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# Research Article

# ALK5 i II Accelerates Induction of Adipose-Derived Stem Cells toward Schwann Cells through a Non-Smad Signaling Pathway

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Schwann cells (SCs) are likely to be a vital component of cell-based therapies for nerve regeneration. There are various methods for inducing SC-like cells (SCLCs) from adipose-derived stem cells (ADSCs), but their phenotypic and functional characteristics remain unsatisfactory. Here, we report a novel efficient procedure to induce SCLCs by culturing ADSCs with ALK5 inhibitor (ALK5 i) II, a specific inhibitor of activin-like kinase 5 (ALK5) (transforming growth factor- $\beta$  receptor 1 (TGF $\beta$ R1)) that is also known as Repsox. The resultant cells that we named "modified SCLCs (mSCLCs)" expressed SC-specific genes more strongly than conventional SCLCs (cSCLCs) and displayed a neurosupportive capacity in vitro, similarly to genuine SCs. Regarding the mechanism of the mSCLC induction by ALK5 i II, knockdown of Smad2 and Smad3, key proteins in the TGF $\beta$ /Smad signaling pathway, did not induce SC markers. Meanwhile, expression of multipotent stem cell markers such as Sex-determining region Y- (SRY-) box 2 (Sox2) was upregulated during induction. These findings imply that ALK5 i II exerts its effect via the non-Smad pathway and following upregulation of undifferentiated cell-related genes such as Sox2. The procedure described here results in highly efficient induction of ADSCs into transgene-free and highly functional SCLCs. This approach might be applicable to regeneration therapy for peripheral nerve injury.

#### 1. Introduction

Treatment of peripheral nerve injuries focuses on application of Schwann cells (SCs), which are promising candidates for cell-based therapies, including transplantation. However, this procedure has significant drawbacks in clinical application, including the main disadvantage of sacrifice of a functional nerve to produce autologous SCs. Therefore, methods to generate sufficient SCs using alternative advanced stem cell technologies are being examined. These include lineage-specific differentiation and reprogramming to generate SCs from various cell types [1–3]. Among the cell sources, adipose-derived stem cells (ADSCs) are promising due to their ease of collection in sufficient amounts through a minimally invasive proce-

dure. Rat ADSCs can be induced into SC-like cells (SCLCs) [4–6], and this method can be applied to human ADSCs. However, SCLCs derived from human ADSCs have low expression of SC markers such as  $S100\beta$  [7].  $S100\beta$  is expressed in SCs and acts as a stimulator of cell proliferation and migration.  $S100\beta$  also functions an inhibitor of apoptosis and differentiation [8–10].

Somatic cell reprogramming is basically triggered by transduction of the genes that encode important transcription factors, while an addition of some small molecular compounds may modify the reprogramming processes. Applications of TGF $\beta$  receptor (TGF $\beta$ R) inhibitors [11, 12], a MEK-ERK pathway inhibitor [11–13], and a GSK3 inhibitor [13] have been shown to improve efficacy of generation of induced

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pluripotent stem (iPS) cells. Moreover, one or more of the Yamanaka factors were successfully replaced by TGF $\beta$ R inhibitors [14] and a GSK3 inhibitor [15, 16]. Direct conversion of fibroblasts into transgene-free osteoblasts or adipocytes can also be achieved with small molecules [17, 18].

Here, we found that a TGF $\beta$ R inhibitor induced ADSCs to exhibit SC-like phenotypes, such as expression of S100 $\beta$ , GAP43, and EGR2. The mSCLCs promoted neurite outgrowth more strongly than conventional SCLCs (cSCLCs) and to a similar extent to genuine SCs (gSCs). We also investigated the induction mechanism by means of knockdown of specific signaling molecules.

