the total sampling area) was measured on both contralateral sides of eight coronal sections per animal using a Quantimet 600HR Image Analysis System (Leica, Rijswijk, the Netherlands). The percentage of fiber loss relative to the control side was calculated within each of the slices and these values were averaged as an indicator of cholinergic degeneration per animal. One immunized mouse showed over 40% fiber loss and was excluded as an outlier from all analyses.

Statistics

Statistical analyses were performed using Graph-Pad Prism 5.01 (GraphPad software, Inc.) and SigmaPlot 12.5 (Systat software, inc.). Fiber densities in control and lesion sides of the brains of mock and immunized mice were analyzed using a 2-way repeated measures (RM) analysis of variance (ANOVA). Differences between groups were tested by two-tailed unpaired *t*-tests. Pre-post differences between groups were tested by two-tailed paired *t*-test. Correlations were performed using Pearson correlations. p < 0.05 was considered significant.

RESULTS

ELISA: Results before $A\beta_{1-42}$ -induced nucleus basalis lesions

Mice were immunized with either trivalent vaccine (immunized, n = 24) or mock vaccine (mock, n = 9). Three, four or five (mock-) immunizations were performed with three-weekly intervals while intermediate blood samples were taken from the tail vain (see Fig. 1). The derived serum samples were analyzed by enzyme-linked immunosorbent assay (ELISA) using oligomeric $A\beta_{1-42}$ as coating antigen. Fig. 2 shows the $^{10}log[A\beta_{1-42}$ endpoint titers] obtained. Vertical axis categories are numbered between square brackets for easy reference.

After three immunizations (ELISA 1 in Fig. 1), a significant difference was found between all mock and immunized mice (Fig. 2 [1]: two-tailed unpaired *t*-test: p < 0.001).

Mice (n=8) with high titers were selected for the first round of AB1-42-induced NBM lesion surgery, forming 'group A' together with randomly selected mock animals (n=3; Fig. 2 [2]): two-tailed unpaired t-test mock versus immunized mice: p < 0.001). Remaining animals still showed a significant difference between mock and immunized mice (Fig. 2 [3]: two-tailed unpaired t-test: p < 0.05), but received a fourth (mock-) immunization followed by a second tail vein puncture. AB titers (ELISA 2) showed that the difference between mock and immunized mice was maintained (Fig. 2 [4]: two-tailed unpaired *t*-test: p < 0.05), but that the fourth immunization did not significantly increase AB titers (Fig. 2 [4] versus [3]: two-tailed paired t-test before versus after fourth immunization: mock: p = 0.98, immunized: p = 0.81). Again, immunized mice with high titers (n=8)and randomly selected mock mice (n=4) were selected for the second round of AB1-42-induced NBM lesion surgery, forming group B (Fig. 2 [5]: two-tailed unpaired t-test mock versus immunized mice: p < 0.05). The remaining mock (n=2)and immunized (n=8) mice formed group C. As measured from the serum samples after the fourth immunization (ELISA 2), no significant difference between mock and immunized animals was present in group C mice (Fig. 2 [6]: two-tailed unpaired *t*-test: p = 0.46). These mice received a fifth round of (mock-) immunization before $A\beta_{1-42}$ -induced NBM lesion surgery.

ELISA: Results after $A\beta_{1-42}$ -induced nucleus basalis lesions

After the $A\beta_{1-42}$ -induced NBM lesions, blood samples from all mice were obtained by a heart puncture just after the mice were sacrificed, before transcardial perfusion. ELISA analysis (ELISA 3) showed that considering all animals, a significant difference was again found between mock and