#### 2. Materials and Methods

- 2.1. Reagents and Medium. The small molecules used in this study are listed in Supplementary Tables S1 and S2. The complete medium consisted of Dulbecco's modified Eagle's medium (DMEM) supplemented with  $100 \, \text{mM}$  nonessential amino acids,  $100 \, \text{U/ml}$  penicillin,  $100 \, \mu \text{g/ml}$  streptomycin, and 10% fetal bovine serum (FBS). This medium was supplemented with  $5 \, \text{ng/ml}$  platelet-derived growth factor (PDGF; Wako, Osaka, Japan),  $10 \, \text{ng/ml}$  basic fibroblast growth factor (b-FGF; Wako),  $5.7 \, \mu \text{g/ml}$  forskolin (TCI, Tokyo, Japan), and  $200 \, \text{ng/ml}$  recombinant human heregulin- $\beta 1$  (HRG- $\beta 1$ ; R&D Systems, Minneapolis, MN, USA) and used as a SC medium.
- 2.2. Antibodies. The following primary antibodies were used for immunocytostaining: rabbit anti-S100 $\beta$  (dilution = 1:200) (Abcam, Cambridge, UK), rabbit anti-GAP43 (1:200) (Cell Signaling Technology, Danvers, MA, USA), rabbit anti-EGR2 (1:200) (Abcam), rabbit anti-NCAM (1:200) (Sino Biological, Beijing, China), and mouse anti-MAP-2 (1:200) (Abcam) antibodies. Alexa Fluor 488conjugated anti-rabbit (1:500) (Life Technologies, Carlsbad, CA, USA) and Alexa Fluor 594-conjugated anti-mouse (1:500) (Life Technologies) antibodies were used as secondary antibodies. For western blotting, rabbit anti-Smad2/3 (dilution = 1:1000) (Cell Signaling Technology), rabbit anti-phospho Smad2/3 (1:5000) (Cell Signaling Technology), and rabbit anti- $\beta$ -actin (1:5000) (Cell Signaling Technology) antibodies were used. For flow cytometric analysis, phycoerythrin- (PE-) conjugated mouse anti-human CD29 (dilution = 1:25) (BioLegend, San Diego, CA, USA), Alexa Fluor 488-conjugated rat anti-mouse/human CD44 (1:25) (BioLegend), PE-conjugated mouse anti-human CD90 (1:5) (BD Biosciences, San Jose, CA, USA), and fluorescein isothiocyanate- (FITC-) conjugated mouse anti-human CD105 (1:25) (BioLegend) antibodies were used.
- 2.3. Isolation and Culture of Human ADSCs. Adipose tissue was harvested from female surgical patients at the University Hospital, Kyoto Prefectural University of Medicine, Japan. Patients gave informed consent, and procedures were approved by the Institutional Ethics Committee for Clinical Research at Kyoto Prefectural University of Medicine (ERB-C-487-1). A previously described protocol was used for isolation and culture of ADSCs, with a slight modification [4].

Briefly, subcutaneous human fat was enzymatically dissociated at 37°C for 60 min using 0.15% (w/v) collagenase type I (Sigma-Aldrich, St. Louis, MO, USA). The solution was passed through a 70  $\mu$ m filter (Corning, Corning, NY, USA) to remove undissociated tissue and neutralized by the addition of a complete medium. The stromal cell pellet was obtained by centrifugation for 5 min and resuspended in the complete medium. After 24 h, the nonadherent cells were eliminated by changing the medium. Cultures were maintained at subconfluent levels in a 37°C incubator with 5% CO<sub>2</sub> and passaged 2-4 times with trypsin/EDTA (Nacalai Tesque, Kyoto, Japan) before use.

- 2.4. Differentiation of ADSCs into cSCLCs. ADSCs were differentiated into cSCLCs as previously described [4] using HRG- $\beta$ 1 instead of glial growth factor 2. Briefly, subconfluent ADSCs at passages 2-4 were cultured in a complete medium containing 1 mM 2-mercaptoethanol (BME, Sigma-Aldrich) for 24 h. The cells were then washed and placed in a fresh medium supplemented with 35 ng/ml all-trans-retinoic acid (ATRA, TCI). After 72 h, the cells were washed and the medium was replaced by a SC medium with or without small molecules added at a concentration of 4  $\mu$ M, unless otherwise stated. The cells were incubated for 14 days to achieve full differentiation, with the medium changed every 2 to 3 days (Figure S1).
- 2.5. Isolation and Culture of gSCs. gSCs were obtained as previously described [7]. Peripheral nerve specimens were taken from patients during reconstructive surgery with informed consent. After removing the epineurium, the nerves were cut to 1 mm segments. The segments were cultured in a 60 mm dish with a SC medium at 37°C with 5%  $\rm CO_2$ . Two weeks later, the medium was aspirated and 0.0625% collagenase type IV and 0.585 U dispase were added to the dish. After a 24 h incubation, the cell suspension was filtered through a 70  $\mu$ m cell strainer, followed by centrifugation for 5 min. Finally, the cell pellet was resuspended in a SC medium and plated in a 60 mm dish coated with laminin (Matrixome, Osaka, Japan). The cultures were maintained at subconfluent levels in a 37°C incubator with 5%  $\rm CO_2$ .
- 2.6. RNAi. shRNA sequences were found by FASMAC as 5'-CAAGTACTCCTTGCTGGATTG-3' Smad2, and 5'-TGAGCAGAACAGGTAGTATTA-3' for Smad3. Nonsilencing control shRNA sequence (5'-GTTC TCCGAACGTGTCACGT-3') was confirmed by BLAST analysis (http://blast.ncbi.nlm.nih.gov.ezp.lib.unimelb.edu. au/Blast.cgi) to have no complementarity to any mammalian mRNA sequence. Oligonucleotides were synthesized and inserted into pGP/Puro and pGP/Bsd empty vector plasmids to construct pGP/Puro-Smad2-shRNA (sh-Smad2), pGP/Bsd-Smad3-shRNA (sh-Smad3), and pGP/ Puro-negative control-shRNA plasmids (sh-NC). The plasmids were transfected into HEK293 TN cells using the XtremeGENE 9 DNA Transfection Reagent (Roche Diagnostics GmbH, Mannheim, Germany). Twenty-four hours later, the culture medium was replaced with an antibiotic-free culture medium. After culturing for another 24 hours, the

medium was collected and filtrated through a 0.45 mm pore-sized filter. ADSCs were seeded onto culture dishes. After culturing overnight, cells were transduced with lentiviral vectors containing sh-Smad2 and/or lentiviral vectors containing sh-Smad3 in the presence of  $4\,\mu\text{g/ml}$  polybrene. Twenty-four hours later, the culture medium was replaced with a complete medium. Stable transfectants were selected by puromycin (for sh-NC and sh-Smad2) and/or blasticidin (for sh-Smad3).

- 2.7. Immunocytochemistry. Cells were fixed in 4% paraformaldehyde (PFA) for 20 min, followed by permeabilization using 1% Triton X-100 at room temperature for 15 min. Background staining was blocked using Blocking One Histo (Nacalai Tesque) for 1 h at room temperature, followed by incubation of the cells with primary antibodies at 4°C overnight. Cells were washed and incubated with secondary antibodies for another 1 h at room temperature. Cells were then washed and counterstained with Hoechst (Wako) for 10 min at room temperature. After washing, cells were mounted and observed under a fluorescence microscope (BZ-X710; Keyence, Osaka, Japan).
- 2.8. Neurite Outgrowth Assay. A neurite outgrowth assay using NG108-15 neuronal cells was performed as previously described, with a slight modification [7]. ADSCs, cSCLCs, mSCLCs, and gSCs were seeded at  $6 \times 10^3$  cells per slide chamber (Fukae Kasei Co., Ltd., Kobe, Japan) and maintained for 24 h. Then,  $6 \times 10^2$  NG108-15 neuronal cells were added to the slide chambers, and the cocultures were maintained in DMEM/Ham's F12 plus 2% B27 supplement (Gibco-BRL, Grand Island, NY, USA) for 24 h. The cells were fixed and immunostained with anti-MAP-2 antibody, followed by staining with Alexa Fluor 594-conjugated antirabbit antibody, as described above. The chambers were examined under a fluorescence microscope, and neurite analysis was performed using the digital image analysis program ImageJ (public domain). Three parameters were assessed: (1) the percentage of neuron-bearing neurites; (2) the longest neurite length; and (3) the number of neurites per neuron. A total of 80 neuronal cells were examined for each coculture, and the means  $\pm$  S.D. of three independent experiments for each coculture type was calculated.
- 2.9. Real-Time RT-PCR. Real-time RT-PCR was performed as described elsewhere [19]. Briefly, cells were homogenized in Buffer RLT (Qiagen, Hilden, Germany), and total RNA was harvested using the phenol guanidinium acid-based procedure with QIAcube (Qiagen). After reverse transcription into cDNA using the ReverTra Ace® qPCR RT Master Mix (Toyobo, Osaka, Japan), real-time PCR was performed using the StepOnePlus real-time PCR System (Applied Biosystems, Bedford, MA, USA) with the TaqMan® Fast Advanced Master Mix (Applied Biosystems) and the primers and dye probes shown in Supplementary Table S3. Each  $20~\mu$ l reaction mixture contained  $2~\mu$ l of cDNA (100 ng),  $1~\mu$ l of each primer and probe, and  $10~\mu$ l of TaqMan® Fast Advanced Master Mix. Samples were incubated at 95°C for  $10~\min$  for initial denaturation, followed by 40 cycles of

denaturation at 95°C for 15 s, and annealing and extension at 60°C for 1 min. Relative mRNA levels were calculated using the comparative threshold cycle (CT) method and normalized against GAPDH as an internal control.

- 2.10. Western Blotting. Cells were extracted in lysis buffer containing a protease inhibitor cocktail and a phosphatase inhibitor cocktail (Nacalai Tesque) for 1 hour on ice. The lysates were centrifuged at 10,000 rpm for 10 minutes, incubated at 70°C for 10 minutes, and separated by SDS-PAGE (30 µg protein/lane). After transferring to a PVDF membrane using the iBlot 2 system (Life Technology, Carlsbad, CA, USA), the blot was probed with the antibodies described above at 4°C overnight. After 1-hour incubation with an HRP-labeled anti-rabbit immunoglobulin antibody (Cell Signaling Technology) (diluted at 1:20,000) at room temperature, signals were visualized using the ECL Select detection reagent (for phosphorylated Smad2/3) (GE Healthcare, Chicago, IL, USA) or ECL Prime detection reagent (for Smad2/3 and  $\beta$ -actin) (GE Healthcare) and analyzed by ImageQuant LAS 500 (GE Healthcare).
- 2.11. Flow Cytometric Analysis. Dissociated cells were resuspended in phosphate-buffered saline (PBS) containing 0.5% bovine serum albumin (BSA), 0.01% NaN<sub>3</sub>, and 1 mM EDTA (FACS Buffer) and incubated with antibodies for 20 min on ice. Cells were washed with FACS Buffer, and flow cytometric analysis was performed on FACSCalibur (BD Biosciences) using CellQuest software. The data were analyzed with FlowJo software (Tree Star).
- 2.12. Statistical Analysis. Statistical significance was analyzed using Student's t-test (Figure 1 and Table S4) and ANOVA with the Tukey-Kramer post hoc test (Figures 2–6, Figure S4). Differences were considered significant at p < 0.05.