Fig. 2. Average of the ¹⁰log value of anti-oligomeric $A\beta_{1-42}$ ELISA endpoint titers of immunized and mock mice before and after $A\beta_{1-42}$ induced NBM lesions. After all mice had received three (mock-) immunizations, mice with high titers (ELISA 1) and randomly selected mock mice were selected as the first group to receive NBM lesion surgery (group A). Remaining mice received a fourth (mock-) immunization. Again, mice with high titers (ELISA 2) and randomly selected mock mice were selected as the second group to receive NBM lesion surgery (group B). Remaining mice (group C) received a fifth (mock-) immunization before lesion surgery. Measurements before NBM lesions (ELISA 1 and 2) were performed on sera from blood samples collected from the tail vein. Measurements after NBM lesions (ELISA 3) were performed on sera from blood samples taken from the heart, just before transcardial perfusion. The results show that three rounds of immunizations are highly effective, while a fourth and fifth round of immunizations have only minor added effect. Vertical axis categories are numbered between square brackets for easy reference. Error bars represent SEM. Statistical indicators: *p < 0.05, ***p < 0.001, ns indicates p > 0.05.

immunized mice (Fig. 2 [7]: two-tailed unpaired *t*-test: p < 0.001). Similarly, a significant difference was again found between mock and immunized mice of group A and B, but not of group C (Fig. 2 [8–10]: two-tailed unpaired *t*-test: group A: p < 0.001; group B: p < 0.05; group C: p = 0.46). These results confirm the earlier intermediate titers before the A β_{1-42} -induced NBM lesions (ELISA 1 and 2).

No significant differences were found between the titers before versus after the $A\beta_{1-42}$ -induced NBM lesions of mock and immunized mice (statistical comparisons not indicated in Fig. 2: two-tailed paired *t*-test, before versus after NBM lesion: group A mock mice: p = 0.42; group A immunized mice: p = 0.33; group B mock mice: p = 0.94; group B immunized mice: p = 0.30; group C mock mice: p = 0.67; group C immunized mice: p = 0.96). These results indicate that injecting oligomeric $A\beta_{1-42}$ in the NBM, did not significantly affect antibody responses. Moreover, these results indicate that the fifth round of (mock-) immunizations, as performed on the group C animals, did not significantly increase their average antibody response. Together, the results show that

three rounds of immunizations are very much effective, while a fourth and fifth round of immunizations have only minor added effect.

ELISA: Responders and non-responders

The ELISA results revealed a degree of variation in the measured antibody responses. Although most mice responded well to the immunizations, others showed a lesser response. Supplementary Table 1 shows the ${}^{10}\log[A\beta_{1-42}]$ endpoint titers] obtained for individual mice. We used a rigorous criterion to identify responders and non-responders. 'Responders' were defined as mice which showed higher titers than the average of the mock animals plus three standard deviations. After three immunizations, 14 out of 24 mice (56%) were identified as responders (ELISA 1). Measurements on serum samples after the fourth immunization (ELISA 2) revealed both increasesand decreases in titers. Measurements from serum samples obtained after the heart puncture before transcardial perfusion (ELISA 3) showed 16 out of 24 responders (67%). Overall, 79% of the mice were

identified as responders in at least one measurement, leaving five mice (21%) identified as consistent non-responders.

ELISA: Investigating vaccine specificity

The sera obtained by heart puncture were analyzed more extensively (ELISA 3). In addition to oligomeric $A\beta_{1-42}$, BSA conjugates of cyclo[$A\beta_{22-28}$ -YNGK'] and its 23–29 and 22–29 analogues and linear [$A\beta_{1-15}$ -C], as well as plain TTd, were used as coating antigens. As expected, all serum samples obtained after the heart puncture had high anti-TTd antibody titers (all mice showed a ¹⁰log[endpoint titer] > 5.52 on a TTd ELISA coating, data not shown). The serum samples of immunized mice showed high antibody titers against each of the three amyloid cyclopeptides (Supplementary Table 1), but not to linear $A\beta_{1-15}$ which contains the immunodominant epitope of $A\beta_{1-42}$ (data not shown).