#### 3. Results

3.1. Induction of Human ADSCs to a SC-Like Phenotype by Treatment with ALK5 i II. Some compounds enhance reprogramming of somatic cells into iPS cells and contribute to the maintenance of stem cell phenotypes, while others promote differentiation of stem cells into somatic cells [11, 13, 20, 21]. Six compounds (ALK5 i II, ERK5 inhibitor, GSK3B inhibitor, MEK inhibitor, Rac inhibitor, and ROCK inhibitor) were assessed for their ability to induce Schwann-like phenotypes in ADSCs. ADSCs were cultured in a SC medium supplemented with each compound for 14 days, and levels of mRNAs for SC-related genes were examined. The TGF $\beta$ R inhibitor ALK5 i II most significantly elevated expression of S100 $\beta$ , GAP43, and EGR2 (p < 0.01) (Figure 2(a)). We also tested the JNK inhibitor and the p38 MAP kinase inhibitor, and we found that only ALK5 i II significantly elevated expression of S100 $\beta$  (p < 0.01) (Figure 2(b)).

Next, we compared the abilities of six TGF $\beta$ R inhibitors (ALK5 i II, D4476, LY2157299, LY364947, SB431542, and SD208) to induce expression of SC-related genes. Again, ALK5 i II most significantly elevated expression of S100 $\beta$ , GAP43, and EGR2 (p < 0.01) (Figure 2(c)). Thus, ALK5 i

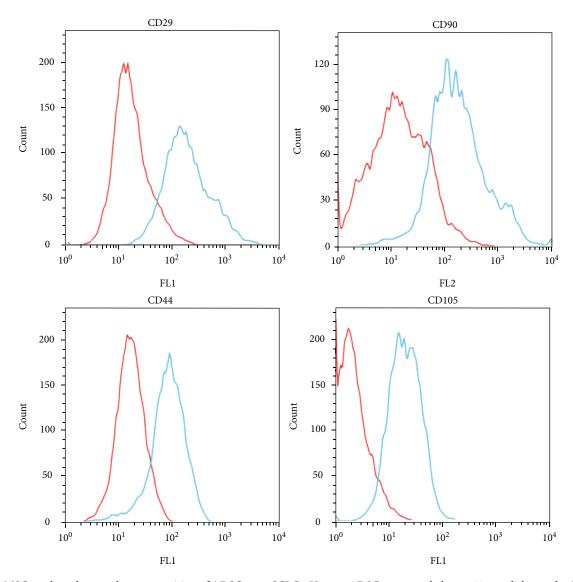


FIGURE 1: MSC markers decreased upon transition of ADSCs to mSCLCs. Human ADSCs were seeded onto 60 mm dishes and cultured in a complete medium or a SC medium supplemented with ALK5 i II for 14 days. Flow cytometric analysis was performed to examine CD29, CD44, CD90, and CD105 expression on the cell surface. Representative histograms for ADSCs (blue line) and mSCLCs (red line) are shown.

II was considered to be the most potent compound for induction of SCLCs from ADSCs. Optimal concentration of ALK5 i II for the SCLC induction was  $4\,\mu\mathrm{M}$  (Figure 2(d)). Then, the ADSCs cultured in a SC medium with  $4\,\mu\mathrm{M}$  ALK5 i II for 14 days were referred to as mSCLCs and used in subsequent experiments.

3.2. mSCLCs Expressed SC Markers at Higher Levels than cSCLCs. We tested mSCLCs for expression of mRNA for SC-related genes. Real-time RT-PCR revealed that mSCLCs expressed mRNA for S100 $\beta$ , GAP43, EGR2, and NCAM approximately 11-fold, 18-fold, and 3.4-fold higher than cSCLCs, respectively (Figure 3(a)). Immunofluorescence also showed that a larger proportion of mSCLCs strongly expressed these SC markers (Figure 3(b) and Figures S2A–D) compared with cSCLCs. Some mSCLCs displayed

bipolar and tripolar morphologies and strongly expressed S100 $\beta$  and GFAP proteins. Uninduced ADSCs did not show any expression of S100 $\beta$  and GFAP proteins.

Although undifferentiated ADSCs were highly positive for CD29, CD44, CD90, and CD105, the expression levels of these MSC markers decreased upon induction of the ADSCs into mSCLCs by ALK5 i II (Figure 1 and Table S4).

3.3. mSCLCs Promote Neurite Outgrowth at a Comparable Level as gSCs. To compare the functions of mSCLCs and cSCLCs, quantitative analysis of neurite outgrowth induction was performed. ADSCs, cSCLCs, mSCLCs, and gSCs were cocultured with a motor neuron-like cell line, NG108-15 neuronal cells [22]. After 24 h, three parameters, i.e., the percentage of neurons bearing neurites, the longest neurite length, and the number of neurites per neuron, were quantified by

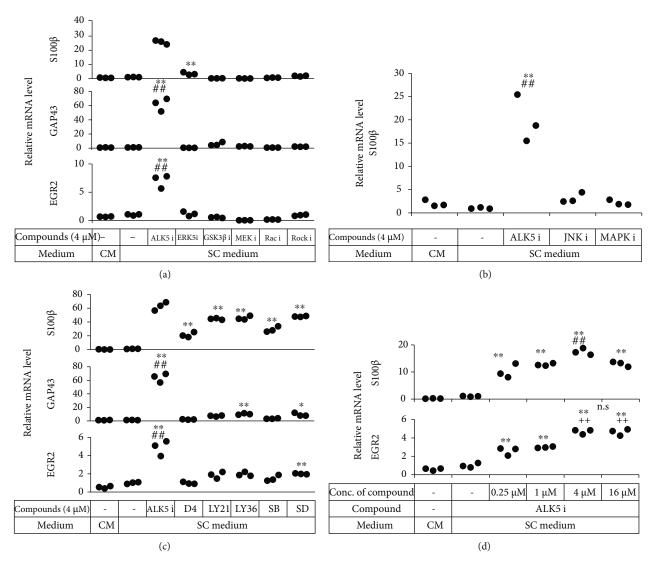


FIGURE 2: ALK5 i II prompted hADSCs to express SC markers. Human ADSCs were seeded in 24-well plates and cultured in a complete medium or a SC medium supplemented with the compounds indicated. Various signal pathway inhibitors (a, b) and TGF $\beta$ R inhibitors (c) were compared, while various concentrations of ALK5 i II were also tested (d). After 14 days of culture, RNA was extracted from the cells and subjected to real-time RT-PCR analysis. Each dot represents triplicate value of relative mRNA level for the indicated genes. \*p < 0.05 and \*\*p < 0.01 vs. ADSCs cultured in the SC medium. \*p < 0.01 vs. all the other groups. \*p < 0.01 vs. ADSCs cultured in the SC medium supplemented with ALK5 i II at 0, 0.25, or 1  $\mu$ M. n.s.: no significant difference between the indicated groups. Experiments were repeated three times.

immunostaining of the neuronal cells with anti-MAP-2 antibody (Figure 4(a)). All the parameters consistently indicated that mSCLCs prompted NG108-15 cells to extend neurites as strongly as gSCs did, and the ability of mSCLCs to induce neurite extension was more significant than that of cSCLCs (Figures 4(b)-4(d)).

3.4. Smad Signaling Pathway May Not Be Essentially Involved in the Induction of mSCLCs by ALK5 i II. The mechanism of induction of ADSCs into Schwann-like cells was investigated using shRNA interference. ALK5 i II is a selective TGF $\beta$ R1 inhibitor that inhibits Smad/non-Smad signaling pathways [14, 23]. In the Smad signaling pathway, Smad2 and Smad3 are key proteins located downstream of

TGFβR1 [24]. Treatment of ADSCs with ALK5 i II inhibited the phosphorylation of Smad2/3 in our experimental settings (Figure S3). Therefore, shRNA was used to inhibit expression of Smad2 and/or Smad3 to investigate the effect of Smad signaling on induction. ADSCs were established in which Smad2 and/or Smad3 expression was selectively decreased using lentiviral shRNA. Each vector enabled selection for shRNA expression, based on puromycin and/or blasticidin resistance. In the selected cells, the efficiencies and specificities of gene silencing were assessed by real-time RT-PCR at day 7 after lentiviral infection. As shown in Figure S4, psh-Smad2 decreased Smad2 mRNA with 91.2% efficiency and psh-Smad3 decreased Smad3 mRNA with 91.5% efficiency. Psh-Smad2 did not affect