Immunohistochemistry: $A\beta_{1-42}$ -induced nucleus basalis lesions

An excitotoxic damage model was used to assess whether immunized mice were more protected against oligomeric $A\beta_{1-42}$ insult to the brain compared to mock mice. The NBM is the origin of many cholinergic projections to the cortex. Oligomeric $A\beta_{1-42}$ was unilaterally injected in the NBM and the neurotoxic impact assessed by determining cholinergic fiber loss in the parietal neocortex relatively to the control hemisphere which was injected with scrambled $A\beta_{1-42}$ peptide ($A\beta$ -scr) (Fig. 3).

In the mock mice, average fiber density on the control and lesion side was 10.30 ± 0.36 SEM and 7.95 ± 0.28 SEM, respectively. In the immunized mice, average fiber density on the control and lesion side was 9.91 ± 0.35 SEM and 8.17 ± 0.33 SEM, respectively. A 2-way RM ANOVA with independent variables 'treatment' (mock-immunized or immunized) and 'brain side' (oligomeric A β_{1-42} -injected or scrambled A β_{1-42} -injected), revealed a significant



Fig. 3. Active immunization prevents the loss of cholinergic innervations induced by oligomeric $A\beta_{1-42}$ injected into the NBM. Shown are representative images of ChAT-positive fibers in the parietal neocortex of mock (A and B) and immunized mice (C and D). Images on the left (A and C) show the side of the brain injected with scrambled $A\beta_{1-42}$ control peptide ($A\beta$ -scr). Images on the right (B and D) show the contralateral lesion-sides of the brain injected with oligomeric $A\beta_{1-42}$ ($A\beta$ -oligo). Note that in practice the compounds were randomly injected in the left- or right side of the brain. Comparing control and lesion sides of the brain, immunized mice show reduced fiber loss compared to mock mice. In each image the area between the parallel bars indicate the quantified area (layer V of the somatosensory cortex). The horizontal scale bar shown in (D) applies to all images and represents 100 µm.



Fig. 4. Effectiveness of the oligomeric $A\beta_{1-42}$ -induced NBM lesion model and effects in mock and immunized mice. All mice consistently showed less cortical cholinergic fiber density on the A β injected side of the brain, as compared to the scrambled-A β (A β scr) injected control side of the brain (A). Compared to mock mice, immunized mice showed significantly reduced cholinergic fiber loss in the cortex, as measured by optical fiber density after ChAT immunostaining (B). In both panels the error bars represent SEM.

difference between brain sides. The side of the brain injected with oligomeric A β_{1-42} consistently showed less fiber density than the scrambled-AB injected sides of the brains (F (1,30) = 398.83, p < 0.001; Fig. 4A). An 'All Pairwise Multiple Comparison' posthoc test (Holm-Sidak method) revealed that this difference was present within both the mock-(t = 13.54, p < 0.001) and immunized mice (t = 16.02, p < 0.001)p < 0.001). These results indicate that the oligometric AB1-42-induced NBM lesion model was highly effective. A significant interaction effect was found between treatment and brain side (F (1,30) = 8.88, p = 0.006), but no significant effect of treatment was detected (F (1,30) = 0.02, p = 0.88) within either the oligometric A β_{1-42} -injected- (t = 0.38, p = 0.71), or scrambled A β_{1-42} -injected (t=0.67, p=0.51) brain sides. However, differences between mock and immunized mice became apparent when comparing lesion sides with control sides within each of the brain slices and calculating the average percentage of fiber loss (Fig. 4B). On average, immunized mice showed significantly less loss of cholinergic fibers in the parietal neocortex than mock mice (immunized: 16.77 %±0.99 SEM versus mock: 21.05 $\% \pm 1.43$ SEM, two-tailed unpaired *t*-test: p = 0.02, effect size: Cohen's d=0.95). As expected, these statistics slightly improve when excluding the five identified non-responders from the immunized group (immunized responders: 16.22%±1.09 SEM versus mock: 21.05%±1.43 SEM, two-tailed unpaired *t*-test: p = 0.01, effect size: Cohen's d = 1.08). Immunized mice showed 20.3% reduced fiber loss relative to the mock mice, or 22.9% when excluding the five non-responders from the immunized mice.