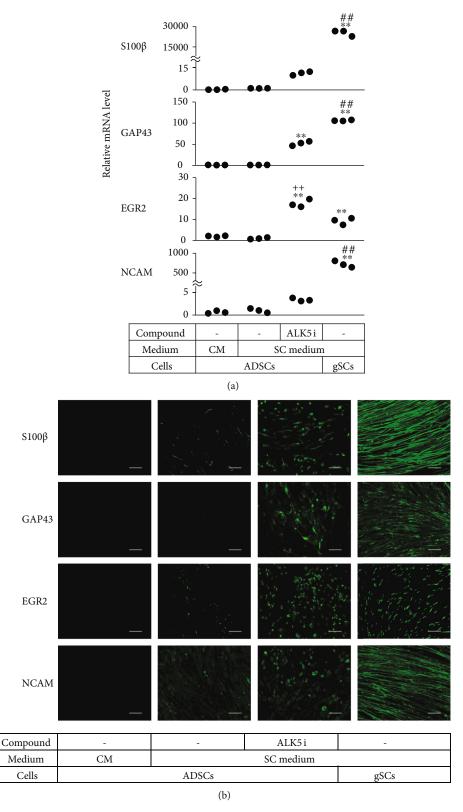


FIGURE 3: Comparison of relative mRNA levels among ADSCs, cSCLCs, mSCLCs, and gSCs. Cells were seeded into 24-well plates and cultured in the indicated medium for 14 days. (a) RNA was extracted from the cells, and real-time RT-PCR was performed to evaluate mRNAs for the indicated genes. Each dot represents triplicate value. \*\*p < 0.01 vs. ADSCs. \*\*p < 0.01 vs. mSCLCs. \*+p < 0.01 vs. gSCs. (b) ADSCs as negative control and cSCLCs, mSCLCs, and gSCs as positive control were stained with the indicated antibodies, while cell nuclei were stained with Hoechst. Fluorescence microscopic images (magnification: ×200) are shown. Scale bar = 100  $\mu$ m. Experiments were repeated three times.

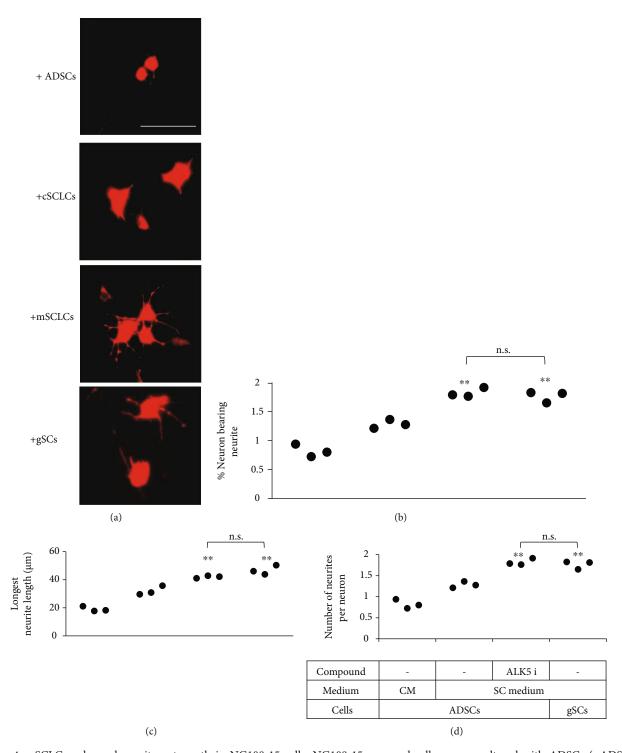


FIGURE 4: mSCLCs enhanced neurite outgrowth in NG108-15 cells. NG108-15 neuronal cells were cocultured with ADSCs (+ADSCs), cSCLCs (+cSCLCs), mSCLCs (+mSCLCs), or gSCs (+gSCs) for 24 h. NG108-15 cells were immunostained with MAP-2 antibody (red fluorescence) for neurite growth analysis. (a) Representative fluorescence microscopic images are shown. Scale bar =  $100 \, \mu \text{m}$ . (b-d) Percentages of neurons bearing neurites (b), longest neurite lengths (c), and number of neurites per neuron (d) were calculated. Each dot represents a triplicate value. \*\*p < 0.01 vs. ADSCs cultured in a SC medium alone. n.s.: no significant difference between the indicated groups.

Smad3 expression and vice versa. Psh-Smad2/psh-Smad3 decreased Smad2 mRNA and Smad3 mRNA each with 91.2% efficiency (Figure S4).

We next evaluated the effects of decreased Smad2 and/or Smad3 expression at day 7 after lentiviral shRNA vector infection. ADSCs with downregulated Smad2 and/or Smad3

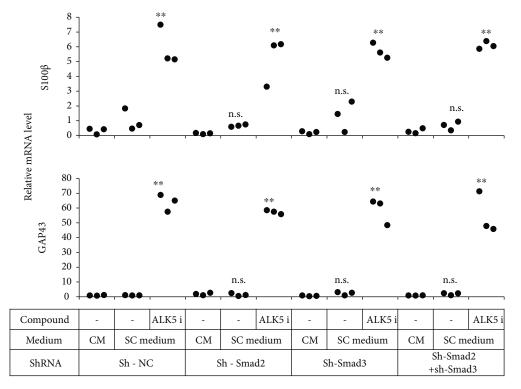


FIGURE 5: Neither Smad2 nor Smad3 were indispensable for induction of ADSCs into mSCLCs. ADSCs were transduced with lentiviral vectors encoding sh-NC, sh-Smad2, and/or sh-Smad3 as indicated. Forty-eight hours later, selection of transfected cells was started by adding puromycin and/or blasticidin. Stable transfectants were treated with BME and ATRA as indicated and cultured in the indicated culture medium as in Figure S1. After 14 days of culture, RNA was extracted from the cells and subjected to real-time RT-PCR to determine mRNA levels of the indicated genes. Each dot represents a triplicate value. \*\*p < 0.01 vs. sh-NC. n.s.: no significant difference between the indicated groups.

were cultured in a Schwann medium supplemented with or without ALK5 i II for 14 days after BME and ATRA treatment, and expression levels of mRNAs for S100 $\beta$  and GAP43 were measured. Regardless of downregulation of Smad2 and/or Smad3, ADSCs cultured in the SC medium supplemented with ALK5 i II had elevated expression of S100 $\beta$  and GAP43; thus, downregulation of Smad2 and/or Smad3 had no effects on expression of these markers (Figure 5).

3.5. Transcription Factor Expression in Pluripotent Stem Cells during Induction. ALK5 i II can replace exogenous Sexdetermining region Y- (SRY-) box 2 (Sox2) in reprogramming fibroblasts into iPS cells [25]. Inhibition of  $TGF\beta$  signaling is accompanied by induction of other essential pluripotency-related transcription factors such as Nanog [14, 26]. Sox2 is expressed in undifferentiated SCs and is often used as a SC marker. Therefore, we examined expression of these transcription factor genes in ADSCs shortly after ALK5 i II treatment. Expression of Sox2 increased by approximately 5.3-fold relative to that in the cells cultured in the SC medium without ALK5 i II, following 3 days of ALK5 i II treatment. Nanog and Oct4 also increased by approximately 3.7- and 5.6-fold, respectively, after 3 days of ALK5 i II treatment (Figure 6).

#### 4. Discussion

ADSCs have been used in various fields of regenerative medicine because they can be harvested less invasively than other stem cells and have a high yield and rapid cell proliferation. With regard to peripheral nerve injury, evidence is gradually accumulating that SCLCs induced from ADSCs promote axonal regeneration and myelination. However, few reports have addressed SCs that are induced from human adipose tissue and maintain functions applicable to clinical practice. If such cells are available, cell transplantation therapy for peripheral nerve injury and neurodegenerative diseases may likely be possible using the ADSC-derived SCLCs.

By the treatment with ALK5 i II, ADSCs underwent a marked morphological change from fibroblast-like into spindle in shape, and obtained expression of typical SC markers, S100 $\beta$ , GAP43, EGR2, and NCAM, as demonstrated by RT-PCR and immunostaining analyses. Reportedly, human cSCLCs that were derived from ADSCs expressed typical markers such as S100 $\beta$  at only low levels [7], whereas mSCLCs induced by ALK5 i II did so at remarkably high levels under our experimental conditions. Moreover, the cells promoted axonal growth at a comparable level to gSCs. These results indicate that the mSCLCs have acquired a closer SC phenotype than cSCLCs and were functionally similar to gSCs.

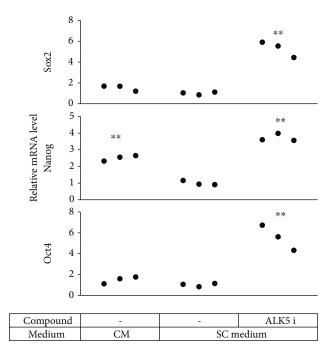


FIGURE 6: Impact of ALK5 i II on pluripotency-related gene expression in mSCLCs. ADSCs were cultured in a SC medium with ALK5 i II as in Figure 2. RNA was extracted from the cells 3 days after medium replacement (Figure S1) and subjected to real-time RT-PCR to evaluate mRNA levels for the indicated genes. Each dot represents a triplicate value of the relative mRNA level. \*\*p < 0.01 vs. ADSCs cultured in the SC medium alone.

Among the six TGF $\beta$ R inhibitors that we tested, ALK5 i II most significantly elevated expression of S100 $\beta$ , GAP43, and EGR2 in ADSCs. In previous studies [27], SB431542 (SB) was frequently used as a TGF $\beta$ R inhibitor, but we found that ALK5 i II was more potent than SB in converting ADSCs into SCLCs. ALK5 i II strongly inhibits Smad2/3 signaling in fibroblasts [14, 17, 28]. In our experimental settings, ALK5 i II prevented phosphorylation of Smad2/3 in ADSCs (Figure S3). ALK5 i II is a selective TGF $\beta$ R1 inhibitor that blocks binding of ATP to TGF $\beta$ R1 and subsequent TGF $\beta$ R1 phosphorylation, thus inhibiting both Smad and non-Smad signaling pathways [14, 23]. In the Smad pathway, Smad2 and Smad3 are phosphorylated in response to TGF $\beta$ R1 phosphorylation and associate with Smad4 to form complexes that subsequently migrate into the nucleus to exert regulatory functions [24]. ALK5 i II also induces conversion of human dermal fibroblasts into osteoblasts [17] and adipogenesis of mouse embryonic fibroblasts [18].