Correlation between cholinergic fiber loss and antibody response

We investigated whether the loss of cholinergic fiber density in the cortex correlated negatively with the measured antibody responses. When using the A β_{1-42} endpoint titers based on the serum samples obtained after the heart puncture (ELISA 3), a significant negative correlation was found between the titers and the percentage of fiber loss when considering all mice (Pearson r = -0.38, $R^2 = 0.15$, p = 0.03). However, this correlation was not significant when considering only the immunized mice, with or without the five non-responders (p = 0.49 and p = 0.91, respectively). The titers obtained from the tail vain puncture before AB1-42-induced NBM lesions, after all mice had equally received 3 immunizations (ELISA 1), showed similar results with a better correlation. Using these titers, a significant negative correlation was found between fiber loss and antibody response (considering all mice: Pearson r = -0.49, $R^2 = 0.24$, p = 0.004; considering only the immunized mice: Pearson r = -0.44, $R^2 = 0.20$, p = 0.03; considering immunized mice without the non-responders: Pearson r = -0.54, $R^2 = 0.29$, p = 0.02). Results are shown in Fig. 5.

DISCUSSION

In recent years it has become more and more apparent that amyloid plaques do not primarily correlate with AD pathology. This seems to be rather true for the amount of tangles consisting of hyperphosphorylated tau proteins [35–40]. A



Fig. 5. Correlation of the ¹⁰log value of anti-oligometric A β_{1-42} endpoint titers (x-axis) versus cholinergic fiber loss (y-axis). Titers were obtained by ELISA of sera obtained from blood samples taken from the tail vein on day 54 (ELISA 1), after which all mice had received three immunization injections. Percentage of fiber loss was calculated for each animal based on ChAT-stained cholinergic fiber density in the bilateral parietal neocortex, which is the target of afferent cholinergic pathways from the bilateral NBM sites. The A β_{1-42} injected lesion side of the brain was compared to the scrambled-AB1-42 injected control side of the brain to determine the percentage of cholinergic fiber loss. The linear regression line and Pearson correlation is based on the immunized mice (indicated by black circles) including the non-responders (separately indicated by open triangles), but not including the mock mice (indicated by grey circles). The R-squared and two-tailed p-value is indicated in the figure.

possible explanation for that may lie in the fact that A β plaques *per se* are not neurotoxic and that tangles in contrast represent dead neuronal cell bodies and therefore neuronal cell loss. In fact the most neurotoxic molecules in AD pathology turned out to be soluble oligomeric forms of misfolded AB [6, 41–44]. Since even under normal physiological conditions A β is produced [45], a vaccination against non-oligometric or non-fibrillary AB might result in unwanted side effects [46]. Indeed, non-aggregated A β peptide at low doses have been found to exhibit neurotrophic effects including enhancement of neuronal survival, neurite-promoting and neural stem cell differentiation effects [47–51]. Using cyclic peptides mimicking conformational neo-epitopes of oligomeric AB forms could circumvent the problems that would arise if peptides would be used which represent epitopes related to $A\beta$ in general.

In a previous study, we have shown that TTd conjugates of small cyclic peptides derived from A β , in particular cyclo[A β_{22-28} -YNGK']-TTd can be used for active immunization in mice. Two immunizations effectively produced antibodies in

Balb/c mice that cross-react in vitro with oligomeric and fibrillar $A\beta_{1-42}$ and amyloid plaques in mouse and human brain tissue. Importantly, the antibodies did not recognize the homologous linear peptide or the amyloid- β protein precursor, indicating a high specificity for misfolded AB [20]. In preliminary experiments it was found that C57BL/6 mice responded rather poorly to the original vaccine formulation, that is, cyclo[AB22-28-YNGK']-TTd conjugate with aluminum phosphate as adjuvant. The response in C57BL/6 was improved by immunization with the trivalent vaccine as used in the current study (a mixture of TTd conjugates of three cyclopeptides, cyclo[AB22-28-YNGK'] and its 23-29 and 22-29 analogues, with aluminum hydroxide gel and monophosphoryl lipid A (MPL) as adjuvant). In addition, a third immunization significantly improved the antibody response (data not shown). Our current data confirm these earlier results and show that a subsequent fourth and fifth round of immunizations had little added effect on the antibody response. It is noteworthy that in the course of our investigation it was reported that the MPL adjuvant by itself may have beneficial effects on AD pathology, as measured in ABPPswe/PS1 transgenic mice [52, 53]. In the current study, both immunized and mock-immunized mice received the same amounts and dosages of MPL adjuvant. Therefore, the mock mice might have had some level of protection, induced by the MPL they received, indicating that the effect of immunization might be underestimated in the current study. Future studies may lead to further optimization of the vaccine formula, dosage, and administration scheme to enhance its efficacy.

We used the established NBM lesion model in C57Bl/6 mice [21–23], to test directly whether the specific antibodies produced by the active immunization have a protective potential against the cytotoxic effects of oligomeric A β_{1-42} . We found that immunized mice showed 20% less cholinergic fiber loss in the parietal neocortex relative to mock-immunized mice (or 23% when excluding five non-responders). These results are very promising.

Injecting substantial amounts of neurotoxic oligomeric $A\beta_{1-42}$ into the NBM creates an acute lesion. It was not certain whether the used $A\beta$ concentration and incubation time (10 days) were optimal to show an effect at all. Similarly, it was uncertain if there would be enough neutralizing antibodies produced by the immune system and if these antibodies would reach the lesion site effectively enough. Although we did not attempt to detect (in brain

slices) the specific anti-A β antibodies produced by the immune system, it has been shown that peripheral antibodies are able to cross the blood-brain barrier [54]. Additionally, due to the administration of A β_{1-42} via stereotactic injection, a local microglial response may have led to a local leakage of the blood brain barrier, allowing anti-A β antibodies to enter the brain parenchyma from blood vessels.

A main source of variation was the observed difference in antibody response of the mice. This variation allowed us to correlate the antibody responses of individual mice to the measured fiber loss. As expected, fiber loss significantly correlated negatively with the antibody titers induced by immunization. When considering only the immunized mice, this was however only true when using the titers obtained after all mice had received three rounds of immunizations (ELISA 1, before $A\beta_{1-42}$ -induced NBM lesions), but not when using the titers obtained from the serum samples after the NBM lesions (ELISA 3). Note that the blood samples taken after three rounds of immunizations (ELISA 1) were collected from all mice at the same time, while the heart punctures (ELISA 3) were acquired and processed at different days for the three groups (see Supplemental Table 1). The serum samples obtained after three rounds of immunizations (ELISA 1) are therefore comparable measures and this initial assessment of the antibody response showed to correlate significantly with the measured fiber loss, even within the immunized group only and excluding consistent non-responders. These results are promising given that titers are not an accurate measure for effective antibody passage over the blood brain barrier and for the effectiveness of the immune system to neutralize $A\beta$.

Taken together, the tested trivalent vaccine has now been demonstrated to effectively induce a specific antibody response against misfolded A β in Balb/c as well as C57BL/6 mice without noticeable side effects. Furthermore, in the present study we show that this active immunization protects against acute oligomeric A β_{1-42} insult to the brain *in vivo*. A clear next step is to immunize transgenic AD model mice and investigate the effect of immunization on cognition and amyloid plaque load with aging. Together, these results may open up the way to vaccination at an early stage of AD symptoms or before.

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SUPPLEMENTARY MATERIAL

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