In an attempt to figure out the potential mechanisms underlying the ALK5 i II-mediated induction of mSCLCs, knockdown of Smad2 and/or Smad3 by shRNA did not influence the induction of the mSCLC phenotype in ADSCs, indicating that the non-Smad signaling may play crucial roles. Among the non-Smad pathways, JNK, p38, ERK, or MEK may not play crucial roles, because inhibitors of these pathways failed to augment phenotypic alteration of ADSCs into mSCLCs (Figure 2). Alternatively, two or more pathways might be involved in a cooperative manner.

Meanwhile, we found that Nanog, Oct4, and Sox2 were upregulated in hADSCs 3 days after supplementation of ALK5 i II (Figures 6 and S1). These are the transcription factors specifically expressed in pluripotent stem cells. Among others, Oct4, a member of the Oct family transcription factors, is used as the gene to induce pluripotency in somatic cells [29], while it is also known to cause direct conversion from human fibroblasts to osteoblasts [30, 31], adipocytes [32], and hepatocytes [32]. This suggests that Oct4 may induce epigenetic reprogramming to an immature state, which may be an important step of transdifferentiation. Another possible explanation is that Sox2, a Sox family member, was involved in transdifferentiation of ADSCs to mSCLCs and in promotion of cell proliferation. ALK5 i II is well known to replace exogenous Sox2 in induction of reprogramming and maintenance of pluripotency [14]. In the central nervous system, Sox2 is detected in neural stem cells from neurogenic regions and maintains stem cell proliferation and differentiation [33, 34]. In the peripheral nervous system, Sox2 is considered mainly to be expressed in immature or dedifferentiated Schwann cells [35], but more recent studies have identified specific types of more differentiated glia that retain high Sox2 expression and critically require Sox2 function, as revealed by functional studies in mice and other animals [36, 37]. It has also been found that overexpression of Sox2 in SCs enhances their proliferation [38]. Further studies are needed to address the molecular mechanisms of mSCLC induction in more detail.

Overall, we successfully induced ADSCs into mSCLCs without involvement of any exogenous gene transduction. The mSCLCs showed a more robust SC phenotype pattern than cSCLCs and were functionally more similar to gSCs. Thus, use of ALK5 i II is a more promising method for induction of ADSCs into SCLCs, as demonstrated by morphological, mRNA expression, and protein expression characteristics of the mSCLCs. These findings may lead to a novel autologous cell replacement strategy to generate mature and phenotypically stable SCs, which may contribute to the treatment of PNI and neurodegenerative neural diseases. The induction mechanism was suggested to involve the non-Smad pathway and enhancement of intracellular expression of Sox2, which is a known pluripotent stem cell marker and a partial SC marker, and Oct4. We are currently undertaking studies of the detailed molecular mechanisms underlying this process.

#### 5. Conclusions

This study demonstrated that ALK5 i II can accelerate the induction of ADSCs toward SC-like phenotypic cells (mSCLCs) that expressed SC-specific genes more strongly than cSCLCs. Furthermore, mSCLCs displayed the neurosupportive capacity in vitro on the same level as gSCs. ALK5 i II exerts its effect through a non-Smad pathway and following upregulation of undifferentiated cell-related genes such as Sox2. This approach might be applicable to cell-based therapy for peripheral nerve injury.

#### **Abbreviations**

SC: Schwann cell

SCLC: Schwann cell-like cell

cSCLC: Conventional Schwann cell-like cell

gSC: Genuine Schwann cell

mSCLC: Modified Schwann cell-like cell.

# **Data Availability**

The supplementary data used to support the findings of this study are included within the supplementary information file.

#### **Conflicts of Interest**

The authors declare that they have no conflicts of interest.

## Supplementary Materials

Table S1: list of compounds used in the study. Table S2: list of TGF $\beta$  receptor inhibitors used in the study. Table S3: list of probes and primers used in the study. Table S4: ADSCs but not mSCLCs expressed ADSC markers at high levels. Figure S1: scheme of the experimental design. Figure S2: mSCLCs expressed S100 $\beta$ , GAP43, EGR2, and NCAM as revealed by immunostaining. Figure S3: an addition of ALK5 i II suppressed phosphorylation of Smad2/3 in ADSCs. Figure S4: inhibitory effect of shRNAs determined by real-time RT-PCR. (Supplementary Materials)

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